- 1 Comprehensive analysis of mobile genetic elements in the gut microbiome
- 2 reveals phylum-level niche-adaptive gene pools
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15 Abstract

16 Mobile genetic elements (MGEs) drive extensive horizontal transfer in the gut microbiome. This transfer 17 could benefit human health by conferring new metabolic capabilities to commensal microbes, or it could 18 threaten human health by spreading antibiotic resistance genes to pathogens. Despite their biological 19 importance and medical relevance, MGEs from the gut microbiome have not been systematically 20 characterized. Here, we present a comprehensive analysis of chromosomal MGEs in the gut microbiome 21 using a method called Split Read Insertion Detection (SRID) that enables the identification of the exact 22 mobilizable unit of MGEs. Leveraging the SRID method, we curated a database of 5600 putative MGEs 23 encompassing seven MGE classes called ImmeDB (Intestinal microbiome mobile element database) 24 (https://immedb.mit.edu/). We observed that many MGEs carry genes that confer an adaptive advantage 25 to the gut environment including gene families involved in antibiotic resistance, bile salt detoxification, 26 mucus degradation, capsular polysaccharide biosynthesis, polysaccharide utilization, and sporulation. We 27 find that antibiotic resistance genes are more likely to be spread by conjugation via integrative 28 conjugative elements or integrative mobilizable elements than transduction via prophages. Additionally, 29 we observed that horizontal transfer of MGEs is extensive within phyla but rare across phyla. Taken 30 together, our findings support a phylum level niche-adaptive gene pools in the gut microbiome. ImmeDB 31 will be a valuable resource for future fundamental and translational studies on the gut microbiome and 32 MGE communities.

33 Keywords: gut microbiome, mobile genetic elements, niche-adaptive, integrative conjugative elements,
 34 integrative mobilizable elements, horizontal gene transfer

35 Introduction

36 Horizontal gene transfer (HGT), the transfer of genes between organisms by means other than vertical 37 transmission, allows for the rapid dissemination of genetic innovations between bacteria¹. Ecology is an important factor shaping HGT, and the human gut in particular is a hotspot for HGT^{2,3}. HGT impacts 38 public health through its role in spreading antibiotic resistance genes^{4,5}. The biological importance of 39 40 HGT is exemplified by a porphyranase identified in *Bacteroides plebius* that digests seaweed, which was 41 horizontally transferred from marine bacteria to human gut bacteria⁶. However, a major contributor to 42 horizontal transfer - mobile genetic elements (MGEs) - have not been systematically characterized in the 43 human gut microbiome. Canonical classes of MGEs includes prophages⁷, group II introns⁸, and transposons⁹. It has become 44 45 increasingly apparent that the acquisitions of a novel element class, genomic islands correspond to HGT events that differentiate commensal and pathogenic strains¹⁰. Genomic islands are non-canonical classes 46 47 of MGEs that can transfer by conjugation or genomic regions derived from such MGEs. Integrative 48 conjugative elements (ICEs) are a type of genomic island that can integrate into and excise from genomes using integrase, circularize using relaxase, replicate, and then transfer via conjugation^{11,12}. Integrative 49 50 mobilizable elements (IMEs) encode an integrase and relaxase for circularization like ICEs, but they have 51 to hijack the conjugative machinery of co-resident ICEs or conjugative plasmids¹³. Conventionally, HGTs are computationally identified by searching for the inconsistencies in the 52 evolutionary history of gene and species¹⁴. However, this method overlooks the fact the horizontal 53 54 transfer of multiple genes from the same locus might be the result of a single HGT event. Rather than 55 individual genes, it is critical to identify the mobilizable units, in other words, the entire sequence of 56 MGEs. Determining the mobilizable unit of MGEs is crucial to identify the mechanism of transfer, the 57 preference of insertion sites, and cargo genes as well as to track the frequency of horizontal transfer 58 events. In addition, information on MGEs are also valuable in the context of metagenomic analysis, as

59 MGEs confound many metagenomics workflows such taxonomic profiling, strain-level variation

60 detection, and pangenome analysis.

61 The repetitive and mobile nature of MGEs confounds many types of studies in microbiome communities, 62 such as taxonomic profiling, strain-level variation detection, and pan-genome analyses. However, unlike 63 research in eukaryotes, where multiple repeats databases exist for masking and annotation of repetitive DNA¹⁵, only a limited number of databases dedicated to the collection of MGE in prokaryotes¹⁶⁻¹⁹. Yet, 64 65 these database are either limited one specific class of MGE or obsolete and not applicable for microbiome 66 research. With the growing deluge of microbiome metagenomic sequencing data, a comprehensive MGE 67 database of the gut microbiome is becoming increasingly critical. 68 In this study, we sought to characterize MGEs from the gut microbiome to understand how horizontal 69 gene transfer by MGEs shapes the evolution of bacteria in the gut microbiome. First, we developed a 70 method to identify the exact mobilizable unit of active MGEs using whole metagenome sequencing data together with references genomes. The algorithm implemented in SRID is similar to that of $Daisy^{20}$, the 71 72 first mapping-based HGT detection tool to our knowledge. Unlike Daisy, SRID was designed for use in a 73 metagenomic context and doesn't require pre-existing knowledge of both acceptor and donor genomes. 74 We systematically identified MGEs with SRID and curated a database named ImmeDB (Intestinal 75 microbiome mobile element database) dedicated to the collection, classification and annotation of these 76 elements. The database is organized into seven MGE classes. Each MGE entry provides a visualization of 77 annotations and downloadable genomic sequence and annotation. We detected many MGEs carrying 78 cargo genes that confer an adaptive advantage to the gut environment. We also found that conjugation via 79 integrative conjugative elements/ integrative mobilizable elements is more important than transduction 80 via prophage for the spread of antibiotic resistance genes. This study provides insights into how the 81 interplay of MGEs, bacteria, and the human host in the gut ecosystem lead to community-wide 82 adaptations to the gut environment. The curated database of MGEs we have assembled here can be used 83 by metagenomic workflows to improve future microbiome studies.

84 Results

85 Prevalence of MGEs in species of the gut microbiome

86 We systematically identified active MGEs from species of the human gut microbiome using mapping information from metagenomic reads from the Human Microbiome Project (HMP)²¹. MGEs are actively 87 88 inserted and deleted from genomes, causing differences between strains of bacteria. We found cases 89 where the reference genome of a bacterial strain differed from strains in the individual samples from the 90 HMP. To find the sequences responsible for these differences, we mapped HMP metagenomic reads to 91 available gut-associated bacterial reference genomes and identified genomic regions flanked by split reads 92 and discordantly-aligned paired-end reads (Figure 1A). These regions potentially are recent insertions of 93 active MGEs. The MGEs identified with the SRID method are limited to chromosomal MGEs. Thus, 94 plasmids and extrachromosomal prophages were not characterized in this study. By searching for MGE-95 specific gene signatures, we verified and classified these MGEs (See Figure 1B and Methods). 96 We identified 5600 putative MGEs from gut microbiome representatives of 84 strains of Actinobacteria 97 (10 species), 280 strains of Bacteroidetes (97 species), 158 strains of Firmicutes (118 species), 14 strains 98 of Proteobacteria (12 species), and five strains of Verrucomicrobia (4 species) (Supplementary Table 2; 99 Supplementary Data 1). Then, we classified the identified MGEs based on their transfer and transposition 100 mechanisms into seven classes: ICEs, prophages, IMEs, group II introns, transposons, unclassified islets, 101 and unclassified genomic islands (Figure 1C). Most of the MGEs identified (5145/5600) were from the 102 phyla Bacteroidetes and Firmicutes because these two phyla tend to dominate the gut microbiome of healthy adults²¹. In general, smaller elements, such as transposons, had higher copy numbers per genome 103 104 while larger elements, such as ICEs, prophages, and unclassified genomic islands, had a maximum of two 105 copies per genome (Supplementary Figure 1). 106 Different strains of the same species often share identical or nearly-identical MGEs. To eliminate this

107 redundancy, we collapsed MGEs into clusters based on overall nucleotide identity (Figure 1C). Phylum-

108 level differences in the diversity of MGEs were revealed. For example, Bacteroidetes had more diversity

of ICEs than Firmicutes (45 vs. 26 respectively), while Firmicutes had more diversity of prophages than
Bacteroidetes (49 vs. 20 respectively).

111 Diversity of MGE modules in gut microbiota

Although it has been known that ecology is important in shaping MGEs in the gut microbiome, this study is the first to systematically characterize the mechanisms of transposition and transfer for MGEs of the gut microbiome². We annotated the genes in MGEs involved in their transposition and transfer, and then classified the elements into groups based on these annotations (Supplementary Table 2; Supplementary Data 2).

117 There are four major protein families responsible for transposition of gut MGEs: serine integrases,

118 tyrosine integrases, DDE transposases, and group II intron proteins conferring reverse transcriptase and

119 endonuclease activity. Serine and tyrosine integrases are the most prevalent protein families responsible

120 for transposition in ICEs, IMEs, and prophages. In the gut microbiome MGE clusters we identified, we

121 found 315 MGEs with tyrosine integrases (54 from ICEs, 206 from IMEs and 55 from prophages) and

122 110 MGEs with serine integrases (18 from ICEs, 67 from IMEs and 25 from prophages). Interestingly,

123 while tyrosine integrases are found in several phyla, serine integrases of ICEs and prophages were

124 exclusively found in the phylum Firmicutes. In IMEs, most serine integrases were identified in

125 Firmicutes, but 10 clusters of serine integrases were found in Bacteroidetes and Actinobacteria (9 and 1

respectively). No ICEs and IMEs with DDE transposase were identified in our study. Nine prophage

127 clusters were found with DDE transposase from IS families: IS30, IS256, and IS110. Interestingly, all

128 three IS families use copy-paste mechanisms generating a transient double-stranded circular DNA

129 intermediate to facilitate transposition²². This suggests that transient double-stranded circular

130 intermediates may be essential for the life cycle of many prophages. All transposons we identified utilized

131 DDE-transposase. We identified 19 families of transposase. Most of the transposase clusters we identified

132 are present in insertion sequences. Seven clusters (28 copies) of transposons are composite transposons

133 flanked by two different insertion sequences families.

134 ICEs and IMEs encode relaxases (MOB) to initiate DNA mobilization and transfer. We used the CONJscan-T4SSscan server to classify relaxases identified in MGEs²³. Seven types of relaxase were 135 136 identified in ICEs and IMEs. In ICEs, MOB_T was identified only in Firmicutes, MOB_V was identified 137 only in Bacteroidetes, and MOB_{P1} was identified in Firmicutes, Bacteroidetes, and Actinobacteria. IMEs 138 have a more diverse reservoir of relaxases. Besides the three types of relaxase found in ICEs, we also 139 identified IMEs with MOB_{P3}, MOB_B, MOB_F, and MOB_O type relaxases. 140 ICEs are capable of conjugation via mating pair formation systems. Six types of mating pair formation systems for conjugation have been described²³. We found three types of mating pair formation system: 141 142 typeB, typeFA, and typeFATA, in ICEs from the gut microbiome. Consistent with previous findings, type 143 FA systems were identified in 7 ICE clusters from Firmicutes, type B systems were identified in 45 ICE 144 clusters from Bacteroidetes, and type FATA systems were identified in 19 Firmicutes ICE clusters and 145 one Actinobacteria ICE cluster²⁴.

146 MGEs carry niche-adaptive genes

147 Although fundamentally selfish, MGEs often carry genes other than those necessary for their transposition and transfer, sometimes referred to as cargo genes²⁵. We found that smaller elements like 148 149 transposons generally carry zero or only a few cargo genes. Genetic islands like ICEs and IMEs often 150 carry numerous cargo genes (median cargo genes 44 and 12 respectively). One example is an ICE found 151 in Bacteroides sp. 2 1 56FAA (NZ GL945043.1:1512740-1656974) which carries 139 cargo genes. We 152 performed functional annotation on the cargo genes, and enrichment analysis using gene ontology (GO), 153 Pfam, and Resfam²⁶⁻²⁸ (Supplementary Table 4). Several classes of enriched genes are well-known to be 154 associated with the maintenance of MGEs such as restriction-modification systems and toxin-antitoxin 155 pairs (Supplementary Table 4). Many other gene families carried by MGEs may confer an adaptive 156 advantage to colonize the gut.

157 Antibiotic resistance genes

158	Many classes of antibiotics consumed orally are incompletely absorbed in the small intestine, and
159	therefore proceed to the large intestine where they can kill the resident microbes ²⁹ . Therefore, genes that
160	confer antibiotic resistance can be adaptive to the gut environment. In total, we identified 781 antibiotic
161	resistance genes encompassing 46 distinct classes carried by MGEs. Classes of MGEs varied in their
162	carriage of antibiotic resistance genes. Of 8151 prophage cargo genes, only 13 were found to be antibiotic
163	resistance genes. The carriage rate of antibiotic resistance genes normalized by total cargo genes in
164	prophages is more than ten times lower than that identified in ICEs (330/16820) and IMEs (229/11053)
165	(Supplementary Figure 1). This suggests that conjugation via ICE/IME may be more important than
166	transduction in the spread of antibiotic resistance genes, consistent with previous findings ^{30,31} .
167	GO analysis revealed that cargo genes from the class "rRNA modification" (GO:0000154), which confers
168	resistance to a wide range of antibiotics including tetracycline and erythromycin, are enriched in both
169	Bacteroidetes and Firmicutes. Resfam enrichment analysis also supported this, as RF0135 (tetracycline
170	resistance ribosomal protection protein), and RF0067 (Emr 23S ribosomal RNA methyltransferase) were
171	enriched. Other enriched antibiotic resistance gene classes carried by MGEs confer resistance to
172	chloramphenicol (RF0058), cephalosporins (RF0049) and aminoglycosides (RF0167).
173	One example of an MGE responsible for the transmission of antibiotic resistance is the ICE CTnDOT, the
174	spread of which dramatically increased the prevalence of tetracycline-resistant Bacteroidetes species ³² .
175	CTnDOT-like ICEs were clustered in ICE1. Elements in this cluster typically confer resistance to
176	tetracycline via the tetQ antibiotic resistance gene (Figure 2A). In addition, ICE1 elements have multiple
177	sites where antibiotic resistance genes can be inserted or substituted. We characterized 5 insertions of
178	antibiotic resistance genes into ICE1 (Figure 2A). Insertion sites 1, 2, and 5 are between operons;
179	therefore they do not interrupt the function of crucial genes. We observed one insertion and two
180	substitutions of antibiotic resistance genes around the tetQ operon, suggesting that this site is likely a
181	"hotspot" for insertions and substitutions of antibiotic resistance genes. Our analysis reveals the

surprising extent to which MGEs in species of the gut microbiome contribute to the phenomenon of antibiotic resistance and that the insertion of antibiotic resistance genes into MGEs is an active and ongoing process.

- 8 8 81
- 185 Bile salt hydrolase and bile transporters
- 186 Bile acids are found in high concentrations in the human intestines³³ and can be toxic to bacteria³⁴.
- 187 Therefore, gut microbes have developed strategies to deal with bile acids by actively pumping bile acids
- 188 out of the cell, or via deconjugation, which is hypothesized to diminish the toxicity of bile acids 33,34 . The
- 189 high identity of archaeal and bacterial bile salt hydrolases strongly suggests the horizontal transfer of this
- 190 gene³⁵. A sodium bile acid symporter family (PF01758), which could help to pump bile acids out of the
- 191 cell, was found to be enriched in the cargo genes of MGEs. Furthermore, 61 examples of bile salt
- 192 hydrolases were identified as cargo genes of MGEs (Supplementary Table 3). Thus, MGEs carry genes
- 193 that help microbes to overcome a specific challenge of colonizing the human gut.
- 194 Glycoside hydrolases for mucus utilization
- 195 The colon is lined with a layer of mucus composed of the glycoprotein $MUC2^{36}$. The glycans that
- 196 decorate MUC2 have a core structure composed of galactose, N-acetylglucosamine, N-
- 197 acetylgalactosamine, with terminal residues of fucose and sialic acid³⁷. These specific glycans are a major
- 198 energy source for members of the gut microbiota³⁸. Therefore, it may benefit members of the gut
- 199 microbiota to degrade these specific glycans³⁹. We found cargo genes carried by MGEs from
- 200 Bacteroidetes species were enriched for GO:0004308, an exo-sialidase involved in the degradation of
- 201 mucosal glycans. In addition, we identified 60 glycoside hydrolases capable of degrading mucosal
- 202 glycans carried by MGEs from the categories: sialidases (GH33), fucosidases (GH95), α-N-
- 203 acetylgalactosaminidases (GH109), and β -galactosidases (GH20)^{38,40} (Supplementary Table 3). Thus,
- 204 MGEs carry genes to unlock a key energy source available to gut microbes.

205 Polysaccharide Utilization Loci

206 Gut Bacteroidetes can utilize a wide variety of polysaccharides via the products of polysaccharide utilization loci, which collectively make up large proportions of Bacteroidetes genomes⁴¹. Each 207 208 polysaccharide utilization locus contains a copy of the gene SusC, a sugar transporter, and SusD, a glycan binding protein⁴². Due to the wide range of polysaccharides available to gut microbes, it is hypothesized 209 210 that the possession of a large repertoire of polysaccharide utilization loci confers an adaptive advantage in 211 Bacteroidetes⁴¹. We found 43 polysaccharide utilization loci containing both SusC and SusD carried by 212 MGEs suggesting that the ability to degrade complex polysaccharides may be readily transferred between 213 members of the gut microbiota (Supplementary Table 3). 214 Capsular Polysaccharide Biosynthesis Loci

215 Many bacterial species produce capsules, an extracellular structure made up of polysaccharides⁴³.

However, gut Bacteroidetes species have a large repertoire of capsular polysaccharide biosynthesis loci

(up to 8) compared to other bacterial species and even Bacteroidetes from other sites such as the mouth⁴⁴.

218 Furthermore, capsular polysaccharide biosynthesis loci have been reported to be the most polymorphic

region of *Bacteroides* genomes^{45,46}. Multiple capsular polysaccharide biosynthesis loci are necessary to

220 competitively colonize the gut, and are therefore considered to be gut adaptive genes in gut

221 Bacteroidetes⁴⁷.

222 Capsular polysaccharide biosynthesis loci are large and complex; many contain upwards of 20 genes⁴³.

223 We found 21 complete or fragmented capsular polysaccharide biosynthesis loci containing at least 10

genes carried by MGEs (Supplementary Table 3). For example, almost identical copies of ICE9

225 containing a capsular polysaccharide biosynthesis locus were found in two species, *B. stercoris* and *B. sp.*

226 UW. The same capsular polysaccharide biosynthesis locus was also found in B. vulgatus, but the ICE9

227 copy was slightly divergent. Two other copies of ICE9 likely containing an orthologous capsular

polysaccharide biosynthesis locus were also found in *B. fragilis* and *B. sp.* 9_1_42FAA (Figure 2B).

229 Additionally, many GO-terms related to capsular polysaccharide biosynthesis are enriched in

Bacteroidetes MGEs including GO:0045226, GO:0034637, GO:0044264, and GO:0000271. A Pfam for
glycosyltransferases involved in the biosynthesis of capsular polysaccharides (PF13579) was enriched in
Bacteroidetes MGEs. The transfer of large segments of capsular polysaccharide biosynthesis loci by
MGEs may help to explain the incredible diversity of capsular polysaccharide biosynthesis loci observed
in the genomes of gut Bacteroidetes⁴⁸.

235 Sporulation

236 The gut is an anaerobic environment colonized by many classes of strictly anaerobic organisms 49,50 .

237 However, to transmit between hosts, gut microbes must be exposed to oxygen. Recent work has shown

that many more gut microbes form spores than previously thought, likely enabling transmission between

hosts⁵¹. In Firmicutes, 14 genes involved in sporulation (GO:0030435) were found to be enriched in

240 MGEs. In addition, PF08769 (Sporulation initiation factor Spo0A C terminal) and PF04026 (SpoVG)

241 were also enriched in our Pfam analysis.

242 One example is GI153, a genetic island from Faecalibacterium prausnitzii A2-165, which contains a

243 series of spore formation-related genes in an operon: SpoVAC, SpoVAD, spoVAEb, gpr (spore protease),

and spoIIP. Another example is GI175, a genetic island derived from a degenerate prophage in *Roseburia*

245 *intestinalis* L1-82. In one operon of GI175, there are three genes: SpoVAEb, SpoVAD, and one unknown

246 gene with Cro/C1-type HTH DNA-binding domain. SpoVAC, SpoVAD, spoVAEb homologs were

247 previously found to be carried by a Tn1546-like ICE and conferred heat resistance to spores in the model

248 spore forming organism *Bacillus subtilis*⁵². Thus, MGEs may help to transfer genes involved in

sporulation between gut microbiota which may prove adaptive for colonizing new hosts.

250 Summary of cargo genes

Many additional gene families were found to be enriched in MGEs that could plausibly be niche adaptive
including: histidine sensor kinases, and genes involved in vitamin B biosynthesis (Supplementary Table
A). Notably, MGEs from Firmicutes and Bacteroidetes have different types of genes enriched reflecting

the differences in physiology between the phyla. Antibiotic resistance genes and genes involved in the
detoxification of bile acids are enriched in MGEs from both phyla. Glycoside hydrolases for mucus
utilization, and capsular polysaccharide biosynthesis loci are enriched only in MGEs from Bacteroidetes,
while genes for sporulation are enriched in MGEs only from Firmicutes. Overall, the transfer of niche
adaptive genes by MGEs likely has a large impact on the fitness of species of the gut microbiome.

259 Host ranges and evolution of MGEs

260 Although MGEs readily transfer between species, there has not been a systematic analysis of the host 261 range of MGEs in the gut microbiome. The host ranges of different classes of MGEs is variable, and even 262 within a class, different elements have variable host ranges. Understanding the host range of gut MGEs is 263 of particular importance because gut MGEs carry many cargo genes, and the host range of the MGE 264 defines how widely these cargo genes can be distributed. For example, the gut microbiome is a reservoir of antibiotic resistance genes, and many antibiotic resistance genes are located within MGEs⁵³. Therefore. 265 266 it is important to understand the probability of the transfer of MGEs with antibiotic resistance genes from commensals to pathogens⁵³. 267

268 First, we studied the host range of MGEs from the same cluster. MGEs in the same cluster that exist in at 269 least two species generally represent recent horizontal transfer. Some MGE clusters are present in a wide 270 range of species indicative of active horizontal transfer. One example is the ICE1 cluster, a representative 271 of the CTnDOT-like ICEs, which is found in 32 species of Bacteroidetes from the genera: *Bacteroides*, 272 Parabacteroides, Allistipes, and Paraprevotella (Supplementary Figure 1). The entirety of the 49kb 273 element is found at more than 99 percent nucleotide identical to 10 Bacteroides, Parabacteroides, and 274 Allistipes species, indicative of very recent horizontal transfer. This cluster also includes other CTnDOT-275 like elements with more variability such as CTnERL, which has an additional insertion of an IME conferring erythromycin resistance⁵⁴. Another example is the Firmicutes ICE cluster ICE10, which is 276 277 found in 10 species of the families Lachnospiraceae and Ruminococcaceae. This ICE10 cluster belongs 278 to Tn916/Tn1549 family of ICEs, some members of which carry the medically-important VanB gene

conferring resistance to vancomycin⁵⁵. We found no examples of ICEs from the same cluster present in 279 280 multiple phyla. Clusters of prophage, IMEs, group II introns, and transposons were also found in many 281 species but were again limited to a single phylum. Our results support that although the recent horizontal 282 transfer of MGEs is common within phyla, cross-phyla horizontal transfer is rare, as we did not observe 283 any cross-phyla horizontal transfer events for elements of the same cluster. 284 Here we generated phylogenetic trees of tyrosine and serine integrases from ICEs and prophages 285 identified to study the evolutionary history of the recombination module of MGEs. To contrast the 286 phylogeny of the tyrosine and serine integrases with host species lineages we plotted tanglegrams (Figure 287 3 and Figure 4). The phylogeny of both the serine and tyrosine integrases is incongruent with the host 288 species lineages which is indicative of extensive past horizontal transfer of ICEs and prophages between 289 species of the gut microbiome. 290 The tyrosine integrases can be divided into two clades: the first is associated with the phylum 291 Bacteroidetes, the second clade is associated with the phyla Proteobacteria, Actinobacteria, Firmicutes 292 and Verrucomicrobia (Figure 3). Tyrosine integrases from Bacteroidetes show no evidence of close inter-293 phyla transfer but ancient transfers of tyrosine integrases between the phyla Proteobacteria, 294 Actinobacteria, and Firmicutes likely occurred several times during evolution. Serine integrases from

ICEs and prophages were only found in the phylum Firmicutes. Therefore, we found no evidence of inter phyla transfer for ICEs and prophages with serine integrases suggesting a phylum-level restriction in host
 range.

We also examined whether integrases derived from ICEs and prophages segregated into clades based on element type. Previous studies on the phylogenetic relationships of integrases from ICEs and prophages did not find strong evidence of intermingling between ICE and prophage integrases^{56,57}. In our phylogeny of the tyrosine integrases, ICE and prophage integrases are extensively intermingled, suggesting that ICEs and prophages have exchanged integrases multiple times over the course of evolution. Moreover, in our phylogeny of serine integrases, ICE22 and ICE64 appear in a branch containing mostly prophages, suggesting that the integrase may have originated from a prophage integrase. Unlike prophages and ICEs, 8 of 67 clusters of IMEs use serine integrases to transpose in Bacteroidetes.
This implies that although integration via serine integrases occurs in Bacteroidetes, it occurs much less
frequently than integration via tyrosine integrases. For transposons, 17 out of 19 transposase families
were found in species from different phyla, indicating an extensive history of ancient horizontal transfer.
Based on the tanglegram of group II intron proteins, no phylum corresponds to a single clade of group II
introns, indicating cross-phyla horizontal transfers during the evolution of group II introns in the gut
microbiome (Supplementary Figure 2).

312 In summary, although ancient cross-phyla horizontal transfers did occur during the evolution of MGEs,

313 we did not observe recent cross-phyla horizontal transfer of MGEs. Therefore, the gene pools that are

314 shared within the gut microbiome are likely limited to the phyla-level.

315 Modular evolution of gut MGEs

Genes in MGEs are typically organized in functionally related modules which can be readily exchanged
between MGEs. Type of modules found in MGEs include: conjugation, integration, regulation, and
adaptation. Deletion, acquisition, and exchanges of these modules can lead to immobilization, adaptation,
and shifts in insertion specificity and host ranges of MGEs¹³. Here, we detail examples of each of these
types of events.

321 Many unclassified genetic islands are likely remnants of ICEs or prophages due to the presence of only a 322 subset of genes necessary for autonomous transfer. In many cases, the integrase have been lost while 323 other genes for conjugation or capsid formation are maintained. One example is GI73, which appears to 324 have formed when a CTnDOT-like element lost its conjugation and mobilization modules to a large 325 deletion (Figure 5A). We also observed many examples of the acquisition of new modules by insertions. 326 CTnDOT-like elements have obtained adaptive modules via insertions of a group II intron together with 327 the antibiotic resistance gene ErmF, an IME containing multiple antibiotic resistance genes including: 328 ANT6, tetX, and ErmF^{54,58}, and other unidentified insertions containing many antibiotic resistance genes 329 (Figure 2A; Supplementary Data 3; Supplementary Table 5). Other examples are GI90, where ICE7

330 (CTnBST) inserted into a CTnDOT-like element (Figure 5A), and GI46, a genomic island formed when 331 two types of ICEs (ICE43 and ICE56) inserted in tandem (Figure 5B). We observed that the exchange of 332 recombination modules is common. Integrases have frequently been exchanged between ICEs and 333 prophages during the evolution of MGEs (Figure 3 and Figure 4). Exchanges also occur in the same class 334 of MGE. For example, we observed that two clusters of ICEs, ICE15 and ICE16, share nearly identical 335 sequences and the same typeFA conjugation module, but have different integrases: ICE15 has a tyrosine 336 integrase while ICE16 has a serine integrase (Figure 5C). Overall, the modular nature of MGEs enables 337 the formation of new mosaic elements, leading to the diversification of MGEs, and increasing the 338 dynamics of the gene pools in the gut microbiome.

339 Discussion

In this study, we systematically characterized MGEs from the gut microbiome using a novel method to
identify the mobilizable unit of active MGEs. We dramatically expanded the number of annotated MGEs
from gut microbial species by identifying 5600 putative MGEs. The MGEs we identified allows for the
understanding of several fundamental questions about the role of MGEs and their importance to the

344 evolution of species of the gut microbiome.

345 Implications for future gut metagenomic analysis

The database of MGEs we have curated will be a valuable resource for future studies on the gut microbiome, especially with the increasing importance of taxonomic profiling, strain-level variation detection, and pangenome analyses. Many metagenomic workflows for taxonomic profiling use marker genes or k-mers "unique" to a specific species, where uniqueness is constrained by the available reference genomes^{59–61}. These marker gene should exclude MGEs, as the potential horizontal transfer of these elements invalidates their "unique" species-specific associations. Strain-level variation analyses that based on single nucleotide polymorphisms (SNPs) or copy number variation should also exclude SNPs from MGEs^{62–65}. In pangenome analysis, it is beneficial to distinguish the accessory genes unique to an individual species and the mobilome shared among multiple species. To address the problems posed by MGEs to metagenomic workflows, an approach common in eukaryotic genomics, repeat masking, can be applied^{66,67}. The database of curated MGEs identified in this study can be used to mask gut microbiome reference genomes before metagenomic workflows such as species-level classification, strain-level detection, and pangenome analyses are performed.

359 Host ranges of MGEs and the spread of antibiotic resistance genes

360 In the United States alone, more than 23,000 people die each year from antibiotic-resistant infections⁶⁸. 361 Tracking antibiotic resistance is one of the key actions to fight the spread of antibiotic resistance. The 362 human digestive tract is a major reservoir of antibiotic resistance genes and likely serves as a hub for the horizontal transfer of antibiotic resistance genes from commensals to pathogens^{4,5,53}. MGEs play a 363 364 significant role in the spread of antibiotic resistance genes, and we found that many MGEs in the gut 365 microbiome contain antibiotic resistance genes. This study helps to define the host range of MGEs in the 366 gut microbiome. Our results suggest that HGT occurs mostly within a phylum, and inter-phyla HGT is 367 rare. These results underscore the risk posed by transfer of antibiotic resistance genes like the 368 vancomycin-resistance conferring gene VanB between commensal Firmicutes and pathogenic Firmicutes, 369 such as *Enterococcus faecalis*⁶⁹. Overall, our study advances the understanding of the host range of MGEs 370 which is of critical importance to understand gene flow networks in the gut.

371

This study underestimates the extent of host range because only MGEs in sequenced genomes were detected. As more bacterial genomes are sequenced, the extent of host range of MGEs will be refined. The scope of our research is chromosomal MGEs. Thus, plasmids or prophages existing as an extrachromosomal plasmid were not characterized in this study. Future studies using a combination of molecular and computational approaches are beneficial to further understand the rate and extent of horizontal gene transfer by MGEs. 378 Niche-adaptive genes in the communal gene pool

379 The mammalian gut is a unique ecological niche vastly different from other environments due to the 380 presence of IgA, antimicrobial peptides, bile acids, as well as specific polysaccharides available for 381 utilization in the intestinal mucus. The microbes that inhabit the gut must develop mechanisms to cope 382 with these challenges. We observed that MGEs transfer genes to help address the unique challenges of 383 colonizing the human gut. MGEs influence the spread of gut adaptive genes in three ways. First, the 384 spread of MGEs drives the expansion and diversification of protein families such as those involved in 385 polysaccharide utilization, capsular polysaccharide biosynthesis, and sensing and responding to the 386 environment⁹. Second, MGEs transfer successful innovations for colonizing the gut among distantly-387 related species from the same niche, such as bile salt hydrolases. Third, MGEs allow for the amplification 388 and transfer of genes that are adaptive only under specific conditions, such as antibiotic resistance genes, 389 and sporulation-related genes.

Cargo genes transferred by MGEs can have wide-ranging effects on the biology of the gut microbiome.
They potentially involved in bacterial symbioses, sensing and responding to environmental stimuli, and
metabolic versatility. The enriched classes of cargo genes we identified in this study are attractive targets
for future studies to understand the underlying biology of the gut microbiome.

394 Opportunities to use MGEs to engineer gut microbes

395 Tools for genome editing only exist for a very limited number of species of the gut microbiome despite 396 the exceptional basic and translational opportunities afforded by engineering gut species. Many of the 397 tools for editing the genomes of species were originally derived from MGEs. For instance, the NBU system used to modify some *Bacteroides* species was originally derived from an IME⁷⁰, and the 398 TargeTron system was originally derived from a group II intron⁷¹. The novel examples of MGEs 399 400 identified in this study could be used to edit genomes from the gut microbiome, especially in currently 401 intractable species such as *Faecalibacterium prausnitzii*. Unlike phages, whose cargo genes are limited by 402 the capsid size, many novel ICEs and IMEs carry hundreds of genes that can confer selective advantages

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403 for the host, and are excellent candidate vectors for large genetic loci. Overall, the MGEs identified in this

404 study could have translational applications for genome editing of species from the gut microbiome.

405 Methods

406 Detection of putative MGEs

80 Samples from the Human Microbiome Project (HMP)²¹ and 66,232 bacterial genomes were 407 downloaded from the NCBI (2016/09/14). We used Mash⁷² to calculate the minhash distance between 408 409 each genome and all metagenomic samples with the default sketch size of s = 1000 and k = 21. If the 410 matching-hashes shared between a genome and the 80 metagenomic samples are less than 2, the genome 411 is unlikely have enough alignments from these samples and was removed. This steps help us quickly 412 remove genomes that likely do not exist or exist in low abundance in gut microbiome. 9,846 genomes 413 remained after this filtering step. Metagenomic reads from HMP samples were aligned to each of the 9,846 genome separately with bwa (version 0.7.5a-r405)⁷³. To find genomic regions that differ in terms of 414 415 insertions/deletions between strains in the individual samples and the reference genomes, we used split 416 reads and information from pair-end reads from the alignment (Figure 1A). First, we identified putative 417 deletion junctions using split reads, which we defined as reads that align to two distinct portions of a 418 genome. Split reads were initially identified as those reads having multiple hits in the SAM output from 419 bwa. If a split read alignment starts at one genomic location in the reference and then "jumps" to aligning 420 to a distant site downstream in the same strand, it may indicate a potential deletion in the strain of bacteria 421 from the metagenomic sample compared to the reference genome. For each putative deletion junctions, 422 we confirmed the presence of the junction by determining if paired-end reads flanked the junction. We 423 considered a deletion junction to be valid if the reads pairs flanking the junction were aligned in the 424 correct orientation, and the distance between the pairs minus the junction size is within the range of +/-2425 times the standard deviation of the mean insertion size (202.4 +/- 2X71.5 for our data set). Regions with

426 more than four split reads and more than four read pairs supporting the deletion were considered as
427 putative MGEs. We chose the MGEs ranging in size between 1kbp and 150kbps to reduce the number of
428 spurious results. In total, we identified MGEs in 703 genomes. The code used to implement the SRID
429 method, genome assembly accession numbers and HMP SRA accession numbers used in this study are
430 available from github (https://github.com/XiaofangJ/SRID) and the Supplementary Data 4.

431 MGE signature detection

Genes from the 703 genomes identified before were predicted with Prodigal (version 2.6.3)⁷⁴. Protein 432 433 sequences were functionally annotated with interproscan (version 5.19-58.0) using the default settings⁷⁵. 434 Then, we used the interprosan annotations to identify serine and tyrosine integrases as well as group II 435 intron proteins from all genomes, prophage-related genes were identified by searching for genes with Pfams signatures identified in phage finder⁷⁶. Serine integrases were identified as genes annotated with 436 437 the Pfam identifiers: PF00239 (Resolvase: resolvase, N terminal domain), PF07508 (Recombinase: 438 recombinase), and PF13408 (Zn ribbon recom: Recombinase zinc beta ribbon domain). Tyrosine 439 integrases were identified as genes annotated with the identifiers: PF00589 (Phage integrase: site-440 specific recombinase, prophage integrase family), PF02899 (Phage integr N: prophage integrase, N-441 terminal SAM-like domain), PF09003 (Phage integ N: bacteriophage lambda integrase, N-terminal 442 domain), TIGR02225 (recomb XerD: tyrosine recombinase XerD), TIGR02224 (recomb XerC: tyrosine 443 recombinase XerC), and PF13102 (Phage int SAM 5: prophage integrase SAM-like domain). Group II 444 intron proteins were identified as genes annotated with the identifier: TIGR04416 (group II RT mat: 445 group II intron reverse transcriptase maturase). To identify genes in involved in mobilization and 446 conjugation of MGEs, we used ConjScan via a Galaxy web server (https://galaxy.pasteur.fr/)⁷⁷. We identified transposases using blastp against the IS database with an e-value 1-e3¹⁷. The best hit for each 447 448 protein was used to annotate the family of transposases.

449 Classification of MGEs

450 Putative MGEs were annotated as an ICE if they contained complete conjugation and relaxase modules 451 and an integrase or DDE-transposase at the boundary of the element. Putative MGEs were annotated as 452 prophages if there is an integrase or DDE-transposase at the boundary of the element and more than five 453 genes were annotated with prophage-related Pfams. Putative MGEs were annotates as IMEs if they 454 contained an integrase or DDE-transposase and relaxase did not contain genes involved in conjugation. 455 Putative MGEs were annotated as transposons if they contained transposase and were not previously 456 annotated as an IME. We limited the size of IMEs to 30kb and transposons to 10kb to decrease the 457 number of false positives. Putative MGEs were annotated as group II introns if the element was less than 458 10kb, contained a protein with the TIGR04416 signature, and did not contain a gene annotated as 459 transposase. The remaining putative MGEs were then divided into two groups based on their sizes: 460 unclassed genomic islands (>10kb), and islets (<10kb). To eliminate spurious MGEs, we only report 461 genomic islands that contain an integrase or DDE transposase, or those that are related to prophage/ICEs, 462 and islets that exist in more than two species. After classification and verification, we identified 5600 463 MGEs in 542 genomes(Supplementary Data 1; Supplementary Data 2).

464 Clustering each class of MGEs

Pairwise alignment of elements from the same class of MGEs was performed with nucmer (version 3.1)⁷⁸. Elements with more than 50 percent of the sequence aligned to each other are grouped in the same cluster. For ICEs, we additionally require that elements in the same cluster should have the same types of integrase, relaxase and conjugation modules. For IMEs, we required that each cluster has the same the types of integrases and relaxases for all elements. For transposons, the same cluster should have the same type and number of IS genes. If a transposon is a "nested" or composite transposon, the family names of all IS contained within were used to annotate the transposon.

472 Construction of phylogenetic trees

473 To build phylogenetic trees of ICE and prophage integrases, we selected a representative integrase 474 sequence for each cluster. For group II introns, we selected a representative group II intron reverse 475 transcriptase/maturase from each cluster. The representative protein is a single protein chosen that has the 476 greatest amino acid identity, on average, to its homolog sequences of the same cluster. We performed alignment of each group of sequences with mafft(v7.123b)⁷⁹ (parameter "--maxiterate 1000"). We used 477 trimal (version 1.4.rev15)⁸⁰ to remove region with gaps representing more than 20% of the total 478 alignments (parameter "-gt 0.8"). RAxML(version 8.2.10)⁸¹ was used to build the phylogenetic trees from 479 480 the alignments using the LG substitution matrix and a gamma model of rate heterogeneity (parameter "-m PROTGAMMALGF"). Phylogenetic trees were plotted with the R package phytools⁸². 481

482 Functional enrichment analysis of cargo genes

483 Cargo genes are identified by excluding genes involved in transposition and transfer from all genes on484 MGEs.

485 To understand the function of cargo genes, we performed enrichment analysis based on gene ontology 486 (GO), antibiotic resistance (Resfam), and protein families (Pfam). The enricments were performed with all genes present in the genomes as background reference. We used hmmer⁸³ to search Resfam²⁸ database 487 488 to annotate antibiotic resistant gene. The "--cut ga" parameters were used to set the threshold. The best 489 hits to each gene from the Resfam database were used to annotate antibiotic resistant genes. GO terms and 490 Pfam signature of the same genes sets were extracted from interproscan result. R package GOStat⁸⁴ was used for GO enrichment analysis for GO and Pfam. The R package clusterProfiler⁸⁵ was used for the 491 492 enrichment analysis of cargo genes based on Resfam and Pfam signatures. P-value of 0.05 were used as 493 cutoff for all enrichment analysis.

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- 510 Data generation, analysis, and presentation: XJ and ABH; Writing of the manuscript: XJ, ABH; Initiated
- the study, provided resources, tools and critical review of manuscript: RJX, EA. All authors read and
- 512 approved the final manuscript.

513 References

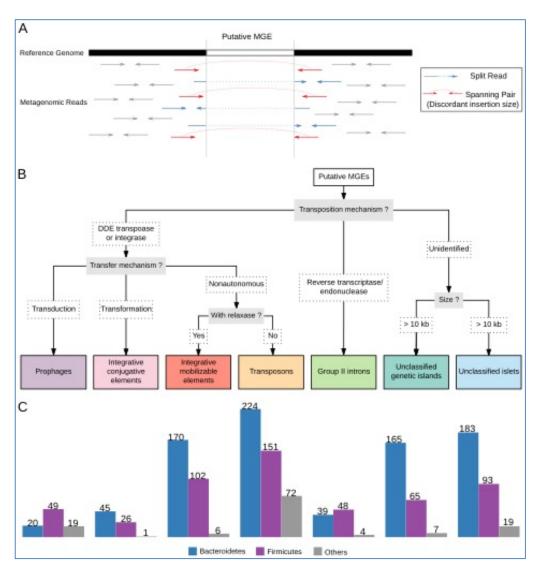
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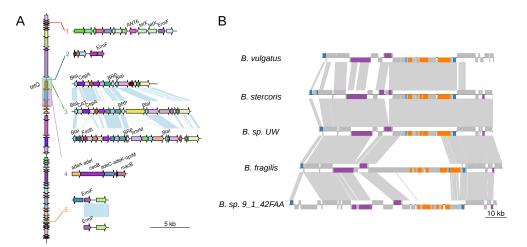
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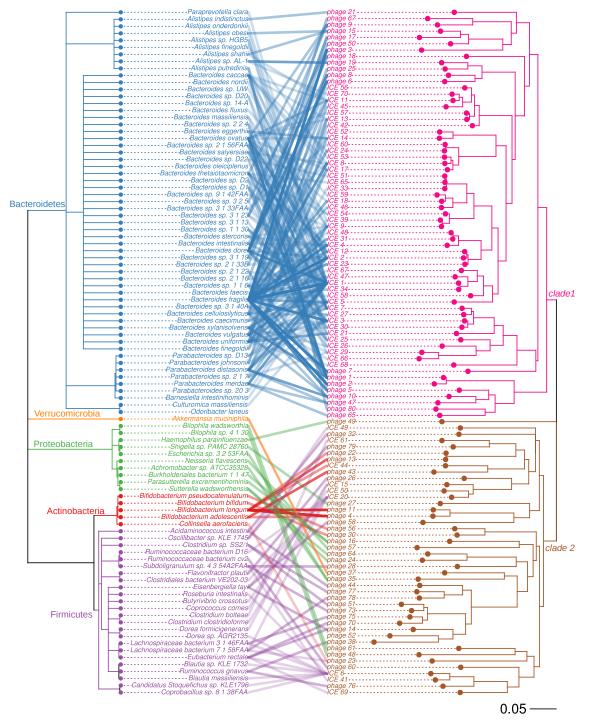


- 698 Figure 1 | Identification and classification of gut microbiome MGEs. (A) The method used to identify
- 699 putative MGEs using split reads and discordantly-mapped paired-end reads. Split reads are colored blue,
- and discordantly-mapped paired-end reads are colored red. (B) The method used to classify MGEs based
- 701 on gene signatures. (C) The number of MGE clusters identified stratified by phyla and MGE
- 702 classification.



703 Figure 2 | Examples of niche-adaptive genes. (A) CTn-DOT-like elements have acquired antibiotic 704 resistance genes on multiple, independent occasions. Here, we show insertion sites of antibiotic resistant 705 genes in CTnDOT-like elements. A CTnDOT-like ICE is shown on the left. Orthologs between elements 706 are visualized using genoPlotR (light blue connections) and are the same color. Numbers in the top panel 707 represent the insertion site of the numbered elements below. Antibiotic resistance genes are labeled. (B) 708 ICEs are involved in the transfer of capsular polysaccharide biosynthesis loci between Bacteroidetes 709 species. Here, we show examples of ICEs containing capsular polysaccharide biosynthesis loci. Orthologs 710 between elements are plotted with GenoPlotR. Genes involved in capsular polysaccharide biosynthesis 711 are colored orange, integrases are colored blue, and genes involved in conjugation are colored purple. 712 Grey links indicate orthologs between elements.

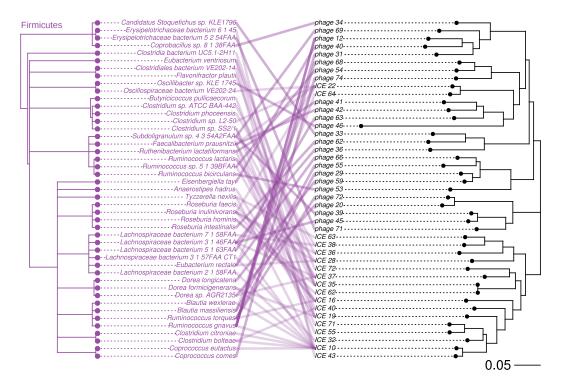
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- 714 ICEs. A tanglegram of tyrosine integrases from ICEs and prophages with the species phylogeny plotted
- on the left and tyrosine integrase phylogeny plotted on the right. Connections are drawn between a
- 716 species and the tyrosine integrase(s) found in that species and each connecting line is colored according to
- 717 host bacteria phylum.

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- Figure 4 | Tanglegram of host species lineages and phylogeny of serine integrases in prophages and
- 719 ICEs. A tanglegram of serine integrases from ICEs and prophages with the species phylogeny plotted on
- the left and tyrosine integrase phylogeny plotted on the right. Connections are drawn between a species
- 721 and the serine integrase(s) found in that species.

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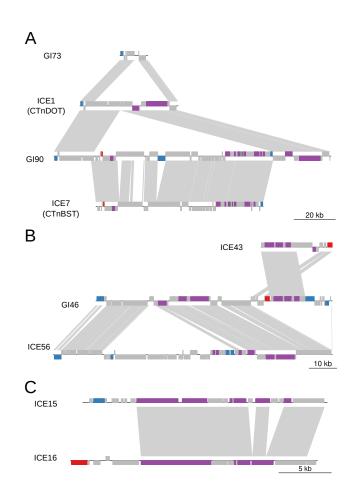
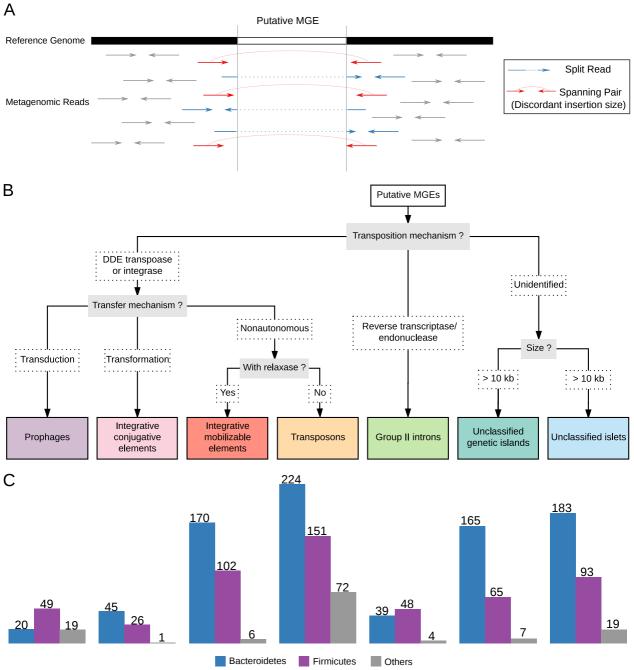
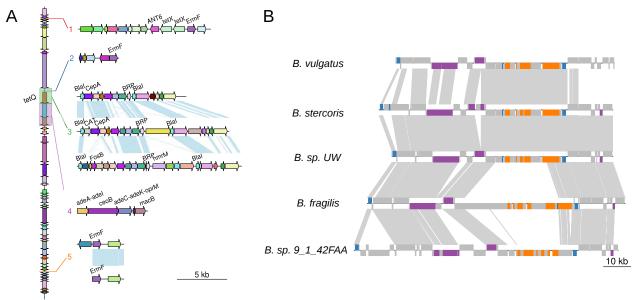
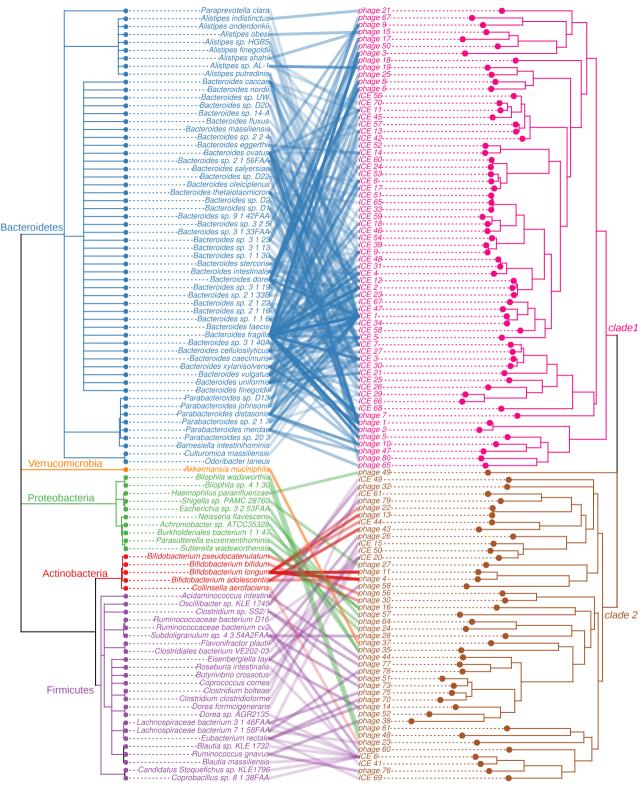


Figure 5 | Modular evolution of MGEs. Examples of deletion, acquisition, and exchange of gene
modules between MGEs. Orthologous genes between elements are shown with grey connections and are
plotted with genoPlotR. Tyrosine integrases are colored blue, serine integrases are colored red, and genes
involved in conjugation are colored purple. (A) GI73 was likely formed via a deletion of a CTnDOT-like
ICE. GI90 was formed from an insertion of the ICE CTnBST into a CTnDOT-like ICE to form a large,
composite GI that transfers as a unit. (B) An example of the tandem insertion of two ICEs to form a larger
GI that moves as a unit. (C) An example of recombination module exchanges between ICE15 and ICE16.

- 729 Supplementary information
- 730 Supplementary Figure 1: Classification of gut microbiome MGEs at the phylum level
- 731 Supplementary Figure 2: Tanglegram of host species lineages and phylogeny of group II intron proteins
- 732 Supplementary Table 1: Antibiotic resistant genes identified in MGEs classes
- 733 Supplementary Tables and Data
- 734 Supplementary Table 2: Annotation and classification of MGEs (xlsx)
- 735 Supplementary Table 3: Niche adaptive cargo genes (xlsx)
- 736 Supplementary Table 4: Enrichment analysis of cargo genes (xlsx)
- 737 Supplementary Table 5: Annotation of regions with ARG insertions in CTnDOT-like elements (xlsx)
- 738 Supplementary Data 1: MGE sequences (fasta)
- 739 Supplementary Data 2: Annotation of genes in MGEs (gff3)
- 740 Supplementary Data 3: Sequences of CTnDOT-like elements with ARG insertions (fasta)
- 741 Supplementary Data 4: Scripts to implement the SRID method and the genome assembly accession
- numbers and HMP SRA accession numbers used in this study.(zipped txt)







Firmicutes		
	Erysipelotrichaceae bacterium 6 1 45	phage 69
	Erysipelotrichaceae bacterium 5 2 54FAA	phage 12
La La	Coprobacillus sp. 8 1 38FAA	phage 40
	Clostridia bacterium UC5.1-2H11	phage 31
	Eubacterium ventriosum	phage 68
	Clostridiales bacterium VE202-14	phage 54
	Flavonifractor plautii	phage 54
	Oscillibacter sp. KLE 1745	pnage /4
	Oscillospiraceae bacterium VE202-24	
	Butyricicoccus pullicaecorum	ICE 64
	Clostridium sp. ATCC BAA-442	phage 41
	Clostridium sp. ATCC BAA-442	phage 42
	Clostridium proceersis	phage 63-
		phage 46
1 · · · · · · · · · · · · · · · · · · ·	Clostridium sp. SS2/1	phage 33
	Subdoligranulum sp. 4 3 54A2FAA	phage 62
	Faecalibacterium prausnitzii	phage 36 ·····
	Ruthenibacterium lactatiformans	phage 66
	Ruminococcus lactaris	
	Ruminococcus sp. 5 1 39BFAA	phage 55
	Ruminococcus bicirculans	phage 29
· · · · · · · · · · · · · · · · · · ·	Eisenbergiella tayi	phage 59
	Anaerostipes hadrus	phage 53
	Tyzzerella nexilis	phage 72
	Řoseburia faecis	phage 20
4	Roseburia inulinivorans	phage 39
	Roseburia hominis	phage 45
1 1 4	Roseburia intestinalis	phage 71
	Lachnospiraceae bacterium 7 1 58FAA	
	Lachnospiraceae bacterium 3 1 46FAA	ICE 38
	Lachnospiraceae bacterium 5 1 63FAA	ICE 38
	- Lachnospiraceae bacterium 3 1 57FAA CT1	
	Eulerine Eulerium rectale	ICE 28
	Lachnospiraceae bacterium 2 1 58FAA	ICE 72
	Dorea longicatena	ICE 37
	Dorea formicigenerans	ICE 35
	Dorea formicigeneraris	ICE 62
	Blautia wexlerae	
	Blautia massiliensis	ICE 19
	Ruminococcus torques	
	Ruminococcus gnavus	
	Clostridium citroniae	
	Clostridium bolteae	ICE 32
	Coprococcus eutactus	ICE 10
	Coprococcus comes	ICE 43

