

1 Differential expression of an alternative splice variant of IL-12R β 1 impacts early dissemination in the
2 mouse and associates with disease outcome in both mouse and humans exposed to tuberculosis

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31 **Abstract.** (244 words)

32 Experimental mouse models of TB suggest that early events in the lung impact immunity. Early events
33 in the human lung in response to TB are difficult to probe and their impact on disease outcome is
34 unknown. We have shown in mouse that a secreted alternatively-spliced variant of IL-12R β 1, lacking the
35 transmembrane domain and termed Δ TM-IL-12R β 1, promotes dendritic cell migration to the draining
36 lymph node, augments T cell activation and limits dissemination of *M. tuberculosis* (Mtb). We show here
37 that CBA/J and C3H/HeJ mice (both highly susceptible to Mtb) express higher levels of Δ TM-IL-12R β 1
38 than resistant C57BL6 mice and limit early dissemination of Mtb from the lungs. Both CD11c⁺ cells and
39 T cells express Δ TM-IL-12R β 1 in humans, and mice unable to make Δ TM-IL-12R β 1 in either CD4 or
40 CD11c expressing cells permit early dissemination from the lung. Analysis of publically available blood
41 transcriptomes indicates that pulmonary TB is associated with high Δ TM-IL-12R β 1 expression and that
42 of all IL-12 related signals, the Δ TM-IL-12R β 1 signal best predicts active disease. Δ TM-IL-12R β 1
43 expression reflects the heterogeneity of latent TB infection and has the capacity to discriminate between
44 latent and active disease. In a new Chinese TB patient cohort, Δ TM-IL-12R β 1 effectively differentiates
45 TB from latent TB, healthy controls and pneumonia patients. Finally, Δ TM-IL-12R β 1 expression drops in
46 drug-treated individuals in the UK and China where infection pressure is low. We propose that Δ TM-IL-
47 12R β 1 regulates early dissemination from the lung and that it has diagnostic potential and provides
48 mechanistic insights into human TB.

49

50 **Introduction**

51 Tuberculosis (TB) remains a critical worldwide health issue despite significant public health and research
52 efforts¹. Key elements in our failure to limit this disease are the large number of people infected with the
53 bacterium, *Mycobacterium tuberculosis* (Mtb) which causes disease, the lack of an effective vaccine
54 against pulmonary TB and the need for prolonged and multiple drug treatments². A critical gap in
55 knowledge is what separates those that develop disease from the majority of infected individuals who
56 control the infection without consequences³. Mendelian susceptibility to mycobacterial disease (MSMD)
57 provides some insight, as those lacking the ability to generate or respond to interferon (IFN)- γ have a
58 significantly increased risk of mycobacterial disease including TB⁴. A key component identified by MSMD
59 and one known to exacerbate severity of TB is genetic dysfunction of the Interleukin (IL)-12 receptor
60 component IL-12R β 1^{4,5}, which acts with IL-12R β 2 or IL-23R to mediate signaling by IL-12p70 or IL-23
61 respectively⁶. Understanding how the IL-12 receptor complex contributes to protection and disease is
62 therefore critical. While the major function of this receptor complex is to promote IFN- γ production and
63 consequent macrophage activation^{4,6}, we have identified a novel role for IL-12p40 and IL-12R β 1 in
64 initiation of early events within the lung following low dose aerosol exposure to Mtb^{7,8}.

65 Experimental mouse models of aerosol exposure to Mtb indicate that the rapidity of bacterial
66 dissemination and early activation of T cells in the draining lymph node and spleen contribute to effective
67 control of lung infection^{9,10,11}. Specifically, slow dissemination of bacteria leads to delayed T cell
68 activation and delayed recruitment of these T cells¹². This delay results in T cells arriving at the infected
69 foci after the inflammatory response to the bacteria has begun and where the inflamed environment limits
70 the efficacy of the T cell response in situ^{10,13}. We have shown that the cytokine IL-12p40 is expressed
71 early following Mtb exposure⁸ and that if dendritic cells do not express IL-12p40 then they do not become
72 motile when exposed to Mtb, do not migrate from the lung to the draining lymph node and do not drive
73 naive T cell activation⁷. Dendritic cells in the lungs of Mtb-exposed mice produce not only IL-12p40 but
74 also an alternative splice variant of IL-12R β 1 which lacks the transmembrane domain and which we have
75 called Δ TM-IL-12R β 1⁸. This splice variant was identified when the receptor was first described¹⁴ however

76 its function was not appreciated until our studies in Mtb^{8, 15}. The Δ TM-IL-12R β 1 locates to the
77 endoplasmic reticulum¹⁶ and can be secreted by transfected cells and mitogen-activated T cells blasts¹⁵.
78 Human cells produce a similar splice variant of the IL12RB1 gene in response to Mtb exposure and this
79 is referred to as isoform 2^{8, 17} and has been shown to augment human T cell responsiveness to IL-
80 12p70¹⁸. Our data supports the hypothesis that the alternative splice variant of IL-12R β 1 plays an early
81 role in initiation of T cell activation in response to aerosol infection with Mtb and that the production of
82 this splice variant in human TB merits investigation.

83 We have used meta-analysis of public data sets with statistical analysis to combine results from
84 independent but related studies to identify factors potentially contributing to human disease¹⁹. Meta-
85 analysis of gene expression data in particular can provide support for further investigation of any one
86 particular pathway by targeted differential expression analysis²⁰, analysis of relative expression of gene
87 in various tissues and cells²¹ and pathway analysis²². Multiple transcriptomic profiles of TB patients and
88 controls have been deposited for analysis²³. These data sets are available from areas of the world where
89 the chance of infection (or infection pressure) varies due to the incident rate of disease; thus South Africa
90 has a high incidence of TB at 781/100,000 and thus has high infection pressure, China has moderate
91 incidence at 65/100,000 (modest infection pressure) and the UK has an overall low incidence
92 (10/100,000) with some higher areas in London and the Midlands but at best only a low infection
93 pressure¹. This variety in the source of transcriptomic data sets allows for global insight into how specific
94 gene expression profiles are associated with disease and also allows infection pressure to be considered
95 as a factor in this association. Importantly for our study, while the commercially available arrays have
96 probes sets specific for IL12RB1 these sets contain probes uniquely specific for either the full length or
97 the alternatively spliced isoform. Combining the signal for the full and the alternatively spliced isoform
98 into one signal for IL12RB1 obfuscates which isoform is expressed and therefore manual curation is
99 required in order to differentiate these signals.

100 To address the potential role of the alternative splice variant of IL-12R β 1 in TB we have combined mouse
101 mechanistic studies with analysis of both published and novel transcriptomic profiles. We have found that

102 high expression of Δ TM-IL-12R β 1 in the lungs of mice susceptible to Mtb results in very limited early
103 dissemination of bacteria from the lung to the periphery. Furthermore, mice lacking the ability to make
104 the Δ TM-IL-12R β 1 in either CD11c⁺ or CD4⁺ expressing cells are compromised in their ability to limit
105 dissemination to the periphery. Taken together these data implicate early expression of the Δ TM-IL-
106 12R β 1 as a factor in control of Mtb dissemination from the lung to the periphery. To determine if the
107 human Δ TM-IL-12R β 1 or isoform 2 is associated with infection and disease in areas of low and high
108 infection pressure we analyzed expression of the Δ TM-IL-12R β 1 specific probes in transcription data sets
109 from around the world. We found that expression of Δ TM-IL-12R β 1 in the blood is associated with active
110 pulmonary TB and that it reflects the heterogeneity of the latently infected population.

111

112 **Materials and methods**

113 *Subjects and clinical sample collection.* Protocols were approved by the Institutional Review Board of
114 Shenzhen Third People's Hospital, Shenzhen, China and informed consent was obtained from all
115 participants. Whole blood samples were collected from healthy controls (HC, n=20), individuals with latent
116 tuberculosis infection (LTBI, n=20), patients with active tuberculosis (TB, n=20) and patients with
117 pneumonia (n=20) at Shenzhen Third People's Hospital.

118 Patients with TB were diagnosed based on clinical symptoms, chest radiography, positive sputum Mtb
119 culture and positive response to anti-TB chemotherapy. Asymptomatic individuals with non-clinical
120 disease were recruited as controls. Mtb specific IGRAs were used to differentiate individuals with LTBI
121 from HCs without infection²⁴. The diagnosis of pneumonia was based on 1) lavage fluid or sputum
122 cultures were Mtb-negative during clinical follow-up, 2) new infiltration and clinical signs on chest
123 radiograph were evident and completely resolved after treatment with the appropriate antibiotics, and 3)
124 viral pathogens were not detected. The demographic characteristics of study populations of this study
125 are in Table 1.

126 *Mice* C57BL/6J, C3H/HeJ and CBA/J mice were purchased from JAX mice and housed at Trudeau
127 Institute, Inc. B6.Cg-Tg(CD4-Cre) and B6.Cg-Tg(Ilgax-cre) mice were purchased from Jax and crossed

128 with our transgenic mice, which upon FLP and CRE recombination excise introns 13 and 14 of the *il12rb1*
129 gene¹⁵. Confirmation of excision was undertaken with PCR in each case.

130 *Infection* Mtb strain H37Rv was grown in Proskauer Beck medium containing 0.05% Tween 80 to mid-
131 log phase and frozen at -70°C . Mice were infected with a low dose of bacteria (~ 75 CFU) using a Glas-
132 Col airborne infection system as previously described²⁵. At day 1 and day 15 post-challenge, mice were
133 killed by CO₂ asphyxiation, the organs were aseptically excised and individually homogenized in saline.
134 Organ homogenates were subsequently plated on nutrient 7H11 agar (BD Biosciences) for 3 weeks at
135 37°C , at which point CFU were counted.

136 *Quantitative PCR*. RNA was generated from mouse tissue or human cells using the RNAeasy kit and
137 was reverse transcribed with an RT Kit from Life technologies – now ThermoFisher Scientific). RT-PCR
138 was performed to generate Ct values which were normalized to the housekeeping gene signal and then
139 applied to a standard curve to determine copy number for each isoform of human and mouse IL-12R β 1
140 transcript generated from a specific template²⁶.

141 *Human monocyte derived dendritic cells*. Discarded filters from blood donor clinic were harvested for
142 peripheral blood cells and the CD14+ cells were purified using magnetic beads (Miltenyi). CD14+ cells
143 were seeded at 2×10^6 /ml into wells in RPMI containing 10% FCS and stimulated with 4000IU/ml GM-CSF
144 and 1000IU/ml IL-4 (both cytokines from Peprotech). Media was refreshed at 3 days and the cells were
145 used for experiments at day 5.

146 *Microarray data from publically available databases*. To evaluate gene expression of $\Delta\text{TM-IL-12R}\beta 1$ in
147 TB, we used the transcriptome–microarray datasets available in public repositories. Briefly, public
148 databases of Gene Expression Omnibus (<http://www.ncbi.nlm.nih.gov/geo>) and ArrayExpress
149 (<https://www.ebi.ac.uk/arrayexpress>) were searched with the keywords ‘Tuberculosis, Patients’. A total
150 of 59 data series (58 in GEO and 19 in ArrayExpress – with 16 overlapping) were retrieved from the
151 search. We used the following exclusion criteria:- samples other than blood or blood derived cells, array
152 platform lacking specific probe for $\Delta\text{TM-IL-12R}\beta 1$, series lacking non-comorbid TB conditions, lacking
153 adult TB subjects, with less than 3 replicated samples for one condition and repeat arrays, we identified

154 16 arrays for further analysis. Full texts of the associated articles were evaluated for details on patient
155 selection, demographics, sampling and RNA analysis. Six out of 16 were further excluded as the median
156 centered across samples was not zero²⁷ and final analysis was carried out on 10 datasets (Table 2).

157 *Processing of microarray dataset.* Out of 10 data sets, 7 were generated on Illumina and 3 were on the
158 Affymetrix platform (Table 2). All 3 Affymetrix data series were generated on the same platform. The raw
159 .cel files were converted to expression values using GC-RMA package read by 'affy' package on
160 Bioconductor²¹. Associated packages and libraries used were "hgu133plus2.db", "hgu133plus2cdf". R-
161 studio version-1.0.143.0 using Bioconductor libraries and R statistical packages were used for data
162 analysis. Processed data matrices were exported into tab delimited txt files.

163 For Illumina data series matrix, those including non-log transformed and normalized data were analyzed
164 using 'GEOquery' and 'lumi' package^{28, 29}. For datasets having transformed and normalized values in
165 series matrix, raw (non-normalized data) data files were downloaded from the GEO_supplementary files
166 followed by processing using 'lumi' package. Briefly, data sets were processed, background corrected,
167 log-2 transformed and then normalized using 'quantile' method. The processed data file was saved as
168 tab delimited .txt file.

169 *RNA-seq data analysis.* Raw paired-end RNA-seq data from Singhanian et al.³⁰ for the Berry London
170 cohort³¹ was subjected to quality control using FastQC (Babraham Bioinformatics) and MultiQC³².
171 Trimmomatic v0.36 was used to remove adapters and filter raw reads below the 36 bases long and
172 leading and trailing bases below quality 25³³. Paired filtered reads from Trimmomatic were aligned to the
173 *Homo sapiens* genome Ensembl GRCh38 (release 86) using HISAT2 v2.0.4 with default settings and RF
174 rna-strandedness³⁴. Gene transcripts representing multiple splice variants for each gene locus were
175 assembled using StringTie v1.3.3 with default settings, using the -eB and stranded library fr-secondstrand
176 parameters, and the reference genome mentioned above³⁵. The assembled transcriptomes were
177 annotated and analyzed using the *bioconductor* package Ballgown³⁶ in R to obtain fragments per kilobase
178 of transcript per million reads sequenced (FPKM) values³⁶.

179 *QuantiGene RNA analysis* Whole blood was collected directly into PAXgene Blood RNA tubes (BD
180 Biosciences) from individuals classified as HC, LTBI, TB and pneumonia in Shenzhen's Third People's
181 Hospital, China (Table 1). Total RNA was extracted using the PAXgene Blood RNA Kit (Qiagen) following
182 the manufacturer's instructions. The purified RNA samples were processed and run on a custom
183 Panomics QuantiGene 2.0 Multiplex array (Affymetrix) plate by the Shanghai Biotechnology Corporation
184 (China, Shanghai). This plate was designed by the manufacturer with probes to simultaneously detect 31
185 target genes including IL12RB1. Gene expression was normalized to Housekeeping genes transcripts
186 following the manufacturer's protocol (<http://www.panomics.com>).

187 *Statistical analysis.* Differences between the means of experimental groups were analyzed using the two
188 tailed Student's *t*-test or ANOVA as appropriate. Paired *t* tests were used for the longitudinal data.
189 Differences were considered significant where $P \leq 0.05$. Inherently logarithmic data was transformed for
190 statistical analysis. Where bacterial numbers were below the limit of detection the samples were given
191 the value of the limit of detection to allow analysis. Pearson correlation was used to assess interaction
192 between probes within a dataset. Briefly lumi/GC-RMA processed intensity data were used to prepare a
193 functional data matrix with selected variables, this data matrix was assessed in the 'Statistical Module'
194 of the Metaboanalyst 3.0 online tool to calculate the Pearson Correlation Coefficient³⁷. Similarly, a
195 modified data matrix with downselected variables was used for Receiver Operating Characteristic (ROC)
196 curve analysis. The analysis was carried out in 'Biomarker Analysis' module of MetaboAnalyst 3.0 online
197 tool³⁷. Classical univariate ROC curve analysis was used for calculation of the area under curve (AUC).

198

199 **Results**

200 *Expression of Δ TM-IL-12R β 1 in the lung associates with reduced dissemination of Mtb from the lung to*
201 *the periphery.* We knew from our previous studies that the Δ TM-IL-12R β 1 message was induced early in
202 the lungs of C57BL/6J (B6) mice exposed to low dose aerosol infection⁸. We also knew that the global
203 inability to make the Δ TM-IL-12R β 1 resulted in increased dissemination of bacteria to the periphery and
204 reduced ability to generate IFN- γ producing T cells^{15, 18}. We wanted to determine whether this observation

205 was unique to the resistant B6 strain of mouse or if there was differential expression in mice which are
206 substantially more susceptible to the low dose aerosol challenge³⁸. To achieve this we infected resistant
207 B6 and susceptible CBA/J (CBA) and C3H/HeJ (C3H) mice in the same aerosol chamber and determined
208 bacterial burden (Fig 1 A) and expression of the full length and Δ TM-IL-12R β 1 (Fig 1B) at day 15 post
209 infection. We found that while the bacterial burden in the lung was not markedly dissimilar between the
210 strains of mice at this time point there was a much greater range of dissemination to the mediastinal
211 lymph node (MLN) and that there was no detectable dissemination to the spleen or liver in the C3H and
212 CBA mice (Fig 1A). When we measured the copy number of both full length and Δ TM-IL-12R β 1 mRNA
213 by quantitative RT-PCR we found that while all three strains expressed a small but measurable amount
214 of full length mRNA, the C3H and CBA mice made a much greater amount of Δ TM-IL-12R β 1 in their
215 lungs than did the B6. While there was modest induction of the Δ TM-IL-12R β 1, the baseline level of
216 mRNA for Δ TM-IL-12R β 1 was already high in both the CBA and C3H suggesting that expression may be
217 regulated by a homeostatic factor unrelated to Mtb infection. Regardless of the mechanism that drives
218 baseline induction of Δ TM-IL-12R β 1, it is clear that a high level of expression is associated with reduced
219 dissemination of bacteria from the lung to the periphery.

220 *Expression of Δ TM-IL-12R β 1 can be induced in human dendritic cells and is impacted by TB in myeloid*
221 *cells and lymphocytes in blood.* Having identified an association between high level expression of Δ TM-
222 IL-12R β 1 and reduced dissemination in mice with increased susceptibility to TB we wanted to determine
223 expression of Δ TM-IL-12R β 1 in specific cells in humans with and without TB. In a first study we extended
224 our observation that human monocyte-derived dendritic cells express Δ TM-IL-12R β 1 in response to Mtb⁸
225 by determining the kinetics of the response to live Mtb exposure in monocyte-derived dendritic cells from
226 several anonymous blood donors. By measuring the copy number of full length and Δ TM-IL-12R β 1
227 mRNA using quantitative RT-PCR we were able to generate a ratio between the two signals and compare
228 the response of individual donors. We found that donors made a strong Δ TM-IL-12R β 1 response between
229 3 and 6 hours which waned by 9 hours (Fig 2 A). These data suggest that Mtb drives an acute but not
230 prolonged expression of Δ TM-IL-12R β 1 in this cell type. We also probed the gene-expression array data

231 in monocytes, neutrophils and lymphocytes that had been isolated from the blood of healthy control and
232 those with active pulmonary TB³¹. We found that the signal from the probe specific for the Δ TM-IL-12R β 1
233 was more highly expressed in monocytes and neutrophils from TB patients relative to controls (Fig 2 B)
234 and that while the CD4 and CD8 T cell signals independently failed to achieve the statistical threshold for
235 significance, when the data sets were combined and analyzed as T lymphocytes, they were significantly
236 different (average log2 intensity: PTB 8.145 \pm 0.10, n=14 versus HC 7.72 \pm 0.16, n=8; P=0.0294). These
237 data indicate that exposure to Mtb and active TB induces expression of Δ TM-IL-12R β 1 in human cells of
238 both myeloid and lymphoid origin.

239 *Inability of either CD11c or CD4 expressing cells to produce Δ TM-IL-12R β 1 results in increased*
240 *dissemination of Mtb from the lung to the periphery following aerosol infection. We see expression of the*
241 *Δ TM-IL-12R β 1 in both myeloid and lymphoid cells in blood from TB patients (Fig 2B) and we know that*
242 *a global inability to make Δ TM-IL-12R β 1 reduces control of dissemination¹⁵ while high expression is*
243 *associated with inhibition of dissemination of Mtb (Fig 1). We wanted therefore to determine whether*
244 *myeloid or lymphoid cell expression of Δ TM-IL-12R β 1 is responsible for the control of dissemination of*
245 *bacteria from the lung to the periphery. To do this we crossed our transgenic mouse, which excises exons*
246 *13 and 14 in a FLP/CRE dependent manner resulting in expression of the full length IL-12R β 1 without*
247 *the structure to splice the Δ TM-IL-12R β 1¹⁵ to mice expressing Cre under the CD11c or CD4 promoter.*
248 *We infected the mice via aerosol and determined bacterial burden in the lungs and periphery at day 15*
249 *post infection (Fig 2C). We found that while the bacterial burden in the lung was not affected by cell*
250 *specific loss of Δ TM-IL-12R β 1 expression, there was a significant increase in the bacterial burden in the*
251 *liver of mice lacking Δ TM-IL-12R β 1 in both CD11c and CD4 expressing cells (Fig 2C). While the mean*
252 *number of bacteria in the MLN was not significantly different, the range of bacterial numbers was much*
253 *increased for the mice lacking Δ TM-IL-12R β 1 in both CD11c- and CD4-expressing cells. Further, while*
254 *significance was seen for increased dissemination to the spleen in mice lacking Δ TM-IL-12R β 1 in CD4-*
255 *expressing cells, there was a trend to increased dissemination in the mice where Δ TM-IL-12R β 1 was*
256 *deleted in CD11c⁺ cells. These data support the hypothesis that cells of both the lymphoid and myeloid*

257 lineage produce Δ TM-IL-12R β 1 in response to infection and that while the lymphoid compartment seems
258 to mediate the strongest effect, there is a measurable role for myeloid-derived Δ TM-IL-12R β 1 in TB
259 control. In preliminary data we find that absence of Δ TM-IL-12R β 1 in CD11c expressing cells results in
260 disruption of cytokine balance in the lung resulting in more IL-12p40 and more IL-10 (data not shown).
261 *Meta-analysis of transcriptomic data reveals an association between PTB and expression of the Δ TM-IL-*
262 *12R β 1 in human blood.* We observe that Mtb induces Δ TM-IL-12R β 1 expression in human cells (Fig 2A)
263 and that purified cells from the blood of TB patients express Δ TM-IL-12R β 1 (Fig 2B). We also observe
264 an association between Δ TM-IL-12R β 1 expression and dissemination from the lung to the periphery in
265 the experimental mouse model (Fig 1 and Fig 2C). Together the data support analysis of Δ TM-IL-12R β 1
266 expression in human disease. To achieve this we used defined criteria to select publically available array
267 data sets and obtained 10 data sets from 5 countries, 3 continents and 4 platforms (Table 2)^{24, 31, 39, 40, 41}.
268 In both Illumina and Affymetrix array platforms there are 3 probes representing detection of IL12RB1
269 transcription, one of which is specific for the full length transcript, one specific for the Δ TM-IL-12R β 1 and
270 one that can detect both. To determine what each of the probes contributes to the observed total
271 IL12RB1 signal, we analyzed the raw data for each probe in 4 array data sets (Fig 3). This analysis
272 revealed that for more than 90% of the samples, the signal from the probe specific for the full length IL-
273 12R β 1 transcript is negligible (i.e. the raw probe signal is insignificant) (Fig 3, FL-IL12R β 1) while the
274 signal for Δ TM-IL-12R β 1 is detectable in almost all samples (Fig 3, Δ TM-IL-12R β 1). The intensity of the
275 signal for the probe specific for Δ TM-IL-12R β 1 is highly correlated with the signal from the probe which
276 detects both the full length and Δ TM-IL-12R β 1 transcripts, while the signal for the probe specific for the
277 full length transcript correlates with both signals to a much lower degree (Table 3). These analyses
278 suggest that blood cells express the Δ TM-IL-12R β 1 transcript in significant amounts and that this
279 transcript is the dominant one.
280 *Higher expression of the Δ TM-IL-12R β 1 transcript correlates with pulmonary TB.* We see a specific signal
281 for Δ TM-IL-12R β 1 in transcriptional data sets from human blood (Fig 3) and we know that this molecule
282 is associated with altered disease outcomes in the experimental mouse model (Fig 1 and 2). These

283 observations support an in depth analysis of the transcriptional data sets from individuals with pulmonary
284 TB and their controls. To achieve this we processed, transformed and normalized the raw data from the
285 transcriptional arrays identified in Table 2 and compared the signal to the sample groups defined by the
286 investigators originating the data sets. The signal for the probe specific for Δ TM-IL-12R β 1 significantly
287 differentiated PTB and control groups in all arrays tested in the UK³¹, China²⁴, Indonesia⁴⁰, Malawi³⁹ and
288 South Africa³⁹ (Figure 4A). One data set in South Africa showed a trend but did not quite make
289 significance (GSE19442)³¹ and the data in Indonesia⁴⁰ had a very small difference as might be expected
290 as the only difference between the groups was the absence of active symptoms for TB (i.e. non TB rather
291 than healthy control). Despite the small difference the data was not variable and thus reached
292 significance statistically. These data demonstrate that Δ TM-IL-12R β 1 expression in the blood is
293 associated with active pulmonary TB.

294 *Expression of the splice variant in the blood reflects the heterogeneity of latent TB infection.* While the
295 Δ TM-IL-12R β 1 transcript clearly associates with pulmonary TB (PTB), it is variably able to discriminate
296 between latent TB infection (LTB) and PTB (Fig 4A) with 3 of 7 studies showing discrimination but no
297 association with location. One study showed very clear discrimination (China GSE 54992)²⁴ and this may
298 reflect the fact that this resulted from isolated PBMC rather than whole blood or may just reflect the
299 difference in the platform. These data suggest that the Δ TM-IL-12R β 1 may have the capacity to
300 discriminate between LTB and PTB but work needs to be done to improve the signal. Within the three
301 studies which showed the ability to discriminate between LTB and PTB we investigated whether the Δ TM-
302 IL-12R β 1 signal was the strongest IL-12-related signal capable of discriminating between infection
303 outcomes. To do this we generated a data matrix using array signals from IL12A, IL12B, IL12RB1 and
304 IL-12RB2 as well as ratios of each set of signals as variables to see how well they differentiated between
305 groups. The top three performing variables based on area under the curve (AUC) or receiver operating
306 characteristic (ROC) curve capable of discriminating between control and PTB, LTB and PTB and LTB
307 and control are shown in Table 4. The signal for Δ TM-IL-12R β 1 either alone or as a ratio with other
308 members of the IL-12 family appears in the top three for all three arrays and for all three discriminatory

309 activities. These analyses demonstrate that the Δ TM-IL-12R β 1 signal has the potential to be a diagnostic
310 tool and should be studied further.

311 To extend our analysis of array data we analyzed RNA-Seq data generated from the RNA used in the
312 GSE19444 UK^{31,30} for transcription of Δ TM-IL-12R β 1 and found that as for array data, the Δ TM-IL-12R β 1
313 signal discriminates between PTB and controls, the Δ TM-IL-12R β 1 signal also discriminates between
314 LTB and controls (Fig 4B). Importantly, we identify those samples identified as outliers in previous
315 analyses^{31,30} by open circles (Fig 4B) which show low expression of Δ TM-IL-12R β 1 in the PTB outliers
316 and high expression in 3 of 5 in the LTB outliers suggesting that the Δ TM-IL-12R β 1 signal may be
317 associated with the discriminatory gene-signature identified previously^{31,30}.

318 Finally, we wanted to test the predictive and discriminatory power of the Δ TM-IL-12R β 1 signal in a test
319 patient cohort in China. To do this we probed the RNA from whole human blood using a targeted array
320 capable of measuring the IL-12R β 1 signal. We found that while the LTB cohort had a more variable signal
321 than the controls, there was no significant difference between the means for these two populations and
322 the IL-12R β 1-specific signal discriminated effectively between control and PTB, LTB and PTB, PTB and
323 pneumonia (Fig 4C). These data further support the utility of the Δ TM-IL-12R β 1 signal to discriminate
324 between PTB and LTB and PTB and other diseases.

325 *The Δ TM-IL-12R β 1 signal decreases upon treatment in areas of low infection pressure.* Because we see
326 a difference in the Δ TM-IL-12R β 1 signal between controls and PTB we reasoned that the Δ TM-IL-12R β 1
327 may provide a signal indicating that treatment is effective. To determine if this was the case, we analyzed
328 the transcriptome sets containing data from treated individuals from areas of low infection pressure (UK,
329 overall incidence rate 10/100,000 – but with pockets of moderate infection pressure), moderate infection
330 pressure (China, incidence rate 64/100,000) and high infection pressure (South Africa, incidence rate
331 781/100,000). We found that in the UK and China an early (8-12 weeks) drop in the Δ TM-IL-12R β 1
332 signal was seen during treatment, which was sustained long term (Fig 5, top graphs). In contrast, in two
333 studies in South Africa no sustainable or reproducible drop in signal was seen over the population during
334 treatment (Fig 5, bottom graphs). These data suggest that the signal for Δ TM-IL-12R β 1 may be useful to

335 detect treatment success in situations where the risk of reinfection is low, but it may reflect repeated
336 infection where reinfection risk is high. Determining when and how the Δ TM-IL-12R β 1 is expressed in
337 the lungs of those recently exposed to TB is therefore an important undertaking.

338

339 **Discussion**

340 We show here that the Δ TM-IL-12R β 1 is a significant indicator of active TB in human populations across
341 the globe, that it can discriminate between latent and active TB under some conditions and that it drops
342 during drug treatment in areas where the risk of reinfection is low. These observations are valuable and
343 suggest that this gene transcription signal can contribute to the development of sensitive and specific
344 modular transcriptional signatures³⁰ capable of defining the risk of TB development in those infected with
345 the bacterium. The goal for these signatures is to eventually turn the marked heterogeneity of latent
346 infection from a black box into a fully defined continuum with distinct transcriptional markers capable of
347 allotting individuals into low, mid and high risk of progression. In addition to this goal however the data
348 we report here also highlight the potential for the Δ TM-IL-12R β 1 signal to inform us about the
349 mechanisms underlying the development and control of TB in the human lung.

350 In considering the early events in the TB-exposed lung, the invading bacteria is unlikely to meet any
351 activated macrophages or T cells when it first arrives and thus for the acquired immune response to be
352 initiated, the bacteria must migrate to the draining lymph node¹². This migration is slow to occur and this
353 delay in activation and arrival of T cells allows the bacteria to grow unrestrained for up to 2 weeks in the
354 B6 mouse^{9, 42}. Cytokines and chemokines capable of initiating early responses are therefore key to the
355 rapidity of the response and to eventual outcome⁴³. We know that IL-12p40 is expressed very early
356 following Mtb infection and that its production precedes production of IL-23 or IL-12p35 suggesting that
357 it functions alone^{7, 8}. We also know that type 1 IFN, IL-1, IL-10 and IL-12 cross regulate each other in
358 response to Mtb infection both in vitro and in vivo^{7, 44, 45, 46} and that the relative level of these cytokines
359 at the initiation of infection has the potential to impact the initiation of immunity. Our data reported here
360 suggest that the very high expression of the Δ TM-IL-12R β 1 in the C3H and CBA mice may sequester IL-

361 12p40, which we know aids in overcoming the IL-10-induced resistance to migration in Mtb-exposed
362 dendritic cells ⁷. This inhibition may then severely limits the migration of bacteria from the lung to the
363 periphery – as is seen in the CBA/J and C3H mice. In turn this delay then results in slow induction of T
364 cells thus leading to the observed higher susceptibility of these mice strains to Mtb ³⁸. In contrast, total
365 lack of the splice variant results in slightly higher dissemination of bacteria from the lung ¹⁵ and this effect
366 can be seen if either myeloid or lymphoid cells lack the Δ TM-IL-12R β 1. The increased effect of the
367 absence of the Δ TM-IL-12R β 1 in CD4 cells may reflect the role of the Δ TM-IL-12R β 1 in augmenting IL-
368 12p70-induced IFN- γ production in T cells^{15, 18}, while the impact of the loss of Δ TM-IL-12R β 1 in the CD11c
369 expressing cells may reflect the disruption of protective cytokine balance at the beginning of infection.
370 The very early but short lived Δ TM-IL-12R β 1 response of the human dendritic cells to live Mtb infection
371 suggests that the signal is highly regulated and that sustained expression in myeloid cells may reflect
372 ongoing exposure to live bacteria. In this regard, our data showing increased expression of Δ TM-IL-
373 12R β 1 signal in the blood of active TB patients suggests that myeloid cells expressing this signature may
374 have been exposed to live bacteria in the recent past. Similarly, the drop in Δ TM-IL-12R β 1 signal seen
375 during drug treatment may reflect removal of live bacteria from the body and therefore the loss of
376 stimulation for the signal. If one considers the infection pressure in the areas where the Δ TM-IL-12R β 1
377 signal drops following drug treatment, it is possible that there is little re-exposure to drive the signal up
378 again but in areas where re-infection is likely there will be repeated exposures in the lung and the Δ TM-
379 IL-12R β 1 will be maintained despite drug treatment.

380 IL-12R β 1 exhibits a marked degree of variability at the genomic⁵, transcriptional⁴⁷ and splice variant
381 level¹⁷ suggesting that it is a highly flexible receptor with the capacity to both stimulate and inhibit cellular
382 responses. Our observation that Δ TM-IL-12R β 1 is secreted¹⁵ suggests that it acts to sequester cytokine
383 and regulate activation of cells however it appears to drive activation of T cells ^{8, 18} and is a positive
384 regulator of immunity. Its effects in mouse lungs suggests that, just as for type 1 IFN⁴⁸ a little bit is good
385 and required for optimal control of bacterial dissemination but too much completely limits dissemination
386 and hampers induction and expression of protective immunity.

387 Our data demonstrate that determining the underlying mechanisms whereby the alternative splice variant
388 of IL-12R β 1 impacts TB immunity in both mouse and man should be pursued. The data also support
389 further investigation into the best use of the transcriptional Δ TM-IL-12R β 1 signal in defining the
390 heterogeneity of latent TB infection.

391 **Figure Legends**

392 **Figure 1 Mice susceptible to Mtb infection express high levels of Δ TM-IL-12R β 1 and limit early**
393 **dissemination from the lung to the periphery.** Mice were infected using an aerosol chamber capable
394 of reproducibly delivering approximately 75 colony forming units to each mouse. Mice were housed for
395 15 days after challenge and then the organs plated for determination of colony forming units (CFU) (Fig
396 1A) and the copy number of mRNA for the full length IL-12R β 1 (FL-IL-12R β 1) and the alternative splice
397 variant Δ TM-IL-12R β 1 determined by quantitative RT-PCR (Fig 1B). All data points are shown from 2
398 independent experiments (Fig 1A) or one data set representative of two total experiments (Fig 1B).
399 Statistical significance was determined using one way ANOVA comparing all groups; n = 8 per group (Fig
400 1A) and n= 4 per group repeated twice (Fig 1B). *P<0.05, **P<0.01, ***P<0.001.

401 **Figure 2 Δ TM-IL-12R β 1 is expressed by lymphoid and myeloid human cells and its absence in**
402 **CD11c or CD4 expressing cells compromises control of dissemination in mice.** Human myeloid
403 derived dendritic cells were generated from anonymous blood donors and exposed to live Mtb for 24
404 hours. RNA was extracted over the time course and analyzed for the copy number of mRNA for the full
405 length IL-12R β 1 (FL-IL-12R β 1) and the alternative splice variant Δ TM-IL-12R β 1 by quantitative RT-PCR.
406 The ratio of Δ TM-IL-12R β 1 to FL-IL-12R β 1 was determined for each donor and the data points represent
407 the mean of 4-7 values per time point (Fig 2A). Array data from GSE19443 was analyzed for expression
408 of the Δ TM-IL-12R β 1 in cells purified from the blood of healthy controls (Cont) and TB patients (PTB).
409 Data points are all shown with an n=4-7, the difference between the means was determined by Students
410 *t* test, * P<0.05 (Fig 2B). Mice either intact (B6KI) lacking the ability to generate the Δ TM-IL-12R β 1 in
411 either CD11c (CD11cCre) or CD4 (CD4Cre) expressing cells were infected with Mtb and the bacterial
412 burden determined at day 15 as described for Fig 1. All data points are shown for 2 independent
413 experiments, n=8, differences between the mean were determined by ANOVA with all columns being
414 compared. *P<0.05, **P<0.01, ***P<0.001 (Fig 2C).

415 **Figure 3. The majority of the IL-12R β 1 signal seen in microarray data is derived from the Δ TM-IL-**
416 **12R β 1 specific probe.** Raw data was manually curated and the signal for each probe analyzed

417 separately for each of 4 microarray data sets. The raw fluorescent intensity for each sample and different
418 IL-12R β 1 isoform specific probe is shown. The probe intensity for the FL-IL-12R β 1 was not considered
419 to be significant for the majority of samples and over all of the data sets whereas the signal from the
420 probe specific for the Δ TM-IL-12R β 1 was significantly expressed in all samples.

421 **Figure 4. Expression of Δ TM-IL-12R β 1 in blood associates with active pulmonary TB and is**
422 **variably associated with latent TB.** Microarray data from publically available databases was analyzed
423 for the signal specific for Δ TM-IL-12R β 1 (Fig 4A). All data points are shown and the differences between
424 the means of the Δ TM-IL-12R β 1 signal for each group determined by ANOVA with Tukey's multiple
425 comparison, n=6-31, *P<0.05, **P<0.01, ***P<0.001. RNA-Seq data sets from a UK cohort was analyzed
426 for expression of the Δ TM-IL-12R β 1 (Fig 4B) (all data points are shown with known group outliers shown
427 as open symbols) and was discriminatory for controls versus both LTB and PTB, using ANOVA with
428 Tukey's multiple comparison, n=12-21, *P<0.05, **P<0.01. RNA was taken from a new cohort in China
429 and analyzed for expression of all IL-12R β 1 transcripts and was shown to discriminate between controls
430 and LTB and PTB, between LTB and PTB and between PTB and pneumonia, using ANOVA with Tukey's
431 multiple comparison, all data points are shown, n=20, *P<0.05, ***P<0.001.

432 **Figure 5. Expression of Δ TM-IL-12R β 1 in blood associates drug treatment in areas of low to**
433 **moderate infection pressure but fails in areas where infection pressure is high.** Publically available
434 array data was analyzed for the expression of Δ TM-IL-12R β 1 in the blood of TB-infected individuals
435 undergoing drug treatment. All data points are shown and the ability of the signal to differentiate between
436 pretreatment and a short and longer period post treatment determined. Paired *t* test was used to compare
437 values at 0 and 6 or 8 week and 0 and 26 or 52 weeks for each patient. In the UK and China (top graphs)
438 significant differences in the means were observed after treatment whereas no discernable effect could
439 be seen in the South African cohorts undergoing treatment, n=7-27 P<0.05, **P<0.01.

440

441

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Figure 1 Das et al

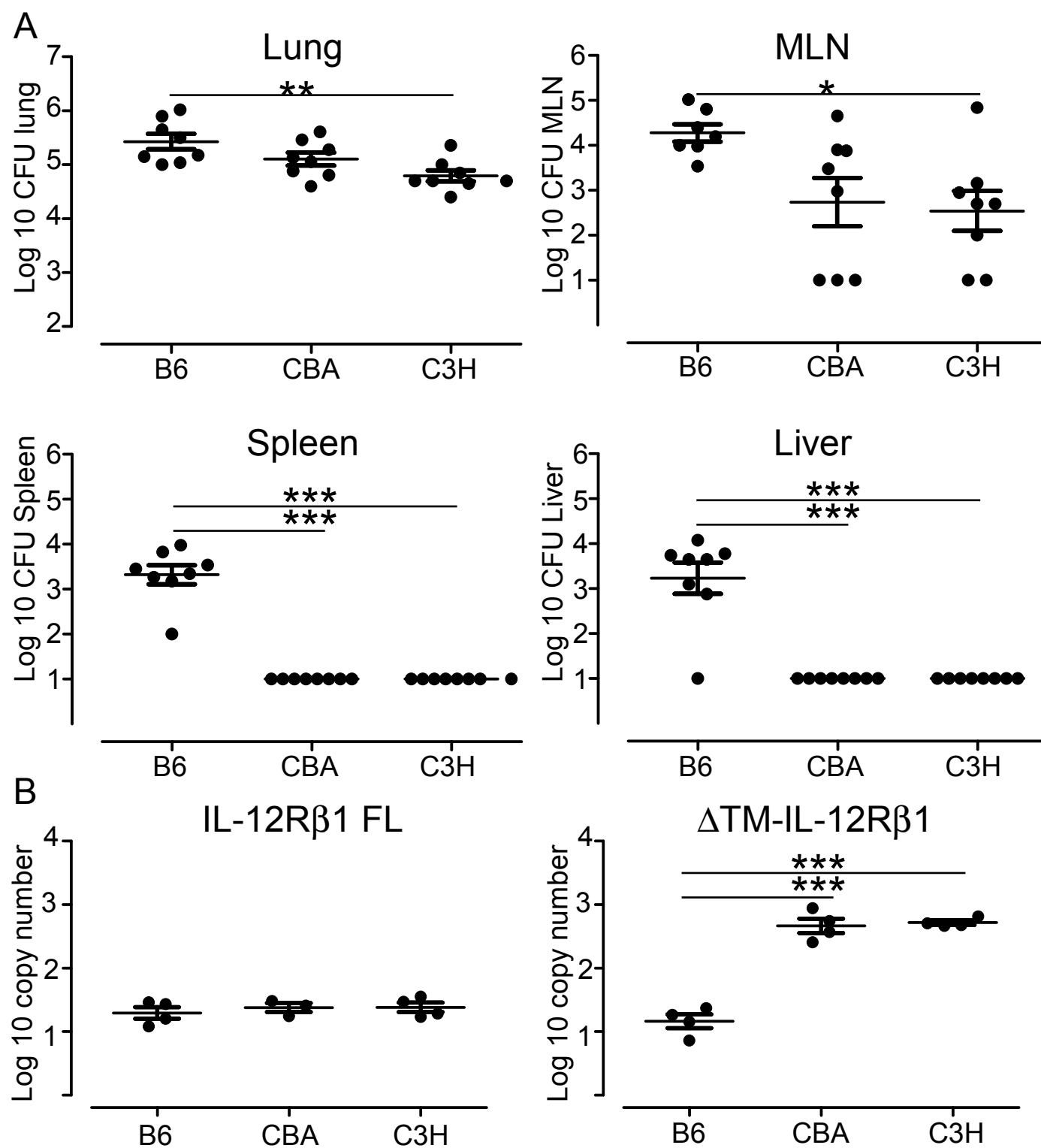


Figure 2 Das et al

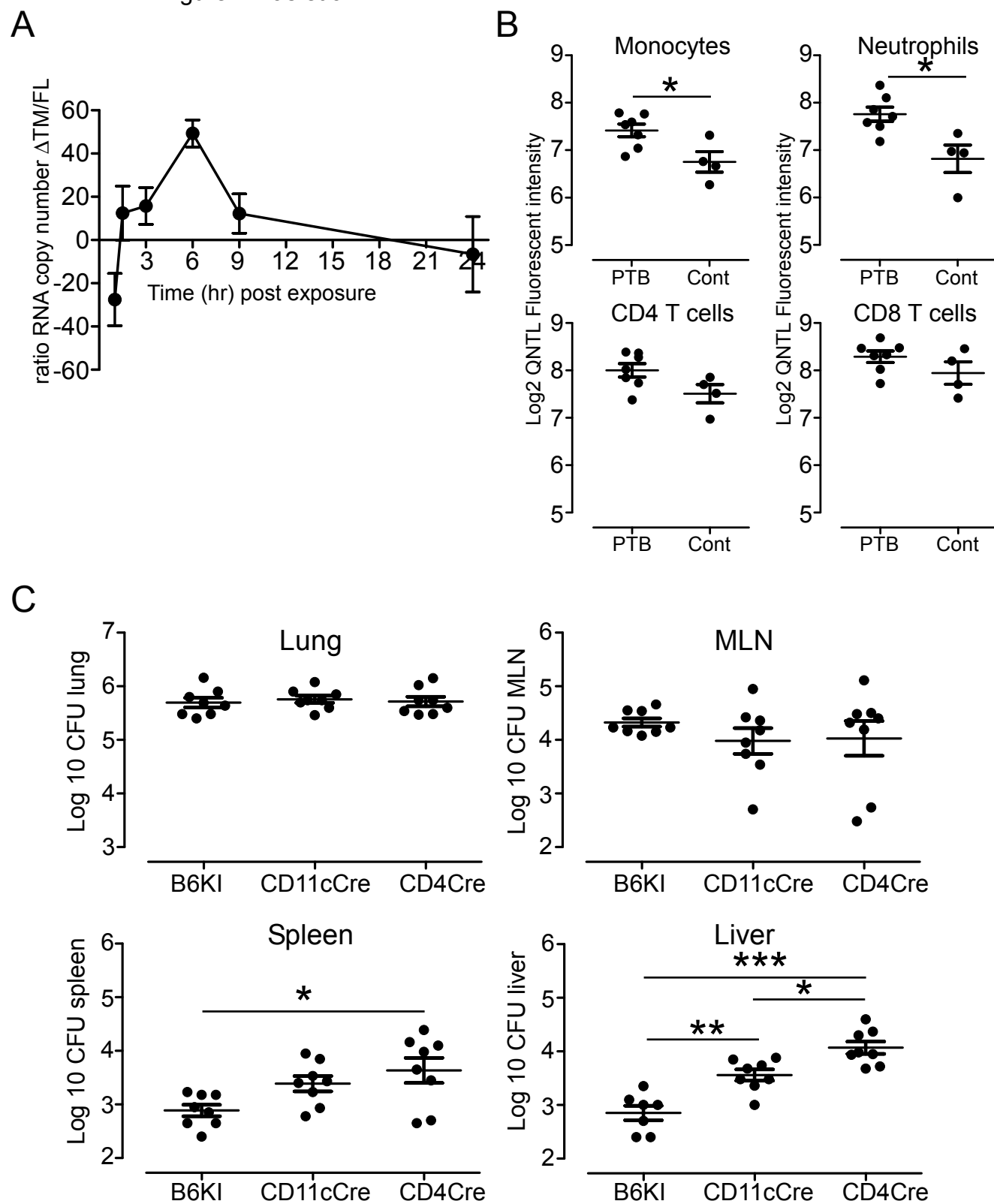
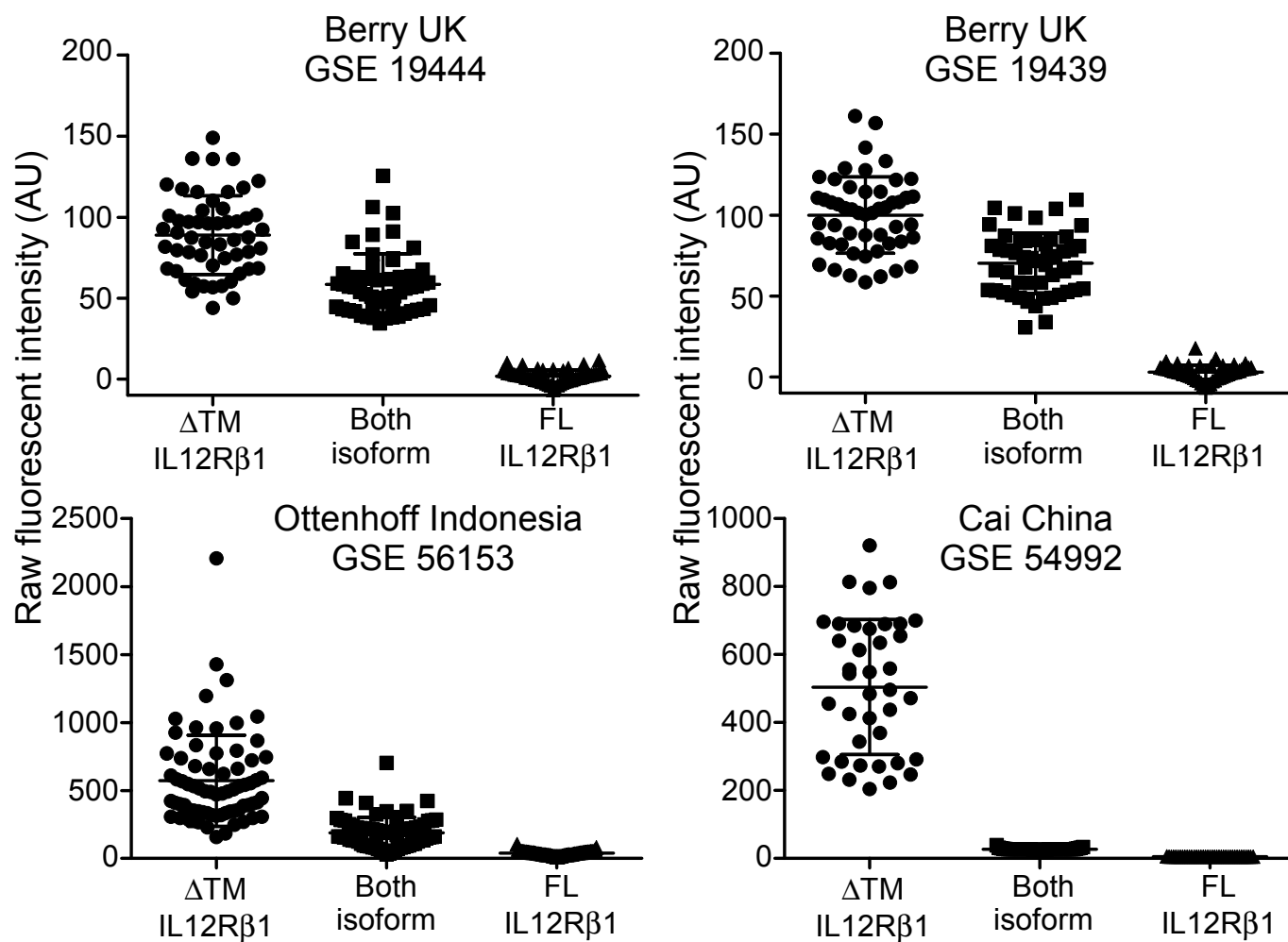


Figure 3 Das et al



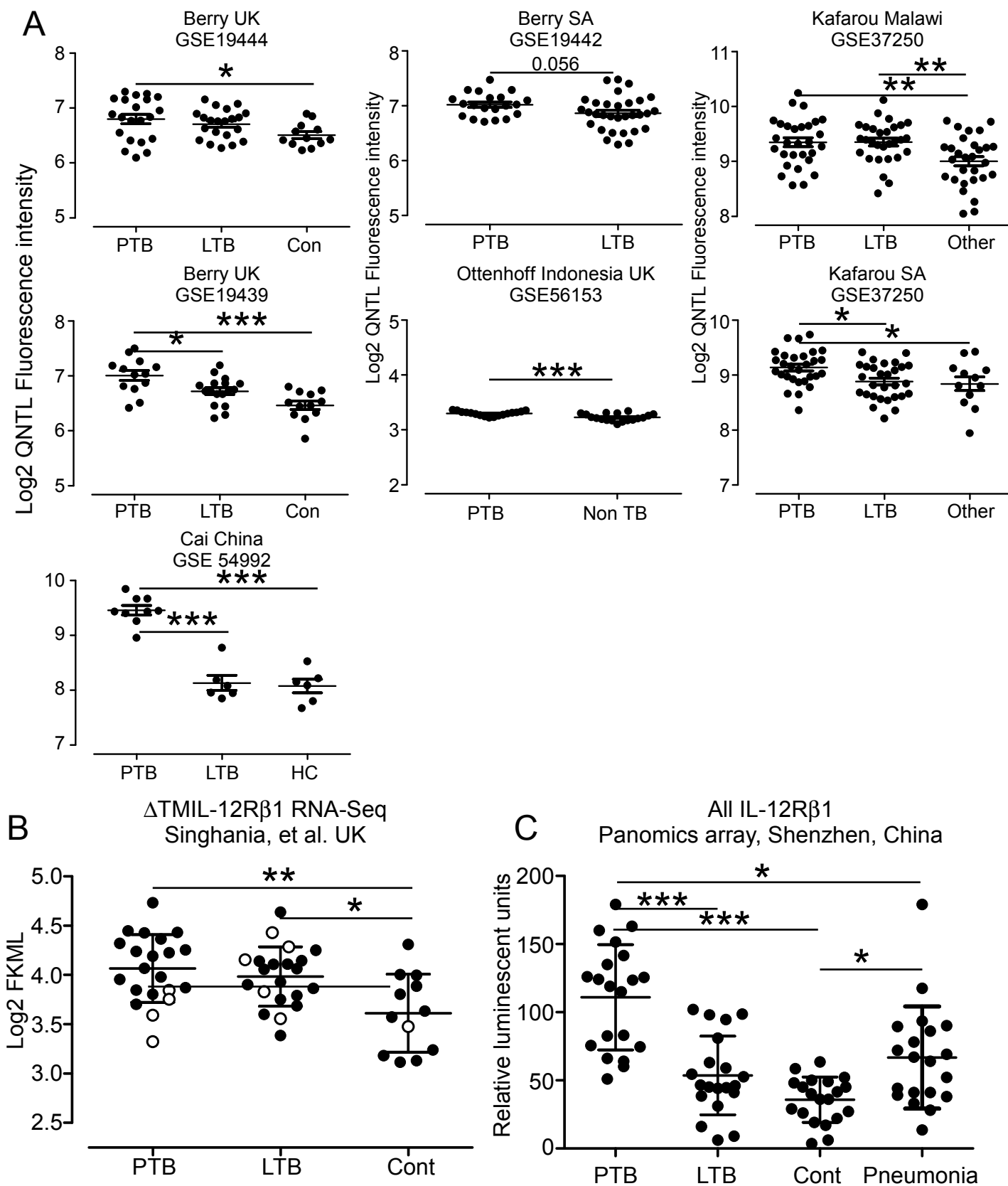


Figure 5 Das et al

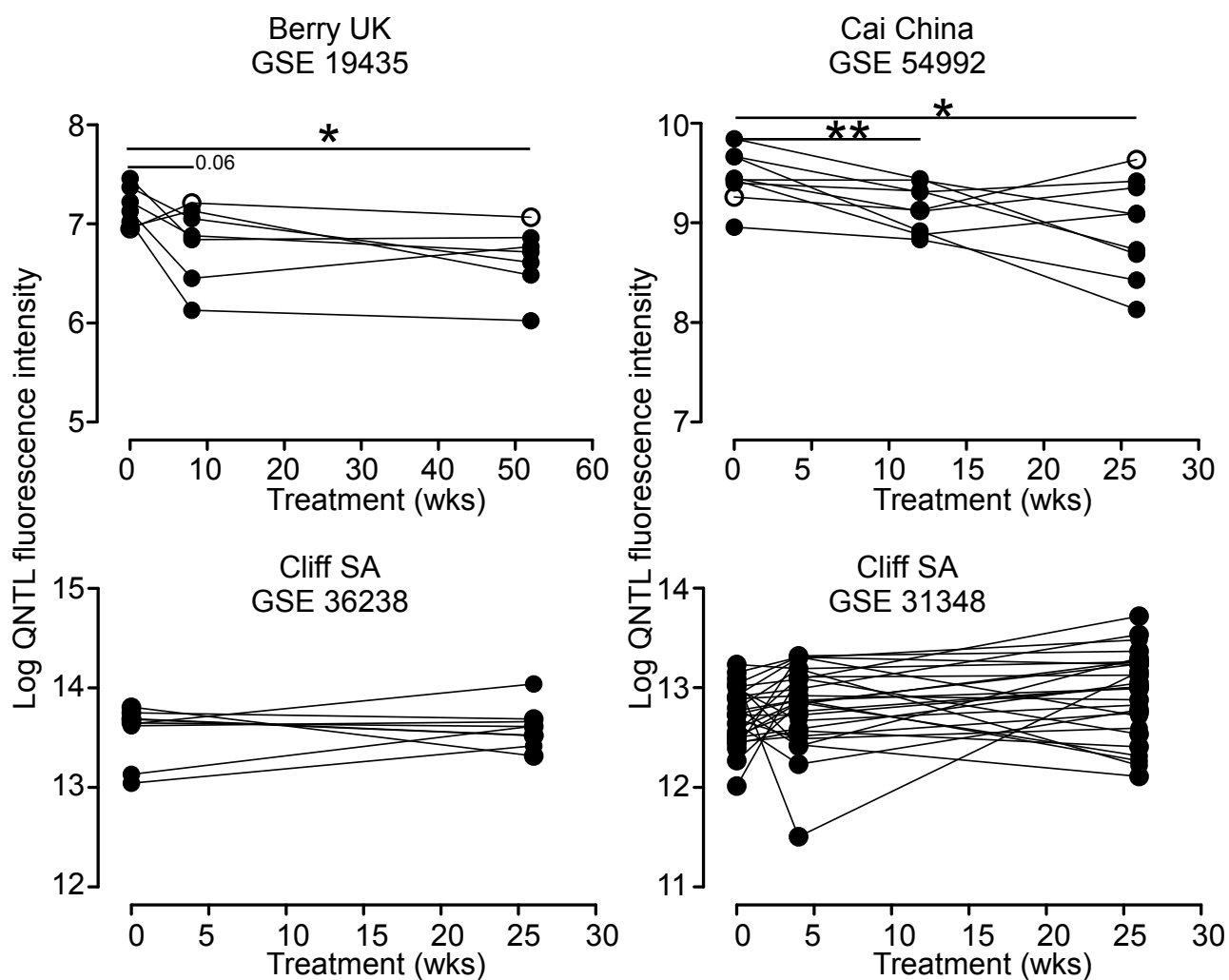


Table 1: The demographic characteristics of study population Shenzhen Third Peoples Hospital in Shenzhen, China.

Study Cohort	n	Median age in years (range)	Gender (M/F)	AFB-positive	ELISPOT Positive
TB	20	38.2 (25.0-58.0)	13/7	20	20
LTBI	20	31.2 (23.0-56.0)	12/8	ND ¹	20
HC	20	28.6 (21.0-48.0)	10/10	ND	0
Pneumonia	20	39.2 (29.0-59.0)	12/8	ND	ND

¹ND = not done

Table 2. Details of the public array data assessed for expression of the Δ TM-IL-12R β 1

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	GEO dataset	Platform	Pathology (no of sample used; Location)	Sample	Reference
1	GSE19444	GPL6947: Illumina HumanHT-12 V3.0 expression beadchip	PTB (21; UK) LTB ^{T&I} (21; UK) CON ^{T&I} (12;UK)	Whole blood	Berry et al (2010) Nature 466:973-977
2	GSE19443	GPL6947: Illumina HumanHT-12 V3.0 expression beadchip	PTB (7; UK) CON ^{T&I} (4; UK)	Neutrophil, Monocyte, CD4 and CD8 cells purified from whole blood	Berry et al (2010) Nature 466:973-977
3	GSE19442	GPL6947: Illumina HumanHT-12 V3.0 expression beadchip	PTB (20, SA) LTB ^I (31, SA)	Whole blood	Berry et al (2010) Nature 466:973-977
4	GSE19439	GPL6947: Illumina HumanHT-12 V3.0 expression beadchip	PTB (13; UK) LTB ^{T&I} (17; UK) CON ^{T&I} (12;UK)	Whole blood	Berry et al (2010) Nature 466:973-977
5	GSE19435	GPL6947: Illumina HumanHT-12 V3.0 expression beadchip	PTB (7; UK) PTB_long_2M (7; UK) PTB_long_12M (7; UK) CON ^{T&I} (12;UK)	Whole blood	Berry et al (2010) Nature 466:973-977
6	GSE37250	GPL10558: Illumina HumanHT-12 V4.0 expression beadchip	PTB (47; SA) (59; Malawi) LTB ^{T&I} (50; SA) (36; Malawi)	Whole blood	Kaforou et al (2013) PLoS Med 10:e1001538.
7	GSE56153	GPL6883: Illumina HumanRef-v3.0 expression beadchip	PTB (18, Indonesia) TREAT (15; Indonesia) RECO (20; Indonesia) CON ND (18; Indonesia)	Whole blood	Ottenhoff et al (2012) PLoS ONE 7: e45839
8	GSE54992	GPL570: Affymetrix Human Genome U133 Plus 2.0 Array	PTB (9; China) PTB_long_3M (9; China) PTB_long_6M (9; China) LTB ^I (6; China) CON ^I (6; China)	Peripheral blood mononuclear cells	Cai et al (2014) PLoS One 9:e92340
9	GSE36238	GPL570: Affymetrix Human Genome U133 Plus 2.0 Array	PTB (27; SA) PTB_long_6M (27; SA)	Whole blood	Cliff et al (2013) J Infect Dis 207:18-29.
10	GSE31348	GPL570: Affymetrix Human Genome U133 Plus 2.0 Array	PTB (27; SA) PTB_long_1W (27; SA) PTB_long_2W (27; SA) PTB_long_1M (27; SA) PTB_long_6M (27; SA)	Whole blood	Cliff et al (2013) J Infect Dis 207:18-29.

PTB: Pulmonary TB

LTB: Latent TB diagnosed with tuberculin skin test (TST) and IGRA (LTB^{T&I}) or Latent TB diagnosed with IGRA only (LTB^I).

CON: Healthy control with negative latent infection diagnosed with tuberculin skin test (TST) and IGRA (CON^{T&I}) or IGRA only (CON^I) or latency not determined (CONND)

PTB long: Pulmonary TB subject undergoing treatment. Samples collected at 1week (1W), 2 weeks (2W), 1 month (1M), 2 months (2M), 6 months (6M) and 12 months (12M).

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Table 3. The signal from probes specific for the Δ TM-IL-12R β 1 isoform in microarray data sets correlates with the majority of signal seen for all of the IL-12R β 1 targeted probes.

Array data set	Correlation coefficient between the signal for probes specific for:		
	Δ TM-IL-12R β 1 and all probes	Δ TM-IL-12R β 1 and IL-12R β 1 full-length	IL-12R β 1 full-length and all probes
GSE19444	0.66 ¹	0.43	0.32
GSE19442	0.44	-0.16	-0.09
GSE56153	0.89	0.67	0.68
GSE54992	0.51	0.16	0.05

¹ Normalised fluorescent intensities of probes specific for different isoforms of IL-12R β 1 were used to prepare a matrix and the Pearson correlation coefficient was calculated using online tool Metaboanalyst 3.0.

Table 4. Discriminatory potential of IL-12-related variables for identifying disease groups. Variables which include the signal from the Δ TM-IL-12R β 1 occur as one of the top 3 variables IL-12-related discriminator in each comparison. The top 3 variables based on area under curve (AUC) for each comparison is shown.

	PTB CON	AUC	p-value	LTB PTB	AUC	p-value	LTB CON	AUC	p-value
GSE19444	(Δ TM +All)/2	0.73	0.01	Δ TM	0.60	0.37	(Δ TM +All)/2	0.72	0.03
	All	0.72	0.02	IL12a	0.58	0.31	Δ TM	0.71	0.04
	Δ TM	0.71	0.02	(Δ TM +All)/2	0.57	0.38	All	0.70	0.06
GSE19439	(Δ TM +All)/2	0.93	0.00	Δ TM	0.76	0.01	Δ TM /Full	0.88	0.00
	Δ TM	0.90	0.00	(Δ TM +All)/2	0.74	0.02	Full	0.78	0.02
	Δ TM /Full	0.87	0.00	Δ TM/IL12Rb2	0.74	0.01	Δ TM	0.76	0.01
GSE54992	Δ TM	1.00	0.00	Δ TM	1.00	0.00	IL12Rb2	0.78	0.13
	(Δ TM +All)/2	1.00	0.00	avg_dTM_all3	1.00	0.00	Δ TM /IL12Rb2	0.75	0.13
	Δ TM /Full	1.00	0.00	Δ TM /Full	1.00	0.00	IL12b	0.72	0.18

Δ TM: Probe intensity for Δ TM-IL-12R β 1 isoform,

All: Intensity of probe specific for both isoforms of 12R β 1;

Full: Intensity of probe specific for full length 12R β 1 isoform.