1	A Panel of Diverse Klebsiella pneumoniae Clinical Isolates for Research and Development
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25 Importance

Klebsiella pneumoniae is a major cause of healthcare-associated infections that are increasingly 26 difficult to treat due to the emergence of multi-drug resistant strains. In particular, strains 27 expressing extended-spectrum β -lactamases and carbapenemases have attained global notoriety, 28 with the World Health Organization listing these strains as a "critical-priority" for the 29 development of new therapeutics. Access to a diverse collection of strains for testing is critical 30 31 for this endeavor, but few resources currently exist. Similarly, pivotal research of the genetic 32 determinants underlying the pathogenesis of hypervirulent lineages is hampered by the lack of standardized, comparator strains. Herein we describe a panel of 100 diverse K. pneumoniae 33 34 constructed to maximize genetic and phenotypic diversity from a repository of over 3,800 clinical isolates collected over 19 years. The panel, and all associated metadata and genome 35 sequences, is provided at no cost and will greatly assist efforts by academic, government, and 36 industry research groups. 37

38 Abstract

Klebsiella pneumoniae are a leading cause of healthcare associated infections worldwide. In 39 particular, strains expressing extended-spectrum β -lactamases (ESBLs) and carbapenemases pose 40 serious treatment challenges, leading the World Health Organization (WHO) to designate ESBL 41 and carbapenem-resistant Enterobacteriaceae (CRE) as "critical" threats to human health. 42 43 Research efforts to combat these pathogens can be supported by accessibility to diverse and clinically relevant isolates for testing novel therapeutics. Here, we describe a panel of 100 44 diverse K. pneumoniae isolates publicly available to assist the research community in this 45 46 endeavor. Whole-genome sequencing (WGS) was performed on 3,878 K. pneumoniae clinical isolates 47 housed at the Multidrug-Resistant Organism Repository and Surveillance Network. The isolates 48 were cultured from 63 facilities in 19 countries between 2001 and 2020. Core-genome multilocus 49 sequence typing and high-resolution single nucleotide polymorphism based phylogenetic 50 analyses captured the genetic diversity of the collection and were used to select the final panel of 51 100 isolates. In addition to known multi-drug resistant (MDR) pandemic lineages, the final panel 52 includes hypervirulent lineages and isolates with specific and diverse resistance genes and 53 virulence biomarkers. A broad range of antibiotic susceptibilities ranging from pan-sensitive to 54 extensively drug resistant isolates are described. The panel collection, all associated metadata 55 and genome sequences, are available at no additional cost and will be an important for the 56 57 research community and for the design and development of novel antimicrobial agents and diagnostics against this important pathogen. 58

59 Introduction

Klebsiella pneumoniae are a leading cause of nosocomial infections resulting in pneumonia, 60 bacteremia, surgical site, and urinary tract infections (1). A member of the problematic ESKAPE 61 (Enterococcus faecium, Staphylococcus aureus, Klebsiella pneumoniae, Acinetobacter 62 baumannii, Pseudomonas aeruginosa, Enterobacter) group of pathogens (2), "classical" K. 63 64 pneumoniae (cKp) are associated with prolonged outbreaks, increased disease burden, and high mortality rates (3, 4). The prevalence of cKp infections has steadily increased since 2005, 65 primarily driven by strains acquiring extended-spectrum β-lactamases (ESBLs) and 66 carbapenemases conferring resistance to 3rd generation cephalosporins and carbapenem 67 antibiotics (5, 6). These multidrug resistant (MDR)-cKp clones are a threat to the medical 68 community as antibiotic treatment options are limited and non-susceptibility to all antibiotics has 69 been reported (7). In alignment, the World Health Organization (WHO) ranks K. pneumoniae 70 among the critical priority list for the development of therapeutics (8). 71 In parallel to hospital-acquired MDR-cKp, severe community-acquired infections caused by so 72 called "hypervirulent" K. pneumoniae (hvKp) lineages have also emerged (9). These invasive 73 strains are generally susceptible to antibiotics and generally occur in healthy hosts causing 74 75 meningitis, liver abscesses, endophthalmitis, and soft tissue infections (9). hvKp strains are associated with the acquisition of large virulence plasmids and/or mobile elements encoding 76 virulence determinants such as siderophores [e.g aerobactin (iuc), salmochelin (iro), 77 78 yersiniabactin (*vbt*)], metabolite transporter *peg-344*, genotoxic polyketide colibactin (*clb*), and regulators of mucoviscosity and capsular polysaccharide (rmpA and rmpA2) (10, 11). While 79 there are distinct clinical and genetic differences between the two main pathotypes of K. 80 81 pneumoniae, there has been a concerning emergence of convergent lineages that carry both MDR

and virulence determinants (12–14). This confluence of MDR-cKp and hvKp has provided
additional impetus to develop novel antibiotics and therapeutics (15).

84	The K. pneumoniae population is diverse consisting of over 250 clonal phylogenetic lineages and
85	an estimated accessory genome of $>100,000$ protein coding sequences (6, 16). Despite hundreds
86	of clones that can cause infections, a few "high-risk", globally disseminated, MDR-cKp lineages
87	(e.g. ST-11, ST-14, ST-101, ST-147, ST-258, ST-307) contribute to the majority of infections
88	(6). For example, the dissemination of KPC-type carbapenemases is largely attributed to the
89	well-studied, clonal ST-258 lineage, which is now endemic in many countries, including the
90	United States (17–19). More recently, carbapenem resistant ST-307 and ST-147 clonal lineages
91	carrying various carbapenemases (NDMs, OXA-48-like, and KPC) have emerged and are
92	circulating in countries such as the United States (19), Germany (20), and in Italy (21). In
93	contrast, unrelated hvKp lineages are mainly described from the Asian Pacific Rim countries and
94	are predominately ST-23, ST-86, ST-65, ST-380, and ST-66 lineages (6, 9). These hvKp strains
95	are associated with very few capsular polysaccharide types K1, K2, and/or K5, in contrast to the
96	substantial diversity of K-loci found in cKp strains (22). The significant genomic diversity and
97	constantly changing epidemiology highlights the importance of using the K. pneumoniae
98	population structure for identifying diverse isolates when developing effective targets for
99	treatments and diagnostics against problematic MDR-cKP, hvKp, and emerging clones.
100	In this report, we utilized the large repository of 3,878 clinical K. pneumoniae maintained by the
101	Multidrug-Resistant Organism Repository and Surveillance network (MRSN) (23) and collected
102	globally between 2001 and 2020. Comparable to our previous work (24, 25) we constructed a
103	reference panel of 100 K. pneumoniae clinical isolates that captures the extensive genetic
104	diversity of this species, as well as variable antibiotic resistance gene content and virulence gene

- 105 content along with a wide range of antimicrobial susceptibility profiles. This panel is available to
- the research community at no extra cost to aid in the design and development of novel
- 107 therapeutics and diagnostics for this critical pathogen.

109 Results

110 Global K. pneumoniae population structure and collection diversity

- 111 3,878 K. pneumoniae clinical isolates were collected over a 19-year period (2001 to 2020) from
- across the U.S. and globally in collaboration with the U.S. Department of Defense's Global
- 113 Emerging Infections Surveillance (GEIS) branch. After removal of serial isolates from the same
- 114 patients, 3,123 primary isolates from 2,760 patients were analyzed by core-genome multilocus
- sequence typing (cgMLST) to generate a minimum spanning tree revealing the genomic diversity
- of the population (Fig. 1A). The isolates were recovered from 63 healthcare facilities across 6
- 117 continents including North America (63%), Asia (17.6%), Europe (8.9%), South America
- 118 (5.0%), Africa (4.7%), and Oceania (0.4%). The majority were cultured from urine (46%),
- followed by respiratory (11%), perianal surveillance swabs (10%), wound (9%), blood (9%), and
- body fluid (2%) cultures. *In silico* MLST using the scheme designed by Diancourt *et al.* (26)
- identified 480 ST's with 260 (54%) found in isolate(s) from a single patient. Despite the large
- number of ST's, 34% of the isolate collection is represented by 6 globally problematic clones:
- 123 ST-15 (7.8%), ST-147 (5.9%), ST-258 (5.8%), ST-307 (5.3%), ST-14 (4.8%), and ST-16 (4.6%)
- 124 (6). Clonal lineages were associated with lower allelic diversity (e.g. ST-258 maximum of 87
- allelic differences) however, extensive diversity was observed within other lineages (e.g. 1,312
- allelic differences within ST-37) (Fig. 1A).

127 Selection of a nonredundant, genetically diverse panel of K. pneumoniae

- 128 Based on the cgMLST analysis, an initial subset of 346 isolates (11%) was selected to represent
- the maximum genetic diversity of the collection and to minimize clonal redundancy (Fig. 1A,
- 130 red dots). This subset, encompassing 143 STs, was further compared using a maximum
- 131 likelihood single nucleotide polymorphism (SNP)-based phylogenetic tree (Fig. 1B). In an effort

132	to provi	de a pra	igmatic	panel,	100	isolates	were	selected	l from	the su	ibset a	and a	analy	zed	by o	core
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- 133 genome SNP-based phylogeny (Fig. 2 and Table S1). This final panel of 100 isolates
- encompassed 94 STs, including 6 novel ST's, and retained substantial diversity in gene content.
- 135 The core genome encompassed 3,034 genes with the pangenome consisting of 21,419 genes
- 136 (Fig. S1). Similar to previous studies (27, 28), the most prevalent predicted O antigen types,
- involved in the composition of cell surface lipopolysaccharide, were O1, O2, and O3, which
- were found in 81% of the panel isolates, followed by types O4 (10%), O5 (5%), and unknown
- 139 (4%) (Table S1). The panel also contains 54 distinct capsular polysaccharide types, with K2 type
- 140 being the most prevalent (n = 7).

141 Distinct virulence gene content in the K. pneumoniae panel isolates

- 142 Acquired *K. pneumoniae* virulence loci associated with the hvKp pathotype were characterized
- in the panel isolates. Thirty isolates in the final panel carried the *ybt* siderophore gene cluster
- 144 found on chromosomally inserted integrative conjugative elements (ICEKp). The ICEKp3
- lineage (encoding *ybt*9 sequence type) was the most prevalent and found in 8 isolates from ST-
- 146 11, ST-15, ST-16, ST-101, ST-147, ST-307, ST-340, and ST-1271 (**Table S1**). Seven isolates
- 147 carried *clb*, encoding the genotoxic colibactin, in conjunction with *ybt* (lineage 1, 12, and/or 17)
- that were associated with the ICE*Kp*10 lineage, as previously described (29). The *iro* gene
- 149 cluster encoding salmochelin synthesis and the regulators for hypermucoidy and capsule
- expression, *rmpA* and/or *rmpA2*, were identified in 3 isolates. Notably, 8 isolates harbored the
- aerobactin-encoding *iuc* genes, including 2 known hvKp lineages (ST-380 and ST-86) with the
- 152 predicted serum resistant K2 capsular serotype (22). Further, 6 isolates carried the *iuc* loci in
- addition to ESBL genes ($bla_{CTX-M-14}$ or $bla_{CTX-M-15}$) (Fig. 1C). Alarmingly, 2 of these genotypic

154	convergent is	olates also	carried th	ne <i>bla</i> NDM 1	carbapenemase	including	the recently	characterized

epidemic ST-147 isolate, MRSN 752729, from a nosocomial outbreak in Italy (12).

156 AMR gene content and antimicrobial susceptibilities of the final panel

- 157 64 distinct antibiotic susceptibility profiles were observed in the final 100 isolate panel (Fig. 2
- **and Table S1**). Using the susceptibility criteria developed by Magiorakos *et al.* (30), 1 isolate
- 159 was pan drug resistant (PDR), 28 were extensively drug resistant (XDR), 46 isolates were MDR,
- 160 7 were non-MDR, and 19 were pan-susceptible to all antibiotics tested. Notably, 56 isolates were
- 161 non-susceptible to the 3rd generation cephalosporins tested (ceftazidime and ceftriaxone), 24
- were non-susceptible to carbapenems (imipenem and meropenem), and 10 were non-susceptible
- 163 to the newer β -lactam/ β -lactamase inhibitor, ceftazidime-avibactam.
- 164 Overall, AMR genes known to confer non-susceptibility were detected in all 100 genomes with
- 165 135 distinct alleles identified from 40 antibiotic families (Table S1). The majority of intrinsic
- 166 bla_{SHV} class-A β -lactamase alleles detected were bla_{SHV-1} and/or bla_{SHV-11} (16). In 59 isolates,
- 167 bla_{SHV} and/or $bla_{\text{CTX-M}}$ ESBLs were detected, with $bla_{\text{CTX-M-15}}$ (n = 44) being the most prevalent.
- 168 Three isolates (MRSN 750999, 680172, 27106) carried bla_{SHV-27} as their sole ESBL gene (31),
- 169 but were susceptible to the 3^{rd} generation cephalosporins. The bla_{GES-5} ESBL was found in a
- single isolate, MRSN 28183, resulting in non-susceptibility to 3rd generation cephalosporins and
- 171 ceftolozane-tazobactam.
- 172 Carbapenemase genes encoding IMP, KPC, NDM, OXA-48-like, and VIM enzymes were
- 173 present in 24 isolates. Eleven isolates produced OXA-48-like β -lactamases (OXA-48, -181, -232)
- 174 capable of hydrolyzing carbapenem antibiotics, with OXA-48 being the most common (n = 7).
- 175 All OXA-48-like positive isolates co-produced the ESBL CTX-M-15 (except a single isolate,
- 176 MRSN 13748, with CTX-M-14) and as expected were non-susceptible to ceftazidime, cefepime,

177	aztreonam, imipenem, and meropenem. Three OXA-48-like carrying isolates also co-produced
178	NDM-1 or -5 enzymes including lineages ST-147, ST-16, and the well-studied hvKp lineage ST-
179	23 (Table S1). As expected, all 10 isolates carrying genes encoding the Ambler class B Metallo-
180	β -lactamases (MBL; IMP, NDM and VIM variants) were non-susceptible to ceftazidime-
181	avibactam. As carbapenemase non-susceptibility can also be mediated through mutations in the
182	outer membrane proteins (OmpK35 and/or OmpK36) in conjunction with the expression of an
183	ESBL and/or acquired AmpC β -lactamases (32, 33), all strains were examined for known
184	mutations in these genes. Variations in OmpK35 and/or OmpK36 were observed in 15 isolates,
185	of which 12 carried a carbapenemase and were non-susceptible to all carbapenem antibiotics
186	tested. The remaining three isolates had OmpK35 mutations only and were susceptible to the
187	carbapenems. In the final panel, only 4 isolates carried an acquired AmpC β -lactamase (<i>bla</i> _{FOX-5} ,
188	bla_{DHA-1} , or bla_{CMY-4}) and all lacked OmpK mutations. Notably, 7 isolates had a truncated $mgrB$,
189	known to mediate colistin resistance (Table S1), and five were resistant (MIC > 4) to colistin by
190	broth microdilution (BMD). As the Clinical and Laboratory Standards Institute (CLSI)
191	guidelines (34) do not recognize susceptible breakpoints for colistin the remaining two isolates
192	with a truncated <i>mgrB</i> (791403 and 375436) were assigned intermediate interpretation (MIC \leq
193	0.25 and 1, respectively), as reported previously (12).
194	Forty-two isolates were susceptible to all three aminoglycosides tested (amikacin, gentamicin,
195	and tobramycin) while 9 isolates were pan resistant to all aminoglycosides. All pan resistant
196	isolates carried a 16S rRNA methyltrasferase, with the exception of MRSN 430405 for which no
197	acquired methyltransferase gene was identified (Table S1). Specifically, five of the pan resistant
198	isolates (5881, 366562, 365679, 517281, and 613682) carried methytransferase genes <i>rmtH</i> ,
199	<i>rmtF1</i> , or <i>rmtB1</i> and the remaining three (MRSN 27778, 607210, 368001) carried <i>armA</i> . MRSN

- 200 752729 also carried *armA* but was susceptible to amikacin and gentamicin. Reduced
- susceptibility was confirmed by BMD (amikacin, MIC=16; gentamicin, MIC=1). Further
- analysis of the *armA* sequence revealed a missense mutation at nucleotide position 617 (A to T)
- 203 resulting in an amino acid substitution of isoleucine to lysine.

204 Discussion

In 2017, the WHO identified ESBL and carbapenemase-resistant Enterobacteriaceae as a 205 "Critical' threat to human health. Similarly, the U.S. CDC named carbapenem-resistant and 206 207 ESBL-producing Enterobacterales as "urgent" and "serious" threats, respectively (35). As a result, there has been a renewed and concerted effort to develop novel therapeutics and 208 209 diagnostics to combat these organisms. This has been reflected at the highest level of the U.S. Government with the publication of the Presidential U.S. National Action Plan for Combating 210 211 Antibiotic-Resistant Bacteria (CARB) (36). This document outlined strategies to combat this 212 threat, including access to diverse isolates for testing. In response to these demands, the U.S. Department of Defense, through the MRSN, has published distinct panels (with corresponding 213 214 metadata and genomes) for the ESKAPE pathogens Acinetobacter baumanii (24) and *Pseudomonas aeruginosa* (25). Herein we expand these panels by constructing a novel panel of 215 K. pneumoniae isolates that, to our knowledge, is the only comprehensive panel publicly 216 available for research and development. The panel was designed to encompass the maximum 217 genetic diversity of the species, ensuring a diverse range of antibiotic susceptibilities, AMR 218 genes and virulence genes. 219 220 Other panels and characterized K. pneumoniae strains exist, however, they mainly focus on the identification of antibiotic resistant mechanisms and were not designed to encompass the 221 diversity of the species. For example, the U.S. CDC and FDA have collaborated to produce the 222 223 AR Isolate Bank that contains multiple isolate panels for a range of bacterial pathogens and resistance mechanisms (https://wwwn.cdc.gov/arisolatebank/Overview) and this panel has 224

proven to be an excellent resource to test the activity of antibiotic combinations (37). However,

in addition to testing antibiotics, strain diversity is critical for assessing the efficacy of many

227 emerging therapeutics including phage therapy, vaccines, and capsule polysaccharides targeted approaches (38–40). The main structural receptor for anti-Klebsiella phages is the external 228 capsular polysaccharide however recent work suggests that phages also attach to other outer 229 membrane structures below the capsule, including the O-antigen (41, 42). The panel described 230 herein represents 54 of the 77 distinct capsule types identified by serological methods (43) and 8 231 232 predicted O serotypes (27, 44), providing a robust representation of outer membrane protein diversity to test anti-Klebsiella phages. Besides therapeutics, the understanding of K. 233 pneumoniae pathogenesis is rapidly evolving, in particular the understanding of virulence factors 234 235 that can accurately predict pathogenic potential of strains. For example, not all hvKp strains are equally virulent in murine models of infection despite carrying well-characterized virulence 236 biomarkers (45). Herein we describe hvKp and convergent strains with diverse biomarkers to aid 237 in these ongoing research efforts. 238 The epidemiology of K. pneumoniae over the past two decades has been characterized by widely 239 geographically distributed "high risk" clones and the constant emergence and dissemination of 240 new clonal groups (6). This panel not only captures the most important MDR-cKp (ST-258, ST-241 15, ST-11, ST-307, ST-147) and hvKp clones (ST-23, ST-380, ST-65, ST-86) currently 242 243 circulating, but also encompasses the overall diversity of the species, an approach that maximizes the potential of the panel to include emerging strains, or those that may emerge in the 244 future. To this end, the panel includes 6 novel lineages, including an XDR ST-5445 lineage 245 246 carrying $bla_{CTX-M-15}$, and 5 genomic convergent lineages that have not been previously described (ST-268, ST-1399, ST-48, ST-2071, ST-37). Furthermore, close attention was paid to selecting 247 rare clones that cause localized epidemics in different regions of the world. Clones ST-43, ST-248 249 268, ST-340, ST-392 are all represented in the panel and have been reported previously as

250 harboring NDM carbapenemases and circulating in hospitalized patients in Iran (46). Similarly, a ST-340 clone carrying a NDM carbapenemase was recovered from patients at a tertiary care 251 hospital in South Korea (47) and infections with ST-231 and/or ST-395 clones have been 252 identified in local hospitals in Oman (48) and South India (48, 49). A genomic surveillance study 253 from 2013 to 2014 found ST-231, ST-340, ST-323 (carrying various ESBLs and carbapenemase 254 255 genes) clones all linked to nosocomial transmission events from 4 hospitals in Melbourne Australia (50). In our panel collection the XDR clone ST-340 was collected in Asia in 2015 256 while the MDR clones ST-323 and ST-231 were recovered from North America in 2016 and 257 258 2018, respectively. Interestingly these localized epidemic clones have yet to globally disseminate despite being highly antibiotic resistant. 259 Notably, a strong association between antibiotic susceptibility and the presence of AMR genes 260 261 and/or mutations was observed, with few exceptions. For example, isolates carrying bla_{SHV-27} ESBL had a non-ESBL phenotype. However, this discrepancy is most likely due to a base-pair 262 substitution (A to C) in the promoter region that was previously reported in SHV-27-producing 263 isolates susceptible to cephalosporins (51). Similarly, isolate MRSN 752729 carrying a missense 264 mutation in armA 16s rRNA methyltransferase had increased susceptibility to all aminoglycoside 265 266 antibiotics. Previous studies report that point mutations in *armA* can result in the inability to bind to the 16S rRNA and consequently block methylation resulting in susceptibility to 267 268 aminoglycosides (52). Lastly, in this study the single GES-5-producing isolate (MRSN 28183) 269 conferred non-susceptibility to ceftazidime, ceftriaxone, and ceftolozane-tazobactam but was susceptible to cefepime and carbapenem antibiotics. The GES-5 variant has a single amino acid 270 271 substitution (G170S) compared to wild-type GES-1 and has been shown to confer activity against carbapenem antibiotics (53), yet, studies have also shown GES-5 producing K. 272

273 *pneumoniae* to have minimal to no carbapenemase activity (54, 55), consistent with our

- 274 observations.
- In summary, this study describes the construction of a panel of 100 unique K. pneumoniae
- isolates from an extensive collection of over 3,800 K. pneumoniae isolates collected from across
- the globe. The panel encompasses the diversity of the species, includes both antibiotic
- susceptible and non-susceptible isolates, and captures known epidemic clones as well as sporadic
- ones. Furthermore, this panel captures diverse genomic convergent and hvKp strains that are
- rapidly emerging worldwide and are of considerable concern (15, 45). While identifying these
- 281 convergent lineages does not accurately predict clinical outcomes, availability of these
- characterized isolates (including phylogeny, genome, and AST) will aid in the research and
- 283 development of infection-control measures to improve patient care. This panel and all metadata
- and genomes are publicly available at no additional charge and represent an invaluable resource
- for genotypic and phenotypic research of this important pathogen.

286 Materials and Methods

K. pneumoniae repository. The MRSN collects and analyzes MDR organisms across the 287 Military Healthcare System in the United States (23) and around the world in collaboration with 288 the US Department of Defense's (DoD) Global Emerging Infections Surveillance (GEIS) branch. 289 All samples are housed in a central repository, which currently contains over 100,000 isolates, 290 291 including 3,878 K. pneumoniae that were cultured from 2,760 patients between 2001 and 2020. Refinement of K. pneumoniae repository. To reduce redundancy in the initial 3,878 isolate set, 292 successive isolates after the first from the same patient that shared the same ST were removed 293 294 unless isolates were cultured from a different body site (e.g. urine vs blood) or were cultured >6months apart. All isolates from the same patient with different STs were retained. This 295 refinement resulted in a final dataset of 3,123 isolates available for analysis. 296 Antibiotic susceptibility testing. AST was performed in the MRSN's College of American 297 Pathologists (CAP)-accredited laboratory using the Vitek 2 with the AST-95 and AST-XN09 298 cards (bioMerieux, NC, US). Nineteen antibiotics representing 11 different antibiotic families 299 were tested and interpreted using Clinical and Laboratory Standards Institute (CLSI) guidelines 300 (CLSI 2018). Susceptibility results were used to classify the isolates as pan drug resistant (PDR) 301 302 (non-susceptible to all antibiotics tested), extensively drug resistant (XDR) (non-susceptible to ≥ 1 agent in all but ≤ 2 families), MDR (non-susceptible to ≥ 1 agent in ≥ 3 antibiotic families), and 303 non-MDR (non-susceptible to 1 or 2 categories) using a modification of the criteria defined by 304 305 Magiorakos et al (30). When necessary, MICs were repeated in triplicate using broth microdilution and CLSI guidelines (CLSI 2018). 306 Whole-genome sequencing and data analysis. Briefly, isolates were sequenced on an Illumina 307

308 MiSeq or NextSeq benchtop sequencer (Illumina, Inc., CA, US) and analyzed as previously

309	described (24). Where appropriate, long read sequencing was performed with the Oxford
505	
310	nanopore MinION sequencer (Oxford Nanopore Technologies), as previously described (12). In
311	silico MLST typing, virulence loci, polysaccharide capsule (K) loci, and lipopolysaccharide (O)
312	loci typing were performed using Kleborate v2.0.1 (56). Novel MLST STs were determined
313	using the Klebsiella PasteurMLST sequence database (<u>https://bigsdb.pasteur.fr/klebsiella</u>).
314	AMRFinderPlus v3.9.8 (57) and ARIBA v2.14.4 (58) were used to identify resistance alleles.
315	Basic assembly statistics are available (see Table S2 in the supplemental material).
316	cgMLST analysis. The draft genomes of all 3,878 K. pneumoniae isolates were uploaded and
317	analyzed using Ridom SeqSphere+ (59) using the K. pneumoniae cgMLST scheme
318	(<u>https://www.cgmlst.org/ncs</u>). To be included in the analysis, isolates had to contain 90% of the
319	2,358 genes included in the cgMLST scheme. The resulting minimum spanning tree (MST) was
320	then used to select 346 strains that capture the diversity of the strain collection.
321	Core-genome SNP analysis. PanSeq (60) was run with a fragmentation size of 500 bp to find
322	sequences with \ge 95% identity in \ge 95% of the isolates to generate the core genome single
323	nucleotide polymorphism (SNP) alignment for the initial set of 346 isolates. RAxML (v8.2.11)
324	(61) was used to generate a phylogenetic tree for the core SNP alignment. The SNP-based
325	phylogeny was built from a 317-kb variable position alignment using the general time reversible
326	(GTR) GAMMA model and the rapid bootstrapping option for nucleotide sequences, using 100
327	replicates. Using this approach, 100 strains were selected to represent the final diversity panel.
328	For the final diversity set of 100 isolates, reads were checked for contamination at the species
329	level with Kraken2 (v2.0.8-beta) (62) and at the strain level using ConFindr (v0.4.8) (63) with
330	parameters bf=0.05 and q=30, as previously described (24). A phylogenetic tree of the 100
331	isolates was constructed with PanSeq and RAxML as described above. The SNP-based

- phylogeny was built from 169-kb variable position alignment. For all 100 isolates included in the
- panel, genome annotations were performed using NCBI Prokaryotic Genome Annotation
- Pipeline (v4.8) and core and pangenomes were calculated with Roary (v3.12.0) (64). The final
- 100 genomes have been deposited in the National Center for Biotechnology Information under
- BioProject PRJNA717739.
- **Diversity panel availability.** The final *K. pneumoniae* diversity panel has been deposited at BEI
- resources (<u>https://www.beiresources.org/</u>) and is currently available for research purposes under
- 339 catalogue #NR-55604.

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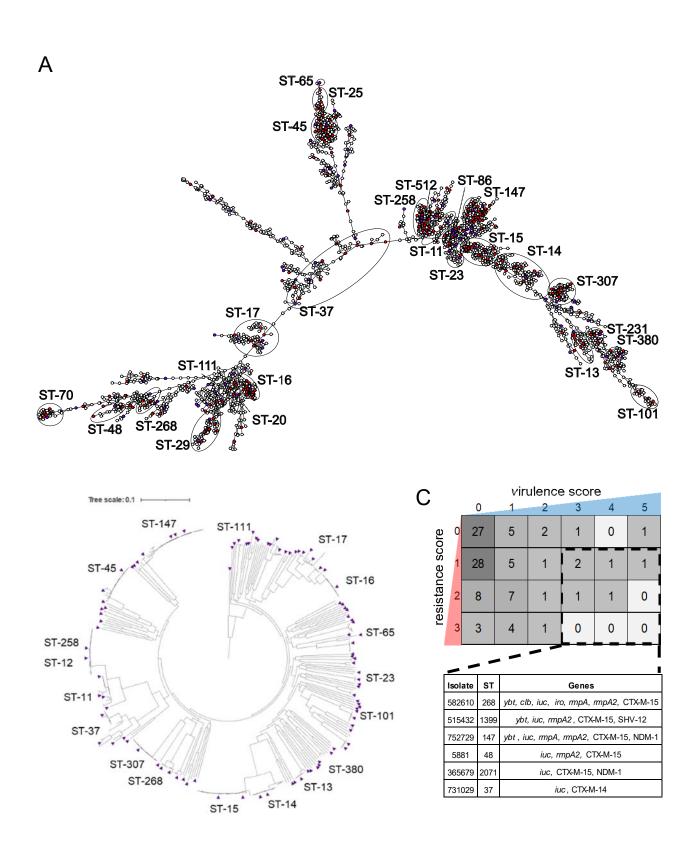


Figure 1

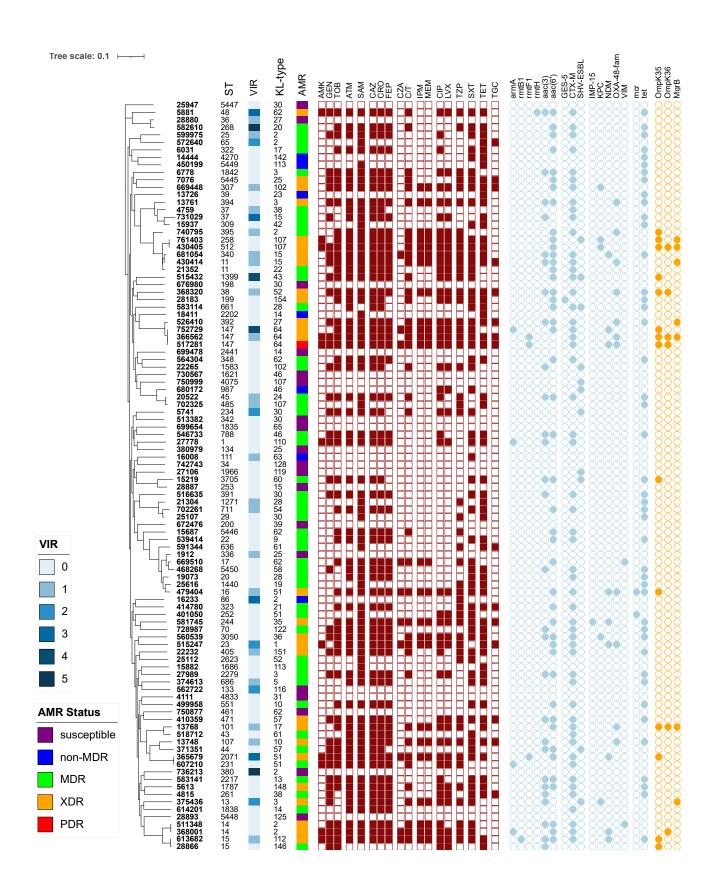


Figure 2

592 Figure Legends

593 Figure 1. Genomic diversity of K. pneumoniae in the MRSN collection A) cgMLST minimum spanning tree of the 3,123 K. pneumoniae genomes. Isolates with an identical MLST 594 595 profile are represented within a single circle. Initial subset of isolates selected are indicated by filled red circles (n = 346) and the final panel isolates are indicated by filled purple circles (n =596 597 100). B) Core genome SNP phylogenetic tree of 346 K. pneumoniae isolates initially selected to represent the breadth of K. pneumoniae diversity. The final 100 isolates selected for the panel are 598 indicated in purple triangles. C) Heatmap indicating the combination of virulence/resistance 599 600 scores for all panel isolates. In silico prediction using the Kleborate typing tool and visual inspired from Lam and coauthors (54). The number of isolates with a specific score are indicated 601 in the boxes. Convergent isolates are indicated by the dashed black box and listed in the table 602 below. All convergent isolates are carrying the *iuc* loci and an ESBL and/or carbapenemase gene. 603

Figure 2. Characteristics of the *K. pneumoniae* diversity panel. Core genome SNP-based

605 phylogenetic tree of the 100 genomes in the final diversity panel. Sequence-type (ST), virulence

score (see legend), capsule polysaccharide locus, KL-type, and AMR status (see legend and text

607 for additional details) are indicated in the columns. The assigned antimicrobial resistance

608 phenotype for each antibiotic tested is indicated by the maroon squares- a result of non-

susceptible (filled) or susceptible (open). The light blue circles indicate the presence of a known

antimicrobial resistance gene, and the orange circles indicate the presence of a known

611 mutation/truncation. AMK, amikacin; GEN, gentamicin; TOB, tobramycin; ATM, aztreonam;

- 612 SAM, ampicillin/sulbactam; CAZ, ceftazidime; CRO, ceftriaxone; FEP, cefepime; CZA,
- 613 ceftazidime/avibactam; C/T ceftolozane/tazobactam; IPM, imipenem; MEM meropenem; CIP,

- 614 ciprofloxacin, LVX, levofloxacin; TZP, piperacillin/tazobactam; SXT, Sulfamethoxazole-
- 615 Trimethoprim; TET, Tetracycline; TGC, Tigecycline.