

De novo assembly, characterization, functional annotation and expression patterns of the black tiger shrimp (*Penaeus monodon*) transcriptome

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Declarations

Availability of data and material

Raw data, assembly and bioinformatics scripts will be made freely available online upon publication.

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Authors' contributions

RH: conceptualised, developed and oversaw the project, performed sampling and carried out RNA extractions, developed and performed the transcriptome assembly, quality assessment and differential gene analysis, and wrote the manuscript. NMW: conceptualised and developed the project, performed sampling, led components of data analysis and interpretation and wrote the manuscript. LG: developed the transcriptome assembly bioinformatics pipeline. JDM: carried out the lncRNA analysis and assisted with the transcriptome assembly bioinformatics pipeline. JG: carried out sampling and RNA extractions, and assisted with development of the transcriptome assembly bioinformatics pipeline, and reviewed the manuscript. SM: assisted with the bioinformatic analysis of the differential gene expression data and reviewed manuscript. MT: oversaw the library preparation and sequencing, and reviewed the manuscript. KS: conceptualised and developed the project and reviewed manuscript. EG: reared and sampled the larval stages. DD: conceptualised and developed the project. Coordinated facilities and resources for larval and adult prawn production and reviewed manuscript. MS: provided prawn tissue samples, conceptualised and developed the project, assisted with data interpretation and reviewed manuscript. JC: assisted with the interpretation and writing of the viral analysis, and edited manuscript. KC: assisted with the interpretation and writing of the viral analysis, and reviewed manuscript. GC: conceptualised and developed the project and reviewed manuscript. MK: conceptualised and developed the project and reviewed manuscript. HR: conceptualised and developed the project and reviewed manuscript. GM: conceptualised and developed the project, performed sampling, provided advice on sequencing strategies and data interpretation and reviewed manuscript. KRZ: conceptualised and developed the project and reviewed manuscript. DRJ: conceptualised and developed the project, oversaw coordination of project activities and reviewed manuscript

All authors read and approved the final manuscript.

1 **Abstract**

2 The black tiger shrimp (*Penaeus monodon*) remains the second most widely cultured
3 shrimp species globally. However, issues with disease and domestication have seen
4 production levels stagnate over the past two decades. To help identify innovative
5 solutions needed to resolve bottlenecks hampering the culture of this species, it is
6 important to generate genetic and genomic resources. Towards this aim, we have
7 produced the most complete publicly available *P. monodon* transcriptome database
8 to date. The assembly was carried out in multiple assemblers using 2x125 bp HiSeq
9 data from PolyA selected, ribo-depleted RNA extracted from nine adult tissues and
10 eight early life-history stages. In total, approximately 700 million high-quality
11 sequence reads were obtained and assembled into 236,388 clusters. These were
12 then further segregated into 99,203 adult tissue specific clusters, and 58,678 early
13 life-history stage specific clusters. The final transcriptome had a high TransRate
14 score of 0.37, with 88% of all reads successfully mapping back to the transcriptome.
15 BUSCO statistics showed the assembly to be highly complete with low
16 fragmentation, few genes missing, but higher redundancy or transcript duplication
17 (Complete: 98.2% (Duplicated: 51.3%), Fragmented: 0.8%, Missing: 1.0%), and to
18 greatly exceed the completeness of existing *P. monodon* transcriptomes. While
19 annotation rates were low (approximately 30%), as is typical for a non-model
20 organisms, annotated transcript clusters were successfully mapped to several
21 hundred functional KEGG pathways. To help address the lack of annotation,
22 transcripts were clustered into groups within tissues and early life-history stages,
23 providing initial evidence for their roles in specific tissue functions, or developmental
24 transitions. Additionally, transcripts of shrimp viruses previously not known to occur
25 in Australia were also discovered. We expect the transcriptome to provide an
26 essential resource to investigate the molecular basis of commercially relevant-
27 significant traits in *P. monodon* and other shrimp species.

28

29 **Introduction**

30 The black tiger shrimp *Penaeus monodon* belongs to the family Penaeidae and is
31 the second most widely farmed shrimp species globally¹. However, disease and
32 limited progress in domestication and selective breeding of *P. monodon* continue to
33 hamper further expansion of the industry². Modern genomic technologies have
34 significant potential to advance selective breeding programs; however, they require
35 complete, well annotated tissue-specific transcriptomic and genomic datasets. In
36 addition to assisting in genome assembly and creating linkage maps³, a complete
37 transcriptome provides a potential resource for differential gene-expression
38 studies⁴), genome annotation⁵, single nucleotide polymorphism discovery⁶ and
39 genome scaffolding⁷.

40 While genomic resources for Penaeid shrimp are increasing, they remain limited for
41 many species, including *P. monodon*. Previous research has focussed on
42 hepatopancreas, ovary, heart, muscle and eyestalk tissues^{8,9}, in male and female
43 gonads¹⁰, and in response to infection with *Vibrio* bacterial species capable of
44 inducing acute hepatopancreatic necrosis disease¹¹. In addition to such differential
45 gene-expression studies, genomic data from next generation sequencing (NGS)
46 methods has expanded greatly in recent years, particularly in the study of Pacific
47 white shrimp (*Litopenaeus vannamei*)^{3,6,12-23}. Moreover, a transcriptome based on
48 eight tissues was assembled for the less well studied banana shrimp
49 *Fenneropenaeus merguensis*²⁴, and genes involved in early embryonic specification
50 have been studied in *Marsupenaeus japonicus*²⁵. Transcriptomics has also been
51 applied to *Penaeus merguensis*²⁶⁻²⁸ and the Chinese white shrimp *Fenneropenaeus*
52 *chinensis*^{29,30} to investigate aspects of tissue-specific expression, stress tolerance
53 and viral infection. Despite these advances, a comprehensive transcriptome from
54 diverse tissue types and early life-history stages of *P. monodon* remains unavailable.

55 In order to address this deficiency, we report a highly complete transcriptome for *P.*
56 *monodon* that can be used as a broad basis for future genomics research. To this
57 effect, we sequenced three replicates each from nine different tissues types
58 (eyestalk, stomach, female gonad, male gonad, gill, haemolymph, hepatopancreas,
59 lymphoid organ and tail muscle) and one pooled replicate each from four larval
60 stages (embryo, nauplii, zoea, and mysis) and four post-larval stages ranging from
61 days 1, 4, 10 and 15. Additionally, transcript expression profiles unique to each type
62 and stage were determined, as well as identifying putative long non-coding RNA and
63 transcripts originating from viruses.

64

65 **Material and Methods**

66 ***Sample taking and RNA extraction***

67 Tissues of *P. monodon* broodstock were collected from multiple individuals,
68 immediately snap frozen on dry ice, and stored at -80°C until extraction (Table 1). All
69 tissues except lymphoid organs were collected from wild broodstock caught off
70 coastal waters near the border between the Northern Territory and Western Australia
71 provided, which were provided by a commercial hatchery at Flying Fish Point, North
72 Queensland, Australia. Lymphoid organ tissue was collected from wild prawns
73 caught off the East Coast of Queensland. Larval and post-larval stages were
74 collected from the same hatchery in pools of approximately 400 individuals per life
75 stage, after four hours of starvation, and preserved in RNAlater (Thermo Fisher
76 Scientific). All tissues and early life-history stages were sub-sampled in an RNase-
77 free laboratory and total RNA was extracted using an RNeasy Universal extraction
78 kit (QIAGEN) following manufacturer's instructions. RNA quantity and quality was
79 estimated using a Nanodrop UV spectrophotometer (Thermo Fisher Scientific), and
80 purity was further assessed using an Agilent Bioanalyzer (Agilent Technologies).
81 RNA was selected from individual sample replicates based on Nanodrop spectra,
82 RNA concentration, and Agilent Bioanalyzer traces (Table 1), in preference to using
83 comparative tissues from the same individuals.

84 ***Illumina library preparation and sequencing***

85 Library preparation and sequencing was carried out at the Australian Genome
86 Research Facility (AGRF). Upon arrival at the sequencing facility, the quality of the
87 samples was checked using a Bioanalyzer RNA 6000 nano reagent kit (Agilent) and
88 libraries were prepared using the TruSeq Stranded mRNA Library Preparation Kit
89 (Illumina) according to established protocols. Final libraries were again checked
90 using TapeStation DNA 1000 TapeScreen Assay (Agilent). Cluster generation was
91 performed on a cBot with HiSeq PE Cluster Kit v4 - cBot and sequencing was done
92 on a HiSeq 2500 using a HiSeq SBS Kit. The HiSeq 2500 was operating with HiSeq
93 Control Software v2.2.68 and base-calling was performed with RTA v1.18.66.3.
94 Samples in the second sequencing run were pooled and split across two lanes to
95 reduce sequencing bias (Table 1).

96

97 **Table 1 | List of shrimp tissue types and early life-history stages**
 98 **used for transcriptome sequencing.** PL = post-larval stages 1
 99 (PL1), 4 (PL4), 10 (PL10), 15 (PL15)

Shrimp ID	Sex	Tissue	Number of paired-end reads
PM_F_08	Female	Eyestalk	18,984,152
		Gill	19,971,115
PM_F_02	Female	Hepatopancreas	18,831,682
		Female Gonad	21,338,933
		Haemolymph	20,105,399
		Muscle	20,361,299
PM_F_04	Female	Stomach	13,470,106
		Female Gonad	20,255,448
		Gill	21,362,076
		Haemolymph	20,247,206
PM_F_03	Female	Stomach	21,461,589
		Female Gonad	20,759,890
PM_M_02	Male	Female Gonad	20,759,890
		Eyestalk	21,076,111
		Hepatopancreas	19,029,973
		Male Gonad	20,669,419
PM_M_04	Male	Muscle	20,129,858
		Eyestalk	22,250,295
		Gill	20,396,956
		Haemolymph	21,637,767
		Hepatopancreas	20,854,492
		Male Gonad	20,600,256
PM_M_06	Male	Muscle	22,464,431
		Stomach	16,444,377
		Male Gonad	19,800,274
		Lymphoid Organ	19,873,753
PM_M_C2	Male	Lymphoid Organ	20,480,178
PM_M_C3	Male	Lymphoid Organ	20,372,862
PM_F_C1	Female	Lymphoid Organ	20,372,862
Pool_E		Embryo	19,745,313
Pool_N		Nauplii	18,310,089
Pool_Z		Zoea	19,528,689
Pool_M		Mysis	19,744,563
Pool_PL1		PL1	19,815,103
Pool_PL4		PL4	18,680,555
Pool_PL10		PL10	18,773,667
Pool_PL15		PL15	19,661,826

101 ***Sequence quality control, assembly and annotation***

102 Raw sequence data was quality checked using FastQC³¹ v0.11.5, and assembled
103 loosely following the Oyster River Protocol for Transcriptome Assembly³². In brief, all
104 sequences were collectively error-corrected using RCorrector³³ V3. Samples were
105 then assembled in Trinity³⁴ V2.3.2; grouped by individual shrimps, i.e. all tissues
106 from a specific shrimp were assembled together. Reads were trimmed harshly for
107 adapters and softly for Phred score <2 using Trimmomatic³⁵ V0.32; and then
108 normalized *in silico* within Trinity. The normalized forward and reverse reads
109 produced by Trinity were then used in BinPacker³⁶ V1.0, IDBA-Tran³⁷ V 1.1.1 using
110 K20, K30, K40, K50 and K60; and Bridger³⁸ version 2014-12-01. All resulting
111 transcriptomes were concatenated and merged using Evidential Gene³⁹, followed by
112 clustering using Transfuse V0.5.0 (<https://github.com/cbournnell/transfuse>) using a
113 similarity value of 0.98. Lastly, contigs <300 bp were removed to produce the final
114 transcriptome. The quality of the final assembly was assessed using TransRate⁴⁰
115 V1.0.1, and BUSCO⁴¹ V2 using the arthropoda_odb9 database⁴². Sequences were
116 annotated in Blast2Go⁴³ using the SWISS-PROT database⁴⁴ (accessed 17/03/2017),
117 and separately using the arthropod and viral subsections of the GenBank nr
118 database (accessed 06/06/2017).

119 ***Identification of long non-coding RNAs***

120 FEELnc⁴⁵ was used for the identification of long non-coding RNAs. The coding
121 transcripts training set was constructed from the 1,047 complete universal single
122 copy orthologous genes found with BUSCO v2.0 (database arthropoda_odb9⁴²). The
123 mode “shuffle” was used to generate a training set of lncRNA from the debris of the
124 known coding RNA transcripts.

125 ***Mapping and differential gene expression analysis***

126 Before mapping, error-corrected raw sequence reads were trimmed using the same
127 parameters as before, but without palindrome trimming used by Trinity. Sequence
128 reads were mapped using Bowtie2⁴⁶ V2.2.8, and read counts were calculated using
129 Corset⁴⁷ V1.0.6. Differential gene expression was analyzed using DESeq2⁴⁸ V1.16.1
130 in RStudio⁴⁹ V3.4.1.

131 To reduce the number of sequences for KEGG analysis, the longest contig per
132 cluster was chosen from the combined tissue type and early life-history stage data.
133 The KEGG Automatic Annotation Server (KAAS, <http://www.genome.jp/tools/kaas/>)
134 was used to generate KEGG pathway maps for each contig using BLAST with the
135 single-directional best hit (SBH) method. All scripts will be deposited on GitHub upon
136 acceptance.

137

138 **Statistical analyses**

139 For data analysis, the top 2,000 variably expressed genes across the nine tissue
140 types and the top 500 variably expressed genes across the four larval and four post-
141 larval stages were visualized in a principal component analysis and heatmap using
142 variance-stabilizing transformed read-count data from DESeq2. The gene level
143 dendrograms in the heatmap were created using Pearson's correlation for both the
144 tissue type larval/post-larval stages. Euclidean distance was used to cluster tissue
145 types. All statistical analyses were performed in RStudio. Detailed information on the
146 analyses can be found on GitHub upon acceptance.

147

148 **Results**

149 **Sequence read data and code availability**

150 In total, nine tissues were sequenced in biological triplicates, as well as pools of
151 eight early life-history stages, resulting in an average of $19.9 \text{ M} \pm 1.6 \text{ M}$ (mean \pm SD)
152 read pairs per sample and 697 M reads in total (Table 1). After quality trimming,
153 $99.5\% \pm 0.6\%$ (mean \pm SD) of reads were retained, indicating a high quality data set
154 ($>90\%$ reads with $\geq Q30$). All read data are available on GenBank through the project
155 ID PRJNA421400.

156 **Transcriptome assembly and quality control**

157 The initial combined outputs of all four assemblers comprised of 6,113,055 contigs,
158 which were reduced to 462,772 contigs after filtering with Evidential Gene and
159 combining both "okay" and "alternative" contigs. After clustering with Transfuse, the
160 final assembly consisted of 236,388 transcripts with an assembly size of 226 Mb.
161 These, together with transcript annotations, are available on GenBank. The final
162 transcriptome had a high TransRate score of 0.37, with 88% of all reads successfully
163 mapping back to the transcriptome, and only 3.2% of bases being uncovered. Based
164 on BUSCO, the transcriptome was highly complete with 98% of arthropod ortholog
165 genes being present, and few fragmented or missing genes; however, 51% of the
166 contigs were duplicated/redundant
167 (C:98.2%[S:46.9%,D:51.3%],F:0.8%,M:1.0%,n:1066).

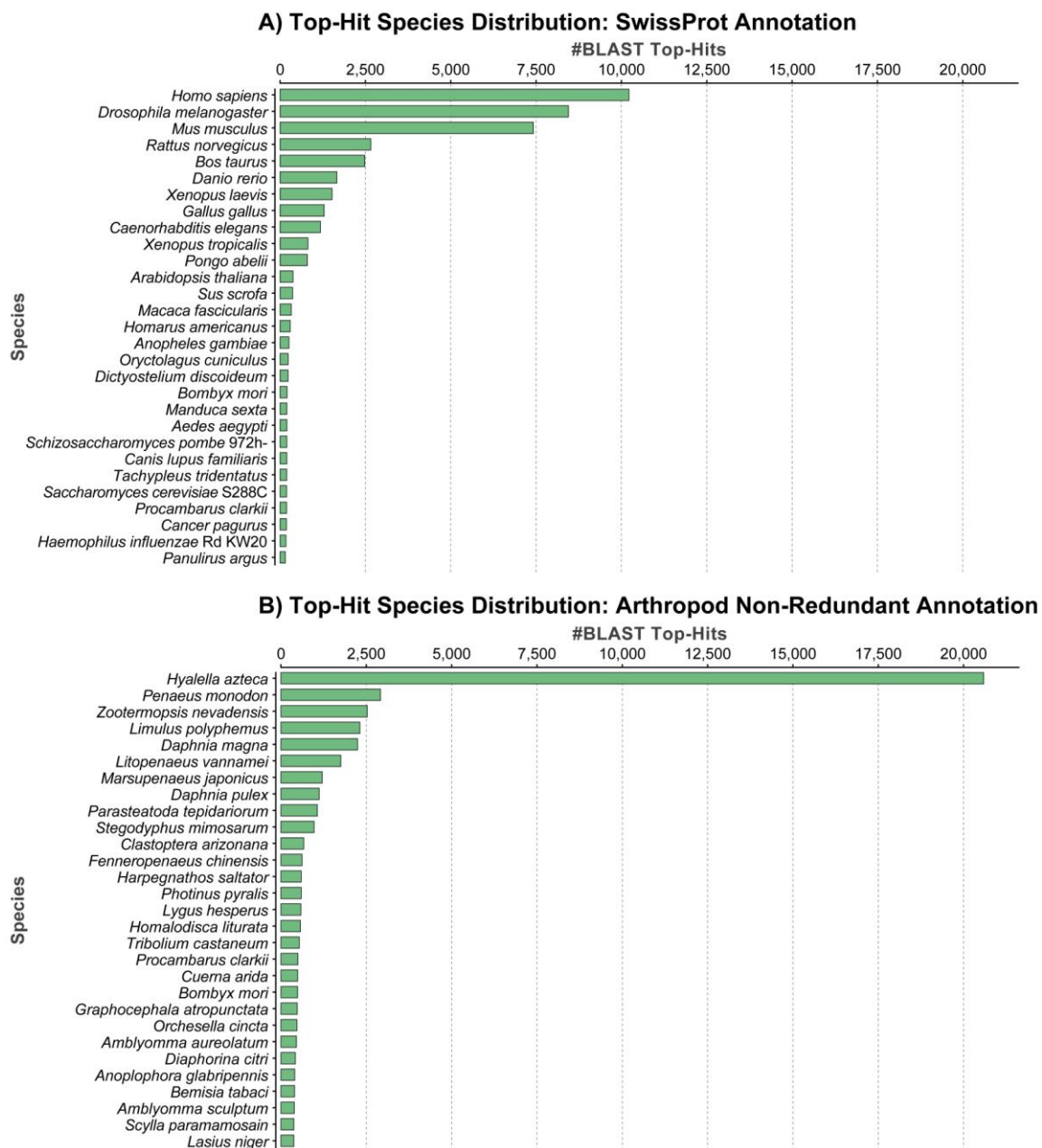
168 **Annotation and gene ontology mapping**

169 Annotation against the SwissProt database using BLASTx resulted in 47,871
170 successfully annotated contigs. Of these, 46,977 were successfully GO mapped, of
171 which 41,069 were completely annotated. The top-hit species distribution was
172 dominated by *Homo sapiens* with over 10,000 hits, followed by *Drosophila*
173 *melanogaster* with just over 8,000 hits; no shrimp species made it into the list

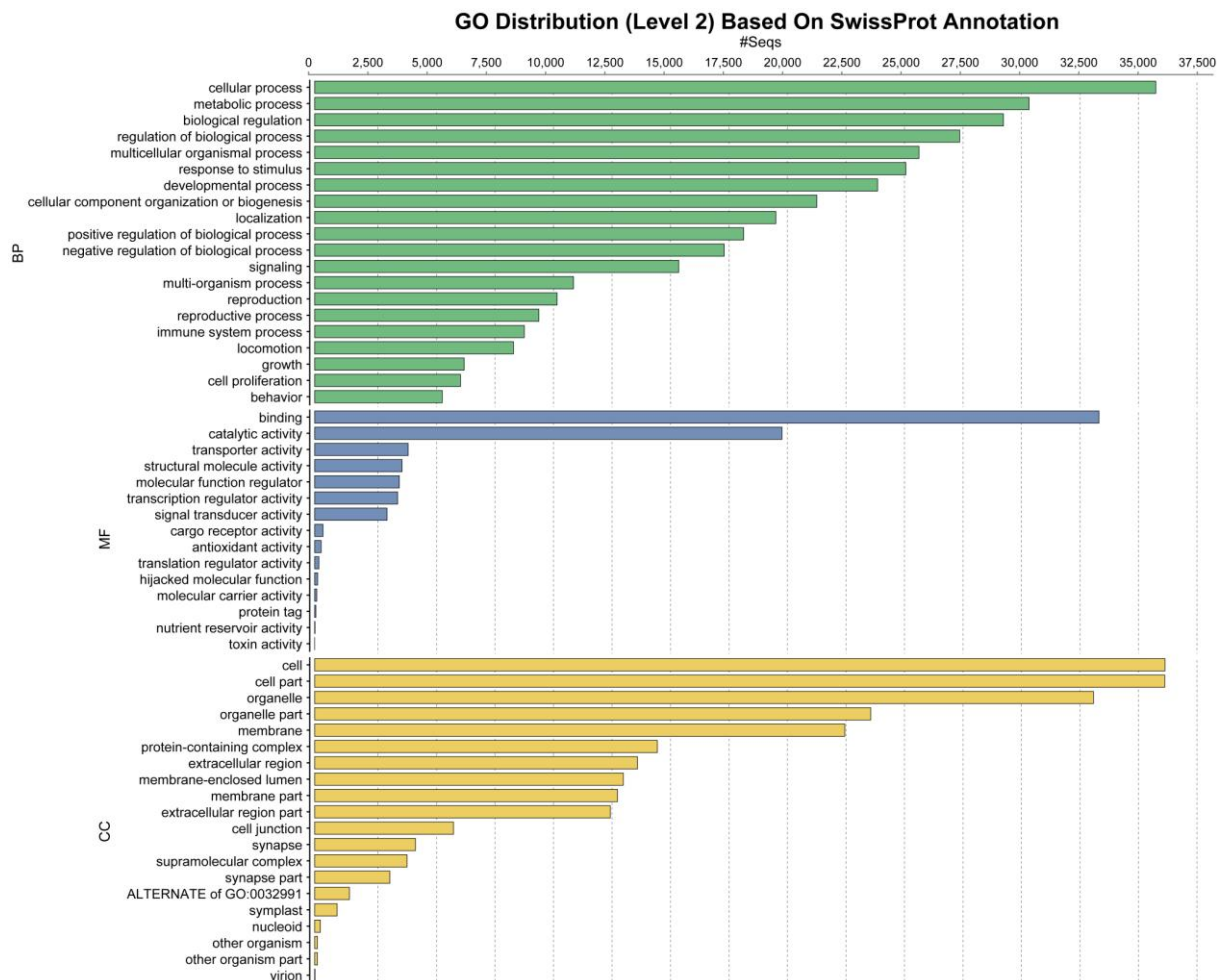
174 (Fig. 1). GO terms for biological processes, molecular function and cellular
 175 components were all highly represented in annotated genes (Fig. 2).

176 The annotation against the non-redundant Arthropod (nrA) database using BLASTx
 177 resulted in 62,679 successfully annotated contigs, of which 48,456 had a successful
 178 GO mapping, and of which 25,201 were completely annotated. The top-hit species
 179 distribution was dominated by the freshwater amphipod *Hyaella azteca* with over
 180 20,000 hits, followed by *P. monodon* with just over 2,500 hits (Fig. 1). Other penaeid
 181 shrimp species included *Litopenaeus vannamei*, *Marsupenaeus japonicus* and
 182 *Fenneropenaeus chinensis*, which were the sixth, seventh and twelfth most highly
 183 represented species respectively.

184 Detailed information on the annotations can be found in Supplementary Table 1.



185 **Figure 1 | Species distribution of successfully annotated sequences across the top 29 species**
 186 **using the SwissProt (A) and arthropod subsection of the non-redundant (B) database.**
 187



188
189 **Figure 2 | Distribution of sequence annotations based on the SWISS-PROT database across**
190 **the top 20 GO terms at level 2.** Determined across the entire dataset for Biological Process (BP,
191 green), Molecular Function (MF, blue), and Cellular Component (CC, yellow).

192

193 **Sequence read mapping and differential gene expression analysis**

194 Using Bowtie2, 67.4% ± 4.8% (mean ± SD) of the paired reads successfully mapped
195 to the transcriptome. Using corset for read counting and additional clustering, the
196 initial 236,388 contigs were placed into 99,203 transcript clusters for the nine tissue
197 types and 58,678 transcript clusters for the eight early life-history stages (larval and
198 post-larval stage). A total of 176,966 contigs were used in the clustering of tissues
199 and larvae, with 113,435 shared contigs, 8,188 contigs unique to larvae and 55,343
200 contigs unique to adult tissues.

201 Different tissue types expressed between 9,939 and 12,255 transcript clusters
202 (defined as > 50 normalized read counts per cluster), and between 17 and 316
203 unique sets of transcript clusters (defined as a cluster with > 10 normalized read
204 counts and < 10 normalized read counts in all other tissue types) (Table 3). The
205 ability to annotate transcript clusters varied across tissue types (63.0% to 85.9%). In
206 terms of unique tissue specific transcript clusters, hepatopancreas contained the
207 largest number (316), followed by female gonad (161) and gill (153). Annotation

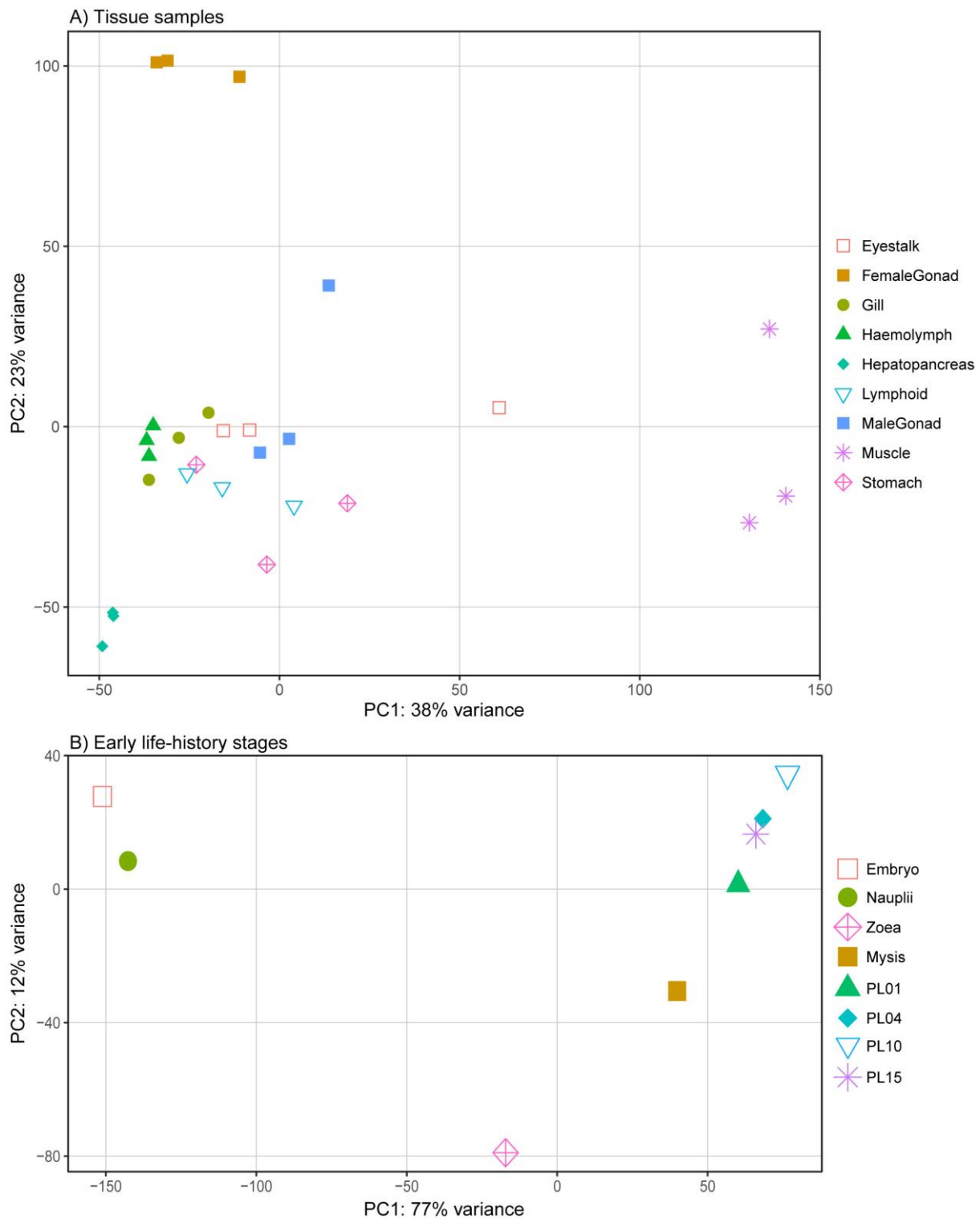
208 rates of these unique tissue-specific clusters were markedly lower (12.5% to 66.8%)
 209 than with clusters shared across all tissue types (82.5% and 85.9%)

210 **Table 3 | Numbers of transcript clusters and cluster annotation rates across**
 211 **transcriptomes determined for the nine adult *P. monodon* tissue types analysed.** Total
 212 numbers of expressed clusters (>50 normalized read counts), uniquely expressed clusters
 213 (normalized read count of >10 in a specific tissue, while having <10 read counts in all other
 214 tissues) and constitutively expressed (> 50 normalized read counts in all) clusters within all
 215 tissues in this study, and their relative annotation statistics. Numbers represent clusters
 216 across all three respective tissue replicates. SP = SWISS-PROT database, nrA = non-
 217 redundant Arthropod database.

Tissue type	Total expressed clusters		Uniquely expressed clusters	
	Number	% Annotated (SP/nrA)	Number	% Annotated (SP/nrA)
Eyestalk	11,173	67.3 / 72.8	31	29.0 / 48.4
Female Gonad	9,941	74.3 / 79.7	161	37.3 / 45.3
Gill	12,255	63.7 / 69.8	153	30.7 / 39.2
Haemolymph	10,577	66.1 / 71.4	17	23.5 / 29.4
Hepatopancreas	12,169	67.7 / 73.9	316	49.7 / 66.8
Lymphoid Organ	11,923	63.0 / 68.5	24	54.2 / 66.7
Male Gonad	10,387	71.9 / 77.5	71	32.4 / 42.3
Muscle	11,405	66.9 / 72.4	77	33.8 / 48.1
Stomach	9,939	68.6 / 73.7	24	12.5 / 33.3
Constitutive	4,300	82.5 / 85.9	-	-

218

219 A principal component analysis (PCA) of the top 1,000 differentially expressed
 220 transcripts across the nine adult tissue types showed strong clustering for most
 221 tissue replicates, with the exception of stomach and eyestalk (Fig. 3A).
 222 Haemolymph, female gonad and muscle formed distinct clusters separated from
 223 other tissues, while eyestalk, gill, haemolymph, lymphoid organ, male gonad and
 224 stomach tissues were much more closely associated and showed less distinct
 225 clustering (Fig. 3A). A PCA of the top 500 differentially expressed transcripts across
 226 the eight early life-history stages showed a strong separation within PC1, with
 227 embryo and nauplii segregating substantially from the other early life-history larval
 228 stages (Fig. 3B). PC1 explained an extraordinary 77% of the variance in transcript
 229 clusters expressed across the different discrete larval stages, which appears to be
 230 strongly associated with larval development leading from embryo to post-larval
 231 stages.



232 **Figure 3 | Principal component analysis showing the top most highly differentially expressed**
 233 **transcripts of A) nine tissue types (top 1,000) and B) eight early life-history stages (top 500).**
 234 PC = principal component, PL = post-larvae
 235

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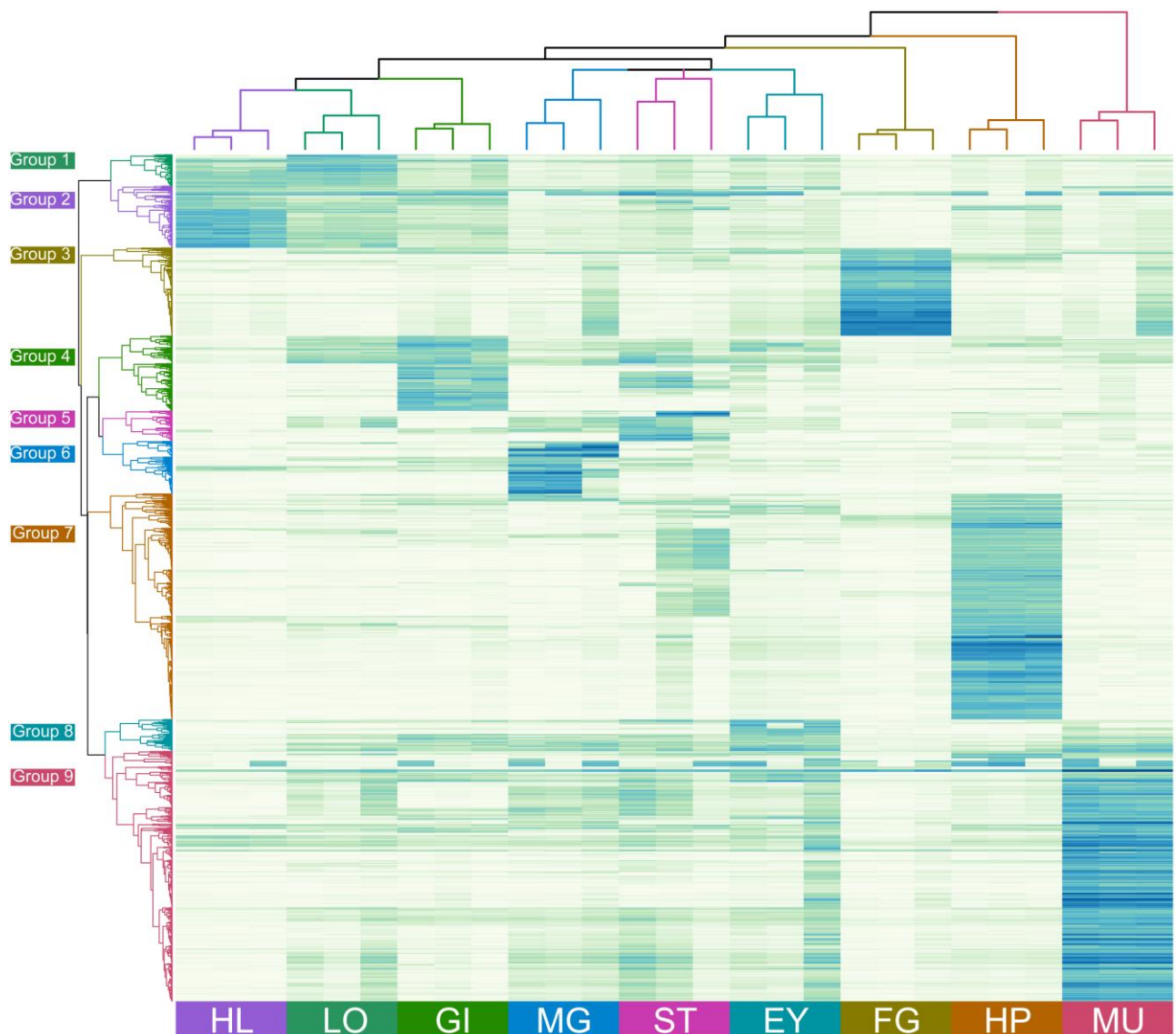
237 The top 2,000 most variably expressed transcript clusters across all nine tissue types
 238 clustered into nine distinct groups using Pearson's correlation (Fig. 4). These groups
 239 aligned broadly with expression patterns identified to be unique to each tissues type.
 240 For example, group two comprised 208 clusters highly expressed in female gonad,
 241 which were mostly successfully annotated (81.8%) using the nrA database.

242 Annotated transcripts included farnesoic acid O-methyltransferase (FAmET),
 243 phosphoenolpyruvate carboxykinase (PEPCK), glutathione peroxidase (GPx) and
 244 nasrat. Transcripts in each cluster and their annotation are detailed in
 245 Supplementary Table 2. Group four consisted of clusters expressed mainly in male
 246 gonad that were annotated relatively poorly (38.7%) with many (35.5%) not
 247 expressed in the early life-history stages (Table 4). Group nine was the largest and
 248 comprised 591 clusters that were mostly annotated (86.0%) and expressed
 249 predominantly in muscle tissue. Group seven consisted of 533 clusters that were
 250 also mostly annotated (85.7%) and expressed predominantly in hepatopancreatic
 251 tissue. Except for male gonad, most clusters expressed in adult tissue types were
 252 also expressed in the early life-history stages.

253 **Table 4 | Groupings of the top 2,000 highly variably expressed transcript clusters**
 254 **among all nine adult tissue types based on Pearson's correlation.** This includes
 255 annotation success and tissue type where each group was predominantly expressed, and the
 256 percent of clusters in each group found in adult tissue types but not in the larval stages
 257 examined.

Groups	Predominant tissue type expression site	Number of clusters	% Annotated (SP/nrA)	% in adult but not larval tissues
1	Lymphoid Organ	81	64.2% / 76.5%	0.0%
2	Haemolymph	139	63.3% / 84.9%	1.4%
3	Female Gonad	208	55.3% / 81.7%	6.7%
4	Gill	177	53.1% / 66.1%	3.4%
5	Stomach	72	62.5% / 68.1%	8.3%
6	Male Gonad	124	29.0% / 38.7%	35.5%
7	Hepatopancreas	533	66.6% / 85.7%	0.8%
8	Eyestalk	75	66.7% / 73.3%	1.3%
9	Muscle	591	75.1% / 86.0%	1.5%
all	-	2000	64.0% / 84.6%	4.3%

258 SP = SWISS-PROT database, nrA = non-redundant Arthropod database



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Figure 4 | Heatmap and hierarchical grouping of the top 2,000 differentially expressed genes in the nine different tissue types. Gene expression patterns (rows) were grouped into nine expression groups based on Pearson's correlation and the three replicates of each tissue type (columns) into nine tissue groups based on Euclidean distance. EY – eyestalk; FG – female gonad; GI – gill; HL – hemolymph; HP – hepatopancreas; LO – lymphoid organ; MG – male gonad; MU – muscle; ST – stomach.

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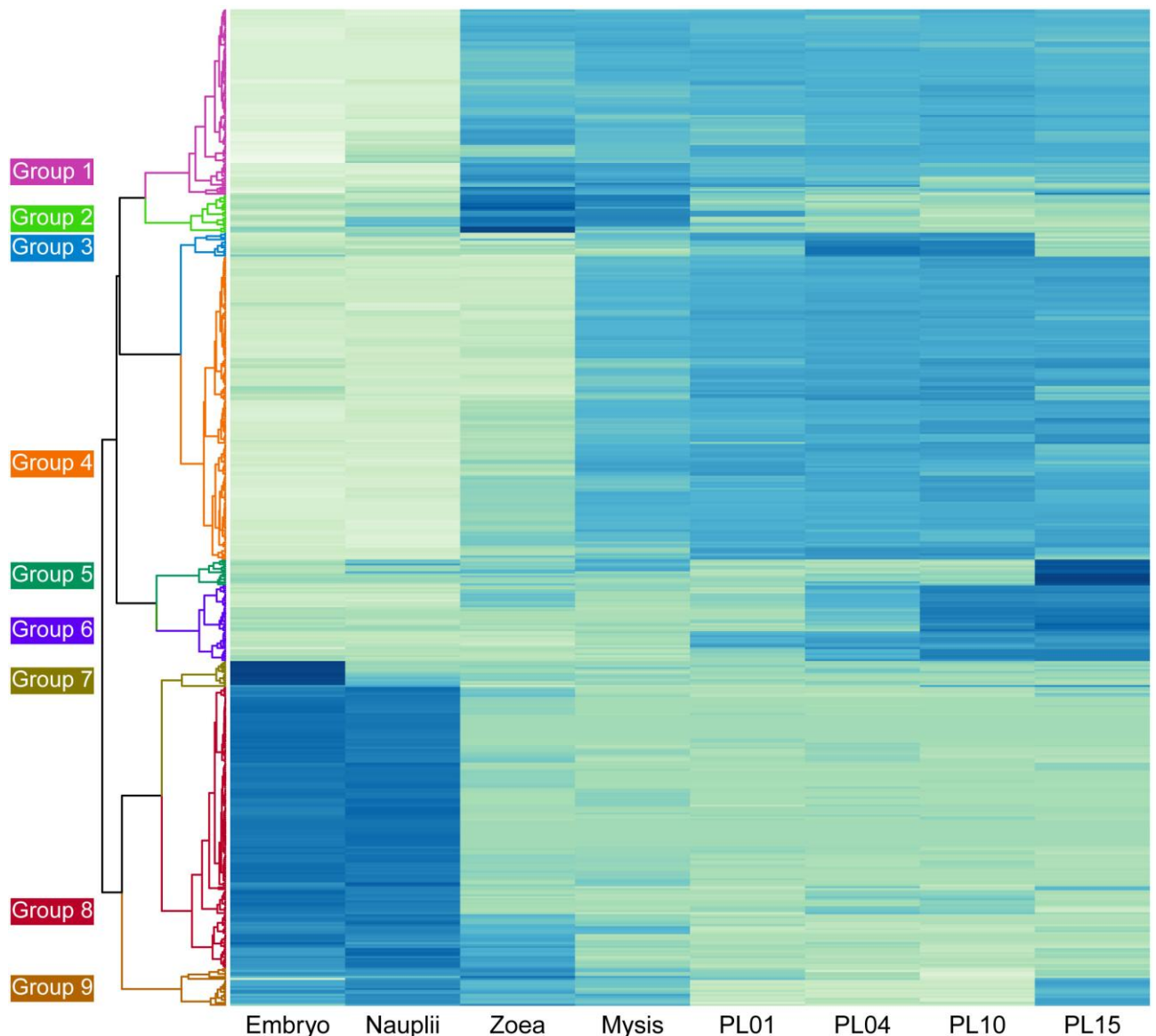
267 The same top 500 most variably expressed transcript clusters in the different larval
268 and post-larval stages used for the PCA broadly clustered into nine distinct groups
269 based on Pearson's correlation (Fig. 5). Irrespective of the annotation success, the
270 analysis identified transcript clusters that shared similar expression patterns across
271 developmental stages. Embryos and nauplii expressed a set of genes that were not
272 expressed during any other developmental stage (groups 7 and 8). Of the 140 genes
273 expressed exclusively within the embryo and nauplii stages (group 8), only 24.3%
274 and 37.1%, respectively, were annotated successfully using the SWISS-PROT or
275 nrA databases (Table 5). Of the transcript clusters that were annotated, 13 encoded
276 orthologs of the neurotrophic factor *spatzle* and another 13 encoded orthologs of

277 cuticular proteins. Transcripts in each cluster and their annotation are detailed in
 278 Supplementary Table 3. Two large clusters of genes were expressed from zoea
 279 throughout each subsequent stage (group 1), or from mysis throughout each
 280 subsequent stage (group 4). A high percentage (61.2% and 83.1%) of transcripts in
 281 these two clusters was annotated. Since each larval stage was sequenced as a pool
 282 of individuals, differential gene expression (DGE) analysis could not be performed.

283 **Table 5 | Groupings of the top 500 highly variably expressed transcript clusters among the**
 284 **four larval and four post-larval stages based on Pearson's correlation.** This includes annotation
 285 success, stages in which transcript groups were predominantly expressed and the percent of clusters
 286 in each group found in larval stages, but not in the adult tissue types examined.

Groups	Stage(s) with predominant expression	Number of clusters	% Annotated (SP/nrA)	% unique to larvae
1	Mid larval to PL (Z, M, PL01, PL04, PL10, PL15)	77	75.3 / 83.1	9.1
2	Mid Larval (Z, M)	35	42.9 / 68.6	62.9
3	Mid PL (PL4, PL10)	12	0.0 / 25.0	33.3
4	Late larval to PL (M, PL1, PL4, PL10, PL15)	152	61.2 / 69.7	18.4
5	PL15	13	69.2 / 92.3	76.9
6	Late PL (PL4, PL10, PL15)	38	84.2 / 84.2	10.5
7	Embryo (E)	12	0.0 / 16.7	58.3
8	Early larval (E, N)	140	24.3 / 37.1	85.0
9	Larval (E, N, Z, M, PL15)	21	33.3 / 61.9	38.1
Total		500	49.6 / 61.6	50.4

287 SP = SWISS-PROT database, nrA = non-redundant Arthropod database, E = embryo, N = nauplii, Z =
 288 zoea, M = mysis, PL = post larvae (day)



289
290 **Fig. 5 | Heatmap and hierarchical grouping of the top 500 differentially expressed genes in the**
291 **eight larval and post-larval stages examined.** Gene expression patterns in each larval/post-larval
292 stage (row) were grouped into nine expression groups based on Pearson's correlation.

293

294 ***Identification of long non-coding RNAs***

295 We used the set of 1,047 complete USCOs as the training set for classification of
296 coding and non-coding transcripts. It was determined that a coding potential of
297 0.2642 was the appropriate threshold to balance classification specificity and
298 sensitivity. In total 79,656 transcripts were classified as lncRNAs and the remaining
299 154,893 transcripts were classified as mRNAs.

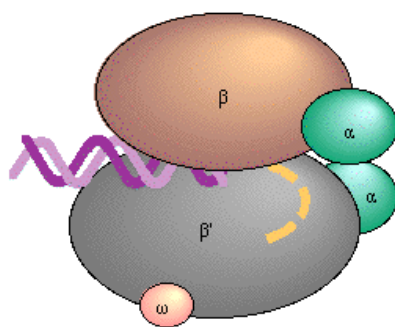
300 Comparing the lncRNA annotation with the BLASTx annotation, out of the 236,388
301 contigs 67,960 were uniquely identified as lncRNA, while 13,535 contigs were
302 annotated both as mRNA and lncRNA. At a cluster level, 12,079 out of 58,768 larval
303 clusters (22.6%) and 23,645 out of the 99,203 tissue clusters (23.8%) were uniquely

304 annotated as lncRNA. Detailed results of the lncRNA analysis can be found in
 305 Supplementary Table 4.

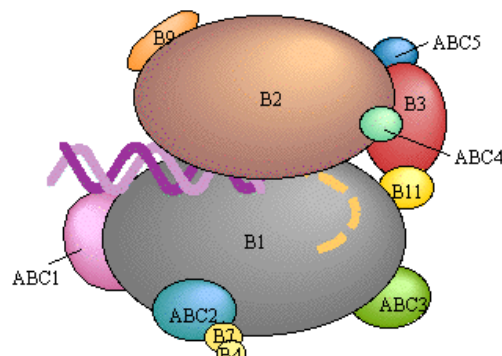
306 **KEGG pathway analysis**

307 Annotated contigs were overlaid onto their respective biological pathways using the
 308 Kyoto Encyclopaedia of Genes and Genomes (KEGG) pathways. Genes involved in
 309 general eukaryotic cellular processes such as RNA replication (Fig. 6) and basal
 310 transcription factor sequences (Fig. 7) were well represented in the *P. monodon*
 311 transcriptome. As expected, assignments to KEGG pathways in prokaryotes were
 312 rare, as were ribosomal RNA assignments. The various biological processes,
 313 metabolism and signalling cascades comprising all 235 KEGG pathways to which
 314 transcripts were assigned are detailed in Supplementary Table 5.

RNA POLYMERASE



RNA polymerase (*Thermus aquaticus*)



RNA polymerase II (*Saccharomyces cerevisiae*)

Bacterial

β	α	ω	δ
β'			

Archaeal

B	D	F	H	K	E
A	G		N	L	P

Eukaryotic Pol II

Core subunits	
B2	B3
B1	B11

Pol II specific subunits

B4	B7	B9
----	----	----

Pol I, II, and III common subunits

ABC1	ABC2	ABC3
ABC4	ABC5	

Eukaryotic Pol III

Core subunits	
C2	AC2
C1	AC1

Pol III specific subunits

C3	C4	C11
C25	C31	C34
C37		

Eukaryotic Pol I

Core subunits	
A2	AC2
A1	AC1

Pol I specific subunits

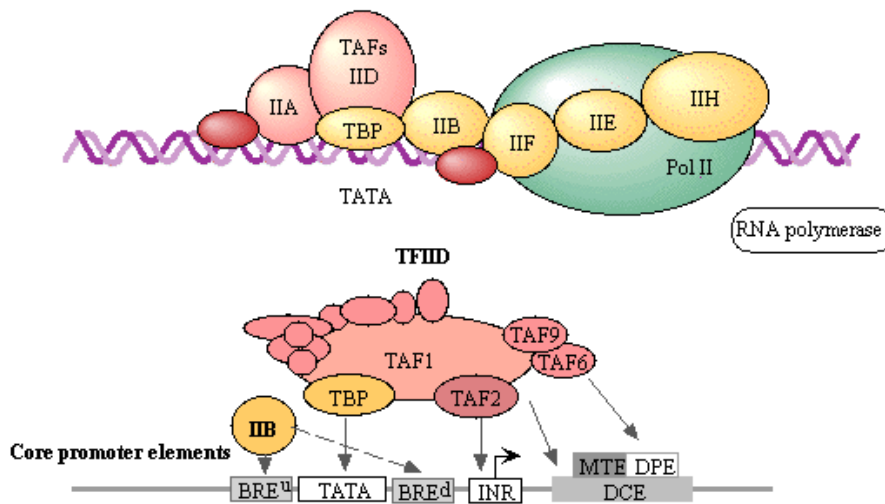
A12	A14	A34
A49	A43	

315

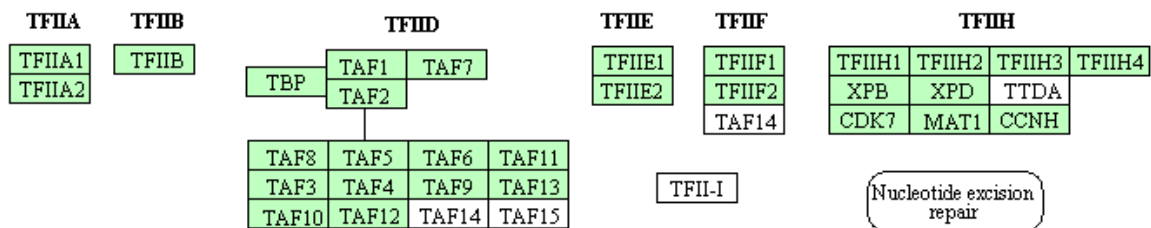
316 **Fig. 6 | Presence of mRNA contigs that encode for RNA polymerase subunits based on KEGG**
 317 **pathway analysis.** Green shading highlights the presence of gene orthologs in the *P. monodon*
 318 transcriptome.

319

BASAL TRANSCRIPTION FACTORS (EUKARYOTES)



General transcription factors for RNA polymerase II



320

321 **Fig. 7 | Presence of eukaryotic basal transcription factor sequences based on KEGG pathway**
 322 **analysis.** Green shading highlights the presence of gene in the *P. monodon* transcriptome.

323

324 **Virus discovery**

325 Interrogating the *P. monodon* transcriptome against the viral subsection of the non-
 326 redundant database using BLASTx assigned viral annotations to 12,744 contigs.
 327 Detailed information on the viral blast can be found in Supplementary Table 6.
 328 Closer inspection of the data identified the vast majority (>99.8%) of these to
 329 represent short motifs conserved between eukaryote cell proteins and related
 330 homologs viruses with generally large and complex DNA genomes such as giant
 331 viruses, poxviruses, herpes viruses and baculoviruses. Additional BLASTx searches
 332 of the GenBank nr database using representative contigs confirmed them to be or
 333 likely be endogenous shrimp gene transcripts. The remaining 21 contigs had Top Hit
 334 E-value scores identifying them to be related most closely to strains of Gill-
 335 associated virus (GAV; 4 contigs, longest 26,235 nt), *Penaeus chinensis*
 336 hepadenovirus (*Pch*HDV; 4 contigs, longest 1,884 nt), Wenzhou shrimp virus 2
 337 (WSV2; RdRp, hypothetical protein and G protein contigs, longest 6,891 nt),
 338 Deformed wing virus (DWV; 10,133 nt), Wenzhou shrimp virus 8 (WSV8; 6 contigs,

339 longest 4,579 nt), Beihai picorna-like virus 2 (5,277 nt), Wenzhou picorna-like virus
340 23 (551 nt) and Moloney murine leukaemia virus Pr180 sequence (Mo-MuLV; 2,431
341 nt). Lastly, over 1200 contigs with homology to phages were detected, some of
342 which related to phage tail protein and tetracycline resistance.

343

344 **Discussion**

345 Here we report a comprehensive black tiger shrimp (*Penaeus monodon*)
346 transcriptome assembled from nine tissues, four larval stages and four post-larval
347 stages. The transcriptome was generated to expand the genetic resources available
348 for this species to help investigate the genetic basis behind larval developmental
349 stage transitions and tissue functioning, as well as traits with potential to be exploited
350 commercially for the aquaculture of this and other shrimp species. The aim was
351 therefore to generate a highly complete *P. monodon* transcriptome at the risk of it
352 containing higher levels of transcript redundancy. This was confirmed by BUSCO
353 results which demonstrated the transcriptome to be highly complete (C: 98.2%) with
354 low fragmentation (F: 0.8%) or missing (M: 1.0%) genes but high levels of duplication
355 (D: 51.3%). These assembly statistics are comparable to those obtained by a
356 transcriptome assembly from *L. vannamei*¹⁵ (C: 98.0%, F: 0.7%, M: 1.3%, D: 25.5%),
357 but greatly exceeded those of another *P. monodon* assembly focussing on gonadal
358 tissue recently made available publicly¹⁰ (C: 33.7%, F: 44.9%, M: 21.4%, D: 6.8%).
359 As other recent NGS analyses of *P. monodon* have focussed on only one or two
360 tissue types without including any larval stages or biological replicates, generated
361 fewer total reads, or experienced data loss due to quality trimming of low quality
362 reads or low mapping efficiencies⁸⁻¹¹, these are likely to have missed many
363 transcripts. In contrast, the sequencing and assembly strategy used here covered
364 more tissue types at greater read depth and employed multiple *de novo* assembly
365 tools to reduce assembler bias.

366 ***Functional annotation and comparative analysis***

367 Using the nrA database, 30.0% of transcript clusters found in the nine tissue types
368 and 38.1% of transcript clusters found in the eight larval/post-larval stages analysed
369 were successfully annotated. These annotation levels were comparable to those
370 reported to date in similar studies on different crustaceans^{8,15,24,50}. While transcript
371 cluster annotation levels were lower using the SWISS-PROT database compared to
372 the nrA database, the percentage of successful GO-term assignments was
373 substantially higher. In addition to the annotations, analyses were undertaken to
374 identify transcript clusters expressed differentially across tissue types or early life-
375 history stages, irrespective of successful annotation. The identification was done to
376 help provide initial evidence for transcript roles in specific tissue functions or

377 developmental transitions. Despite all efforts made here to improve transcript
378 annotation levels for *P. monodon*, our data reaffirms the need for dedicated
379 functional studies to assign or confirm gene functions of both annotated and
380 unannotated transcript clusters of non-model (crustacean) species.

381 To our best knowledge, to date only two Penaeid shrimp transcriptome assemblies
382 have been made publicly available^{10,15}, restricting comparative analyses of these
383 transcriptomes. A reciprocal MegaBLAST identified 96.8% of the most recent *P.*
384 *monodon* assembly¹⁰ within the transcriptome described here, but only 40.0% of our
385 assembly was found in the earlier assembly. These comparisons confirm that our
386 transcriptome assembly contains many high quality *P. monodon* transcripts not
387 discovered previously.

388 When compared across species, a reciprocal MegaBLAST showed that the
389 transcriptomes of *P. monodon* (present) and *L. vannamei*¹⁵ shared approximately
390 48% of contigs. Since the assembly metrics of the *L. vannamei* transcriptome were
391 similar to those of our *P. monodon* transcriptome, the low number of shared contigs
392 could stem from considerable differences in transcript type or sequence composition
393 between the two shrimp species. As comprehensive comparisons across crustacean
394 species is currently impractical due to restrictions on publicly-available transcriptome
395 assemblies, the potential value of this warrants effort to consolidate transcriptomic
396 data and to establish both centralized and species-specific databases.

397 ***Tissue specific expression***

398 Read count data identified independent clusters of transcripts expressed uniquely
399 within different tissues and clusters that formed distinct groups based on their tissue-
400 specific expression patterns. An important consideration for this type of analysis is
401 the normalized read count cutoff value for each cluster to be considered “unique”,
402 which was arbitrarily set at above 10 in a specific tissue and < 10 in all others. At
403 >100 normalized read counts, only approximately half of the assigned unique
404 clusters were retained, indicating that the expression levels of many of these
405 potentially tissue-specific clusters was relatively low. Among the annotated transcript
406 clusters most highly expressed in female gonad tissue were FAMeT, PEPCK, GPx
407 and nasrat. Functional roles these proteins may play range from the shrimp moult
408 cycle and reproduction⁵¹, the primary step of gluconeogenesis⁵², preventing
409 oxidative stress⁵², to specifying terminal regions of the embryo⁵³. Among the
410 annotated genes expressed most highly in eyestalk tissue was hyperglycaemic
411 hormone (CHH), a key neuropeptide hormone that regulates blood sugar, moulting
412 and reproduction⁵⁴. A subset of transcript clusters highly expressed in lymphoid
413 organ tissue was also highly expressed in gill tissue, most likely due to high
414 concentrations of haemocytes within both tissue types. The majority of genes
415 expressed most highly in hepatopancreas were annotated, potentially reflecting the

416 shared metabolic functions of this organ with those of other animals. Also of much
417 interest were the non-annotated transcripts expressed uniquely in specific tissue
418 types. For example, transcript clusters expressed highly in male gonad were poorly
419 annotated by both databases and included a large proportion of clusters, annotated
420 or not, expressed exclusively in adult tissue types, indicating that male reproductive
421 organs utilize many genes that remain poorly characterized. The grouping of genes
422 with similar expression patterns broadly categorized these transcript clusters into
423 potential functional groups within each tissue type, thereby guiding the selection for
424 more targeted molecular function analyses.

425 ***Larval and post-larval development***

426 Based solely on gene expression patterns, the transcriptome data identified unique
427 groups of transcripts involved in transitions between *P. monodon* early life-history
428 stages. There was a major disparity between the annotation success of transcript
429 groups upregulated in early or late stage embryogenesis, highlighting how poorly
430 early developmental pathways have been characterized in crustaceans. Also of
431 significance was the presence of orthologs of the *Spatzle* gene, known in
432 *Drosophila* flies to establish the dorso-ventral patterning of the early embryo⁵⁵ among
433 transcript clusters detected consistently across later larval and post-larval stages.
434 Since each larval and post-larval stage sequenced comprised a pool of several
435 hundred individuals, quantitative and/or spatial transcript expression patterns would
436 be required to draw further functional conclusions. Nevertheless, the data reported
437 here will benefit from similar data on other shrimp and crustacean species,
438 particularly for transcript clusters expressed exclusively in embryo with no significant
439 homology to currently known genes.

440 ***Identification of long non-coding RNAs***

441 Long non-coding RNAs (lncRNA) are a type of transcript that have many common
442 features with traditional coding mRNA, including 5' capping, splicing and 3'
443 polyadenylation⁵⁶⁻⁵⁸. The nature of lncRNAs is still poorly understood, and it is likely
444 that lncRNAs are in fact a heterogeneous group of transcripts with regulatory
445 functions that are not actively translated into proteins⁵⁹. Thus, their main
446 characteristics are the lack of open reading frames (ORFs) or the presence of non-
447 canonical ORFs in the mature transcript. The biological roles of lncRNAs range from
448 regulation of gene expression, and control of translation, to imprinting. As such, they
449 have been linked to X chromosome inactivation in humans⁶⁰, genomic imprinting⁶¹
450 and cancer^{62,63}.

451 Due to the lack of a known lncRNA database in shrimp that can be used for their
452 identification, we used FEELnc which scores each transcript according to its coding
453 potential and then selects a threshold score to classify the transcripts into coding or

454 non-coding⁴⁵. This software is particularly useful for non-model species because in
455 the absence of an lncRNA training set, it generates a simulated training set using
456 debris from high confidence coding transcripts. In fly data, this approach showed an
457 MCC value of 0.754 with an accuracy of 0.868⁴⁵.

458 In this study, 79,656 transcripts were classified as lncRNAs, of which 67,960 (85.3%)
459 could not be aligned to any protein database. As expected, the use of a non-model
460 organism and the lack of a set with known lncRNA for training led to the ambiguous
461 classification of 13,535 transcripts with low protein-coding potential but clear
462 alignments to known proteins in curated databases. Classification of these
463 transcripts is the first step towards understanding their roles in the development and
464 regulation of gene expression in *Penaeus monodon*.

465 **KEGG pathways**

466 Annotated transcript clusters mapped into 235 KEGG pathways (Supplementary
467 Table 3), which have been broadly classified into functional groupings such as
468 general metabolism (e.g. TCA cycle, xenobiotic metabolism, immunity, reproduction),
469 nutritional metabolism (e.g. proteins, lipids, carbohydrates, vitamins), cellular
470 processes (e.g. DNA replication, protein trafficking, apoptosis), biological processes
471 (e.g. circadian rhythm, olfaction and taste, digestion and absorption) and signalling
472 pathways (e.g. PI3K-Akt, MAPK, axis formation, TGF-beta). In general, core
473 pathways such as citrate cycle, oxidative phosphorylation, ribosome biogenesis and
474 RNA/DNA polymerases were better represented than more specific pathways such
475 as the pentose and glucuronate interconversion pathway, or the ascorbate and
476 aldarate metabolism pathway. Furthermore, arthropod specific pathways were
477 generally better represented. For example, the general circadian rhythm pathway
478 was missing several homologs, while the fly specific circadian rhythm pathway was
479 complete. This could be explained by transcripts not sharing sufficient homology with
480 the known genes used for the KEGG analysis and therefore failing to be annotated.
481 Particularly for those pathways highly-conserved among other eukaryotes, the
482 existence of unique transcripts suggests that Penaeid shrimp and possibly
483 crustaceans in general might use metabolic mechanisms differing from eukaryote
484 species studied to date. Their existence also highlights the need for high-quality
485 genome assemblies for shrimp and other crustacean species, overlaid with isoform,
486 tissue-specific and developmental stage transcript expression data, to either help
487 predict gene functions or direct gene knockdown studies, using RNA interference
488 processes as an example, to empirically ascribe functions to novel genes.

489 **Virus discovery**

490 Several RNA transcripts and/or genome sequences likely to be from viruses were
491 discovered in the *P. monodon* transcriptome. This was not unexpected considering

492 that it was generated from multiple individuals, tissue types and larval/post-larval
493 stages, as shrimp are co-infected commonly with multiple viruses and as there are
494 several viruses known to be endemic in *P. monodon* populations indigenous to
495 different regions of Australia⁶⁴⁻⁶⁷. The presence of near full-length ssRNA genome
496 sequences for viruses such as gill-associated virus (GAV, 26,235 nt) and white spot
497 virus 2 (WSV2, 10,542 nt) provided additional validation of the methods used to
498 synthesize and assemble the transcriptome, and to its completeness as
499 demonstrated by various metrics measuring the nature and number of endogenous
500 gene transcripts. The detection of a ssDNA virus, hepadenovirus, within the
501 transcriptome, presumably detected in a replicative phase, indicates the application
502 of this technique as a tool to also detect the presence of viruses with DNA genomes.

503 In addition to known endemic viruses, the transcriptome contained full-length or near
504 full-length RNA transcripts related closely to the recently-described shrimp viruses
505 WSV2 and WSV8^{68,69} unknown until now to occur in Australian *P. monodon*.
506 Moreover, it contained a long transcript (10,133 nt) 95.0% identical to the full-length
507 ssRNA genome of deformed wing virus (DWV), a virus of Varroa mites that is
508 transmitted to honeybees⁷⁰, and one of a rapidly expanding number of *Iflavirus*
509 species now being discovered in diverse insect species also including beetles,
510 wasps, caterpillars and moths⁷¹. As essentially all DWV-like genome sequence reads
511 in this study originated from the stomach of a single individual shrimp, they were
512 potentially derived from a virus-infected honeybee or mite-infested honeybee
513 ingesting by this shrimp. While honeybees infested with Varroa mites have been
514 detected recently in North Queensland not far from where the shrimp was
515 collected⁷², DWV itself has not been detected in a comprehensive recent study⁷³.
516 The present study therefore represents the first detection of a DWV-like genome in
517 Australia, although the origin remains unknown. This reinforces both the strength of
518 the technology in detecting unknown pathogens and also the potential difficulty in
519 interpretation of transcriptome results.

520 A couple of long transcripts of suspected viral origin and expressed across multiple
521 tissue types were also identified. One of these possessed significant BLASTx
522 homology to the reverse transcriptase (RT)-like component of hypothetical protein 1
523 of Beihai picorna-like virus 116 discovered recently in blue swimmer crabs (*Portunus*
524 *pelagicus*)⁶⁹. The other possessed substantial homology to the RT component of the
525 Mo-MuLV Pr180 polyprotein and was expressed across all tissue types except the
526 lymphoid organ, suggesting it to be from a mobile element such as a poly(A)-type
527 retrotransposon or retrovirus⁷⁴. However, determining whether these transcripts
528 containing RT sequences are viral in origin, or represent the products of endogenous
529 retrotransposons like others now being reported in shrimp⁷⁵ will require further
530 investigation, as will the nature of the strains, host and distribution ranges,

531 prevalence and potential pathogenicity of the new viruses discovered in the
532 transcriptome.

533 **Conclusions**

534 This study describes the assembly of a comprehensive and high quality
535 transcriptome from nine different tissue types, and eight larval and post-larval early
536 life-history stages of the black tiger shrimp, *Penaeus monodon*. It also summarizes
537 the number and nature of specific transcript clusters differentially expressed in
538 different tissue types and larval stages, and the Clusters were functionally annotated
539 and mapped to 235 KEGG pathways. Unique transcript clusters and cluster groups
540 were defined across distinct tissues and early life-history stages, providing initial
541 evidence for their roles in specific tissue functions or developmental transitions. The
542 current transcriptome provides a valuable resource for further investigation of
543 directing gene-function studies to increase basic functional biology knowledge in
544 shrimp and for investigating molecular basis of traits of relevance to the aquaculture
545 of shrimp. While the current transcriptome already provides an improved resource for
546 *P. monodon*, further effort is required using long-read sequencing data, such as
547 provided by PacBio, to better resolve genes at isoform level. Lastly, this high-quality
548 *de novo* assembly and data set are publically available and will hopefully support
549 research projects that underpin transformational advances in how we culture shrimp
550 globally.

551

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557

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741

742 **Supplementary Material**

743 **Supplementary Table 1** contains all annotation results from the blast against
744 SwissProt and nrA (arthropod subsection of the nr database), including blast metrics,
745 GO terms, interpro scan results and simplified lncRNA results.

746 **Supplementary Table 2** contains the top 2,000 differentially expressed genes in the
747 nine different tissue types used in the heatmap. The table shows normalised
748 expression values for each sample, the nine groupings based on Pearson's
749 correlation presented in the heatmap, and the associated SwissProt and nrA
750 annotations.

751 **Supplementary Table 3** contains the top 500 differentially expressed genes in the
752 eight early life-history stages used in the heatmap. The table shows normalised
753 expression values for each sample, the nine groupings based on Pearson's
754 correlation presented in the heatmap, and the associated SwissProt and nrA
755 annotations.

756 **Supplementary Table 4** contains the detailed results of the lncRNA analysis using
757 the FEELnc pipeline.

758 **Supplementary Table 5** contains links to the 235 KEGG pathway figures based on
759 the transcriptome generated in this study.

760 **Supplementary Table 6** contains all successful blast hits against the viral
761 subsection of the nr database.