

1 Asymmetrical template-DNA strand segregation can explain density-associated 2 mutation-rate plasticity

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6 Summary

7 **The mutation rate is a fundamental factor in evolutionary genetics. Recently, mutation**
8 **rates were found to be strongly reduced at high density in a wide range of unicellular**
9 **organisms, prokaryotic and eukaryotic. Independently, cell division was found to**
10 **become more asymmetrical at increasing density in diverse organisms; in yeast, some**
11 **'mother' cells continue dividing, while their 'offspring' cells do not divide further. Here,**
12 **we investigate how this increased asymmetry in cell division at high density can be**
13 **reconciled with reduced mutation-rate estimates. We calculated the expected number of**
14 **mutant cells due to replication errors under various modes of segregation of template-**
15 **DNA strands and copy-DNA strands, both under exponential and under linear growth.**
16 **We show that the observed reduction in the mutation rate at high density can be**
17 **explained if mother cells preferentially retain the template-DNA strands, since new**
18 **mutations are then confined to non-dividing daughter cells thus reducing the spread of**
19 **mutant cells. Any other inheritance mode results in an *increase* in the number of mutant**
20 **cells at higher density. The proposed hypothesis that patterns of DNA-strand**
21 **segregation are density dependent fundamentally challenges our current understanding**
22 **of mutation-rate estimates and extends the distinction between germline and soma to**
23 **unicellular organisms.**

24 **Key words:** asymmetrical cell division, density-associated mutation-rate plasticity, germline-
25 soma distinction, immortal strand hypothesis, mutation rate, unicellular organisms

26 1. Introduction

27 Mutation rates are typically minimized, as far as population genetic constraints allow [1].
28 However, mutation rates can vary, not only between organisms but also with environmental
29 conditions. A recent study identifies a completely unexpected kind of mutation-rate plasticity
30 in response to population density [2], which is dependent on quorum sensing [3]. Across a
31 wide range of unicellular organisms, both eukaryotic and prokaryotic, the mutation rate
32 consistently was found to decrease with increasing population density, with up to 23-fold
33 lower mutation rates at high density than at low density. We propose a model that attributes
34 reduced mutation rate at high density to increased asymmetry in mutation acquisition between
35 'mother' cells and 'offspring' cells, and discuss recent experimental studies that support this
36 model.

37 It was long believed that unicellular organisms potentially do not age, thus exhibiting
38 functional immortality. However, the last two decades have seen increasing evidence for
39 asymmetrical cell division leading to differential cell fates, even in organisms with

40 morphologically symmetrical division, such as *Escherichia coli* and fission yeast [4, 5]. An
41 asymmetrical cell division results in a senescing ‘mother’ cell and a rejuvenated ‘daughter’
42 cell, and fecundity of the mother cell decreases with each division as damaged proteins and
43 cell components accumulate. There is increasing evidence that such asymmetries during cell
44 division are not limited to physiological and morphological cell characteristics, but extend to
45 patterns of DNA strand inheritance, as shown in yeast [6, 7] and *E. coli* [8] and various types
46 of stem cells [9].

47 The ‘Immortal Strand Hypothesis’ proposes that asymmetries in DNA-strand inheritance
48 reduce the number of mutations in somatic cells [10]. According to this hypothesis, adult stem
49 cells have ‘Template Strand Co-segregation’ (TSC [9, 10]), where the daughter cell
50 maintaining the stem-cell function retains specific ‘master’ templates of the DNA strands of
51 each chromosome (the parental strands [11]) at each division, while the differentiating
52 daughter cell receives the new, ‘copy’ strands. Since most mutations during replication occur
53 in the newly synthesized DNA strands and fewer in the template strands, this asymmetrical
54 distribution reduces the mutation rate in the stem cells [10]. In support of the immortal strand
55 hypothesis, TSC during cell division has been demonstrated in a broad range of organisms [9,
56 12-14], although it is not universal for stem cells and alternative hypotheses have been
57 proposed to explain it [9, 15].

58 Recently, the degree of asymmetry during cell division was found to be higher at high
59 density, in independent studies, for budding yeast [16] and for *E. coli* [17]. Furthermore, for
60 muscle stem cells asymmetry of strand segregation was found to be increased when stem cells
61 were seeded at higher cell densities [18]. Here we investigate how those findings of increased
62 asymmetries at high density can be reconciled with reduced estimates of the mutation rate
63 under that condition [2, 3]. We show that the observed reduction in the mutation rate at high
64 density can be explained if mother cells preferentially retain the template-DNA strands, since
65 new mutations are then confined to non-dividing daughter cells thus reducing the spread of
66 mutant cells.

67 **2. Methods**

68 *Calculating the number of mutant cells resulting from a single mutational event.*

69 To establish the effect of the mode of DNA-strand segregation under different modes of cell
70 division, we calculate the average number of mutant cells when a single copy error occurs
71 during the formation of a certain number of cells from a single ancestor. For both symmetrical
72 and asymmetrical cell division, we considered the effect of asymmetries in the distribution of
73 template-DNA strands and copy-DNA strands between cells. Even though it seems unlikely
74 that those asymmetries will be absolute, for the sake of argument, we consider deterministic
75 models for both symmetrical and asymmetrical growth, under three different inheritance
76 patterns of template DNA and copy-DNA strands by mother and daughter cells (Figure 1): (i)
77 the copied strands are inherited by the mother cell (copy-strand co-segregation; CSC); (ii) the
78 template strands are inherited by the mother cell (template-strand co-segregation; TSC); and
79 (iii) the template and copied strands are inherited randomly between mother and daughter
80 cells (random strand segregation; RSS). In all cases, we consider mutations due to copy error,

81 the most common class of mutations [19], so mutations occur in the copied strand, and not in
 82 the template strand. We first show the calculation for the expected number of mutant cells for
 83 the formation of four cells from a single ancestor, and then for the general case.

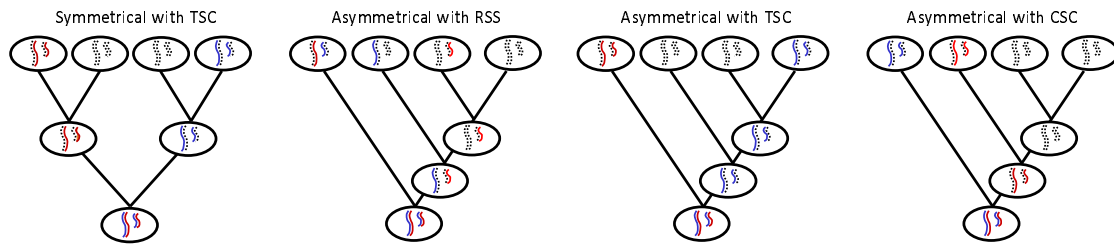


Figure 1. A comparison between symmetrical cell division with template strand co-segregation (TSC; left) and asymmetrical cell division with three forms of DNA-strand inheritance: RSS (center left), TSC (center right) and CSC (right). Following DNA replication, an asymmetrical cell division results in two daughters, one of which becomes a new mother, and the other of which is a rejuvenated cell that stops dividing. According to the ‘immortal strand hypothesis’ the sister chromatids containing the older strands (blue non-dashed) are retained in the continually dividing mother cell. Since the segregation pattern does not influence the number of mutant cells when cell division is symmetrical, symmetrical cell division is only drawn for one type of strand inheritance.

84

85 For symmetrical growth with RSS, the mutation can occur during the first division for which
 86 there are two routes or the second division, for which there are four routes. In the first case,
 87 two mutant cells will result, in the second case a single mutant cell. So we get
 88 $2+2+1+1+1+1=8$ possibilities to get mutant cells and we have six routes for those to occur, so
 89 on average this yields $8/6=1.33$ mutant cells. For symmetrical cell division with TSC or CSC,
 90 the expected number of mutant cells is the same. For k rounds of symmetrical division (with
 91 RSS, TSC or CSC), the number of mutant cells produced by a single mutational event can be
 92 calculated as: $\frac{k \cdot 2^k}{\sum_{n=1}^k 2^n}$.

93 For asymmetrical growth with RSS, a single mutation either ends up in a daughter cell (left
 94 branch), or in the mother cell (right branch). In the first case it will yield a single mutant cell
 95 only. If the mutation ends in the mother cell, it can either yield three mutant cells (when the
 96 mutation occurs in the first division), two mutant cells (when the mutation occurs in the
 97 second division) or a single mutant cell (when the mutation occurs in the third division). The
 98 average number of mutant cells if the mutation occurs in the mother cell is thus two. So the
 99 average number of mutant cells resulting from a single mutational event if cells division is
 100 asymmetrical and strands segregate randomly is $(1+2)/2=1.5$. More generally, for n cells
 101 formed with asymmetrical cell division, the expected number of mutant cells resulting from a
 102 single mutational event is $0.5+0.25n$.

103 For asymmetrical growth with CSC, a single mutation always is inherited by the right branch.
 104 Depending on the timing, it can either yield three mutant cells (when the mutation occurs in

105 the first division), two mutant cells (when the mutation occurs in the second division) or a
106 single mutant cell (when the mutation occurs in the third division). The average number of
107 mutant cells if the mutation occurs in the mother cell is thus two. More generally, for n cells
108 formed with asymmetrical cell division, the expected number of mutant cells resulting from a
109 single mutational event is $0.5n$.

110 For asymmetrical cell division with TSC, the mutation will always end in a non-dividing
111 daughter cell, and thus only yield a single mutant cell.

112 3. Results

113 Consider a culture of unicellular organisms grown at high nutrition (Figure 2). Initially, when
114 nutrition is not limiting yet, growth will be maximal and exponential [20]. When nutrition
115 becomes limiting, growth will increasingly become non-exponential (Figure 2a). As has been
116 shown for yeast at high density, mother cells start to act like stem-cell lineages that continue
117 budding off rejuvenated offspring cells for their entire replicative lifespan or for the remainder
118 of it, while the rejuvenated offspring cells are quiescent and do not divide further [21] (Figure
119 2b). At low nutrition, the transition to non-exponential growth is less strictly associated with
120 differentiation between mother cells and rejuvenated offspring cells [16-18].

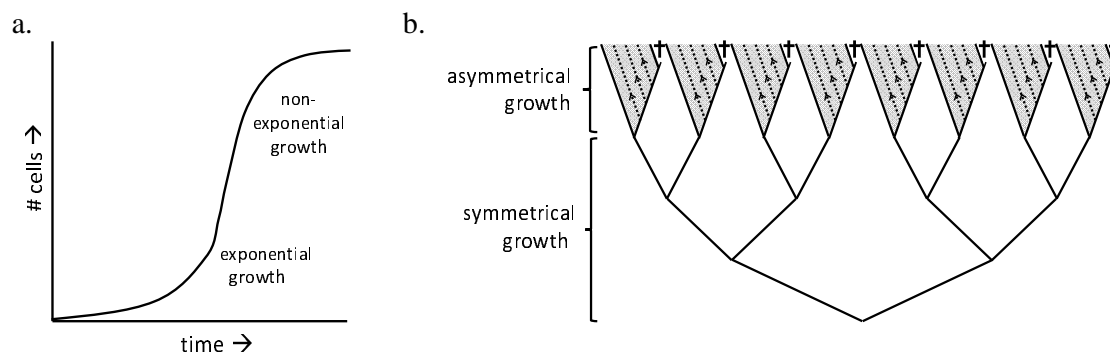


Figure 2. How growth changes from symmetrical exponential to asymmetrical and linear at high density. **a.** Initially, when nutrition is not limiting yet, exponential growth occurs. At higher density the culture is still growing, be it increasingly non-exponentially. **b.** Schematic representation of the shift from exponential to linear growth. At high density, cells increasingly start to divide asymmetrically. Senescing ‘mother’ cells act as stem-cell lineages, continuing to bud off ‘offspring’ cells, which stop dividing.

121

122 To explore the effect of growth mode on the expected number of mutant cells, we considered
123 the number of mutant cells due to copy errors, which occur in the newly synthesised DNA
124 strand. For symmetrical growth, this number does not depend on inheritance patterns of DNA
125 template and copy strands, since all cells continue dividing. However, for asymmetrical
126 growth, the number of mutant cells is influenced by the inheritance pattern of DNA strands.
127 To establish this effect, we determined the expected number of mutant cells when a single
128 copy error occurs during the formation of a certain number of cells, for both symmetrical and

129 asymmetrical growth, under three different inheritance patterns of template and copy-strands
130 by mother and daughter cells (Figure 1; Methods).

131 For asymmetrical growth, the inheritance pattern has a strong effect on the expected number
132 of mutant cells. Consider asymmetrical division of a mother cell that can still bud off 31
133 daughter cells. CSC would then be expected to yield an average of no less than 16 mutant
134 cells, RSS 8.5, and TSC only a single mutant cell (see Methods and Figure 3 for the
135 calculation). The latter number is also lower than the number expected with symmetrical
136 growth, where a single mutation in one of five subsequent rounds of divisions will yield an
137 average of 2.6 mutant cells among the 32 resulting cells (irrespective of the pattern of
138 template and copy-strand segregation; Table I).

139 In Table I and Figure 3, the expected number of mutant cells for a single mutational event as a
140 function of the number of past cell division is given for the four possible combinations of
141 division and strand segregation. As can be seen, for asymmetrical growth with TSC the
142 expected number of mutant cells is one, irrespective of the number of cell divisions. In
143 contrast, for symmetrical growth, the expected number of mutant cells increases with the
144 number of cell divisions and this is independent of the segregation mode of template and
145 daughter strands. For asymmetrical growth with RSS and especially with CSC, the number of
146 mutant cells increases even stronger with the number of cell divisions. For microorganisms
147 where the maximal replicative lifespan has been determined at some 30 cell divisions [22], a
148 2.6-fold reduction in the expected number of mutant cells compared to symmetrical division
149 leading to the same number of cells seems the maximum.

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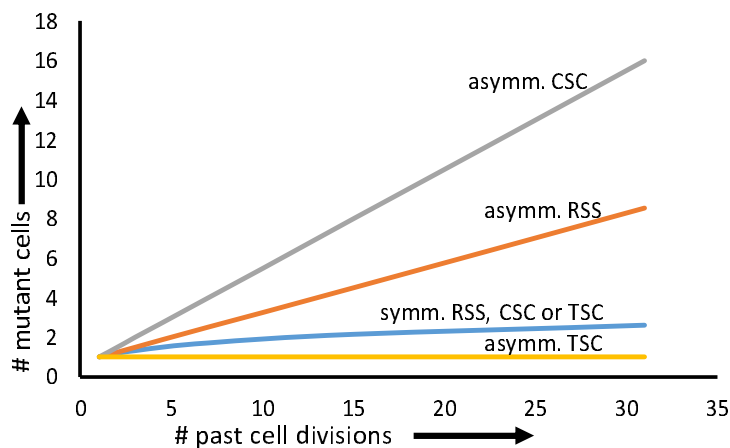


Figure 3. The expected number of mutant cells resulting from a single mutational event as a function of the number of past cell divisions under symmetrical cell division (with CSC, TSC or RSS; blue line; this number can be calculated as $\frac{k \cdot 2^k}{\sum_{n=1}^k 2^n}$ with k number of rounds of cell division with symmetrical growth), asymmetrical cell division with CSC (grey line; this number can be calculated as $0.5n$, with n number of cells formed), asymmetrical cell division with RSS (orange line; this number can be calculated as $0.5+0.25n$, with n number of cells formed) and asymmetrical division with TSC (yellow line; always 1, irrespective

of the number of cell divisions). The number of mutant cells under symmetrical division and asymmetrical division with RSS and CSC are all increasing functions of the number of cell divisions and all yield a higher number of mutant cells than asymmetrical division with TSC, which always yields only a single mutant cell, irrespective of the number of cell divisions.

151

152 Table I. The expected numbers of mutant cells if one mutational event occurs during the
 153 formation of n cells under symmetrical and asymmetrical cell division, and with either RSS,
 154 CSC or TSC.

symmetrical cell division				asymmetrical cell division							
				RSS	CSC	TSC			RSS	CSC	TSC
rounds of cell division	#cells	#past divisions	branch length tree	# expected mutations			# cells	#past divisions	# expected mutations		
0	1	0	1	0	0	0	1	0	0	0	0
1	2	1	2	1	1	1	2	1	1	1	1
2	4	3	6	1.33	1.33	1.33	4	3	1.5	2	1
3	8	7	14	1.71	1.71	1.71	8	7	2.5	4	1
4	16	15	30	2.13	2.13	2.13	16	15	4.5	8	1
5	32	31	62	2.58	2.58	2.58	32	31	8.5	16	1
6	64	63	126	3.05	3.05	3.05	64	63	16.5	32	1
7	128	127	254	3.53	3.53	3.53	128	127	32.5	64	1
8	256	255	510	4.02	4.02	4.02	256	255	64.5	128	1
9	512	511	1022	4.51	4.51	4.51	512	511	128.5	256	1
10	1024	1023	2046	5.00	5.00	5.00	1024	1023	256.5	512	1

155

156 4. Discussion

157 Our results show that the empirical finding of a reduced mutation rate at high density can be
 158 reconciled with increased asymmetry in cell division under that condition if TSC occurs in the
 159 mother cells, which continue to divide. Asymmetrical cell division with TSC can account for
 160 a significant reduction in mutation-rate estimates, although not sufficient to fully explain the
 161 density-dependent mutation-rate plasticity recently reported [2, 3]. However, there is another
 162 catch when growth shifts from exponential to linear. Estimates of the mutation rate assume
 163 exponential growth [23]. The fluctuation test takes into account the probability that a mutation
 164 occurs at an early growth stage, in which case a large proportion of the population will have
 165 the mutation (a so-called ‘jackpot’). The model proposed here, with linear growth by division
 166 from a stem-cell mother that retains the template strands will never yield a ‘jackpot’, since
 167 mutations in the non-exponential phase always occur in terminal branches. This implies that
 168 the mutation rate will be systematically underestimated, which may account for the remaining
 169 difference. Furthermore, if asymmetrical growth occurs in the later stages of both low-density
 170 and high-density conditions, but TSC only at high density, the difference in mutation rate
 171 between low and high density will further increase (Figure 3).

172 The apparent universality of density-associated mutation-rate plasticity begs for a general
 173 mechanism. Given the independent evidence for a link between the degree of asymmetrical
 174 cell division and density in widely divergent organisms as bacteria [17], single-celled

175 eukaryotes [16] and stem cells of multicellular eukaryotes [18], it seems plausible that this
176 mechanism is based on asymmetrical cell division. The model proposed here is best supported
177 for yeast. In yeast, at high density, a larger fraction of the cells becomes quiescent, being
178 arrested in the G_0 phase of the cell cycle [16], and consisting almost exclusively of
179 rejuvenated quiescent daughter cells with a high capacity to grow when conditions improve
180 [21]. The remaining cells are heterogeneous and show senescence. In support of a role for
181 TSC, in yeast asymmetries in kinetochore inheritance have been shown [6], and one study
182 found support for asymmetrical strand segregation [7], although another study did not [24].
183 However, the latter study used a low population density, which may account for this
184 difference.

185 It seems paradoxical that the senescing cell retains the template DNA strands, and thus
186 acquires the fewest mutations, while the rejuvenated offspring cells receive the copied
187 strands, and thus any mutant cells. However, as explained above this inheritance pattern
188 reduces the number of mutants among the rejuvenated cells. Perhaps the strongest argument
189 in favour of the model proposed in this article is that the mutation rate will be strongly
190 *increased* and not decreased if DNA strands were inherited randomly when cell division
191 becomes asymmetrical. Even for the production of 16 rejuvenated cells by a mother cell,
192 asymmetrical cell division with RSS would yield 4.5 times more mutant cells than
193 asymmetrical cell division with TSC, and still over two times more than symmetrical division
194 (Figure 3). The finding of on one hand, a reduction in the mutation rate at high density [2, 3]
195 and on the other hand, an increase in asymmetrical division at high density [16-18], therefore
196 makes it plausible that template-strand co-segregation occurs. However, direct evidence for
197 our model remains to be provided. Recent improvements in the detection of mutations in
198 single cells may make it feasible to test our hypothesis directly [25, 26]. An intriguing
199 question is whether our model also applies to density-associated mutation rate plasticity found
200 in viruses [2]. Since viruses are dependent on their host for genome replication, in the
201 experiments used to measure the mutation rates at various density, virus density may
202 correspond to host density, in which case our model may also apply to viral replication. It has
203 been proposed that the mutation rate of RNA viruses may also depend on their replication
204 mode, either by exponential replication where copy strands are copied or linear replication
205 where template strands are used for replication only [27].

206 The plasticity in mutation rate in response to population density implies that numbers of
207 mutational events per space and time vary much less with final population size than expected
208 from a fixed mutation rate per cell division. In other words, the total number of cells with
209 mutations occurring in a high-density and a low-density culture of unicellular organisms are
210 more similar than expected based on the number of cell divisions that have occurred. This
211 buffered number of mutant cells per space and time fits remarkably well in an emerging
212 picture that the mutation rate of organisms is reduced by specific aspects of their growth
213 mode, not only for vertebrate animals, which set aside germ cells early in development, but
214 also for organisms that do not. For example, taller, long-lived plants have been found to have
215 lower rates of molecular evolution per unit time than small plants, implying that the mutation
216 rates per generation are more similar [28]. In plant meristems, the stem cells from which

217 reproductive organs will develop undergo a minimal number of divisions during plant growth
218 [29]. Also, the number of cell divisions separating axillary meristems from the central
219 meristem is minimized [30]. Similarly, in a fungus with an estimated age of more than 1,500
220 years the number of mutations was much lower than expected, presumably due to an
221 unknown mechanism to reduce the number of mitotic divisions of cells at the growth front
222 [31, 32]. In ciliates, a transcriptionally silent germline nucleus is present, whose mutation rate
223 per cell division is more than an order of magnitude lower than that of other eukaryotes, but,
224 converted to a per-sexual generation mutation rate, is remarkably similar to that of
225 multicellular eukaryotes with a similar genome size [33].

226 The realisation that unicellular organisms also have mechanisms to reduce the mutation rate
227 makes the germline-soma distinction more general than once believed. August Weismann was
228 the first to distinguish an immortal germline from a disposable soma and argued that
229 variations within individuals cannot be transmitted to the germline [34]. Leo Buss challenged
230 Weismann's doctrine, noticing that an early germline sequestration as seen in vertebrates is
231 rare among multicellular organisms [35]. The recent findings discussed in this paper,
232 however, revive part of Weismann's doctrine. A picture emerges that germline sequestration
233 is not limited to some animals, but also occurs in plants, fungi and even unicellular organisms,
234 although the timing of sequestration may vary between organism groups and with ecological
235 conditions such as population density.

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241 **Author contributions**

242 DKA designed the study and wrote the manuscript with input from AJMD.

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