

Bend-Induced Twist Waves and the Structure of Nucleosomal DNA

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Recent work indicates that twist-bend coupling plays an important role in DNA micromechanics. Here we show that this coupling induces standing twist waves in bent DNA, and we provide an analytical solution of the minimum-energy shape of a bent double-helical molecule. This solution is in excellent agreement with both coarse-grained simulations of DNA minicircles and experimental structural data for nucleosomal DNA, which is bent and wrapped around histone proteins in a superhelical conformation. Our analysis shows that the observed twist oscillation in nucleosomal DNA, so far attributed to the interaction with the histone proteins, is an intrinsic feature of free bent DNA, and should be observable in other protein-DNA complexes.

Introduction – Elastic models of DNA have been a key tool for understanding the response of the double helix to applied stresses [1]. Such stresses are ubiquitous in cells, where DNA is continuously being bent and twisted. For instance, in eukaryotes about 75% of the DNA is wrapped around cylindrically-shaped octamers of histone proteins [2]. The 147 base pairs (bp) of wrapped DNA sequence and the histone form the nucleosome, which represents the lowest level of chromosomal organization.

At length scales of a few nanometers the behavior of DNA can be modeled by a homogeneous elastic rod, with stiffness constants associated with the different types of mechanical deformations [3–6]. The simplest such model is the twistable wormlike chain (TWLC), which treats bending and twist as independent deformations. However, symmetry analysis of the right-handed, oppositely-directed-backbone double helix indicates that there must be a coupling of bending to twisting [7]. This can be understood as a consequence of the asymmetry between the major and minor grooves of the double helix. Only a few prior works have considered twist-bend coupling [8–12], and its effect on equilibrium and dynamics of DNA remain largely unexplored.

Here, we show that in bent DNA the twist-bend coupling induces a standing twist-wave at a spatial frequency corresponding to the intrinsic twist of the double helix, $\omega_0 = 2\pi/(3.6 \text{ nm}) = 1.75 \text{ nm}^{-1}$. This follows from a simple analytical energy minimization of the elastic rod including twist-bend coupling, and from coarse-grained molecular dynamics simulations of a more realistic model of DNA [13]. We also analyze X-ray crystallographic structures of nucleosomal DNA (DNA wrapped around histones), and show that they display twist waves quantitatively matching the predictions of our simple theory. On top of providing a convincing proof of the role played by twist-bend coupling in a key protein-DNA complex (the nucleosome), the generality of our prediction of bend-induced twist waves indicates that similar results should be observable for other protein-DNA complexes.

Theory and Energy Minimization – Following prior work [7], we describe the double helix centerline using a space curve in arc-length parameterization, with coordinate s running from 0 to the total DNA length L ; we thus treat the double helix as inextensible, which turns out to be appropriate for our purposes. Along the curve we define an orthonormal triad $\{\hat{\mathbf{e}}_1(s), \hat{\mathbf{e}}_2(s), \hat{\mathbf{e}}_3(s)\}$, where $\hat{\mathbf{e}}_3$ is tangent to the curve, while $\hat{\mathbf{e}}_1$ and $\hat{\mathbf{e}}_2$ lie on the plane of the ideal, planar Watson-Crick base pairs [7], with $\hat{\mathbf{e}}_1$ directed along the symmetry axis of the two grooves, pointing in the direction of the major groove. Orthogonality then determines $\hat{\mathbf{e}}_2 = \hat{\mathbf{e}}_3 \times \hat{\mathbf{e}}_1$ (see Fig. 1).

The three-dimensional shape of the space curve is fully described by the 3-vector field $\mathbf{\Omega}$ that rotates the local unit vectors,

$$\frac{d\hat{\mathbf{e}}_i}{ds} = (\mathbf{\Omega} + \omega_0\hat{\mathbf{e}}_3) \times \hat{\mathbf{e}}_i, \quad (1)$$

where the index i runs over the three spatial directions, and where ω_0 is the intrinsic twist-density of the double helix. As is familiar from mechanics, the rotation vec-

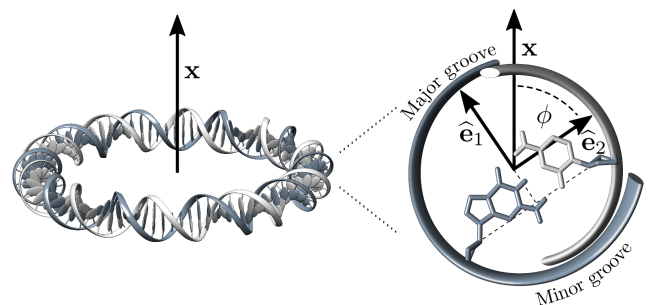


FIG. 1. Left: Schematic view of a DNA minicircle lying on a plane orthogonal to a vector \mathbf{x} . Right: Zoom-in of a cross-section of the double helix showing the unit vectors $\hat{\mathbf{e}}_1$ and $\hat{\mathbf{e}}_2$ (the tangent vector $\hat{\mathbf{e}}_3 = \hat{\mathbf{e}}_1 \times \hat{\mathbf{e}}_2$ points inside of the page). In an ideal fully-planar circle \mathbf{x} lies on the plane spanned by $\hat{\mathbf{e}}_1$ and $\hat{\mathbf{e}}_2$. ϕ is the angle between $\hat{\mathbf{e}}_1$ and \mathbf{x} .

tor $\mathbf{\Omega}(s) + \omega_0 \hat{\mathbf{e}}_3$ relates the triad at $s + ds$ to that at s . The three components of $\mathbf{\Omega}(s)$ along the triad axis are $\Omega_i(s) \equiv \mathbf{\Omega} \cdot \hat{\mathbf{e}}_i(s)$. Ω_1 and Ω_2 are bending densities (corresponding to the “tilt” and “roll” deformations, respectively, of the DNA literature), with the usual curvature of the backbone given by $\kappa \equiv (\Omega_1^2 + \Omega_2^2)^{1/2}$. Ω_3 is the twist density, or, more precisely, the “excess” twist over that of the double helix ground state, ω_0 .

Assuming the ground state to be a straight configuration with constant twist density ω_0 , one can interpret $\mathbf{\Omega}$ as a strain-field associated with a free energy density. Taking the symmetries of the double helix into account, the deformation free energy to second order in $\mathbf{\Omega}$ is [7]

$$\beta E = \frac{1}{2} \int_0^L (A_1 \Omega_1^2 + A_2 \Omega_2^2 + C \Omega_3^2 + 2G \Omega_2 \Omega_3) ds, \quad (2)$$

where $\beta = 1/k_B T$ is the inverse temperature, and A_1 , A_2 , C and G are the stiffness parameters. Equation (2) is characterized by a twist-bend coupling term connecting a bending deformation towards the DNA groove (Ω_2) to a twist deformation (Ω_3). G denotes the twist-bend coupling constant, without which ($G = 0$) one recovers the TWLC.

We investigate the lowest-energy configuration of a circularly-bent DNA molecule, a constraint which can be mathematically imposed by appropriate Lagrange multipliers. This is usually performed by parametrizing Ω_i in a lab frame using Euler angles (see e.g. Refs. [14, 15]), and numerically solving the corresponding Euler-Lagrange equations. We will instead introduce an approximation, which will allow us to work in the material frame using the Ω 's as minimization variables, and perform the minimization analytically.

One might be tempted to fix the curvature $\kappa = (\Omega_1^2 + \Omega_2^2)^{1/2}$ using a Lagrange multiplier, but this leads to a helical solution, rather than a closed configuration [16]. This is a consequence of the bending anisotropy ($A_1 \neq A_2$), together with the fact that the plane on which the bending takes place is not restricted. Instead, we seek to impose bending on a plane, as e.g. illustrated in Fig. 1 (left). The bending component of a local deformation is described by the vector $\mathbf{\Omega}_b \equiv \Omega_1 \hat{\mathbf{e}}_1 + \Omega_2 \hat{\mathbf{e}}_2$. Enforcing bending along a fixed plane, as for instance the plane orthogonal to a vector $\hat{\mathbf{x}}$, is equivalent to requiring $\mathbf{\Omega}_b$ to be parallel to $\hat{\mathbf{x}}$. The term $\mu \mathbf{\Omega}_b \cdot \hat{\mathbf{x}}$ provides a suitable constraint, with μ as the Lagrange multiplier. This can be rewritten in the following form

$$\beta \hat{E} \equiv \beta E - \mu \int_0^L [\Omega_1 \sin \phi(s) + \Omega_2 \cos \phi(s)] ds, \quad (3)$$

where we have assumed that $\hat{\mathbf{x}}$ lies on the plane spanned by $\hat{\mathbf{e}}_1$ and $\hat{\mathbf{e}}_2$, and that ϕ is the angle formed between $\hat{\mathbf{x}}$ and $\hat{\mathbf{e}}_1$ (see Fig. 1). For a straight unbent DNA lying on the $\hat{\mathbf{x}}$ plane ($\mu = 0$) we have $\phi(s) = \omega_0 s$. If within one helical turn bending is relatively weak (i.e. $\kappa \ll \omega_0$), we can

approximate $\phi(s) \approx \omega_0 s$, with the energy minimization then leading to the simple result

$$\Omega_1 = \frac{\mu \sin(\omega_0 s)}{A_1}, \quad \Omega_2 = \frac{\mu \cos(\omega_0 s)}{A_2 - G^2/C}, \quad \Omega_3 = -\frac{G}{C} \Omega_2, \quad (4)$$

with $\mu \equiv l_b/R$, where R is the average radius of curvature and l_b the bending persistence length of the model (2) [11]. The details are discussed in the Supplemental Material [16].

This solution describes a curve with small off-planar periodic fluctuations appearing in the form of standing waves in bending and twist. A non-vanishing G is essential for the emergence of twist waves. Although our minimization is not exact, as it is performed under a fixed “background” $\phi(s)$, simulations of DNA minicircles of radii ≈ 5 nm (see below, [16]) are in excellent agreement with Eq. (4). In an alternative approach [16] one can obtain twist-waves using a systematic perturbation scheme in powers of κ/ω_0 , similar to that of Ref. [7]; this parameter is $\kappa/\omega_0 \approx (1/5)/1.75 \approx 0.11$ for a DNA minicircle of radius 5 nm, justifying our approximation [16].

Coarse-grained DNA simulations – We have performed computer simulations of minicircles with oxDNA, a coarse-grained DNA model in which the double helix is composed of two intertwined strings of rigid nucleotides, held together by non-covalent interactions [13, 17]. Base-pairing together with all other interactions are homogeneous, i.e. sequence-dependent effects are neglected. Various aspects of the mechanics of DNA minicircles, such as kinking, melting and supercoiling, have been discussed in the literature using oxDNA, other coarse-grained models or all-atom simulations [15, 18–21]. Here we focus on the ground-state shape of homogeneous minicircles, and in particular on circular molecules of 85 base pairs (bp), or about 29 nm in length (see Fig. 1). With this choice of length the two ends of the molecule can be joined together without introducing an excess linking number. Two versions of oxDNA were used, see Fig. 2(a,b). In the first version (oxDNA1) the helical grooves have equal width [13], while in the second version (oxDNA2) the grooves are asymmetric, as in real DNA [17]. More details on simulations can be found in Supplemental Material [16].

Figure 2(c) shows a comparison between oxDNA1 and oxDNA2 simulations (dashed and solid lines, respectively), in which the Ω_i are plotted as a function of the base-pair phase angle ϕ . The latter was obtained from a Fourier analysis of simulation data: a discrete Fourier transform provides a dominant frequency ω_0 and a global phase ψ . From these the local phase of each individual base pair was obtained as $\phi_n = \text{mod}(\psi + n a \omega_0, 2\pi)$, with the index $n = 0, 1 \dots 84$ labeling the base pairs along the circle, and $a = 0.34$ nm being the base pair separation. The smooth curves of Fig. 2(c) are obtained by binning the data in ϕ and averaging Ω_i within each

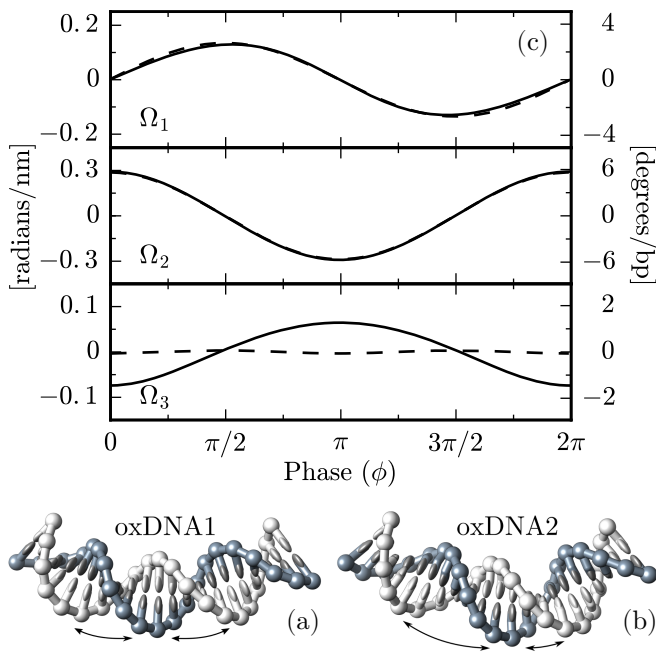


FIG. 2. (a,b) Snapshots of minicircles fragments from simulations of oxDNA1 (with symmetric grooves, (a)) and of oxDNA2 (with asymmetric grooves, (b)). (c) Plot of average values of Ω_i vs. ϕ from oxDNA1 (dashed lines) and oxDNA2 (solid lines) simulations. oxDNA2, but not oxDNA1, has a pronounced twist wave. Overall the data are in good agreement with Eqs. (4). A zoom-in of the Ω_3 for oxDNA1 shows a very weak wave with frequency $2\omega_0$. This is due to anisotropic bending, as discussed in the Supplemental Material [16]. The Ω_i , as defined in (2), have units of inverse length, which are shown in the left vertical axis. The right axis is in degrees per base pairs, and is obtained by multiplying the left scale by $\pi a/180$, with $a = 0.34$ nm the base pair separation.

bin. A key result of Fig. 2(c) is the clear difference in the behavior of Ω_3 between the model with symmetric grooves (oxDNA1, dashed lines) and that with asymmetric grooves (oxDNA2, solid lines). The emergent twist waves are associated with the twist-bend coupling interaction [$G \neq 0$ in Eqs. (4)], which arises from the groove asymmetry of DNA [7]. In the unrealistic case of equal major and minor grooves, one expects $G = 0$, as we indeed observe for oxDNA1. In general, the Ω_i calculated from oxDNA closely follow the predictions of Eqs. (4). For a quantitative comparison see Supplemental Material [16].

Nucleosomal DNA – We now turn to the analysis of nucleosomal DNA, which is highly bent around histones, forming a superhelix of radius 4.19 nm and pitch 2.59 nm (for a recent review see e.g. Ref. [2]). The length of the wrapped DNA is 147 bp, corresponding to 1.67 superhelical turns. High-resolution structural crystallographic data for DNA wrapped around histone proteins in nucleosomes is available (we note the seminal work of this type in Ref. [23]). Oscillations in tilt (Ω_1), roll (Ω_2)

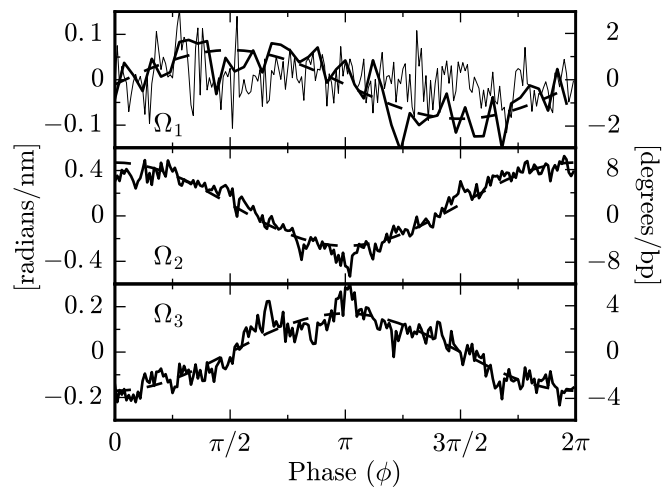


FIG. 3. Plot of the mean values of Ω_i vs. the phase ϕ (analogously to Fig. 2), obtained from averaging over 145 nucleosome crystal structures. Noisy curves for Ω_2 and Ω_3 are simple averages over all structures; smooth curves show the Fourier component for ω_0 , indicating its dominance in the average, as well as the antiphase relation of Ω_2 and Ω_3 expected from the twist-bend coupling. Data for Ω_1 averaged over all structures are extremely noisy (light noisy curve), but when selected structures with large power at ω_0 are analyzed (darker curves) the $\pi/2$ -phase-shifted signal expected from theory is observed (see text). The output of the software Curves+ [22] is in degree per bp, given in the right vertical axis.

and twist (Ω_3) were found in early analyses of crystallographic data, and were attributed to histone protein-DNA interactions [23]. Since the publication of the first high-resolution nucleosome data [23], many crystal structures have been determined with different wrapping sequences and various DNA or protein modifications (e.g. methylation and phosphorylation). Here we focus on the average shape of nucleosomal DNA, which can be obtained by averaging over different available structures. Nucleosomal DNA forms a superhelix and not a close circle. Nonetheless, Eqs. (4) are expected to approximate well its shape, as the superhelical pitch is small compared to the intrinsic double-helix twist (details in Supplemental Material [16], see also Ref. [9]).

Figure 3 shows a plot of average Ω_i vs. ϕ , extracted from the analysis of 145 crystal structures from the Protein Data Bank (PDB [24]), using the conformational analysis software Curves+ [22]. The phase ϕ is calculated from the discrete Fourier analysis, similarly to the oxDNA data of Fig. 2. From the analysis of crystal structures we find that in nucleosomal DNA Ω_2 and Ω_3 have a strong oscillatory behavior for all sequences and are in antiphase as predicted by Eqs. (4). The average of Ω_1 over all crystallographic data results in a structureless, highly-noisy signal (thin lines, top of Fig. 3). However, a subset of data show oscillations in Ω_1 , detectable from a dominant peak in the Fourier spectrum corresponding

to a frequency $\approx \omega_0$. The average of this oscillating subset is a sinusoidal wave, as expected from Eq. (4). The lack of a clear oscillatory signal may be due to sequence-specific effects and low signal-to-noise ratio, masking the expected behavior.

There is a reasonable quantitative agreement in the wave amplitudes between oxDNA simulations and nucleosome data, as seen by comparing the vertical scales of Fig. 2 and 3. According to Eqs. (4) the wave amplitudes depend on the value of the elastic constants, which may be somewhat different between real DNA and oxDNA. Nucleosomal DNA has a larger amplitude in Ω_2 and smaller in Ω_1 than oxDNA. As shown in Supplemental Material [16], from Eqs. (4) it follows that $\max\{\Omega_1\} + \max\{\Omega_2\} = 2/R$, a geometric stiffness-independent constant, R being the radius of curvature. Using this relation we find $R = 4.7$ nm both for oxDNA1 and oxDNA2, which agrees with the expected radius $R = 85a/2\pi = 4.6$ nm for a 85-bp minicircle. For the nucleosome, we obtain $R = 4.5$ nm, which, considering the large uncertainty on Ω_1 , is reasonably close to the known nucleosomal-DNA radius $R = 4.2$ nm. While the sum of amplitudes Ω_1 and Ω_2 is constrained by the geometry, this is not the case for Ω_3 . Its amplitude is larger for the nucleosomal data (Fig. 3) than oxDNA2 (Fig. 2), suggesting that oxDNA2 has a twist-bend coupling constant lower than that of real DNA, in agreement with a previous analysis [12]. From the ratio between the amplitudes of Ω_3 and Ω_2 in Fig. 3 and Eq. (4) we estimate $G/C \approx 0.46$. Recent analysis [11] of single-DNA magnetic tweezers experiments on 7.9 kbp DNA molecules estimated $G = 40(10)$ nm and $C = 110(5)$ nm, which would yield $G/C = 0.36(09)$. Although these two ratios are consistent, some caution is required in their comparison. Simulations have shown that elastic constants for deformations at the base-pair level, relevant for the nucleosome, are generally weaker than stiffnesses for segments of tenths of base-pairs, relevant for the tweezers data [8, 12].

Elastic rod models have been used in the past to investigate various features of nucleosomes [9, 25–29]. In particular, the structure of nucleosomal DNA has been addressed [9] using a model including, besides twist-bend coupling, a stretching modulus and twist-stretch coupling. The elastic energy was minimized while keeping the twist density fixed to the experimentally determined values of Ref. [23], in order to mimic the interaction of DNA with the histone-proteins. In Ref. [29] minimization of a sequence dependent model was performed, while fixing the base pair orientation in 14 known DNA-histones interaction sites [30]. While partially-constraining the conformation of the nucleosomal DNA along the sequence allows for sharper predictions about its local and sequence-dependent behavior, it may obscure some global features. In particular, our work shows that twist oscillations are an intrinsic feature of

bent DNA, rather than an explicit consequence of DNA-protein interactions.

Conclusion – Summarizing, we have shown that in a coarse-grained model of DNA with asymmetric grooves a bending deformation induces an oscillating excess twist having the form of a standing wave. We devised an approximated energy-minimization scheme, which provides analytical predictions for the shape of bending and twist waves. These are in excellent agreement with the numerical simulations, and show that the induced twist waves have a spatial frequency ω_0 , the intrinsic DNA twist-density, and an amplitude which is governed by the radius of curvature and the DNA elastic constants. We also showed that crystallographic X-ray nucleosomal DNA data match our prediction of bend-induced twist waves. In nucleosomes, oscillations in DNA twist and bending are usually attributed to the DNA-protein interactions [23], but our work shows that twist waves are general features of bent DNA. We expect that the same kind of correlation will be observed in other protein-DNA complexes, since twist-bend coupling is a fundamental physical property of the double helix.

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