

1 **Modified antibiotic adjuvant ratios can slow and steer the**
2 **evolution of resistance: co-amoxiclav as a case study**

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10 Abstract

11 As the spread of antibiotic resistance outstrips the introduction of new antibiotics, reusing
12 existing antibiotics is increasingly important. One promising method is to combine antibiotics
13 with synergistically acting adjuvants that inhibit resistance mechanisms, allowing drug
14 killing. Here we use co-amoxiclav (a commonly used and clinically important drug
15 combination of the β -lactam antibiotic amoxicillin and the β -lactamase inhibitor clavulanate)
16 to ask whether treatment efficacy and resistance evolution can be decoupled via component
17 dosing modifications.

18 A simple mathematical model predicts that different ratios of these two drug components can
19 produce distinct evolutionary responses despite similar initial levels of control. We test this
20 hypothesis by selecting *Escherichia coli* with a plasmid encoded β -lactamase (ESBL CTX-
21 M-14), against different proportions of amoxicillin and clavulanate. Consistent with our
22 theory, we found that while resistance evolved under all conditions, the component ratio
23 influenced both the rate and mechanism of resistance evolution. Specifically, we found that
24 the current clinical practice of high amoxicillin to clavulanate ratios resulted in the most rapid
25 failure due to the evolution of gene dosing responses. Increased plasmid copy number
26 allowed *E. coli* to increase β -lactamase dosing and effectively titrate out the low quantities of
27 clavulanate, restoring amoxicillin resistance. In contrast, we found high clavulanate ratios
28 were more robust - plasmid copy number did not increase, although porin or efflux resistance
29 mechanisms were found, as in all drug ratios. Our results indicate that by changing the ratio
30 of adjuvant to antibiotic we can slow and steer the path of resistance evolution. We therefore
31 suggest the use of increased clavulanate dosing regimens to slow the rate of resistance
32 evolution.

33

34 Introduction

35 The current crisis of antibiotic resistance is grounded in the ability of bacterial pathogens to
36 rapidly evolve and adapt to novel stressors like antibiotics (1). Even for the same drug many
37 different mechanisms confer resistance, often with varying transmissibility, costs and cross
38 resistances (2-5). Examples of resistance have been found for all currently used antibiotics
39 and recently clinicians have begun to face pathogens that are resistant to all available
40 antibiotics (4, 6-8).

41 In addition to the ongoing search for new drugs (9) , an important direction in combating
42 resistance is the restoration of antibiotic sensitivity to existing drugs via the use of anti-
43 resistance compounds or adjuvants (10-12). Antibiotic adjuvants are compounds that do not
44 affect the growth of bacteria on their own but instead enhance the activity of antibiotics, by
45 inhibiting mechanisms of resistance (13, 14). For example β -lactamase inhibitors prevent β -
46 lactamase enzymes from degrading β -lactam antibiotics (15). β -lactamase mediated resistance
47 is especially problematic for gram negative pathogens where these enzymes are common and
48 disseminated on plasmids (10, 16, 17). Therefore β -lactamase β -lactamase inhibitor (BLBLI)
49 combinations will restore β -lactam sensitivity of β -lactamase carrying strains without using
50 novel antibiotics or antibiotics of last resort like carbapenems (16).

51 In this study we use co-amoxiclav (brand name Augmentin), a BLBLI combination of
52 amoxicillin and clavulanate (clavulanic acid) that has been widely used globally since 1981
53 (18), and is on the WHO list of essential medicines (19). Amoxicillin is a bacteriocidal β -
54 lactam antibiotic that inhibits synthesis of the bacterial cell wall (17). The adjuvant
55 clavulanate has a similar structure to β -lactam antibiotics and thus acts as a competitive
56 inhibitor of many β -lactamase enzymes (15). By preventing amoxicillin cleavage, clavulanate
57 suppresses the resistance phenotype making amoxicillin effective again.

58 Despite the efficacy of BLBLI combinations like co-amoxiclav resistance is still possible,
59 either by altering the effect of amoxicillin or the effect of clavulanate. Clavulanate is
60 ineffective against resistance mechanisms that don't involve β -lactamase expression. Thus
61 direct resistance to amoxicillin, via altered penicillin binding protein structure, reduced porin
62 expression or increased efflux pump expression can lead to resistance to co-amoxiclav (15).
63 On the other hand increased production of lactamase enzymes overwhelm the clavulanate
64 (20) and inhibitor resistant β -lactamase enzymes reduce (or abolish) the effect of clavulanate
65 (21).

66 Despite the recent interest in adjuvants, the relative doses of the components in adjuvant
67 therapies have received little attention, with clinical amoxicillin : clavulanate ratios varying
68 from 2:1 to 16:1 (22), with an increase in amoxicillin more recently to combat resistance
69 (18). Here we mathematically model and empirically map the synergy between amoxicillin
70 and clavulanate in controlling a population of β -lactamase expressing *E. coli*. We then go on
71 to demonstrate that drug ratios that are equally effective in their initial levels of control can
72 produce distinct evolutionary responses. Specifically, we find that current high amoxicillin
73 ratios lead to the rapid evolution of resistance via increased β -lactamase expression, while
74 low amoxicillin ratios with equal initial efficacy are more robust, and maintain the efficacy of
75 our meagre pool of β -lactamase inhibitors. We therefore suggest the use of increased
76 clavulanate dosing regimens to slow the rate of resistance evolution.

77

78 Results

79 Theoretical model

80 We begin by developing a simple qualitative model for bacterial population dynamics under
81 the control of co-amoxiclav as examples of β -lactam antibiotics and a β -lactamase inhibiting
82 adjuvants (Figure S3, Text S1). The model predicts that the two compounds will show strong
83 synergy when controlling a pathogen with an existing β -lactamase resistance gene (Figure
84 1a), as is seen in our experimental results (Figure 1b). We next introduce two resistance
85 mechanisms to the model, direct (non- β -lactamase) mediated resistance via
86 target/permeability mutations (fig 2a), or β -lactamase over-production (fig 2b) and ask how
87 co-amoxiclav component dosing regimens impact selection for each mechanism.

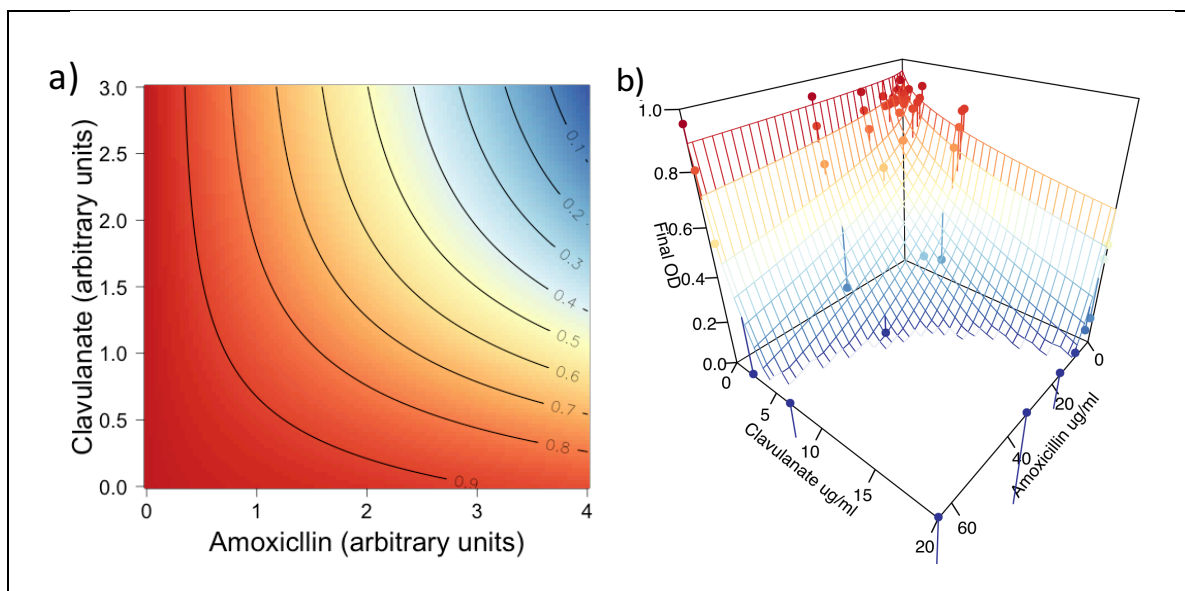
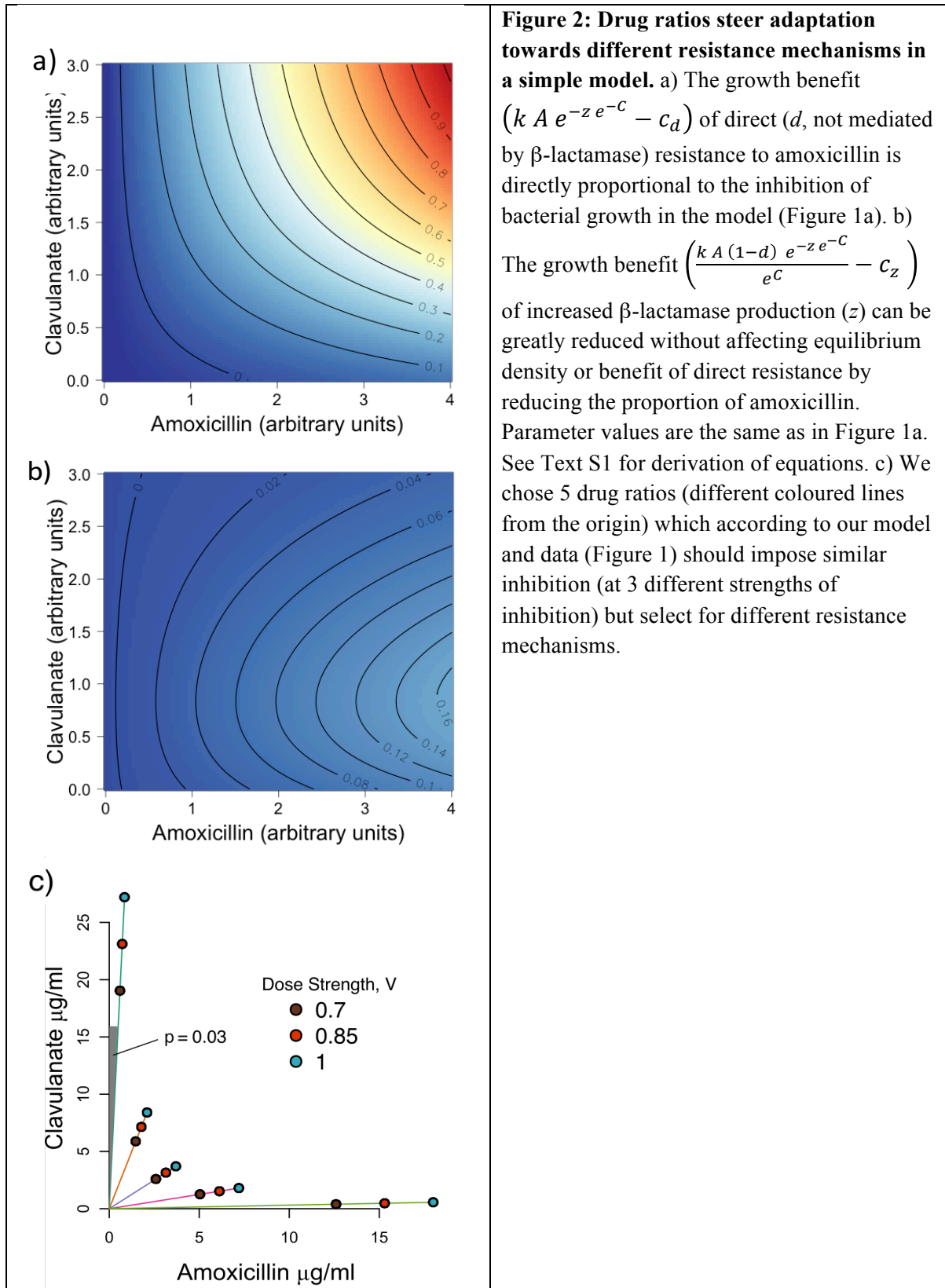


Figure 1: A simple model of amoxicillin and clavulanate action captures observed synergy.

a) We model the dynamics of bacterial density B under the influence of amoxicillin A and clavulanate C as $\frac{dB}{dt} = (1 - B)B - c_z z B - c_d dB - k A B(1 - d)e^{-ze^{-C}}$ (for details, see Text S1). Carrying capacity of the system is normalised to one, so equilibrium density will be one in the absence of drugs and costly resistance. Parameters capture resistance to amoxicillin via β -lactamase over-production ($z=2.3$). Other parameters are $k=0.3$, $d=0.1$, $c_d=0.05$ and $c_z=0.005$. b) This model approximates the synergistic inhibition of growth seen in *E. coli* expressing a β -lactamase. The surface is the prediction of the fitted linear model (Figure S1).



89 The model predicts that non- β -lactamase resistance mutations will be selected in proportion
 90 to the efficacy of the combination treatment (Figure 2a). In contrast, β -lactamase over-
 91 production mutants show a more interesting pattern with maximal selection biased towards

92 high amoxicillin ratios (Figure 2b), as increasing β -lactamase can then effectively titrate out
93 the low concentration of clavulanate and restore the resistance phenotype.

94

95 Adaptation of *E. coli* to drug environments

96 We next tested our theoretical predictions by conducting experimental evolution in 15 drug
97 environments, corresponding to 5 differing amoxicillin proportions (p), each at 3 dose
98 strengths (V) (Figure 2c). Importantly amoxicillin proportion and dose strength (strength of
99 inhibition in the ancestor) varied independently across these 15 environments. After 6
100 passages (approximately 40 generations) each evolved population was assayed for growth in
101 the drug environment it was selected in. The growth of populations, in their drug
102 environment, was greater for populations selected in high amoxicillin ratios than those
103 selected in low amoxicillin ratios (figure 3a), even though the different drug ratios showed
104 similar efficacy on the ancestral strain (figure S2). The slower adaptation in low amoxicillin
105 lines can also be seen over the course of the whole selection experiment, which ran for 12
106 passages (figure S4).

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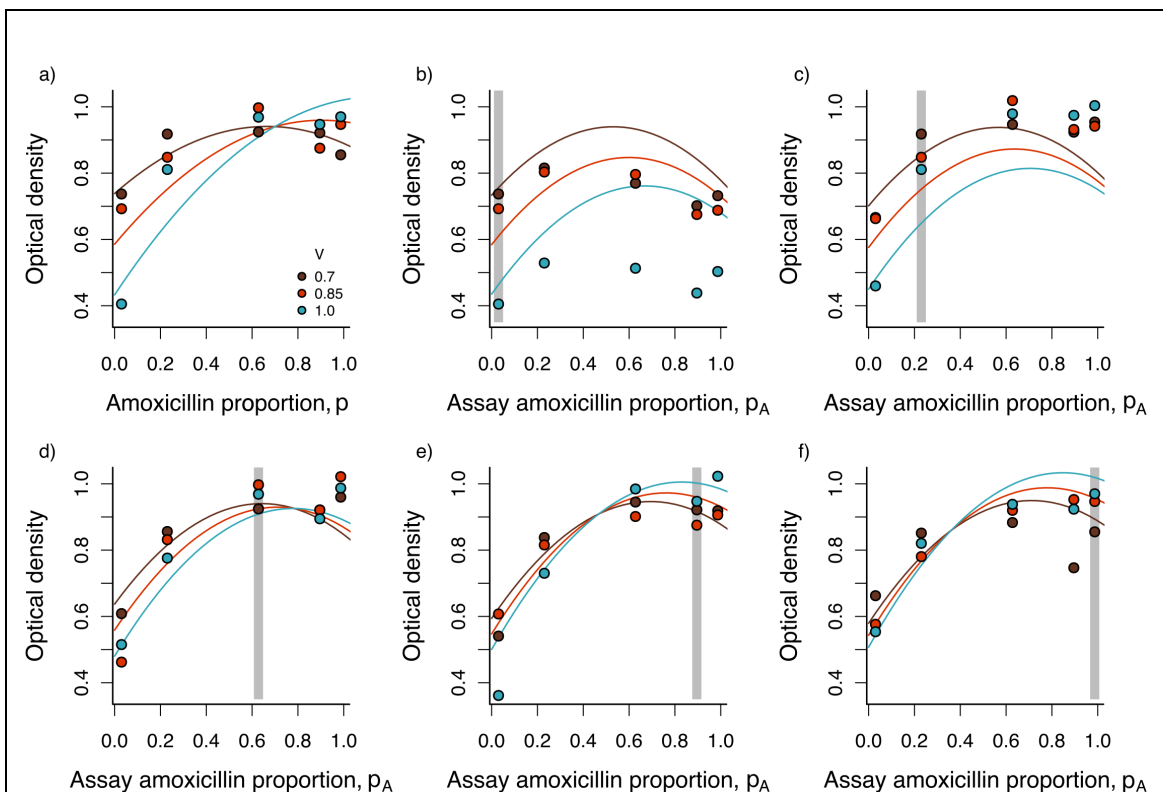


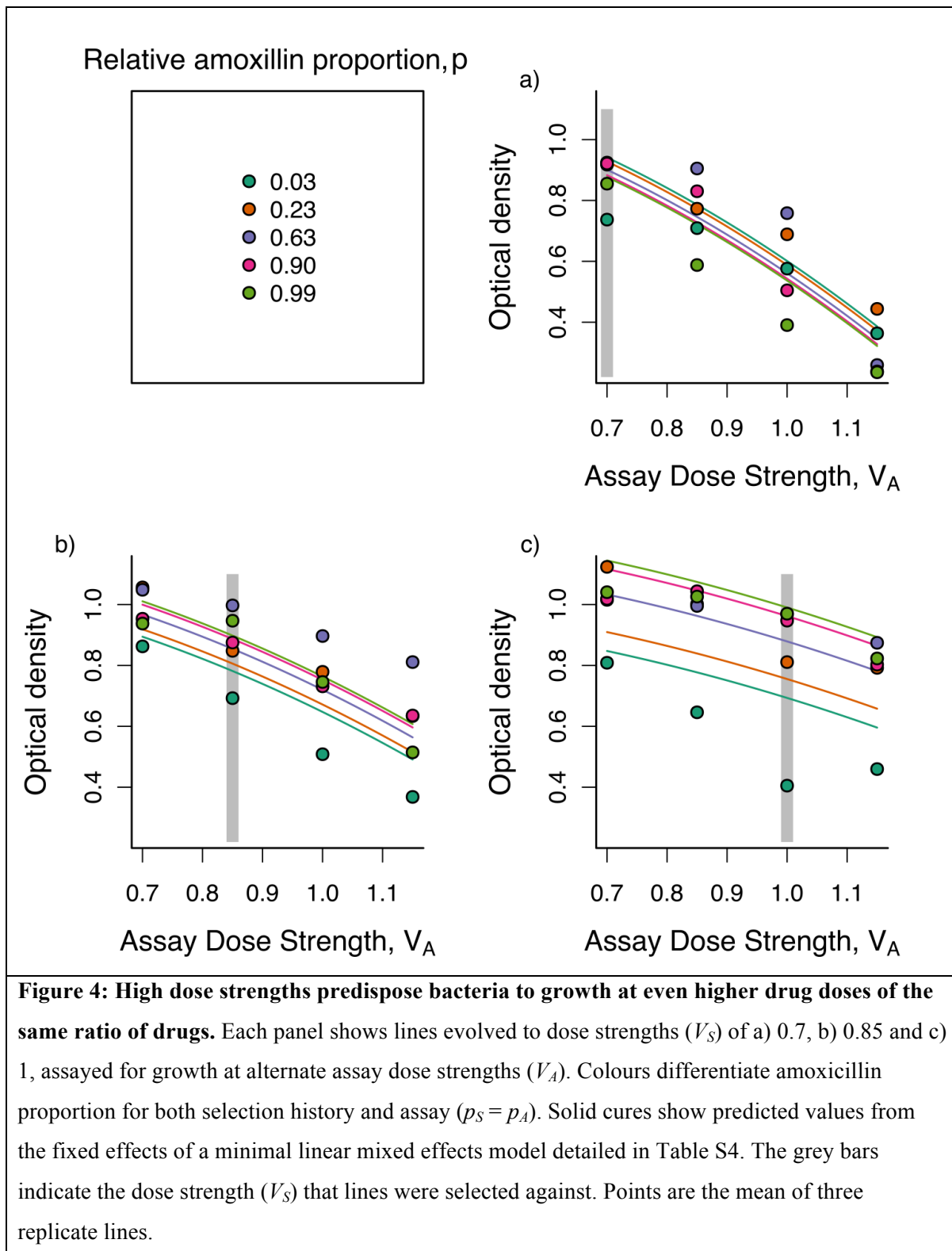
Figure 3: Differences in the adaptation and specificity of resistance for lines evolved under

different amoxicillin proportions (p). a) shows the growth of each population its selective environment. Panels b-f) show lines evolved at different amoxicillin proportions (p_S) from a) low p_S to f) high p_S (as depicted in figure 2c). Each line was assayed for growth at alternate drug ratios (p_A). Colours differentiate dose strengths for both selection history and assay ($V_S = V_A$). Solid curves show predicted values from the fixed effects of a minimal linear mixed effects model detailed in table S3. The grey regions indicate the drug ratio that lines were selected against, the points in these regions are shown together in panel a). Points are the mean of three replicate evolved lines.

108 Cross resistance between drug environments

109 Next, we explored how adaptation to one drug environment influenced growth across distinct
110 drug environments. The populations that had been selected for 6 passages were exposed to
111 alternate drug environments along the two variables of drug environment; amoxicillin
112 proportion and dose strength.

113



114 By varying assay amoxicillin proportion (p_A) we found that adaptation to a high clavulanate
 115 environment (low p_S , Figure 3b) leads to poor growth across all drug environments. Even the
 116 complex statistical model presented here underestimates the extent that lines evolved to high
 117 clavulanate treatments have impaired growth. In contrast, adaptation to high amoxicillin

118 environment (high p_S , Figure 3d-f) leads to performance that is more sensitive to the assay
119 environment p_A , with high growth in the environment of adaptation and poor growth in a low
120 amoxicillin environment, suggesting higher specificity of resistance in these lines.

121 By varying the assay dose (V_A , Figure 4) we found that adaptation to a higher dose strength
122 environment (high V_S , Figure 4c) leads to a reduced sensitivity to increasing assay dose
123 (Figure 4). The lines selected to different drug ratios behave similarly when assay dose
124 strengths are changed. However, strains evolved at high clavulanate proportions do poorly
125 against all dose strengths.

126 Genetic changes during selection

127 To cast light on the mechanisms of evolved resistance, we sequenced the 15 populations
128 evolved against high dose strengths of co-amoxiclav. We find a pattern of parallel mutation
129 of the plasmid copy number repression locus *repY* (23), predominantly in the lines evolved
130 against high amoxicillin proportions (Figure 5, Data S1). Mutations affecting porins and
131 efflux pumps, which prevent access of amoxicillin to the cell wall target (24), are also found
132 in multiple lines (Figure 5, Data S1) but are not specifically found in lines evolved to high or
133 low amoxicillin proportions. By using read depth of the plasmid and genome regions to
134 estimate plasmid copy number we find that lines selected against higher amoxicillin
135 proportions evolved higher plasmid copy number (Figure 6, $\beta = 2.187$, $F_{1,13} = 20.89$, $p < 0.001$,
136 robust to removal of outlying point).

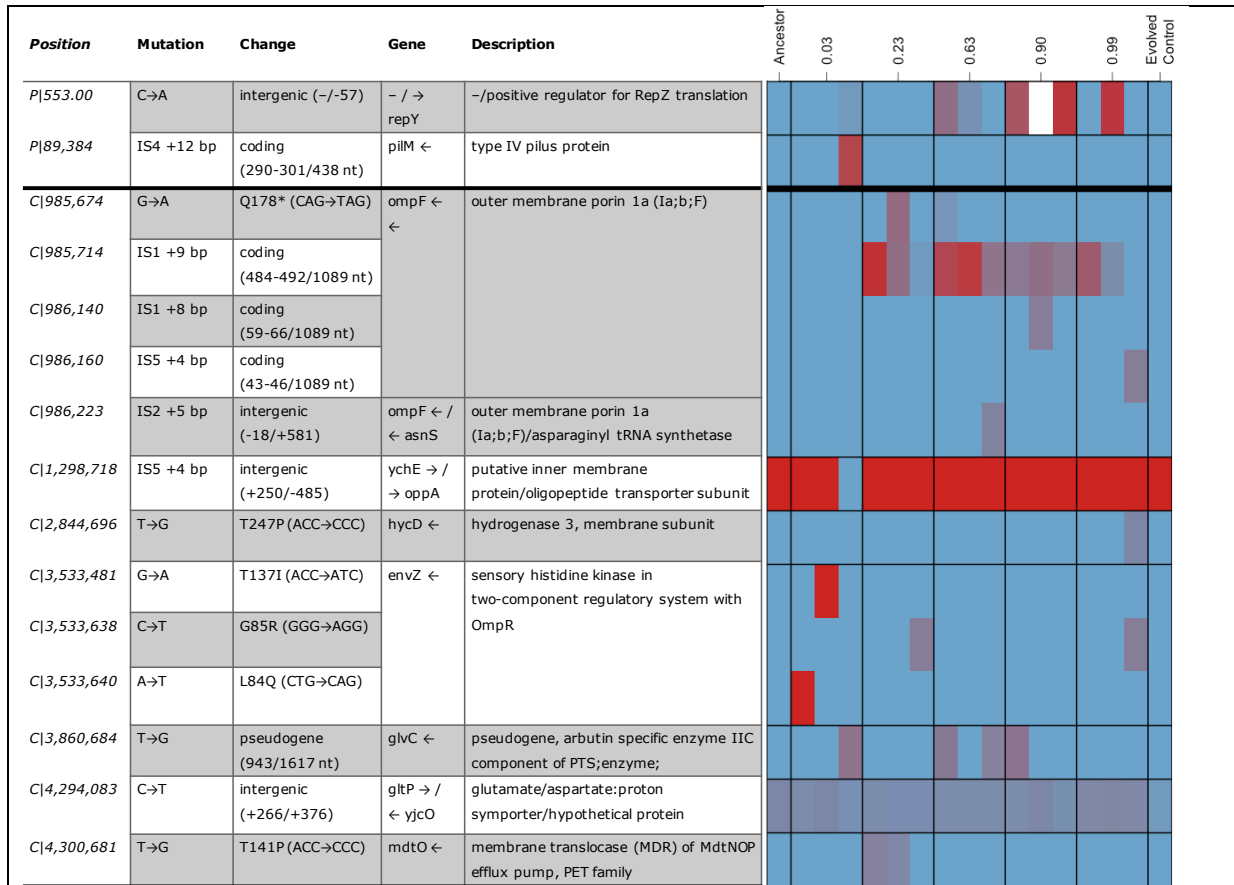


Figure 5: Populations selected for 6 passages have different mechanisms of co-amoxiclav resistance. The table on the left gives detail about the mutations. The frequency of each mutation in all sequenced populations is shown on the left. Red indicates that a mutation is at high frequency and blue indicates that the mutation is at low frequency or absent. The white box indicates that the mutation is present in the population but a frequency is unable to be assigned to it. Mutations are identified by their position and prefixed by P or C for plasmid or chromosome respectively. Only polymorphic mutations that were found at a frequency equal to or greater than 20% in one or more lines are shown. All mutations are listed in the Data S1.

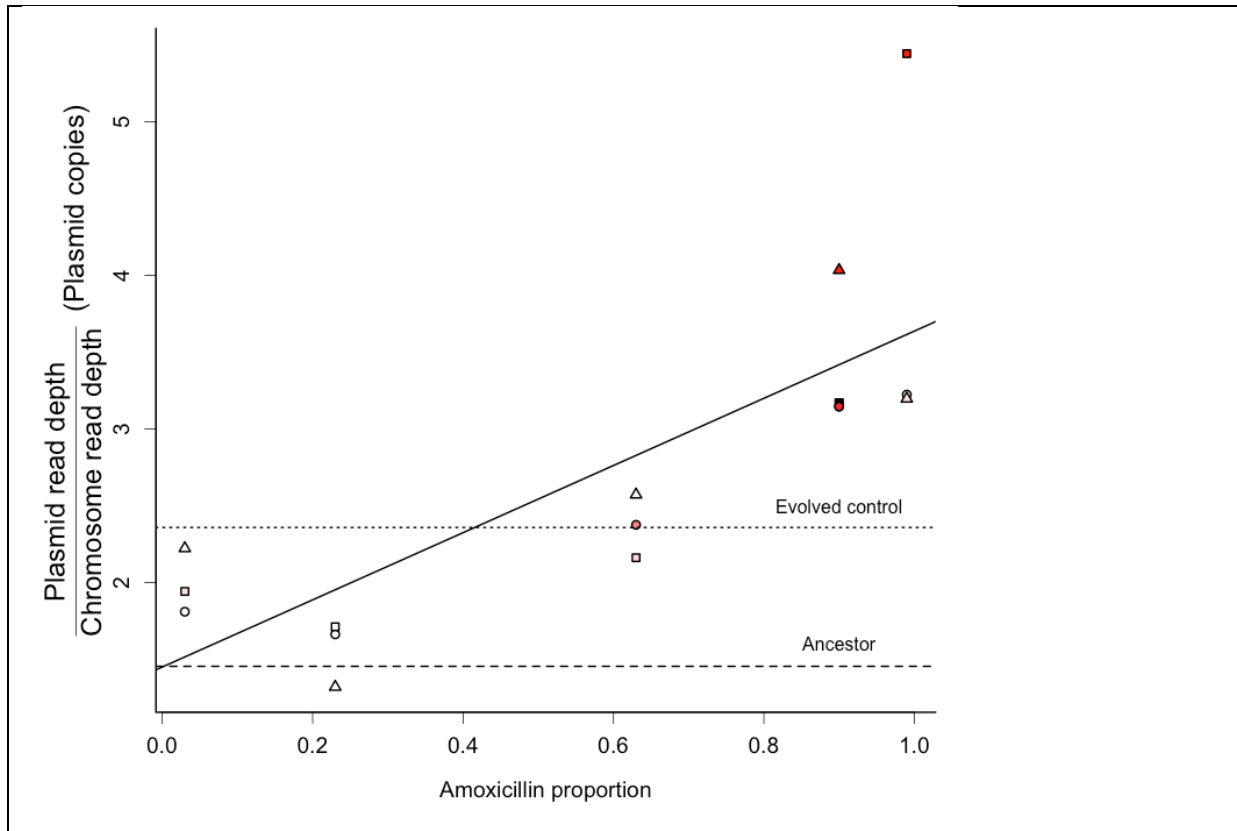


Figure 6: Plasmid copy number is higher in lines selected at high amoxicillin proportions. The copy number of the pCT plasmid in the first second and third replicate populations are denoted by squares, circles and triangles respectively. The strength of the red colour represents the sum of the proportion of all mutations in the *repY* promoter. Replicate 2 of the lines evolved at a relative amoxicillin proportion of 0.90 could not be quantified for the number of mutations at the *repY* promoter and is coloured black.

137 Discussion

138 In this study we have demonstrated that the synergistic interaction between a β -lactam
139 antibiotic and a β -lactamase inhibitor (adjuvant) can lead to distinct phenotypic and genomic
140 paths to resistance evolution in a ratio-dependent manner, with potential consequences for the
141 sustainable management of adjuvant therapies. Recent work has suggested that the ratio of
142 drugs used in combination therapies may affect selection for resistance (25-27). However
143 drug interactions make it difficult to separate the effect of drug ratio from the inhibitory
144 strength of the treatment, which has a well-established effect on the evolution of drug
145 resistance (3). We find that low amoxicillin treatments confer weaker selection for resistance
146 (Figure 3), even when the inhibitory effect of the drug combination on the ancestor is similar.
147 As expected (3), resistance generally evolves faster when the inhibitory effect is greater

148 (figure 3 and 4), however, all lines selected in high clavulanate environments had least
149 resistance, regardless of inhibitory effect (Figure 3a).

150 Our mathematical model (Figure 2b and S3) suggests that increased β -lactamase expression
151 is more strongly selected with high proportions of amoxicillin, because when clavulanate is
152 not in excess its effect can be titrated out by increasing lactamase expression. On the other
153 hand selection for direct resistance only depends on the inhibitory strength of the drug
154 combination, because this is equivalent to the amoxicillin concentration experienced by the
155 bacterium after some proportion has been broken down by lactamase. Consistent with our
156 model, we found that lines selected at high amoxicillin proportions grew well in high
157 amoxicillin environments but poorly in low amoxicillin environments (Figures 3d-f). These
158 lines had increased plasmid copy number (and thus β -lactamase expression) through parallel
159 mutations in *repY* (Figure 5), which protects against amoxicillin but not in the presence of
160 high levels of clavulanate. On the other hand, lines selected in high clavulanate environments
161 grew poorly, but consistently across all amoxicillin proportions. These lines only acquired
162 direct resistance to amoxicillin through parallel mutations affecting porins and efflux pumps,
163 a resistance mechanism seen across all amoxicillin proportions. This resistance mechanism
164 provides a benefit independent of amoxicillin proportion, as it only depends on amount of
165 non-cleaved amoxicillin. In addition to multiple different mutations in genes with similar
166 functions we find some identical mutations in different lines indicating that specific
167 mutations may be adaptive as is likely the case for *repY* mutations. Mock passaged blank
168 wells showed no evidence of cross contamination. Sequencing of a control evolved line
169 indicated that it was also polymorphic at chromosome position 4,294,083 suggesting this
170 polymorphism was present in the ancestral population or is an artefact of our sequence
171 analysis.

172 Although the shape of drug interactions have recently been shown to evolve in bacteria (27),
173 to our knowledge this is the first time that this has been reported for antibiotic adjuvant
174 combinations, or that these changes have been linked to the mechanisms of drug action. Our
175 results suggest that dosing regimens with higher amounts of clavulanate will more effectively
176 slow the evolution of resistance by rendering some resistance types ineffective; specifically
177 beta-lactamase dose-response mutations will be less able to titrate out the effect of larger
178 amounts of beta-lactamase inhibitor. Since its introduction the dosage of amoxicillin in co-
179 amoxiclav tablets has increased from 250mg to 875mg, to combat amoxicillin resistance,
180 however the dosage of clavulanate has remained the same at 125mg. There are many other

181 considerations when designing dosing regimens including pharmacokinetics/
182 pharmacodynamics and toxicity (although amoxicillin and clavulanate are well tolerated, (28,
183 29)), but the potential for resistance is increasingly important. Increased β -lactamase
184 expression is a common resistance mechanism, particularly when plasmid borne (20).
185 Therefore, we suggest that the amount of clavulanate could be increased to reduce selection
186 for increased lactamase expression without affecting the fitness of other resistance
187 mechanisms. This would have the added benefit of reducing selection for plasmid based
188 resistance, which is both easily mobilised and can increase evolvability (20).

189 As our supply of antibiotics becomes limited there has been greater interest in extending the
190 lifetime of antibiotics through combination with adjuvants, and β -lactams are no exception
191 (9). As antibiotics have been developed for longer than adjuvants we have many β -lactam
192 antibiotics which could be more effective if combined with an adjuvant but relatively few β -
193 lactamase inhibitors to combine them with (15). Therefore, it has been argued that adjuvants
194 should be conserved over antibiotics (13), with the antibiotic component of a combination
195 being replaced when resistance renders it ineffective through direct resistance mechanisms.
196 Our results with co-amoxiclav suggest that using β -lactamase inhibitors at high
197 concentrations would do exactly this by steering resistance away from β -lactamase over-
198 expression and towards direct mechanisms of resistance – at which point the β -lactam
199 component could in principle be replaced. In practice, the potential enrichment of broad-
200 specificity resistance mechanisms will limit the set of replacement options. In general we
201 argue that our ability to manage infections on both the patient and public health scales will
202 require greater investment into the evolutionary consequences of existing and potential
203 treatment regimens.

204 Methods

205 Strains and media

206 *Escherichia coli* strain MG1655 containing a naturally occurring pCT plasmid (30) and
207 defective for horizontal transfer due to a mutation in the *trbA* gene (31) was used as the
208 ancestor of all selection lines and is henceforth referred to as the ancestor. The strain was
209 produced in the lab of Dr Ben Raymond (31) and kindly provided. The pCT plasmid is a
210 large naturally occurring plasmid containing the CTX-M-14 extended spectrum β -lactamase.
211 The pCT plasmid is stable, however prior to incubation for experimental evolution and
212 growth dynamics assays the ancestor was grown in the presence of 100 μ g/ml ampicillin to

213 maintain the pCT plasmid. For phenotyping of experimentally evolved strains pre-culture
214 was without antibiotics to reduce any non-genetic effects of exposure to antibiotic treatment.

215 Experimental evolution was conducted in a defined minimal medium with the following
216 recipe. M9 medium base (containing 6.78 mg/ml Na₂HPO₄, 3 mg/ml KH₂PO₄, 0.5 mg/ml
217 NaCl and 1 mg/ml NH₄Cl) supplemented with 1mM MgSO₄, 0.1mM CaCl₂, 0.4% (v/v)
218 glycerol, 0.02% casamino acids, 0.5µg/ml thiamine and Hutners trace elements (32) at 1X
219 concentration. Initial checkerboard assays were conducted in Luria Bertani (LB) broth.

220 Clavulanate (in the form of potassium clavulanate, Fluca analytical) and amoxicillin (LKT
221 laboratories) were supplied in powdered forms, stored at 4°C and used to make stocks in
222 deionised water. These stocks were stored at 4°C according to manufacturer's instructions,
223 liquid stocks were not kept for longer than 14 days to minimise degradation of the
224 compounds.

225 To test antibiotic sensitivity of the ancestral strain the ancestor was grown for 22 hours in LB
226 broth in the presence of increasing clavulanate and amoxicillin, at all possible combinations
227 of the two drug concentrations (checkerboard assay, Figures 1b and S1). From these 5
228 different ratios of amoxicillin and clavulanate, as well as associated iso-inhibitory doses were
229 identified for each ratio. These were tested in minimal medium to confirm that growth was
230 not significantly affected by drug ratio, but was affected by the strength of the drug dose
231 (figure S2). The chosen concentrations and relationships between them are shown in Figure
232 2c.

233 Experimental evolution

234 To test its ability to adapt to different drug doses, *E coli* was selected against varying drug
235 regimens defined by the relative proportion of amoxicillin (p Selection, p_s) and dose strength
236 (V_s), as in figure 2c. A mid exponential culture the ancestor was washed and diluted in
237 minimal medium. This was aliquotted into 48 wells in the centre of a 96 well plate, which
238 were then made up to a final volume of 200µl by adding reconstituted clavulanate and
239 amoxicillin, starting densities were OD = 0.01. Experimental evolution lines were set up
240 corresponding to 5 drug ratios at 3 different strengths, plus one line which was not exposed to
241 drugs, each replicated 3 times (48 independent lines), plus 3 replicate sterile wells with no
242 drugs (which were still passaged). Plates were incubated statically at 37°C for 22 hours for
243 each passage. After each growth cycle wells were mixed using a pipette to re-suspend any
244 clumps of bacteria. The optical density of the wells was then measured and used to transfer

245 cells to a fresh microwell plate so that each line started at an OD (600nm) of 0.01.
246 Experimental evolution was performed for 12 passages (corresponding to approximately 84
247 generations). Lines were frozen every 3 passages by adding 100 μ l of a 1:1 LB:glycerol
248 mixture to the remaining culture after the transfer had been performed, these were then frozen
249 at -80°C.

250 Measuring cross resistance between drug environments

251 Although final density was measured at the end of each passage, the growth of frozen
252 samples was used primarily used to assess variation in resistance phenotypes across
253 populations (removing long term physiological effects of antibiotic exposure). To assay
254 evolutionary change in response to drug combinations, for each line the population after 6
255 passages (chosen because this is when there was most diversity in how lines had adapted to
256 their environment) was revived by overnight growth in LB. Each line of selection was
257 assayed for growth in new drug environments (Assay environments, p_A , V_A). The differing
258 drug environments that selection lines were assayed against either kept amoxicillin
259 proportion the same ($p_A = p_S$) and varied dose strength (V_A) or kept dose strength the same
260 ($V_A = V_S$) and varied amoxicillin proportion (p_S). When varying dose strength an increased
261 dose of 1.15 times the maximum dose was also used ($V_A=1.15$). Otherwise all conditions
262 were the same, strains were grown in minimal media statically for 22 hours at 37°C and
263 mixed prior to measuring optical density.

264 This was a large experiment so selection lines were randomly blocked across the central
265 wells of nine 96 well plates. Each plate had three blank wells and one well containing each of
266 the 3 control lines selected in the absence of drugs and assayed in the absence of drugs. There
267 was small but significant variation in the growth of control lines across plates so OD values
268 were for each plate were corrected using the growth of controls (in the absence of drugs). p_S
269 is undefined for the control lines (selected without drugs) so these were tested against every
270 different drug environment.

271 Statistics

272 All statistics were performed in R (33). Full models were produced using relevant main
273 effects and interactions (statistical tables in supplementary information). Fixed effects models
274 were fitted using the glm function with a Gaussian error distribution and identity link
275 function. For data sets where multiple measures were taken from each strain, a mixed effects
276 model was used to take into account the effect of strain as a random effect, this was fitted

277 using the lme function in the nlme package (34). As there were many explanatory variables
278 for this data, 2 models are fitted to 2 subsets of the data. One data set includes all data where
279 strains are tested for resistance to the same dose strength they are selected against (at varying
280 drug ratios). This will investigate whether resistance evolved to one drug ratio confers
281 resistance to other drug ratios. The other set includes all data where strains are tested for
282 resistance to the same ratio they were selected against (at different dose strengths). This
283 model investigates whether resistance selected at one dose strength confers resistance to
284 others. Both these data sets include the 45 data points (one per evolved line) where both ratio
285 and strength of assay are the same as those for selection (i.e the selection conditions for that
286 line).

287 The maximal model was reduced to a minimal model in a stepwise manner. At each step of
288 model reduction all terms that were not currently included in an interaction were tested for
289 significance as grounds for including them in a model. Terms were dropped if the result of an
290 F test (for fixed effects models) or likelihood ratio test (for mixed effects models) comparison
291 of models with and without the term of interest was not significant at $\alpha=0.05$ (i.e. accepting
292 the null hypothesis of no significant effect of the term). At each step only one term could be
293 dropped so where several effects were non-significant the new model with the lowest AiC
294 (Akaike information criterion) was chosen as the best model reduction at that step. When no
295 terms (not included in higher order interactions) could be dropped without a significant effect
296 this was considered the minimal model. Statistical support for all terms in the minimal
297 models was assessed as above but through comparison of the minimal model and the minimal
298 model with the term dropped. Full statistical results are reported in statistical tables in the
299 supplementary information.

300 Sequencing and Bioinformatics

301 To test whether different drug ratios select for different resistance mutations we sequenced
302 evolved populations selected against the highest dose strengths after 6 passages of
303 experimental evolution. The ancestral strain and one of the 3 populations evolved in the
304 absence of drugs was also sequenced. Library preparation and paired end MiSeq sequencing
305 was performed by Edinburgh genomics. Obtained sequences were aligned to both the
306 MG1655 reference (35) and the pCT plasmid reference (30) and polymorphisms identified
307 using breseq in polymorphism mode using default parameters (36).

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