

1 **Neural Mechanism Underlying NEergic Neurons-Modulated The Arousal**
2 **Associated With Midazolam Anesthesia**

3 LeYuan Gu¹, Lu Liu², WeiHui Shao¹, JiaXuan Gu¹, Qing Xu¹, YuLing Wang², Qian
4 Yu², XiTing Lian², HongHai Zhang^{1,2,3,4*}

5 ¹Department of Anesthesiology, the Fourth Clinical School of Medicine, Zhejiang Chinese
6 Medical University, Hangzhou, 310006, China

7 ²Department of Anesthesiology, Affiliated Hangzhou First People's Hospital, Zhejiang
8 University School of Medicine, Hangzhou, 310006, China

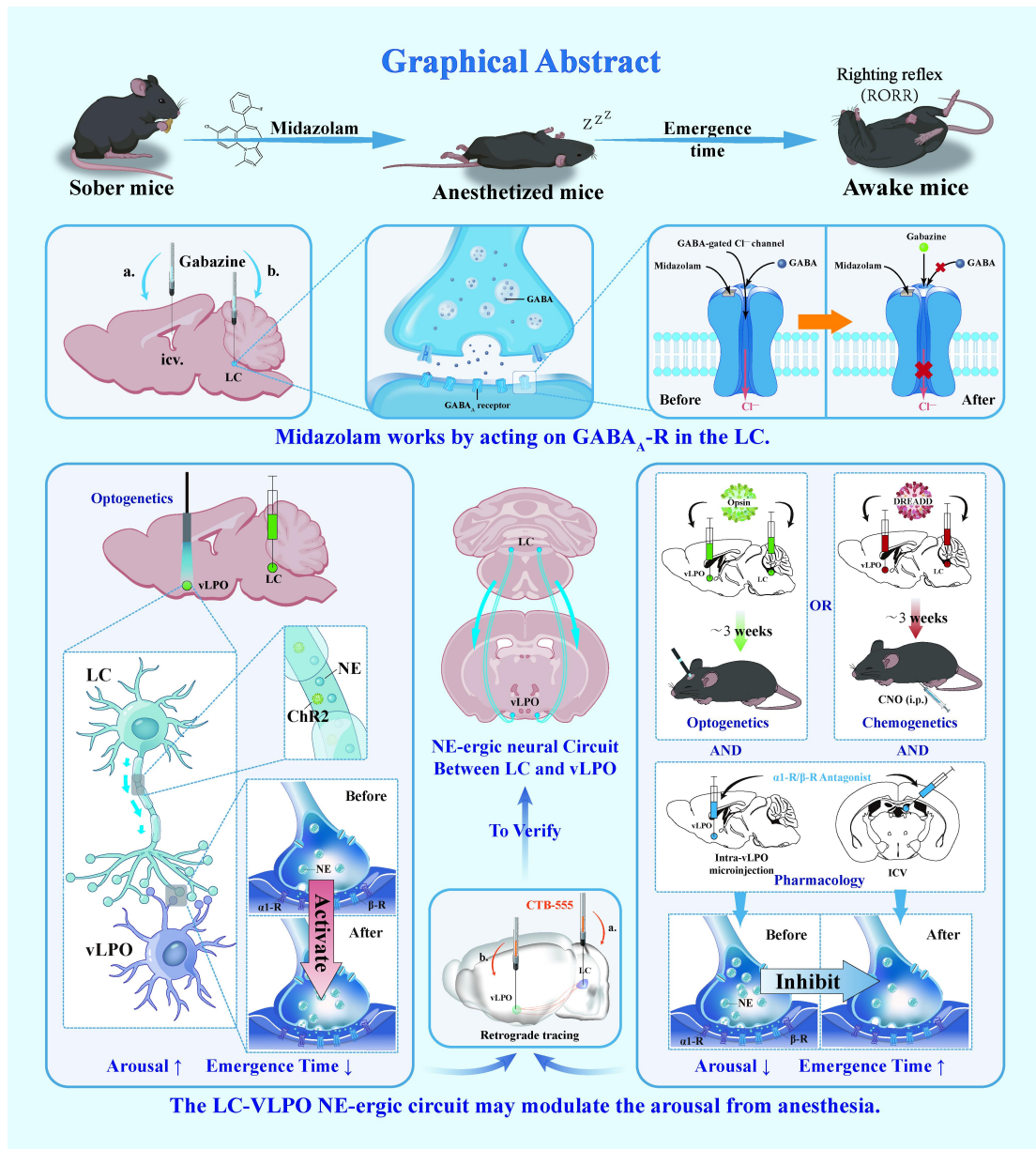
9 ³Westlake Laboratory of Life Sciences and Biomedicine, Hangzhou, 310006, China

10 ⁴Lead contact

11 Corresponding authors: HongHai Zhang

12 Email address: zhanghonghai_0902@163.com or zh0902@zju.edu.cn

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1

2 **Abstract**

3 Generation of the unconsciousness associated with arousal during the initial stage of
 4 anesthesia by midazolam is critical for general anesthesia, however, the exact mechanism
 5 remains unknown. Here, firstly, we found that the destruction of noradrenergic neurons in the
 6 locus coeruleus (LC^{NE}) could prolong the emergence time of midazolam-induced anesthesia.
 7 Secondly, the same results were found by activation of the noradrenergic pathway between
 8 the LC and the ventrolateral preoptic nucleus (VLPO) using optogenetics and chemogenetics
 9 approaches, respectively. Thirdly, this effect was mediated by $\alpha 1$ and β adrenergic receptors
 10 rather than $\alpha 2$ adrenergic receptors in the VLPO. Moreover, the noradrenergic pathway to
 11 modulate the arousal between the LC and VLPO was controlled by GABA_A receptors in the

1 LC and VLPO in our models. Our data demonstrate that activation of the NEergic pathway
2 between the LC and VLPO can promote arousal to prevent delayed recovery from
3 midazolam-induced anesthesia.

4 **Keywords:** locus coeruleus (LC); norepinephrine (NE); ventrolateral preoptic nucleus
5 (VLPO); gamma-aminobutyric acid (GABA); midazolam; general anesthesia; consciousness

6 **1 Introduction**

7 General anesthesia is a drug-induced reversible brain state clinically characterized by
8 unconsciousness, analgesia, amnesia, and akinesia in response to noxious stimuli¹. Due to its
9 ability to induce reversible loss of consciousness, general anesthesia has been widely used in
10 surgery or various diagnostic medicine and the different general anesthetic agents are the
11 major contributors to this state. However, the journal Science listed “How general anesthetics
12 work” as one of the 125 most frontier scientific questions, suggesting that the mechanism
13 underlying general anesthesia remains elusive, with altered consciousness during general
14 anesthesia being a great challenge. Therefore, investigating the specific mechanism of
15 anesthetics-induced unconsciousness is an urgent issue.

16 Depending on the mode of administration, general anesthetics are divided into two
17 categories: inhalation anesthetics and intravenous anesthetics. Midazolam has a rapid onset
18 and short duration of action and causes relatively mild hemodynamic effects². The application
19 of midazolam during anesthesia is effective in sedation and hypnosis and leads to anterograde
20 amnesia as well as preventing intraoperative awareness and the development of malignant
21 memories in patients. The above characteristics and effects of midazolam make it an
22 indispensable intravenous anesthetic drug that plays an important role throughout the
23 perioperative period. It is generally believed that midazolam acts mainly on the brainstem
24 reticular formation and the limbic system via gamma-aminobutyric acid receptor type A
25 (GABA_A-R) to reduce the excitability of the central nervous system (CNS), thereby inducing
26 sedation and unconsciousness³. Based on the fact that GABA_A-R has also been identified as a
27 molecular target of propofol⁴, and that propofol can inhibit the spontaneous firing of locus
28 coeruleus (LC) neurons by enhancing GABAergic input to these cells⁵, we speculated that
29 midazolam may have a similar initiating mechanism of action. In addition to the GABAergic
30 system, whether other sleep- and arousal-related nervous systems are involved in the

1 emergence from midazolam-induced anesthesia remains to be fully investigated.

2 Currently, because of some similarities between general anesthesia and sleep, especially
3 non-rapid eye movement (NREM) sleep, a growing number of researchers studying the
4 mechanisms of anesthetic arousal are shifting their attention from molecular targets (e.g.
5 membrane receptors and ion channels) to the sleep-arousal neural nuclei and circuits⁶. The LC
6 is the primary site for the synthesis and release of norepinephrine (NE) in the brain, with a
7 wide range of projections to other brain regions⁷. The LC-NE system has been linked to
8 multiple functions including sleep and arousal, stress-related behaviors, attention, and pain
9 conduction⁸. Several studies have shown that the LC-NE system plays an important role in
10 sleep-arousal regulation, as well as in arousal from inhalational general anesthesia⁹⁻¹².
11 Optogenetic activation of LC^{NE} neurons causes the immediate transition from sleep to
12 wakefulness⁹. Similarly, chemogenetic activation of LC^{NE} neurons produced EEG evidence of
13 arousal and facilitated isoflurane-induced anesthetic emergence, suggesting that LC^{NE} neurons
14 are implicated in the regulation of anesthetic arousal¹¹. In addition, another study showed that
15 two intravenous anesthetics, propofol and etomidate, suppressed LC neuronal activities and
16 that lesion of LC^{NE} neurons or depletion of NE impeded emergence from intravenous general
17 anesthesia in zebrafish, further revealing the role of LC^{NE} neurons in intravenous general
18 anesthesia¹³. However, the exact contribution of the LC and its downstream neural pathway to
19 the emergence from general anesthesia is unclear. In 1998, Saper et al. discovered a cluster of
20 sleep-active neurons in the ventrolateral preoptic nucleus (VLPO) of the preoptic
21 hypothalamus¹⁴. Since then, after continuous research, the VLPO has been recognized as a
22 key “sleep center” and is responsible for initiating and promoting sleep. Some general
23 anesthetics have been found to activate sleep-active neurons in the VLPO to mediate
24 anesthetic hypnosis, including propofol, dexmedetomidine, isoflurane, and halothane¹⁵⁻¹⁸.
25 Similarly, manipulating the neural activity of the VLPO was reported to affect the induction
26 or emergence of general anesthesia^{16,17,19}. These findings suggested that VLPO may be a
27 primary target for anesthetic arousal. Furthermore, LC^{NE} neurons directly project to the
28 VLPO²⁰ and a recent study has reported that optogenetic activation of the NEergic LC-VLPO
29 neural circuit promotes arousal from sleep and acts through different adrenergic receptors,
30 indicating that this neural circuit is a critical pathway for controlling wakefulness²¹. However,

1 there is insufficient evidence for the role of the NEergic LC-VLPO neural circuit in
2 modulating midazolam-induced emergence from general anesthesia.

3 In the present study, we first established an anesthetized mouse model via the application
4 of the intravenous anesthetic drug, midazolam, and then found that this drug suppressed the
5 activity of LC^{NE} neurons. Then, by pharmacological experiments, we found that midazolam
6 initially functioned by acting on GABA_A-R in the LC. Moreover, we used chemogenetic and
7 optogenetic approaches to activate LC^{NE} neurons and VLPO neurons to explore their roles in
8 midazolam-induced anesthesia. Additionally, we found that the NEergic neural circuit
9 between the LC and the VLPO played a key role in promoting arousal from
10 midazolam-induced anesthesia and this effect was mediated by $\alpha 1$ adrenergic receptors ($\alpha 1$ -R)
11 and β adrenergic receptors (β -R) in the VLPO but not $\alpha 2$ adrenergic receptor ($\alpha 2$ -R). Our
12 findings will provide an important theoretical basis and potential intervention target for
13 exploring the central mechanisms of anesthetic emergence.

14 **2 Methods**

15 **2.1 Animals**

16 This study was approved by the Experimental Animal Ethics Committee of Zhejiang
17 University and all experimental procedures were in line with the Experimental Animal Ethics
18 Committee of Zhejiang University. The experimental animals in this study are all wild-type
19 C57BL/6J mice with sex male, purchased from the Animal Experiment Center of Zhejiang
20 University. All mice were housed and bred in the SPF-Class House in a standard condition
21 (indoor temperature 25°C, ambient humidity 65%, 12h light/dark cycle) with rodent food and
22 water ad libitum. All mice were aged 8 weeks and weighed 22g to 25g at the start of the
23 experiments. To avoid interference from gender and female estrous cycle, only male mice
24 were used, and the interval of the experiments in the study between 9:00 and 15:00 was
25 performed.

26 **2.2 Establishment of the mice model of midazolam anesthesia**

27 We randomly divided healthy C57BL/6J mice into 4 groups (n=8). These mice were used
28 to determine the intraperitoneal dose of midazolam anesthesia that would achieve the optimal
29 depth of anesthesia without inhibiting the mice's respiration before starting the formal
30 experiment. We finally found that 60 mg/kg of midazolam intraperitoneally was the optimal

1 dosage for general anesthesia in mice, and we employed this dose for induction and
2 maintenance of anesthesia in subsequent experiments.

3 **2.3 Evaluation of induction and emergence times**

4 The entire experiment was conducted in the anesthesia barrel, and the bottom of the
5 barrel was preheated with a thermostatic electric heating pad for 15 min until the temperature
6 reached 27°C to maintain a comfortable temperature during the experiment. The C57BL/6J
7 mice were placed in the barrel 30 minutes before the start of the experiment to adapt to the
8 experimental environment and reduce stress. At the beginning of the experiment, the
9 C57BL/6J mice were injected intraperitoneally with midazolam (60 mg/kg , jiangsu,
10 Enhua,H-19990027)) for general anesthesia. When the mice failed to return from the
11 abnormal position (limbs up) to the normal position (limbs touching the ground), we called it
12 loss of the right reflex (LORR). The interval between the midazolam injection and LORR was
13 recorded as the anesthesia induction time of midazolam. Throughout the experiment, the
14 oxygen flow rate into the anesthesia barrel was fixed at 2 L/min, and the mice were
15 maintained in an abnormal position with their limbs facing upward. When the mice recovered
16 from the abnormal position to the normal position, we called it the recovery of righting reflex
17 (RORR). The time between the end of anesthesia and RORR was recorded as the emergence
18 time from anesthesia.

19 **2.4 Enzyme-linked immunosorbent assay (ELISA)**

20 The TH content and specific enzyme activity were measured in two groups of C57BL/6J
21 mice (n=6 per group): one was anesthetized with midazolam (60mg/Kg), and one did not. The
22 whole brain was removed, then cut up, prosencephalon and brainstem samples were collected
23 separately, and TH levels in prosencephalon and brainstem tissues were measured using an
24 enzyme-linked immunosorbent assay (ELISA) kit according to the manufacturer's
25 instructions (YS-M195, YS-M195-1, ELISA Kit, Yan Sheng Biological Technology Co., Ltd.,
26 Shanghai, China). And the optical density (OD) at 450 nm and 630 nm was measured using
27 an ELISA microplate reader (SynergyMax M5, iD5, Molecular Devices, San Jose, CA, USA).

28 **2.5 Stereotaxic surgery and virus microinjection surgery**

29 8-week-old C57BL/6J mice were anesthetized using 3.5% chloral hydrate and fixed in a
30 stereotaxic apparatus (68018, RWD Life Sciences, Inc., Shenzhen, China). During the

1 procedure, a heating pad was used to maintain the body temperature of anesthetized mice at
2 37°C, and the ophthalmic ointment was applied to their eyes to avoid dryness. After the skull
3 was exposed totally, small craniotomy holes (~1 mm in diameter) were drilled with the help
4 of a microscope, and adeno-associated virus (AAV) vectors were injected 100 nL per nucleus
5 via a gauge needle for the specification of 10ul controlled by an Ultra Micro Pump (160494
6 F10E, WPI) at a rate of 40 nl/min. After injection, the syringe was indwelled in place for an
7 additional 10min to allow the virus to spread and then slowly pulled out. For the fiber
8 photometry experiments, the virus of rAAV-DBH-GCaMP6m-WPRE-hGH pA (100 nl, viral
9 titer $\geq 2.00E+12$ vg/ml, Brain VTA Technology Co., Ltd., Wuhan, China) was injected into
10 each side of the LC (AP = - 5.41 mm; ML: + / - 0.9 mm; DV: -3.8 mm), based on mouse brain
11 atlas. For optogenetic viral delivery, rAAV-mTH-NLS-Cre-WPre-SV40 polyA (100nL, viral
12 titer $\geq 5.00E+12$ vg/ml, Brain VTA Technology Co., Ltd., Wuhan, China) and
13 AAV-Efl α -DIO-hChR2(H134R)-EYFP-WPRE-hGH pA (100nL, viral titer $\geq 5.00E+12$ vg/ml,
14 Brain VTA Technology Co., Ltd., Wuhan, China) were microinjected into bilateral LC (AP = -
15 5.41 mm; ML: + / - 0.9 mm; DV: -3.8 mm) or VLPO (AP: - 0.01 mm; ML: + / - 0.65 mm; DV:
16 -5.7 mm). Then an optical fiber (FOC-W-1.25-200-0.37-3.0, Inper, Hangzhou, China) was
17 implanted in the same area, located at 0.05mm above the virus injection point (AP = - 5.41
18 mm; ML: + / - 0.9 mm; DV: -3.75 mm / AP: - 0.01 mm; ML: + / - 0.65 mm; DV: -5.65 mm).
19 For chemogenetics, rAAV-mTH-NLS-Cre-WPre-SV40 polyA (100nL, viral titer $\geq 5.00E+12$
20 vg/ml, Brain VTA Technology Co., Ltd., Wuhan, China) and
21 AAV-Efl α -DIO-hM3Dq-mCherry (100nL, viral titer $\geq 5.00E+12$ vg/ml, Brain VTA
22 Technology Co., Ltd., Wuhan, China) were injected into bilateral LC (AP = - 5.41 mm; ML: +
23 / - 0.9 mm; DV: -3.8 mm) or VLPO (AP: - 0.01 mm; ML: + / - 0.65 mm; DV: -5.7 mm). The
24 next experiment was conducted three weeks later. At the end of the experiment, the locations
25 of the fiber implantation and virus injection were verified in every animal, and those with
26 erroneous locations were disqualified from the final analyses.

27 For intranuclear microinjection, bilateral LC and VLPO cannulas (O.D. 0.30 mm / 2.4 /
28 M3.5 Arthur c., 62004, RWD life science co., LTD., Shenzhen, China) were implanted the
29 same as described above. For intracerebroventricular (ICV), the lateral ventricular cannula
30 (O.D.0.41mm-27G/Pedestal 6mm/M3.5,62004, RWD Life Sciences Co., LTD., Shenzhen,

1 China) was inserted at paracele (AP = -0.45 mm, ML = -1.00 mm, DV = -2.50 mm) as
2 described previously with electroencephalogram (EEG) electrodes embedded at the same
3 time.

4 For retrograde labeling of projection neurons, CTB-555 (1 μ g/ μ l, BrainVTA Technology
5 Co., LTD., Wuhan, China) was injected into LC (AP = - 5.41 mm; ML: + / - 0.9 mm; DV: -3.8
6 mm) or VLPO (AP: - 0.01 mm; ML: + / - 0.65 mm; DV: -5.7 mm) with a total content of
7 100nl, and then perfused after 1 week.

8 **2.6 Pharmacological experiments**

9 ***2.6.1 Effects of intraperitoneal injection of Atomoxetine and DSP-4 on the emergence time*** 10 ***after midazolam anesthesia.***

11 Atomoxetine selectively inhibits presynaptic uptake of norepinephrine(NE) and
12 enhances norepinephrine function; N-(2-chloroethyl)-N-ethyl-2-bromoben-zylamine
13 hydrochloride (DSP-4) is a selective neurotoxin that targets the LC norepinephrine system in
14 the rodent brain and is used to disrupt nerve terminals and attenuate NE and NE transporter
15 function in LC innervated brain regions.

16 Atomoxetine (Sigma-Aldrich, Ca #Y0001586) was dissolved in saline. One hour before
17 midazolam anesthesia, C57BL/6J mice received the intraperitoneal (IP) injection of
18 atomoxetine (10 mg/kg, 20 mg/kg) or saline (vehicle). To evaluate the impact of peripheral
19 injection of atomoxetine on the emergence time after midazolam anesthesia, three trials were
20 done for each group of mice (vehicle, 10 mg/kg, and 20 mg/kg), and the induction time and
21 the emergence time were recorded, respectively.

22 To further verify the effect of norepinephrine on the emergence time after midazolam
23 anesthesia, we divided the mice into four groups (vehicle+vehicle, vehicle+Atomoxetine,
24 DSP-4 3d+ Atomoxetine, DSP-4 10d+ Atomoxetine, n=6). The specific experimental method
25 is as follows. C57BL/6J mice received intraperitoneal injection of DSP-4 (50 mg/Kg) or
26 saline (vehicle) 3 days before or 10 days before intraperitoneal injection of atomoxetine (20
27 mg/Kg) or saline (vehicle). One hour later midazolam was injected intraperitoneally for
28 anesthesia. The induction time and the emergence time were recorded separately. Here, we
29 verified the physiological effects of DSP-4 using immunohistochemistry.

30 ***2.6.2 Effect of microinjection of DSP-4 in bilateral LC on the reduced emergence time after***

1 ***midazolam anesthesia mediated by atomoxetine.***

2 10 days before the start of this part of the experiment, guide cannulas were implanted in
3 the bilateral LC of C57BL/6J mice, methods as above. DSP-4 (200 nl, 10 $\mu\text{g}/\mu\text{L}$) or saline
4 (vehicle) is injected into the LC through these guide cannulas using an Ultra Micro Pump
5 (160494 F10E, WPI). After 10 days, mice were administered intraperitoneal atomoxetine or
6 saline (vehicle) one hour before the intraperitoneal injection of midazolam for anesthesia. The
7 induction time and the emergence time after anesthesia were recorded for vehicle+vehicle,
8 vehicle+Atomoxetine, and DSP-4+ Atomoxetine groups of mice (n=6), respectively. Same as
9 above, completing the experiment, mice were perfused and their brains were sectioned, and
10 the number of TH+ cells in the DSP-4-treated group and the vehicle group were counted and
11 compared individually to investigate the effect of DSP-4 microinjection on noradrenergic
12 neurons in the bilateral LC.

13 ***2.6.3 Effect of lateral ventricle injection and intra-LC microinjection of GABAA receptor***
14 ***antagonist on the induction time and emergence time of midazolam anesthesia.***

15 Gabazine is one of the GABA(A) receptor antagonists. The experiment was performed in
16 the same batch of 8-week-old C57BL/6J mice 1 week after lateral ventricle cannula and
17 bilateral LC cannula implantation. Three minutes before intraperitoneal of the midazolam
18 (60mg/kg) for anesthesia, 2000nL of gabazine (2 $\mu\text{g}/\text{ml}$, 4 $\mu\text{g}/\text{ml}$, n=7) was injected into the
19 lateral ventricle cannula or the bilateral cannulas of LC. The induction time and emergence
20 time were recorded separately in each group. During the experiment, the calcium signals were
21 also recorded simultaneously.

22 ***2.6.4 Effect of lateral ventricle injection of adrenoceptor agonists and antagonists on the***
23 ***induction time and emergence time of midazolam anesthesia.***

24 The experiment was performed in the same batch of 8-week-old C57BL/6J mice 1 week
25 after lateral ventricle cannula implantation. The mice were administered agonists or
26 antagonists of different adrenoceptors through the lateral ventricle. Three minutes before
27 intraperitoneal of the midazolam (60mg/kg) for anesthesia, α 1-receptor agonist phenylephrine
28 (10mg/ml, 20 mg/ml, n = 8) or α 1-receptor antagonist prazosin (0.75 mg/ml, 1.5 mg/ml, n = 8)
29 or α 2-receptor agonist clonidine (0.75 mg/ml, 1.5 mg/ml, n = 9) or α 2-receptor yohimbine
30 (15 $\mu\text{mol}/\text{ml}$, 22.5 $\mu\text{mol}/\text{ml}$, 30 $\mu\text{mol}/\text{ml}$, n = 8) or β -receptor agonist isoprenaline (2 mg/ml, 4

1 mg/ml, n = 7) or β -receptor antagonist propranolol (2.5 mg/ml, 5mg/ml, n = 6) or vehicle
2 2000nL were administered via the lateral ventricle catheter. The anesthesia induction time and
3 emergence were recorded separately.

4 To further explore the interaction between the α 1-receptor and the β -receptor, we divided
5 the mice into four groups (vehicle + vehicle, phenylephrine, propranolol, phenylephrine +
6 propranolol). C57BL/6J mice received intracerebroventricular of phenylephrine (20mg/kg) or
7 propranolol (5mg/kg) or phenylephrine (20mg/kg) + propranolol (5mg/kg) or vehicle, and
8 three minutes later they were anesthetized by intraperitoneal of the midazolam (60mg/kg).
9 The anesthesia induction time and emergence were also recorded.

10 ***2.6.5 Effect of intra-LC microinjection of GABAA receptor antagonist and intra-vLPO*** 11 ***microinjection of α 1-receptor antagonist on the induction time and emergence time of*** 12 ***midazolam anesthesia.***

13 To investigate the interaction between the GABAergic and noradrenergic systems, we
14 divided the mice into four groups (vehicle + vehicle, Gabazine+vehicle, vehicle+Prazosin,
15 Gabazine+Prazosin). The experiment was performed 1week after LC or vLPO cannula
16 implantation. Gabazine, Prazosin, or vehicle is microinjected into the LC or vLPO through
17 these guide cannula. Three minutes later, the mice were intraperitoneally injected with
18 midazolam. The induction time and emergence time were recorded separately in each group.

19 **2.7 Chemogenetics**

20 Three weeks before the start of the experiment, chemogenetic viruses of
21 rAAV-EF1a-DIO-hM3D(Dq)-mCherry-WPREs under the promoter of Tyrosine
22 hydroxylase (TH) were microinjected into LC or vLPO, and TH-hM3Dq expression in the
23 LC and vLPO was determined by immunohistochemistry upon completion of chemogenetics
24 experiments. clozapine-N-oxide (CNO) was dissolved in saline, and the mice were injected
25 intraperitoneally with CNO 20 minutes after anesthesia with midazolam to activate neurons
26 using the chemogenetics approach. In this study, we tested two concentrations of CNO: 0.1
27 mg/Kg and 0.2 mg/Kg. We concluded that 0.2 mg/Kg was the optimal concentration and we
28 used this concentration for the subsequent intraperitoneal administration.

29 **2.8 Optogenetics**

30 Three weeks before the experiment began, LC or vLPO were microinjected with an

1 optogenetics virus of AAV-EF1a-DIO-hChR2(H134R)-eYFP under the promoter of
2 TH; 1 week before the experiment began, LC or vLPO were implanted with optical fibers.
3 TH-hChR2 expression in the LC and vLPO was determined by immunohistochemistry upon
4 completion of optogenetics experiments. Mice were anesthetized with midazolam, and 20
5 minutes later given 465nm blue light to activate neurons in LC or vLPO. In this study, we
6 used different parameters for optogenetic activation: 20min, 2mW; 20min, 4mW; 10min,
7 4mW; 20min, 4mW. We finally determined 20min, 4mW as the optimal optogenetic
8 activation parameter and applied this parameter in subsequent experiments.

9 **2.9 EEG recording and analysis**

10 After being put under anesthesia, the mice's head was shaved at the top, and the skin was
11 dissected to expose the skull after sterilization. Then four screws with wires were drilled in
12 the left and right of the fontanelle as well as in the left and right anterior of the posterior
13 fontanelle, respectively, and connected to the headstage where the electroencephalogram
14 (EEG) was recorded. This was followed by dental bone cement stabilization and the
15 application of erythromycin ointment to the operative region. Mice equipped with an EEG
16 headstage were housed individually for one week before being moved to the experimental
17 barrel, adapted to the recording cable, and the EEG activity of the mice was recorded using
18 the EEG monitor and software.

19 **2.10 Fiber photometry recording**

20 The experiment was performed 3 weeks after virus of
21 rAAV-DBH-GCaMP6m-WPRE-hGH pA (100 nl, viral titer $\geq 2.00E+12$ vg/ml, Brain VTA
22 Technology Co., Ltd., Wuhan, China) injection and 1week after optical fiber implantation.
23 Fluorescence emissions were recorded with a fiber photometry system (Inper, Hangzhou,
24 China, C11946) using a 488-nm diode laser. The calcium signals were recorded 30min prior
25 to induction when the mice were awake. Then the anesthesia induction was conducted with
26 midazolam (IP). The induction and emergence times were recorded simultaneously. After the
27 recovery of righting reflex, the experiment was terminated after 10mins of optical fiber
28 recording. The calcium signal intensity was expressed as $(\Delta F/F) = (F - F_0)/F_0$.

29 **2.11 Arousal Scoring**

30 During the maintenance of midazolam anesthesia, we used optogenetics as well as

1 chemogenetics to activate neural nuclei and circuits, and we performed arousal scoring on the
2 responses of the mice. The arousal score consists of three parts, and the scores of the three
3 parts are summed up as the final score. First, mice made subtle responses including whisker,
4 head, and leg movements, and we scored these activities according to their intensity, with the
5 absence of any activity rated as 0, slight activity rated as 1, and forceful activity rated as 2.
6 Next, mice were scored according to their recovery of righting reflex, always in a supine
7 position, rated as 0, and all four paws touching the bottom of the experimental barrel, rated as
8 2. Finally, the mice were scored according to their performance after RORR. Mice that were
9 no longer active after RORR were rated as 0, mice that crawled but could not lift their
10 abdomen off the bottom of the experimental barrel after RORR were rated as 1, and mice that
11 walked and separated their abdomen from the bottom of the experimental barrel were rated as

12 **2.12 Immunohistochemistry**

13 For immunohistochemistry analysis of the brain, mice were sacrificed after the
14 experiments and their brains were discreetly extracted from the skull. After being perfused
15 with 4% paraformaldehyde (PFA) in phosphate-buffered saline (PBS), the brains were
16 saturated in 30% sucrose for 24 hours. Then 35- μ m-thick coronal slices were cut with a
17 freezing microtome (CM30503, Leica Biosystems, Buffalo Grove, IL, USA). Frozen slices
18 were washed three times in PBS for 5min and incubated in a blocking solution containing
19 10% normal donkey serum (017-000-121, Jackson Immuno Research, West Grove, PA), 1%
20 bovine serum albumin (A2153, Sigma-Aldrich), and 0.3% Triton X-100 in PBS for 2 h at
21 room temperature. The sections were incubated with primary antibodies at 4°C overnight,
22 followed by incubation in a solution of secondary antibodies for 2 h at room temperature. The
23 primary antibodies used were rabbit anti-TH (1:1000; AB152, Merck-Millipore) or rabbit
24 anti-c-fos (1:1000 dilution, 2250T Rabbit mAb, Cell Signaling Technology, Danvers,
25 Massachusetts, USA), and the secondary antibodies used were donkey anti-rabbit Alexa 546
26 (1:1000; A10040, Thermo Fisher Scientific) or donkey anti-mouse Alexa 546 (1:1000;
27 A10036, Thermo Fisher Scientific, Waltham, MA, USA). After washing with PBS 15mins for
28 3 times, the brain slices were deposited on glass slides and incubated in DAPI solution at
29 room temperature for 7 minutes. Finally, an anti-fluorescence attenuating tablet was applied
30 to seal the slides. Confocal images were acquired using the Nikon A1 laser-scanning confocal
12

1 microscope (Nikon, Tokyo, Japan) and further processed using ImageJ (NIH, Baltimore,
2 MD).

3 **2.13 STATISTICAL ANALYSIS**

4 All the experimental data were reported as $\bar{x} \pm s$. GraphPad Prism (GraphPad Software, Inc.,
5 San Diego, CA, USA) and SPSS[®] (SPSS Software Inc., Chicago, IL, USA) were applied for
6 data display and statistical analysis. Before data analysis, all experimental data were subjected
7 to the Shapiro-Wilk normality test. Comparative analysis of the two groups: If the data was
8 normally distributed, Student's T-test, including independent sample T-test and paired sample
9 T-test, was utilized. If the data didn't fit the normal distribution, the Mann-Whitney U or
10 Wilcoxon signed-rank test was used. The Levene test was used to evaluate the homogeneity
11 of variances. After the data met the normal distribution and homogeneity of variances, a
12 one-way analysis of variance (ANOVA) followed by Bonferroni's multiple comparison test
13 was used for multiple comparisons. $P < 0.05$ was inferred as statistically significant.

14 **3 Results**

15 **3.1 Noradrenergic system is involved in the emergence from midazolam-induced anesthesia**

16 We first identified the optimal midazolam dose for anesthesia before starting the
17 following experiments. We recorded the number of mice in each group that reached the loss
18 of the right reflex (LORR) state caused by different doses of midazolam and finally found that
19 60 mg/Kg of midazolam could produce LORR in all mice (Figure S1). We applied this dose
20 as the induction and maintenance dose of anesthesia in the subsequent experiments.

21 To explore whether the noradrenergic system is involved in the emergence after
22 midazolam anesthesia, we analyzed the TH content and activity in the prosencephalon and
23 brainstem of mice individually (Figure S2A). In comparison to the vehicle, there was no
24 obvious difference in the corresponding TH levels in the prosencephalon and brainstem after
25 midazolam anesthesia. However, we found that after midazolam anesthesia, the difference in
26 TH content between the prosencephalon and brainstem was more significant ($p < 0.001$)
27 (Figure S2B). As for the TH activity, there was a significant difference between the
28 prosencephalon and brainstem in the normal condition ($p < 0.0001$); While after midazolam
29 anesthesia, TH activity in the brainstem was significantly reduced compared to the vehicle
30 ($p < 0.0001$), resulting in the disappearance of the difference in TH activity between the

1 prosencephalon and brainstem(Figure S2C). These data suggest that the noradrenergic system
2 is involved in midazolam anesthesia and that reduced TH activity in the brainstem may play a
3 key role in midazolam anesthesia.

4 To further investigate the role of norepinephrine in the emergence phase of
5 midazolam-induced anesthesia, we used the neurotoxin DSP-4 to disrupt the
6 norepinephrinergic transporter (Figure 1J), and atomoxetine to selectively inhibit
7 norepinephrine presynaptic uptake (Figure 1K). Compared with the vehicle, intraperitoneal
8 injection of DSP-4 caused a dose-dependent decrease in the number of TH+ cells in the LC,
9 with a substantial decrease 10 days after injection ($P<0.0001$, Figure 1H); In contrast,
10 intraperitoneal injection of atomoxetine resulted in a significant increase in the number of
11 TH+ cells in the LC ($P<0.01$, Figure 1I).

12 We found that compared with the vehicle, intraperitoneal atomoxetine (20 mg/kg)
13 prolonged the induction time of midazolam ($P<0.05$, Figure 1B) and shortened the emergence
14 time after anesthesia ($P<0.05$, Figure 1C); In contrast, intraperitoneal DSP-4 (50 mg/Kg)
15 shortened the induction time of midazolam ($P<0.05$, Figure 1D) and prolonged the emergence
16 time after anesthesia ($P<0.05$, Figure 1E). More importantly, our treatment of the atomoxetine
17 group with DSP-4 prior to midazolam anesthesia reversed the effects of prolonged induction
18 of anesthesia and shortened the emergence time after anesthesia induced by
19 atomoxetine($P<0.05$, $P<0.01$, Figure 1F, G). These data suggest that NE neurotransmitters are
20 involved in post-anesthesia arousal and that increasing NE levels shortens the emergence time
21 after anesthesia and, conversely, disruption of the noradrenergic system causes delayed
22 recovery after general anesthesia.

23 ***3.2 Intra-LC microinjection DSP-4 could reverse the effect of intraperitoneal injection of*** 24 ***the atomoxetine-induced promoting emergence from midazolam-induced anesthesia.***

25 Since LC is the largest nucleus in the brain that synthesizes and releases NE, after
26 verifying that NE neurotransmitters are involved in post-anesthesia arousal, we questioned:
27 does the activity of LC^{NE} neurons change after anesthesia with midazolam? Then, we used
28 C57BL/6J mice infected with GCaMP6 to observe changes in calcium signaling in LC^{NE}
29 neurons. We found that the $\Delta F/F$ peak of NE neurons in LC was significantly different
30 between the midazolam anesthesia maintenance phase and the other phases. Compared with

1 the wakefulness phase and the induction phase, the $\Delta F/F$ peak decreased during the
2 maintenance phase, while the $\Delta F/F$ peak increased significantly during the recovery phase
3 compared to the maintenance phase ($P < 0.05$, $P < 0.01$, Figure 2F). Also, the quantification of
4 c-fos(+)/TH (+) cells in LC with midazolam anesthesia was significantly less than these cells
5 in LC without midazolam anesthesia ($p < 0.01$, Figure 2I). These results showed that LC^{NE}
6 neurons were suppressed by midazolam anesthesia, and increased LC^{NE} neuronal activity
7 played an important role in the recovery phase of midazolam anesthesia.

8 To further explore the role of LC^{NE} neurons in recovery after midazolam-induced general
9 anesthesia, we intra-LC microinject with vehicle or DSP-4 10 days before intraperitoneal
10 injection of atomoxetine, and subsequently observed the induction time and the emergence
11 time of midazolam anesthesia (Figure S3A, B, C). Firstly, we verified that intra-LC
12 microinjected with DSP-4 (10 days before) resulted in a significant decrease in the number of
13 TH+ neurons in the LC ($P < 0.0001$, Figure S3H, I). Then, we found that compared with the
14 vehicle, microinjection of DSP-4 into LC (10 days before) resulted in a shorter induction time
15 ($P < 0.05$, Figure S3D) and longer emergence time ($P < 0.05$, Figure S3E). Similar to the
16 previous results of intervention in the atomoxetine group with DSP-4 prior to midazolam
17 anesthesia, microinjection of DSP-4 into the LC also reversed the effects of intraperitoneal
18 injection of atomoxetine-induced prolonged induction time ($P < 0.01$, Figure S3F) and
19 shortened emergence time of anesthesia ($P < 0.05$, Figure S3G).

20 By now, we have proved that LC^{NE} neurons are involved in the recovery phase after
21 midazolam anesthesia. We then considered whether artificial activation of LC^{NE} neurons
22 could modulate the induction time and the emergence time of midazolam anesthesia.

23 ***3.3 Optogenetic and chemogenetic activation of LC^{NE} neurons could promote emergence*** 24 ***from midazolam-induced anesthesia.***

25 Based on the results of calcium signal recording experiments, we found that LC^{NE}
26 neurons are involved in the induction and recovery phases of midazolam-induced anesthesia.
27 In addition, we found that increasing norepinephrine in LC^{NE} neurons by pharmacological
28 methods promoted midazolam-induced anesthesia emergence, and vice versa produced
29 inhibitory effects. We next used optogenetic and chemogenetic techniques to activate NE
30 neurons in LC to explore the effect of selective enhancement of NE neurotransmission on the

1 time of induction and emergence of midazolam anesthesia.

2 We observed changes in induction time and emergence time in midazolam-anesthetized
3 mice using different light parameters optogenetic stimulate left, right, or bilaterally LC^{NE}
4 neurons. We found no significant difference in induction time and emergence time in the
5 Photostimulation (20min 2mW, 10min 4mW) groups compared to the No light group (Figure
6 3D-G). In contrast, Photostimulation (20 min 4mW) had no significant effect on the induction
7 time of midazolam anesthesia but caused a reduction in the emergence time after anesthesia
8 ($P<0.05$, Figure 3I, J). In addition, compared with the vehicle, optogenetic activation of the
9 left, right, or bilateral LC did not have a significant effect on the induction time of midazolam
10 anesthesia (Figure 3H), but all could cause a shortening of the emergence time after
11 anesthesia ($P<0.05$, Figure 3I). Also, the quantification of c-fos(+)/TH(+) cells was
12 significantly more in the LC PS group than these cells in the no PS group ($p<0.0001$, Figure
13 3J).

14 Similar results were found in experiments using the chemogenetic method to activate
15 LC^{NE} neurons. Compared to the vehicle, there was no significant difference in the induction
16 time and emergence time in the intraperitoneal injection CNO (0.1mg/Kg) group ($P>0.05$,
17 Figure 4D-E), while intraperitoneal injection CNO (0.2mg/Kg) had no effect on the induction
18 time of anesthesia, but could shorten the emergence time after anesthesia ($P>0.05$, $P<0.05$,
19 Figure 4D-E). In addition, compared with the vehicle, intraperitoneal injection CNO
20 (0.2mg/Kg) to activate the left, right, or bilateral LC did not have a significant effect on the
21 induction time of midazolam anesthesia (Figure 4F) but could shorten the emergence time
22 after anesthesia ($P<0.05$, Figure 4G). Also, the quantification of c-fos(+)/TH(+) cells was
23 significantly more in the intraperitoneal injection CNO (0.2mg/Kg) group than these cells in
24 the no CNO group ($p<0.0001$, Figure 4H).

25 These data suggest that activating LC^{NE} neurons by optogenetics and chemogenetics
26 could promote emergence from midazolam-induced anesthesia.

27 ***3.4 $\alpha 1$ -R and β -R but not $\alpha 2$ -R are involved in the emergence of midazolam-induced*** 28 ***anesthesia***

29 It is well known that the noradrenergic system includes many receptors, so which
30 receptors are actually involved in midazolam-induced recovery after anesthesia? We explored

1 this by intracerebroventricular injection with different doses of α 1-R, α 2-R, and β -R agonists
2 and antagonists in C57 mice and observed differences in the induction and emergence time of
3 midazolam-induced anesthesia.

4 Compared with the vehicle, intracerebroventricular injection with
5 phenylephrine(20mg/mL), α 1-R agonist, could increase the induction time and promote the
6 emergence from midazolam-induced anesthesia ($P<0.05$), while intracerebroventricular
7 injection with phenylephrine (10mg/mL) had no significant effect ($P>0.05$, Figure 5B-C).
8 Intracerebroventricular injection with prazosin, α 1-R antagonist, could find the opposite effect.
9 Compared with the vehicle, intracerebroventricular injection with prazosin (0.75 mg/mL,
10 1.5 mg/mL) had no significant effect on the induction time of midazolam-induced anesthesia
11 ($P>0.05$). Intracerebroventricular injection with prazosin (0.75 mg/mL) had no significant
12 effect on the emergence time ($P>0.05$), while intracerebroventricular injection with prazosin
13 (1.5 mg/mL) inhibited the emergence from midazolam-induced anesthesia ($P<0.05$, Figure
14 5D-E).

15 As for the α 2-R agonists and antagonists, intracerebroventricular injection with clonidine
16 (0.75 mg/mL), α 2-R agonist, had no significant effect on the induction and emergence time of
17 midazolam-induced anesthesia ($P<0.05$). Intracerebroventricular injection with clonidine (1.5
18 mg/mL) could inhibit the emergence from anesthesia while having no significant effect on the
19 induction time of midazolam-induced anesthesia ($P<0.05$, $P>0.05$, Figure 5F-G).
20 Intracerebroventricular injection with yohimbine (15 μ mol/mL, 22,5 μ mol/mL, 30 μ mol/mL),
21 α 2-R antagonist, had no significant difference in the induction and emergence time of
22 midazolam-induced anesthesia compared with the vehicle ($P>0.05$, Figure 5H-I).

23 Next, we intracerebroventricular inject β -R agonist and antagonist in C57 mice to
24 explore the influence on the induction and emergence time of midazolam-induced anesthesia.
25 Compared with the vehicle, intracerebroventricular injection with isoprenaline (2mg/mL,
26 4mg/mL), β -R agonist, had no significant effect on the induction and emergence time of
27 midazolam-induced anesthesia ($P>0.05$, Figure 5J-K). Intracerebroventricular injection with
28 propranolol (2.5 mg/mL, 5 mg/mL), β -R antagonist, had no significant effect on the induction
29 time of anesthesia ($P>0.05$). Intracerebroventricular injection with propranolol (2.5 mg/mL)
30 had no significant effect on the emergence time ($P>0.05$), while intracerebroventricular

1 injection with propranolol (5 mg/mL) could inhibit the emergence from midazolam-induced
2 anesthesia ($P < 0.05$, Figure 5L-M).

3 These results indicate that the emergence from midazolam-induced anesthetic is mostly
4 mediated by $\alpha 1$ and β receptors, but not the $\alpha 2$ receptor. The interaction between the $\alpha 1$ and β
5 receptors was subsequently investigated in more detail through further experiments. With the
6 same experimental results as above, intracerebroventricular injection with phenylephrine
7 (20mg/mL) prolonged the induction time ($P < 0.05$) and significantly reduced the emergence
8 time from midazolam-induced anesthesia compared with the vehicle ($P < 0.01$), while
9 intracerebroventricular injection with propranolol (5mg/mL) had no significant effect on the
10 induction time ($P > 0.05$) but prolonged the emergence time ($P < 0.05$) by blocking the β
11 receptor. In addition, intracerebroventricular injection with phenylephrine (20mg/mL) to
12 activate the $\alpha 1$ receptor could reverse the effect of propranolol (5mg/mL)-induced increasing
13 the emergence time from midazolam-induced anesthesia ($P < 0.01$, Figure 5N-O).

14 ***3.5 Intracerebroventricular injection or intra-vLPO microinjection with $\alpha 1$ -R antagonist***
15 ***could reverse the effect of optogenetic or chemogenetic stimulate LC-induced promoting***
16 ***emergence from midazolam-induced anesthesia***

17 To further clarify the relationship between $\alpha 1$ -R and midazolam anesthesia, we injected
18 vehicle or prazosin into the lateral ventricles of C57BL/6J mice and subsequently, using
19 optogenetic or chemogenetic techniques to activate LC^{NE} neurons, measured and recorded
20 changes in EEG throughout midazolam anesthesia. In this part of the experiment, we divided
21 the mice into four groups: Vehicle+No PS/CNO, Vehicle+PS/CNO, Prazosin+No PS/CNO,
22 and Prazosin+PS/CNO. We found that in the Vehicle+No PS/CNO group, the group with
23 normal midazolam anesthesia, the activity of the cortical EEG was significantly decreased
24 during the maintenance phase of anesthesia compared with the wakefulness (Figure S5A,
25 Figure S6A), but neither intracerebroventricular injection of prazosin to antagonize $\alpha 1$ -R nor
26 activation of LC^{NE} neurons caused a statistical difference between each sub-groups ($P > 0.05$,
27 Figure S5, S6 B-F). These data suggest that intervening with $\alpha 1$ -R or LC^{NE} neurons could
28 promote recovery from midazolam anesthesia without affecting the depth of anesthesia. In
29 addition, we found that in both optogenetic and chemogenetic groups, midazolam anesthesia
30 alone without any intervention resulted in a decrease in Beta and Gamma wave amplitude

1 during the maintenance period of midazolam anesthesia compared to wakefulness (Figure S5,
2 Figure S6). These data suggest that the induction and maintenance periods of midazolam
3 anesthesia may cause a decrease in Beta and Gamma waves.

4 In the optogenetic experimental group, when midazolam anesthesia was administered
5 without any intervention, the Alpha wave decreased ($P<0.05$, Figure S5C) and the Gamma
6 wave increased ($P<0.05$, Figure S5F) during the recovery period compared with the
7 maintenance period of anesthesia; optogenetic activation of LC^{NE} caused an increase in the
8 Theta wave ($P<0.05$, Figure S5E). In the chemogenetic experimental group, when midazolam
9 anesthesia was administered without any intervention, the recovery period was associated
10 with decreased Alpha wave amplitude ($P<0.01$, Figure S6B) and increased Beta and Theta
11 wave amplitudes ($P<0.05$, Figure S6C; $P<0.01$, Figure S6F) compared to the maintenance
12 period of anesthesia; chemogenetic activation of LC caused an increase in Theta wave
13 amplitude ($P<0.001$, Figure S6F). Summarizing the data from the two experimental groups,
14 we suggested that the recovery period of midazolam anesthesia may result in a decrease in the
15 Alpha wave; and that activation of LCNE neurons may act by increasing the Theta wave.
16 However, we did not clarify which type of EEG waves are primarily targeted when Prazosin
17 is injected into the lateral ventricles.

18 Given that the vLPO is a crucial area in regulating sleep and arousal states, we were
19 interested in learning more about the role played by the noradrenergic system in the vLPO in
20 recovery from midazolam anesthesia. Since previous experiments we verified that the
21 NE-ergic system of LC does play a role, we speculate that there is an interaction between LC
22 and vLPO. We then conducted research to test this hypothesis.

23 Firstly, we intra-vLPO microinjected the retrograde nerve tracer CTB-555 to explore
24 whether there is a neural projection relationship between LC and vLPO. According to our
25 immunohistochemistry findings, LC^{NE} neurons might project into the vLPO, indicating that
26 there is an anatomical neural projection pathway from the LC to the vLPO (Figure S4).

27 Secondly, we optogenetic or chemogenetic activated the LC^{NE} neurons and then
28 observed changes in calcium signals in the vLPO^{NE} neurons to test whether altering the
29 activity state of LC^{NE} neurons would affect vLPO^{NE} neurons. Our results showed that
30 optogenetic or chemogenetic activation of LC^{NE} neurons led to an increase in the $\Delta F/F$ peak in

1 vLPO^{NE} neurons compared to the vehicle ($P < 0.05$, Figure 8K; $P < 0.01$, Figure 8N). As well,
2 the above interventions promote arousal after midazolam anesthesia ($P < 0.05$, Figure 8G-H).
3 These results imply that there is a close anatomical and functional connection between LC^{NE}
4 neurons and vLPO^{NE} neurons, and co-regulate the arousal after midazolam anesthesia.

5 Subsequently, based on the above experimental results demonstrating that it is the $\alpha 1$
6 and β receptors, but not the $\alpha 2$ receptor that was involved in midazolam-induced anesthesia,
7 we wanted to further explore which norepinephrine receptor on the vLPO^{NE} neurons act in the
8 LC-vLPO neural pathway. First, we intracerebroventricularly injected prazosin and activated
9 the LC to observe the effect on the induction time and emergence time of midazolam
10 anesthesia. We found that photostimulation or chemogenetic activation of LC^{NE} neurons could
11 promote arousal from anesthesia ($P < 0.05$, Figure 6E; $P < 0.05$, Figure 7E) while having no
12 significant effect on the induction time of midazolam-induced anesthesia ($P > 0.05$, Figure 6D;
13 $P > 0.05$, Figure 7D). Intracerebroventricularly injected prazosin could reverse the effect of
14 optogenetic or chemogenetic stimulation LC^{NE}-induced promoting emergence from
15 midazolam-induced anesthesia ($P < 0.01$, Figure 6E; $P < 0.01$, Figure 7E). Then, we intra-vLPO
16 microinjected prazosin to block the $\alpha 1$ -receptor on the vLPO^{NE} and activated the LC to
17 further observe the effect on the induction time and emergence time of midazolam anesthesia.
18 Similar to the above results, photostimulation or chemogenetic activation of LC^{NE} neurons
19 could promote arousal from anesthesia ($P < 0.05$, Figure 6H; $P < 0.01$, Figure 7H) while having
20 no significant effect on the induction time of midazolam-induced anesthesia ($P > 0.05$, Figure
21 6G; $P > 0.05$, Figure 7G). Intra-vLPO microinjected prazosin could significantly reverse the
22 effect of optogenetic or chemogenetic stimulation LC^{NE}-induced promoting emergence from
23 midazolam-induced anesthesia ($P < 0.01$, Figure 6H; $P < 0.001$, Figure 7H).

24 ***3.6 Activation of vLPO^{NE} neurons projected from LC significantly reduced the emergence*** 25 ***time from midazolam-induced anesthesia***

26 In the previous experiment, we verified the existence of the neural pathway from the LC
27 to the vLPO using the retrograde nerve tracer CTB-555. To further verify the existence of the
28 LC-vLPO neural pathway, we designed another paracrine experiment. We microinjected the
29 optogenetic virus hChR2 into the LC and we speculated that hChR2 would reach the vLPO^{NE}
30 neurons along the LC-vLPO neural pathway, achieving the effect that we could
20

1 photostimulate the vLPO^{NE} neurons without microinjecting the optogenetic virus into the
2 vLPO (Figure 9B-C).

3 Firstly, our immunohistochemical results showed that after LC microinjection of hChR2
4 (Figure 9D), there was co-expression of hChR2 and TH in vLPO (Figure 9E). Secondly, we
5 used blue light to activate vLPO^{NE} neurons to observe the effect on the induction time and
6 emergence time of midazolam-induced anesthesia. We found that there was no significant
7 difference in the induction time between the group with and without photostimulation in the
8 bilateral vLPO ($p>0.05$, Fig 9G). However, the emergence time of the vLPO photostimulation
9 group was shortened compared with the no photostimulation group ($p<0.05$, Fig 9H). Also,
10 the quantification of c-fos(+)/TH(+) cells was significantly more in the vLPO PS group than
11 these cells in the no PS group ($P<0.05$, Figure 9I). These data further support our hypothesis
12 that there is a noradrenergic neural projection between LC-vLPO and that activation of this
13 pathway could promote recovery from midazolam-induced anesthesia.

14 We further used the same principle to verify whether there was a neural projection from
15 vLPO^{NE} neurons to LC^{NE} neurons, so we intra-vLPO microinjected hChR2 (Figure 9J),
16 however we found that there was no co-expression of hChR2 and TH in the LC (Figure 9K).
17 This suggests that there may be only unidirectional neural projection between the LC and the
18 vLPO.

19 ***3.7 Optogenetic and chemogenetic activation of vLPO^{NE} neurons could promote emergence*** 20 ***from midazolam-induced anesthesia.***

21 In the previous experiments, we considered that activating LC^{NE} neurons individually
22 promotes recovery from midazolam anesthesia while destroying LC^{NE} neurons has the reverse
23 impact; There is a neural pathway from LC to vLPO which interacts with each other and may
24 work via $\alpha 1$ and β receptors.

25 Then, we investigated whether intervention vLPO individually would have an effect on
26 the recovery of midazolam-induced anesthesia. Here, we intra-vLPO microinjected with
27 optogenetic or chemogenetic virus, respectively, to activate vLPO^{NE} neurons by optogenetic
28 or chemogenetic techniques, and then observe the induction and emergence time of
29 midazolam-induced anesthesia (Figure 10A, F). We found that compared with the vehicle,
30 activation of vLPO^{NE} neurons individually could reduce the emergence time ($P<0.05$, Figure

1 10D, I), while having no significant effect on the induction time of midazolam-induced
2 anesthesia ($P>0.05$, Figure 10C, H). These data indicate that not only intervening LC^{NE}
3 neurons individually but intervening vLPO^{NE} neurons individually could influence the
4 recovery from anesthesia.

5 ***3.8 GABA-ergic and NE-ergic systems interact with each other, co-regulate the emergence*** 6 ***from midazolam-induced anesthesia***

7 Midazolam is a short-acting benzodiazepine CNS depressant that works by increasing
8 GABAergic neurotransmission at inhibitory synapses. In our experiments, we further
9 explored whether midazolam acts via the GABA receptor of the LC after intraperitoneal
10 injection.

11 We microinjected GCaMP6m into the LC in C57BL/6J mice and implanted optical fiber
12 to observe changes in LC calcium signaling after injection of gabazine, the GABA receptor
13 antagonist, into the lateral ventricle or LC. Compared with the vehicle, intracerebroventricular
14 injection with gabazine (4 μ g/mL) could increase the induction time and reduce the emergence
15 time ($P<0.05$), while intracerebroventricular injection with gabazine (2 μ g/mL) having no
16 significant effects ($P>0.05$, Figure 11D-E). In addition, during the induction time of
17 midazolam-induced anesthesia, intracerebroventricular injection with gabazine (4 μ g/mL)
18 could increase the $\Delta F/F$ peak in the LC ($P<0.05$), and the same results could be found during
19 the RORR of midazolam-induced anesthesia ($P<0.05$, Figure F-H).

20 To further explore whether midazolam acts via the GABA receptor of the LC, we
21 intra-LC microinjected gabazine to more specifically antagonize the GABA-Receptor and
22 then observed changes in the induction and emergence time of midazolam-induced anesthesia.
23 We found that the emergence time was reduced compared with the vehicle by intra-LC
24 microinjection of gabazine (4 μ g/mL, $P<0.05$, Figure 11K). Intra-LC microinjection gabazine
25 at the dose of 2 μ g/mL or 4 μ g/mL had no effect on the induction time ($P>0.05$, Figure 11J).

26 These data suggest that midazolam acts on GABA receptors in LC neurons to produce
27 the anesthetic effect. So, is there an interaction between the GABAergic system, and the
28 noradrenergic system of the LC-vLPO neural pathway, which had previously been
29 demonstrated to have a crucial role in midazolam-induced anesthesia? We further designed
30 the following experiment.

1 We microinjected gabazine into the LC to block the GABA-Receptor or vehicle as
2 control and chose prazosin to microinject into the vLPO to antagonize the α 1-Receptor or
3 vehicle as control, and then observed the effects of the above interventions on the induction
4 time and awakening time of midazolam anesthesia. Microinjection of gabazine into the LC
5 could reduce the emergence time ($P<0.05$), while microinjection of prazosin into the vLPO
6 could increase the emergence time ($P<0.05$), indicating that blocking the GABA-Receptor in
7 the LC promoted the recovery after midazolam-induced anesthesia while blocking the
8 α 1-Receptor in the vLPO could be detrimental to the recovery after anesthesia. In addition,
9 we found that microinjection of prazosin into the vLPO could significantly reverse the effect
10 of blocking the GABA-Receptor in the LC-induced promoting the recovery from anesthesia
11 ($P<0.0001$, Figure 12F). Also, microinjection of gabazine into the LC could increase the
12 induction time ($P<0.05$), while microinjection of prazosin into the vLPO could reverse this
13 effect ($P<0.01$, Figure 12E). These data suggested that GABA-ergic and NE-ergic systems
14 interact with each other, and co-regulate the recovery from midazolam-induced anesthesia.

15 **4 Discussion**

16 The study of the specific mechanisms of general anesthesia awakening and reversible
17 loss of consciousness during general anesthesia is a major focus in the field of anesthesiology.
18 Due to the complexity of the mechanisms, although initial progress has been made in the
19 study, it is still not well understood. Herein, we combined the immunostaining with fiber
20 photometry of calcium indicator to illustrate the reduction of LC^{NE} neuronal activity during
21 midazolam-induced anesthesia. Then, ICV injection of the GABA_A-R antagonist gabazine or
22 microinjection of gabazine into the LC prolonged the induction time and shortened the
23 emergence time of midazolam-induced anesthesia, which indicated that the midazolam might
24 initially function by acting on GABA_A-R in the LC. Moreover, through optogenetic and
25 chemogenetic methods, we found that activation of LC^{NE} neurons and VLPO neurons could
26 facilitate emergence from anesthesia. In addition, channelrhodopsin-2 (ChR2) can be
27 transmitted from LC to VLPO across synapses, which is consistent with the retrograde tracing
28 of CTB-555 injected into VLPO, together suggesting that LC directly projects to the VLPO.
29 Finally, ICV injection or intra-LC microinjection of agonists or antagonists of different
30 adrenergic receptors implied the involvement of α 1-R and β -R in the VLPO in the regulative

1 effect of LC^{NE} neurons on general anesthesia. In conclusion, we showed that the NEergic
2 neural circuit between the LC and the VLPO plays a modulatory role in midazolam-induced
3 intravenous general anesthesia.

4 The altered state of consciousness is attributed to the action of anesthetic drugs on
5 multiple molecular targets in the CNS, which has been the focus of research over the past few
6 decades. Different general anesthetics, including propofol, etomidate, isoflurane, sevoflurane,
7 and midazolam, are known to act on GABA_A-R²². The GABA_A-R is a ligand-gated Cl⁻
8 channel that mediates most of the fast inhibitory neurotransmission in the CNS and exerts a
9 crucial role in regulating brain excitability. Different GABA_A-R subtypes are constituted from
10 a family of 19 subunit genes, including α 1–6, β 1–3, γ 1–3, δ , ϵ , θ , π , and ρ 1–3, which
11 assemble to form a pentameric structure²³. The GABA_A-R has a GABA-binding receptor site
12 as well as some regulatory sites for binding of various substances, the best characterized
13 regulatory site is the benzodiazepine (BZ) one²⁴. Midazolam is a popular intravenous
14 anesthetic that acts as a positive allosteric modulator of this receptor. The drug interacts
15 highly specifically with the BZ binding site on the GABA_A-R complex, affecting the affinity
16 of GABA and GABA-binding receptor sites and causing the inward flow of chloride, thereby
17 reducing the excitability of the CNS. Midazolam, unlike other anesthetics, has been reported
18 to act exclusively on the BZ binding site of GABA_A-R, resulting in sedation, hypnosis, and
19 unconsciousness. Receptors containing the α 1, 2, 3, 5/ γ 1-3 subunits interface form the BZ
20 binding site of GABA_A-R, suggesting these subunits are important in midazolam-mediated
21 effects. In addition, in β 3-knockout mice, the duration of the LORR in response to midazolam
22 was reduced compared with the wild type, indicating a role for β 3-containing GABA_A-R in
23 mediating the midazolam-induced unconsciousness²⁵. According to our findings, midazolam
24 functioned by acting on GABA_A-R in the LC, which is consistent with previous studies.
25 Future studies of membrane proteins and ion channels in LC or non-LC^{NEergic} neurons are
26 needed to fully understand the molecular and neural circuit targets of intravenous anesthetics.

27 However, the understanding of the mechanisms of anesthesia is rapidly expanding from
28 molecular targets to sleep-arousal neural nuclei and circuits. General anesthesia has been
29 reported to have similar characteristics to NREM sleep, leading to a growing number of
30 theories that various general anesthetics may engage endogenous sleep-arousal neural circuits

1 to induce hypnosis and unconsciousness²⁶. Arousal in the brain depends on many areas that
2 can receive different signals simultaneously, among which NEergic, serotonergic,
3 dopaminergic, and histaminergic systems play a crucial role, and similarly, the regulation of
4 anesthetic awakening is also a complex regulatory process. The ascending reticular activating
5 system (ARAS) is responsible for arousal and controls the maintenance of wakefulness²⁷. It is
6 known that LC^{NE} neurons, an important component of the ARAS, play a key role in the
7 maintenance of arousal and alertness. Specifically, we propose that LC^{NE} neurons and their
8 related circuits are involved in modulating anesthetic arousal. Previous studies have shown
9 that optogenetic or pharmacological activation of LC^{NE} neurons facilitates arousal from
10 anesthetics-induced unconsciousness, supporting the role of these neurons in general
11 anesthesia. In this study, LC^{NE} neurons were activated by photostimulation to rapidly induce
12 the transition from anesthesia to awakening. As a key arousal node, the LC receives inputs
13 from arousal-related neurons and provides widespread NEergic innervation to the cerebral
14 cortex and other forebrain structures related to anesthesia and sleep, such as the VLPO. The
15 VLPO is important for sleep generation and contains multiple neuronal populations including
16 GABAergic and galaninergic neurons, which are thought to be sleep-active neurons²⁸.
17 Previous studies revealed the effect of the LC to VLPO pathway regulation of arousal and
18 found that LC^{NE} neurons synergistically promote arousal by activating wake-active neurons
19 and inhibiting sleep-active neurons in the VLPO simultaneously²¹. And the regulation of the
20 two types of neurons in the VLPO is mediated by different adrenergic receptors. Notably, the
21 VLPO also sends GABAergic inhibitory projections to several wake-promoting nuclei
22 throughout the neuroaxis, including the LC²⁹. That is, there exists a bidirectional projection
23 between LC and VLPO. Several studies reported that the activated VLPO releases GABA to
24 the LC, thereby inducing NREM sleep, and conversely, NE released from the activated LC
25 inhibits VLPO sleep-active neurons activity and promotes wakefulness³⁰. Interestingly, there
26 is another cluster of neurons that release glutamate, a class of neurons that promote arousal. In
27 our study, we first found that activation of VLPO^{NE} neurons projected from LC reduced the
28 emergence time of midazolam-induced anesthesia, suggesting that the VLPO^{NE} neurons are
29 arousal-active neurons. Therefore, the interactions of different neuronal populations within
30 the VLPO need to be further investigated.

1 The mechanism of general anesthetics may intersect with the other sleep-wake
2 regulatory neural circuits³¹. The neural networks, involved in the mechanism of general
3 anesthetics and sleep-wake, are comprised of the prefrontal cortex, basal forebrain, brainstem,
4 hypothalamus, and thalamus^{32,33}. Studies have shown that many other brain regions are
5 involved in general anesthesia³⁴. For example, activation of glutamatergic parabrachial
6 nucleus neurons accelerates arousal from sevoflurane anesthesia³⁵. And optogenetic activation
7 of dopaminergic neurons in the ventral tegmental area induces arousal from an unconscious,
8 anesthetized state³⁶. Innervation targets of the VLPO, such as tuberomammillary histaminergic
9 neurons and dorsal raphe serotonergic neurons, have also been reported to be involved in
10 anesthetic arousal^{37,38}. The interaction of neural networks in the brain may affect changes in
11 consciousness.

12 In conclusion, elucidating the dynamic changes of neural circuits in the brain during
13 midazolam administration may help to guide the timing of clinical dosing, develop novel
14 arousal-promoting drugs or methods, and prevent postoperative complications, which is of
15 great clinical value.

16 **Limitations**

17 There are also some limitations in our study. First, we used CTB-555, a neural retrograde
18 tracer, to test and establish the neural circuit between LC and VLPO. However, this method is
19 not highly specific. In the future, we will use more effective methods to trace and investigate
20 the direct synaptic connections from LC^{NE} neurons to the VLPO. Secondly, although we have
21 experimentally verified the role of α 1-R and β -R in anesthetic arousal, there are many
22 subtypes of β -R, such as β 1 and β 2 receptors, and the exact role of different subtypes of β -R
23 in anesthetic arousal remains to be further studied. Finally, in addition to the NEergic and
24 GABAergic systems, interactions between other neurotransmitters associated with
25 sleep-arousal in this neural circuit cannot be excluded, nor can synergistic effects with other
26 brain regions.

27 **Implications for the future works**

28 This study further expanded the brainstem ARAS affecting anesthetic arousal and the
29 mechanism of altered states of consciousness during general anesthesia. And because NEergic
30 system is closely associated with memory and emotion, our findings may provide some hints

1 for anesthesia management of patients with neuropsychiatric diseases or under treatments
2 targeting the NEergic system, as well as some anesthesia-related complications such as
3 postoperative cognitive dysfunction, agitation, delirium, and the development of malignant
4 memories. In addition, midazolam has anxiolytic, sedative, hypnotic, and anticonvulsant
5 effects. Depending on the dose, it produces effects of varying degrees of anxiolysis to loss of
6 consciousness³⁹. In addition to intravenous injection during anesthesia, midazolam can also be
7 given orally to treat insomnia, to ameliorate the preoperative anxiety of patients by
8 pre-anesthetic administration, to sedate the patients during diagnostic or therapeutic
9 procedures, and to treat seizures and status epilepticus^{40,41}. These effects of oral midazolam
10 have a more or less impact on consciousness, and whether the different doses causing
11 different effects affect distinct neural circuits needs to be further investigated. Our group will
12 also expand our research in these fields in the future.

13 **5. Acknowledgments**

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17 of Hang Zhou to HHZ. We thank YuDong Zhou and Yi Shen for their help in experimental
18 design.

19 **6. Declaration of Interests**

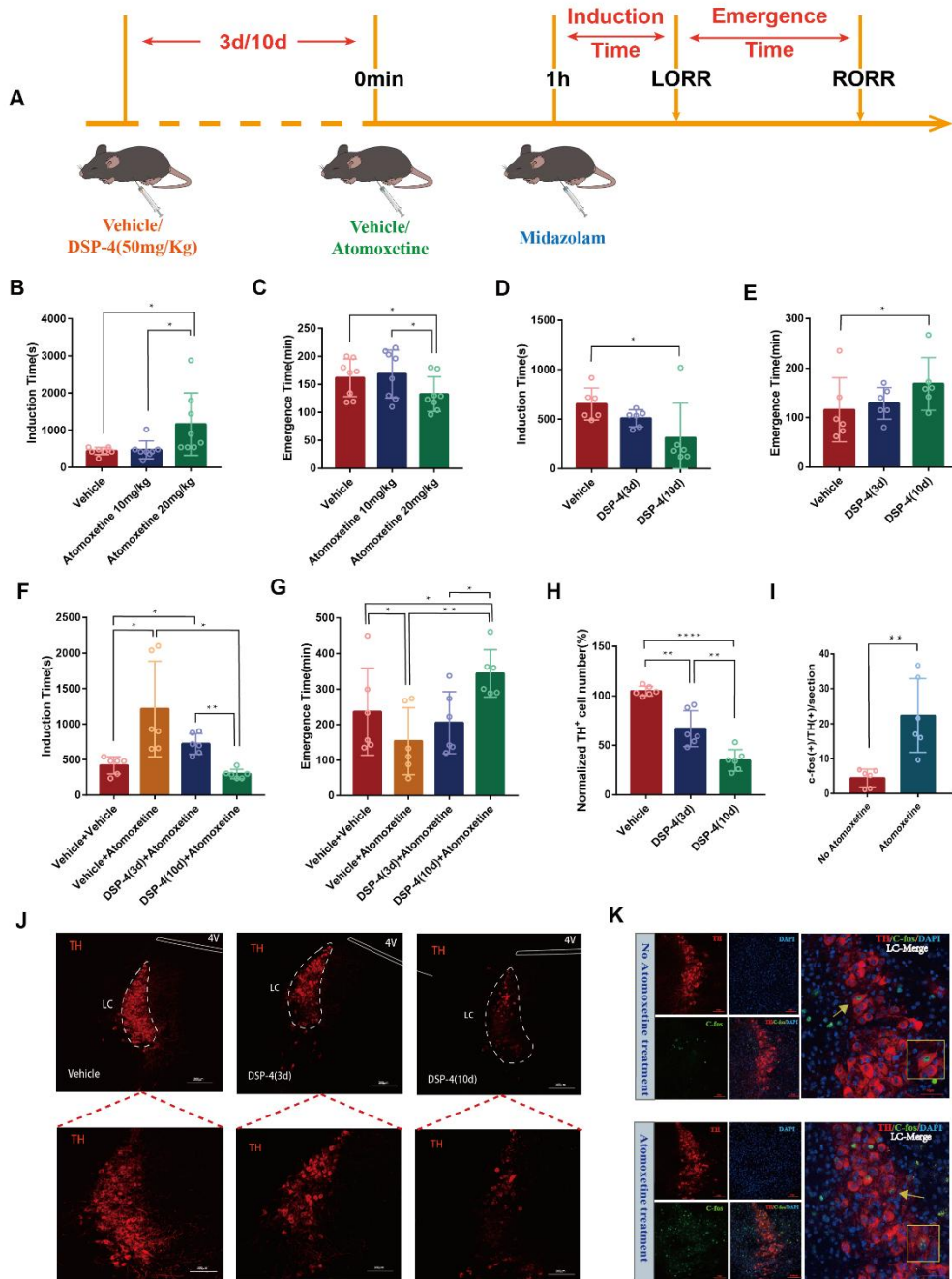
20 All authors declare no competing interests.

21 **7. Data Availability**

22 All data in this paper are available from the corresponding author upon reasonable request.

23 **8. Figure Legends**

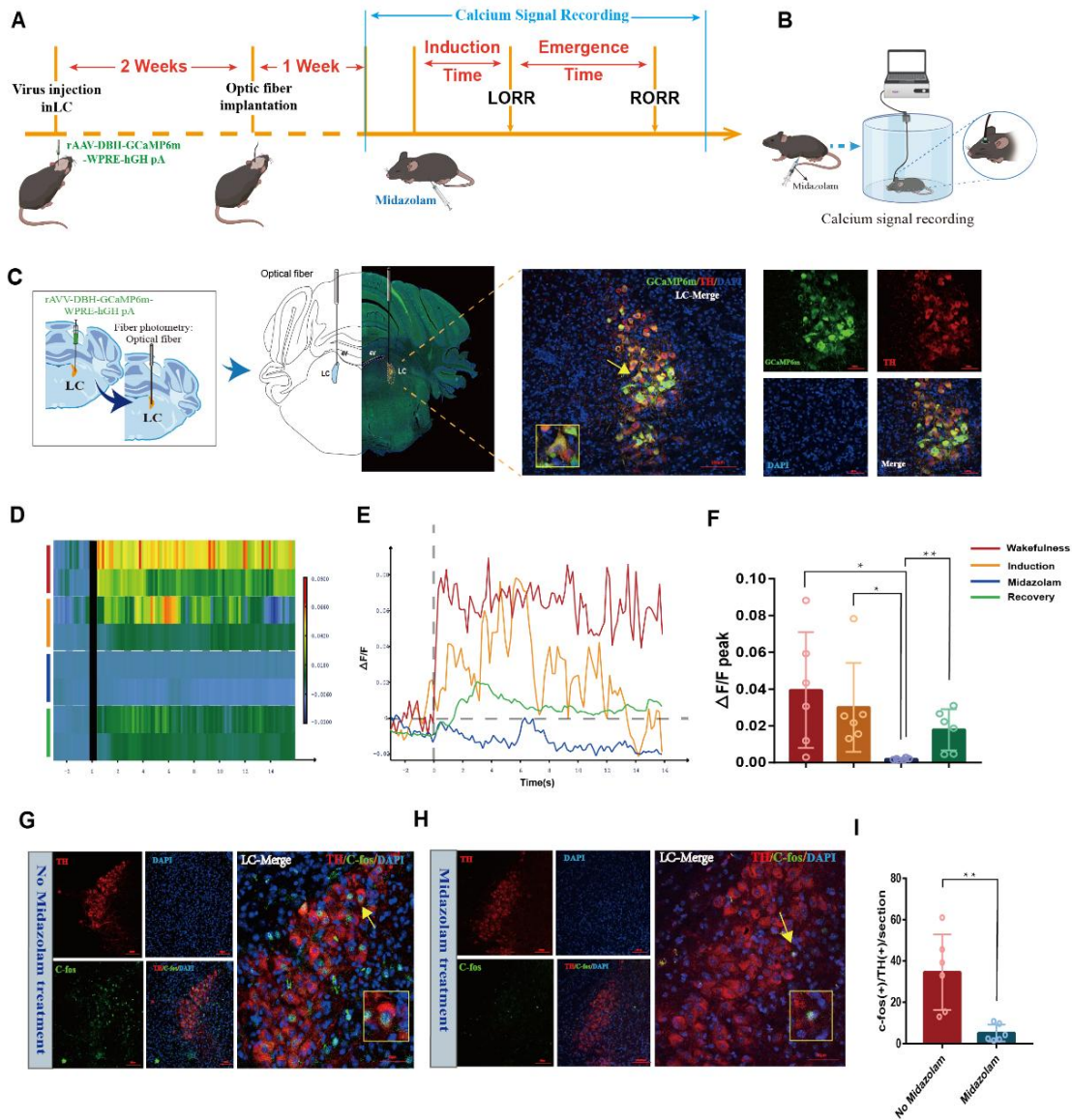
Figure 1



- 1 **Figure 1. Effects of intraperitoneal injection of DSP-4 on the emergence time of**
- 2 **midazolam-induced anesthesia after intraperitoneal injection of atomoxetine**
- 3 **A. Protocol for exploring the influence of intraperitoneal injection of DSP-4 on the**
- 4 **atomoxetine-mediated shortening of the emergence time after midazolam anesthesia.**

1 **B.** Compared with the vehicle, intraperitoneal atomoxetine (20 mg/kg) prolonged the
2 induction time of midazolam ($P<0.05$). **C.** Compared with the vehicle, intraperitoneal
3 atomoxetine (20 mg/kg) shortened the emergence time after anesthesia ($P<0.05$). **D.**
4 Compared with the vehicle, intraperitoneal DSP-4 (50 mg/Kg, 10 days before)
5 shortened the induction time of midazolam ($P<0.05$). **E.** Compared with the vehicle,
6 intraperitoneal DSP-4 (50 mg/Kg, 10 days before) prolonged the emergence time after
7 anesthesia ($P<0.05$). **F.** Intervention with DSP-4 (10 days before) prior to midazolam
8 anesthesia in the atomoxetine group reversed the effect of prolonged induction time
9 induced by atomoxetine ($P<0.05$). **G.** Intervention with DSP-4 (10 days before) prior
10 to midazolam anesthesia in the atomoxetine group reversed the effect of shortened
11 emergence time induced by atomoxetine ($P<0.01$). **H.** Compared with the vehicle,
12 intraperitoneal injection of DSP-4 caused a dose-dependent decrease in the number of
13 TH⁺ cells in the LC, with a substantial decrease 10 days after injection ($P<0.0001$). **I.**
14 Compared with the vehicle, intraperitoneal injection of atomoxetine resulted in a
15 significant increase in the number of TH⁺ cells in the LC ($P<0.01$). **J.** Changes of the
16 number of TH⁺ neurons in the LC after intraperitoneal injection of vehicle or DSP-4(3,
17 or 10 days before). **K.** Changes of the number of TH⁺ neurons in the LC after
18 intraperitoneal injection of vehicle or atomoxetine.

Figure 2



1 **Figure 2. Changes in NE neuron activity in LC during midazolam anesthesia**

2 **A.** Schematic diagram of the fiber optic recording of calcium signals. **B.** Schematic

3 diagram of the calcium signaling recording device. **C.** A representative

4 photomicrograph shows microinjection and optical fiber locations and the

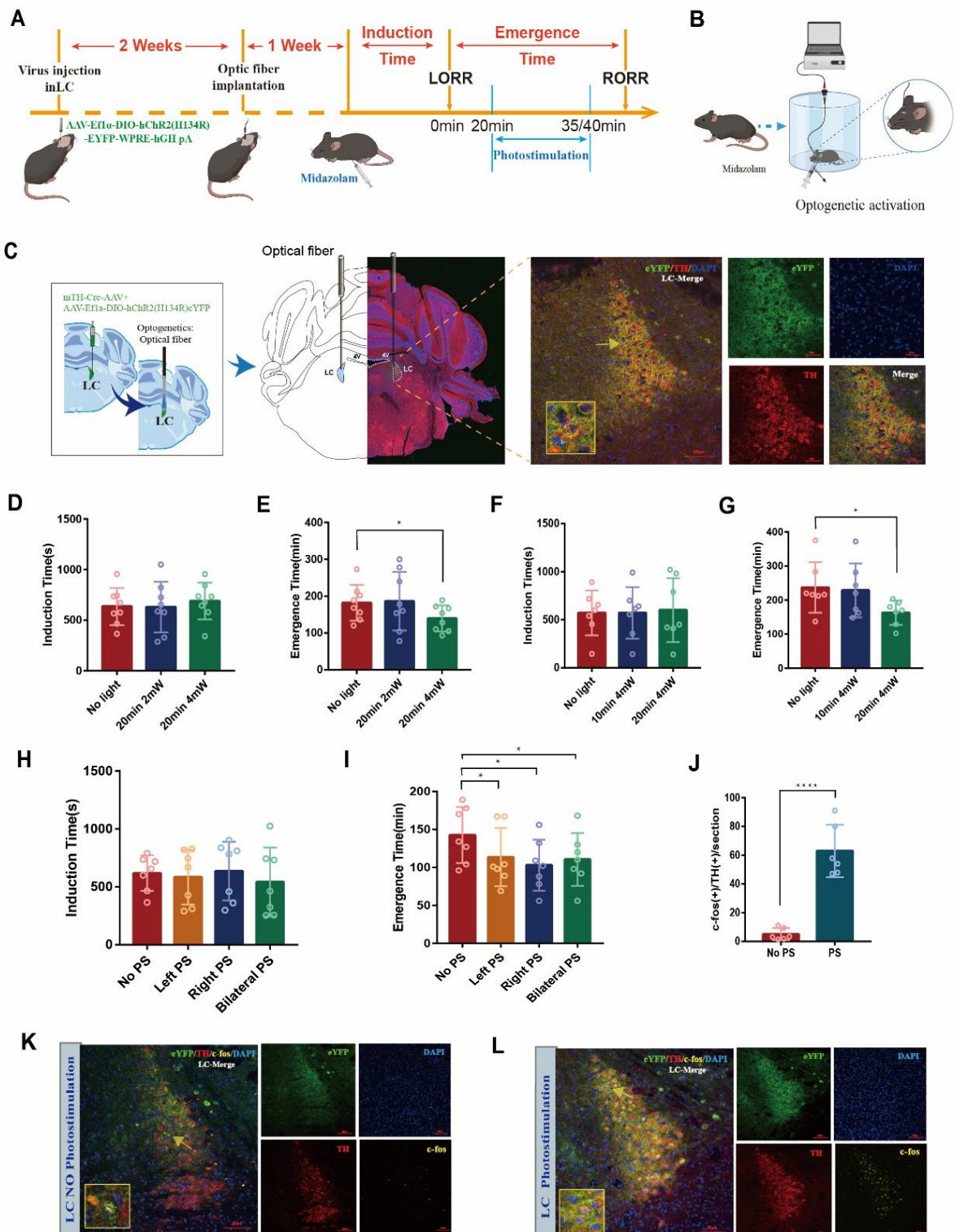
5 co-expression of GCaMP6s and TH. **D.** The heatmap of calcium signaling changes in

6 bilateral LC^{NE} neurons during midazolam anesthesia. **E.** The statistical diagram of

7 calcium signaling changes in bilateral LC^{NE} neurons during midazolam anesthesia. **F.**

1 Compared with the wakefulness phase and the induction phase, the peak $\Delta F/F$
2 decreased during the maintenance phase ($P < 0.05$), while the peak $\Delta F/F$ increased
3 significantly during the recovery phase compared to the maintenance phase ($P < 0.01$).
4 **G.** A representative photomicrograph shows the co-expression of c-fos and TH in LC
5 without midazolam anesthesia. **H.** A representative photomicrograph shows the
6 co-expression of c-fos and TH in LC with midazolam anesthesia. **I.** The quantification
7 of c-fos(+)/TH (+) cells in LC with midazolam anesthesia was significantly less than
8 these cells in LC without midazolam anesthesia ($p < 0.01$).

Figure 3

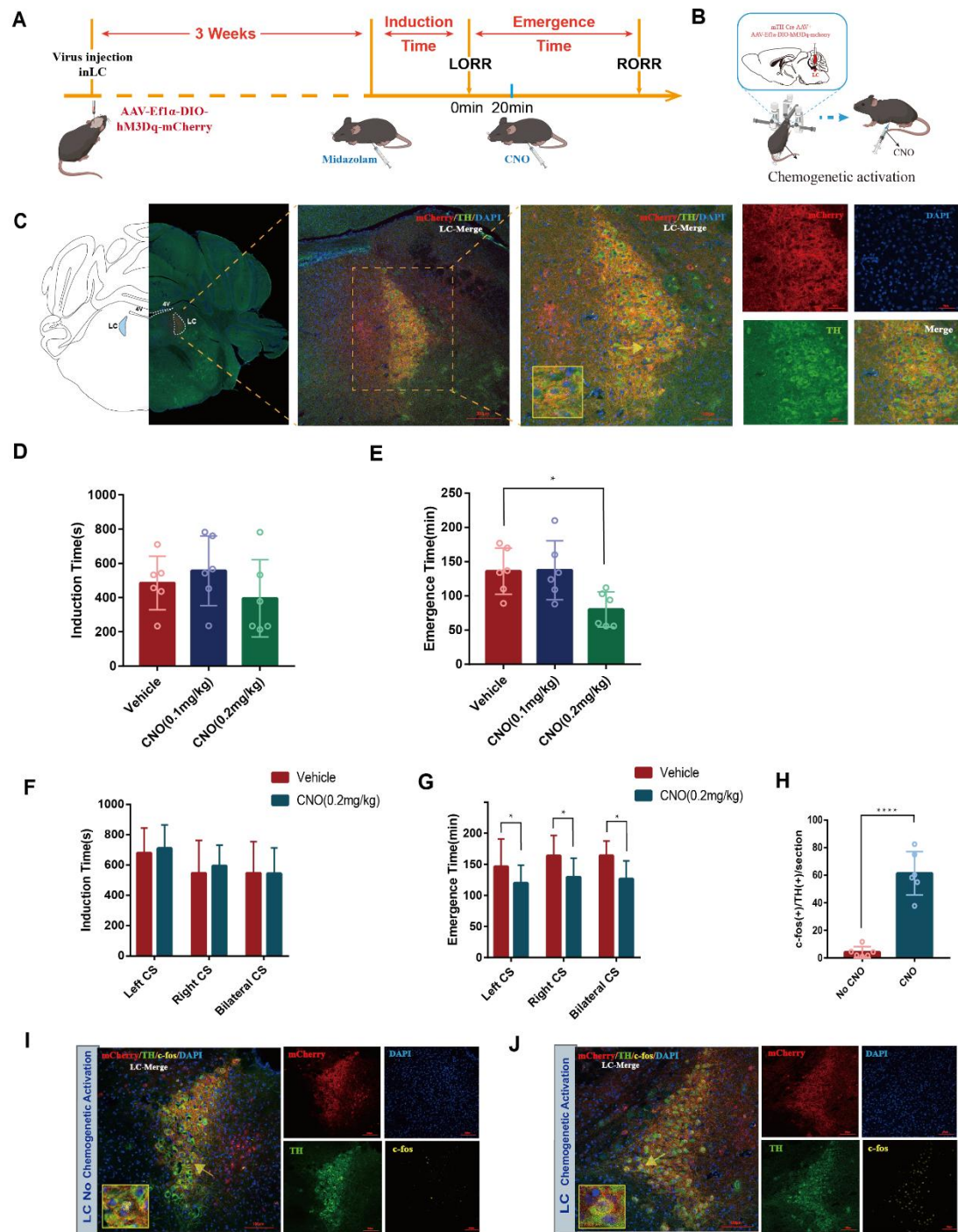


1 **Figure 3. Effects of optogenetic activation of LC^{NE} neurons on the induction and**
 2 **emergence time after midazolam-induced anesthesia.**

1 **A.** Schematic illustration of photostimulation LC^{NE} with different light parameters. **B.**
2 Schematic diagram of the photogenetic instrument. **C.** A representative
3 photomicrograph shows the locations of optical fiber and virus expression, and the
4 co-expression of hChR2 and TH. **D.** Compared with the No light group, there were no
5 significant differences in the induction time in the Photostimulation (20min 2mW,
6 20min 4mW) groups. **E.** Compared with the No light group, there was no significant
7 difference in the emergence time in the Photostimulation (20min 2mW) group, while
8 the emergence time was reduced by Photostimulation (20min 4mW) LC^{NE} neurons
9 ($P>0.05$, $P<0.05$). **F.** Compared with the No light group, there was no significant
10 difference in the induction time in the Photostimulation (10min 4mW, 20min 4mW)
11 groups. **G.** Compared with the No light group, there was no significant difference in
12 the emergence time in the Photostimulation (10min 4mW) group, while the
13 emergence time was reduced by Photostimulation (20min 4mW) LC^{NE} neurons
14 ($P>0.05$, $P<0.05$). **H.** Compared with the No light group, there was no significant
15 difference in the induction time in the left, right, or bilateral LC photostimulation
16 groups. **I.** The emergence time in the left, right, or bilateral LC photostimulation
17 groups were all reduced, compared with the No light group ($P<0.05$). **J.** The
18 quantification of c-fos(+)/TH (+) cells was significantly more in the LC PS group than
19 these cells in the no PS group ($p<0.0001$). **K.L.** A representative photomicrograph
20 shows the co-expression of c-fos and TH cells in LC with or without
21 photostimulation.

22

Figure 4



- 1 **Figure 4. Effects of chemogenetic activation of LC^{NE} neurons on the induction**
- 2 **and emergence time after midazolam-induced anesthesia.**
- 3 **A. Schematic illustration of chemogenetic activation LC^{NE} with different CNO doses.**

1 **B.** Schematic diagram of the chemogenetic instrument. **C.** A representative
2 photomicrograph shows the locations of chemogenetic virus expression, and the
3 co-expression of hM3Dq and TH in LC. **D.** Compared with the vehicle, there were no
4 significant differences in the induction time in the intraperitoneal injection CNO
5 (0.1mg/Kg, 0.2mg/Kg) groups. **E.** Compared with the No light group, there was no
6 significant difference in the emergence time in the intraperitoneal injection CNO
7 (0.1mg/Kg) group, while the emergence time was reduced by intraperitoneal injection
8 CNO (0.2mg/Kg) to chemogenetic activate LC^{NE} neurons ($P>0.05$, $P<0.05$). **F.**
9 Compared with the vehicle, intraperitoneal injection CNO (0.2mg/Kg) to activate the
10 left, right, or bilateral LC did not have a significant effect on the induction time of
11 midazolam anesthesia **G.** Compared with the vehicle, intraperitoneal injection CNO
12 (0.2mg/Kg) to activate the left, right, or bilateral LC could shorten the emergence
13 time after anesthesia ($P<0.05$). **H.** The quantification of c-fos(+)/TH(+) cells was
14 significantly more in the intraperitoneal injection CNO (0.2mg/Kg) group than these
15 cells in the no CNO group ($p<0.0001$). **I.J.** A representative photomicrograph shows
16 the co-expression of c-fos and TH cells in LC with or without intraperitoneal injection
17 CNO (0.2mg/Kg).

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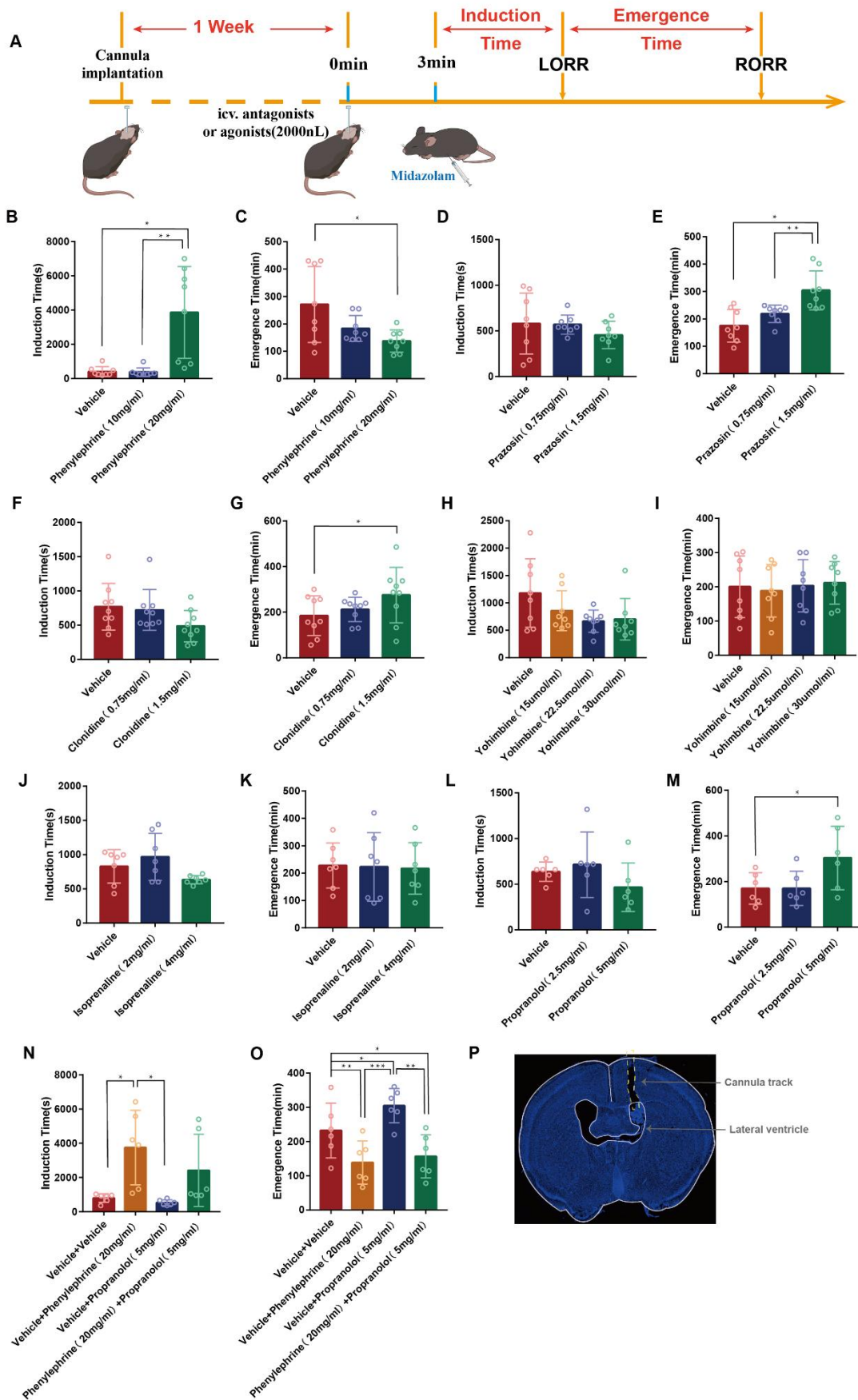
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Figure 5

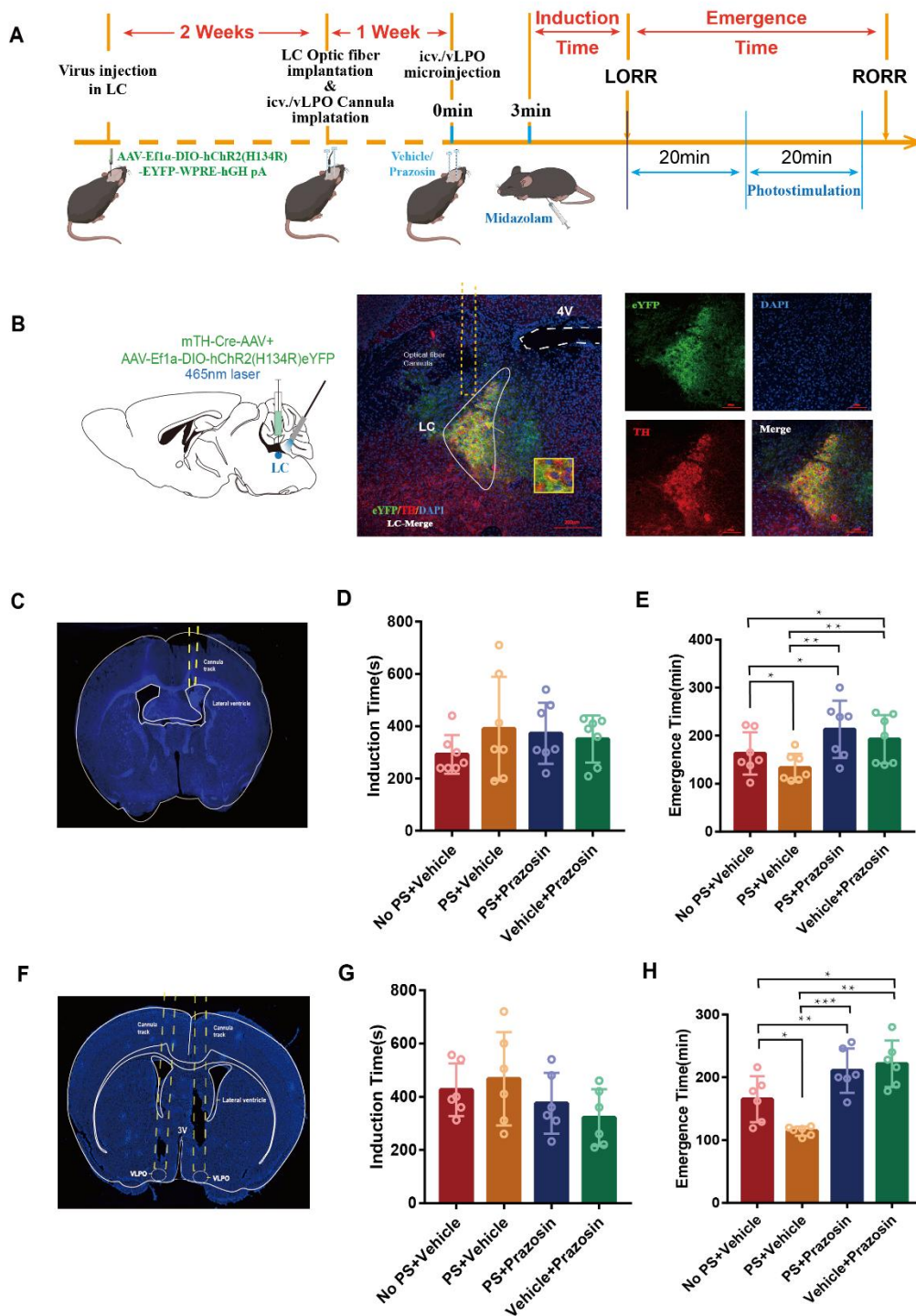


1 **Figure 5 Effects of intervening different noradrenergic receptors on the**
2 **induction time and emergence time of midazolam-induced anesthesia**

3 **A.** Schematic illustration of intracerebroventricular injection of different
4 noradrenergic receptor agonists and antagonists. **B.C.** Compared with the vehicle,
5 intracerebroventricular injection with phenylephrine(20mg/mL) could increase the
6 induction time and promote the emergence from midazolam-induced anesthesia
7 ($P<0.05$). **D.E.** Compared with the vehicle, intracerebroventricular injection with
8 prazosin (0.75 mg/mL, 1.5 mg/mL) had no significant effect on the induction time of
9 midazolam-induced anesthesia ($P>0.05$). Intracerebroventricular injection with
10 prazosin (1.5 mg/mL) inhibited the emergence from midazolam-induced anesthesia
11 ($P<0.05$). **F.G.** Intracerebroventricular injection with clonidine (1.5 mg/mL) could
12 inhibit the emergence from anesthesia while having no significant effect on the
13 induction time of midazolam-induced anesthesia ($P<0.05$, $P>0.05$). **H.I.**
14 Intracerebroventricular injection with yohimbine (15 $\mu\text{mol/mL}$, 22,5 $\mu\text{mol/mL}$,
15 30 $\mu\text{mol/mL}$) had no significant difference in the induction and emergence time of
16 midazolam-induced anesthesia compared with the vehicle ($P>0.05$). **J.K.** Compared
17 with the vehicle, intracerebroventricular injection with isoprenaline (2mg/mL,
18 4mg/mL) had no significant effect on the induction and emergence time of
19 midazolam-induced anesthesia ($P>0.05$). **L.M.** Intracerebroventricular injection with
20 propranolol (2.5 mg/mL, 5 mg/mL) had no significant effect on the induction time of
21 anesthesia ($P>0.05$). Intracerebroventricular injection with propranolol (5 mg/mL)
22 could inhibit the emergence from midazolam-induced anesthesia ($P<0.05$). **N.O.**

1 Intracerebroventricular injection with phenylephrine (20mg/mL) could reverse the
2 effect of propranolol (5mg/mL)-induced increasing the emergence time from
3 midazolam-induced anesthesia ($P < 0.01$). **P.** The representative photomicrograph
4 shows the tracks of cannulas implanted into the lateral ventricle.

Figure 6



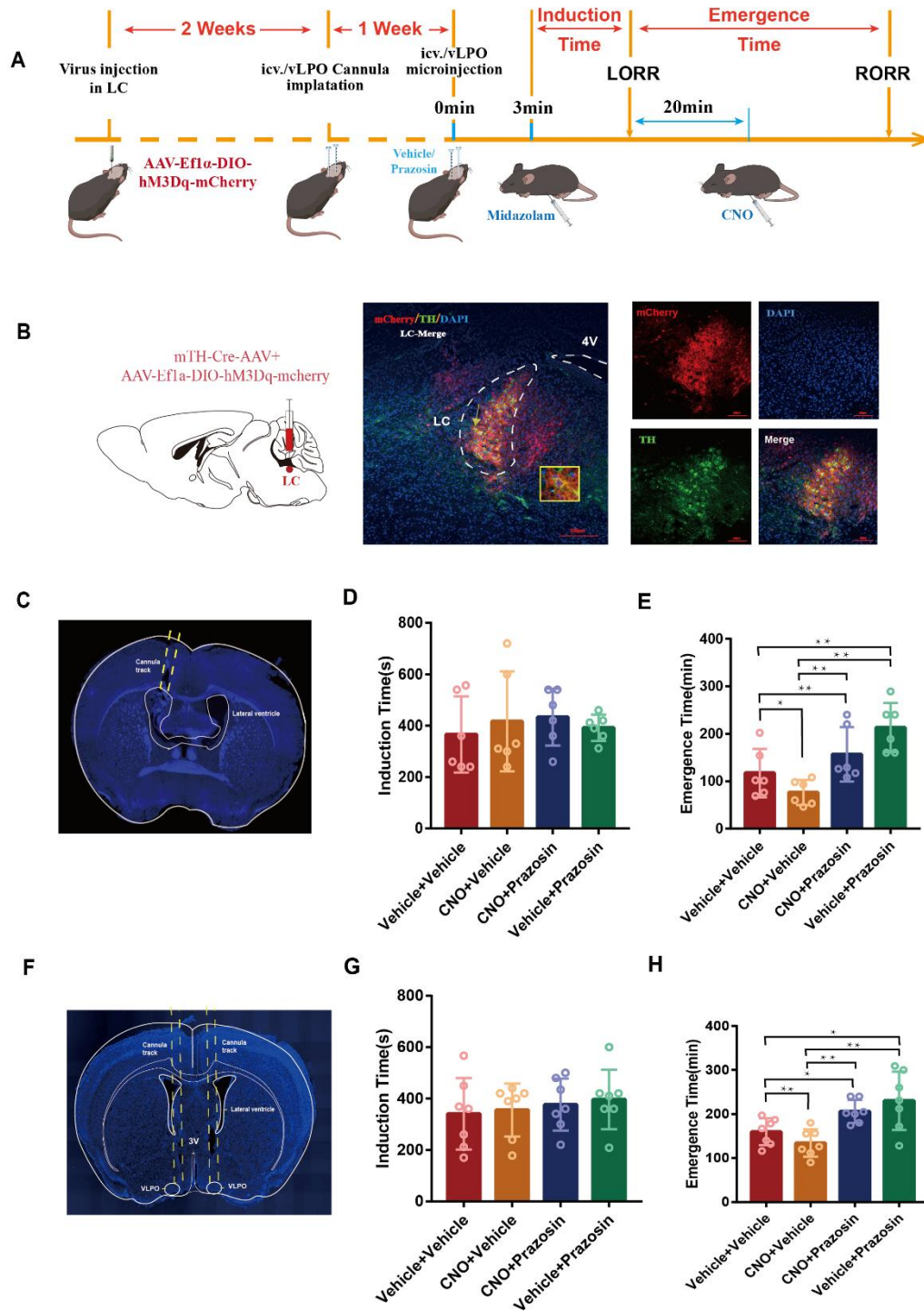
1 **Figure 6 Effects of Intracerebroventricular injection or intra-vLPO**
 2 **microinjection with α 1-R antagonist with photostimulation LC^{NE} neurons on the**
 3 **induction and emergence time of midazolam-induced anesthesia**

1 **A.** Protocol for photostimulation of LC^{NE} neurons and intracerebroventricular
2 injection or intra-vLPO microinjection with α 1-R antagonist. **B.** A representative
3 photomicrograph shows microinjection and optical fiber locations the co-expression
4 of hChR2 and TH in LC. **C.** A representative photomicrograph shows the tracks of
5 cannulas implanted into the lateral ventricle. **D.** Compared with the vehicle,
6 photostimulation LC^{NE} neurons or intracerebroventricular injection with prazosin or
7 photostimulation LC^{NE} neurons+ intracerebroventricular injection with prazosin had
8 no significant effect on the induction time of midazolam-induced anesthesia ($P>0.05$).
9 **E.** Intracerebroventricular injected prazosin could reverse the effect of optogenetic
10 stimulation LC^{NE}-induced promoting emergence from midazolam-induced anesthesia
11 ($P<0.01$). **F.** A representative photomicrograph shows the tracks of cannulas
12 implanted into bilateral vLPO. **G.** Compared with the vehicle, photostimulation LC^{NE}
13 neurons or intra-vLPO microinjection with prazosin or photostimulation LC^{NE}
14 neurons+intra-vLPO microinjection with prazosin had no significant effect on the
15 induction time of midazolam-induced anesthesia ($P>0.05$). **H.** Intra-vLPO
16 microinjected prazosin could reverse the effect of optogenetic stimulation
17 LC^{NE}-induced promoting emergence from midazolam-induced anesthesia ($P<0.001$).

18

19

Figure 7



- 1 **Figure7** Effects of Intracerebroventricular injection or intra-vLPO
- 2 microinjection with $\alpha 1$ -R antagonist with chemogenetic activation of LC^{NE}
- 3 neurons on the induction and emergence time of midazolam-induced anesthesia

1 **A.** Protocol for chemogenetic activation of LC^{NE} neurons and intracerebroventricular
2 injection or intra-vLPO microinjection with α 1-R antagonist. **B.** A representative
3 photomicrograph shows the microinjection location and the co-expression of hM3Dq
4 and TH in LC. **C.** A representative photomicrograph shows the tracks of cannulas
5 implanted into the lateral ventricle. **D.** Compared with the vehicle, chemogenetic
6 activation LC^{NE} neurons or intracerebroventricular injection with prazosin or
7 chemogenetic activation LC^{NE} neurons+ intracerebroventricular injection with
8 prazosin had no significant effect on the induction time of midazolam-induced
9 anesthesia ($P>0.05$). **E.** Intracerebroventricular injected prazosin could reverse the
10 effect of chemogenetic stimulation LC^{NE}-induced promoting emergence from
11 midazolam-induced anesthesia ($P<0.01$). **F.** A representative photomicrograph shows
12 the tracks of cannulas implanted into bilateral vLPO. **G.** Compared with the vehicle,
13 chemogenetic activation LC^{NE} neurons or intra-vLPO microinjection with prazosin or
14 chemogenetic activation LC^{NE} neurons+intra-vLPO microinjection with prazosin had
15 no significant effect on the induction time of midazolam-induced anesthesia ($P>0.05$).
16 **H.** Intra-vLPO microinjected prazosin could reverse the effect of chemogenetic
17 stimulation LC^{NE}-induced promoting emergence from midazolam-induced anesthesia
18 ($P<0.01$).

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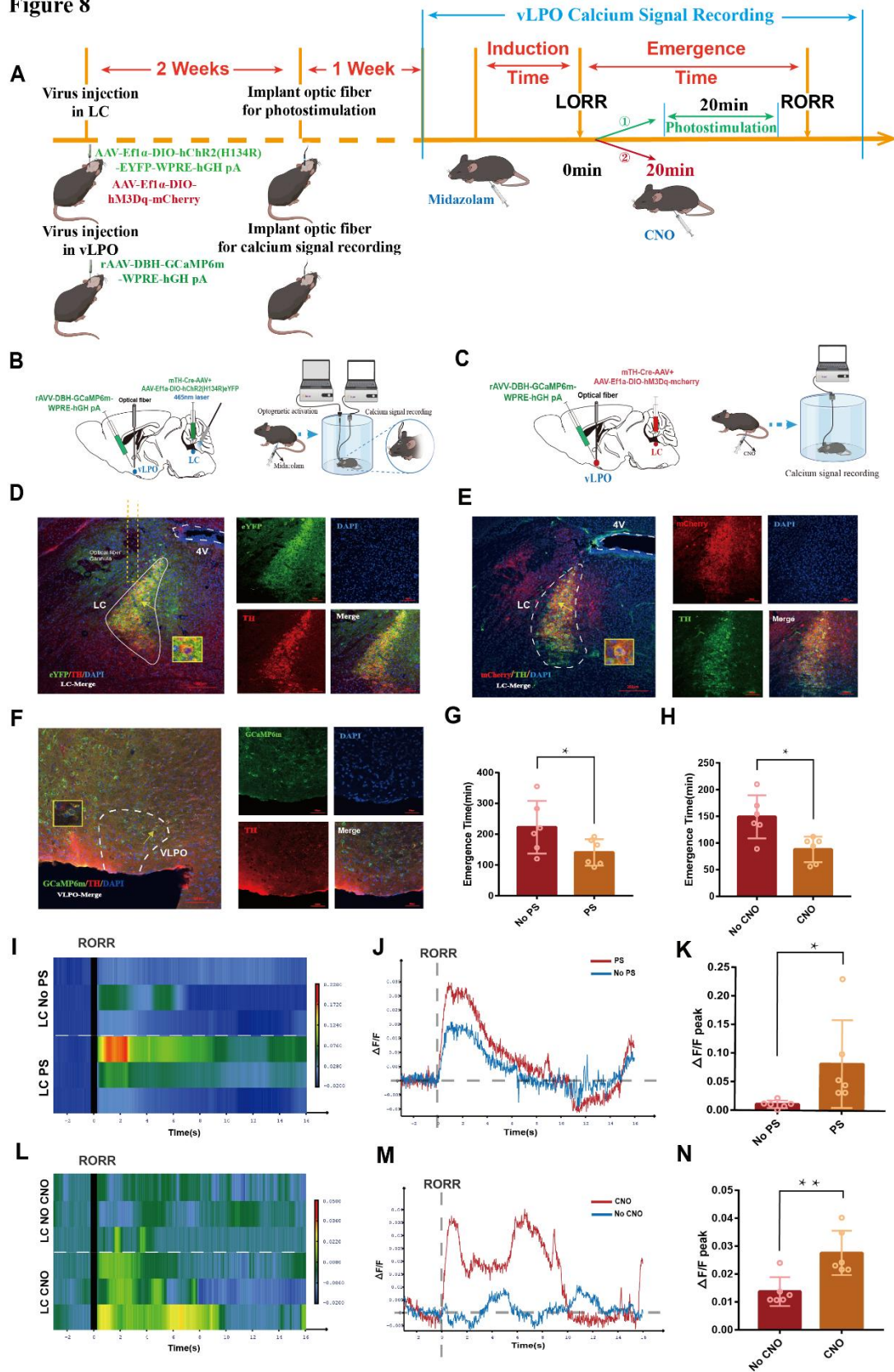
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Figure 8



2 **Figure 8 Effects of optogenetic or chemogenetic activation LC^{NE} neurons on the**

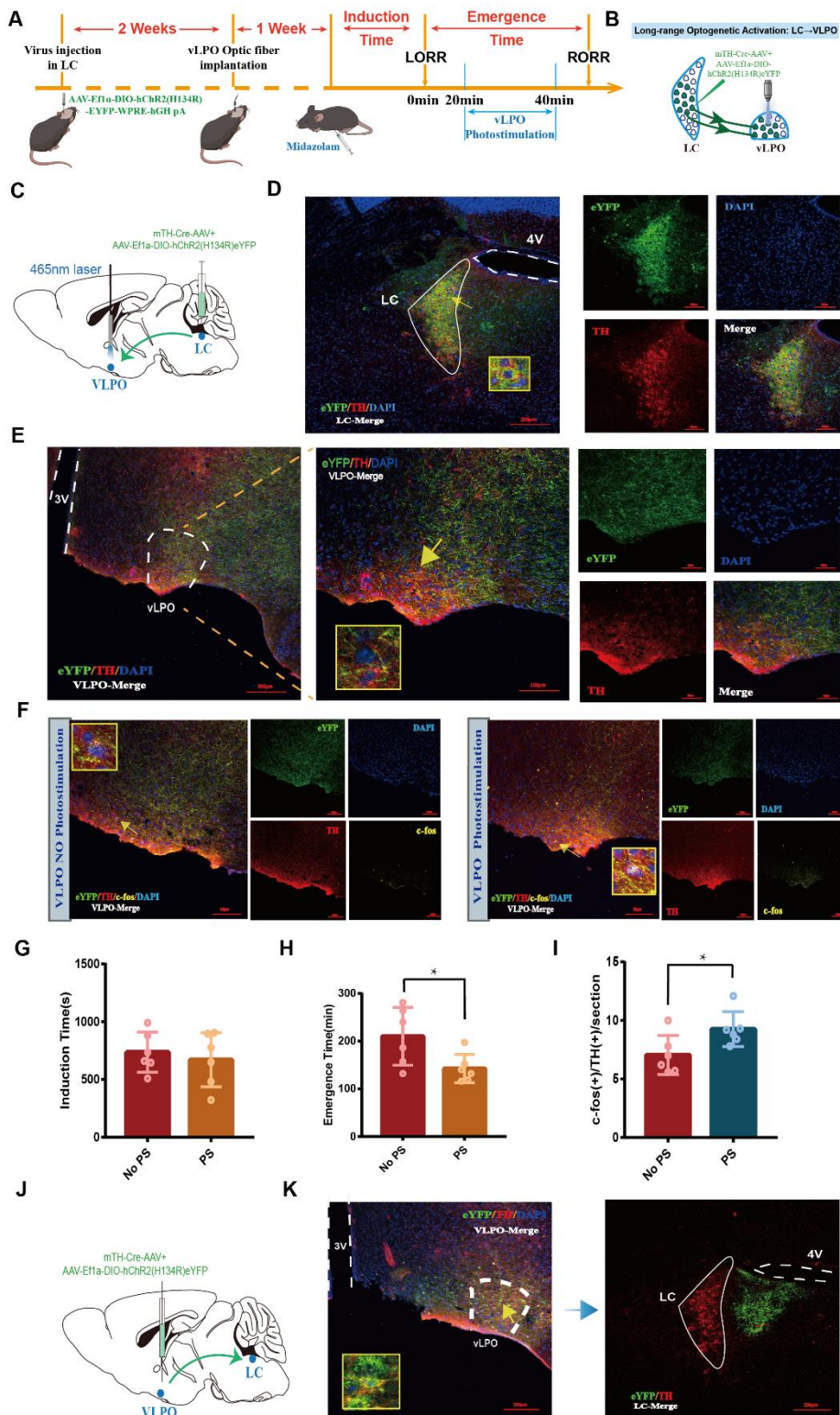
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1 **calcium signaling changes in vLPO^{NE} neurons**

2 **A.** Schematic illustration of optogenetic or chemogenetic activation LC^{NE} neurons and
3 recording calcium signaling changes in vLPO^{NE} neurons. **B.** Schematic diagram of the
4 location of optogenetic stimulation and calcium signaling recording virus injection
5 sites, and the device of optogenetic stimulation and calcium signaling recording. **C.**
6 Schematic diagram of the location of chemogenetic stimulation and calcium signaling
7 recording virus injection sites, and the device of chemogenetic stimulation and
8 calcium signaling recording. **D.** A representative photomicrograph shows the
9 co-expression of hChR2 and TH in LC. **E.** A representative photomicrograph shows
10 the co-expression of hM3Dq and TH in LC. **F.** A representative photomicrograph
11 shows the co-expression of GCaMP6s and TH in vLPO. **G.H.** Compared with the
12 vehicle, optogenetic or chemogenetic activation of LC^{NE} neurons promoted arousal
13 after midazolam anesthesia ($P < 0.05$). **I.** The heatmap of calcium signaling changes in
14 bilateral vLPO^{NE} neurons during midazolam anesthesia with or without
15 photostimulation LC^{NE} neurons. **J.** The statistical diagram of calcium signaling
16 changes in bilateral vLPO^{NE} neurons during midazolam anesthesia with or without
17 photostimulation LC^{NE} neurons. **K.** Optogenetic activation of LC^{NE} neurons led to an
18 increase in the $\Delta F/F$ peak in vLPO^{NE} neurons compared to the vehicle ($P < 0.05$). **L.**
19 The heatmap of calcium signaling changes in bilateral vLPO^{NE} neurons during
20 midazolam anesthesia with or without chemogenetic stimulation LC^{NE} neurons. **M.**
21 The statistical diagram of calcium signaling changes in bilateral vLPO^{NE} neurons
22 during midazolam anesthesia with or without chemogenetic stimulation LC^{NE} neurons.

- 1 N. Chemogenetic activation of LC^{NE} neurons led to an increase in the $\Delta F/F$ peak in
- 2 vLPO^{NE} neurons compared to the vehicle ($P < 0.01$).

Figure 9



- 3 **Figure 9** Effects of photostimulation vLPO^{NE} neurons on the emergence time of

1 **midazolam-induced anesthesia after intra-LC microinjection of optogenetic virus**

2 **A.** Schematic illustration of intra-LC microinjection of virus and optogenetic

3 activation vLPO^{NE} neurons. **B.** Schematic illustration of from the LC to the vLPO

4 long-range optogenetic activation. **C.** Schematic illustration of the location of

5 optogenetic virus microinjection and optic fiber implantation. **D.** A representative

6 photomicrograph shows the co-expression of hChR2 and TH in the LC. **E.** A

7 representative photomicrograph shows the co-expression of hChR2 and TH in the

8 vLPO. **F.** Representative images staining for c-fos, TH, and hChR2 in the vLPO

9 with or without photostimulation of the vLPO. **G.** There was no significant difference

10 in the induction time between the group with and without photostimulation in the

11 bilateral vLPO ($p>0.05$). **H.** The emergence time of the vLPO photostimulation group

12 was shortened compared with the no photostimulation group ($p<0.05$). **I.** The

13 quantification of c-fos(+)/TH(+) cells was significantly more in the vLPO PS group

14 than these cells in the no PS group ($P<0.05$). **J.** Schematic illustration of the location

15 of optogenetic virus microinjection. **K.** The representative photomicrograph showed

16 that hChR2 and TH co-expressed in the vLPO (left) while there was no co-expression

17 of hChR2 and TH in the LC (right).

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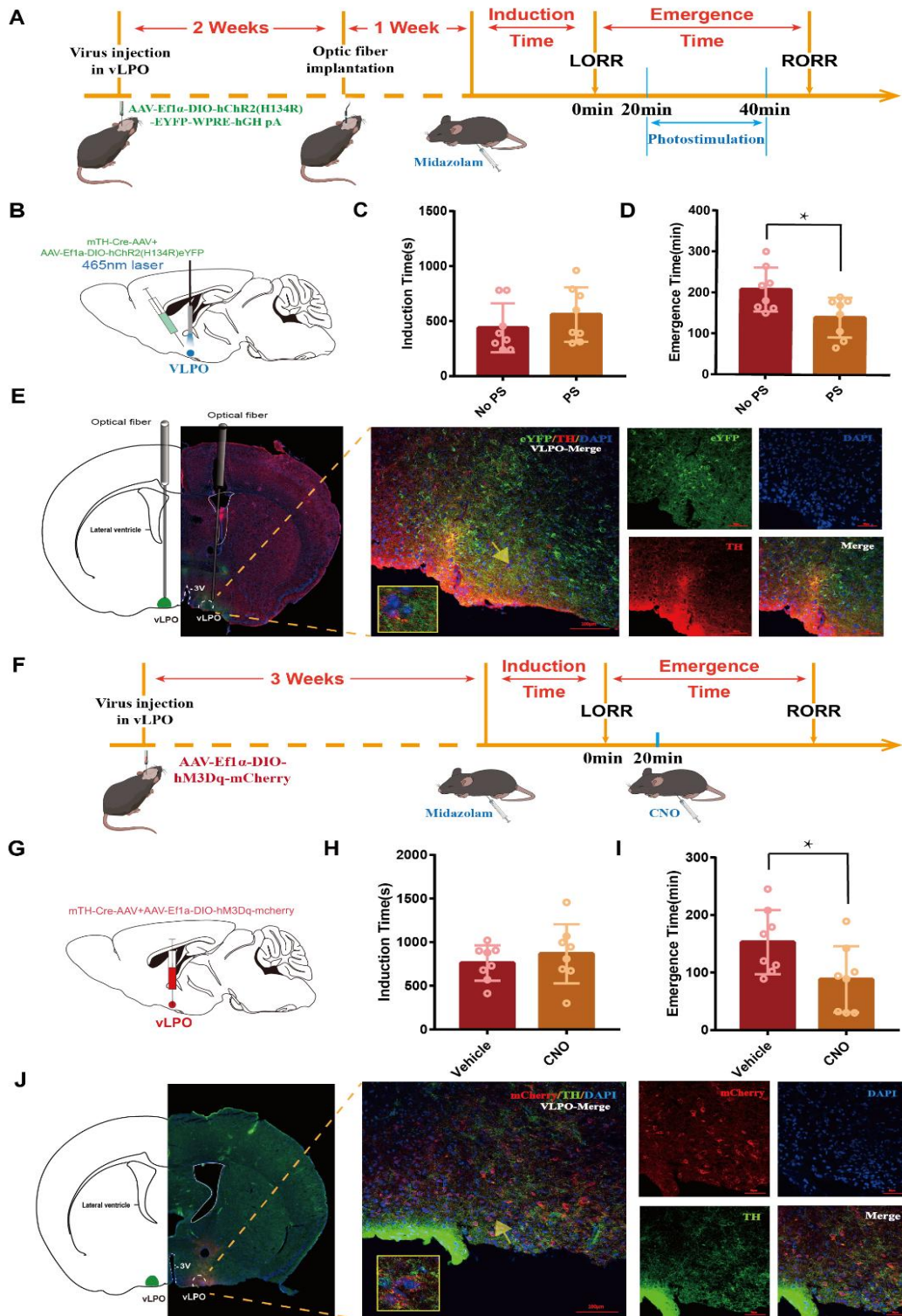
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Figure 10



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2 **Figure 10 Effects of optogenetic or chemogenetic activation vLPO^{NE} neurons on**
3 **the induction and emergence time of midazolam-induced anesthesia**

4 **A.F.** Protocols for exploring the influence of optogenetic or chemogenetic activation of
5 vLPO^{NE} neurons on the induction and emergence time of midazolam-induced
6 anesthesia. **B.G.** Schematic illustration of the location of optogenetic or chemogenetic
7 virus microinjection and the location of optic fiber implantation. **C.H.** There was no
8 significant difference between the vehicle and the optogenetic or chemogenetic
9 activation groups ($P>0.05$). **D.I.** Compared with the vehicle, activation of vLPO^{NE}
10 neurons by optogenetic or chemogenetic methods could reduce the emergence time
11 ($P<0.05$). **E.** The representative photomicrograph shows the co-expression of hChR2
12 and TH in the vLPO. **J.** The representative photomicrograph shows the co-expression
13 of hM3Dq and TH in the vLPO.

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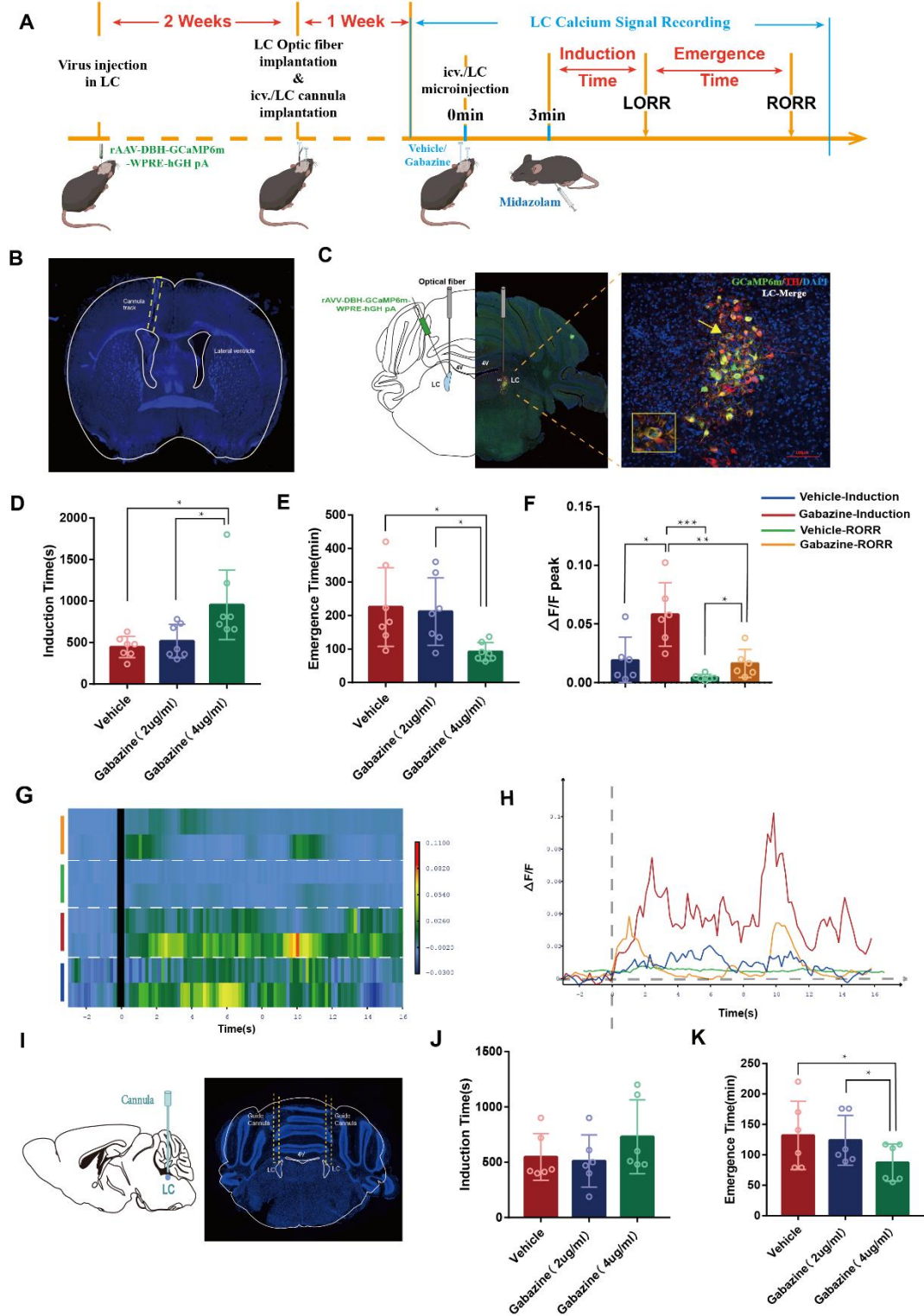
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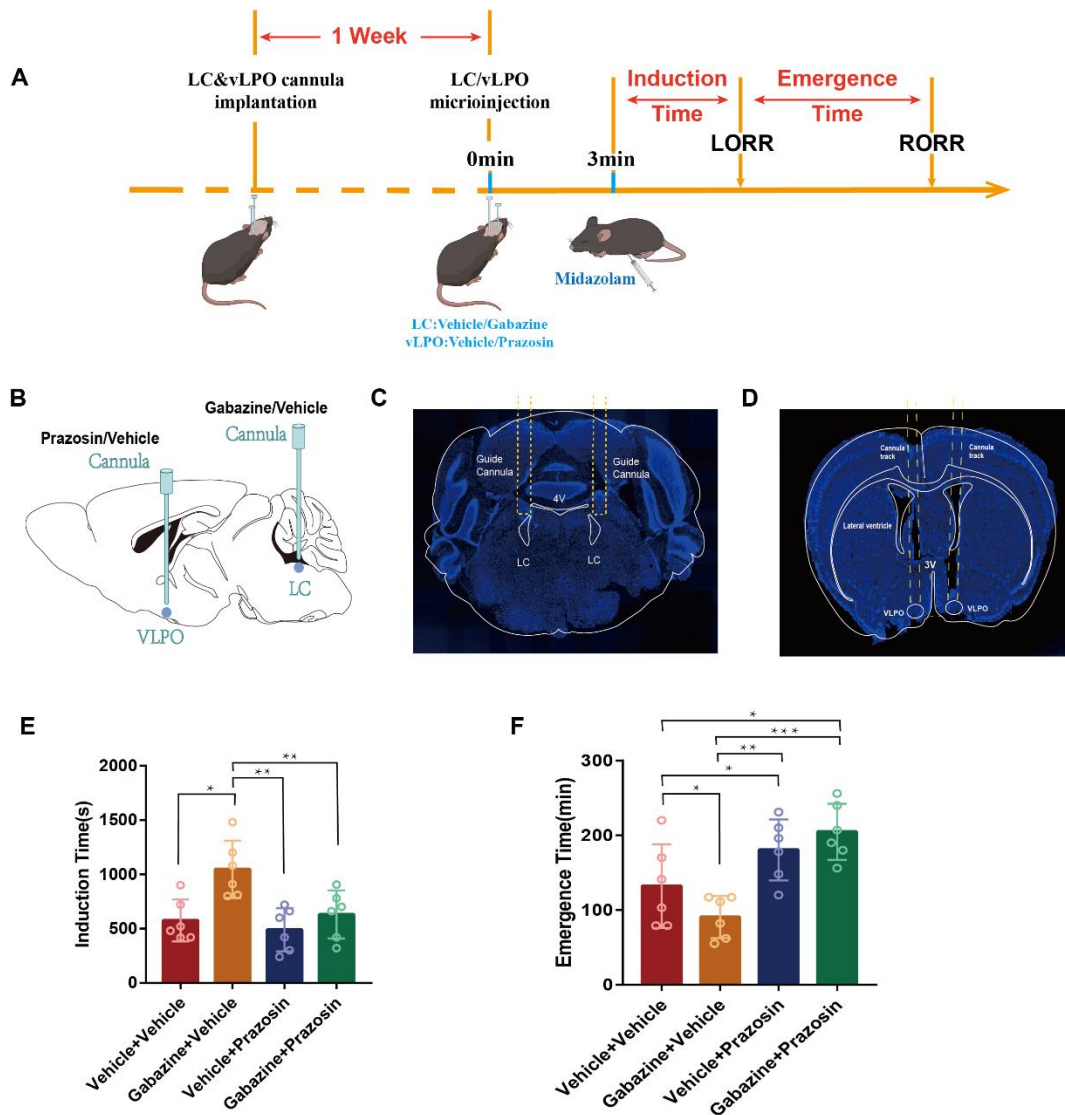
Figure 11



- 1 **Figure 11 Effects of intracerebroventricular injection or intra-LC microinjection**
- 2 **with gabazine on the recovery from midazolam-induced anesthesia evaluated by**
- 3 **calcium signal recording, the induction time, and emergence time**

1 **A.** Schematic diagram of the fiber optic recording of calcium signals in the LC after
2 intracerebroventricular injection or intra-LC microinjection with different doses of
3 gabazine. **B.** The representative photomicrograph shows the tracks of cannulas
4 implanted into the lateral ventricle. **C.** The representative photomicrograph shows
5 microinjection and optical fiber locations and the co-expression of GCaMP6s and TH
6 in the LC. **D.E.** Compared with the vehicle, intracerebroventricular injection with
7 gabazine (4 μ g/mL) could increase the induction time and reduce the emergence time
8 ($P<0.05$), while intracerebroventricular injection with gabazine (2 μ g/mL) having no
9 significant effects ($P>0.05$). **F.** During the induction time of midazolam-induced
10 anesthesia, intracerebroventricular injection with gabazine (4 μ g/mL) could increase
11 the $\Delta F/F$ peak in the LC ($P<0.05$), and the same results could be found during the
12 RORR of midazolam-induced anesthesia ($P<0.05$). **G.** The heatmap of calcium
13 signaling changes in bilateral LC during midazolam anesthesia with or without
14 intracerebroventricular injection with gabazine. **H.** The statistical diagram of calcium
15 signaling changes in bilateral LC during midazolam anesthesia with or without
16 intracerebroventricular injection with gabazine. **I.** The schematic diagram of the
17 position of cannula implantation and a representative photomicrograph shows the
18 tracks of cannulas implanted into the bilateral LC. **J.** Intra-LC microinjection
19 gabazine at the dose of 2 μ g/mL or 4 μ g/mL had no effect on the induction time
20 ($P>0.05$). **K.** The emergence time was reduced compared with the vehicle by intra-LC
21 microinjection of gabazine (4 μ g/mL, $P<0.05$).

Figure12



- 1 **Figure 12 Interaction between GABA-ergic system and NE-ergic system in the**
- 2 **LC-vLPO neural pathway**
- 3 A. Schematic diagram of intra-LC microinjection of GABA-R antagonist and
- 4 intra-vLPO microinjection of α 1-R antagonist. **B.** The schematic diagram of the
- 5 position of cannula implantation. **C.** The representative photomicrograph shows the
- 6 tracks of cannulas implanted into the bilateral LC. **D.** The representative
- 7 photomicrograph shows the tracks of cannulas implanted into the bilateral vLPO. **E.**

1 Compared with the Vehicle(LC)+Vehicle(vLPO) group, intra-LC microinjection of
2 gabazine with intra- vLPO microinjection of the vehicle could increase the induction
3 time ($P<0.05$), while intra-vLPO microinjection of prazosin could reverse this effect
4 ($P<0.01$). F. Microinjection of prazosin into the vLPO could significantly reverse the
5 effect of blocking the GABA-Receptor in the LC-induced promoting the recovery
6 from anesthesia ($P<0.0001$).

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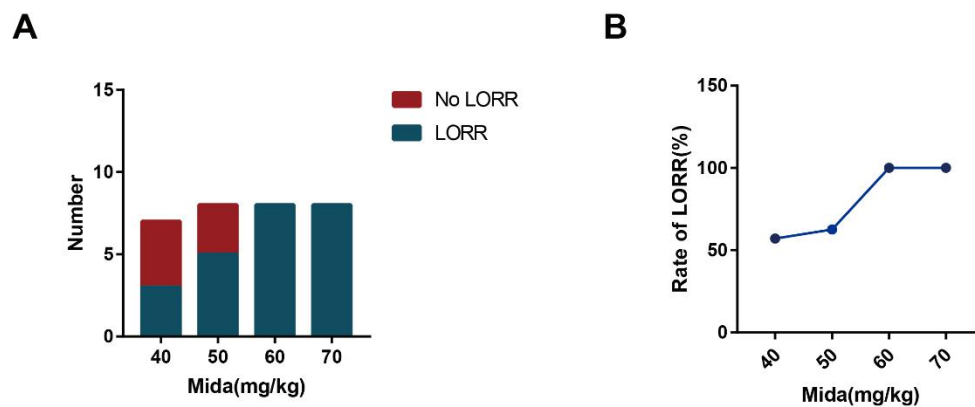
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8

9 Supplement information

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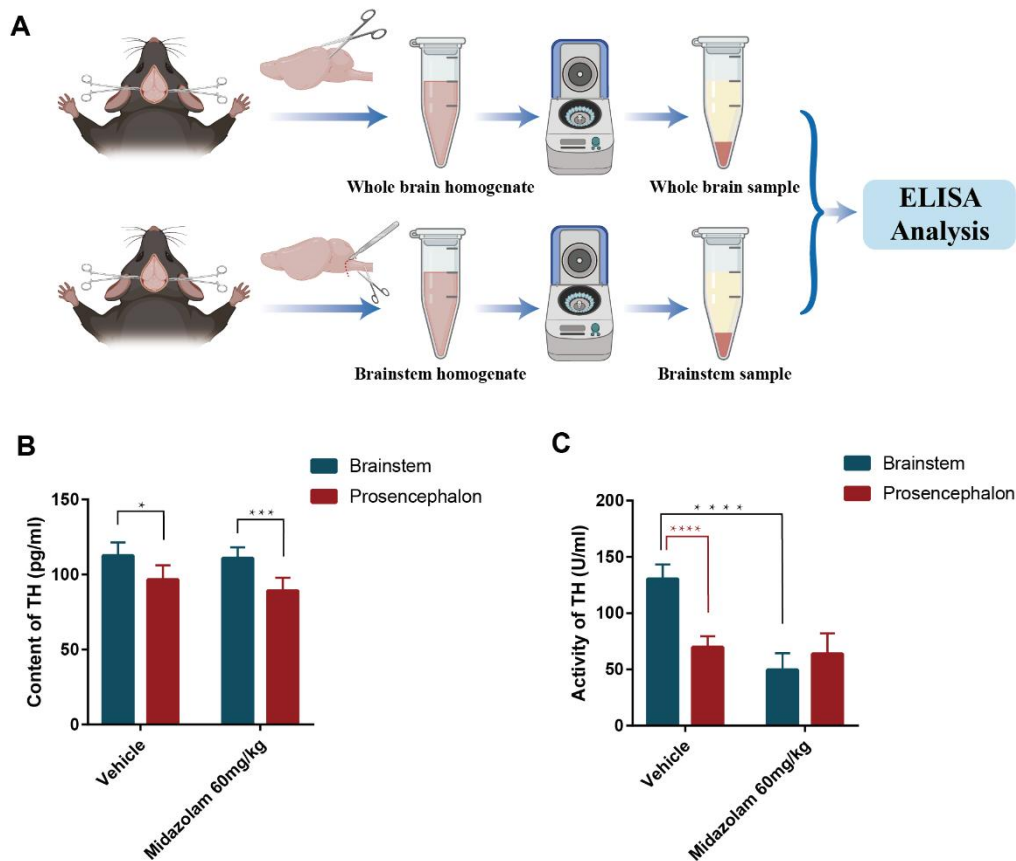
Figure S1



11 **FigureS1. Midazolam anesthesia modeling of C57BL/6J mice**

- 1 **A.** Number of LORR and no LORR induced by different doses of midazolam in C57BL/6J
- 2 mice. **B.** Rate of LORR(%) in C57BL/6J mice at different doses of midazolam.

Figure S2

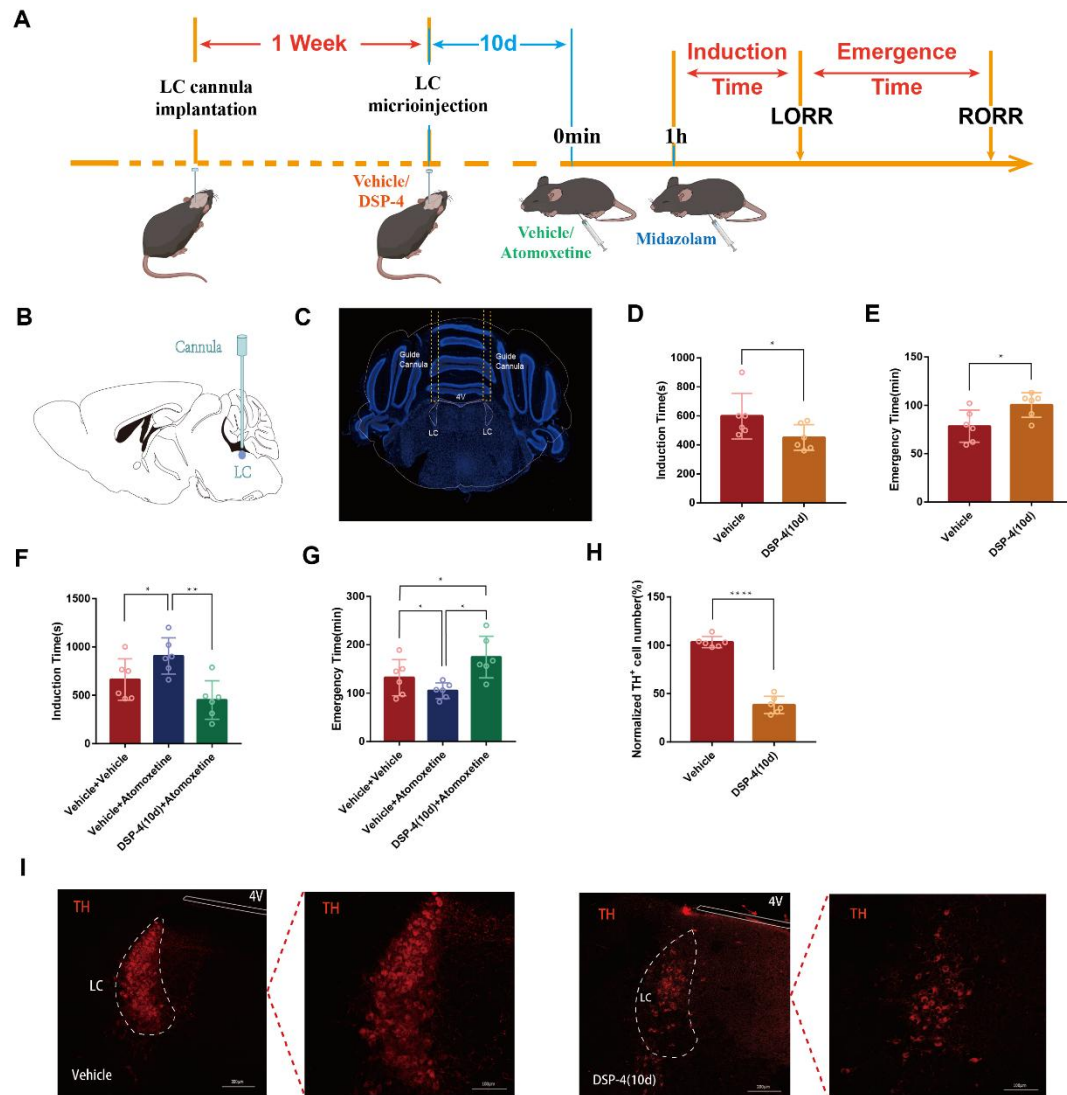


- 3 **FigureS2. TH activity but not content in the brainstem was significantly reduced**
- 4 **in the brainstem after midazolam anesthesia**

5 **A.** Protocol for investigating changes in the content and activity of TH in prosencephalon and
6 brainstem of C57BL/6J mice by ELISA. **B.** There was no obvious difference in the
7 corresponding TH levels in the prosencephalon and brainstem after midazolam anesthesia
8 ($P>0.05$). The difference in TH content between the prosencephalon and brainstem was more
9 significant after midazolam anesthesia ($p<0.001$). **C.** There was a significant difference
10 between the prosencephalon and brainstem in the normal condition ($p<0.0001$). TH activity in

- 1 the brainstem was significantly reduced compared to the vehicle after midazolam anesthesia
- 2 ($p < 0.0001$).

Figure S3

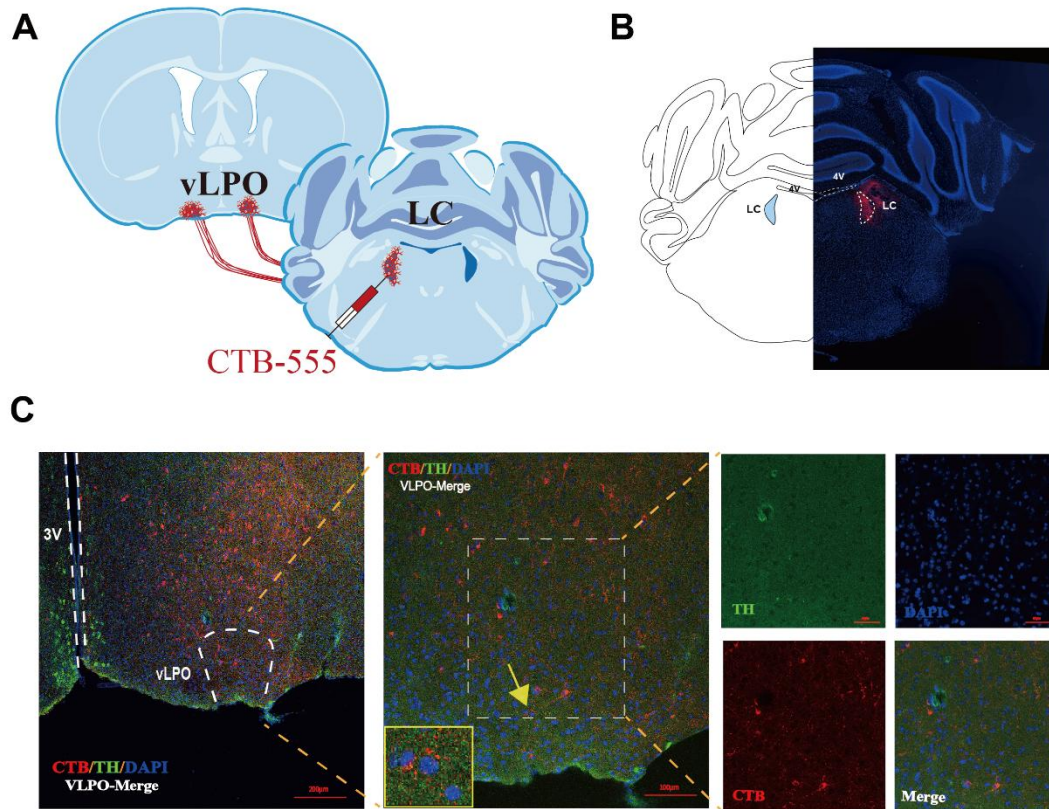


- 3 **Figure S3. Effects of intra-LC microinjection DSP-4 on recovery after anesthesia**
- 4 **induced by midazolam**

- 5 A. Protocol for exploring the influence of intra-LC microinjection DSP-4 on the
- 6 atomoxetine-mediated shortening of the emergence time after midazolam anesthesia. **B.**
- 7 Diagram of the position of the LC implantation cannula. **C.** The representative

1 photomicrograph shows the tracks of cannulas implanted into bilateral LC. **D.** Compared with
2 the vehicle, microinjection of DSP-4 into LC (10 days before) resulted in a shorter induction
3 time ($P<0.05$). **E.** Compared with the vehicle, microinjection of DSP-4 into LC (10 days
4 before) resulted in a longer emergence time ($P<0.05$). **F.** Microinjection of DSP-4 into the LC
5 reversed the effects of intraperitoneal injection of atomoxetine-induced prolonged induction
6 time ($P<0.01$). **G.** Microinjection of DSP-4 into the LC reversed the effects of intraperitoneal
7 injection of atomoxetine-induced shortened emergence time of anesthesia ($P<0.05$). **H.**
8 Intra-LC microinjected with DSP-4 (10 days before) resulted in a significant decrease in the
9 number of TH⁺ neurons in the LC ($P<0.0001$). **I.** Images of TH⁺ neurons in the LC after
10 microinjection of DSP-4 or vehicle for 10 days (panels on the right show magnified images of
11 the panels on the left).

Figure S4

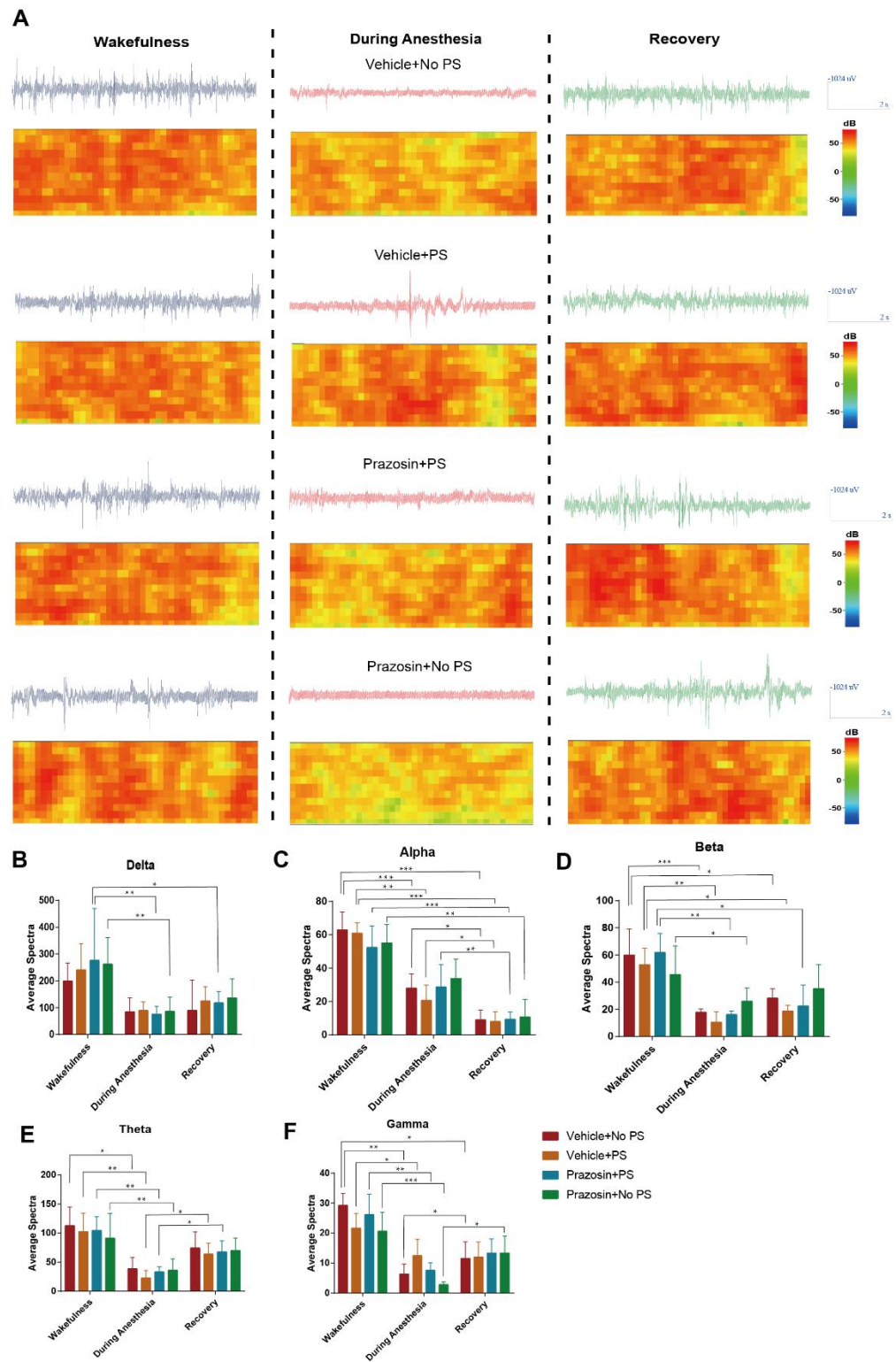


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2 **Figure S4. Neural projection from the LC to the vLPO was established by the**
3 **application of the nerve retrograde tracer CTB-555.**

4 **A.** Schematic representation of the location of intra-LC injection of CTB-555 and
5 retrograde tracking towards the vLPO. **B.** Representative coronal brain slice, showing
6 that CTB-555 was injected in the LC. **C.** Projection from the LC to the vLPO with
7 co-expression of CTB-555, TH, and DAPI.

Figure S5



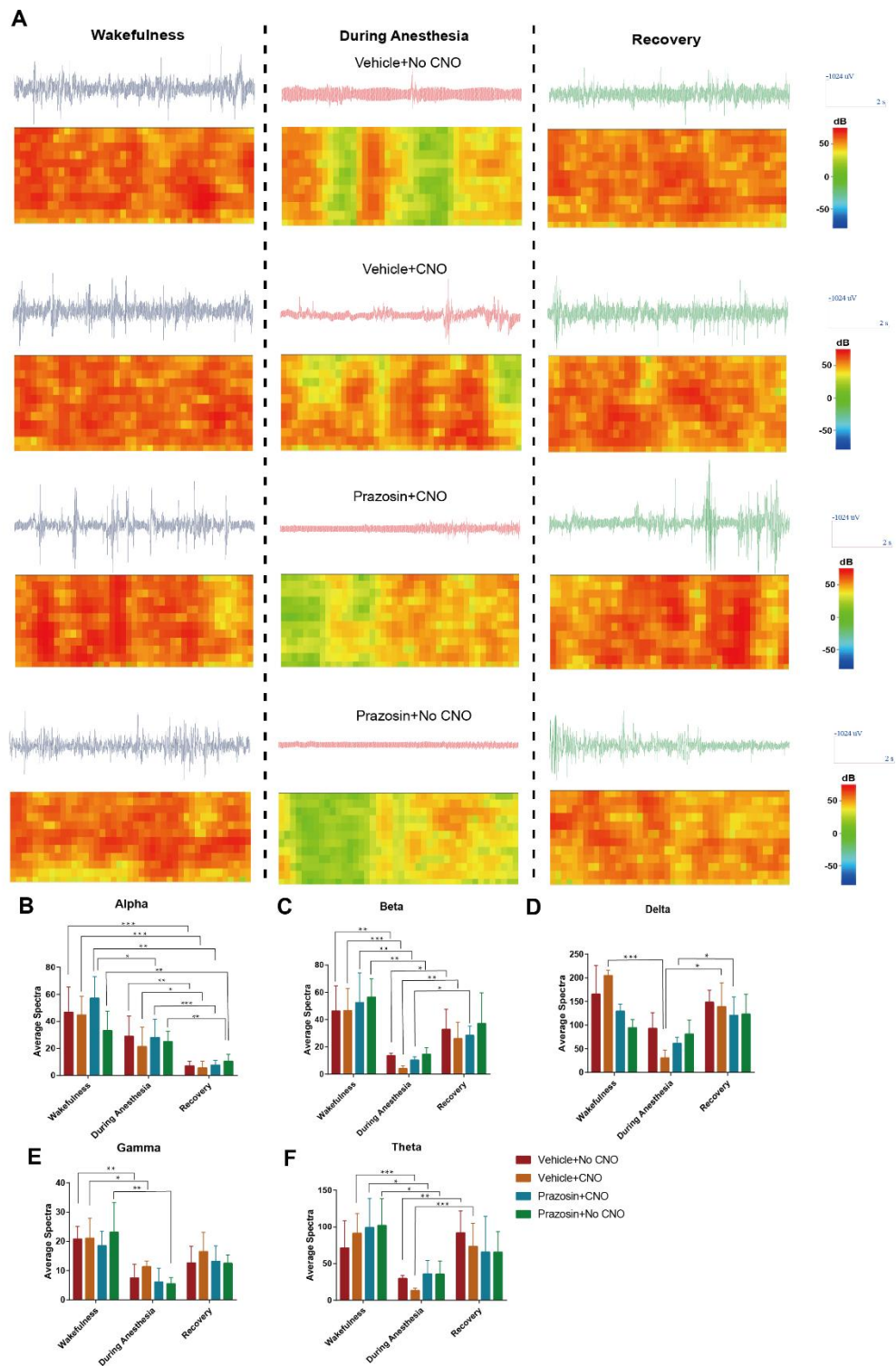
1 **Figure S5 Effect of ICV injection of $\alpha 1$ -R antagonist and optogenetic activation**

2 **of LC^{NE} neurons on EEG activity**

3 **A.** EEG and spectrum of mice in vehicle+no PS, vehicle+PS, Prazosin+no PS, and

- 1 Prazosin+PS under wakefulness, during anesthesia and recovery states. **B-F.** Delta, Alpha,
- 2 Beta, Theta, and Gamma wave proportion of EEG in four groups of mice in different states.
- 3 *** $p < 0.001$, ** $p < 0.01$, * $p < 0.05$, PS=photostimulation, ICV= intracerebroventricular.

Figure S6



- 1 **Figure S6 Effect of ICV injection of $\alpha 1$ -R antagonist and chemogenetic activation**
- 2 **of LC^{NE} neurons on EEG activity**
- 3 **A. EEG and spectrum of mice in vehicle+no CNO, vehicle+ CNO, Prazosin+no CNO, and**

1 Prazosin+ CNO under wakefulness, during anesthesia and recovery states. **B-F.** Alpha, Beta,

2 Delta, Gamma, and Theta wave proportion of EEG in four groups of mice in different states.

3 *** $p < 0.001$, ** $p < 0.01$, * $p < 0.05$, PS=photostimulation, ICV= intracerebroventricular.

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