- 1 The Hallucination Machine: A Deep-Dream VR platform for Studying the Phenomenology of
- 2 Visual Hallucinations
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## 11 Abstract

- 12 Altered states of consciousness, such as psychotic or pharmacologically-induced
- 13 hallucinations, provide a unique opportunity to examine the mechanisms underlying
- 14 conscious perception. However, the phenomenological properties of these states are
- 15 difficult to isolate experimentally from other, more general physiological and cognitive
- 16 effects of psychoactive substances or psychopathological conditions. Thus, simulating
- 17 phenomenological aspects of altered states in the absence of these other more general
- 18 effects provides an important experimental tool for consciousness science and psychiatry.
- 19 Here we describe such a tool, the *Hallucination Machine*. It comprises a novel combination
- 20 of two powerful technologies: deep convolutional neural networks (DCNNs) and panoramic
- videos of natural scenes, viewed immersively through a head-mounted display (panoramic
- VR). By doing this, we are able to simulate visual hallucinatory experiences in a biologically
- 23 plausible and ecologically valid way. Two experiments illustrate potential applications of the
- 24 Hallucination Machine. First, we show that the system induces visual phenomenology
- 25 gualitatively similar to classical psychedelics. In a second experiment, we find that simulated
- 26 hallucinations do not evoke the temporal distortion commonly associated with altered
- 27 states. Overall, the Hallucination Machine offers a valuable new technique for simulating
- 28 altered phenomenology without directly altering the underlying neurophysiology.

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30 Keywords: Visual hallucinations, virtual reality, visual phenomenology, deep convolutional

31 neural networks, machine learning.

#### 32 1.0 Introduction

There is a long history of studying altered states of consciousness (ASC) in order to 33 better understand phenomenological properties of conscious perception <sup>1,2</sup>. Altered states 34 are defined as a *qualitative* alteration in the overall pattern of mental functioning, such that 35 36 the experiencer feels their consciousness is radically different from "normal" <sup>1–3</sup>, and are typically considered distinct from common global alterations of consciousness such as 37 38 dreaming. ASC are not defined by any particular content of consciousness, but cover a wide range of qualitative properties including temporal distortion, disruptions of the self, ego-39 dissolution, visual distortions and hallucinations, among others <sup>4–7</sup>. Causes of ASC include 40 psychedelic drugs (e.g., LSD, psilocybin) as well as pathological or psychiatric conditions such 41 as epilepsy or psychosis  $^{8-10}$ . In recent years, there has been a resurgence in research 42 investigating altered states induced by psychedelic drugs. These studies attempt to 43 understand the neural underpinnings that cause altered conscious experience <sup>11–13</sup> as well 44 as investigating the potential psychotherapeutic applications of these drugs <sup>4,12,14</sup>. However, 45 psychedelic compounds have many systemic physiological effects, not all of which are likely 46 47 relevant to the generation of altered perceptual phenomenology. It is difficult, using pharmacological manipulations alone, to distinguish the primary causes of altered 48 49 phenomenology from the secondary effects of other more general aspects of neurophysiology and basic sensory processing. Understanding the specific nature of altered 50 phenomenology in the psychedelic state therefore stands as an important experimental 51 challenge. 52

Here, we address this challenge by combining virtual reality and machine learning to
isolate and simulate one specific aspect of psychedelic phenomenology: visual hallucinations.
In machine learning, deep neural networks (DNNs) developed for machine vision have now
improved to a level comparable to that achieved by humans <sup>15,16</sup>. For example, deep
convolutional neural networks (DCNNs) have been particularly successful in the difficult task
of object recognition in photographs of natural scenes <sup>17,18</sup>.

Studies comparing the internal representational structure of trained DCNNs with 59 60 primate and human brains performing similar object recognition tasks, have revealed surprising similarities in the representational spaces between these two distinct systems <sup>19–</sup> 61 <sup>21</sup>. For example, the neural responses induced by a visual stimulus in the human inferior 62 temporal (IT) cortex, widely implicated in object recognition, have been shown to be similar 63 to the activity pattern of higher (deeper) layers of the DCNN <sup>22,23</sup>. Features selectively 64 detected by lower layers of the same DCNN bear striking similarities to the low-level 65 features processed by the early visual cortices such as V1 and V4. These findings 66 demonstrate that even though DCNNs were not explicitly designed to model the visual 67 system, after training for challenging object recognition tasks they show marked similarities 68 to the functional and hierarchical structure of human visual cortices. 69

Trained DCNNs are highly complex, with many parameters and nodes, such that their
 analysis requires innovative visualisation methods. Recently, a novel visualisation algorithm

called *Deep Dream* was developed for this purpose <sup>24,25</sup>. *Deep Dream* works by clamping the 72 activity of nodes at a user-defined layer in the DCNN and then inverting the information 73 flow, so that an input image is changed until the network settles into a stable state (some 74 additional constraints are needed, e.g. ensuring that neighbouring pixels remain strongly 75 76 correlated). Intuitively, this means changing the *image* rather than changing the *network* in order to match the features of the image with what is represented in the target layer - so 77 78 that the resulting image is shaped by what the network 'expects' to see, at the level of detail determined by the clamped layer. More precisely, the algorithm modifies natural images to 79 reflect the categorical features learnt by the network <sup>24,25</sup>, with the nature of the 80 modification depending on which layer of the network is clamped (see Figure 1). What is 81 82 striking about this process is that the resulting images often have a marked 'hallucinatory' quality, bearing intuitive similarities to a wide range of psychedelic visual hallucinations 83 reported in the literature (e.g. McKenna, 2004; Shanon, 2002; Siegel & Jarvik, 1975)(see 84 85 Figure 1).

We set out to simulate the visual hallucinatory aspects of the psychedelic state using 86 *Deep Dream* to produce biologically realistic visual hallucinations. To enhance the immersive 87 experiential qualities of these hallucinations, we utilised virtual reality (VR). While previous 88 studies have used computer-generated imagery (CGI) in VR that demonstrate some 89 qualitative similarity to visual hallucinations <sup>28,29</sup>, we aimed to generate highly naturalistic 90 and dynamic simulated hallucinations. To do so, we presented 360-degree (panoramic) 91 videos of pre-recorded natural scenes within a head-mounted display (HMD), which had 92 been modified using the Deep Dream algorithm. The presentation of panoramic video using 93 a HMD equipped with head-tracking (panoramic VR) allows the individual's actions 94 95 (specifically, head movements) to change the viewpoint in the video in a naturalistic manner. This congruency between visual and bodily motion allows participants to experience 96 97 naturalistic simulated hallucinations in a fully immersive way, which would be impossible to achieve using a standard computer display or conventional CGI VR. We call this combination 98 of techniques the Hallucination Machine. 99

To investigate the extent to which the Hallucination Machine is able to simulate 100 natural visual hallucinations, we conducted two proof-of-concept experiments. The first 101 experiment investigated the ecological validity of experiences produced by the Hallucination 102 103 Machine. We compared the simulated experiences produced by the Hallucination Machine to unaltered control videos (see Figure 1) and to those of pharmacological psychedelic 104 states by having participants rate their subjective experience using an ASC questionnaire 105 developed to assess psychedelic experiences <sup>30,31</sup>. In a second experiment, we investigated if 106 the experience of the Hallucination Machine would also lead to a commonly reported 107 aspect of altered states of consciousness - temporal distortion <sup>5,6</sup> 108

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**Original Image** 



Lower Layer (conv2/3x3)

Higher Layer (inception\_4d/pool)



Middle Layer (inception 3b/output)

110

Deep-Dreamed Images

- 111 **Figure 1.** An example of the original scene (top left) and *Deep-Dreamed* scenes (top right,
- bottom left and right). The top right image was generated by selecting a higher DCNN layer
- 113 that responds selectively to higher-level categorical features (layers = 'inception\_4d/pool',
- 114 octaves = 3, octave scale = 1.8, iterations = 32, jitter = 32, zoom = 1, step size = 1.5, blending
- ratio for optical flow = 0.9, blending ratio for background = 0.1, for more detail see  $^{48}$ ). We
- used these higher-level parameters to generate the *Deep Dream* video used throughout the
- reported experiments. The bottom left image was generated by fixing the activity of a lower
   DCNN layer that responds selectively to geometric image features (layer='conv2/3x3', other
- 119 parameters as above). The bottom right image was generated by selecting a middle DCNN
- 120 layer responding selectively to parts of objects (layer='inception\_3b/output', other
- 121 parameters as above).
- 122

# 123 **2.0 Results**

We constructed the *Hallucination Machine* by applying a modified version of the *Deep Dream* algorithm <sup>25</sup> to each frame of a pre-recorded panoramic video (Figure 1, see also Supplemental Video S1) presented using a HMD. Participants could freely explore the 127 virtual environment by moving their head, experiencing highly immersive dynamic

128 hallucination-like visual scenes.

129 2.1 Experiment 1: Subjective experience during simulated hallucination

In Experiment 1, we compared subjective experiences evoked by the Hallucination 130 Machine with those elicited by both control videos (within subjects) and by 131 pharmacologically induced psychedelic states <sup>31</sup> (across studies). Twelve participants took 132 part in Experiment 1. The results are shown in Figure 2. Visual inspection of the spider chart 133 reveals that, across all dimensions of subjective experience probed by the questionnaire, 134 the experiences elicited by the Hallucination Machine are qualitatively distinct from the 135 136 control videos (Fig 2a), but qualitatively similar to psilocybin experiences (Fig 2b). To quantify these observations, we first conducted Bayesian within-subject *t*-tests 137 comparing responses to the ASC questionnaire following Hallucination Machine, and 138 following control videos, on the null hypothesis of 'no difference'. The analysis revealed 139 evidence supporting the alternative hypothesis, suggesting that for the following 140 dimensions there was a significant difference in subjective ratings between video type: 141

'intensity', 'patterns', 'imagery', 'ego', 'arousal', 'strange', 'vivid', 'space', 'muddle', 'spirit'
(for statistics see Table 1). Bonferroni corrected, within-subject *t*-tests were consistent with
the Bayesian results, with the exception of the 'ego', 'muddle', and 'spirit' dimensions as
shown by the *p*-values in Table 1.

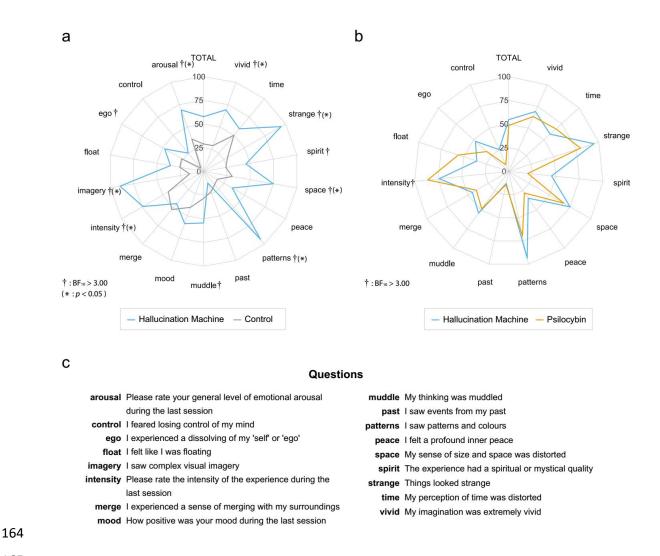
146 Independent Bayesian t-tests comparing responses to the ASC questionnaire following the Hallucination Machine, or following administration of psilocybin (data from a 147 previous study<sup>31</sup>), also revealed evidence supporting the alternative hypothesis for the 148 following dimension 'intensity', with weaker evidence for 'pattern' and 'strange', suggesting 149 150 that there are some qualitative differences between Hallucination Machine and psilocybin experiences (see Table 1 for statistics). Crucially, for the remaining questions, Bayesian 151 analyses were not sensitive to whether the null or alternative hypothesis was supported, 152 but were trending in the direction of the null, i.e. no difference between subjective 153 experiences between the Hallucination Machine and psilocybin: 'vivid' 154 'time', 'space', 'muddle', 'peace', and 'past'. Standard paired *t*-test Bonferroni corrected for 155 multiple comparisons between ASC responses following the Hallucination Machine and

multiple comparisons between ASC responses following the *Hallucination Machi*psilocybin did not reach significance for any of the question.

Together these analyses suggest that for many dimensions of subjective experience
 – as reflected in the ASC questionnaire - the *Hallucination Machine* induced significant
 changes as compared to viewing unaltered control videos, and that these changes were
 broadly similar to those caused by the administration of psilocybin.

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166 Figure 2. ASC questionnaire responses obtained in Experiment 1. a. Comparison of Hallucination Machine and control video responses. Stronger evidence in favour of a 167 168 difference using Bayesian t-tests between Hallucination Machine and the control videos were found for ten of the questions ( $^+$ : BF<sub>10</sub> > 3). Standard *t*-test showed the significant 169 differences for eight of the questions (\* p < 0.05). **b**. Comparison of Hallucination Machine 170 and responses following administration of psilocybin, taken from <sup>31</sup>. Bayes Factor paired 171 sample t-tests revealed that responses to the question 'intensity' (†:  $BF_{10} > 3$ ) after the 172 Hallucination Machine had stronger evidence in favour of a difference from the ratings given 173 174 for psilocybin experiences. c. Abbreviations and questions used in ASC questionnaire.

**Table 1.** Bayesian and standard statistical comparisons of ASCQ ratings from Experiment 1
 175 between Hallucination Machine and control video exposure, and between Hallucination 176 *Machine* and psilocybin administration, data taken from <sup>31</sup>. Dagger symbols and bold text 177 indicates Bayes Factor values which show evidence in favour of a difference between ASCQ 178 responses ( $+: BF_{10} > 3$ ). Asterisks after *p*-value indicates the significant differences in 179 standard *t*-test (\* p < 0.05). See Figure 2c for Abbreviations and questions used in ASCQ. 180 181

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Questions	Hallucination Machine vs control videos				Hallucination Machine vs psilocybin			
	BF <sub>10</sub> (Bayesian t-test)	t(11)	<i>p</i> -value (Bonferroni corrected)	Effect Size (Cohen's d)	BF <sub>10</sub> (Bayesian t-test)	t(25)	<i>p</i> -value (Bonferroni corrected)	Effect Size (Cohen's d)
intensity	28.09 †	4.185	0.034 *	1.208	3.404 †	-2.55	0.306	-1.004
patterns	389022 †	13.7	0.017 *	3.955	2.545	2.364	0.442	0.916
imagery	18187 †	9.803	0.017 *	2.83	-	-	-	-
mood	0.866	1.685	2.04	0.486	-	-	-	-
ego	3.162 †	2.669	0.374	0.77	0.69	1.335	3.298	0.517
arousal	37.58 †	4.391	0.017 *	1.268	-	-	-	-
strange	1721 †	7.44	0.017 *	2.148	2.993	2.467	0.357	0.955
vivid	122.1 †	5.254	0.017 *	1.1517	0.437	0.723	8.109	0.28
time	0.39	0.849	7.038	0.245	0.461	-0.82	7.157	-0.317
space	1057 †	7.005	0.017 *	2.022	0.442	0.747	7.854	0.289
muddle	6.613 †	3.183	0.153	0.919	0.385	0.428	11.424	0.166
merge	0.494	-1.146	4.692	-0.331	0.378	0.364	12.223	0.141
control	1.697	2.218	16.116	0.64	1.617	2.056	0.85	0.796
spirit	3.375 †	2.715	0.34	0.784	1.83	2.144	0.714	0.83
peace	1.547	2.149	0.935	0.62	0.429	0.688	8.466	0.267
float	0.44	1.008	5.695	0.291	0.945	-1.63	1.955	-0.633
past	0.488	-1.133	4.794	-0.327	0.363	-0.17	14.705	-0.067

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184 2.2 Experiment 2: Temporal distortion during simulated hallucination

Experiment 1 showed that subjective experiences induced by the *Hallucination Machine* displayed many similarities to characteristics of the psychedelic state. Based on this finding we next used the *Hallucination Machine* to investigate another commonly reported aspect of ASC – temporal distortions<sup>5,6</sup>, by asking twenty-two participants to complete a temporal production task during presentation of *Hallucination Machine*, or during control videos.

One participant was excluded from the analysis due to producing intervals in the 191 experimental session that were temporally inverted compared to the target durations. A 192 two-way Bayesian repeated measures ANOVA consisting of factors target interval [1s, 2s, 4s] 193 and video type (control/Hallucination Machine) showed the strongest evidence for an effect 194 of target interval only ( $BF_{10} = 1.178 \times 10^{46}$ , 1s (M=1.75 s SE=0.09s), 2s (M=2.41 s SE=0.11 s) 195 and 4 s (*M*=4.38 s SE=0.16 s)). A model including only video type showed evidence in favour 196 of the null hypothesis ( $BF_{10} = 0.194$ ), indicating that video type did not affect interval 197 production (Figure 3). An additional two-factorial repeated measures ANOVA revealed a 198 significant main effect of target interval (F(20,2) = 267.362, p < 0.01,  $\eta^2 = 0.930$ ) without the 199 interaction (F(20, 2) = 0.935, p < 0.401,  $\eta^2 = 0.045$ ). However, the main effect of video type 200 did not reach significance (F(20,1) = 0.476, p = 0.498,  $\eta^2 = 0.023$ ). 201

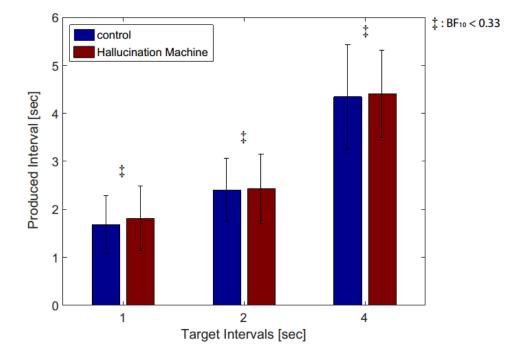


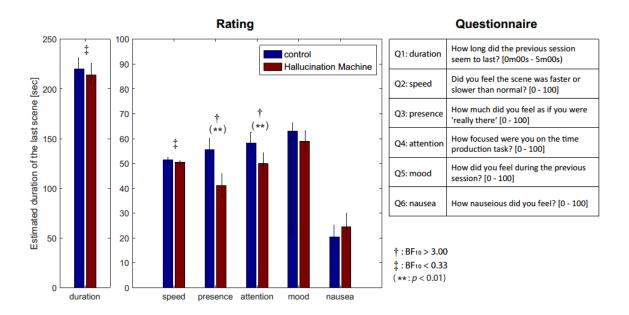


Figure 3. Results of temporal production task during presentation of *Hallucination Machine* or control videos. Produced time intervals are shown for both video types and target
 durations (1 second for low, 2 seconds for middle and 4 seconds for the high pitch tone).
 Bayes Factor analysis revealed strong evidence for no difference in subjective responses
 across video type (‡: BF<sub>10</sub> < 1/3).</li>

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Post-hoc standard and Bayesian t-tests were applied to the participant's subjective 210 ratings for the six questions about their experiences during each video (see Figure 4). These 211 212 revealed some differences in the Hallucination Machine compared to control video. Participants' ratings of 'presence', "How much did you feel as if you were 'really there', BF<sub>10</sub> 213 = 26.960, t(20) = 3.705, p=0.007, Cohen's d=0.808; and 'attention', "How focused were you 214 on the time production task",  $BF_{10} = 4.830$ , t(20) = 2.822, p = 0.077, Cohen's d=0.616 were 215 reduced during the Hallucination Machine. Responses regarding Duration (BF<sub>10</sub> = 0.278) and 216 Speed (BF<sub>10</sub> = 0.281) both revealed evidence for no difference between Hallucination 217 Machine and control video. Other comparisons failed to reach an evidentiary threshold in 218 219 both Bayesian and normal *t*-tests.

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**Figure 4.** Questionnaire responses obtained in Experiment 2. Participant's estimates' of the

total duration of *Hallucination Machine* and control videos in seconds (left panel).

224 Participants' subjective ratings between *Hallucination Machine* and control videos (centre).

225 Questions used in Experiment 2 (Right). All questions were presented inside the head

226 mounted display and participants responded to each question using a mouse to indicate

their responses via a visual analog scale. Bayes Factor analysis revealed evidence in favour

of a difference across video type for Q1: duration and Q2: speed (†:  $BF_{10} > 3$ ), whereas

evidence for no difference was found for Q3: presence and Q4: attention ( $\ddagger$ : BF<sub>10</sub> < 1/3).

## 230 **3.0 Discussion**

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We have described the implementation of the Hallucination Machine, which 232 provides a novel method for investigating (visual) hallucinogenic phenomenology. It 233 combines two technologies: Panoramic video of natural scenes presented using VR, allowing 234 the video to be experienced in a fully immersive environment, and an application of deep 235 convolutional neural networks (DCNNs), Deep Dream, which when suitably adapted can 236 transform panoramic video to mimic hallucinatory phenomenology in a biologically plausible 237 manner. The Hallucination Machine enables systematic and parameterizable manipulation 238 of distinct aspects of altered states of consciousness (ASCs), specifically visual hallucinations, 239 without involving the widespread systemic effects caused by pharmacological manipulations. 240

In two experiments we evaluated the effectiveness of this system. Experiment 1
compared subjective experiences evoked by the *Hallucination Machine* with those elicited
by both (unaltered) control videos (within subjects) and by pharmacologically induced
psychedelic states (across studies). Comparisons between control and *Hallucination Machine* with natural scenes revealed significant differences in perceptual and imagination
dimensions ('patterns', 'imagery', 'strange', 'vivid', and 'space') as well as the overall

intensity and emotional arousal of the experience. Notably, these specific dimensions were 247 also reported as being increased after pharmacological administration of psilocybin<sup>31</sup>. 248 Experiment 1 therefore showed that hallucination-like panoramic video presented within an 249 immersive VR environment gave rise to subjective experiences that displayed marked 250 251 similarities across multiple dimensions to actual psychedelic states <sup>31</sup>. Although we were not able to directly compare the Hallucination Machine experiences to pharmacologically 252 253 induced psychedelic experiences in the same subjects, the pattern of findings in Experiment 1 support the conclusion that the *Hallucination Machine* successfully simulates many 254 aspects of ASC induced by psychedelic drugs. 255

Experiment 2 tested whether participants' perceptual and subjective ratings of the 256 257 passage of time were influenced during simulated hallucinations, this was motivated by subjective reports of temporal distortion during ASC <sup>5,6</sup>. In contrast to these earlier findings, 258 neither objective measures (using a temporal production task) nor subjective ratings 259 (retrospective judgements of duration and speed, Q1 and Q2 in Figure 4) showed significant 260 differences between the simulated hallucination and control conditions. This suggests that 261 experiencing hallucination-like phenomenology is not sufficient to induce temporal 262 distortions, raising the possibility that temporal distortions reported in pharmacologically 263 induced ASC may depend on more general systemic effects of psychedelic compounds. 264

A crucial feature of the Hallucination Machine is that the Deep Dream algorithm 265 266 used to modify the input video is highly parameterizable. Even using a single DCNN trained 267 for a specific categorical image classification task, it is possible with *Deep Dream* to control 268 the level of abstraction, strength, and category type of the resulting hallucinatory patterns. 269 In the current study, we chose a relatively higher layer and arbitrary category types (i.e. a 270 category which appeared most similar to the input image was automatically chosen) in 271 order to maximize the chances of creating dramatic, vivid, and complex simulated 272 hallucinations. Future extensions could 'close the loop' by allowing participants (perhaps those with experience of psychedelic or psychopathological hallucinations) to adjust the 273 274 Hallucination Machine parameters in order to more closely match their previous experiences. This approach would substantially extend phenomenological analysis based on 275 verbal report, and may potentially allow individual ASCs to be related in a highly specific 276 manner to altered neuronal computations in perceptual hierarchies. 277

278 Another key feature of the *Hallucination Machine* is the use of highly immersive panoramic video of natural scenes presented in virtual reality (VR). Conventional CGI-based 279 280 VR applications have been developed for analysis or simulation of atypical conscious states including psychosis, sensory hypersensitivity, and visual hallucinations <sup>28,29,32–34</sup>. However, 281 282 these previous applications all use of CGI imagery, which while sometimes impressively realistic, is always noticeably distinct from real-world visual input and is therefore 283 284 suboptimal for investigations of altered visual phenomenology. Our setup, by contrast, utilises panoramic recording of real world environments thereby providing a more 285 286 immersive naturalistic visual experience enabling a much closer approximation to altered

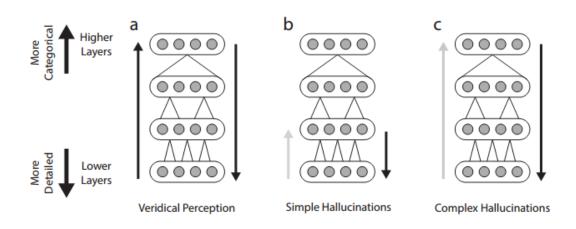
states of visual phenomenology. In the present study, these advantages outweigh the
drawbacks of current VR systems that utilise real world environments, notably the inability
to freely move around or interact with the environment (except via head-movements).

Besides having potential for non-pharmacological simulation of hallucinogenic 290 phenomenology, the Hallucination Machine may shed new light on the neural mechanisms 291 underlying physiologically-induced hallucinogenic states. This potential rests on the close 292 functional mappings between the architecture of DCNNs like those used here and the 293 functional architecture of the primate visual system<sup>35</sup>, as well as the equivalences between 294 the 'top-down' functional flow (back propagation in Deep Dream) of the Deep Dream 295 algorithm and the role of top-down signalling in Bayesian or 'predictive processing' theories 296 of perception<sup>36</sup>. 297

A defining feature of the *Deep Dream* algorithm is the use of backpropagation to 298 299 alter the input image in order to minimize categorization errors. This process bears intuitive similarities to the influence of perceptual predictions within predictive processing accounts 300 301 of perception. In predictive processing theories of visual perception, perceptual content is determined by the reciprocal exchange of (top-down) perceptual predictions and (bottom-302 up) perceptual predictions errors. The minimisation of perceptual prediction error, across 303 multiple hierarchical layers, approximates a process of Bayesian inference such that 304 305 perceptual content corresponds to the brain's "best guess" of the causes of its sensory input. In this framework, hallucinations can be viewed as resulting from imbalances between top-306 down perceptual predictions (prior expectations or 'beliefs') and bottom-up sensory signals. 307 Specifically, excessively strong relative weighting of perceptual priors (perhaps through a 308 pathological reduction of sensory input, see (Abbott, Connor, Artes, & Abadi, 2007; Yacoub 309 & Ferrucci, 2011)) may overwhelm sensory (prediction error) signals leading to hallucinatory 310 perceptions <sup>37–42</sup>. 311

312 Close functional and more informal structural correspondences between DCNNs and the primate visual system have been previously noted <sup>20,35</sup>. Broadly, the responses of 313 'shallow' layers of a DCNN correspond to the activity of early stages of visual processing, 314 while the responses of 'deep' layers of DCNN correspond to the activity of later stages of 315 316 visual processing. These findings support the idea that feedforward processing through a DCNN recapitulates at least part of the processing relevant to the formation of visual 317 318 percepts in human brains. Critically, although the DCNN architecture (at least as used in this study) is purely feedforward, the application of the Deep Dream algorithm approximates, at 319 320 least informally, some aspects of the top-down signalling that is central to predictive processing accounts of perception. Specifically, instead of updating network weights via 321 322 backpropagation to reduce classification error (as in DCNN training), Deep Dream alters the input image (again via backpropagation) while clamping the activity of a pre-selected DCNN 323 layer. The network itself is not altered in this process. Therefore, the result of the Deep 324 Dream process can be intuitively understood as the imposition of a strong perceptual prior 325

326 on incoming sensory data, establishing a functional (though not computational) parallel with 327 the predictive processing account of perceptual hallucinations given above.



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Figure 5. Possible hierarchical contributions to simple and complex visual hallucinations. a. Veridical Perception: Balanced bottom-up and top-down contributions from all levels of the hierarchy. b. Simple Hallucinations: perceptual content is overly influenced by visual predictions at lower network levels, with a reduced influence from lower-level input (grey arrow), emphasising features like edges and lines. c. Complex Hallucinations: perceptual content is overly influenced by visual predictions at higher network levels, with a reduced influence from lower-level input (grey arrow), emphasising complex object-based features.

What determines the nature of this heterogeneity and shapes its expression in 336 specific instances of hallucination? The content of the visual hallucinations in humans range 337 from coloured shapes or patterns (simple visual hallucinations) <sup>7,43</sup>, to more well-defined 338 recognizable forms such as faces, objects, and scenes (complex visual hallucinations)<sup>44,45</sup>. As 339 already mentioned, the output images of Deep Dream are dramatically altered depending 340 341 on which layer of the network is clamped during the image-alteration process. Fixing higher layers tends to produce output similar to more complex hallucinations (Figure 5c, Higher 342 343 Layer, see also Supplemental Video S1), while fixing lower layers tends create output images better resembling simpler geometric hallucinations (Figure 5b, Lower layer, see also 344 345 Supplemental Video S2 and S3). These observations, together with the functional and 346 structural correspondences between DCNNs and the primate visual hierarchy, is consistent 347 with the idea that the content of visual hallucinations in humans may be shaped by the specificity with which a particular drug (or pathology) influences activity at different levels 348 349 of processing within the visual hierarchy. Some example scenarios are schematically illustrated in Figure 5. In comparison to normal (veridical) perception (Figure 5a), simple 350 kaleidoscopic phenomenology - which is somewhat characteristic of psychedelic states <sup>7,43</sup> -351 could be explained by increased influence of lower layers of the visual system during the 352 interpretation of visual input, in the absence of contributions from higher categorical layers 353 (Figure 5b). Conversely, complex visual hallucinations could be explained by the over 354

emphasis of predictions from higher layers of the visual system, with a reduced influencefrom lower-level input (Figure 5c).

#### 357 4.0 Conclusion We have described a method for simulating altered visual phenomenology similar to 358 visual hallucinations reported in the psychedelic state. Our Hallucination Machine combines 359 panoramic video and audio presented within a head-mounted display, with a modified 360 version of 'Deep Dream' algorithm, which is used to visualize the activity and selectivity of 361 layers within DCNNs trained for complex visual classification tasks. In two experiments we 362 found that the subjective experiences induced by the Hallucination Machine differed 363 364 significantly from control (non-'hallucinogenic') videos, while bearing phenomenological similarities to the psychedelic state (following administration of psilocybin). The immersive 365 366 nature of our paradigm, the close correspondence in representational levels between layers of DCNNs and the primate visual hierarchy along with the informal similarities between 367 368 DCNNs and biological visual systems, together suggest that the Hallucination Machine is capable of simulating biologically plausible and ecologically valid visual hallucinations. In 369 addition, the method carries promise for isolating the network basis of specific altered 370 visual phenomenological states, such as the differences between simple and complex visual 371 372 hallucinations. Overall, the Hallucination Machine provides a powerful new tool to complement the resurgence of research into altered states of consciousness. 373

#### 374

# 5.0 Methods

- 375 5.1 Hallucination Machine
- 376 In brief, the *Hallucination Machine* was created by applying the *Deep Dream*
- algorithm to each frame of a pre-recorded panoramic video presented using a HMD (Figure
- 1). Participants could freely explore the virtual environment by moving their head,
- 379 experiencing highly immersive dynamic hallucination-like visual scenes.

# 380 5.1.1 Panoramic video and presentation

The video footage was recorded on the University of Sussex campus using a panoramic video camera (Point Grey, Ladybug 3). The frame rate of the video was 16 fps at a resolution of 4096 x 2048. All video footage was presented using a head mounted display (Oculus Rift, Development Kit 2) using in-house software developed using Unity3D.

# 385 5.1.2 DCNN specification and application of Deep Dream

386 The DCNN – a deeply layered feedforward neural network – used in this study had been pre-trained on a thousand categories of natural photographs used in the Large Scale 387 Visual Recognition Challenge 2010 (ILSVRC2010)<sup>17,46</sup>. During this training procedure, 388 features in all layers are learned via backpropagation (with various modifications) to 389 390 associate a set of training images to distinct categories. Consequently, the trained network implements a mapping from the pixels of the input image to the categories, represented as 391 activation of specific units of the top layer of the network. Given this network, to create the 392 panoramic video we applied the *Deep Dream* algorithm frame-by-frame to the raw video 393 394 footage.

The *Deep Dream* algorithm also uses error backpropagation, but instead of updating 395 the weights between nodes in the DCNN, it fixes the weights between nodes across the 396 entire network and then iteratively updates the input image itself to minimize 397 categorization errors via gradient descent. Over multiple iterations this process alters the 398 input image, whatever it might be (e.g., a human face), so that it encompasses features that 399 the layer of the DCNN has been trained to select (e.g., a dog). When applied while fixing a 400 relatively low level of the network, the result is an image emphasizing local geometric 401 features of the input. When applied while fixing relatively high levels of the network, the 402 403 result is an image that imposes object-like features on the input, resembling a complex hallucination. Examples of the output of *Deep Dream* used in Experiments 1 and 2 are 404 shown in Figure 1. 405

Although the original *Deep Dream* program was intended to process a single static
image (Mordvintsev, Tyka, et al., 2015), others have developed implementations of this
algorithm that process image sequences in order to make videos by blending the
hallucinatory content of the previous frame with the current frame (Roelof, 2015; Samim,
2015). The principle here is to take a user defined proportion from 0-1 (blending ratio) of
the previous frame's hallucinatory patterns (0 = no information, 1 = all information) and

integrate it into the current frame. In this way, each frame inherits some of the 412 413 hallucinatory content of the previous frame, as opposed to Deep Dream starting from scratch for each frame. This frame-to-frame inheritance enables the hallucinatory patterns 414 to remain relatively constant as the video unfolds. We extended one such implementation 415 416 <sup>47</sup> to optimise the hallucinogenic properties of the video. In our extension, the optical flow of each frame is calculated by comparing the difference in the movement of all pixels 417 418 between the current and previous frame. The hallucinatory patterns from areas where the optical flow was detected is merged to the current (not-yet-hallucinatory) frame based on 419 420 the weighting provided by the blending ratio. The *Deep Dream* algorithm is then applied to 421 this merged frame. We also optimised the blending ratio between each pair of frames, 422 setting different blending ratios in areas of the image with high (foreground, moving areas, blending ratio of 0.9) or low (background static areas, blending ratio of 0.1) optical flow. This 423 was done to avoid saturation of areas of the image with low optical flows by the higher 424 425 blending ratios used for areas with high optical flow. The details of our implementation of Deep Dream are provided in the supplemental material. Our software for creating the Deep 426 Dream video can be found on GitHub <sup>48</sup>. The Deep Dream video used throughout the 427 reported experiments was generated by selecting a higher DCNN layer, which responds 428 429 selectively to higher-level categorical features (layers = 'inception 4d/pool', octaves = 3, octave scale = 1.8, iterations = 32, jitter = 32, zoom = 1, step size = 1.5, blending ratio for 430

- 431 optical flow = 0.9, blending ratio for background = 0.1).
- 432

# 433 5.2 Experiment 1: Subjective experience during simulated hallucination

## 434 5.2.1 Participants

Twelve participants completed Experiment 1 (mean age = 21.1, SD =2.23; 7 female).
Participants provided informed consent before taking part and received £10 or course
credits as compensation for their time. All methods were carried out in accordance with
approved guidelines provided by the University of Sussex, Research Ethics Committee.

## 439 5.2.2 Experimental Design

440 Both experiments were performed in a dedicated VR lab. Participants were fitted with a head-mounted display before starting the experiment and exposed, in a counter-441 442 balanced manner, to either the Hallucination Machine or the original unaltered (control) video footage. Each video presentation lasted 3 minutes and was repeated twice, with a 443 180-degree direction flip of the initial orientation between the two presentations 444 (presenting the part of the scene that would have been directly behind their viewpoint in 445 the first presentation) to help ensure that participants experienced the majority of the 446 447 panoramically-recorded scene. Participants were encouraged to freely investigate the scene in a naturalistic manner. While sitting on a stool they could explore the video footage with 448 449 3-degrees of freedom rotational movement. While the video footage is spherical, there is a 450 bind spot of approximately 33-degrees located at the bottom of the sphere due to the field

451 of view of the camera. After each video, participants were asked to rate their experiences

- 452 for each question via an ASC questionnaire which used a visual analog scale for each
- 453 question (see Figure 2c for questions used). We used a modified version of an ASC
- 454 questionnaire, which was previously developed to assess the subjective effects of
- 455 intravenous psilocybin in fifteen healthy human participants <sup>31</sup>. All data referring to
- 456 Psilocybin was taken from this study<sup>31</sup>.

## 457 5.2.3 Analysis

Bayesian paired *t*-tests were used to compare ASC questionnaire subjective ratings 458 between the control condition and the Hallucination Machine, while Bayesian independent 459 t-tests were used to compare Hallucination Machine with subjective ratings following 460 psilocybin administration (data taken from the original study <sup>31</sup>). We quantified how close to 461 the null (no difference between results), or to the alternative hypothesis (difference in 462 results), each result was using JASP<sup>49</sup> with a default Cauchy prior of .707 half-width at half-463 maximum <sup>50</sup>. A BF<sub>10</sub> > 3.0 is interpreted as evidence for accepting the alternative hypothesis 464 (i.e. there is a difference), whereas  $BF_{10} < 1/3$  is interpreted as evidence for accepting the 465 null hypothesis (i.e. there is no difference)<sup>51</sup>. Standard paired *t*-test Bonferroni corrected for 466 multiple comparisons were also conducted. 467

# 468 5.3 Experiment 2: Temporal distortion during simulated hallucination

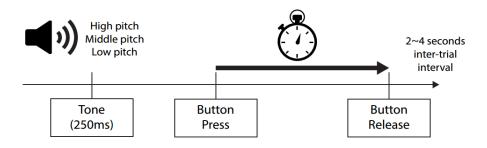
469 5.3.1 Participants

A new group of Twenty-two participants that did not participate in Experiment 1 completed Experiment 2 ( $M_{age}$  =23.9, SD =6.71, 13 female). Participants provided informed consent before taking part and received £10 or course credits as compensation for their time. All methods were carried out in accordance with approved guidelines provided by the University of Sussex, Research Ethics Committee.

# 475 5.3.2 Experimental Design

476 The experiment began with a practice session of a standard temporal production task. In each of 20 trials, participants heard one of three tones, each of a different pitch 477 (low: 220Hz, middle: 440Hz, and high: 1760Hz, each lasting 250 milliseconds). On each trial 478 479 the pitch was randomly selected. Participants were asked to produce specific time intervals for each tone (1 second for low, 2 seconds for middle and 4 seconds for the high pitch 480 tone)<sup>52,53</sup>. Participants were instructed to respond immediately after the tone had ceased by 481 holding the left mouse button down for the target time interval for each specific tone 482 (Figure 6). After producing the interval, they were shown both their produced interval, and 483 the target interval, as two dots on a one-dimensional scale, as well as a numeric 484 representation (e.g. produced interval "2.4 seconds", target interval "2.0 seconds"). The 485 average numbers of tones per practice session was 6.12 (SD = 1.96) Low, 6.54 (SD = 1.61) 486 Middle, and 6.33 (SD = 2.18) High. Participants had to repeat the practice session if the 487 Pearson's correlation between the target and produced intervals was less than 0.5. 488

Once the practice was finished, participants began the experimental session. This 489 490 consisted of 12 blocks. In each block a panoramic video was shown; either the control video (6 blocks) or the Hallucination Machine (6 blocks), and similar to Experiment 1, participants 491 were instructed to explore the scene freely in a naturalistic manner. The order of the videos 492 493 was counter-balanced across participants. Each block lasted 3 minutes, leading to a total exposure of 18 minutes for each video type. While participants explored the immersive 494 495 video, low, middle or high pitch tones were presented in a random order (the average numbers of tones per block were 6.17 (SD = 2.02) Low, 6.16 (SD = 2.00) Middle, 6.21 (SD = 496 497 1.94) High for *Hallucination Machine*, and 6.62 (*SD* = 2.44) Low, 6.63 (*SD* = 2.42) Middle, and 6.61 (SD = 2.55) High for the control video). Immediately after hearing the tone, participants 498 499 had to produce the interval relating to the tone (one second, two seconds, or four seconds) 500 (Figure 6). Following the participant's response there was a random inter-trial interval of between 2 and 4 seconds (uniformly distributed). After each block, participants answered 501 six questions about their experiences during the video (Figure 4). The questions were 502 presented inside the head mounted display and participants responded to the questions 503 504 using a mouse to indicate a value on a visual analog scale.



# 505

Figure 6. Experiment 2 temporal production task structure. While viewing either panoramic *Hallucination Machine* or control videos, participants were asked to produce one of three
specific time intervals. Each time interval had been associated with a differing pitch tone
during a practice session (1 second for low, 2 seconds for middle and 4 seconds for the high
pitch tone). Participants responded immediately after the tone had ceased by holding the
left mouse button down for the target time interval for each specific tone. After the button
was released there was an inter-trial interval of between 2-4 seconds.

# 513 5.3.3 Analysis

A Bayesian two-factorial repeated measures ANOVA consisting of the factors interval production [1s, 2s, 4s] and video type (control/*Hallucination Machine*) was used to investigate the effect of video type on interval production. A standard two-factorial repeated measures ANOVA using the same factors as above was also conducted.

518 A two-factorial repeated measures ANOVA consisting of the factors interval 519 production [1s, 2s, 4s] and video type (control/*Hallucination Machine*) was used to 520 investigate the effect of video type on interval production. Similar to Experiment 1, for cases 521 in which standard statistics did not reveal a significant difference, we quantified how close

- 522 to the null (no difference between results) or alternative hypothesis (difference in results)
- 523 each result was by an additional two-way Bayesian ANOVA using the same factors as above.
- 524 In a similar fashion, for cases in which standard *t*-tests did not reveal significant differences
- 525 in subjective ratings between video type we used additional Bayesian *t*-tests.
- 526
- 527 Data Availability: Video materials used in the study are available in the supplemental
- 528 material. The datasets generated in Experiment 1 and 2 are available from the
- 529 corresponding author upon request.
- 530
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## 537 Author Contributions

- 538 K.S., W.R., D.S., A.K.S., conceived and designed the study. K.S. created the materials and
- 539 developed the *Hallucination Machine* system. K.S. W.R. and D.S. designed and carried out
- 540 the analyses and statistical testing. K.S., D.S., and A.K.S. designed Experiment 1 and
- recorded the data. K.S., W.R., and A.K.S. designed Experiment 2 and recorded the data. K.S.,
- 542 W.R., D.S., A.K.S. wrote the manuscript together.
- 543 Additional Information
- 544 Competing Interests: The authors declare no competing financial interests.

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