- 1 Title: VIGA: a sensitive, precise and automatic *de novo* VIral Genome Annotator.
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## 23 Abstract

- 24 Viral (meta)genomics is a rapidly growing field of study that is hampered by an inability to annotate
- 25 the majority of viral sequences; therefore, the development of new bioinformatic approaches is very
- 26 important. Here, we present a new automatic *de novo* genome annotation pipeline, called VIGA, to
- 27 annotate prokaryotic and eukaryotic viral sequences from (meta)genomic studies. VIGA was
- 28 benchmarked on a database of known viral genomes and a viral metagenomics case study. VIGA
- 29 generated the most accurate outputs according to the number of coding sequences and their
- 30 coordinates, outputs also had a lower number of non-informative annotations compared to other
- 31 programs.
- 32 Keywords: archaeal virus, bacteriophage, bioinformatics, *de novo* annotation, eukaryotic virus,
- 33 genome annotation, metagenomics, viral genomics

# 34 Introduction

35 Virology is a diverse scientific discipline. While many researchers are interested in discovering and 36 characterising pathogenic eukaryotic viruses [1], recently there has been an increased interest in 37 revealing bacteria- and archaea-infecting viral communities [2]. The number of viral metagenomic 38 studies is increasing due to the development of new sequencing technologies and the reduction in 39 costs. However, due to the volume of information that these platforms generate and the large 40 proportion of viral sequences sharing little or no homology to known viral genomes ('viral dark matter', [3]), new bioinformatic tools are required to examine viral contigs and genomes [4]. 41 42 43 Viral annotation methods differ depending on the host organism. Bacteriophages and archaeal 44 viruses are annotated using prokaryotic genome annotation software or web-servers such as RAST 45 [5], Prokka [6] and RASTtk [7]. However, these bioinformatic tools are optimised for bacterial

46 sequences, not viruses (despite the improvements in RASTtk to annotate phage sequences [8]). In

47 contrast, eukaryotic viruses are annotated using close-reference based methods such as FLAN [9],

48 VIGOR [10] and ViPR [11]. In a similar way, VirSorter [12] and VirusSeeker [13] were designed to

49 predict putative prokaryotic viral contigs in metagenomic datasets. However, both programs predict

50 viral contigs according to the presence of viral proteins using reference databases, and close-

51 reference homology-based methods can underestimate true viral diversity due to database

52 limitations [3,14]. Therefore, in this manuscript, we present a new modular and automatic *de novo* 

53 genome annotation bioinformatic pipeline, called VIGA (VIral Genome Annotator), to annotate

54 viral sequences.

55

56 VIGA automatically detects open reading frames from a FASTA or multi-FASTA formatted file.

57 VIGA then annotates protein sequences by detecting homologues in a BLAST ("Slow") or a

58 DIAMOND ("Fast") protein database, with or without Hidden Markov Model (HMM) protein

59 detection against a protein database. The different methodologies for annotating viral contigs and

60 genomes allows the user to specify options that sacrifice annotation detail in exchange for increased

61 speed, which is required when dealing with larger metagenomic datasets. In addition, VIGA also

62 automatically detects (1) the topology of viral contigs, (2) the presence of rRNA, tRNA and tmRNA

63 sequences, (3) potential CRISPR repeats and (4) tandem or inverted repeat sequences. Finally,

64 VIGA outputs a FASTA file that includes user specified modifiers, a GenBank file and a five-

65 column tab-delimited feature file to ease the upload of annotated contigs and genomes to various

66 database repositories and genome visualisation platforms.

#### **Results** 68

#### 69 **Benchmarking of VIGA**

70 The performance of VIGA, Prokka, RAST and RASTtk was tested using a benchmark database

71 comprising 191 sequences belonging to 138 different viruses (52 bacteriophages, 72 eukaryotic and

72 10 archaeal viruses, and 4 virophages; Additional file 1). Of the 72 eukaryotic viruses, 11 have

73 multipartite genomes. Experimental evidence is available for the coding sequences of 117 out of the

74 123 sequences of eukaryotic viruses, 28 out of 52 sequences of bacteriophages, 3 out of 10

75 sequences of archaeal viruses, and none of the 4 virophage sequences used. When bioinformatic

methods were used to annotate these viral genomes in the original data, a wide variety of methods 76

77 were employed, including GeneMark [15], GLIMMER [16], NCBI ORF Finder and the University

78 of Wisconsin Genetics Computer Group [17]. The outputs of VIGA, Prokka, RAST and RASTtk

79 were evaluated according to three different parameters: (1) number of coding sequences, (2)

80 coordinates of coding sequences, and (3) power of prediction.

81

82 Firstly, the accuracy and the precision of the number of viral coding sequences were estimated using

83 general linear models. Accuracy was measured by the slope, and precision was measured according

to the coefficient of determination  $(R^2)$ . To compare all these linear models, analysis of covariance 84

(ANCOVA) was performed. In a general overview, the programs delivered different estimates of the 85

number of coding sequences (ANCOVA:  $p < 2 \times 10^{-16}$ ). In fact, although all programs tended to

86

87 overestimate the number of genes, VIGA provided the most accurate predictions (i.e. accuracy is

88 closest to one, Fig. 1A). Moreover, VIGA and Prokka had very similar values of precision (Table 1).

89 When compared according to viral host, similar results were found only in the case of eukaryotic

90 viruses (ANCOVA (Archaeal viruses): p = 0.922; ANCOVA (Bacteriophages): p = 0.734; ANCOVA

91 (Eukaryotic viruses):  $p = 1.560 \times 10^{-15}$ ; Figs. 1B-D). Interestingly, when bacteriophages were

92 considered, only RASTtk tended to overestimate the number of coding sequences (Table 1).

93

94 Secondly,  $F_1$  score, a measure that combines precision and sensitivity, was used to predict the 95 quality of the coordinates of the viral coding sequences. Moreover, to evaluate the occurrence of 96 false positives (i.e. false coordinates considered as true; type I error) and false negatives (i.e. true 97 coordinates considered as false; type II error), false discovery rate (FDR) and false negative rate 98 (FNR) were examined. VIGA scored very highly for both bacteriophages and eukaryotic viruses. In 99 eukaryotic viruses the highest false discovery rate (FDR) was associated with RASTtk, while RAST

100 had the highest false negative rate (FNR). For bacteriophages the highest FDR and FNR were

101 obtained for Prokka. In the case of archaeal viruses, VIGA again had the highest precision, while

- 102 the highest sensitivity was noted in RASTtk (Table 2).
- 103

104 Finally, the power of prediction of all programs was measured by considering the number of non-105 informative annotations (i.e. all proteins classified as "hypothetical protein", "uncharacterized 106 protein", "ORF', "predicted protein", "unnamed product protein" or "gp[Number]"). For these 107 analyses, two different modes of running VIGA were considered - "Slow" (when BLAST and 108 HMMER are used to annotate the genes) and "Fast" (when DIAMOND alone is used for 109 annotation). Kruskal-Wallis (KW) test was performed to detect potential differences in the power of prediction of all three programs (including both variants of VIGA) and significant differences 110 between the various programs were observed (KW test:  $p = 1.683 \times 10^{-53}$ ). In all cases, no significant 111 112 differences between VIGA-Slow and VIGA-Fast were found (Nemenyi test: p = 0.853). In fact, 113 while RAST and RASTtk had the highest number of non-informative annotations, both VIGA 114 modes had the smallest number (Fig. 2A). Additionally, there were significant differences among 115 programs independently of the viral type (Table 3). In all cases, VIGA achieved optimal annotation, having always the smallest number of non-informative annotations. In contrast, Prokka had the 116 117 highest amount of non-informative annotations in prokaryotic viruses (Figs. 2B-C) and RAST and

- 118 RASTtk had the highest amount of non-informative descriptions in eukaryotic viruses (Fig. 2D).
- 119

#### 120 Case study: healthy human gut phageome

121 To evaluate the performance of VIGA on a metagenomic dataset, VIGA, Prokka, RAST and

122 RASTtk were run using a subset of 202 non-redundant contigs from the metavirome of healthy

123 individuals [18]. VIGA was executed using 10 cores in two different ways: (1) using only

124 DIAMOND (VIGA-Fast), and (2) using BLAST and HMMER (VIGA-Slow). These 202 contigs

125 were composed of 65 short contigs (<15 kb), 99 medium-size contigs (15 – 70 kb), and 38 long

126 contigs (>70 kb). Two different parameters were evaluated: (1) Speed of the program, and (2) power

127 of prediction. Only RASTtk was unable to annotate these contigs.

128

129 To test the speed of VIGA-Slow and VIGA-Fast, both VIGA modes and Prokka were run in a local

130 server (Lenovo x3650 M5, with 48 Intel Xeon 2.6GHz Processors, Ubuntu 14.04, 512 GB of RAM)

131 using 10 processors. VIGA-Slow took 19,283 minutes (13 days 9 hours 23 minutes) to process all

132 202 contigs of this data set, while VIGA-Fast took 809 minutes (13 hours 29 minutes). In contrast,

133 Prokka took 3 minutes to annotate all contigs. Unfortunately, we cannot estimate the time that

134 RAST took to annotate these genomes due to be an external web server.

135

136 Finally, the power of prediction of all programs was evaluated by comparing the number of non-137 informative annotations as indicated above. Significant differences between the various programs were observed (KW test:  $p = 2.121 \times 10^{-93}$ ). While Prokka had the highest percentage of non-138 139 informative descriptions, VIGA-Slow had the smallest number (Fig. 3A). In contrast to the 140 benchmark, there were significant differences between VIGA-Slow and VIGA-Fast on a 141 metagenomic dataset. VIGA-FAST had a higher percentage of non-informative descriptions than VIGA-Slow (Nemenyi test:  $p = 3.900 \times 10^{-14}$ ). Surprisingly, no significant differences between 142 VIGA-Fast and RAST were found (Nemenyi test: p = 0.440; Fig. 3A). When the different size of 143 144 contigs were considered, significant differences between the non-informative annotations of the programs were found (KW test ("Short"):  $p = 4.650 \times 10^{-24}$ ; KW test ("Medium"):  $p = 3.731 \times 10^{-63}$ ; 145 KW test ("Long"):  $p = 8.708 \times 10^{-16}$ ). This is a similar pattern detected independently of the contig 146 147 size (Figs. 3B-D). 148

#### 149 **Discussion**

In this study, VIGA, a new bioinformatic pipeline for viral genome annotation, was tested against
RAST, RASTtk and Prokka using a benchmark comprising of 138 viruses. In fact, this is the first
genome annotation pipeline to be benchmarked using viral data, as previous validation of these
programs tended towards the use of bacterial genomes [5,6]. When all these bioinformatic

annotation pipelines were benchmarked, VIGA successfully outperformed the others in all test
parameters. After validating VIGA, it was used to annotate the phages in a subset of the Manrique
et al. healthy human gut phageome dataset [18]. This subset was based on the phages predicted by

157 VirSorter [12], which could miss some viral contigs such as variants of crAssphage [19]. In that

158 instance, this viral gene annotation is dependant on the proficiency of VirSorter.

159

160 When the benchmark of 138 viruses was performed to measure the accuracy and precision of the 161 number of coding sequences, VIGA had the highest values of accuracy and precision in the general 162 overview. The only differences in the number of coding sequences were shown in eukaryotic 163 viruses. Additionally, when the quality of the coordinates of these coding sequences was analysed, 164 RASTtk had the highest false discovery rate and RAST the highest false negative rate for 165 eukaryotic viruses. All these observations strengthen the idea that all tested programs were 166 developed for prokaryotic viruses. Although the most abundant viruses in the biosphere are 167 bacteriophages [20], it was not possible to annotate around 80% of putative viral contigs in previous 168 studies on viral diversity [14], indicating the extensive presence of 'viral dark matter'. The nature of

169 this 'viral dark matter' is related with the lack of knowledge in viral diversity, and due to the use of

170 homology-search methods to classify and to annotate them [3]. In that sense, classification of

171 viruses (independently of their hosts) currently should not only be performed using close-reference

based homology searches because they could underestimate the real viral diversity based on the

173 limitations of databases.

174

175 The quality of the coordinates of the coding sequences in the viral benchmark was higher using 176 VIGA than with the other programs. Although this result suggests that VIGA is reliable, it is also 177 important to note that there was only experimental evidence of the coding sequences in 68 of 74 178 sequences of eukaryotic viruses, 28 of 52 sequences of bacteriophages, and 3 of 10 sequences of 179 archaeal viruses. In fact, although the development of automatic genomic pipelines such as RASTtk 180 or VIGA can facilitate the prediction of genes in viral sequences, some features such as introns, 181 morons or regulatory elements need manual refinement [8]. For this reason, all bioinformatic 182 genome annotations are putative until validated using experimental procedures such as cDNA-

183 gDNA hybridization [21–23], proteomics [24–26] or transcriptomics [27–29].

184

185 Analysis of the power of prediction of annotation pipelines showed that RAST and RASTtk tend to 186 generate a higher number of non-informative annotations, while VIGA had the smallest number in 187 all cases. Therefore, VIGA-Slow mode has the potential to provide more information on encoded 188 viral genes than other genome annotation bioinformatic pipelines, which rely exclusively on 189 homology-based methods such as BLAST, BLAT [30] or DIAMOND. Primarily because these 190 methods increase the number of non-informative annotations, especially in novel viruses, as 191 demonstrated in the described metagenomic case study. Viral dark matter [3], or the unknown 192 fraction of the virome, is a prevalent hurdle in virome research and lack of homology to sequences in databases hampers most annotation methods. It is also important to note, that where annotations 193 194 are available, many have been generated through bioinformatics and do not have supporting 195 experimental evidence. It is therefore very important to consider the source of functional 196 information for proteins when annotating new viruses unless empirical evidence is available [8,31]. 197 198 Proteins related to viral function can have highly conserved sequences, such as the hepatitis B virus 199 core protein [32], Dengue virus polyprotein [33] and the influenza A virus nucleoprotein [34], 200 because non-synonymous mutations in these proteins could hamper viral function. For this reason,

201 the use of HMMs was implemented to predict the putative function of these genes. Use of HHPred

202 or InterProScan is suggested to increase the power of protein annotation predictions [31,35,36].

203 Although the implementation of these programs could be beneficial for VIGA and it will be

204 implemented in future versions, HMM-based methods are slower than homology searches as noted

205 in the case study. Another alternative to these HMM-based methods could be the implementation of

206 homology-independent annotation methods such as iVIREONS [37] or VIRALpro [38]. All these

207 methods use machine learning to predict structural phage proteins such as capsid, collar and tail

208 proteins [8] and are also scheduled for implementation in future versions of VIGA. Finally, when

209 the power of prediction of all genome annotation pipelines was analysed, a lack of criteria for gene

210 annotations was found, making it difficult to compare between the outputs of the different

211 programs. For this reason, the implementation of a standardised genome annotation system would

212 ease the comparison between genomes [39,40] using some (alpha)numerical classifications such as

the Enzyme Codes [41], Clusters of Orthologous Groups [42], KEGG Orthology [43] or the

214 Prokaryotic Viral Orthologous Groups [44] which could be added in the genome annotation output.

215

#### 216 **Conclusions**

217 The number of viral metagenomic studies is increasing as a consequence of the development of 218 high throughput sequencing platforms and cost reductions. However, there are few software 219 programs to annotate the viral sequences and never before have these programs been benchmarked 220 against each other. In this study, we present VIGA, a new automatic *de novo* genome annotation 221 bioinformatic pipeline to annotate prokaryotic and eukaryotic viral sequences from genomic and 222 metagenomic studies. VIGA allows the most accurate, precise and sensitive annotation of viral 223 genomes when benchmarked using 138 known viral genomes. VIGA can be executed using BLAST 224 or DIAMOND to annotate proteins according to homology, with the option to also use HMMER to 225 improve these annotations based on HMMs. The use of HMMs will enrich the annotation detail of 226 the viral contigs, but will decrease the speed of the program. Where increased speed is required for 227 example when dealing with larger metagenomics datasets.

228

#### 229 Materials and methods

#### 230 Workflow of the software

231 Overview. VIGA is an automatic de novo viral genome annotator implemented in Python 2.7

232 (requiring Biopython [45]) and designed to annotate complete and draft viral and phage genomes

233 comprising single or multiple contigs (Fig. 4). As an input, VIGA accepts a DNA FASTA file with

the (putative) viral contigs. These sequences are processed to predict the topology of the contigs

235 (i.e. circular or linear). If the contig is circular, the prediction of the origin of replication is

236 performed according to cumulative GC skew and realignment of the contig from the putative origin

237 of replication. Coding sequences (CDS) are predicted and, then, the function of these proteins is

238 inferred based on homology using BLAST [46] or DIAMOND [47] and, optionally, using Hidden

239 Markov Models (HMMER [48]). After that, a decision tree algorithm chooses the most reliable

240 description of the protein (Fig. 5). Potential rRNA sequences are predicted using INFERNAL [49]

241 with the use of the Rfam database [50], and tRNA and tmRNA sequences are predicted using

242 ARAGORN [51]. Additionally, CRISPR, tandem and inverted repeats are predicted using PILER-

243 CR [52], Tandem Repeats Finder [53] and Inverted Repeats Finder [54] respectively. Repeat

sequences are related with the gene expression regulation, integration of the viral genome and,

even, viral replication. Finally, the output of the program are a GenBank file, a FASTA file and a

table (TBL) file suitable for GenBank submission (Fig. 4). Optionally, a General Feature Format

247 (GFF) version 3 file can be generated.

248

249 Contig shape prediction. VIGA requires a FASTA file containing a single or multiple sequences of

250 viral contigs. Before running the gene prediction, VIGA launches LASTZ [55] to predict the

251 circularity of every contig. In this case, a contig is defined as circular when the similarity between

the initial and terminal fragment of the contig (by default the first and last 101 bp) is more than 95%

and the length of such alignment covers more than 40%. When the contig is predicted as a circular,

the software will predict the origin of replication based on iREP [56], which predicts the origin and

255 terminus according to the cumulative GC skew.

256

*Gene prediction.* To predict genes in the contig, its length is checked and the most suitable program
is run. If a contig is larger than 100,000 bp, Prodigal [57] is executed to predict the genes. If not,
MetaProdigal [58] is launched to predict the genes. In both cases, when there are linear contigs, the

260 programs are optimised to avoid predicting genes in regions near the closed ends of the contig.

261 After the gene prediction, the coordinates and the protein sequence are saved.

262

*Function prediction.* Protein sequences are analysed using BLASTP [59] to predict its function

according to homology. By default, BLASTP is run with default parameters (except for the *e*-value,

- 265 which has been changed to  $10^{-5}$  by default). However, an exhaustive BLASTP search could be
- 266 performed using very strict values (a word size of 2, a gap open of 8, a gap extend of 2, the PAM70

267 matrix instead of BLOSUM62 and no compositional based statistics) to accurately identify proteins

- 268 [60]. Alternatively, DIAMOND [47] can be used to predict protein function according to homology.
- 269 For a more accurate protein function prediction, HMMER [48] can be executed to predict functions

270 according to Hidden Markov Models with default parameters, except for the inclusion of an e-value

271 cut-off of 0.001. To increase the protein function prediction speed, BLASTP can be launched using

272 multiple threads and HMMER can run multiple jobs using GNU Parallel [61]. Both outputs are

273 parsed independently according to identity, coverage, *e*-value and description to retrieve the protein

274 function minimising the number of non-informative annotations as defined later.

275

276 Decision tree algorithm. If BLAST or DIAMOND were executed with HMMER to predict protein 277 function, the BLAST/DIAMOND and HMMER outputs are processed using a decision tree to 278 retrieve the description of every protein in the contig. For each protein, the existence of hits in both 279 programs is checked. When the protein is detected in both BLAST and HMMER, non-informative 280 annotations are detected searching for the expressions "hypothetical protein", "uncharacterized 281 protein", "ORF", "predicted protein", "unnamed product protein" or "gp[Number]" in their BLAST 282 and HMMER descriptions. If such a description is present in both proteins, the protein will be 283 described as "hypothetical protein". However if the "hypothetical protein" description is only present in BLAST, the consequent annotation retrieved by HMMER is considered as a valid one, 284 285 and vice versa. In the scenario where the protein is not labelled as "hypothetical protein" in either 286 BLAST or HMMER, it is checked if the percentage identity and coverage is higher in BLAST or in 287 HMMER. Depending of these results, BLAST output or HMMER output is chosen accordingly 288 (Fig. 5).

289

*rRNA prediction.* INFERNAL [49] is used altogether with the Rfam database [50] to predict the different ribosomal genes in every contig. In this case, INFERNAL hits are reported according to the gathering (GA) scores for every model.

293

*tRNA prediction.* ARAGORN [51] is launched to predict all tRNA and tmRNA sequences in every
 contig. After this step, the coordinates and the description of the tRNA are saved.

296

*CRISPR, tandem and inverted repeats prediction.* PILER-CR [52], Tandem Repeats Finder [53] and Inverted Repeats Finder [54] are used to detect CRISPR, direct tandem and inverted repeats in the contig, respectively.

300

301 Output files. After running all described steps, all saved information (contig shape, contig sequence,

302 protein coordinates, protein sequences, protein descriptions, rRNA and tRNA coordinates, tRNA

303 descriptions, and tandem and inverted repeats coordinates) is written to a GenBank file.

- 304 Additionally, the GenBank file is also converted to FASTA and TBL files after retrieving the
- 305 metadata from a plain text file. The FASTA and the TBL files are suitable for GenBank submission.
- 306 Optionally, a GFF file can also be created with this information.
- 307

## 308 Benchmarking of VIGA

309 *Bioinformatic analysis.* 138 different viruses (52 bacteriophages, 72 eukaryotic and 10 archaeal

- 310 viruses, and 4 virophages) which comprises 191 sequences (Additional file 1) were used to validate
- 311 VIGA. Additionally, these sequences were also submitted to Prokka [6], RAST [5] and RASTtk [7]
- to compare their performance with VIGA. In this case, VIGA was launched in two different ways.
- 313 First, VIGA was executed using BLAST [46] and HMMER [48] to predict protein function in the
- 314 VIGA-Slow mode and then, launched using only DIAMOND [47] as the VIGA-Fast mode to
- 315 predict protein function. In both cases, *nr* and UniProt databases were considered for
- 316 DIAMOND/BLAST and HMMER, respectively.
- 317

318 Statistical tests. To evaluate the performance of VIGA, three different analyses were done. Firstly,

319 to infer the accuracy and the precision of the number of viral coding sequences, general linear

320 models were used. All linear models were forced to have intercept zero. The slope was used to

- 321 measure the accuracy, while the  $R^2$  was used to measure the precision. Additionally, ANCOVA was
- 322 used to compare the linear models. Secondly, the prediction quality of the coordinates of the viral
- 323 coding sequences was evaluated by the F<sub>1</sub> score, the precision and sensitivity, defined as

$$F_{1} \text{ score} = \frac{2 \times TP}{(2 \times TP + FP + FN)},$$

$$Precision = \frac{TP}{(TP + FP)},$$

$$Sensitivity = \frac{TP}{(TP + FN)},$$

324

325 where TP indicates the number of true positives, FP the number of false positives and FN the 326 number of false negatives. FDR and FNR were considered to measure the type I (i.e. false 327 coordinates were considered as true coordinates) and the type II (i.e. true coordinates were 328 considered as false coordinates) errors, respectively. To evaluate differences in the power of 329 prediction of all programs, Kruskal-Wallis test was performed. In case that there were differences 330 between programs, *post-hoc* tests using Nemenyi tests were performed. All statistical tests were 331 carried out at an alpha level of 0.05 and were performed in R v. 3.4.1 [62] using the HH [63] and 332 the PMCMR [64] packages.

### 334 Case study: healthy human gut phageome

335 Bioinformatic analysis. VIGA was also tested on a metagenomic dataset using published data from 336 the health human gut phageome [18]. This data set was downloaded from the SRA webpage (SRR 337 codes: SRR4295172 – SRR4295175) and processed to retrieve contigs per sample. First, adapters 338 were removed using Cutadapt 1.9.1 [65] and low-quality bases (lower than a PHRED score of 20 339 for a 4 bp sliding window) were trimmed using Trimmomatic [66]. All reads shorter than 30 bp 340 were not considered for further analyses. All potential human reads were removed after being 341 identified with Kraken v. 0.10.5 [67]. Contigs were assembled using metaSPAdes v. 3.10.0 [68] as 342 recently the use of metaSPAdes was highly recommended to assemble metaviromes [69]. 343 Assemblies of each sample were made non-redundant by an all-vs-all BLASTN [46] considering an *e*-value of  $10^{-6}$ . A contig was deemed redundant when it is shared 90% of its identity over 90% of 344 345 the contig length. In these cases, the longer of the two contigs was retained. Non-redundant contigs 346 over 1,000bp were processed using VirSorter [12] to generate a final data set of viral metagenome 347 sequences. These contigs were annotated using VIGA in the two different ways described in the 348 'Benchmarking of VIGA' subsection and Prokka using 10 cores. Time benchmarking was 349 performed using the time command in Linux only for VIGA and Prokka, as RAST and RASTtk are 350 online genome annotation services. 351

352 Statistical tests. To evaluate differences in the power of prediction of all programs, Kruskal-Wallis

353 test and *post-hoc* tests using Nemenyi tests were performed as described before. Moreover, to

discard the effect of the length size of contigs as a potential factor of the power of prediction,

355 Kruskal-Wallis tests were performed after classifying the contigs in three groups: "short" (<15 kb),

356 "medium" (15 – 70 kb), and "long" (>70 kb). All statistical tests were carried out at an alpha level

of 0.05 and were performed in R v. 3.4.1 [62] using the HH [63] and the PMCMR [64] packages.

358

#### 359 **Declarations**

360 **Ethics approval and consent to participate.** Not applicable.

361 **Consent for publication.** Not applicable.

362 Availability of data and material. Source code of VIGA (and the wrapper for the Galaxy platform)

363 is available for download at <u>https://github.com/EGTortuero/viga</u>, implemented in Python 2.7, and

364 supported on Linux, under the GPL3 licence. The program is also available as at Docker image

365 (https://hub.docker.com/r/vimalkvn/viga/).

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- 374 wrote the VIGA software and the Galaxy wrapper. VV wrote the Docker integration of VIGA. EGT,
- 375 LAD, SRS and CH designed the benchmark study. EGT and ANS tested VIGA against the
- 376 validation benchmark. TDSS, EGT and ANS designed and run the case study. EGT, TDSS and SRS
- 377 wrote the manuscript, with comments and editing by ANS, LAD, CH and RPR. All authors read and
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# 540 Tables

### 541 Table 1. Accuracy and precision in the number of coding sequences

Case	Program	Accuracy (Slope)	Precision (R <sup>2</sup> )
General	VIGA	1.027	0.997
	Prokka	1.043	0.996
	RAST	1.118	0.979
	RASTtk	1.135	0.982
Archaeal viruses	VIGA	0.962	0.990
	Prokka	0.991	0.991
	RAST	0.821	0.936
	RASTtk	1.036	0.993
Bacteriophages	VIGA	0.997	0.997
	Prokka	0.983	0.995
	RAST	0.982	0.996
	RASTtk	1.015	0.997
Eukaryotic viruses	VIGA	1.031	0.997
	Prokka	1.050	0.997
	RAST	1.136	0.979
	RASTtk	1.151	0.982

542

## 544 Table 2. Accuracy, precision and sensitivity of the different programs. False Discovery Rate

Case	Program	F1 Score	Precision	Sensitivity	FDR (Type I error)	FNR (Type II error)
General	VIGA	0.945	0.940	0.950	0.060	0.050
	Prokka	0.924	0.917	0.931	0.083	0.069
	RAST	0.853	0.844	0.862	0.156	0.138
	RASTtk	0.863	0.821	0.909	0.179	0.091
Archaeal viruses	VIGA	0.914	0.930	0.899	0.070	0.101
	Prokka	0.921	0.922	0.920	0.078	0.080
	RAST	0.819	0.918	0.739	0.082	0.261
	RASTtk	0.910	0.894	0.927	0.106	0.073
Bacteriophages	VIGA	0.952	0.958	0.947	0.042	0.053
	Prokka	0.909	0.921	0.897	0.079	0.103
	RAST	0.936	0.950	0.923	0.050	0.077
	RASTtk	0.934	0.929	0.939	0.071	0.061
Eukaryotic viruses	VIGA	0.942	0.930	0.954	0.070	0.046
	Prokka	0.933	0.914	0.952	0.086	0.048
	RAST	0.806	0.782	0.831	0.218	0.169
	RASTtk	0.820	0.760	0.889	0.240	0.111

545 (FDR) and False Negative Ratio (FNR) are used to describe errors in the precision and sensitivity.

546

#### 547 Table 3. Kruskal-Wallis *p*-values for the comparison between all different pipelines

548 considering the different viral types.

р		
8.219×10 <sup>-5</sup>		
5.596×10 <sup>-28</sup>		
1.348×10 <sup>-46</sup>		

# 550 Figure legends

551

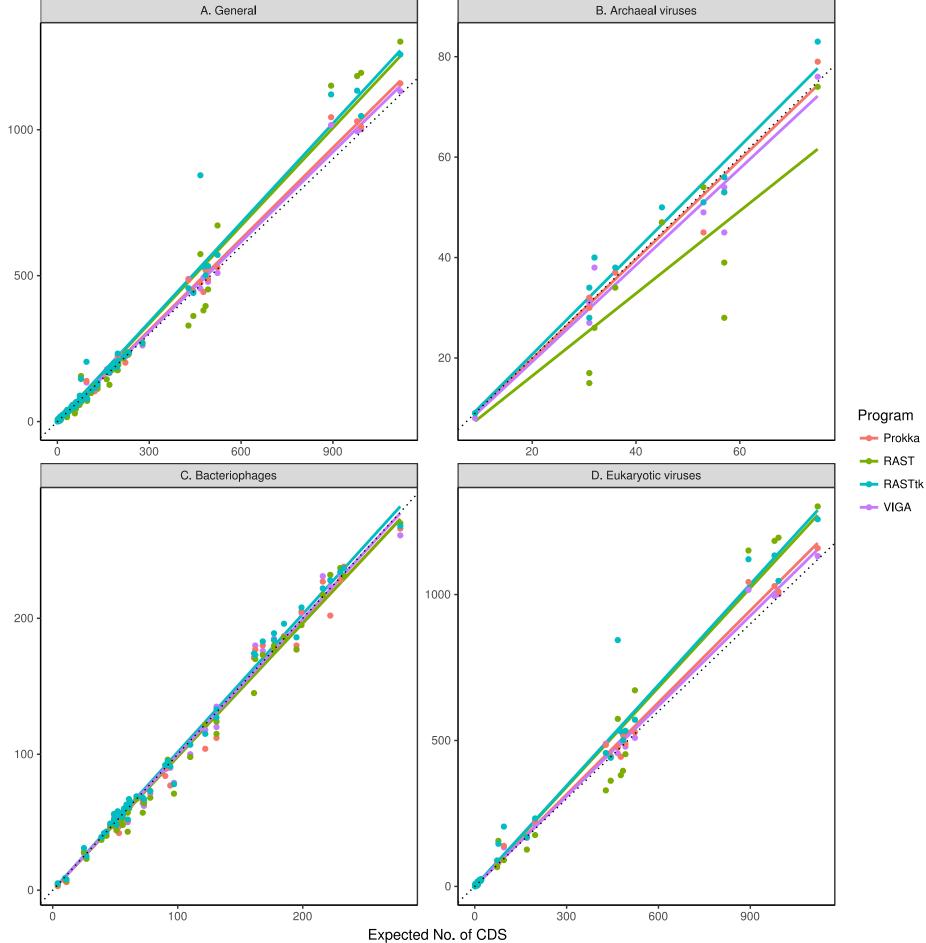
552 Figure 1. Correlation between the expected and observed number of coding sequences when

- 553 considering (A) all known viral sequences, (B) archaeal viruses, (C) bacteriophages, and (D)
- 554 eukaryotic viruses. Dotted line is a 1:1 line.
- 555
- 556 Figure 2. Percentage of non-informative annotations when processed in all programs for (A)
- 557 all known viral sequences, (B) archaeal viruses, (C) bacteriophages, and (D) eukaryotic
- 558 viruses. Dot indicates the average value of non-informative annotations and bars indicates the 95%
- 559 confidence interval.
- 560
- 561 Figure 3. Percentage of non-informative annotations for the case study dataset when
- 562 processed in all programs for (A) the case study dataset, (B) short contigs (<15 kb), (C)
- 563 medium contigs (15 70 kb), and (D) long contigs (>70 kb). Dot indicates the average value of
- non-informative annotations and bars indicates the 95% confidence interval.

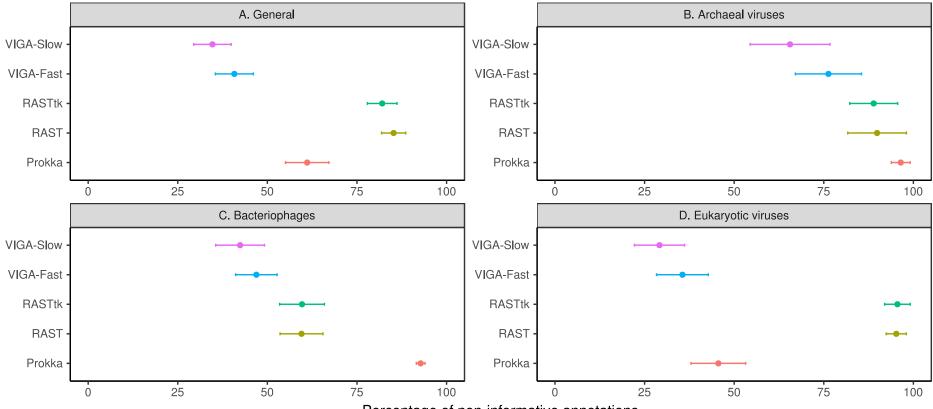
- 566 Figure 4. Flowchart of the VIGA pipeline. Orange rectangles represent the different steps of the
- 567 program (among those, discontinuous-lined rectangles indicate optional steps; see main text). Red
- 568 parallelograms indicate the relevant data that it is summarised in the output. Yellow rectangles with
- 569 a wavy base stand for input and output files.
- 570
- 571 Figure 5. Flowchart of the decision tree algorithm. Blue rectangles represent steps in the decision
- 572 tree. Orange and purple rectangles state optimal BLAST and HMMER solutions, respectively.
- 573 Mustard coloured rectangles represent "hypothetical protein" decisions.

# 574 Additional files

- 575
- 576 Additional file 1. List of the viruses used for the validation test (Excel file)



Observed No. of CDS



Percentage of non-informative annotations

