Cis- and Trans-Acting Expression Quantitative Trait Loci Differentially Regulate Gamma- Globin Gene Expression

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ABSTRACT

Genetic association studies have detected two trans-acting quantitative trait loci (QTL) on chromosomes 2, 6 and one cis-acting QTL on chromosome 11 that were associated with fetal hemoglobin (HbF) levels. In these studies, HbF was expressed as a percentage of total hemoglobin or the number of erythrocytes that contain HbF (F-cells). As the γ -globin chains of HbF are encoded by two non-allelic genes (HBG2, HBG1) that are expressed at different levels we used normalized gene expression and genotype data from The Genotype-Tissue Expression (GTEx)-project to study the effects of cis- and trans-acting HbF expression or eQTL. This allowed us to examine mRNA expression of HBG2 and HBG1 individually. In addition to studying eQTL for globin genes we examined genes co-expressed with HBG1, studied upstream regulators of HBG1 co-expressed genes and performed a correlation analysis between HBG2 and HBG1 and known HbF regulators. Our results suggest differential effect of cis and trans-acting QTL on HBG and HBG1 expression. Trans-acting eQTLs have the same magnitude of effect on the expression of both HBG2 and HBG1 while the sole cis-acting eQTL affected only HBG2. Furthermore, the analysis of upstream regulators and the correlation analysis suggested that BCL2L1 might be a new potential transacting HbF activator. HbF is the major modulator of the phenotype of sickle cell anemia and B thalassemia. Depending on the effect size, modification of trans-acting elements might have a greater impact on HbF levels than cis-acting elements alone.

Introduction

RNA sequence data (RNA-seq) and whole genome sequences from primary erythroid progenitors expressing *HBG* would provide the ideal data set to study cis and trans-acting elements that regulate HbF expression. However, such data are rarely available publicly. The Genotype-Tissue Expression (GTEx)-project provides an alternative resource to study *HBG* gene expression, the regulatory elements of these genes and the effects of genetic variation of these elements (GTEx_Consortium 2013). GTEx was launched in 2010 to create a publicly available database of genotype and tissue expression. Samples come from either deceased or surgical donor's organ/tissues. These human tissue samples then undergo RNA-seq and genome-wide SNP analysis. The tissues sampled include peripheral blood, which contains

reticulocytes that express hemoglobin genes. Reticulocytes account for about 10% of total hemoglobin synthesis.

We performed a genome-wide association study (GWAS) to detect eQTLs associated with expression of *HBG* in whole blood, other genes of the β-globin (*HBB*) gene cluster and with known HbF cis- and transacting regulators. In addition, we examined genes co-expressed with *HBG1* to discover enriched pathways, studied upstream regulators of HbF co-expressed genes and performed correlation analysis between *HBG* and known HbF regulators. A similar correlation analysis included *BCL2L1*, a potential HbF activator we found in our analysis. We hypothesized that trans-acting elements that are transcription factors affect the expression of both *HBG2* and *HBG1*, while the known cis-acting QTL in the promoter of *HBG2* is likely to be associated with the expression of this gene only.

Results

Preliminary studies

GTEx whole blood RNA-seq data detected HbF eQTLs in *BCL11A*, HMIP and in the *HBB* gene cluster using HbF gene expression as a surrogate for HbF protein levels, validating the utility of this data set for examining HbF-associated eQTL (**Table 1 and Fig. 1A-F**).

Gene Symbol	Variant Id	SNP	P-Value	Effect Size	T-Statistic	Standard Error
HBG1	2_60718043_T_G_b37	rs1427407	1.20E-11	-0.46	-7.1	0.065
HBG1	11_5263683_C_T_b37	rs10128556	0.89	-0.0085	-0.14	0.059
HBG1	6_135419018_T_C_b37	rs9399137	2.20E-15	0.51	8.4	0.061
HBG2	2_60718043_T_G_b37	rs1427407	1.10E-09	-0.46	-6.3	0.073
HBG2	11_5263683_C_T_b37	rs10128556	2.60E-16	0.51	8.7	0.058
HBG2	6_135419018_T_C_b37	rs9399137	1.70E-09	0.44	6.2	0.07

Table 1. SNPs from previous GWAS and their association with *HBG1* and *HBG2* expression in whole blood samples available in the GTEx portal.

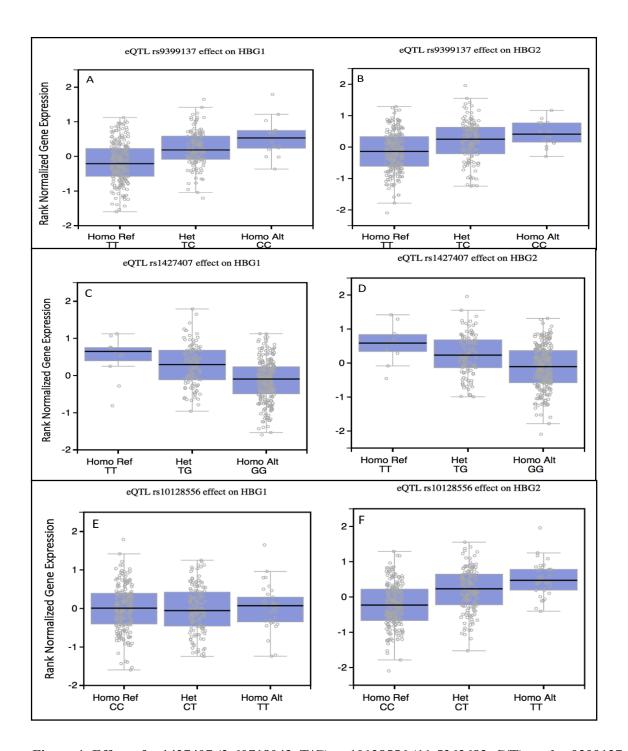


Figure 1. Effect of rs1427407 (2:60718043_T/G), rs10128556 (11:5263683_C/T), and rs9399137 (6:135418632_TTAC/T) on *HBG1* and *HBG2* expression in GTEx data set version 6. P-values are above genome-wide significance for rs1427407 (*BCL11A*, chr2) and rs9399137 (*MYB*, chr6) association with expression of both *HBG* genes. Rs10128556 (chr 11) is significantly associated with only *HBG2* (p-

value of 2.60E-16) while it has no effect on *HBG1*(p-value 0.89) Het denotes heterozygous, and Homo, homozygous.

Genome-wide eQTL association analysis

Twenty-seven SNPs were eQTL associated with HBG1 (p-value $\leq 5 \times 10-8$). Of these 27, 21 were intergenic variants in the HMIP region on chromosome 6p, five were BCL11A variants on chromosome 2p, and one was on chromosome 11p (**Fig. 2A, Table S1**). The SNP rs66650371, the functional 3-bp deletion in the MYB enhancer, is one of the most significant SNPs in the HMIP region. The most significant SNP of the five BCL11A variants was rs1427407, which in other studies was the functional SNP in the erythroid-specific enhancer of this gene (Bauer et al. 2013).

Forty-nine SNPs meeting genome-wide significance levels were eQTL for *HBG2*. Seventeen were intergenic variants in the HMIP region on chromosome 6, five were *BCL11A* variants on chromosome 2p, 26 were on chromosome 11p (**Fig 2B, Table S2**) and one was on chromosome 1. The most significant SNP in chromosome 11 was rs7482144 and this was associated only with the expression of *HBG2*; rs16912979, which is located in hypersensitive site (HS) 4 of the locus control region (LCR) of the *HBB* gene complex, was also associated solely with *HBG2* expression. The SNP rs66650371 (*MYB*) and rs1427407 (*BCL11A*) were significantly associated with both *HBG2* and *HBG1*. The conditional analysis of *HBG1* showed that after adjusting for the effects of rs1427407, rs66650371, and rs7482144, there was no association on chromosome 2 or chromosome 6 (**Fig. 2C, Table S3**).

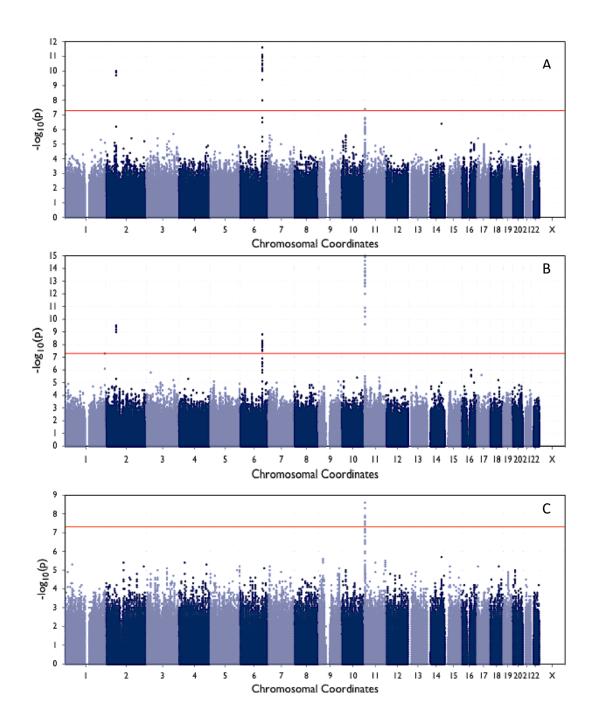


Fig. 2A shows *HBG1* eQTL; **Fig. 2C** shows *HBG1* eQTL conditioned on rs66650371, rs1427407 and rs7482144 genotype. The red line indicates genome-wide significance levels

Conditional analysis of *HBG2* showed that no SNP was significantly associated with *HBG2* expression after adjusting for rs7482144, rs1427407, and rs66650371 (**Fig. S1, Table S4**). There was no SNP with genome-wide significance associated with expression of *BCL11A* and *ZBTB7A* (**Figs. S2, S3; Tables S5, S6**). One SNP on chromosome 6 was associated with *MYB* expression, another on chromosome 6 was associated with *HBD* expression, and one on chromosome 12 was associated with *KLF1* expression (**Figs. S4, S5, S6; Tables S7, S8, S9**). Variants on chromosome 1, 2, 6, 7, 14, and 20 were significantly associated with *HBB* expression (**Fig. S7, Table S10**).

Association of the erythroid-specific BCL11A enhancer variant rs1427407 with whole blood gene expression

Linear regression analysis showed that the genotype of rs1427407 was significantly associated with *HBG1* and *HBG2* expression in whole blood (**Table 2**) after correction for multiple testing using FDR, p-values were 2.04E-06 and 3.63E-06 for *HBG1* and *HBG2*, respectively. This SNP was not a eQTL for any other gene expressed in peripheral blood.

ENS_ID	Gene Symbol	logFC	AveExpr	P.Value	adj.P.Val
ENSG00000213934.5	HBG1	0.502491942	-4.32E-06	8.51E-11	2.04E-06
ENSG00000196565.8	HBG2	0.507932715	-7.56E-06	3.03E-10	3.63E-06
ENSG00000187017.10	ESPN	0.307905604	-1.45E-07	1.80E-05	0.143668299
ENSG00000239405.1	TMED10P2	0.362195583	0.002468525	7.07E-05	0.423972382
ENSG0000010626.10	LRRC23	0.277170914	2.20E-07	9.30E-05	0.427249648
ENSG00000248309.1	MEF2C-AS1	0.320432287	-9.14E-08	0.000106933	0.427249648
ENSG00000160401.10	CFAP157	- 0.342869883	-8.98E-07	0.000164101	0.561998024
ENSG00000135414.5	GDF11	- 0.163176468	-3.51E-08	0.000286461	0.777799594
ENSG00000255318.1	-	- 0.358828046	0.000665361	0.000316391	0.777799594

Table 2. Effect of rs1427407 on whole blood gene expression. ENS_ID is ensemble gene ID, LogFC is log fold change, aveExpr is average expression, and adj.P.Val is FDR adjusted p-value.

HBG1 differential co-expression analysis

Twelve genes were differentially co-expressed with *HBG1* after FDR p-value adjustment (**Table S11**). The pathway enrichment analysis for the top100 co-expressed genes did not include any known pathway involved in HbF regulation among the top 10 enriched pathways. Of 452 upstream regulators that regulate the top100 *HBG1* co-expressed genes, 360 regulated *BCL2L1* (**Table S12**). *GATA1* and *KLF1* were also in top five statistically significant upstream regulators.

Correlation analysis between known and potential HbF regulators and HBG

The correlation between *HBG1* and *HBG2* normalized gene expression and the expression of *BCL11A*, *KLF1*, *MYB*, *ZBTB7A*, *SIRT1* and *BCL2L1* in the GTEx whole blood data set, showed that *BCL2L1* had correlation coefficients of 0.59 and 0.55 for *HBG1* and *HBG2*, respectively with a p value of 2.2E-16. (**Table 3**).

Regulators	HBG1 Correlation	P-value	HBG2 Correlation	P-value
BCL2L1	0.59	2.20E-16	0.55	2.20E-16
KLF1	0.47	2.20E-16	0.44	2.20E-16
MYB	0.27	2.94E-07	0.27	2.8E-07
BCL11A	0.15	0.003914	0.17	0.001694
SIRT1	-0.06	0.238	-0.06	0.29
ZBTB7A	-0.0045	0.9334	0. 067	0.212

Table 3. Pearson correlation between *HBG* and known or potential HbF regulators using RNA-seq from 338 whole blood samples from GTEx.

In primary human fetal liver proerythroblasts, *BCL2L1*, *KLF1*, and *SIRT1* were positively correlated with *HBG* expression with correlation coefficients of 0.93, 0.92, and 0.62, respectively; *BCL11A* and *MYB*

were negatively correlated with *HBG1* with correlation coefficients of -0.63, and -0.64, respectively; *ZBTB7A* was weakly correlated with *HBG1*, and the p-value for association was not significant (**Table 4**).

Regulators	HBG1 Correlation	P-value	HBG1 Correlation	P-value
BCL2L1	0.93	3.85E-07	0.93	3.85E-07
KLF1	0.92	9.24E-07	0.92	9.24E-07
MYB	-0.64	0.01	-0.63	0.01
BCL11A	-0.63	0.01	-0.63	0.01
SIRT1	0.62	0.01	0.62	0.01
ZBTB7A	-0.38	0.17	-0.38	0.16

Table 4. Pearson correlation between *HBG* and known or potential HbF regulators using RNA-seq primary human fetal liver proerythroblasts.

Discussion

Among eQTL significantly associated with HBG expression 21 were intergenic variants in HMIP on chromosome 6p. The functional 3-bp deletion (rs66650371) was one of the most significant eQTL in this MYB enhancer (Farrell et al. 2011; Stadhouders et al. 2014). The SNP rs66650371 was in strong LD with 20 other SNPs in chromosome 6p in Europeans, South and East Asians, and admixed Americans (**Table S13**). A long non-coding or lncRNA containing the site of rs66650371 was transcribed from this enhancer. Its downregulation in an erythroid cell line that expressed adult hemoglobin (HbA) was associated with a 200-fold increase in HBG expression and a 20-fold increase in HbF (Morrison et al. 2017). The eQTL at rs66650371 further supports the functional importance of this SNP. Five BCL11A SNPs in chromosome 2p were in very strong LD across populations (**Table S14**). The most significant, rs1427407, is a functional erythroid-specific enhancer variant that altered the expression of BCL11A (Bauer et al. 2013). Both rs1427407 and rs66650371 are trans-acting elements and had the same magnitude of effect on HBG1 and HBG2 (**Fig. 1C, 1D; Fig. 3**). These observations are consistent with our hypothesis that trans-acting elements affect expression of both γ -globin genes.

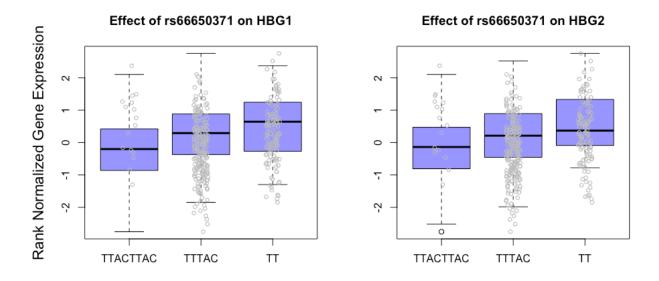


Figure 3. Effect of rs66650371 (6:135418632_TTAC/T) genotypes on *HBG1* and *HBG2* expression in 338 whole blood samples. P-values of genome-wide association are 6.49E-11 and 5.86E-09 for *HBG1* and *HBG2*, respectively.

The SNP rs10128556 in the pseudogene *HBBP1* on chromosome 11p was in strong LD with rs7482144 and was reported to have an effect independent of rs7482144 in African American sickle cell disease patients and be the likely functional variant modulating cis-acting HbF gene expression (Galarneau et al. 2010). However, rs7482144 is located within the *HBG2* promoter and highly linked to rs368698783 in *HBG1* promoter. The SNP rs368698783 together with rs7482144 are associated with reduced methylation in six CpG sites flanking the transcription start site of *HBG* (Chen et al. 2017). Moreover, rs7482144 alters a putative binding motif for ZBTB7A, a silencer of *HBG* expression (Masuda et al. 2016; Shaikho et al. 2016).

Strong evidence supporting differential regulation of *HBG* by cis- and trans-acting elements comes from clinical observations of patients with the Arab Indian (AI) and Senegal haplotypes of the sickle

hemoglobin (HbS) gene and from the reports of hereditary persistence of HbF (HPFH) caused by point mutations in HBG promoters. Patients with the AI and Senegal haplotypes, which are the only HBB haplotypes containing rs7482144, had increased levels of HbF that was predominantly of the $^{G}\gamma$ -globin type (Nagel et al. 1985; Ballas et al. 1991; Rahimi et al. 2015). Many mutations have been described in the promoters of HBG2 and HBG1 that cause the phenotype of HPFH. For each of these mutations, depending on the affected gene, either HBG2 or HBG1usually comprises more than 90% of total γ globin (Wood 2001). The T-C mutations at -175 and -173 in the promoter of HBG1 reactivated $^{A}\gamma$ -globin gene expression in adult erythrocytes of transgenic mice while altering the binding of GATA-1 and Oct-1 and (Liu et al. 2005). Moreover, a C-T polymorphism that is identical to rs7482144 but at position -158 relative to HBG1 affected HBG1 expression (Patrinos et al. 1998).

These results suggest that rs7482144 rather than rs10128556 is either the functional cis-acting element regulating *HBG2* expression or the best surrogate for this element. In addition, rs16912979 in HS-4 of the LCR is also solely associated with *HBG2* further supporting the effect of cis-acting elements on a single γ-globin gene (**Fig. 4, 5**). This SNP is a member of the 3-SNP T/A/T haplotype (rs16912979, rs7482144, rs10128556) that is exclusive to the AI haplotype and is associated with their high HbF (Vathipadiekal et al. 2016). The T allele of rs16912979 tags a predicted binding site for runt-related transcription factor 1 (RUNX1) in the palindromic region of 5′ HS-4. RUNX1 plays an important role in hematopoiesis and electromobility shift assays (EMSA) suggested an allele-specific binding of RUNX1 to 5′ HS-4 (Dehghani et al. 2016).

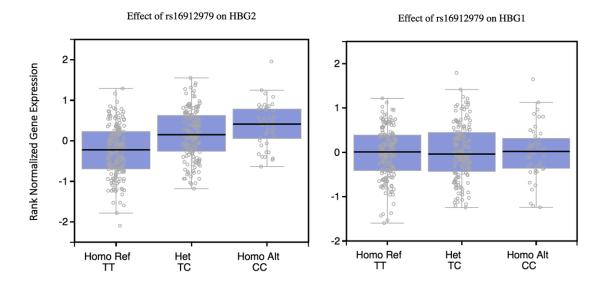


Figure 4. Effect of rs16912979 (11_5309695_T_C) on *HBG2* and *HBG1* expression in GTEx data set. p-values of genome wide significance are 7.0e-14 and 0.77, respectively. Het denotes heterozygous, and Homo, homozygous.

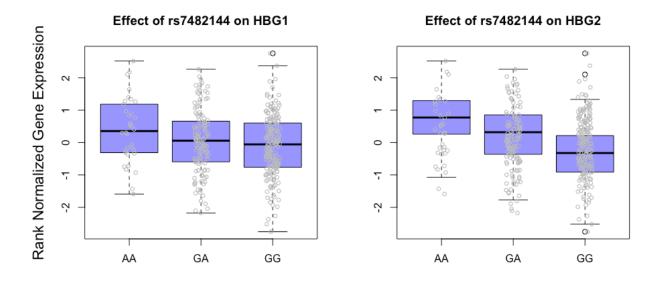


Figure 5. Effect of rs7482144 (11:5276169_G/A) genotypes on *HBG1* and *HBG2* expression in 338 whole blood samples. P-values of genome-wide association are 0.038593 and 9.49E-16 for *HBG1* and *HBG2*, respectively.

No common variants affected the expression of *BCL11A*. The *BCL11A* hypersensitive site variant rs1427407 is erythroid specific and in whole blood samples would impact *BCL11A* expression only in reticulocytes. However, *BCL11A* is expressed in leukocytes that are abundant in the blood. *BCL11A* expression in these nucleated cells with their greater transcriptional activity than reticulocytes would render an erythroid-specific signal impossible to detect. Our inability to find genes known to influence *HBG1* in the co-expression analysis and a lack of correlation of known HbF regulators with *HBG* in GTEx data despite a strong relationship in fetal liver proerythroblasts might have a similar explanation and illustrate the main limitation of using GTEx data where globin synthesizing reticulocytes were likely to be <1% of all red blood cells (Means RT 2009). Although few in number, reticulocytes are the only cells in the blood expressing globin genes, and RNA-seq provides sufficient data for most statistical analysis.

The unexpected correlation between BCL2L1 and HBG expression (r 0.58 & 0.55; p=2.30E-16) was validated in fetal proerythroblasts (r> 0.9). BCL2L1 is a member of bcl-2 gene family involved in antiapoptotic activities with an important role in erythropoiesis. Induction of bcl- x_L (BCL2L1) expression by erythropoietin and GATA1 was critical for the survival of late proerythroblasts and early normoblasts (Gregory et al. 1999). The upstream regulation analysis shows that BCL2L1 was regulated by 80 percent of the regulators that regulate the top 100 co-expressed genes. GATA1 and KLF13 are among these upstream regulators. These data and the correlation of BCL2L1 with HBG suggest a role for BCL2L1 in HbF regulation. Experimental validation to confirm the relationship between BCL2L1 and HBG and to pinpoint the mechanism through which BCL2L1 regulates HBG expression could lead to the discovery of potential new drug targets or molecules that can be used to induce HbF in sickle cell anemia and β thalassemia.

Cis- and trans-acting regulators have a differential effect on HBG1 and HBG2 expression. The transacting eQTLs of BCL11A and MYB enhancers affect expression of both γ -globin genes. In contrast, only a single γ -globin gene is affected by the cis-acting eQTL. HbF is the major modulator of the severity of both sickle cell anemia and β thalassemia and considerable effort is being made to develop methods to increase HBG expression for therapeutic purposes (Deng et al. 2014; Lettre and Bauer 2016). Although clinical observations suggest that an increase of HBG2 alone has some benefit (Ballas et al. 1991; Teixeira et al. 2003; Alsultan et al. 2014), modification of trans-acting elements that affect both HbF genes might have a greater effect on HbF levels and help force a more pancellular distribution that could be therapeutically important (Sankaran et al. 2011; Steinberg et al. 2014; Guda et al. 2015; Masuda et al. 2016).

Methods

Genome-wide eQTL association analysis

Whole blood normalized RNA-seq data, 1000 Genome imputed genotypes, and the covariates file of 338 donors were downloaded from the GTEx portal version 6. The covariates used in the original GTEx data analysis included 3 genome-wide genotype principal components (PCs), 35 Probabilistic Estimation of Expression Residuals (PEER) factors to be used as confounders, genotyping platform (Illumina HiSeq 2000 or HiSeq X), and sex (Consortium 2017). We performed genome-wide eQTL analysis using Efficient and Parallelizable Association Container Toolbox (EPACTS) (EPACTS 2017) and selected SNPs with minor allele frequency (MAF) \geq 0.01 and imputation quality score (R^2) \geq 0.4 to reduce the rate of false association. We used the first 3 PCs to adjust for population substructure. We also used sex and platform as covariates to remove any bias introduced by these two components. The 35 PEER factors were used to adjust for batch effects and experimental confounders. This standard set of covariates were used in the models to detect eQTLs for *HBG1*, *HBG2*, *BCL11A*, *KLF1*, *MYB*, *HBB*, and *HBD*. To detect any significant association after adjusting for the most significant SNPs on chromosomes 2, 6, and 11, we tested association of normalized expression of *HBG1* and *HBG2* conditioned on the genotypes of rs7482144, rs1427407, and rs66650371. Only SNPs that reached genome-wide significance (p-value \leq 5 \times 10⁻⁸) were considered statistically significant.

Preliminary studies

To reproduce the genetic association of known QTL variants with *HBG* expression using GTEx RNA-seq data from reticulocytes, three SNPs were selected based on their prior association with HbF levels in GWAS. We chose rs1427407 in the *BCL11A* erythroid-specific enhancer on chromosome 2p (Bauer et al. 2013), rs10128556, which is in high linkage disequilibrium (LD) with the Xmn1 restriction polymorphism (rs7482144) on chromosome 11p (Galarneau et al. 2010), and rs9399137 that is in perfect LD with the 3-base pair deletion (rs66650371) in the *HBS1L-MYB* intergenic polymorphism (HMIP) region on chromosome 6q (Farrell et al. 2011). SNPs in LD with rs66650371 and rs7482144 were chosen

because GTEx pre-calculated eQTL did not contain these SNPs. The GTEx eQTL calculation tool was used to validate these associations in 338 whole blood samples available in the GTEx portal. We sought to validate the effect of a *BCL11A* erythroid-specific-enhancer SNP on *HBG2* and *HBG1* to confirm that genotype can be used to predict phenotype and vice versa.

Association of the erythroid-specific BCL11A enhancer variant rs1427407 with whole blood gene expression

We extracted the genotype of rs1427407 form GTEx data set version 6 and used these data to predict the impact of this SNP on global gene expression. The same covariates were used in the eQTL analysis, and Linear Models for Microarray and RNA-seq Data (limma) were used to perform linear regression (Ritchie et al. 2015). We used false discovery rate (FDR) to correct for multiple hypothesis testing.

HBG1 differential co-expression analysis

Since HBG2 expression is significantly associated with the genotype of rs7482144, we used HBG1 expression as a marker of expression of both γ -globin genes. A gene expression matrix of normalized log expression of RNA-seq along with covariates including 35 PEER factors and sex from 338 whole blood samples were used as input for limma to perform differential analysis. We used FDR to correct for multiple testing. Using Ingenuity Pathways Analysis (QIAGEN Inc.,

https://www.qiagenbioinformatics.com/products/ingenuity-pathway-analysis/) we performed pathway analysis using the top100 co-expressed genes to see whether there was any enrichment in pathways involved in HbF regulation. In addition, we examined upstream regulators of the genes in the top100 HBG1 co-expressed gene list to identify HbF transcriptional regulators that can explain the observed gene expression changes.

Correlation analysis between known and potential HbF regulators and HBG expression

We performed Pearson correlation between *HBG* expression and the expression of *BCL11A*, *KLF1*, *MYB*, *ZBTB7A*, *SIRT1* and *BCL2L1* on 338 GTEx whole blood samples. These genes were selected because of their role or putative role as modulators of *HBG* expression, except for *BCL2L1* which was selected based on our *HBG1* co-expression and upstream regulators analysis (Jiang et al. 2006; Zhou et al. 2010; Masuda et al. 2016; Dai et al. 2017).

To replicate parts of our GTEx-based analysis, the same correlation analysis was done on data from erythroid samples acquired from Gene Expression Omnibus (GEO; accession number GSE59089) (Xu et al. 2015). These data contained RNA-seq derived from primary human fetal liver proerythroblasts studied at different developmental stages after shRNA-mediated knockdown of Polycomb Repressive Complex 2 (PRC2) core subunits.

Data Access

Whole blood normalized RNA-seq data, 1000 Genome imputed genotypes, and the covariates file of 338 donors were downloaded from the GTEx portal version 6.

RNA-seq data from 15 erythroid samples were acquired from Gene Expression Omnibus (GEO; accession number GSE59089).

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