

1 **1 Archaeal community structure and underlying ecological processes in swine manure**  
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5 11 **Abstract**  
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7 12 The ecological processes underlying the observed patterns in community composition of archaea  
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10 13 in swine manure slurry are poorly understood. We studied the archaeal communities from six  
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13 14 swine manure slurry storage tanks using paired-end Illumina sequencing of the v3 hypervariable  
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15 15 region of 16S rRNA gene. Across all samples, the archaeal community was dominated by  
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17 16 methanogens related to *Thermoplasmata*, *Methanomicrobia*, and *Methanobacteria* classes. At  
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20 17 the genus level, the archaeal community was dominated by a single uncultured lineage of  
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22 18 archaea, *vadinCA11*, followed by methanogenic genera *Methanobrevibacter*, *Methanosarcina*,  
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24 19 *Methanosphaera*, *Methanogenium*, *Methanocorpusculum*, *Methanoculleus*, and  
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27 20 *Methanomicrococcus*. Significant phylogenetic signals were detected across relatively short  
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30 21 phylogenetic distances, indicating that closely related archaeal operational taxonomic units  
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32 22 (OTUs) tend to have similar niches. The standardized effect sizes of mean nearest taxon distance  
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34 23 (SES.MNTD) showed that archaeal community was phylogenetically clustered, suggesting that  
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37 24 environmental filtering deterministically influence the within-community composition of  
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39 25 archaea. However, between-community analysis based on  $\beta$ -nearest taxon index ( $\beta$ NTI) revealed  
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42 26 that both deterministic selection and stochastic processes operate simultaneously to govern the  
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44 27 assembly of archaeal communities. These findings significantly enhance our understanding of  
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47 28 archaeal community assembly and underlying ecological processes in swine manure slurry.  
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51 30 **Keywords:** archaea; Illumina sequencing; methanogens; phylogenetic signal; swine manure  
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**32 Introduction**

33 Archaea constitute a small fraction of the microbial biomass on Earth, however they involved in  
34 various essential ecological processes including nitrification, denitrification and methanogenesis  
35 (Offre et al. 2013). Originally, it was believed that archaea inhabit only under extreme conditions,  
36 however, now they are known to be present in wide variety of ecosystems including soil (Bintrim  
37 et al. 1997; Bates et al. 2011; Tripathi et al. 2013), marine and fresh-water habitats (Lipp et al.  
38 2008; Lliros et al. 2008; Teske and Sørensen 2008; Auguet et al. 2011), animal feces and stored  
39 manure (Mao et al. 2011; Barret et al. 2015), and intestinal tracts of animals (Shin et al. 2004;  
40 Pei et al. 2010). Culture-independent high-throughput sequencing methods have significantly  
41 improved our understanding of the diversity and composition of archaea across various  
42 ecosystems (Auguet et al. 2010). However, comparatively little is known about the ecological  
43 processes that shape the assembly of archaeal communities.

45 The assembly of microbial communities is influenced by two types of ecological processes-  
46 deterministic selection and stochastic processes (Stegen et al. 2012; Wang et al. 2013).  
47 Traditionally, the assembly of microbial communities has been thought to be influenced  
48 primarily by deterministic selection (Baas-Becking 1934; Torsvik et al. 2002), in which certain  
49 abiotic and biotic factors select a particular assemblage of microbial species (Fierer and Jackson  
50 2006; Lozupone and Knight 2007). However, some studies have reported that stochastic  
51 processes (e.g., random birth, death, dispersal, and colonization) can also play a major role in  
52 shaping assembly of microbial communities (Peay et al. 2010; Caruso et al. 2011). More recently,  
53 it has been found that both deterministic and stochastic processes can act concurrently to

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5 54 influence the community assembly of microbial communities (Chase and Myers 2011;  
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8 55 Langenheder and Székely 2011; Stegen et al. 2012; Zhou et al. 2014).  
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12 57 Modern swine production facilities are more intensified and generate large quantities of manure  
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15 58 slurry (Barker and Overcash 2007). The swine manure slurry is commonly stored in deep pits for  
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18 59 months before being applied to agricultural lands as fertilizer. During storage the swine manure  
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20 60 slurry decomposes, and emits a considerable quantity of methane (EPA 2013), which is  
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22 61 exclusively generated by methanogenic archaea (Whitman et al. 2006). Over the past decade,  
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25 62 several studies have characterized the archaeal community present in swine feces and stored  
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27 63 manure slurry by using both cultivation-based (Miller et al. 1986) and culture-independent  
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30 64 methods (Whitehead and Cotta 1999; Mao et al. 2011; Da Silva et al. 2014). However, it is still  
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32 65 not clear what underlying ecological processes are important in structuring the community  
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35 66 composition of archaea in swine manure slurry.  
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39 68 Therefore, this study was conducted to answer the following questions:  
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42 69 1) What are the dominant archaeal taxa present in swine manure slurry?  
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44 70 2) How does the community composition of archaea is governed by the underlying  
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47 71 ecological processes (deterministic vs. stochastic) in both within- and between-  
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49 72 community-level analyses?  
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54 74 We applied an ecological null modeling approach to understand the relative influence of  
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56 75 deterministic selection and stochastic processes in structuring the archaeal communities (Webb  
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59 76 et al. 2002; Stegen et al. 2012).  
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5 **77 Material and Methods**

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8 **78 Sample collection**

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10 79 Swine manure slurry samples were collected from six different commercial pig farms in South  
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12 80 Korea in June 2013. For each sample, slurry was collected from the top 1 m of the storage tank at  
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15 81 five different points, and finally one liter of slurry was collected after through mixing (for  
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17 82 detailed information on sampling, see (Kumari et al. 2015). After collection the swine manure  
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20 83 slurry samples were kept in an ice box and transported to the laboratory for DNA extraction.  
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25 **85 DNA extraction, PCR amplification, and Illumina sequencing**

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27 86 DNA was extracted from the pellet of centrifuged (at 14,000 x g for 5 min) swine manure slurry  
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30 87 samples (5-ml each) using a PowerSoil DNA isolation kit (MoBio Laboratories, USA). The v3  
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32 88 hypervariable region of archaeal 16S rRNA gene was amplified using primer pair S-D-Arch-  
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34 89 0349-a-S-17 (5'GYGCASCAGKCGMGAAW3')/ S-D-Arch-0519-a-A-16  
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37 90 (5'TTACCGCGGCKGCTG3') (Klindworth et al. 2012). The resulting amplicons were  
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39 91 sequenced at the Beijing Genome Institute (BGI) (Hong Kong, China) using Hiseq™ 2500  
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42 92 platform (Illumina, USA) to generate 150 bp paired-end reads. The raw sequence data were  
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44 93 deposited into the NCBI short reads archive (SRA) database under accession number  
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52 **96 Sequence processing**

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54 97 The paired-end sequences were assembled using PANDAseq (Masella et al. 2012), and  
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56 98 subsequently processed in Mothur (Schloss et al. 2009). The assembled 16S rRNA gene  
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59 99 sequences were aligned against SILVA reference alignment v123 (<http://www.arb-silva.de/>). The  
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100 alignment was screened for chimeric sequences using ‘chimera.uchime’ command implemented  
101 in mothur in de novo mode (Edgar et al. 2011). The resulting alignment was classified against  
102 Greengenes reference taxonomy database (release gg\_13\_8\_99; August 2013). The sequences  
103 were grouped into operational taxonomic units (OTUs) at  $\geq 97\%$  sequence similarity using the  
104 average neighbor clustering algorithm. Singleton OTUs were removed to avoid spurious results  
105 due to sequencing errors. Finally, the dataset was rarified to a depth of 10,291 sequences per  
106 sample using the ‘sub.sample’ command in mothur.

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**Phylogenetic and statistical analysis**

109 A maximum-likelihood tree was constructed from the sequence alignment of representative  
110 OTUs using FastTree (Price et al. 2010). For evaluating phylogenetic signals, environmental-  
111 optima for all OTUs were calculated by following the procedure described by Stegen et al.  
112 (2012). Then, among-OTU differences in environmental optima were calculated as Euclidean  
113 distances. Mantel correlogram was used to assess the correlation coefficients between differences  
114 in environmental optima and phylogenetic distances with 999 permutations. We calculated mean  
115 nearest taxon distance (MNTD) to emphasize phylogenetic relationships between archaeal OTUs  
116 (Webb et al. 2002). To analyze the turnover in phylogenetic community composition,  $\beta$ MNTD  
117 was calculated using ‘comdistnt’ function (abundance.weighted = TRUE) in ‘picante’ R  
118 package (Kembel et al. 2010). Whereas, Bray-Curtis distance was calculated using ‘vegdist’  
119 function in ‘vegan’ R package (Oksanen et al. 2013) to evaluate the turnover in taxonomic  
120 community composition (at the OTU level). Nonmetric multidimensional scaling (NMDS) plots  
121 were used to visualize the taxonomic and phylogenetic composition of archaeal community

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5 122 across all samples. To assess congruence among taxonomic and phylogenetic NMDS ordinations,  
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8 123 we used ‘procrustes’ function in vegan R package.  
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12 125 The standardized effect sizes of MNTD (SES.MNTD) was calculated using the null model  
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15 126 ‘taxa.labels’ (999 randomization) in ‘picante’ R package to evaluate the phylogenetic community  
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18 127 assembly. The mean value of SES.MNTD across all communities deviating significantly from  
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20 128 zero indicates clustering (SES.MNTD<0) or over dispersion (SES.MNTD>0) (Webb et al. 2002;  
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22 129 Kembel et al. 2010).  
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27 131 Furthermore, a null modeling approach was employed to disentangle the community assembly  
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30 132 processes using R software (Stegen et al. 2012; Stegen et al. 2013; Wang et al. 2013). To infer  
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32 133 this, the  $\beta$ -nearest taxon index ( $\beta$ NTI) was calculated which estimates the deviation of the  
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35 134 observed  $\beta$ MNTD from the null distribution of  $\beta$ MNTD (using 999 randomizations). The  $|\beta$ NTI|  
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37 135 values  $> 2$  indicate deterministic selection ( $\beta$ NTI  $< -2$ : homogeneous selection;  $\beta$ NTI  $> +2$ :  
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39 136 variable selection) is responsible for differences in community composition, whereas  $|\beta$ NTI|  
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42 137 values  $< 2$  indicate that the observed difference in community composition is result of stochastic  
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44 138 processes (Dini-Andreote et al. 2015).  
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49 140 **Results and discussion**  
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52 141 A total of 122,321 archaeal 16S rRNA gene sequences (with an average length of 191 bp) were  
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54 142 obtained from six swine manure slurry samples, with coverage ranging from 10,896 to 26,661  
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56 143 reads per sample. Despite the high level of sequencing coverage, the rarefaction curves did not  
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59 144 reach a plateau (Fig. 1), indicating that the actual archaeal diversity is much higher. Of the  
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5 145 122,321 sequences, around 98.8% sequences were classified up to phylum *Euryarchaeota*.  
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8 146 *Euryarchaeota* are known to inhabit pig feces and mostly dominated by the methanogenic  
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10 147 members of this phylum (Cardinali-Rezende et al. 2012; Da Silva et al. 2014).  
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15 149 Across all samples, *Thermoplasmata* was the most abundant euryarchaeal class (75,127  
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17 150 sequences, 61.4% of all sequences) followed by *Methanomicrobia* (22,432 sequences, 18.3% of  
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19 all sequences) and *Methanobacteria* (16,226 sequences, 13.3% of all sequences) (Fig. 2). All  
20 151 these archaeal classes have been reported to dominate pig feces (Dridi et al. 2009; Mao et al.  
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22 152 2011). The candidate genus *vadinCA11* (Godon et al. 1997) predominated across all samples  
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24 153 representing around 56% of the whole archaeal community (Fig.3). The dominance of  
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26 154 *vadinCA11* is previously documented in swine manure and storage pits (Whitehead and Cotta  
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28 155 1999; Snell-Castro et al. 2005). So far, the metabolic activity of this uncultured archaeal lineage  
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30 156 is remains unclear. The other most dominant archaeal genera detected across all samples were  
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32 157 *Methanobrevibacter*, *Methanosarcina*, *Methanosphaera*, *Methanogenium*, *Methanocorpusculum*,  
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34 158 *Methanoculleus*, and *Methanomicrococcus* (Fig.3). All these genera use hydrogenotrophic  
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36 159 methanogenesis pathway except *Methanosarcina*, which can use both the acetoclastic and the  
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38 160 hydrogenotrophic methanogenesis pathways (Phelps et al. 1985).  
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49 163 Testing phylogenetic signal in the ecological niches of species is a prerequisite for making  
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51 164 ecological inferences using phylogenetic information (Cavender-Bares et al. 2009; Fine and  
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53 165 Kembel 2011). Mantel correlogram showed significant phylogenetic signal across relatively  
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55 166 short phylogenetic distances (Fig.4), which indicates that niche preferences of closely related  
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59 167 archaeal OTUs are more similar to each other than to the niche preferences of distant relatives  
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5 168 (Losos 2008). Therefore we calculated MNTD to quantify the phylogenetic distances among  
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8 169 close relatives (Webb et al. 2002). This result is consistent with the observation of our previous  
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10 170 study on bacterial communities in swine slurries (Kumari et al. 2015), which also found  
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13 171 significant phylogenetic signal across relatively short phylogenetic distances. Furthermore,  
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15 172 similar results were also reported on archaeal communities in soil ecosystems (Tripathi et al.  
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22 175 Procrustes analysis comparing spatial fit between NMDS plots generated using Bray-Curtis  
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25 176 (taxonomic) and  $\beta$ MNTD (phylogenetic) distances showed concordance (procrustes correlation,  
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27 177  $R=0.80$ ,  $P=0.04$ ; Fig. 5), indicating that archaeal communities in swine slurry samples have a  
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30 178 strong evolutionary structural component. The SES.MNTD distribution mean was significantly  
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32 179 less than zero across all samples (Fig. 6a; one-tailed t-test,  $P < 0.05$ ), indicating that the each  
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35 180 archaeal community was phylogenetically clustered largely due to environmental filtering.  
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37 181 Environmental filtering play a key role in shaping species community assembly (Webb et al.  
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39 182 2002). This result is consistent with previous studies on microbial communities in different  
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42 183 environments (Kumari et al. 2015; Ren et al. 2015; Yan et al. 2016), which showed that  
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44 184 microbial communities had a tendency to be phylogenetically clustered.

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49 186 To infer the relative influence of deterministic selection vs. stochastic processes that govern the  
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52 187 phylogenetic turnover of archaeal communities in swine manure slurry, we employed between-  
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54 188 community null modeling approach and calculated  $\beta$ NTI (Stegen et al. 2012; Stegen et al. 2013;  
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56 189 Wang et al. 2013). The median of the  $\beta$ NTI distribution was significantly greater than the  
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59 190 expected value of zero (median  $\beta$ NTI = +2.00, one-tailed t-test,  $p < 0.0001$ ; Fig. 6b), indicating

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5 191 that on average variable selection governs archaeal community dynamics. The physico-  
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8 192 chemical properties of swine manure slurry varied widely across samples (Suresh and Choi  
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10 193 2011), and this heterogeneous condition among swine manure slurry samples could results in  
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13 194 strong influence of variable selection. We also quantified the relative proportions of both  
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15 195 ecological processes across all comparisons (Fig. 6b). The results showed that though the  
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18 196 relative contribution of deterministic selection was higher (variable selection = 53%),  
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20 197 stochastic processes (47%) also appeared to influence the turnover of archaeal communities.  
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22 198 These results are consistent with the recent theoretical frameworks that both deterministic  
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25 199 selection and stochastic processes govern the assembly of microbial communities (Chase and  
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27 200 Myers 2011; Langenheder and Székely 2011; Stegen et al. 2012; Zhou et al. 2014).

## 201 202 **Conclusions**

203 In conclusion, we found that the archaeal community in swine manure slurry was dominated by  
204 methanogenic archaea. The phylogenetic signals detected across relatively short phylogenetic  
205 distances revealed that closely related archaeal OTUs have more similar niche preferences, hence  
206 they are ecologically similar. The archaeal community was phylogenetically clustered within-  
207 community analysis (SES.MNTD) and thus environmental filtering deterministically governs the  
208 assembly of each community in swine manure slurry. However, relative to within-community  
209 analysis, the turnover between archaeal communities ( $\beta$ NTI) was governed by both deterministic  
210 selection and stochastic processes. Overall, this study provides valuable insights into the  
211 community assembly and ecology of archaea in swine manure slurry.

## 212 213 **Acknowledgements**

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353 **Figure legends**

354 **Fig. 1.** Rarefaction curves of archaeal operational taxonomic units ( $\geq 97\%$  sequence similarity)  
355 for each sample of swine manure slurry.

356  
357 **Fig. 2.** The relative abundance of dominant archaeal taxa (at class level) present in each sample  
358 of swine manure slurry.

359  
360 **Fig. 3.** Heat map showing the relative abundance (square root transformed) of the most dominant  
361 archaeal genera in each sample of swine manure slurry.

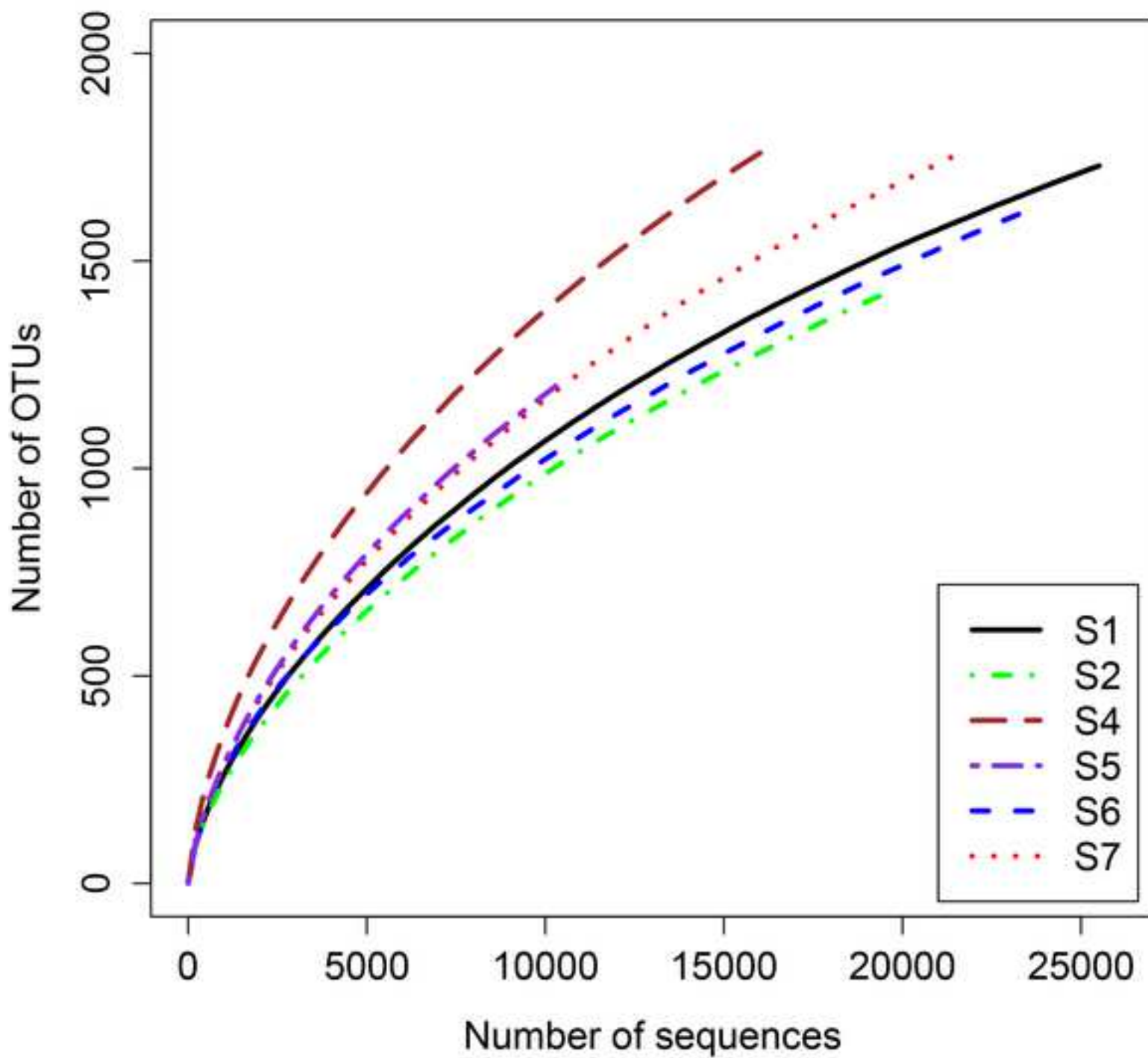
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363 **Fig. 4.** Mantel correlogram between differences in environmental optima of OTUs and  
364 phylogenetic distances of archaea in swine manure slurry. Closed squares represent significant  
365 ( $P < 0.05$ ) phylogenetic signals.

366  
367 **Fig. 5.** NMDS ordinations showing the first two axes of (a) taxonomic community  
368 composition based on Bray-Curtis distance; (b) phylogenetic community composition based  
369 on  $\beta$ MNTD and (c) Procrustes analysis comparing taxonomic and phylogentic community  
370 composition of archaea. The arrows in Procrustes analysis point towards the target  
371 configuration (taxonomic community composition), and symbols represent the rotated  
372 configuration (phylogenetic community composition). Correlation and significance values  
373 were calculated using the ‘protest’ function in vegan R package.

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375 **Fig. 6.** (a) Box plot showing variation in SES.MNTD values across all samples. The  
376 SES.MNTD distribution mean was significantly less than zero (one-tailed t-test,  $P < 0.05$ ). (b)  
377 Frequency estimates for distributions of  $\beta$ NTI. The median of the  $\beta$ NTI distribution was  
378 significantly different from expected value of zero (one-tailed t-test,  $P < 0.0001$ ).  
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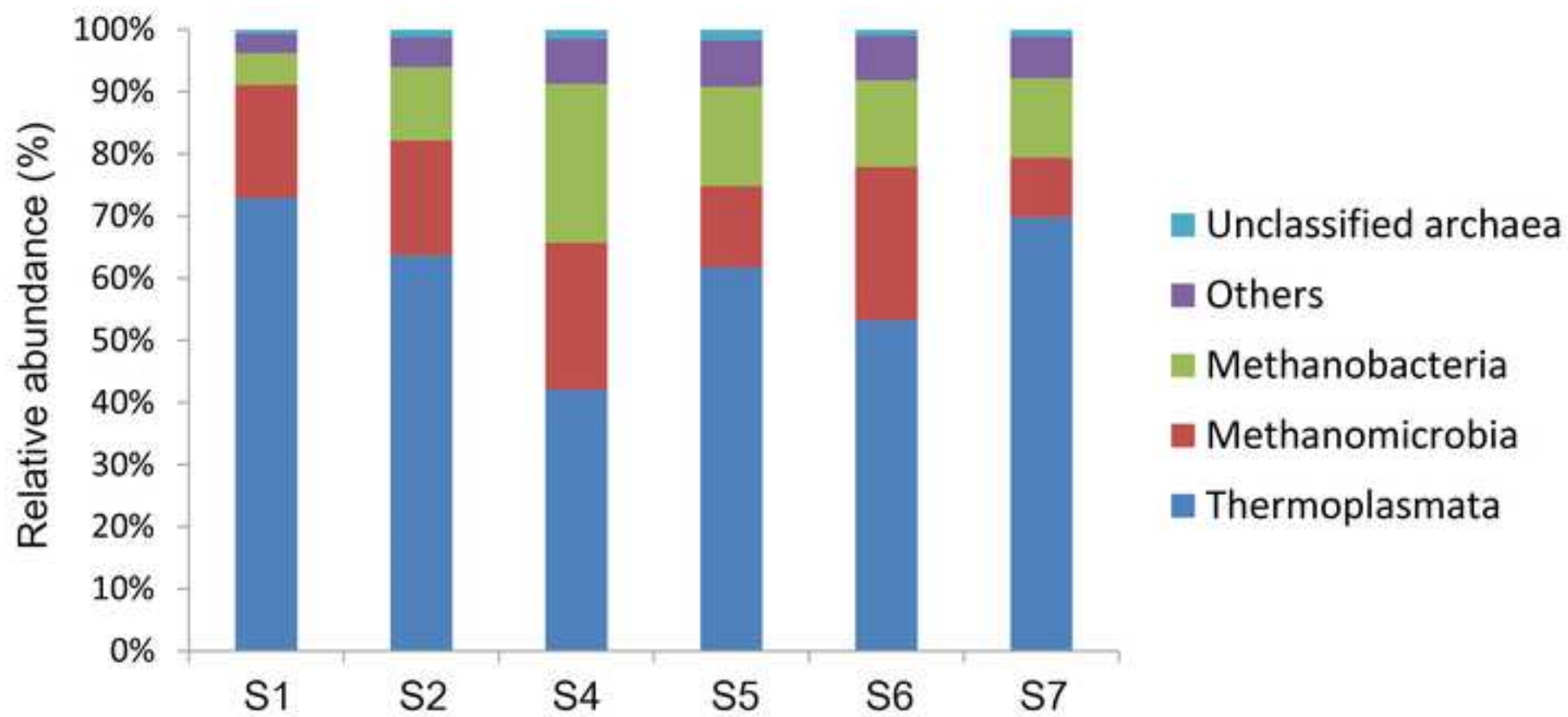


Fig.3

