Latent-Based Imputation of Laboratory Measures from Electronic Health Records: Case for Complex Diseases

Abedi V.^{1,2,ξ}, Shivakumar M.K.¹, Lu P.³, Hontecillas R.^{2,3}, Leber A.³, Ahuja M.¹, Ulloa A.E.¹ Shellenberger M.J.⁴, Bassaganya-Riera J.^{2,3}

^ξ: Corresponding Author: <u>vidaabedi@gmail.com</u>; <u>vabedi@geisinger.edu</u>;

1, Biomedical and Translational Informatics Institute, Geisinger Health System, Danville, PA

2, Nutritional Immunology and Molecular Medicine Laboratory, Biocomplexity Institute of Virginia Tech, Blacksburg, VA

3, Biotherapeutics, Inc., Blacksburg, VA

4, Department of Gastroenterology and Hepatology, Geisinger Medical Center, Danville, PA.

Abstract:

Imputation is a key step in Electronic Health Records-mining as it can significantly affect the conclusions derived from the downstream analysis. There are three main categories that explain the missingness in clinical settings—incompleteness, inconsistency, and inaccuracy—and these can capture a variety of situations: the patient did not seek treatment, the health care provider did not enter the information, etc. We used EHR data from patients diagnosed with Inflammatory Bowel Disease from Geisinger Health System to design a novel imputation that focuses on a complex phenotype. Our approach is based on latent-based analysis integrated with clustering to group patients based on their comorbidities before imputation. IBD is a chronic illness of unclear etiology and without a complete cure. We have taken advantage of the complexity of IBD to pre-process the EHR data of 10,498 IBD patients and show that imputation can be improved using shared latent comorbidities. The R code and sample simulated input data will be available at a future time.

Keywords: Imputation, SVD, clustering, Electronic Health Records, EHR, Inflammatory Bowel Disease, IBD, complex diseases, missing data,

I. Introduction

Given the complexity and high-dimensionality of Electronic Health Records (EHR) the missingness and the need for imputation are an inevitable aspect in any study that attempts to use such data for downstream analysis. The EHR is not designed for research purposes, even though the breadth and depth of this information can be used to improve care at many levels, including developing predictive models of prognosis and designing *in silico* clinical trials [1-3]. Furthermore, the level and extent of the missing values in health care systems at large are not random. In fact, there are three main categories that explain the missingness in clinical settings [4, 5] – *incompleteness, inconsistency, and inaccuracy* – and these can capture a variety of situations, including the following: the patient could have been cared for outside of the health care system where the data are collected, the patient did not seek treatment, the health care provider did not enter the information, the patient has expired, the missing value was not needed for standard of care. Therefore, imputation can dramatically lead to biased results. Furthermore,

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

excluding variables or patients with high-level of missingness can also introduce bias and reduce the scope of the study significantly. To address these challenges, different imputation techniques have been proposed, and each have their own advantages and limitations.

In a recent study, 12 different imputation techniques that were applied to laboratory measures from EHR were compared. In general, authors found that Multivariate Imputation by Chained Equations (MICE) and softImpute consistently imputed missing values with low error [6]; however, in that study, analysis was restricted to 28 most commonly available variables. In another study, authors assessed the different causes of missing data in the EHR data and identified these causes to be the source of unintentional bias [7]. Comparative analysis of three methods of imputation (a Singular Value Decomposition (SVD) based method (SVDimpute), weighted K-nearest neighbors (KNNimpute), and row average for DNA microarrays showed that in general KNN and SVD methods surpass the commonly accepted solutions of filling missing values with zeros or row average [8]. However, comparing imputation for clinical data with DNA microarray can be misleading. Missingness in DNA microarray is likely missing-at-random due to technical issues unlike missingness in EHR which is missing-not-at-random. In another study, fuzzy clustering was integrated with neural network to enhance the imputation process [9]. Furthermore, research has been done to evaluate imputation methods for non-normal data [10]. Using simulated data from a range of non-normal distributions and a level of missingness of 50% (missing completely at random or missing at random), it was found that the linearity between variables could be used to determine the need for transformation for non-normal variables. In the case of a linear relationship, transformation can introduce bias, while non-linear relationship between variables may require adequate transformation to accurately capture the non-linearity. Furthermore, many of the techniques are optimized for smaller levels of missingness (the most commonly available measurements), yet most clinical datasets (including the EHRs) have a significant percentage of missingness for many of their variables. To address this problem, machine learning methods have also been proposed [11]. In fact, the challenges of imputation for EHRs are unique, and if left unaddressed the utility of the data becomes limited [12]. Consequently, even though, for smaller targeted studies, it could be possible to integrate additional modalities, or perform analytical evaluation through chart review, to determine a likely cause of missingness; for larger studies this becomes infeasible. For examples, missingness level for many of very important variables (ex: inflammatory markers such as CRP, vitamin levels, or even A1C levels, a common biomarker for diabetes) can easily reach 50% or more in many realistic large datasets. Finally, given the complexity and the scale of the problem, in many studies, MICE [13] remains the method of choice. The MICE [13] algorithm is regression-based and assumes that the non-missing variables can be used for the predicting missing variables. However, this assumption does not always hold in EHR, yet, given the high-level of redundancy and presence of highly correlated variables in the EHR, imputation by MICE still performs relatively well for large clinical datasets. A comprehensive overview of handling missing data in EHR is presented in [12].

In this study, we have developed a novel imputation strategy based on latent-based analysis integrated with clustering to group patients based on their comorbidities before imputation. We apply this method on 10,498 patients diagnosed with Inflammatory Bowel Disease (IBD) and show its strength in analyzing laboratory measures with missingness levels up to 95%.

II. Methodology

Dataset: IBD is a complex disease with two main manifestations: Ulcerative Colitis (UC) and Crohn's Disease (CD). Both UC and CD are highly significant public health problem in the U.S. and worldwide due to increasing incidence, morbidity, and mortality [14]. Moreover, IBD is a complex disease with no clear etiology or associated risk factors. Utilization of EHR can help

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

3

facilitate better understanding this condition and continuous improvement in the design of treatment strategies for a more personalized care path.

Data Extraction and Processing: We have identified an IBD cohort from the EHR of Geisinger Health System. Inclusion criteria of this cohort were based on extraction of patient population based on diagnosis recorded for patients under their visits, admissions and currently active problems listed under problem list, based on ICD9 and ICD10 codes for CD and UC (see Table 1). In order to confirm this diagnosis on patients, qualifying criteria included either two or more outpatient encounters, or one or more inpatient admissions, or an entry into problem list with an active flag checked. We have extracted clinical laboratory measurements for this cohort using the Logical Observation Identifiers Names and Codes (LOINC) system (see Table S1). For comorbidities, we extracted all the diagnosis for all the patients based on the ICD9 as well as ICD10 codes. Comorbidity data included details from visits, admissions, and problem list. We identified 10,498 patients with both comorbidity and laboratory data in the EHR. A total of 129 laboratory values, and 976 ICD codes (using 2-digit roll-up) were used. We excluded laboratory values with more than 95% missingness.

Diagnosis	Inclusion Criteria using ICD codes
ICD9 Diagnosis: Crohn's	555,55.0,555.1,555.2,555.9, 556, 556.0, 556.1, 556.2, 556.3, 556.5, 556.6,
and Ulcerative Colitis	556.8, 556.9
ICD10 Diagnosis:	K50.00, K50.011, K50.012, K50.013, K50.014, K50.018, K50.019,
Crohn's and Ulcerative	K50.10 K50.111, K50.112, K50.113, K50.114, K50.118, K50.119, K50.80,
Colitis	K50.811, K50.812, K50.813, K50.814, K50.818, K50.819, K50.90,
	K50.911, K50.912 K50.913, K50.914, K50.918, K50.919, K51.80, K51.00,
	K51.011, K51.012 K51.014, K51.018, K51.019, K51.20, K51.211,
	K51.212, K51.213 K51.218 K51.219, K51.30, K51.311, K51.313,
	K51.314, K51.318 K51.319 K51.411 K51.414, K51.419, K51.50, K51.511,
	K51.513, K51.514 K51.518 K51.519 K51.80, K51.811, K51.812, K51.813,
	K51.814, K51.818 K51.819 K51.90 K51.911, K51.912, K51.913, K51.914,
	K51.918, K51.919

Two versions of the laboratory values were created. In the first version, the actual laboratory values (continuous values) were kept and the median values for each measurement were calculated. In the second version, all the laboratory values were converted to binary values using the flag in the system prior to calculating the median values. For instance, if a value was above or below the threshold (abnormal flag), then that value was converted to one; while for a value within the normal range, a zero was recorded (see Fig. 1). As a pre-processing step, any value that deviated more than 3 standard deviation from the mean was removed. Furthermore, a 0.1% white

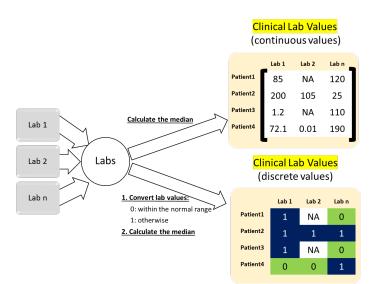


Fig. 1. Construction of laboratory value matrices from EHR.

noise (error noise) was added to the binary values. Four different datasets were created, each

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

4

with a limit on the level of missingness, ranging from low (5%), to moderation (50% and 75%), to extreme (95%).

A binary comorbidity matrix for our cohort was also created using data from EHR. Data from primary or secondary diagnosis, as well as problem list were used for this purpose. During the first phase, ICD9/10 codes were compiled for each patient. During the second phase, the matrix was compressed using 3-digit roll up strategy, where ICD codes were combined if the first three digits were identical (ex: 289.52 and 289.53 \rightarrow 298). Furthermore, an ICD9/10 code was removed from the patient's record if it was referenced only once. The resulting matrix was then converted to binary to represent the presence or absence of an ICD code for each patient. During the final stage, singular value decomposition (SVD) was applied to the matrix (Eq. 1) to compute the encoding of the dataset.

$$A_{PT \ ICD} = A_{PT \ X \ ICD} = USV^T$$
 (Eq. 1),

Where A_{PT_ICD} is the matrix encompassing all the ICD9/10 codes (presence of absence) for all the patients; U is an mxm matrix, S is an mxn diagonal matrix, and V is an nxn matrix. The colums of V are eigenvectors of A^TA , and colums of U are eigenvectors of AA^T . The diagonal elements of S are the square root of the eigenvalues of A^TA or AA^T .

The encoding matrix was then used to create different level of data abstraction by retaining only 10, 15, 66, or 85% of the encoding using dimensionality reduction technique (Eq. 2). Note that the approximation matrix is referred to as the data abstraction. The finalized output is referred to as latent comorbidities. Figure 2 summarize these steps.

$$A_{PT_ICD_g} = U_{reduced} S_{reduced} V_{reduced}^T$$
 (Eq. 2),

Where *g* is the level of abstraction (10%, 15%, 66%, and 85%), corresponding to the level of reduced matrices. $A_{PT_ICD_g}$ is an approximation of the initial matrix (A_{PT_ICD}).

Imputation Strategies:

Latent-based model: Our imputation method is a *hybrid* method, referred to as "latent-based model", that is based upon concurrently applying dimensionality reduction and clustering strategy. This hybrid efficiently method, captures relationships among features (or variables) and reduces noise (through dimensionality reduction) providing while an adaptive mechanism to perform imputation for any complex phenotype or trait. comorbidity Using latent data, patients were clustered using k-mean clustering technique. The data was

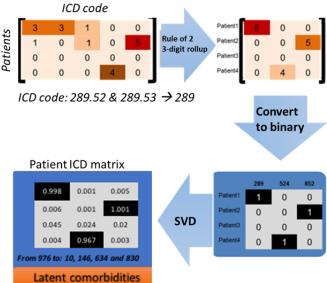


Fig. 2. Construction of "Patient ICD Matrix" using comorbidity data from EHR.

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

5

clustered into 2, 4, 8, 16, 32 and 64 clusters. Imputation, using MICE, was then applied to each sub-group independently to predict the missing values. Furthermore, different variations in implementation were also explored.

Latent-based model with reduced sparsity: using the information from the singular value decomposition, the comorbidity data were evaluated for sparsity. The SVD captures the encoding of the matrix and insignificant ICD9/10 codes are transformed to values close to zero. Therefore, removing ICD9/10 codes corresponding to low values will reduce the sparsity of the matrix without eliminating important information. Three levels were assessed and number of disease codes (ICD9/10) was reduced to build three different datasets with varying level of sparsity. High sparsity is referred to when the sum of all the values for a ICD9/10 code in latent comorbidity matrix is less than 1 (that is the final matrix is very sparse by including the majority of the ICD9/10 codes), medium when the sum is less than 10 and low sparsity is when the sum is below 100 (only highly used ICD9/10 codes are included in the dataset). This strategy will also reduce noise, while also decreasing the size of the matrix, which will slightly reduce the computational complexity.

Feature expansion: a feature-expanded model was developed to evaluate if addition of comorbidity data as added features to the lab measurements could enhance the imputation performance as

compared to the implementation of the hybrid model. In this situation. the matrix with the binary laboratory measures was expanded with the addition of comorbidity matrix (see Fig 3). The MICE imputation was then performed to predict the

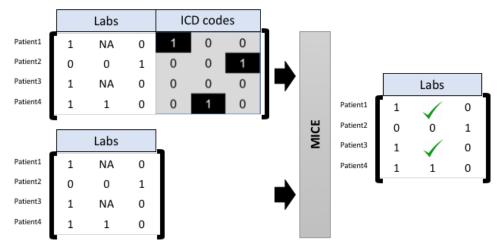


Fig. 3. Feature expansion model. Laboratory and ICD codes are combined prior to imputation. The comparison is made with performing imputation on laboratory values using the same MICE algorithm.

values of missing lab measurements.

Evaluation Strategy:

Model evaluation was performed by randomly selecting variables and predicting them using the different strategies. To ensure fair distribution of random values that were withheld for testing before imputation, the data were split into bins based on missingness. The data were split into 2 bins in case of 5% missingness and 4 bins in case of 50%, 75% and 95% missingness. Equal number of values were withheld from each of the bins. Probability is low for selecting values from labs with high sparsity, thus sampling from bins ensures random values chosen to be withheld for testing are picked from all the levels of missingness. Each run was then repeated 10 times and the P-value was calculated using standard t-test statistics. The root mean square error (RMSE) was also calculated and averaged over the 10 runs. Comparison was based on calculating the

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

6

difference between running imputation using the latent-based model and its' various derivations techniques, and the standard MICE algorithm.

III. Results

A rich clinical dataset for Inflammatory Bowel Disease facilitates the development of imputation techniques targeted for complex phenotypes.

We have identified 10,498 IBD patients from the Geisinger EHRs, with rich longitudinal data, spanning an average of nine years (time between first time diagnosed and current age) with over 10% of the IBD patients having over 15 years of EHR data in the system capturing their treatment experience and co-morbidities. Furthermore, only less than 20% of our IBD patients have less than five years of clinical data for analysis, making this dataset rich. In general, we observed, that for a very small set of the variables, the percentage of missingness was very small; however, the majority of the laboratory values had a relatively larger number of missing level. Fig. 4 highlights this trend. These observations further motivate the need for development of a method that is robust for imputation of sparse datasets.

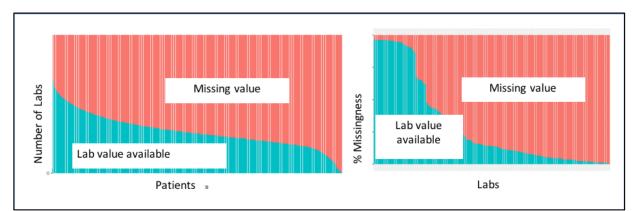


Fig. 4. Missingness level in the Inflammatory Bowel Disease (IBD) cohort. Inflammation markers such as CRP (missing at 56%) and Sedimentation Rate (missing at 33%) are important lab measures for IBD.

Comorbidity can *improve* imputation for complex phenotypes.

Our novel method when compared with standard MICE algorithm shows significant improvement for variables with up to 95% missingness (Fig 5), even the comparison was disadvantageous for the hybrid method since the number of patients was larger when the data was not clustered. The goal of this study was to identify a combination of model parameters (number of clusters, data abstraction, and sparsity level), such that the imputation can be optimized for a given level of missingness in the data extracted from EHR. For instance, if low level of missingness is what is required, then for this specific dataset, optimal imputation can be performed by finding optimal region with that setting, considering both improved performance and significance level (P-value). However, if more lab measurements are of interest with possibly reaching a 75% missingness for some lab values, then panel A3,7,11 can be compared with their respective B3,7,11 P-value levels (see Fig. 5). Furthermore, if binary values are of interest as opposed to actual values, then one may consider evaluating sub-panels C1-12 and their corresponding p-values (D1-12). This strategy demonstrates the need to better understand the scope of the imputation technique prior to estimating the missing values from large and complex dataset retrieved from EHRs.

7

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

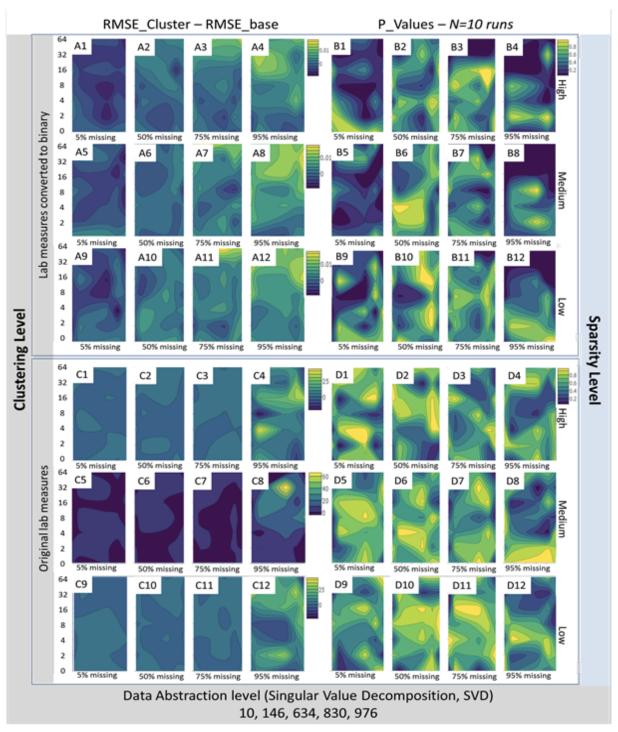


Fig. 5. Optimized imputation method based on shared latent comorbidity information from EHR. The darker region corresponds to improvement of imputation using our novel method when compared to the standard MICE algorithm (difference between RMSE using new approached and MICE). Clustering level is based on number of clusters using k-mean clustering with *k* ranging from 0 to 64. Data abstraction level is based on using SVD and retaining only 10, 146, 634, 830 or 976 (or 10%, 15%, 66%, 85%, or 100%) of eigenvalues. Sparsity level is measured by including ICD codes that are prevalent using information from the encoding matrix from the SVD process; high sparsity (A1-4 and C1-4) is when all ICD codes are included in the model. Panels A1-12 correspond to imputation applied to original values with their respective P-value (panels B1-12).

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

Increasing the feature space *deteriorates* the performance of imputation.

By considering the feature expansion model alternative, have we increased the dimensionality of our feature space from 129 features (lab values) to about 1,000 features (129 labs + 976 ICD9 codes) for a fix population of 10K+ IBD patients. This alternative proved to be outperforming slightly the standard MICE, but for only the 5% missingness level (see Fig. 6). For higher level of missingness, the extended model did not outperform the standard MICE with the 129 variables.

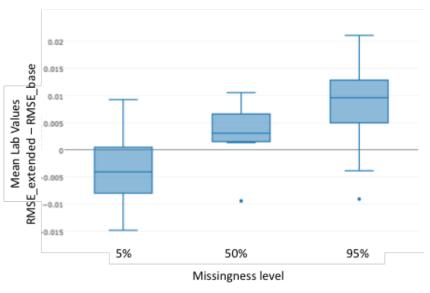


Fig. 6. Increasing the feature space by using ICD code matrix information; N=20.

IV. Discussion and Conclusion

The use of heterogeneous and large-scale clinical datasets, such as EHRs, provide an avenue for exploration of strategies to improve care at individualized levels, which include developing personalized models of response to therapy for complex diseases such as IBD. However, the data extracted from EHRs is noisy and have a large number of missing values, which requires a robust imputation strategy designed for large dataset of heterogeneous population. For realistic applications, It is not recommended to solely rely on redundancy of EHR data to conduct imputation, as our imputation strategy suggests. Our imputation strategy attempts to address this critical challenge using the IBD as a case study.

The IBD population is heterogeneous and a clear understanding of its risk factors is still lacking; therefore, treatment plan is usually still designed based on the average patient. Recent advances in knowledge of IBD pathogenesis have led to the implication of a complex interplay between metabolic reprogramming and immunity [15]. Furthermore, response to treatment in IBD varies significantly among individuals and disease subtypes based on demographic characteristics, diet, comorbidities, underlying immunological factors, and genetic polymorphisms. Thus, there is an urgent unmet clinical need to replace current approaches with personalized strategies that consider individual variability and diversity, and clinical data, if properly used, can provide a valuable resource in this field.

There has been a large number of studies developing novel imputation methods, and various studies assessing the use of different methods for large clinical studies. However, these methods do not tend to focus on a disease and more importantly on a complex disease or trait, rather they focus on the scale of the problem (number of cases, number of variables). It is only in some instances that studies are designed to investigate the possible correlation between variables and

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

the effect of such correlation on the imputation outcome. However, majority of imputation methods for large clinical datasets (such as EHRs) will be used in a well-defined context, such as a specific disease or disease category (ex: infectious diseases, immune diseases, cancer, or cardiovascular diseases). In general, in a given study, the focus is rarely on all diseases at the same time, therefore, methods developed for imputation should also be designed with that in mind. Easy access to EHRs from large hospital systems could be one of the major limiting factors in the lack of development of novel imputation techniques for data from EHRs.

In this study, we have developed an intuitive method that can be applied for predicting missing values for complex diseases from EHRs. We have used the EHR s from Geisinger Health System, a pioneering institution in personalized and precision medicine. Geisinger has a national reputation for both high quality patient care and for being an innovative, integrated health care delivery system. This is especially true around electronic health information, being an early adopter of EPIC (1996) and building an enterprise-wide clinical data warehouse that contains comprehensive clinical and insurance claims data. We have reported demographic and clinical characteristics of the active patients in a recent publication [16].

The method presented here is an intuitive approach for any given complex disease, where biosignatures or risk factors are only partially known and the relationship among the variables can be convoluted given the large dimensionality of the dataset. Furthermore, level of missingness can be high, even though the best results are typically obtained when the level of missingness is low or moderate. However, given the disease or condition of interest, the investigator will have the ability to include variables that may be crucial for the study. For instance, in the case of IBD, key variables of interest include CRP (missing at 56%), sedimentation rate (missing at 33%) which are not among the common variables in our dataset. Therefore, selecting the most commonly used variables will exclude important parameters for an investigation into the IBD cohort.

Using this approach, we were able to predict missingness of laboratory values that had missing level up to 95%. Our method was able to better predict the missing values than the standard algorithm. Furthermore, we have also shown that by simply integrating more features into the model and building very high-dimensional dataset (with about 1000 features) may not be an appropriate strategy, at least for a cohort of 10,000+ patients. Therefore, the hybrid-based strategy may be a better alternative in most situations.

Even though we had access to a large cohort of IBD population, our dataset was still limited if compared to more common conditions such as Diabetes with over 80,000 patients in our Geisinger cohort, or our COPD cohort of >49,000 patients. For those cases, more clusters could be formed to further improve the prediction outcome. Furthermore, we have only imputed median values; however, given a disease such as IBD, evaluating laboratory measures during the various stages of the disease may be more useful and also more predictive of the disease progression and relapse. However, imputing laboratory measures for each encounter is challenging at many levels, one such challenge is the significantly higher level of missingness in the dataset. As a future direction, we will investigate how to best impute longitudinal laboratory measures to better inform clinical studies. In addition, we will also explore integrating additional features, such as demographic information, age, gender, medication usage, as well as genetic information when available to further enhance the imputation outcome. Finally, we will evaluate various preprocessing and normalization strategies and evaluate if these manipulations can improve the outcome of our predictions, especially for variables with non-normal distributions. At last, even though MICE is one of the most popular imputation technique for EHRs, it would be interesting to compare our method with other algorithms.

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

10

To conclude, we have optimized the level of abstraction needed to improve the imputation for our IBD cohort of 10,498 patients. Our novel method when compared with standard "multiple imputation using chained equations" (MICE) shows significant improvement of up to 49% for variables with up to 95% missingness. We have shown that imputation can be improved, using *shared latent comorbidities*, and can facilitate generating a robust EHR dataset for further mining and modeling.

Funding: This work was supported by Geisinger Health System.

Supplemental Material:

Table S1: Laboratory data elements used in this study and their level of missingness in the IBD cohort.

LAB LOINC Name	Missing %
Hemoglobin [Mass/volume] in Blood	3.6
Hematocrit [Volume Fraction] of Blood by Automated count	3.9
Leukocytes [#/volume] in Blood by Automated count	3.9
Erythrocyte mean corpuscular volume [Entitic volume] by Automated count	3.9
Erythrocytes [#/volume] in Blood by Automated count	3.9
Erythrocyte mean corpuscular hemoglobin [Entitic mass] by Automated count	3.9
Erythrocyte mean corpuscular hemoglobin concentration [Mass/volume] by Automated count	3.9
Erythrocyte distribution width [Ratio] by Automated count	3.9
Platelets [#/volume] in Blood by Automated count	4.1
Creatinine [Mass/volume] in Serum or Plasma	4.4
Platelet mean volume [Entitic volume] in Blood by Automated count	4.6
Potassium [Moles/volume] in Serum or Plasma	4.7
Urea nitrogen [Mass/volume] in Serum or Plasma	5.0
Chloride [Moles/volume] in Serum or Plasma	5.3
Carbon dioxide, total [Moles/volume] in Serum or Plasma	5.3
Calcium [Mass/volume] in Serum or Plasma	5.8
Glucose [Mass/volume] in Serum or Plasma	6.0
Sodium [Moles/volume] in Serum or Plasma	7.4
Bilirubin.total [Mass/volume] in Serum or Plasma	8.3
Protein [Mass/volume] in Serum or Plasma	8.8
Aspartate aminotransferase [Enzymatic activity/volume] in Serum or Plasma by With P-5'-P	9.6
Alanine aminotransferase [Enzymatic activity/volume] in Serum or Plasma by With P-5'-P	9.7
Anion gap 3 in Serum or Plasma	11.8
Neutrophils [#/volume] in Blood by Automated count	13.5
Sedimentation Rate	32.7
Triglyceride [Mass/volume] in Serum or Plasma	34.1
Bilirubin.direct [Mass/volume] in Serum or Plasma	34.4
Cholesterol [Mass/volume] in Serum or Plasma	35.2
Cholesterol in HDL [Mass/volume] in Serum or Plasma	37.3
Cholesterol in LDL [Mass/volume] in Serum or Plasma by calculation	38.2
Lipase [Enzymatic activity/volume] in Serum or Plasma	54.4
C reactive protein [Mass/volume] in Serum or Plasma	55.5
Iron [Mass/volume] in Serum or Plasma	56.8
Immature granulocytes [#/volume] in Blood by Automated count	56.8
Cobalamin (Vitamin B12) [Mass/volume] in Serum or Plasma	57.1
Immature granulocytes/100 leukocytes in Blood by Automated count	58.0
Ferritin [Mass/volume] in Serum or Plasma	59.6
Iron binding capacity.unsaturated [Mass/volume] in Serum or Plasma	61.0
Phosphate [Mass/volume] in Serum or Plasma	64.6
Magnesium [Mass/volume] in Serum or Plasma	65.3 66.7
Hemoglobin A1c/Hemoglobin.total in Blood by HPLC	

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

11

Glucose [Mass/volume] in Blood by Automated test strip	67.8
Amylase [Enzymatic activity/volume] in Serum or Plasma	68.8
Creatine kinase [Enzymatic activity/volume] in Serum or Plasma	69.4
Folate [Mass/volume] in Serum or Plasma	
Thyroxine (T4) free [Mass/volume] in Serum or Plasma	
Neutrophils.band form/100 leukocytes in Blood by Manual count	
Neutrophils.band form [#/volume] in Blood by Manual count	72.4
Glucose mean value [Mass/volume] in Blood Estimated from glycated hemoglobin	
Iron saturation [Mass Fraction] in Serum or Plasma	
Cholesterol in LDL [Mass/volume] in Serum or Plasma by Direct assay	73.3
Creatine kinase.MB [Mass/volume] in Serum or Plasma	78.7
Prostate specific Ag [Mass/volume] in Serum or Plasma	81.1
Urate [Mass/volume] in Serum or Plasma	81.2
IgA [Mass/volume] in Serum or Plasma	81.5
Rheumatoid factor [Units/volume] in Serum or Plasma	82.8
Creatine kinase.MB/Creatine kinase.total in Serum or Plasma by Electrophoresis	83.9
Lactate dehydrogenase [Enzymatic activity/volume] in Serum or Plasma by Lactate to pyruvate	83.9
reaction	
Calcium.ionized [Moles/volume] in Serum or Plasma by Ion-selective membrane electrode (ISE)	84.4
Microalbumin [Mass/volume] in Urine	84.4
Microalbumin/Creatinine [Mass Ratio] in Urine	84.5
Gamma glutamyl transferase [Enzymatic activity/volume] in Serum or Plasma	85.2
Metamyelocytes/100 leukocytes in Blood by Manual count	85.7
Oxygen [Partial pressure] in Arterial blood	85.7
Carbon dioxide [Partial pressure] in Arterial blood	85.8
Fractional oxyhemoglobin in Arterial blood	85.9
Bicarbonate [Moles/volume] in Arterial blood	86.0
pH of Arterial blood	86.0
Metamyelocytes [#/volume] in Blood by Manual count	86.1
Parathyrin.intact [Mass/volume] in Serum or Plasma	86.3
Triiodothyronine (T3) Free [Mass/volume] in Serum or Plasma	87.2
Oxygen saturation in Arterial blood	88.0
Beta globulin/Protein.total [Pure mass fraction] in Serum or Plasma by Electrophoresis	88.4
Gamma globulin/Protein.total [Pure mass fraction] in Serum or Plasma by Electrophoresis	
Albumin/Protein.total [Pure mass fraction] in Serum or Plasma by Electrophoresis	88.5
Reticulocytes/100 erythrocytes in Blood by Automated count	88.6
Protein [Mass/volume] in Urine	89.2
Oxygen/Inspired gas setting [Volume Fraction] Ventilator	
Myelocytes [#/volume] in Blood by Manual count	89.6
Base deficit in Arterial blood	89.7
Chloride [Moles/volume] in Blood	89.9
Natriuretic peptide.B prohormone N-Terminal [Mass/volume] in Serum or Plasma	90.6
C reactive protein [Mass/volume] in Serum or Plasma by High sensitivity method	90.9
Carbon dioxide, total [Moles/volume] in Blood	90.9
Base excess in Arterial blood by calculation	91.3
Follitropin [Units/volume] in Serum or Plasma by 2nd IRP	91.6
Creatinine [Mass/volume] in Blood	91.8
Natriuretic peptide B [Mass/volume] in Serum or Plasma	92.0
Thyrotropin [Units/volume] in Serum or Plasma by Detection limit <= 0.005 mIU/L	
Glucose [Mass/volume] in Blood	92.5
Cortisol [Mass/volume] in Serum or Plasma	92.8
IgG [Mass/volume] in Serum or Plasma	93.2
Testosterone [Mass/volume] in Serum or Plasma	93.3
Carboxyhemoglobin/Hemoglobin.total in Blood	
IgM [Mass/volume] in Serum or Plasma	
Methemoglobin/Hemoglobin.total in Blood	
Lutropin [Units/volume] in Serum or Plasma	
Cardiolipin IgG Ab [Units/volume] in Serum by Immunoassay	
Cardiolipin IgM Ab [Units/volume] in Serum by Immunoassay	94.8

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

Carcinoembryonic Ag [Mass/volume] in Serum or Plasma	95.2
Testosterone Free [Mass/volume] in Serum or Plasma	
Fibrinogen [Mass/volume] in Platelet poor plasma by Coagulation assay	
Insulin [Units/volume] in Serum or Plasma	95.3
Thyroxine (T4) [Mass/volume] in Serum or Plasma	95.4
Homocysteine [Moles/volume] in Serum or Plasma	95.5
Calcidiol [Mass/volume] in Serum or Plasma	95.9
Albumin/Protein.total in Body fluid by Electrophoresis	96.4
Beta globulin/Protein.total in Serum or Plasma by Electrophoresis	96.5
Digoxin [Mass/volume] in Serum or Plasma	96.6
dRVVT (LA screen)	97.2
Alpha-1-Fetoprotein [Mass/volume] in Serum or Plasma	97.4
Methylmalonate [Moles/volume] in Serum or Plasma	97.4
Sex hormone binding globulin [Moles/volume] in Serum or Plasma	97.7
Cancer Ag 125 [Units/volume] in Serum or Plasma	97.7
Estradiol (E2) [Mass/volume] in Serum or Plasma	97.8
Testosterone.free+weakly bound [Mass/volume] in Serum or Plasma	97.8
Protein [Mass/time] in 24 hour Urine	97.9
Calcium [Mass/volume] in Urine	98.0
Calprotectin	98.2
Progesterone [Mass/volume] in Serum or Plasma	98.3
Prostate Specific Ag Free/Prostate specific Ag.total in Serum or Plasma	
C peptide [Mass/volume] in Serum or Plasma	98.8
Glucose [Mass/volume] in Serum or Plasma1 hour post dose glucose	98.8
Glucose [Mass/volume] in Serum or Plasma2 hours post dose glucose	
Aldosterone [Mass/volume] in Serum or Plasma	
Glucose [Mass/volume] in Serum or Plasma3 hours post dose glucose	
Angiotensin converting enzyme [Enzymatic activity/volume] in Serum or Plasma	
Volume of 24 hour Urine	

Reference:

- 1. Verma, M., et al., *Modeling-Enabled Systems Nutritional Immunology*. Front Nutr, 2016. **3**: p. 5.
- 2. Vodovotz, Y., et al., *Solving Immunology?* Trends Immunol, 2017. **38**(2): p. 116-127.
- 3. R., Z., et al., *Development of Synthetic Patient Populations and In Silico Clinical Trials*, in *Accelerated Path to Cures*, B.-R. J., Editor. 2018 [in press], Springer International Publishing AG.
- 4. Botsis, T., et al., *Secondary Use of EHR: Data Quality Issues and Informatics Opportunities*. AMIA Jt Summits Transl Sci Proc, 2010. **2010**: p. 1-5.
- 5. Sterne, J.A., et al., *Multiple imputation for missing data in epidemiological and clinical research: potential and pitfalls.* BMJ, 2009. **338**: p. b2393.
- 6. Beaulieu-Jones, B.K., et al., *Characterizing and Managing Missing Structured Data in Electronic Health Records.* bioRxiv, 2017.
- 7. Beaulieu-Jones, B.K. and J.H. Moore, *Missing Data Imputation in the Electronic Health Record Using Deeply Learned Autoencoders.* Pac Symp Biocomput, 2016. **22**: p. 207-218.
- 8. Troyanskaya, O., et al., *Missing value estimation methods for DNA microarrays.* Bioinformatics, 2001. **17**(6): p. 520-5.
- 9. Kuppusamy, V. and I. Paramasivam, *Integrating WLI fuzzy clustering with grey neural network for missing data imputation.* International Journal of Intelligent Enterprise, 2017. **4**(1-2): p. 103-127.
- Lee, K.J. and J.B. Carlin, *Multiple imputation in the presence of non-normal data*. Stat Med, 2017.
 36(4): p. 606-617.
- 11. Liu, Y. and V. Gopalakrishnan, *An Overview and Evaluation of Recent Machine Learning Imputation Methods Using Cardiac Imaging Data*. Data (Basel), 2017. **2**(1).

12

Latent-Based Imputation of Laboratory Measures from EHR: Case for Complex Diseases

- 12. Wells, B.J., et al., *Strategies for handling missing data in electronic health record derived data*. EGEMS (Wash DC), 2013. **1**(3): p. 1035.
- 13. White, I.R., P. Royston, and A.M. Wood, *Multiple imputation using chained equations: Issues and guidance for practice.* Stat Med, 2011. **30**(4): p. 377-99.
- 14. Visiongain. *Global Inflammatory Bowel Diseases (IBD) Drug Market Forecast 2017-2027*. 2017; Available from: <u>https://www.prnewswire.com/news-releases/global-inflammatory-bowel-diseases-ibd-drug-market-forecast-2017-2027-617953183.html</u>.
- 15. Leber, A., et al., *NLRX1 Regulates Effector and Metabolic Functions of CD4+ T Cells.* J Immunol, 2017. **198**(6): p. 2260-2268.
- 16. Dewey, F.E., et al., *Distribution and clinical impact of functional variants in 50,726 whole-exome sequences from the DiscovEHR study*. Science, 2016. **354**(6319).