1 Microstructural and crystallographic evolution of palaeognath (Aves) eggshells

Seung Choi^{1,2*}, Mark E Hauber³, Lucas J Legendre⁴, Noe-Heon Kim⁵, Yuong-Nam Lee⁵,
David J Varricchio^{1*}

¹Department of Earth Sciences, Montana State University, Bozeman, Montana, United States; 4 ²Key Laboratory of Evolutionary Systematics of Vertebrates, Institute of Vertebrate 5 Paleontology and Paleoanthropology, Chinese Academy of Sciences, Beijing, China; 6 ³Department of Evolution, Ecology, and Behavior, School of Integrative Biology, University 7 of Illinois Urbana-Champaign, Urbana-Champaign, Illinois, United States; ⁴Department of 8 Geological Sciences, University of Texas at Austin, Austin, Texas, United States; ⁵School of 9 Earth and Environmental Sciences, Seoul National University, Seoul, South Korea 10 Keywords: Dinosauria, EBSD, Eggshell, Homology, Homoplasy, Palaeognathae 11

12 For correspondence: seung0521@gmail.com (SC); djv@montana.edu (DJV)

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14 Abstract

15 The avian palaeognath phylogeny has been recently revised significantly due to the 16 advancement of genome-wide comparative analyses and provides the opportunity to trace the 17 evolution of the microstructure and crystallography of modern dinosaur eggshells. Here, 18 eggshells of all major clades of Palaeognathae (including extinct taxa) and selected eggshells 19 of Neognathae and non-avian dinosaurs are analysed with electron backscatter diffraction. 20 Our results show the detailed microstructures and crystallographies of (previously) loosely 21 categorized ostrich-, rhea-, and tinamou-style morphotypes of palaeognath eggshells. All rhea-style eggshell appears homologous, while respective ostrich-style and tinamou-style 22 23 morphotypes are best interpreted as homoplastic morphologies (independently acquired).

Ancestral state reconstruction and parsimony analysis additionally show that rhea-style eggshell represents the ancestral state of palaeognath eggshells both in microstructure and crystallography. The ornithological and palaeontological implications of the current study are not only helpful for the understanding of evolution of modern and extinct dinosaur eggshells, but also aid other disciplines where palaeognath eggshells provide useful archive for comparative contrasts (e.g. palaeoenvironmental reconstructions, geochronology, and zooarchaeology).

31

32 Introduction

Non-avian dinosaurs became extinct at the end of Mesozoic (During et al., 2022), but avian 33 dinosaurs are still extant and flourishing today as the most speciose land-vertebrate lineage 34 35 (Jarvis et al., 2014; Lee et al., 2014; Brusatte et al., 2015; Prum et al., 2015). For example, 36 their eggshell sizes, shapes, and patterns show phenomenal diversity (Mikhailov, 1997a; 37 Hauber, 2014; Stoddard et al., 2017). In the palaeontological record, even more diverse forms 38 of dinosaur eggs and eggshells have been recovered (Mikhailov, 1997b; Grellet-Tinner et al., 39 2006; Norell et al., 2020; Oser et al., 2021), with many divergent designs disappearing with 40 the extinction of non-avian dinosaurs. Eggshell is mainly a biomineral $(CaCO_3)$ (with an 41 inner proteinous shell membrane and outer cuticle cover; Kulshreshtha et al., 2022) that can 42 be well-preserved in the fossil record (Mikhailov and Zelenkov, 2020; Varricchio et al., under 43 review), thereby, dinosaur eggs are a valuable subject for evolutionary biology for the 44 tracking of phenotypic changes over geological timescales from the Early Jurassic onward (Stein et al., 2019; Choi and Lee, 2019). However, how eggs and eggshells evolve in a single 45 46 dinosaur clade is not well understood and homoplastic similarities can obscure 47 understanding. Documenting the evolution of eggs among modern avian dinosaurs provides

48 helpful insights into the evolution among extinct taxa.

49 Palaeognathae is one of the two major clades of modern birds (or modern dinosaurs) (Jarvis 50 et al., 2014). Extant Palaeognathae are usually larger than their sister-clade Neognathae and 51 are flightless except for the poorly-flighted tinamous (Yonezawa et al., 2017; Altimiras et al., 52 2017). However, the Palaeogene palaeognaths Lithornithidae might have been a fully volant 53 clade (Torres et al., 2020; Widrig and Field, 2022). Proportional to their body size, absolute 54 sizes of eggs and eggshells of Palaeognathae are usually large and thick, respectively (Grellet-Tinner, 2006; Birchard and Deeming, 2009; Legendre and Clarke, 2021). 55 56 Furthermore, eggshells of Palaeognathae show distinctive microstructures compared to those 57 of Neognathae (Mikhailov, 1997a; Zelenitsky and Modesto, 2003; Grellet-Tinner, 2006). 58 Species diversity of Palaeognathae is much lower than that of Neognathae (Prum et al., 2015) 59 but it is critical for avian egg research; due to their lower diversity, it is feasible to investigate 60 the egg features of all major clades of Palaeognathae. More importantly, considering that 61 most Palaeognathae are flightless and that flight influences egg mass in Dinosauria (Legendre 62 and Clarke, 2021), which influences eggshell thickness (Ar et al., 1979; Legendre and Clarke, 63 2021), the eggs and eggshells of Palaeognathae might be more appropriate modern analogues 64 for those of flightless non-avian dinosaurs than those of volant Neognathae.

Previous studies of palaeognath eggs and eggshells (Zelenitsky and Modesto, 2003; Grellet-Tinner, 2006) interpreted the features in the light of morphology-based phylogenies of Palaeognathae. However, the phylogeny of Palaeognathae has drastically changed since late 2000s, mainly due to the advancements of molecular approaches (Harshman et al., 2008; Phillips et al., 2010; Mitchell et al., 2014; Grealy et al., 2017; Yonezawa et al., 2017; Sackton et al., 2019; Cloutier et al., 2019). For example, morphological phylogenies interpreted tinamous as the sister clade of all other Palaeognathae (Livezey and Zusi, 2007), but a more

recent molecular view regards tinamou and moa as sister clades (Phillips et al., 2010), which are a less inclusive clade within Palaeognathae (Sackton et al., 2019). Thus, revisiting eggs and eggshells of Palaeognathae with a revised phylogeny of Palaeognathae is a timely issue for a comprehensive and updated understanding of avian egg evolution.

Tracing the evolution of palaeognath eggs and eggshells is important for both ornithology 76 77 and palaeontology. For ornithology, it provides a representative case on how the macro-, microstructure and crystallography of eggs have evolved in the avian clade where speciation 78 79 timelines are now available owing to molecular clocks (Yonezawa et al., 2017). Based on a 80 recent evolutionary scenario, stating that diverse palaeognath clades lost flight and acquired 81 gigantism independently (Harshman et al., 2008; Phillips et al., 2010; Mitchell et al., 2014; 82 Grealy et al., 2017; Yonezawa et al., 2017; Sackton et al., 2019; contra Cracraft, 1974), 83 palaeognath eggshells provide chances to appraise potential homologies (similarities 84 inherited from the most recent common ancestor [MRCA]) and homoplasies (similarities caused by similar selective regime [or neutral factors] rather than common ancestry; see 85 86 Patterson, 1988; de Pinna, 1991; Losos, 2011 for further information) in the evolution of 87 avian eggshells.

88 For palaeontology, firstly, palaeognath eggs offer the chance to track 89 appearance/disappearance and character change rates of phenotypes in modern dinosaur eggs. 90 The molecular-clock-based approach is impossible for non-avian dinosaur eggs because DNA 91 is not preserved in Mesozoic fossils (Saitta et al., 2019) or at least non sequenceable (Bailleul 92 and Li, 2021). Consequently, in palaeontology, inferring the evolutionary pathways of 93 eggshell must rely on a phylogeny of egg-layers that is solely based on morphological traits, but morphology-based trees can conflict with molecular data (e.g. Bunce et al., 2009; Darlim 94 95 et al., 2022; see also Wiens, 2004; Quental and Marshall, 2010; Lee and Palci, 2015).

Moreover, morphological phylogenetic trees generated in palaeontology are usually based on insufficient chronologies due to the shortage of absolute radiometric age data. In this sense, palaeognath eggs and eggshells can be helpful modern exemplars for palaeontology that show how, and at what rate, evolution has worked in flightless dinosaur eggs.

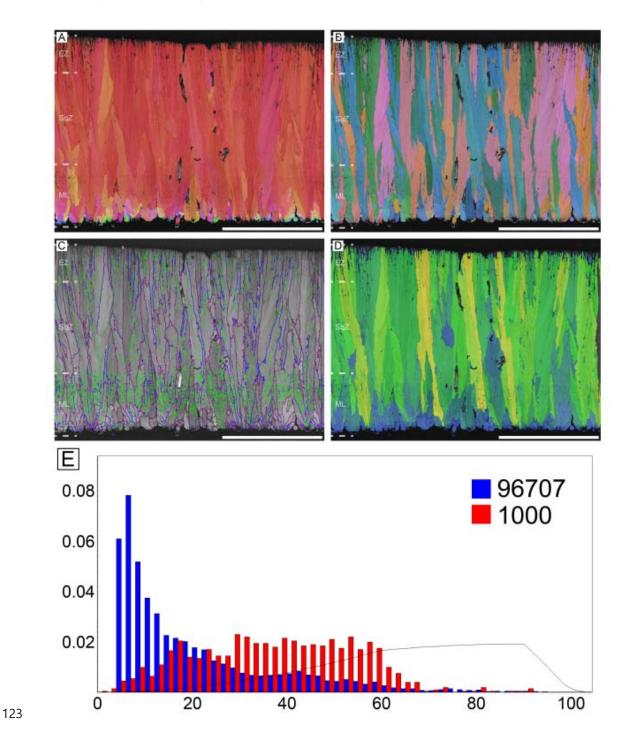
100 Secondly, in the Cenozoic deposits, palaeognath eggshells have been frequently reported in 101 diverse regions of the world (Sauer, 1972; Harrison and Msuya, 2005; Bibi et al., 2006; 102 Worthy et al., 2007; Patnaik et al., 2009; Donaire and López-Martínez, 2009; Wang et al., 103 2011; Pickford, 2014; Blinkhorn et al., 2015; Mikhailov and Zelenkov, 2020). However, most 104 of previous investigations focused on the thickness and pore canal structures on the outer 105 surface of eggshells. They are useful information, but a few published images show peculiar 106 microstructure (e.g. Patnaik et al., 2009; Wang et al., 2011), which are not observed in 107 modern palaeognath eggshells (see below). It means that there may be diverse eggshell 108 microstructures in the fossil record and to understand the difference correctly, a more 109 comprehensive, baseline understanding of the microstructure and crystallography of modern 110 palaeognath eggshells is necessary.

111 Here we: (i) document the microstructure and crystallography of all major clades of 112 palaeognath eggshells (and several selected neognath and non-avian dinosaur eggshells for 113 comparison) using three different mapping techniques acquired by electron backscatter diffraction (EBSD), a state-of-the-art tool for eggshell microstructural and crystallographic 114 115 study; (ii) reinterpret the evolution of palaeognath eggshells based on the radically revised 116 phylogeny of Palaeognathae; (iii) discuss the implications of evolution of palaeognath 117 eggshells for the aforementioned research areas; and (iv) suggest future research topics for which this study can be a basis. 118

119

120 **Results**

- 121 Description for EBSD maps
- 122 Ostrich (*Struthio camelus*)

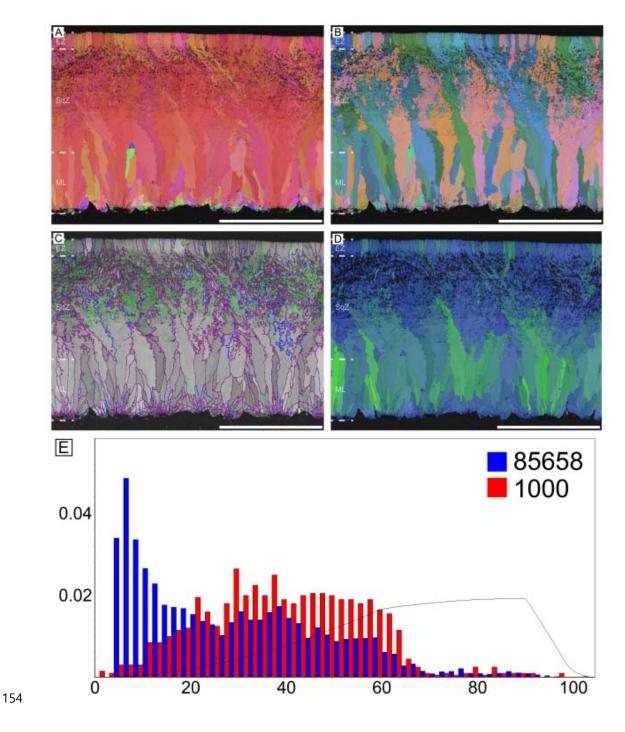


124 Figure 1. Ostrich eggshell. (A) IPF, (B) Euler, (C) GB, and (D) AR mappings (see Figures 125 13–15 for legends). The dashed lines in the maps mark the boundary between the ML and 126 SqZ; SqZ and EZ. Scale bars equal 1000 µm. (E) A misorientation histogram. The numbers 127 in x- and y-axis represent degree between the two selected grains (either adjacent [blue; 128 neighbour-pair method] or random [red; random-pair method]; see also Figure 16) and 129 frequency, respectively. The numbers at the upper right corner mean the number of selected 130 grains in each selection method. The explanation herein is applicable to the Figures 2–12 and 131 Appendix 1—figures 4–12.

132

133 The overall microstructure and crystallography are peculiar compared to other palaeognath 134 eggshells (Figure 1; Zelenitsky and Modesto, 2003; Grellet-Tinner, 2006). The entire 135 thickness of the eggshell is composed of prismatic calcite grains (Zelenitsky and Modesto, 136 2003; Choi et al., 2019). The mammillary layer (ML) is composed of wedge-like calcite 137 grains and these grains are usually extend to the outer edge of the eggshell. Low-angle ($< 10^{\circ}$; 138 green lines in Figure 1C) grain boundaries (GB) are widespread in the eggshell, but they are 139 concentrated at the outer part of ML (the existence and portion of ML is clearer in polarized 140 light microscopic and scanning electron microscopic images; Dauphin et al., 2006). This 141 feature has not been reported in any other avian and non-avian maniraptoran eggshell. Unlike most other avian eggshells that have rugged GB in squamatic zone (SqZ) and linear GB in 142 143 external zone (EZ) (Grellet-Tinner et al., 2012, 2016, 2017; Choi et al., 2019), the GB in the 144 SqZ of ostrich eggshell are seemingly linear. This makes it hard to identify the boundaries 145 between the ML and SqZ, and between the SqZ and EZ in inverse pole figure (IPF) Y and 146 Euler maps (Zelenitsky and Modesto, 2003; Mikhailov, 2014). However, EBSD provides 147 highly-magnified images such that weakly developed rugged GB in the SqZ and slightly

- 148 more linear GB in the EZ are observed (Appendix 1—figure 1). Thus, we support the view
- 149 that there is a SqZ/EZ boundary near the outer surface of eggshell (Mikhailov, 2014). The
- 150 peculiar prismatic microstructure of ostrich eggshell might have been derived from weakened
- 151 development of squamatic ultrastructure and 'splaying' calcite growth.
- 152
- 153 Rhea (*Rhea* sp.)



155 **Figure 2.** Rhea eggshell. Scale bars equal 500 μm.

157 Rhea possesses microstructure and crystallography common to most palaeognath eggshells158 (Figure 2). The ML is comparatively thick and composed of wedge-like calcite. The

159	boundary between the ML and SqZ is clear and can be identified by the contrasting
160	microstructures. The SqZ is characterized by 'splaying' of the grain shape. There is
161	crystallographic continuity between the SqZ and EZ, but calcite crystals in the EZ are usually
162	prismatic in shape. Note that the overall microstructure is nearly the same as that of Sankofa
163	pyrenaica and Pseudogeckoolithus, which are Late Cretaceous ootaxa (fossil egg-types) from
164	Europe (López-Martínez and Vicens, 2012; Choi et al., 2020). Low-angle GB are mostly
165	concentrated in the SqZ. In ML and EZ, GB are linear, while in SqZ, GB are highly rugged.
166	This trait can be observed even in simple secondary electron SEM images of rhea eggshells
167	(Choi et al., 2019).

- 168
- 169 Emu (Dromaius novaehollandiae) and cassowary (Casuarius casuarius)

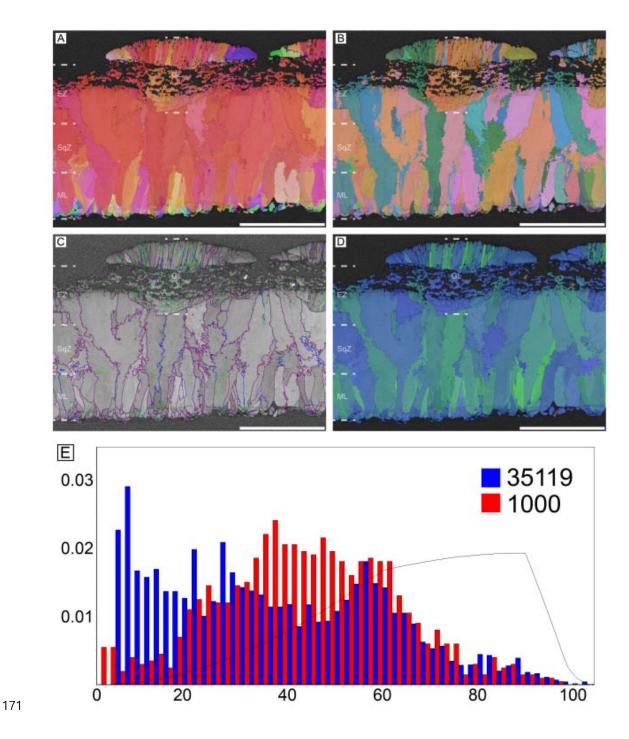


Figure 3. Emu eggshell. Note that deposition of granular layer (GL) begins in the EZ. Scale
bars equal 500 μm.

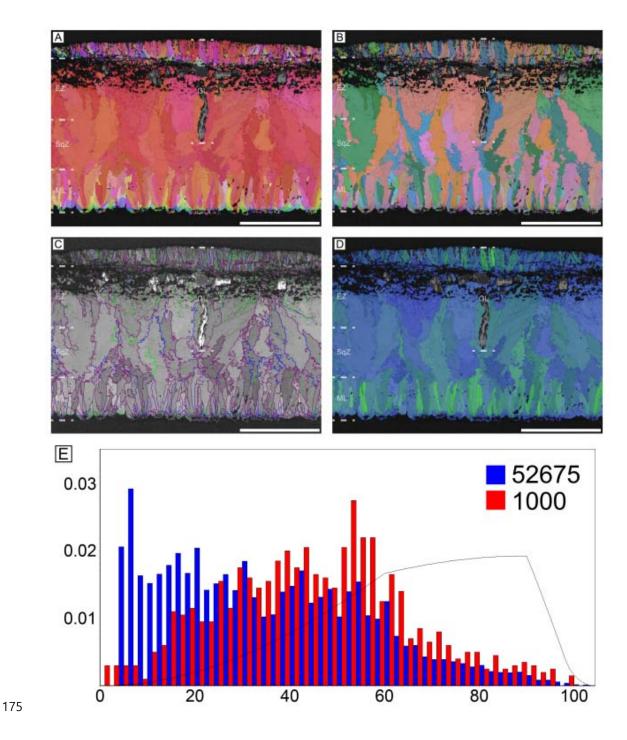


Figure 4. Cassowary eggshell. Note that deposition of granular layer (GL) begins in the
middle of SqZ. Scale bars equal 500 μm.

178

179 Microstructure and crystallography of both genera are nearly the same, thus, they are

180 described together (Figures 3 and 4). The major differences are that cassowary eggshell has a 181 higher density of calcite grains in their ML and SqZ and presence of EZ is less clear. The ML 182 of both genera has wedge-like calcite. The boundary between the ML and SqZ is clear. SqZ is 183 characterized by 'splaying' of the grain shape. The SqZ is changed into EZ in outer region of 184 compact part of the eggshell, which is characterized by different GB conditions. The 185 'resistant zone' (sensu Zelenitsky and Modesto, 2003; see Appendix 1-figure 2B) of the 186 eggshell shows crystallographic continuity with EZ. Therefore, we suggest that the 'resistant 187 zone' be interpreted as a modified EZ that acquired porosity (Appendix 1-figure 2D). This 188 view is different from that of Zelenitksy and Modesto (2003) who regarded 'resistant zone' as 189 a modified SqZ. Additionally, Grellet-Tinner (2006) interpreted this 'resistant zone' as a 190 'third layer' (= EZ in our terminology), which is partly in agreement with our view. In 191 contrast, we suggest that the outer part of the 'second layer' (= SqZ in our term) in Grellet-192 Tinner (2006) is, in fact, part of EZ. Another unique feature of cassowary and emu eggshells 193 is their 'granular layer' (sensu Mikhailov, 1997a; Appendix 1-figure 2A). Although this 194 layer was consistently reported in earlier studies (Mikhailov, 1997a; Zelenitsky and Modesto, 195 2003; Grellet-Tinner, 2006), it was usually treated as a layer simply overlying the porous EZ. 196 However, this layer has a deep triangular 'root' to the middle of eggshell (Lawver and Boyd, 197 2018; Choi et al., 2020; note that the granular layer begins in SqZ in cassowary eggshell but 198 in EZ in emu eggshell in our Figures 3 and 4, but it was not clade-specific and variable in 199 both eggshells). Note that except for 'resistant zone' and granular layer, the overall 200 microstructure of both emu and cassowary eggshells is very similar to those of 201 Pseudogeckoolithus and Sankofa (López-Martínez and Vicens, 2012; Choi et al., 2020). 202 Dissimilar to the rhea eggshell, the low-angle GB are not concentrated in SqZ, but usually 203 present in granular layer (both outer granular part and its 'root'). In ML, GB are linear. The 204 GB becomes highly rugged in the SqZ. The GB becomes linear again before they reach

- 205 'resistant zone', but this pattern is more prominent in emu eggshells. In the outer granular
- 206 layer, GB are usually linear and lie parallel to each other.

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208 Kiwi (Apteryx mantelli)

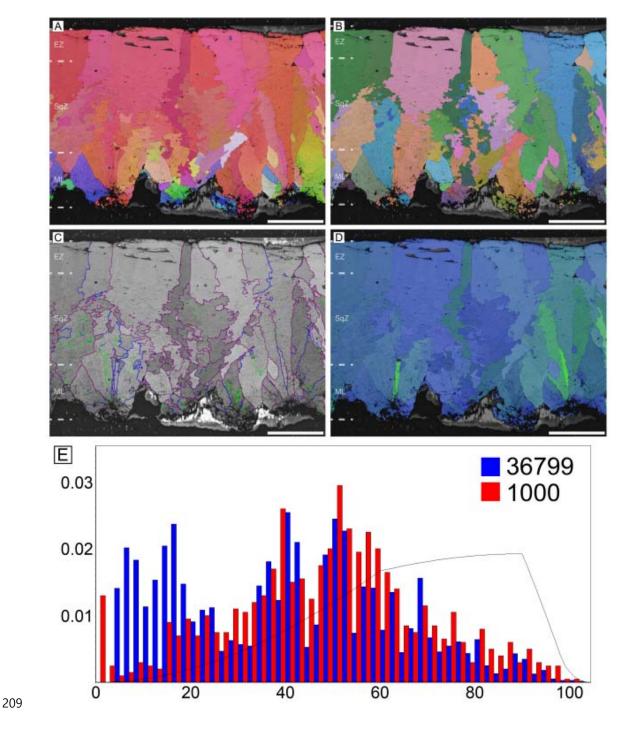
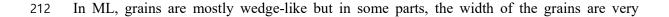


Figure 5. Kiwi eggshell. Scale bars equal 100 μm.



213	narrow so that needle-like (acicular) in shape (Figure 5; Zelenitsky and Modesto, 2003; but
214	see Grellet-Tinner, 2006). The contour of ML is usually round. The boundary between the
215	ML and SqZ is clear due to the contrasting grain shapes but the boundary can be extended
216	into the middle of eggshell. The EZ crystals are massive and comparatively thick. Low-angle
217	GB are mostly situated at the ML but low-angle GB are not abundant unlike other
218	palaeognath eggshells. In ML and EZ, GB are linear. In SqZ, the GB are highly rugged.
219	

220 Elephant bird (Aepyornithidae)

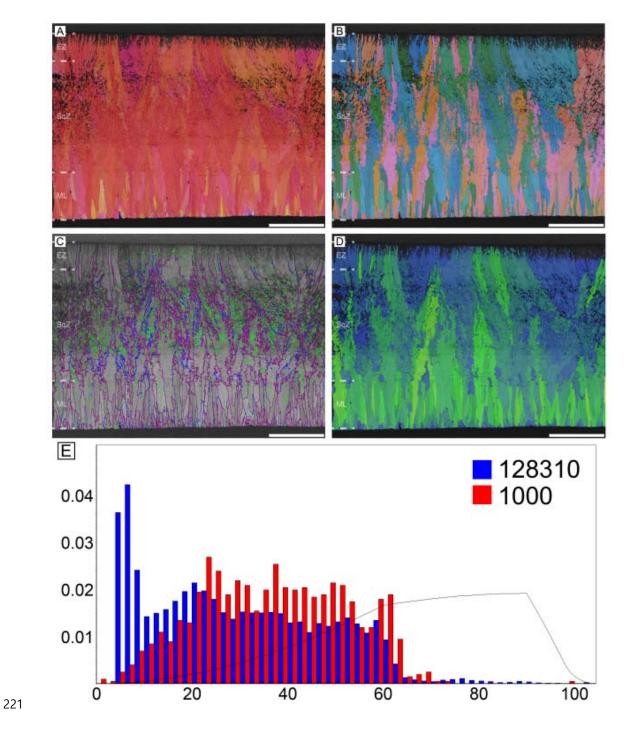


Figure 6. Elephant bird eggshell. Scale bars equal 1000 μm.

As the largest known avian egg, it has the thickest eggshell (3.8 mm in average) (Figure 6;

225	Schönwetter, 1960–1978, Ar et al., 1979; Juang et al., 2017). Its microstructure and
226	crystallography are closely similar to those of rhea eggshell despite the difference in
227	thickness (Figure 2). The ML is composed of wedge-like calcite. The boundary between the
228	ML and SqZ is easily identifiable due to the microstructural difference. However, extent of
229	'splaying' in SqZ is far less than that of rhea eggshell and more similar to a 'cryptoprismatic'
230	SqZ reported from non-avian maniraptoran eggshell Macroelongatoolithus (Jin et al., 2007).
231	The grains in the EZ becomes weakly prismatic. Low-angle GB are mostly situated at SqZ as
232	in rhea eggshell. In ML and EZ, GB are linear. In the SqZ, GB are rugged.

- 233
- 234 Tinamous (*Eudromia elegans* and *Nothoprocta perdicaria*)

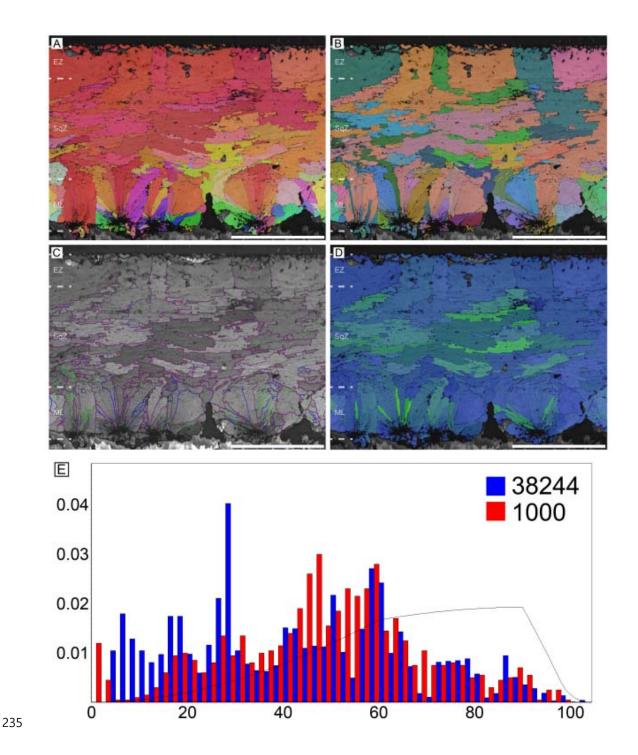


Figure 7. Elegant crested tinamou eggshell. Scale bars equal 100 μm.

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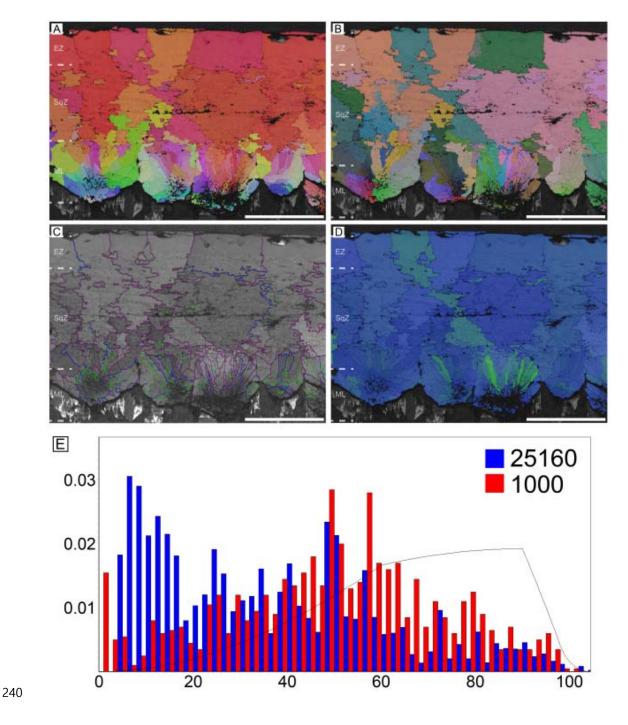


Figure 8. Chilean tinamou eggshell. Scale bars equal 100 μm.

243 The eggshells of elegant crested tinamou (Eudromia elegans) and Chilean tinamou 244 (*Nothoprocta perdicaria*) are described together due to their similarity (Figures 7 and 8). The 245 ML is characterized by clear needle-like calcite grains (Zelenitsky and Modesto, 2003; 246 Grellet-Tinner and Dyke, 2005; Grellet-Tinner, 2006), which is reminiscent of that of non-247 avian maniraptoran eggshells (especially *Elongatoolithus* and *Reticuloolithus*) (Appendix 1— 248 figure 3). The overall contour of ML is usually round (Grellet-Tinner, 2006). The boundary 249 between the ML and SqZ is clear. In SqZ, the grain shape is highly irregular in elegant 250 crested tinamou eggshell, but Chilean tinamou eggshell has 'splaying' structure. In EZ, the 251 grains are massive. Low-angle GB mostly exist in ML. In ML and EZ, GB are linear but in 252 the SqZ, GB are highly rugged.

253

Thin (possibly *Pachyornis geranoides*) and middle (possibly *Euryapteryx curtus*) thickness moa eggshells (Dinornithiformes)

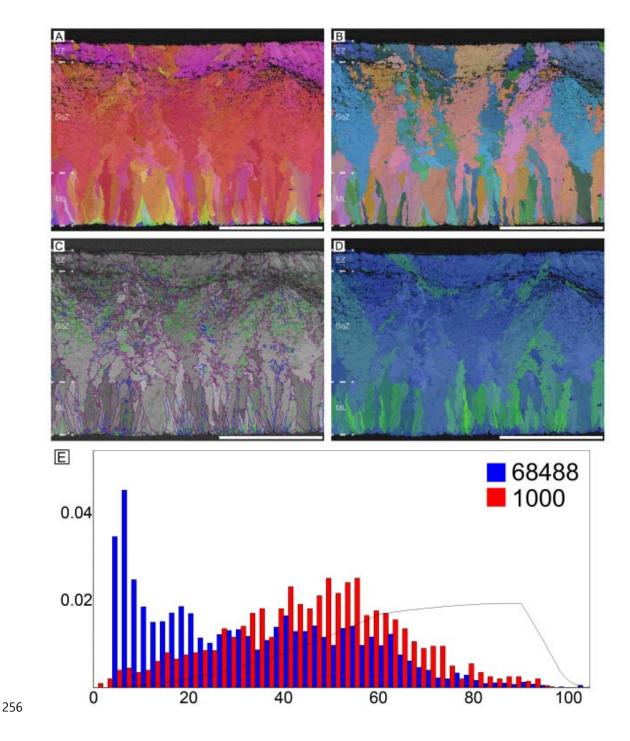


Figure 9. Thin moa eggshell (potential egg of *Pachyornis*). Scale bars equal 500 μm.

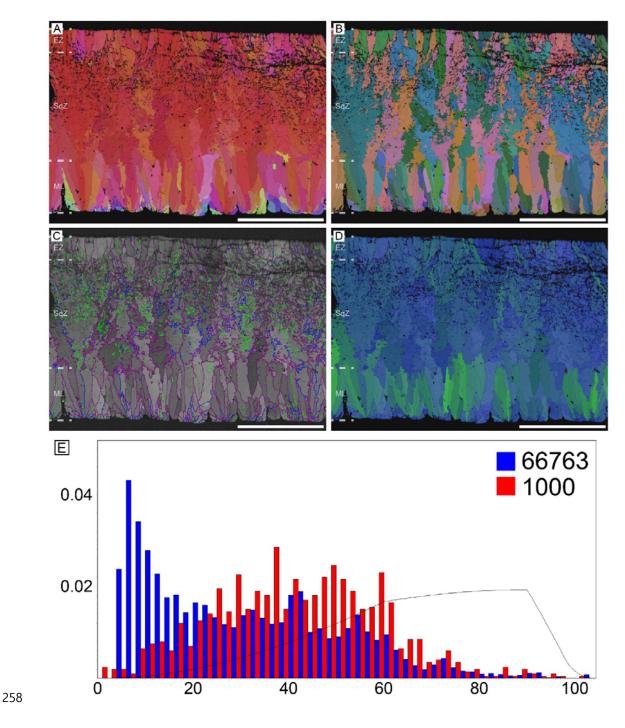


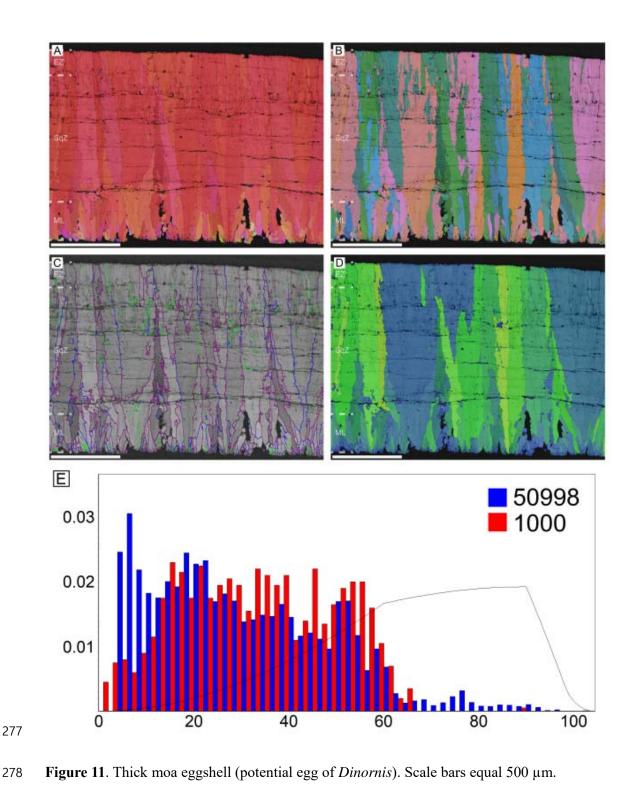
Figure 10. Middle thickness moa eggshell (potential egg of *Euryapteryx*). Scale bars equal
500 μm.

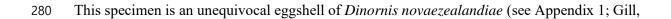
262 These samples are most likely eggshells of Pachyornis geranoides and Euryapteryx curtus,

263 respectively, although they may belong to a single species (see Appendix 1; Gill, 2021). The 264 overall microstructure and crystallography of both eggshells are similar to those of rhea 265 eggshell (Figures 9 and 10). The ML is composed of wedge-like calcite grains. The boundary 266 between the ML and SqZ is clear. The SqZ is characterized by the 'splaying' of the grain 267 shape. However, the EZ is not as prominent as that of rhea eggshell although grain boundary 268 is comparatively linear in the middle thickness moa eggshell (Figure 10). The grains in the 269 EZ are irregular in shape, and this may be the reason why EZ of moa eggshell was not 270 reported until the early 2000s notwithstanding the fact that moa eggshells had been described 271 since the late 1800s (Zelenitsky et al., 2002). The GB features for thin and middle thickness 272 moa eggshells are similar to that of rhea eggshell. The only difference is that the GB linearity 273 at the EZ of thin and middle thickness moa eggshells is much weaker than that of rhea 274 eggshell.

275

276 Thick moa (*Dinornis novaezealandiae*) eggshells (Dinornithiformes)





281 2021). The microstructure is similar to that of ostrich eggshell in that long prismatic shell 282 units occupy the whole thickness of the eggshell although the shell units are not as narrow as 283 those of ostrich eggshell (Figure 11). The ML is wedge-like. It is worth mentioning that the 284 outline of ML is round (Appendix 1-figure 3E). The boundary between the ML and SqZ, 285 SqZ and EZ are not clear because the 'splaying' of the SqZ is very weak as in ostrich 286 eggshell. However, as in the case of ostrich eggshell, the GB condition provides an 287 alternative way for identification of SqZ and EZ (Appendix 1—figure 1D). Low-angle GB 288 are not confined to a certain layer, but widespread in the eggshell. Similar to ostrich eggshell, 289 GB are mostly linear and lack 'splaying' microstructure and highly rugged GB in SqZ. 290 However, in magnified view, one can observe slight ruggedness in SqZ (Appendix 1—figure 291 1D).

292

293 Lithornis

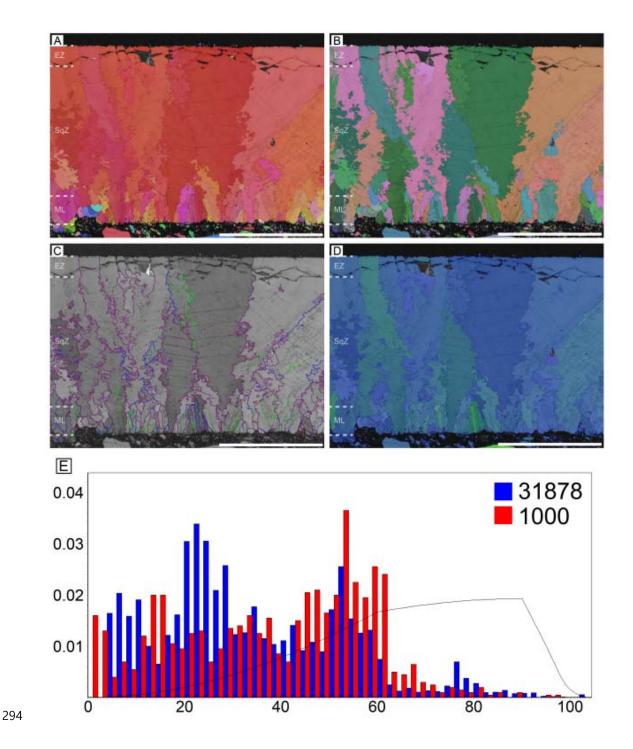


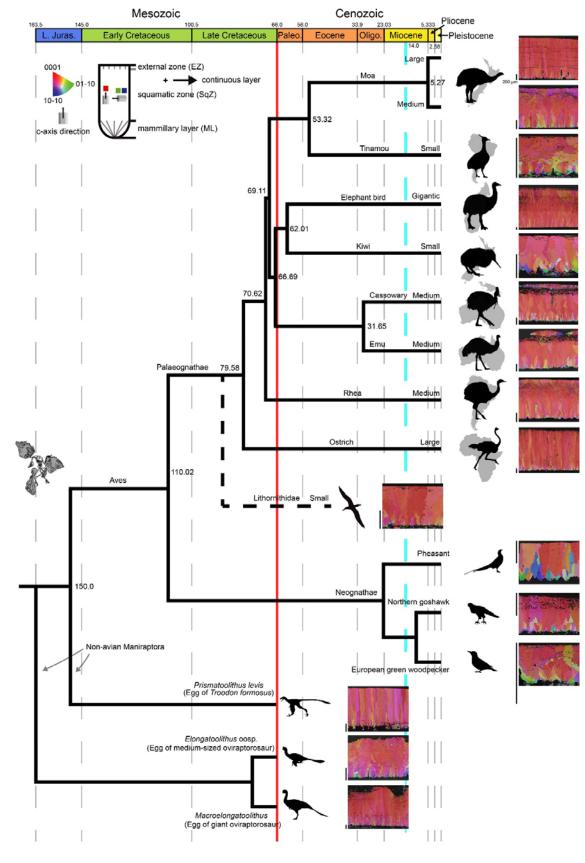
Figure 12. *Lithornis* eggshell (see also Houde, 1988; Grellet-Tinner and Dyke, 2005). Scale
bars equal 250 µm.

298 Paleocene Lithornis eggshell has many features in common with tinamou eggshells (Figure 299 12; Houde, 1988; Grellet-Tinner and Dyke, 2005). The ML of Lithornis celetius eggshell is 300 composed of needle-like calcite and overall shape of ML is weakly round in some parts of the 301 eggshell. The SqZ shows clear 'splaying' structure and the crystals of EZ is massive. 302 Lithornis eggshell is more similar to the Chilean tinamou eggshell compared to the elegant 303 crested tinamou eggshell. Low-angle GB is mostly present in ML. ML and EZ are composed 304 of linear GB, while SqZ is composed of rugged GB. The prominent slash patterns inside the 305 SqZ are calcite twinning, which are diagenetically deformed calcite structure only found in 306 fossil eggshells (i.e. abiogenic in origin; Choi et al., 2021, under review). See also Grellet-307 Tinner and Dyke (2005) for SEM micrographs of Lithornis vulturinus eggshell that has 308 wedge-like ML.

309 See also Appendix 1 and Appendix 1—figures 4–12 for selected neognath and non-avian
310 maniraptoran dinosaur eggshells for comparison.

311

312 Overview for inverse pole figure mapping



314 Figure 13. IPF mappings of palaeognath, neognath, and non-avian maniraptoran eggshells. 315 The phylogeny and speciation timelines of palaeognath are based on Yonezawa et al. (2017) 316 and Bunce et al. (2009); branching in neognath and non-avian Maniraptora are arbitrary, not 317 reflecting specific time. The speciation time of Lithornithidae (marked with a dashed line) is 318 unknown. Silhouettes represent taxa and their habitats. All scale bars left to the IPF mappings 319 is 200 µm. A red solid line marks K/Pg boundary; a dashed skyblue line denotes the initiation 320 of cooling events in Miocene that might have caused gigantism of Palaeognathae (Crouch 321 and Clarke, 2019). Silhouettes are attributable to (http://www.phylopic.org): Emily 322 Willoughby (Citipati); Scott Hartman (Paraves), and Matt Martyniuk (Gigantoraptor). Other 323 artworks are drawn by SC and NHK.

324

325 Morphologically, palaeognath eggshells had been loosely categorized into three morphotypes 326 (Zelenitsky and Modesto, 2003; Grellet-Tinner and Dyke, 2005; Grellet-Tinner, 2006). 327 Ostrich-style (i.e. ostrich and thick moa eggshell) consists of wedge-like ML and prismatic 328 shell units with near-absence of 'splaying' SqZ. Rhea-style (i.e. rhea, emu, cassowary, 329 elephant bird, and thin & middle thickness moa eggshells) has been characterized by wedge-330 like ML and splaying SqZ. Finally, the tinamou-style (i.e. tinamou, kiwi, and Lithornis 331 eggshells) is represented by needle-like ML, splaying SqZ, and massive EZ (but see below). 332 Noticeable qualitative features (Figure 13) of palaeognath eggshells are: (i) calcite grains 333 have strong vertical *c*-axis alignment (hence, mostly reddish in IPF Y mappings), which may 334 be homologous to that of Mesozoic maniraptoran eggshells (Moreno-Azanza et al., 2013; 335 Choi et al., 2019, 2020, 2022); (ii) ML is mostly composed of wedge-like calcite but needlelike calcite is present or dominant in tinamou-style eggshells (Zelenitsky and Modesto, 2003; 336 337 Grellet-Tinner and Dyke, 2005; Grellet-Tinner, 2006; Appendix 1—figure 3); (iii) tinamou-

338 style eggshells have round (or barrel-shaped) ML (Grellet-Tinner, 2006); thick moa eggshell 339 appears to have round ML (Appendix 1—figure 3); (iv) ML and SqZ are easily differentiated 340 due to grain shape differences except for in ostrich-style eggshells where the boundary 341 between the two layers is unclear; (v) calcite in SqZ are mostly 'splaying' (sensu Panhéleux 342 et al., 1999). However, calcite in SqZ of ostrich-style eggshells are nearly prismatic; (vi) EZ 343 exists in all palaeognath eggshells; (vii) cassowary and emu eggshells have peculiar 344 ornamentation on the outer surface (= 'granular layer' sensu Mikhailov, 1997a) and very 345 porous outer EZ (see Appendix 1—figure 2).

Compared to palaeognath eggshells, neognath eggshells are characterized by: (i) 346 347 comparatively weak vertical *c*-axis alignment (see Appendix 1—figures 4–8 for selected 348 neognath eggshells; Grellet-Tinner et al., 2017; Choi et al., 2019; López et al., 2021; see also 349 Oser et al., 2021 for a similar case of Mesozoic egg); (ii) boundary between ML and SqZ is not easily identified because of prismatic shell units (Mikhailov, 1997b). However, SqZ of 350 351 common murre (Uria aalge) (Charadriiformes) eggshell is similar to that of rhea-style 352 palaeognath eggshell in that 'splaying' is clear (Appendix 1-figure 7) and the overall 353 structure of European green woodpecker (Picus viridis) eggshell (Appendix 1-figure 8) is 354 remarkably similar to that of tinamou-style palaeognath eggshell. Mikhailov (1997a, 2019) 355 pointed out that some eggshells of four neognath clades (Galliformes, Anseriformes, 356 Coraciiformes, and Piciformes) have palaeognath-eggshell-like microstructure and 357 Charadriiformes might be added to this list (Appendix 1—figure 7).

Non-avian maniraptoran eggshells (see Appendix 1—figures 9–12 for selected examples) have strong vertical *c*-axis alignment as in palaeognath eggshells (Moreno-Azanza et al., 2013; Choi and Lee, 2019; Choi et al., 2019, 2020, 2022). Shell unit structure of oviraptorosaur eggshells is similar to that of rhea-style palaeognath eggshells except for

- 362 needle-like ML, whereas shell unit structure of troodontid eggshell is strikingly similar to
- 363 ostrich-style palaeognath eggshell. See Choi et al. (2019) for further information.

364

365 Grain boundary mapping and actual size & thickness of egg

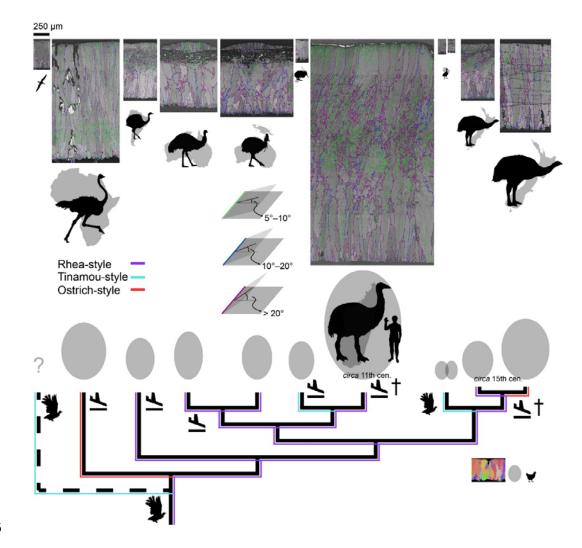


Figure 14. GB mappings, eggshell thickness, and egg size of Palaeognathae. The green, blue, and purple lines in GB mapping denote the angle range between the calcite grains. All eggshell maps (including IPF mapping of chicken eggshell for comparison) are drawn to scale; note a scale bar at the upper left corner. The silhouettes of palaeognath are drawn to

371 scale (note a human next to elephant bird and a chicken at the lower right corner). Egg shape 372 and size are drawn to scale (Hauber, 2014; Stoddard et al., 2017). Two recently extinct 373 lineages are marked by daggers and the extinct Lithorinithidae by a dashed branch. Landing 374 symbols denote potential independent losses of flight (Mitchell et al., 2014; see also Sackton 375 et al., 2019) and flying bird silhouettes denote volant taxa. Sky blue lines show 376 microstructural and crystallographic similarities among tinamou-style eggshells that is 377 attributable to homoplasy. Red lines mean the homoplastic similarities between ostrich-style 378 eggshells. Purple lines represent potential homologies of rhea-style eggshells.

379

380 The main features of palaeognath eggshells are: (i) ostrich-style and rhea-style eggshells have 381 extensive low-angle GB (lower than 20 degrees; green and blue lines in Figure 14) although 382 the positions of high densities of low-angle GB vary in each clade. In ostrich eggshell, low-383 angle GB are concentrated at the outer part of ML. In rhea, elephant bird, and thin moa 384 eggshells, low-angle GB are mostly concentrated at the SqZ. In emu and cassowary 385 eggshells, low-angle GB are not widespread in SqZ, but abundant in the granular layer. In the 386 thick moa eggshell, low-angle GB is not confined to certain positions; (ii) High-angle GB are 387 dominant in tinamou-style eggshells and low-angle GB are mostly present in ML as in 388 neognath eggshells; (iii) Ruggedness of GB changes abruptly at the boundary between SqZ and EZ in rhea, emu, kiwi, elephant bird, tinamou, and Lithornis eggshells although 389 390 cassowary and thin moa eggshells show less prominent change. The ruggedness of GB is 391 very slightly changed in ostrich-style eggshells, which have prismatic shell units.

In general, large eggs have thick eggshells and small eggs have thin eggshells (Figure 14; Ar et al., 1979; Juang et al., 2017). A notable outlier to this trend is kiwi eggs. Although kiwi eggs are large (especially compared to their body size; Abourachid et al., 2019), their

eggshell is thin (Vieco-Galvez et al., 2021), comparable to that of smaller tinamou eggshell.
Besides, the ellipticity and asymmetry of diverse avian eggs were investigated by Stoddard et
al. (2017). We reused their data to present the egg shape indices of palaeognath eggs
(Appendix 1—figure 13). The result shows that, compared to neognath eggs, palaeognath
eggs are characterized by low asymmetry but ellipticity distribution is not very different from
that of neognath eggs, consistent with the result of Deeming (2018).

401

402 Calcite grain aspect ratio

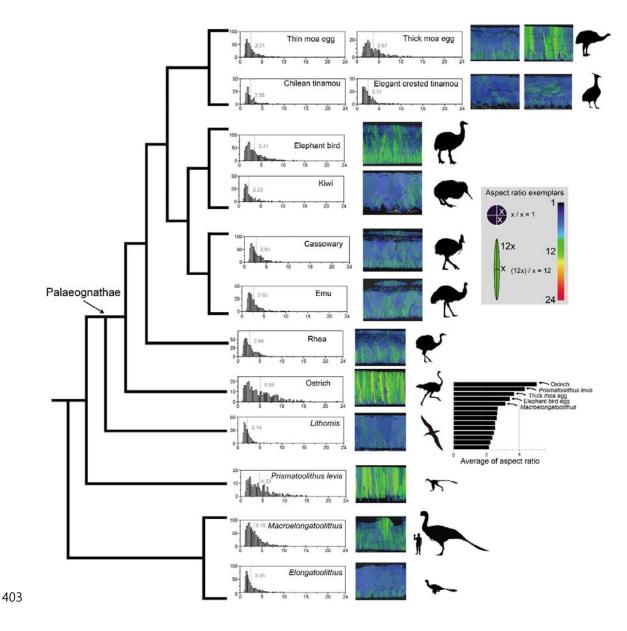


Figure 15. AR mappings and histograms of palaeognath and non-avian maniraptoran eggshells. Note that ostrich, (Late Cretaceous) *Prismatoolithus levis*, giant moa (possibly *Dinornis*), elephant bird, and (Late Cretaceous) *Macroelongatoolithus* eggshells are characterized by higher AR. The vertical bars and numbers in the histograms mean the average point of AR distribution and its value, respectively. Silhouettes of non-avian dinosaur are drawn to scale.

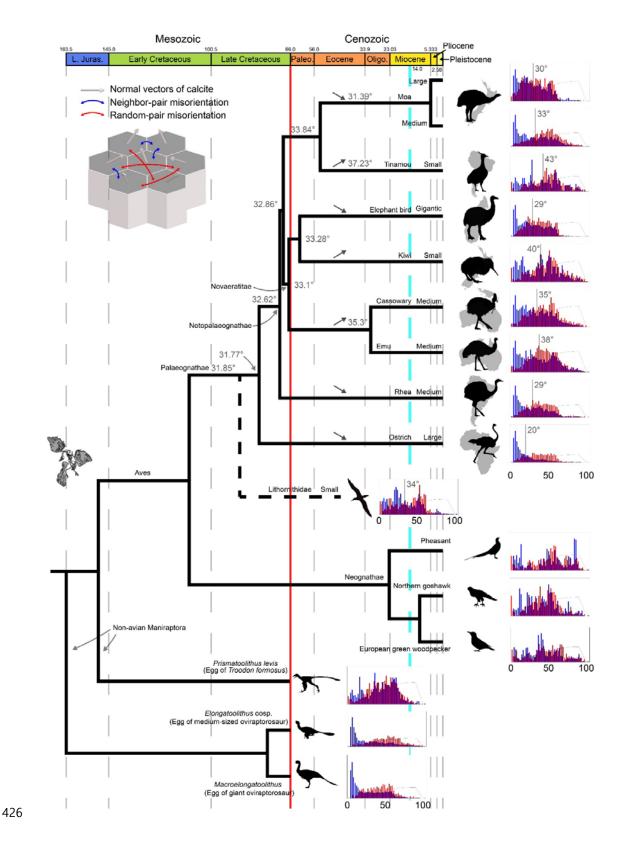
The main characteristics of palaeognath eggshells are (Figure 15): (i) rhea, emu, cassowary, kiwi, tinamou, thin moa, and *Lithornis* eggshells have relatively low aspect ratio (AR); (ii) ostrich, elephant bird, and thick moa eggshells show high AR. Compared to other palaeognath eggshells, these three eggshells have highly positively skewed AR distribution as well. Notably, these three eggshells are also the thickest among the palaeognath eggshells (Figure 14).

Neognath eggshells analysed in this study do not show high AR (Appendix 1—figures 4– 8). *Prismatoolithus levis* and *Triprismatoolithus* show high AR, while *Elongatoolithus* has low AR (Appendix 1—figures 9–12). Intriguingly, *Macroelongatoolithus*, which was suggested to have 'cryptoprismatic' shell unit structure (Jin et al., 2007) shows intermediate AR between the two extremes (Figure 15).

422 Appendix 1 and Appendix 1—figure 3 discuss how AR could be used to diagnose 'needle-423 like' calcite grains in ML.

424

425 Misorientation distribution



427 Figure 16. Misorientation distributions of palaeognath, neognath, and non-avian

maniraptoran eggshells (adapted from Figure 13). The vertical bars and numbers in the histograms mean the average point of neighbour-pair misorientation (blue) and its value, respectively. The numbers at the nodes represent the ancestral states for mean of neighbourpair MD (note that only one MD of tinamou eggshell was shown for brevity). Up and down arrows mark the changing ancestral state trends of each node compared to the nearest ancestral states.

434

435 Choi et al. (2019) showed that low-angle (< 20 degrees) are dominant in the misorientation 436 distribution (MD) of ostrich and rhea eggshells whereas high-angle (> 20 degrees) are 437 dominant in MD of neognath eggshells analysed in that study. In this study, MD information 438 of palaeognath eggshell is extended to all clades of Palaeognathae. Ostrich and rhea eggshells 439 show low-angle dominant MD under neighbour-pair method (hereafter Type 1 distribution 440 sensu Choi et al., 2019; Figure 16). This pattern is also present in elephant bird, thin, and 441 middle thickness moa eggshell. Emu, cassowary, and thick moa eggshells show slightly 442 different MD: low-angle is less well-dominant compared to the eggshells of the ostrich, rhea, 443 elephant bird, and thin & middle thickness moa eggshells. In contrast, eggshells of kiwi, 444 tinamou, and Lithornis have more high-angle dominant MD. The MD patterns of palaeognath 445 eggshells are more diverse than previously postulated by Choi et al. (2019).

Neognath eggshells used in this study showed high-angle (> 20 degrees) dominant MD, consistent with the result of Choi et al. (2019) (hereafter, Type 2 distribution *sensu* Choi et al., 2019; Figure 16). As far as we know, there is no neognath eggshell that has Type 1 distribution. Even though microstructure of common murre eggshell is similar to that of rheastyle palaeognath eggshell, it does not have Type 1 distribution (Appendix 1—figure 7).

451 As discussed in Choi et al. (2019), Type 1 and 2 distributions already existed in Cretaceous

452 non-avian maniraptoran eggshells (Figure 16; see also Moreno-Azanza et al., 2013; Choi and 453 Lee, 2019; Choi et al., 2020, 2022).

454

455 Ancestral state reconstructions

456 Ancestral states for mean of neighbour-pair MD are very similar for both phylogenetic trees (Yonezawa et al., 2017; Kimball et al., 2019), with a relatively constant ancestral value 457 458 $(\sim 32^{\circ})$ for several major palaeognath clades (Palaeognathae, Notopalaeognathae, 459 Novaeratitae – clade names sensu Sangster et al., 2022; Figure 16). A conspicuous increase is observed for both Casuariiformes (34.7° [Kimball] or 35.3° [Yonezawa]) and Tinamiformes 460 461 $(35.5^{\circ} \text{ [Kimball] or } 37.2^{\circ} \text{ [Yonezawa]})$, while Dinornithiformes show a slight decrease (31.2°) [Kimball] or 31.4° [Yonezawa]). The Apterygiformes-Aepyornithiformes clade shows only a 462 463 minor increase $(33.3^{\circ}$ for both trees), reflecting the divergence between its two sampled members (high value $[40.4^{\circ}]$ for Apteryx, low value $[29.5^{\circ}]$ for Approximately within 464 465 Notopalaeognathae, the lowest values are observed in elephant bird and moa, potentially 466 reflecting a low-angle trend associated with gigantism within that clade. The fact that high 467 values are found for both small (tinamous, kiwi) and large (emu, cassowary) taxa suggests 468 that the reverse is not true, although a larger sample size would be necessary to test that 469 hypothesis. The ostrich shows a very low value (20.1°) compared to other Palaeognathae, 470 suggesting a distinctive crystalline structure within its eggshell. This low value in the ostrich, 471 however, does not affect the ancestral state at the Palaeognathae node in either tree – likely 472 due to the inclusion of *Lithornis*, the earliest-diverging taxon in our sample, which shows a misorientation value of 34.0° closer to that of the recovered ancestral state for Palaeognathae 473 474 (~32°).

475

Ancestral states for AR on the tree from Yonezawa et al. (2017) do not exhibit any

476 conspicuous pattern for major clades, with all internal nodes showing very similar values 477 (\sim 2.6). This is likely due to the small range in values for terminal taxa (2.14–5.05, with all 478 but three species within a 2.14–3 range; Figure 15), which might also explain the very low 479 phylogenetic signal for that trait. The distribution of AR among Palaeognathae seems to be 480 correlated with that of body mass: the highest values (> 3.4) are observed in large species (> 100 kg - Struthio, Aepyornithidae, and Dinornis); small species (< 10 kg - Apteryx, 481 482 *Eudromia*, Nothoprocta and Lithornis) show lower values (< 2.5); and medium-sized species 483 (Rhea, Dromaius, and Casuarius) present an intermediate AR. The only exceptions are the 484 two smaller moa species, which show a much smaller AR (Pachyornis: 2.51; Euryapteryx: 485 2.34) than expected for their body mass, comparable to that of tinamous. The two clades 486 (Eudromia, Nothoprocta) and (Pachyornis, Euryapteryx) are the only subclades recovered 487 with an ancestral AR under 2.6. This could potentially reflect a synapomorphy of calcite grain 488 structure in Dinocrypturi (moa + tinamou), albeit not recovered for this small sample due to 489 the high value in *Dinornis*. The ostrich is recovered as a clear outlier with the highest value in 490 the sample (5.05), again supporting a highly autapomorphic crystalline structure in its 491 eggshell.

492

493 Discussion

494 Evolution of the palaeognath eggshells through time

The phylogeny of Palaeognathae has experienced a set of revolutionary changes since 2008 (compare Livezey and Zusi, 2007 and Yonezawa et al., 2017) and it provides an unexplored chance to trace the evolution of microstructure and crystallography of modern dinosaur eggshells. We interpret our results following the phylogeny of Yonezawa et al. (2017), which provides estimated speciation timelines. But it should be noted that Cloutier et al. (2019) and

Sackton et al. (2019) report an alternate phylogeny, which are characterized by a switching of
the positions of rhea and (tinamou + moa). See Appendix 1 and Appendix 1—figures 14–16
for interpretation based on the phylogeny of Cloutier et al. (2019) and Sackton et al. (2019).

503 (i) Rhea-style microstructure would be synapomorphic to all Palaeognathae or more 504 inclusive monophyletic group of birds (Figure 14) considering the presence of rhea-style 505 eggshells in the Neognathae (see above; see also Mayr and Zelenkov, 2021 for skeletal 506 similarities between the Neognathae and a potential stem group Struthioniformes) and the 507 presence of supposedly rhea-style eggshells in the Upper Cretaceous deposits (see below). 508 Alternatively, Palaeogene Lithornis eggshell may represent the synapomorphic 509 microstructure of Palaeognathae. Volant tinamou and Lithornis (Altimiras et al., 2017; Torres 510 et al., 2020) share considerable similarity not only in their skeletal characters (Houde, 1988; 511 Nesbitt and Clarke, 2016), but also in their eggshell microstructure (Houde, 1988; Grellet-512 Tinner and Dyke, 2005) and crystallography (this study). However, if we assume that similar 513 microstructure of tinamou and *Lithornis* eggshells are indeed homology, then its corollary is 514 that very similar rhea-style microstructure evolved from tinamou-style microstructure 515 independently at least four times (Appendix 1-figure 17). Considering the remarkable 516 microstructural similarities among rhea, casuariid, elephant bird, and moa eggshells, a more 517 reasonable interpretation is that rhea-style eggshells are homologous and the similarity 518 between tinamou and *Lithornis* eggshells is homoplastic (Figure 14). Indeed, the similarity 519 between *Lithornis* and tinamou eggshells is not as complete as that of rhea-style eggshells, 520 and the former similarity may be better described as 'incomplete convergence' (sensu Herrel 521 et al., 2004; see also Losos, 2011).

522 (ii) The three tinamou-style eggshells (tinamous, kiwi, and *Lithornis*) would be 523 homoplastic because the tinamou-style of kiwi would be autapomorphic as well. In fact,

41

although Zelenitsky and Modesto (2003) observed acicular ML in kiwi and tinamou eggshells, the EBSD image of kiwi eggshell shows that wedge-like calcite is more dominant in ML (Figure 5) and this view is in agreement with the view of Grellet-Tinner (2006). Thus, the morphological cohesiveness of 'tinamou-style' is not as solid as that of rhea-style eggshell, so we would suggest that the loosely categorized 'tinamou-style' (e.g. Grellet-Tinner and Dyke, 2005) should not be understood as homologous entity.

530 The homoplasy interpretation of tinamou and Lithornis eggshells brings about an 531 unresolved question. Among the mostly flightless Palaeognathae, tinamou and Lithornis are 532 capable of flight (Figure 14). Legendre and Clarke (2021) showed that flight affects eggshell 533 thickness, but the authors suggested that whether flight affects microstructures of avian 534 eggshells should be further investigated. Mitchell et al. (2014) proposed that there were at 535 least six loss of flight events (Figure 14) in palaeognath lineages (see also Sackton et al., 2019). If so, most of the loss of flight events did not cause a transition in eggshell 536 537 microstructure (i.e. rhea, Casuariidae, elephant bird and small to medium sized moa). Ostrich, 538 kiwi, and thick moa eggshells are exceptions to this trend although there is possibility that 539 ancestral flightless ostrich, kiwi, and large moa eggshells might have rhea-style 540 microstructure in the first place. This hypothesis can be only testable through (unexplored) 541 fossil record.

In this scenario, volant tinamou and *Lithornis* acquired roughly similar microstructure although they maintained flight (Figure 14), meaning that maintaining or abandoning of flight had little influences on microstructures. Instead, the exotic microstructure of tinamou might be related to their cladogenesis (Almeida et al., 2022) because thin and middle thickness moa (a sister clade of tinamou; Phillips et al., 2010) eggshells maintain rhea-style microstructure. Similarly, the microstructure of kiwi eggshell (see also Vieco-Galvez et al., 2021) would be

autapomorphic considering the rhea-style microstructure of its sister clade elephant bird
(Mitchell et al., 2014). Considering that ancestral kiwi might have been a volant clade
(Worthy et al., 2013), the disproportionally large size of egg and peculiar microstructure of
kiwi might have appeared when the cladogenesis of (flightless and extremely precocial)
Apterygidae took place (Worthy et al., 2013).

553 If cladogenesis is indeed related to the evolution of autapomorphic microstructures (see 554 also ostrich-style and casuariid eggshells below), it may have implication for Lithornithidae 555 monophyly (Widrig and Field, 2022). The monophyly of Lithornithidae is supported by recent views (Nesbitt and Clarke, 2016; Yonezawa et al., 2017) but there are also different 556 557 results that support lithornithid paraphyly (Houde, 1988; Worthy et al., 2017). Compared to other clades of Palaeognathae, tinamou and kiwi are speciose (Weir et al., 2016; Almeida et 558 559 al., 2022). If all members of respective group share similar microstructure, it may mean that 560 autapomorphic microstructure may be a feature of monophyletic group. Then, the 561 autapomorphic microstructure of Lithornis eggshell may indirectly support lithornithid 562 monophyly rather than paraphyly.

(iii) Homoplasy-based explanation can be also applicable to the similarity between the two ostrich-style eggshells. Ostrich have developed their peculiar eggshell microstructure after the split from all other Palaeognathae in Late Cretaceous (Figure 13). In case of moa, the cladogenesis of *Dinornis* (ostrich-style eggshell) and other moa (rhea-style eggshells) might have happened in 5.27 Ma (Bunce et al., 2009). Thus, considering the phylogenetic topology of Palaeognathae, the similarity between ostrich and thick moa eggshells was not derived from the common ancestry, therefore, it is homoplasy (Figure 14).

(iv) Casuariid (emu and cassowary) eggshells are nearly identical. We interpret that their
MRCA that lived in Palaeogene Australia (a flightless bird most likely adapted to vegetated

572 and very humid habitat as modern cassowary does; Moore, 2007; Worthy et al., 2014; 573 Mitchell et al., 2014) had already acquired this unique microstructure. Cassowary still lives in 574 humid environments of New Guinea and northeastern Australia, but emu is widely distributed 575 in Australia, including arid environments (Langley, 2018). The role of peculiar microstructure 576 in casuariid eggshells in drastically different environments should be further investigated 577 unless emu have maintained their microstructure simply due to 'phylogenetic inertia' effect 578 (Edwards and Naeem, 1993; but see also Shanahan, 2011). The similarity between emu and cassowary eggshells would be homology. 579

580 (v) The rate of evolutionary change in microstructures of eggshell varies among clades. 581 Casuariid eggshells show long stasis; eggshells of both emu and cassowary changed little 582 since their speciation (31.65 Ma; Figure 13). In contrast, moa eggshells imply a very different 583 story. Bunce et al. (2009) stated that large and medium sized moa diverged in the Pliocene (5.27 Ma; Figure 13). This is not necessarily a long time-interval in evolutionary biology and 584 585 palaeontology, but moa eggshells show very different microstructures and crystallography 586 (Figures 9–11). The contrasting stubborn conservatism (Casuariidae) and swift change (moa) 587 in eggshell microstructure may mean that phenotypic evolution of microstructure of eggshell 588 might not be gradual (e.g. Gould and Eldredge, 1993; see also Pennell et al., 2014a).

(vi) Rhea- and 'ostrich-style' eggshells have Type 1 distribution of MD (or weakened Type 1) while 'tinamou-style' eggshells have Type 2 distributions of MD, therefore, MD pattern of palaeognath eggshells are more complicated than the one postulated by Choi et al. (2019). Choi et al. (2019) posed two scenarios to infer the ancestral MD of Neornithes: the first hypothesis assumed that Type 1 distribution of Palaeognathae is directly inherited from nonparavian dinosaurs; the second hypothesis assumed that Paraves acquired Type 2 distribution while Palaeognathae re-evolved Type 1 distribution. However, both hypotheses assumed that

the ancestral state of MD of Palaeognathae is Type 1 although Choi et al. (2019) analysed just ostrich and rhea eggshells. According to the ancestral state reconstruction presented in this study, it is highly likely that early-diverging Palaeognathae had the weak Type 1 MD notwithstanding the fact that volant *Lithornis* eggshell is characterized by Type 2 MD (Figure 16). Unless this view is negated by future findings, currently, our results show that the two hypotheses posed by Choi et al. (2019) are based on valid postulation (Type 1 MD for ancestral state), which raises additional scientific questions (see below).

We note that our interpretation is mainly based on the phylogeny of Yonezawa et al. (2017), but that might not be the final consensus on this issue (e.g. Sackton et al. 2019). Hence, the interpretation of palaeognath eggshell evolution should depend on the ongoing advancements of palaeognath phylogeny and should be updated accordingly (e.g. agreement on the topology of tree, revised timelines of evolution, inclusion of new fossil taxa data).

608

609 Implications to palaeontology

Palaeognath eggshells provide useful insights into palaeontology (Figures 13, 15, 16) as amodern analogue.

(i) Similar-looking eggshells can be laid by closely-related taxa (e.g. Casuariidae).
However, closely-related taxa can lay very different eggshells (e.g., differing eggshell among
moa taxa). In palaeontology, an embryo of non-avian dinosaur *Troodon formosus* was found
in an egg named *Prismatoolithus levis* (Varricchio et al., 2002). This taxon-ootaxon
relationship has been widely (or over-widely) accepted that many prismatoolithid eggs were
recognized as troodontid eggshell but it should be used with caution (see Mikhailov, 2019).
There is possibility that at least some troodontid dinosaurs might have laid eggshells

dissimilar to *P. levis* as in the case among moa species. On the other hand, distantly-related non-avian dinosaur taxa might have laid similar-looking eggshells independently as in the case of ostrich and thick moa eggshells. These palaeognath eggshells are also morphologically very similar to eggshell of *Troodon formosus* (Zelenitsky and Hills, 1996; Zelenitsky et al., 2002; Varricchio and Jackson, 2004), another clear case of homoplasy (Figure 15).

625 (ii) Differentiating homology from homoplasy in similar-looking phenotypes should have 626 paramount importance in morphology-based fossil egg palaeontology (e.g., Choi et al., 2020). 627 It is highly likely that prismatic microstructures of P. levis, ostrich, and thick moa eggshells 628 are the outcome of homoplastic evolution. In palaeontology, many different types of 629 eggshells are assigned to the oofamily Prismatoolithidae because they have prismatic shell 630 unit structure, but it might be composed of eggshells from polyphyletic egg-layers. For 631 example, if modern ostrich eggshell and thick moa eggshells are parataxonomically classified 632 solely based on morphological criteria, they may be classified as 'Prismatoolithidae' although 633 (moa + ostrich) is not a monophyletic group. Unless homoplastic characters are appropriately 634 separated, the endeavors of parataxonomic systematics would have little evolutionary 635 biological values but merely limited to morphological classification (Mikhailov, 2014, 2019; 636 see also Varrichio and Jackson, 2004), which is sometimes vulnerable to homoplasy (Livezey 637 and Zusi, 2007; Yonezawa et al., 2017). In addition, for a better understanding of taxon-638 ootaxon relationship, homoplastic and homologous similarities should be clearly separated 639 (e.g. see case of Tuştea Puzzle; Grigorescu, 2017; Botfalvai et al., 2017). McInerney et al. 640 (2019) showed that the syrinx, hyoid, and larynx of Palaeognathae are less prone to 641 homoplastic evolution, thus, they might be more valuable for morphology-based 642 classification of Palaeognathae. Similarly, future eggshell studies may concentrate on finding 643 less-homoplasy-prone morphological entities of eggshells.

644 (iii) Prismatic microstructure might be derived from rhea-style microstructure. Among non-645 avian maniraptoran eggshell, *Elongatoolithus* exhibits a rhea-style microstructure but 646 Prismatoolithus presents an ostrich-style one (Figure 13). Intriguingly, the eggshell of 647 gigantic oviraptorosaur (Pu et al., 2017) Macroelongatoolithus has an intermediate AR 648 between *Elongatoolithus* and *Prismatoolithus* (Figure 15). It may represent the intermediate 649 stage between the two morphotypes that might be related with the gigantism of 650 oviraptorosaur. Although correlation is not very clear, we would like to emphasize that thick 651 eggshell of ostrich and large moa are characterized by ostrich-style microstructure (hence, 652 high AR) and the thickest elephant bird eggshell also has high AR (Figure 15). Investigating 653 the relationship between the egg size, eggshell thickness, and AR of extinct maniraptoran 654 eggshell from more future findings may provide further insight into the evolution and 655 function of eggshell microstructure.

656 (iv) There are thin 'ratite-morphotype' fossil eggshells from the Upper Cretaceous deposits 657 (discussed in Choi and Lee, 2019). Considering the estimation that Palaeognathae and 658 Neognathae diverged in the Early Cretaceous (Lee et al., 2014; Yonezawa et al., 2017; Figure 659 13), at least some of Late Cretaceous 'ratite-morphotype' eggshell might belong to early-660 diverging (and volant) Palaeognathae. For example, the European ootaxa Sankofa pyrenaica 661 (López-Martínez and Vicens, 2012), Pseudogeckoolithus cf. nodosus, and P. aff. tirboulensis 662 (Choi et al., 2020) have remarkable rhea-style microstructure. Although, here again, the 663 possibility of homoplasy should not be overlooked, further studies on Cretaceous materials 664 may provide new indirect evidence on the presence of Palaeognathae in the Cretaceous. In 665 fact, the presence of Neognathae in Late Cretaceous was confirmed by body fossils from 666 Maastrichtian (Late Cretaceous) deposits in Antarctica (Clarke et al., 2005) and Europe (Field

et al., 2020), indirectly supporting the presence of Palaeognathae in the Late Cretaceous. If
some Late Cretaceous rhea-style 'ratite-morphotype' eggshells turn out to be true palaeognath
eggshell, our interpretation (Figure 14) will be further supported with evidence.

670 (v) The ancestral state reconstruction of MD exemplifies the importance of fossils in 671 ancestral reconstructions, especially when focusing on early nodes with a high discrepancy 672 between extant and extinct species (e.g. Finarelli and Flynn, 2006; Li et al., 2008; Cascini et 673 al., 2019; Soul and Wright, 2021). Maddison et al. (1984) pointed out that, ideally, at least 674 two outgroups are necessary to unambiguously polarize characters of ingroup taxa. In our 675 study, the only outgroup for extant palaeognath eggshells is Lithornis eggshell. However, at 676 least some rhea-style fossil eggshells from the Upper Cretaceous deposits (e.g. 677 *Pseudogeckoolithus*) are characterized by Type 2 MD, which is also observed in *Troodon* and 678 enantiornithine eggshells (Figure 13; Choi et al., 2019, 2020). If these rhea-style eggshells are 679 confirmed as true palaeognath eggshells and can be included in the future ancestral 680 reconstruction analysis, the ancestral state interpretation might be affected. With an additional 681 outgroup down the phylogenetic tree of Palaeognathae, a better interpretation would be 682 possible.

683 (vi) The current parataxonomic classification usually used by palaeontologists is a 684 compromise between the Linnean rank system (e.g. oofamily, oogenus, and oospecies; 685 Mikhailov et al., 1996) and Hennigian cladistic approach (e.g. Varricchio and Jackson, 2004; 686 Grellet-Tinner et al., 2006; Zelenitsky and Therrien, 2008). It is similar to a philosophy of 687 evolutionary taxonomists who asserted that classification should find a balance between the 688 overall similarity and genealogical history (Wiley and Lieberman, 2011, p. 3). They defined 689 groups based on criteria (e.g. diagnosis) rather than common ancestry. We agree that naming 690 a fossil egg with binomial nomenclature and diagnosis has clear merits for stratigraphic

purposes and communications among researchers (Mikhailov, 2014). However, to guarantee
the objectivity and reproducibility of the classification, we also agree that cladistic approach
should be preferred over somewhat arbitrary similarity-based classification.

694 Nevertheless, the current cladistic approach for palaeoology is not without weaknesses. 695 When a character of two or more different egg types is similar, they are coded into a same 696 state (e.g. '0' or '1'). The presumption of this step is that the shared character state is a shared 697 homolog (Wiley and Lieberman, 2011, p. 13). Again, without the assurance that the same 698 character state is not a homoplasy, the presumption can collapse, and the resultant cladogram 699 can be a 'contaminated' result. That being said, homoplasies can be still useful for 700 ootaxonomy because homoplasies may separately contribute to defining two or more 701 monophyletic ootaxa. Wiley and Lieberman (2011, p. 119) stated "... some homoplasies, 702 taken together, are homoplastic; but taken separately, each may be independent taxic 703 homologies of the monophyletic groups with which they are associated as a diagnostic 704 property". In palaeognath eggshells, for example, roughly defined 'prismatic shell units' of 705 ostrich and thick moa eggshells are homoplastic. However, if the similar features of 706 'prismatic shell units' of both eggshells are used as their respective synapomorphy, the 707 homoplasies will become new respective synapomorphies of the members of a formerly 708 'polyphyletic group' (i.e. ostrich + moa).

709

710 Future research suggestions

The abundance of palaeognath eggshells in Cenozoic deposits makes it a biostratigraphically meaningful fossil (Stidham, 2004; Harrison and Msuya, 2005), but their microstructure and crystallography have rarely been studied. Palaeognath eggshells are widely distributed in Cenozoic deposits with palaeontological or archaeological significance across Africa, Asia,

715 Europe, and Oceania. The eggshells have been conventionally differentiated into 'struthionid' 716 and 'aepyornithid' types based on the shape of pore openings (Sauer, 1972). This simple 717 criterion has been widely adopted in subsequent studies (Sauer and Rothe, 1972; Stern et al., 718 1994; Harrison and Msuya, 2005; Donaire and López-Martínez, 2009; Patnaik et al., 2009; 719 Wang et al., 2011; Pickford, 2014; Blinkhorn et al., 2015; Field, 2020; Mikhailov and 720 Zelenkov, 2020). However, Hirsch et al. (1997, p. 363) stated that "The 'struthionid' and 721 'aepyornithid' pore system ... should not be used solely in the identification and 722 classification of eggshell". Furthermore, slit-like (= 'aepyornithid') and circular pores (= 723 'struthionid') coexist in some Neogene palaeognath eggshell fragments (Bibi et al., 2006; 724 Pickford, 2014) and Quaternary moa eggshells (Gill, 2007, 2021). Potentially, the two 725 different pores may represent just different parts of the egg, at least in some species (Bibi et 726 al., 2006). Instead, we suggest that microstructural and crystallographic approaches presented 727 in this study would provide a better basis for identifying and archiving poorly understood 728 Cenozoic palaeognath eggshells.

729 Palaeoenvironmental information can be acquired from eggshells (Stern et al., 1994; 730 Montanari, 2018; Niespolo et al., 2020, 2021). Because Cenozoic palaeoenvironmental or 731 geological events that might have influenced the evolution of Palaeognathae are 732 comparatively well understood (Mitchell et al., 2014; Claramunt and Cracraft, 2015; Grealy 733 et al., 2017; Yonezawa et al., 2017; Crouch and Clarke, 2019; Figure 13), further analytical 734 investigation on Cenozoic palaeognath eggshells with proper geological and climatological 735 contexts may shed light on the palaeoenvironmental settings of fossil localities and their 736 effects in the evolution of Palaeognathae and its eggshells.

Zooarchaeology (or anthrozoology) is an additional serendipitous field that can be
benefited by thorough understandings of palaeognath eggshells. Palaeognath eggs were not

739 only important food resource for hunter-gatherers (Oskam et al., 2011; Collins and Steele, 740 2017; Diehl et al., 2022) but were also used for cultural purposes such as ornaments or 741 storage containers (Texier et al., 2010; Langley, 2018; Wilkins et al., 2021; Miller and Wang, 742 2022), thereby, they are common in archaeological sites. Because chronological and 743 palaeoenvironmental information inscribed in palaeognath eggshells in archaeological sites 744 are available through isotopic analyses (Sharp et al., 2019; Niespolo et al., 2020, 2021), 745 detailed microstructural information for those eggshells may provide more colourful 746 implications (e.g. identification, harvest timing of egg, and biostratigraphy) about the 747 interactions between early human, specific palaeognath avifauna, palaeoenvironments, and 748 the precise age of palaeognath eggshell materials (e.g. Harrison and Msuya, 2005; Loewy et 749 al., 2020; Niespolo et al., 2021; Douglass et al., 2021a, b). For this, a solid understanding for 750 microstructural evolution of modern palaeognath eggshells can be a helpful basis.

751

752 Materials and Methods

753 Materials

754 Eggshells of all major clades of modern Palaeognathae were analysed (at least ten species 755 including some that became extinct in Holocene): ostrich (*Struthio camelus*), rhea (*Rhea* sp.), 756 emu (Dromaius novaehollandiae), cassowary (Casuarius casuarius), kiwi (Apteryx mantelli), 757 elephant bird (Aepyornithidae), at least two species of moa (Dinornis novaezealandiae and 758 either Euryapteryx curtus or Pachyornis geranoides; see Appendix 1; Gill, 2010, 2021; 759 Huynen et al., 2010), and two species of tinamou (Eudromia elegans and Nothoprocta 760 *perdicaria*). The materials represent the personal collection of YNL (ostrich, rhea, emu, 761 elephant bird, and Chilean tinamou [N. perdicaria]); personal collection of MEH 762 (cassowary); sourced from the Rainbow Springs Kiwi Sanctuary in Rotorua, New Zealand

763 (kiwi); sourced from the Bronx Zoo, New York (elegant crested tinamou [E. elegans]); and 764 sourced from the Auckland War Memorial Museum in Auckland, New Zealand (moa). An 765 eggshell of Paleocene palaeognath Lithornis celetius (YPM 16961) was analysed to acquire 766 the data of fossil palaeognath eggshell. This material was excavated from the Fort Union 767 Formation, Montana (Weaver et al., 2021), and its polarized light microscopic and scanning 768 electron microscopic micrographs were presented in Houde (1988) and Grellet-Tinner and 769 Dyke (2005), respectively. This material was provided by Yale Peabody Museum of Natural 770 History (New Haven, CT, USA).

771 Eggshells of five species of Neognathae, of which EBSD results were not available or 772 insufficiently reported elsewhere, were analysed to provide information of non-palaeognath Neornithes (see Choi et al., 2019, table 1). The eggshells of three species were presented in 773 774 the main text (Figure 13): common pheasant (Galliformes: Phasianus colchicus), northern 775 goshawk (Accipitriformes: Accipiter gentilis), and European green woodpecker (Piciformes: 776 Picus viridis). Japanese quail (Galliformes: Coturnix japonica) and common murre 777 (Charadriiformes: Uria aalge) eggshells are shown in the Appendix 1-figures 5 and 7, 778 respectively. The common pheasant and Japanese quail eggshells were purchased from a 779 local market; eggshells of northern goshawk were provided by a private collector; European 780 green woodpecker eggs were provided by the Delaware Museum of Natural History 781 (Wilmington, DE, USA) and common murre eggshells were provided by Erpur Hansen 782 (South Iceland Nature Research Center).

Four Late Cretaceous non-avian maniraptoran dinosaur eggshells were analysed to provide broad overview of eggshell evolution (Figures 13, 15, 16; Appendix 1—figures 9–12). Three oospecies (parataxonomic classification of fossil eggshell) are presented in the main text: *Prismatoolithus levis, Elongatoolithus* oosp., and *Macroelongatoolithus xixiaensis* (or *M*.

787 carlylei sensu Simon et al., 2018). Prismatoolithus levis is an ootaxon of Troodon formosus 788 (Troodontidae; Varricchio et al., 2002) and the materials are from an egg that contains an 789 embryo (MOR 246; Horner and Weishampel, 1988; Varricchio et al., 2002; Choi et al., 2022). Elongatoolithus (MPC-D 100/1047) and Macroelongatoolithus (SNUVP 201801) are 790 791 oviraptorosaur eggshells (Norell et al., 1994; Bi et al., 2021; Xing et al., 2022) and 792 Macroelongatoolithus was laid by a giant oviraptorosaur (Pu et al., 2017). We also presented 793 EBSD image of Triprismatoolithus stephensi (ES 101; Appendix 1-figure 12). The egg-794 layer of T. stephensi is unknown but suggested to be laid by a theropod dinosaur (Jackson and 795 Varricchio, 2010; Agnolin et al., 2012). We further propose maniraptoran affinity of T. 796 stephensi based on the existence of a SqZ, a diagnostic character of maniraptoran eggshells 797 (Choi et al., 2019).

798

799 EBSD

The methodology of EBSD analysis followed established protocols of Moreno-Azanza et al. (2013) and Choi et al. (2019) except for a newly adopted aspect ratio analysis. See Appendix 1 for details. The data were presented in inverse pole figure, Euler, grain boundary mappings, and misorientation distribution histograms. We had taken more than three maps and misorientation distribution from a single eggshell, and results from the most well-prepared parts of the eggshell were presented.

In this study, aspect ratio mapping (Koblischka-Veneva et al., 2010) was introduced, which was successfully used to analyse the grain shape of brood parasitic and host eggshells (López et al., 2021). In this method, a calcite grain is approximated as an ellipse. Based on the ratio of long to short axes of the ellipse, the grain is assigned to a colour level. This way, the aspect

ratio of calcite grains can be quantitatively presented. We measured aspect ratio of all calcite grains in the maps. However, grains that are out of 50th percentile in area are presented in aspect ratio histograms. This step was necessary because smaller grains usually have a rounder shape and are quantitatively dominant compared to larger and more representative grains.

815

816 Data analysis

817 All statistical analyses were performed in R 4.1.2 (R Core Team, 2022) on each of two 818 distinct calibrated phylogenies for Palaeognathae, taken respectively from Yonezawa et al. 819 (2017) and Kimball et al. (2019). Log-transformed mean values were compiled for 820 misorientation and aspect ratio, and used to perform ancestral state reconstructions on both 821 phylogenetic trees for each trait - i.e. four distinct reconstructions (n = 12 for all analyses). 822 We assigned the three moa eggshell types to the species Dinornis novaezealandiae, 823 Euryapteryx curtus, and Pachyornis geranoides, respectively (electronic supplementary 824 material, text S1). Trees from Yonezawa et al. (2017) and Kimball et al. (2019) did not 825 sample the three moa species in our dataset, but did sample their respective sister groups 826 among moa (Baker et al., 2005, Bunce et al., 2009; Huynen and Lambert, 2014), allowing us 827 to use their respective calibrations for each of them without altering the topology of either 828 tree. Prior to each reconstruction, we estimated phylogenetic signal using Pagel's lambda 829 (Pagel, 1999) in 'phytools' (Revell, 2012) to estimate how strongly the trait of interest 830 follows a Brownian Motion model on the phylogeny of interest. In addition, we fitted 831 different evolutionary models to the data and estimated their goodness of fit based on Akaike 832 Information Criterion corrected for small sample sizes (AICc – Burnham and Anderson 2002), using fitContinuous in 'geiger' (Pennell et al., 2014b) and modSel.geiger in 'windex' 833

(Arbuckle and Minter, 2015), respectively. The fitted models (see e.g. Mitchell et al., 2017)
include Brownian Motion (BM), Ornstein-Uhlenbeck (OU, single-optimum), Early Burst,
Linear Trend, Lambda, and White Noise (i.e. a non-phylogenetic model). We did not test for
more complex models (i.e. OU with multiple optima and/or selective regimes), as these are
prone to high type I error for small sample sizes (Cooper et al., 2016).

839 For both trees, misorientation showed a high phylogenetic signal (Yonezawa: $\lambda = 0.999$; 840 Kimball: $\lambda = 0.987$), with a BM model being selected as the best fit among tested 841 evolutionary models. We thus performed ancestral reconstructions of misorientation 842 following a maximum likelihood BM model using contMap in 'phytools' (Revell, 2012, 843 2013). Conversely, aspect ratio presented a low phylogenetic signal (Yonezawa: $\lambda = 0.625$; 844 Kimball: $\lambda < 0.001$) and a White Noise model was always selected as the best fit. For the tree 845 from Yonezawa et al. (2017), the BM model was selected as the second-to-best model with Δ AICc < 2, indicating it to be as good as the best model (Burnham and Anderson, 2002; 846 847 Richards, 2005; Symonds and Moussalli, 2011); we thus also used *contMap* to reconstruct 848 ancestral states of aspect ratio on this tree. For the tree from Kimball et al. (2019), however, 849 the BM model was selected as the second-to-best model with $\Delta AICc > 2$. This suggests that 850 any optimization of aspect ratio on this tree would not reflect a true evolutionary pattern for 851 our sample; we therefore did not perform this ancestral reconstruction.

852

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857

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865

866 Author contributions

867 Seung Choi, Conceptualization, Methodology, Investigation, Data Curation, Writing-868 original draft, Writing-review & editing, Visualization, Funding acquisition; Mark E 869 Hauber, Conceptualization, Validation, Resources, Writing-review & editing, Supervision, 870 Funding acquisition; Lucas J Legendre, Methodology, Formal analysis, Data curation, Writing-original draft, Writing-review & editing, Visualization, Funding acquisition; Noe-871 872 Heon Kim, Investigation, Writing-review & editing; Yuong-Nam Lee, Resources, Writing-873 review & editing, Supervision, Funding acquisition; David J Varricchio, Validation, 874 Resources, Writing-review & editing, Supervision, Project administration, Funding 875 acquisition

876

877 Author ORCIDs

878 SC, 0000-0002-9013-2909; MEH, 0000-0003-2014-4928; LJL, 0000-0003-1343-8725; NHK,

56

879 0000-0002-3553-3718; YNL, 0000-0003-1067-6074; DJV, 0000-0002-0594-0929

880

881 Data availability

All EBSD data generated or analysed during this study were uploaded in Dryad.

883

884 References

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Microstructural and crystallographic evolution of palaeognath (Aves) eggshells

Seung Choi^{1,2*}, Mark E Hauber³, Lucas J Legendre⁴, Noe-Heon Kim⁵, Yuong-Nam Lee⁵, David J Varricchio^{1*}

¹Department of Earth Sciences, Montana State University, Bozeman, Montana, United States; ²Key Laboratory of Evolutionary Systematics of Vertebrates, Institute of Vertebrate Paleontology and Paleoanthropology, Chinese Academy of Sciences, Beijing, China; ³Department of Evolution, Ecology, and Behavior, University of Illinois at Urbana-Champaign, Urbana-Champaign, Illinois, United States; ⁴Department of Geological Sciences, University of Texas at Austin, Austin, Texas, United States; ⁵School of Earth and Environmental Sciences, Seoul National University, Seoul, South Korea

APPENDIX 1

Supplementary Text 1. Moa eggshells used in this study were collected from the North Cape, northern end of North Island, New Zealand (see Gill 2010, fig. 1). The moa eggshells used in this study were given catalogue number LB8510 in Gill (2010) and we received thin (~0.90 mm), middle (~1.05 mm), and thick (~1.4 mm) eggshells from the Auckland War Memorial Museum. Gill (2010) provided a thickness histogram of North Cape moa eggshells and it showed a bimodal distribution (Gill 2010, fig. 3). It has "a spread of numerous thin eggshell fragments (mode at 0.90–0.94 mm) and a second spread of rarer thicker fragments (mostly 1.2–1.7 mm thick)" (Gill 2010, p. 117). The thicknesses of our three materials fall into thin and thick ranges of Gill (2010).

In North Cape, majority of moa bone fossils are attributable to Euryapteryx curtus and Pachyornis geranoides (Gill 2021), both of them are small- to medium-sized moa (Gill 2000; Bunce et al. 2009). The presence of large moa Dinornis novaezealandiae (Gill 2000; Bunce et al. 2009) was also reported from the North Cape based on body fossils (Gill 2010). Gill (2021) provided key to the identification of North Island moa eggshells. According to the key, moa eggshells thinner than 1.0 mm with slit-shaped pore depression absent or short most likely belong to P. geranoides, eggshells range from 1.0 to 1.3 mm with slit-shaped pore depression most likely belong to Euryapteryx curtus, and eggshells thicker than 1.3 mm with numerous and long slit-shaped pore-depression belong to D. novaezealandiae. According to these criteria, our thick eggshell unequivocally belongs to D. novaezealandiae. In the case of thin eggshell, P. geranoides is the most probable egg-layer because of the thickness (~0.90 mm) and near absence of slit-shaped pore depression. The middle eggshell probably belongs to E. curtus based on the thickness and pore structure. However, considering the variation of pore pattern and thickness within Euryapteryx eggshell (Gill 2021, fig. 1) the thin and middle eggshell may belong to a single species. Therefore, we conclude that our moa eggshell materials derived from D. novaezealandiae and at least one smaller species (E. curtus or P. geranoides). The presence of three species in the North Cape inferred from body fossil record and haplotype data (Gill 2010, 2021; Huynen et al. 2010) is consistent with palaeobiogeography of these taxa inferred from molecular phylogeny (Bunce et al. 2009).

It is noteworthy that Oskam et al. (2011) criticized the use of eggshell thickness as a diagnosis of egg-laying moa species because intraspecific thickness variation among a certain species' eggshell is rather large so that it is hard to differentiate different types of moa eggshells. This criticism is reasonable enough as admitted by Gill (2021), but the clear microstructural and crystallographic contrasts between the thin and thick moa eggshells used in this study (Figures 9–11) strongly support that our materials from the North Cape represent at least two different species of moa.

Supplementary Text 2. The eggshells were embedded in epoxy resin with a drop of hardener and let them consolidate in room temperature for one day. After that, they were cut to expose radial sections using a circular blade. The rough exposed sections were lapped using 400-, 1000- and 3000-grit aluminum compound by hand. Because complete polishing is crucial in EBSD analysis, the sections were polished by hand with 0.5 μ m diamond paste for 20 minutes for each specimen. Finally, each specimen was polished with colloidal silica (0.06 μ m) for 20 minutes using a turntable. The completed radial sections were coated with carbon. EBSD analyses were conducted using a FE-SEM (JEOL JSM-7100F) and its attached EBSD (Symmetry Detector; Oxford

Instrument), housed in the School of Earth and Environmental Sciences, Seoul National University. The accelerating voltage of FE-SEM was 15.0 kV. EBSD analysis was performed in working distance 15.0 mm; 70 degrees tilting of the specimen. The Kikuchi lines were indexed using AZtec software (Oxford Instrument) and step size ranged from 0.25 μ m (in case of tinamou eggshell) to 3 μ m (in case of elephant bird eggshell), depending on the thickness of the eggshells.

The acquired mapping images were enhanced to correct wild spikes and unindexed pixels. A wild spike is an erroneous pixel, which is surrounded by correctly indexed pixels. The wild spikes were all eliminated. An unindexed pixel (or zero solution) is a failed indexing caused by no input of clear crystallographic data (= Kikuchi line) or several nearby grains information overlapped in a pixel, thus the AZtec software failed to read a proper signal. Following the method of Choi et al. (2019), we treated the unindexed pixel as the same signal of surrounding pixels when an unindexed pixel is surrounded by at least six consistent signals.

The acquired EBSD data were presented in four different mappings (Figures 1–12; inverse pole figure [IPF], Euler, grain boundary [GB], and aspect ratio [AR]) and in misorientation histograms. In IPF mapping, c-axis orientation of calcite in the eggshell is presented using colour index. When the c-axis of the calcite grains lie perpendicular to the eggshell surface, the grain is coloured red. In contrast, when the c-axis of calcite grain lies parallel to the eggshell surface, it is coloured green or blue. In a Euler map, differently oriented calcite grains have different colours, thus it is easy to differentiate the individual calcite grains. In GB mapping, grain boundaries between the grains (i.e. misorientation) that are $5^{\circ}-10^{\circ}$, $10^{\circ}-20^{\circ}$, and $>20^{\circ}$ are marked with green, blue, and purples lines, respectively. The grain boundary information is used in constructing the misorientation histograms. In AR mapping, a calcite grain is approximated to an ellipse that has the most similar shape to the calcite grain. When the approximated ellipse is elongated, it is coloured green, yellow and even orange, but when an approximated ellipse is round, it is coloured blue. In making misorientation histograms, neighbour-pair and random-pair distributions were used. The former was acquired by selecting the adjacent pairs of grains and the angle between them are calculated, whilst the latter was calculated by using the randomly selected (hence, usually distant) grains. We selected 1000 randomly chosen grains in constructing the random-pair misorientation to be consistent with former studies that deals with misorientation of fossil and modern eggshells (Moreno-Azanza et al. 2013; Choi and Lee 2019; Choi et al. 2019, 2020, 2022).

Supplementary Text 3. Detailed description for EBSD maps of neognath eggshells

Common pheasant (*Phasianus colchicus*) and Japanese quail (*Coturnix japonica*) (Figures S4, S5): Both species belong to Galliformes and the microstructures and crystallography are similar enough to be described together. As in chicken and duck eggshells (Choi et al. 2019), the vertical alignment of c-axis is not as strong as that of palaeognath eggshells. The ML is wedge-like and the prismatic calcites extend to SqZ and EZ. The boundaries between the ML and SqZ, SqZ and EZ are gradual. As in ostrich-style palaeognath eggshells, a 'splaying' structure is very weak in SqZ. Low-angle GB are rare compared to palaeognath eggshells. ML and EZ have linear GB while SqZ has rugged GB. However, the difference in ruggedness between the layers is not prominent compared to that of palaeognath eggshells. These features are consistent with other galliform eggshells (chicken, Choi et al. 2019; megapode, Grellet-Tinner et al. 2017; turkey, Nys et al. 2004), but guineafowl eggshell has prominent rugged GB in SqZ as in rhea-style palaeognath eggshell (Nys et al. 2004).

Northern goshawk (*Accipiter gentilis*) (Figure S6): The goshawk eggshell has exotic microstructure. The ML is composed of wedge-like calcite. The boundary between the ML and SqZ is gradual. The SqZ seems to be thin while EZ is thick based on grain boundary condition. In outer EZ, the calcite grains are characterized by angular porosities. This feature has not been observed in other avian eggshell and needs further investigation of other accipitriform eggshells (see also Mikhailov 1997a). In addition, the porous EZ is overlain by another layer that is not indexed by EBSD. It means that this layer is not composed of calcite, but other biominerals. Preliminary energy-dispersive X-ray spectroscopy (EDS) analysis showed that Si is enriched in this layer. However, the origin and function of this layer is beyond the focus of current study. Low-angle GB are rarely seen. ML is composed of linear GB. The rugged GB is located near the inner part of the eggshell, while linear GB occupy nearly outer half of the calcite eggshell. This result may imply that the SqZ occupies only a small portion of the eggshell and EZ is dominant in the eggshell. Also, the angular porosities mentioned above may be confined to the EZ. However, in most avian eggshells, the vesicles are usually located in SqZ, but in the northern goshawk

eggshell, vesicles are widely distributed to the outer end of calcitic eggshell so identifying SqZ and EZ are equivocal. Along with the non-calcite biominerals mentioned earlier, testing this hypothesis is an unexplored issue in Accipitriformes biology, but we will not investigate this issue in this study. See also Dalbeck and Cusack (2006) for EBSD imaging for eggshell of another Accipitriformes, *Aquila chrysaetos*.

Common murre (*Uria aalge*) (Figure S7): Compared to the other neognath eggshells, the common murre eggshell has prominent vertical c-axis alignment, similar to that of palaeognath eggshells. The ML is wedge-like. Similar to palaeognath eggshell, the boundary between the ML and SqZ is easily identified due to the 'splaying' microstructure of SqZ. The calcite in the EZ is massive. Overall, common murre eggshell is remarkably similar to Guineafowl (Numididae, Galliformes) eggshell (Nys et al. 2004). Both eggshells are dissimilar to typical 'prismatic neognath morphotype' (Mikhailov 1997b), but more like rhea-style palaeognath eggshell. Low-angle GB is usually confined to ML. In ML and EZ, GB are linear while in SqZ, GB are rugged.

European green woodpecker (*Picus viridis*) (Figure S8): The overall microstructure is strikingly similar to that of tinamou eggshells. It has relatively strong vertical c-axis alignment as palaeognath eggshells. The ML has needle-like structure although wedge-like structure is also observed. The boundary between the ML and SqZ is clear due to the contrasting microstructure. Grains are mostly irregular in SqZ. The EZ is composed of massive calcite grains. Mikhailov (1997a) already pointed out that eggshell of woodpecker (Neognathae) is similar to palaeognath eggshells. This observation can be further supported by the current study. Low-angle GB are concentrated on the ML. The GB configuration is similar to that of tinamou eggshell. The only minor difference is that the GB linearity in EZ is not as prominent as that of tinamou eggshells.

Supplementary Text 4. Detailed description for EBSD maps of non-avian maniraptoran eggshells

The microstructural and crystallographic features of oviraptorosaur (*Elongatoolithus* oosp. and *Macroelongatoolithus xixiaensis* [or *M. carlylei sensu* Simon et al. 2018]; Figures S9, S10) and troodontid eggshell (Figure S11) were fully described in Choi et al. (2019). Briefly, oviraptorosaur eggshells have rheastyle microstructure and crystallography except for the presence of needle-like ML and absence of EZ. This trait is more prominent for *Elongatoolithus; Macroelongatoolithus* is characterized by weakly developed, hidden prismatic structure (or cryptoprismatic structure *sensu* Jin et al. 2007). Oviraptorosaur eggshells have widespread low-angle GB, not confined to certain part of the eggshell. ML has linear GB, but in SqZ, GB becomes highly rugged. They do not have EZ that is characterized by linear GB. In contrast, troodontid eggshell (*Prismatoolithus levis*; Zelenitsky and Hills 1996; Varricchio et al. 2002; Varricchio and Jackson 2004; Funston and Currie 2018) has well-developed prismatic shell units. In addition, *P. levis* has EZ (Varricchio and Jackson 2004), a feature that is usually found in avian eggshell. Although the existence of EZ is still challenged (e.g. Mikhailov 2014), general consensus is that EZ exists in *P. levis* (Zelenitsky and Therrien 2008; Choi et al. 2019). Troodontid eggshell is characterized by linear GB in ML and EZ, but SqZ has very weakly developed rugged GB.

Triprismatoolithus stephensi (Figure S12): The overall microstructure is similar to that of *P. levis* in that prismatic shell units occupy the whole thickness of eggshell. The ML is wedge-like, and the boundary between the ML and SqZ is gradual. The existence of EZ can be identified by grain boundary condition and polarized light microscopic features (Jackson and Varricchio 2010; Varricchio and Jackson 2016). The overall GB configuration is similar to that of *P. levis*. Low-angle GB are rare. In ML and EZ, grain boundaries are linear but in SqZ, grain boundaries are rugged. However, the difference in ruggedness of SqZ and EZ is clearer compared to the *P. levis*.

Supplementary Text 5. Further information for aspect ratio

Because it is known that *Prismatoolithus levis* is characterized by very narrow shell units (Zelenitsky and Hills 1996; Varricchio et al. 2002; Varricchio and Jackson 2004), whereas the shell unit structure of oviraptorosaur *Macroelongatoolithus* (Pu et al. 2017) is rather controversial (Grellet-Tinner et al. 2006; Jin et al. 2007), we analysed AR of oviraptorosaur *(Elongatoolithus* and *Macroelongatoolithus*) and troodontid eggshells (*P. levis*). Intriguingly, *P. levis* shows very high AR following the AR of ostrich eggshell (Figure 15). Thus, both *P. levis*

and modern ostrich eggshell have very narrow and tall shell units and weakly developed 'splaying' microstructure. *Elongatoolithus* does not have high AR whereas *Macroelongatoolithus* shows higher AR. It strongly supports the view that the SqZ of *Macroelongatoolithus* can be best described by the term 'cryptoprismatic' (Jin et al. 2007) but 'aprismatic' may be still a reasonable term for *Elongatoolithus* (Grellet-Tinner and Chiappe 2004).

It was proposed that character states of ML, either needle-like or wedge-like, can be diagnosed by richness of low-angle GB (Choi et al. 2019). However, it can be better defined by the combination of AR and GB mappings of the ML (Figure S3). We suggest that density of calcite grains within a ML would be the most objective criterion. When the calcite grains of ML are confined to ML, the AR mapping provides most straightforward results: needle-like ML is characterized by the presence of high AR colour (e.g. *Reticuloolithus*, *Elongatoolithus*, kiwi, and tinamou eggshells). However, when the calcite grains of ML are not limited to ML, even wedge-like calcite grains show high AR colour (e.g. ostrich and thick moa eggshells). In this case, a wedge-like ML is composed of a small number of calcite grains, thus one can count the number of grains in a single ML. It will provide a way to circumvent the limitation of AR mapping in diagnosing wedge-like versus needle-like ML in ostrich-like eggshells. In sum, identifying needle- or wedge-like ML can be quantitatively done by counting calcite grains in ML in all cases; when the calcite grains are confined to ML and not extended to SqZ, AR mapping will provide simplest visual representation. Detailed elaboration of this approach will be presented in future research.

Supplementary Text S6. Alternative interpretation

The alternative interpretations presented here are based on the phylogeny of Cloutier et al. (2019) and Sackton et al. (2019) (Figures S14–S16). Only those differences from the main text are discussed herein: (i) the tinamou and moa are nested in a more inclusive position of the tree and rhea is assigned to a less inclusive position. Still, three tinamou-style and two ostrich-style microstructures might have been derived from rhea-style microstructure. (ii) Unfortunately, the rate of evolutionary change cannot be inferred in this interpretation because speciation timeline estimates have not been made in Cloutier et al. (2019) nor Sackton et al. (2019).

Supplementary Figures

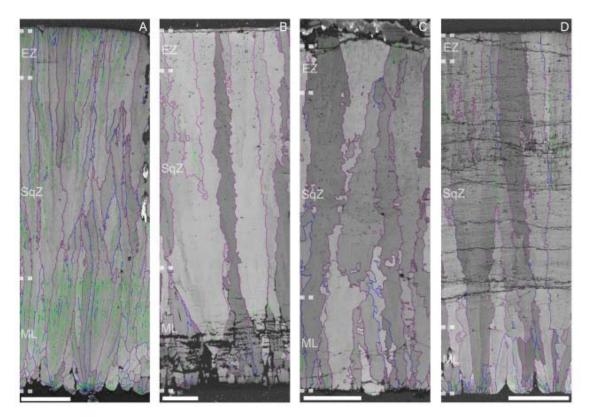


Fig. S1. Composite GB mappings of fossil and modern eggshells that have prismatic shell unit structure. (A) Ostrich, (B) *Prismatoolithus levis*, (C) *Triprismatoolithus stephensi*, and (D) thick moa eggshell. In *P. levis* and *T. stephensi* (B,C), GB becomes slightly more linear in outer parts of eggshell, which have been suggested as EZ (Varricchio and Jackson 2004; Jackson and Varricchio 2010). In ostrich and thick moa eggshell (A,D), the difference in linearity is much more subtle. Scale bars equal 250 µm (A); 100 µm (B–D).

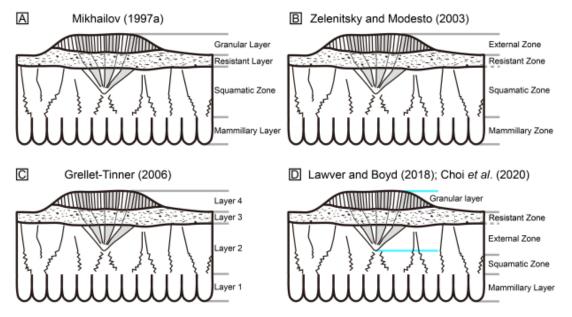


Fig. S2. Previous and current interpretations on the microstructure of casuariid eggshells. (A,C) Mikhailov (1997a) and Grellet-Tinner (2006) suggested four layers. (B) Zelenitsky and Modesto (2003) suggested that compact calcified part of the eggshell is composed of SqZ and Resistant Zone is an extension of SqZ. In their view, ornamentation is a homologous structure to EZ that is usually present in all avian eggshell (except for passerine; Mikhailov 1997a). (D) Lawver and Boyd (2018) pointed out that ornamentation has its 'root' inside compact calcified eggshell. It was confirmed in Choi et al. (2020) and this study. In addition, based on the GB condition of EZ, which is characterized by linear line, Choi et al. (2020) further suggested that outer compact calcified part of the eggshell is EZ, not SqZ as suggested by Zelenitsky and Modesto (2003). In our view, therefore, ornamentation is not homologous to EZ of most avian eggshells, but is an autapomorphic character of MRCA of emu and cassowary.

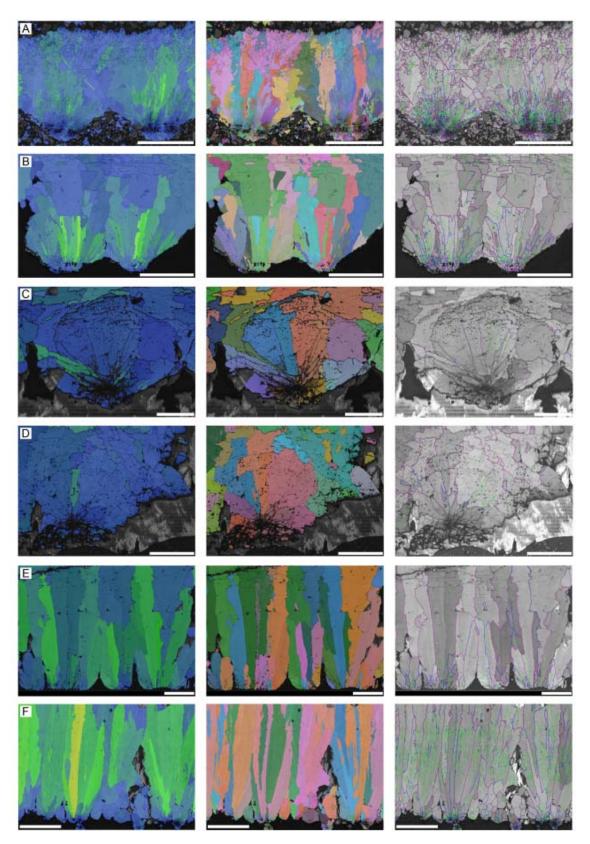


Fig. S3. Mammillary layers of (A) *Reticuloolithus*, (B) *Elongatoolithus*, (C) tinamou, (D) kiwi, (E) thick moa, and (F) ostrich eggshells presented in AR, Euler, and GB mappings. When ML is confined to certain region of

eggshell (A–D) AR mappings effectively show the needle-like calcite grains, which is a useful character state for fossil eggshell classification. However, when the calcite grain in ML is not limited within the ML, even wedge-like ML can have high AR (E,F). In these cases, measuring the density of calcite grains in the ML can provide a quantitative approach to diagnose needle-like versus wedge-like states in ML. Scale bars equal 250 μ m (A,F); 100 μ m (B,E); 50 μ m (C).

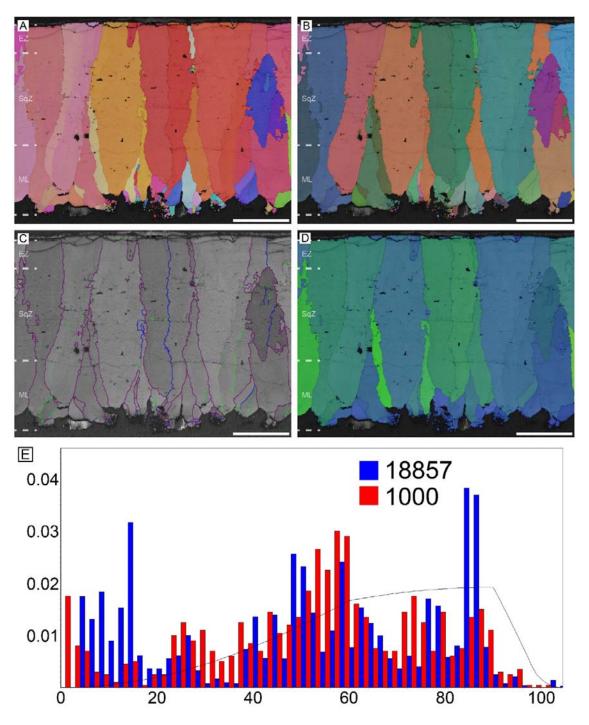


Fig. S4. Common pheasant eggshell. Scale bars equal 100 µm.

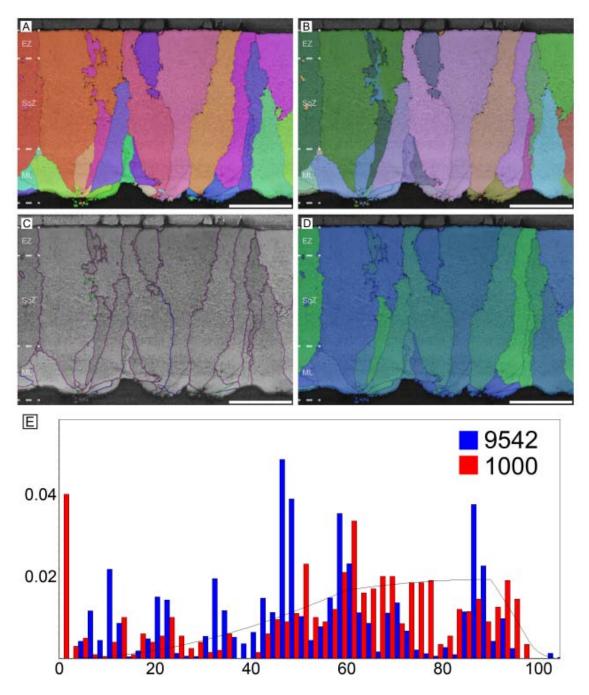


Fig. S5. Japanese quail eggshell. Scale bars equal 50 µm.

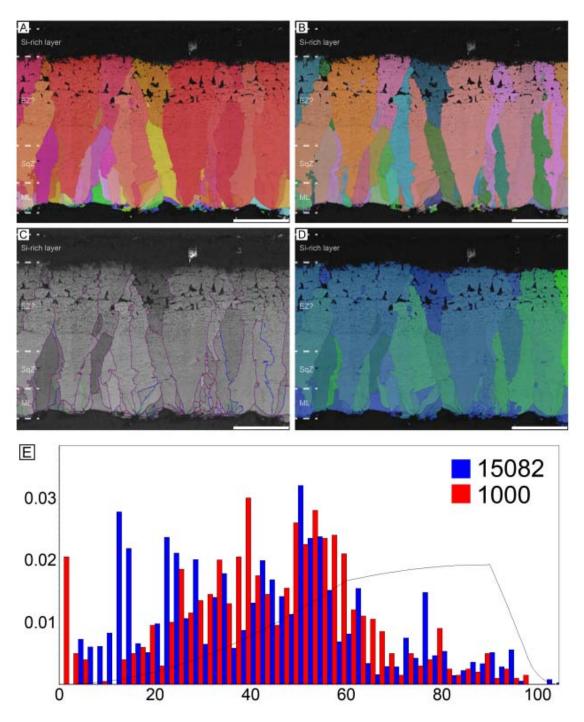


Fig. S6. Northern goshawk pheasant eggshell. Scale bars equal 100 µm.

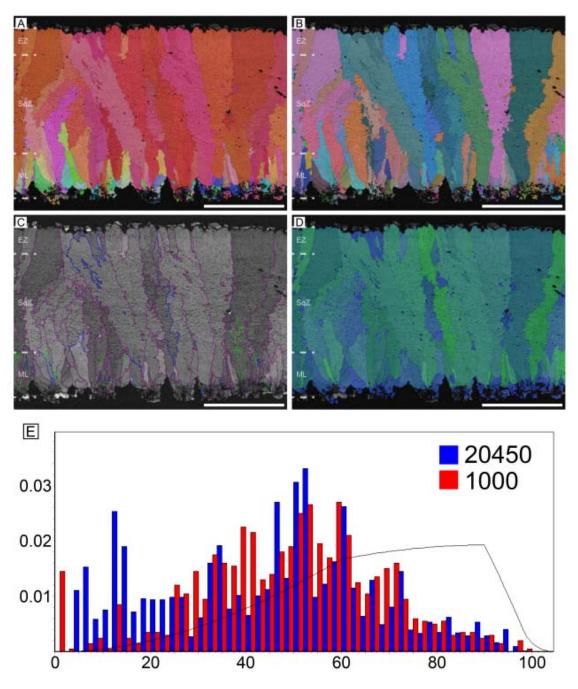


Fig. S7. Common murre eggshell. Scale bars equal 250 µm.

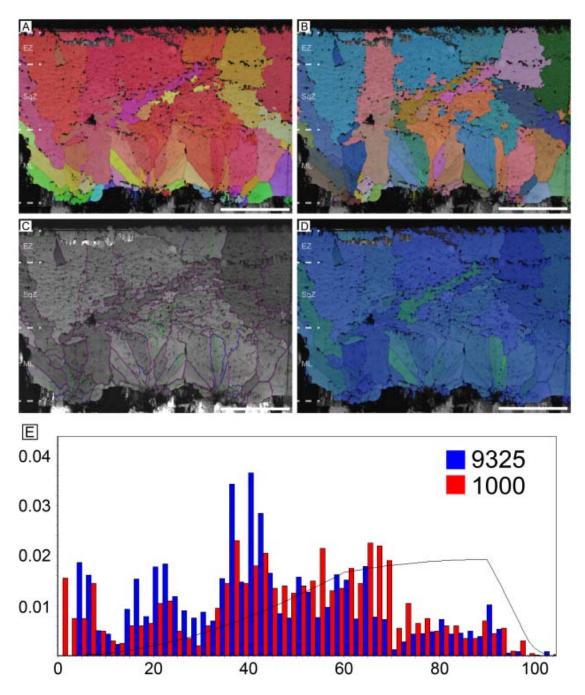


Fig. S8. European green woodpecker eggshell. Scale bars equal 50 µm.

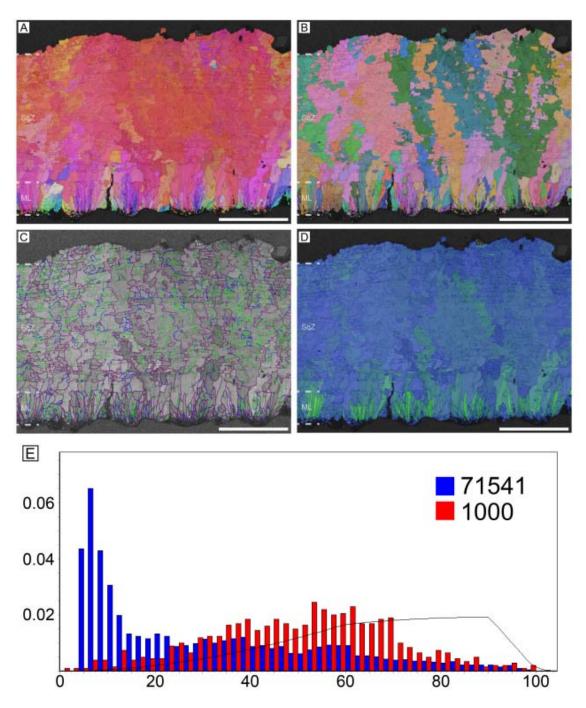


Fig. S9. Elongatoolithus oosp. (see also Choi et al. 2019). Scale bars equal 250 µm.

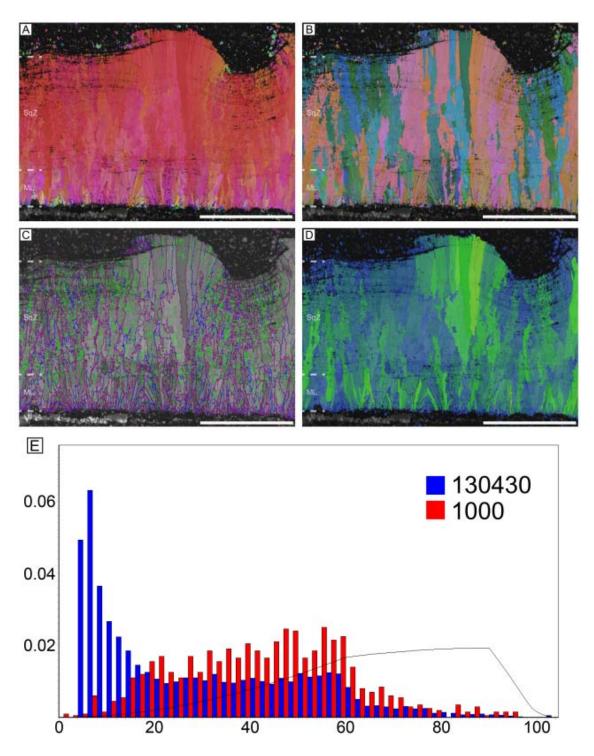


Fig. S10. *Macroelongatoolithus xixiaensis* (or *M. carlylei*) (see also Huh et al. 2014; Choi et al. 2019). Scale bars equal 1000 µm.

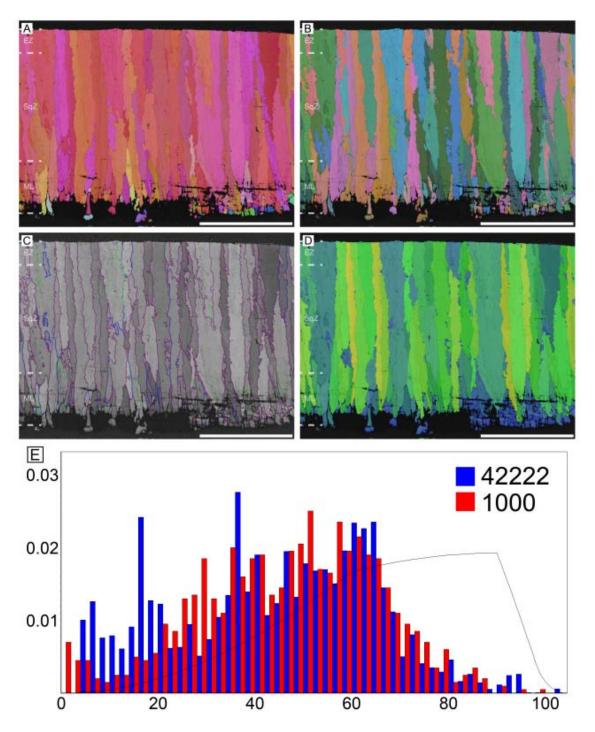


Fig. S11. *Prismatoolithus levis* (see also Varricchio et al. 2002; Varricchio and Jackson 2004). Scale bars equal 500 µm.

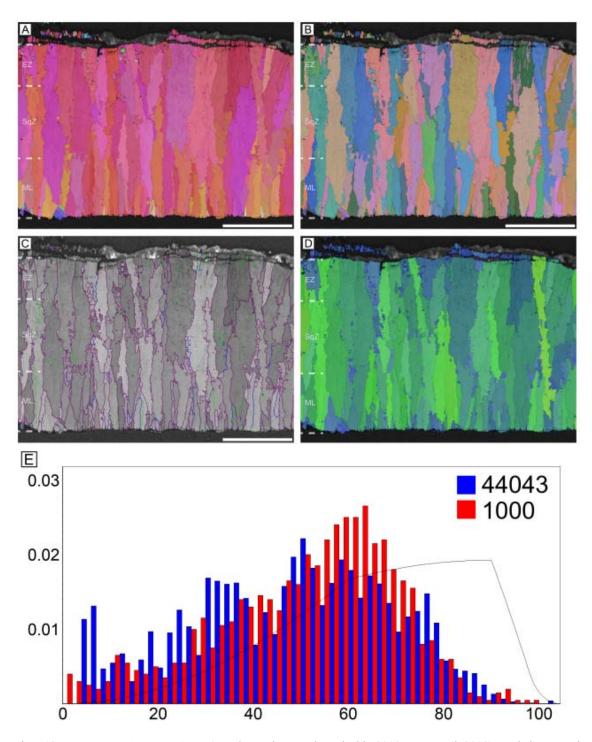


Fig. S12. *Triprismatoolithus stephensi* (see also Jackson and Varricchio 2010; Yang et al. 2018). Scale bars equal 250 µm.

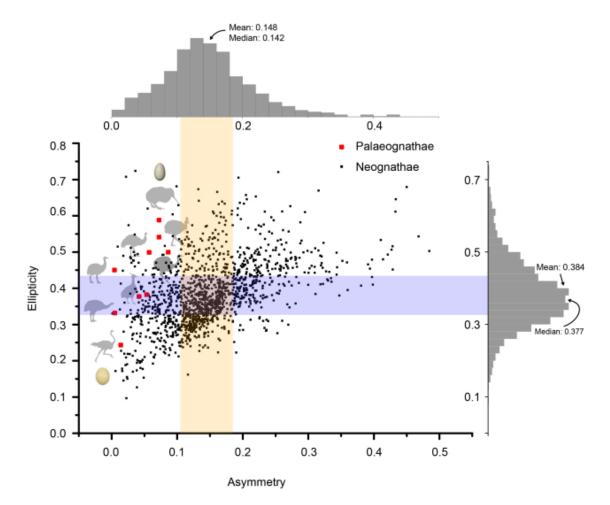


Fig. S13. Ellipticity and asymmetry of palaeognath eggs. Note that ellipticity of palaeognath eggs are not confined to certain range. Kiwi egg has maximum ellipticity and ostrich egg has minimum ellipticity. However, in case of asymmetry, palaeognath eggs have low asymmetry compared to neognath eggs. Coloured ranges mark interquartile ranges of both indices. Modified from Stoddard et al. (2017).

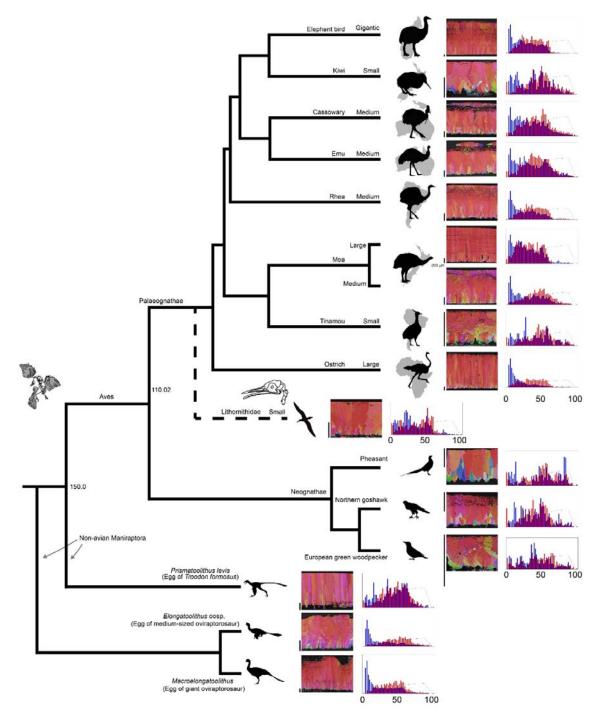


Fig. S14. Alternative interpretation of phylogeny of Palaeognathae with IPF mapping and MD. After Cloutier et al. (2019) and Sackton et al. (2019).

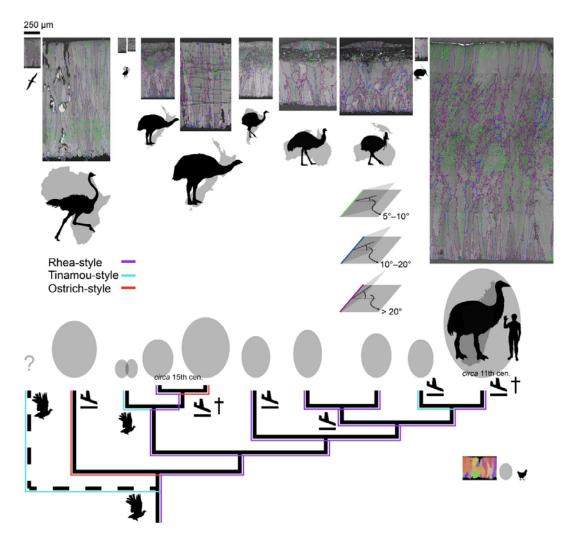


Fig. S15. Alternative interpretation of phylogeny of Palaeognathae with GB mapping, egg size, and thickness of eggshells. After Cloutier et al. (2019) and Sackton et al. (2019).

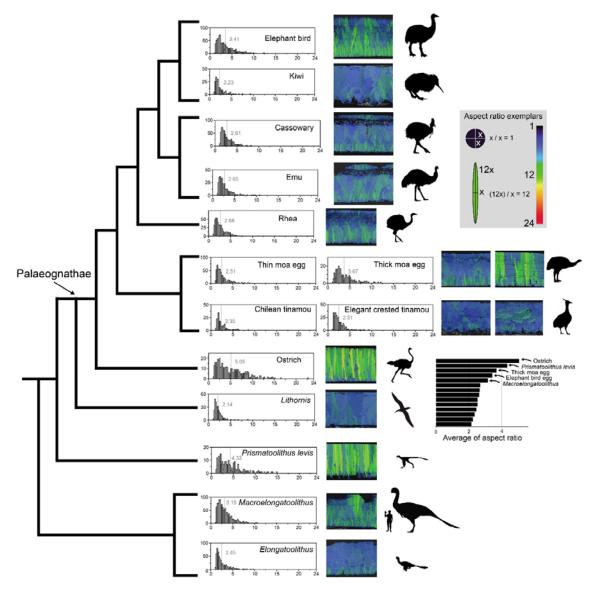


Fig. S16. Alternative interpretation of phylogeny of Palaeognathae with AR mapping. After Cloutier et al. (2019) and Sackton et al. (2019).

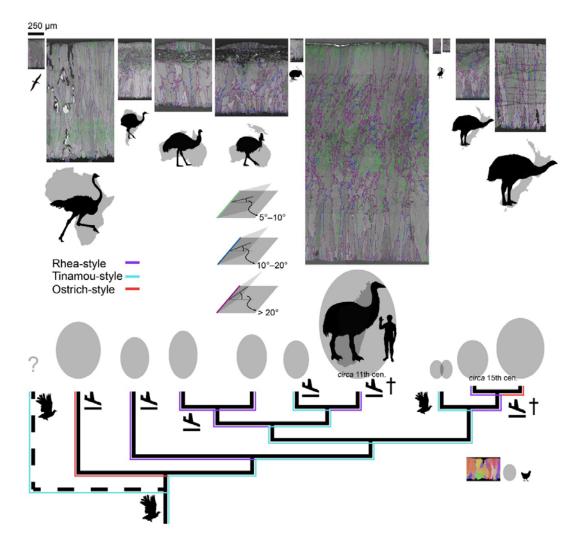
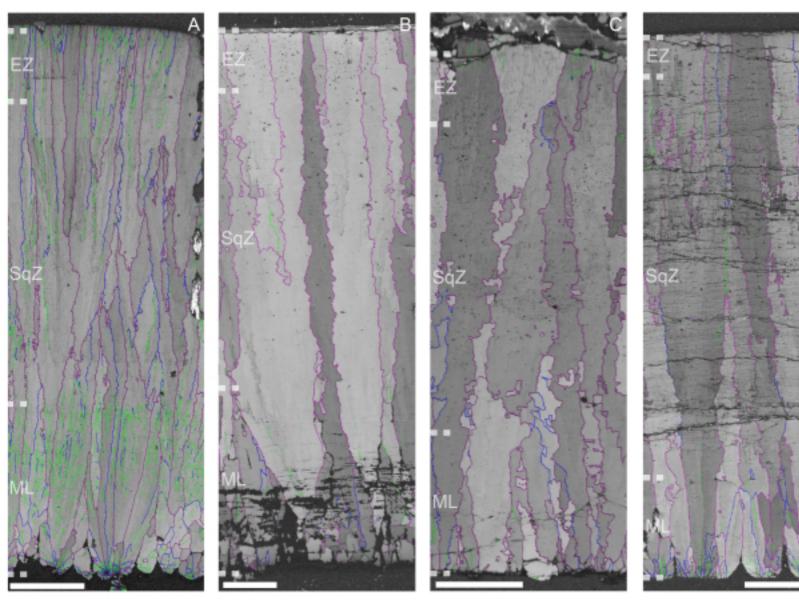
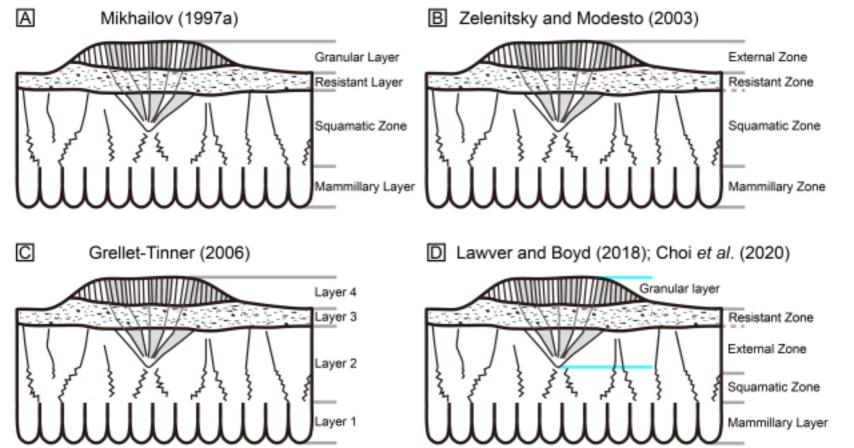
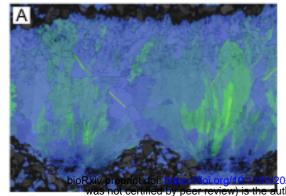


Fig. S17. An alternative interpretation of evolution of palaeognath eggshells assuming that all tinamou-style eggshells are homologous to one another. In this case, rhea-style eggshells might have been independently evolved at least four times.

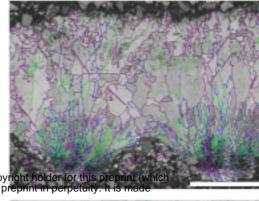


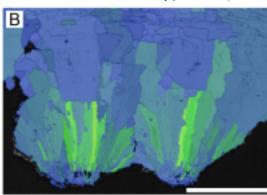


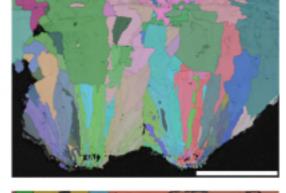


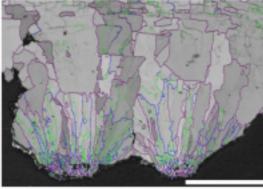


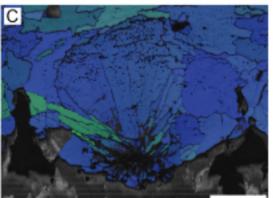
hor/lunder, who has granted bioRxIV a license to display

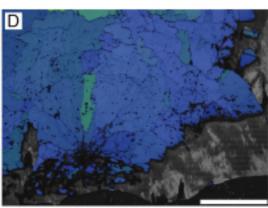


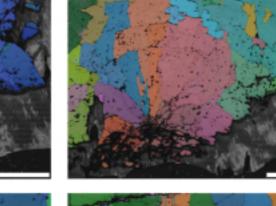


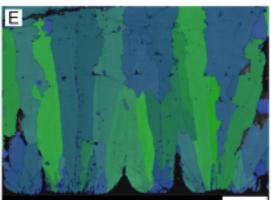


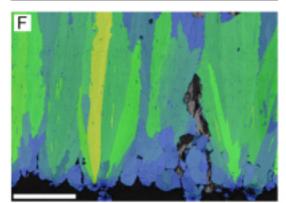


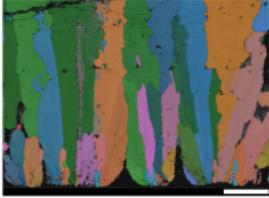




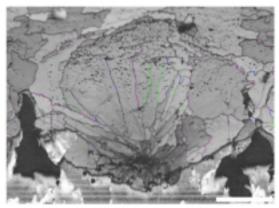


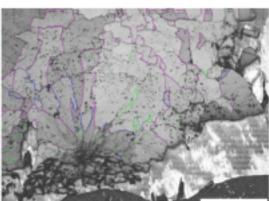


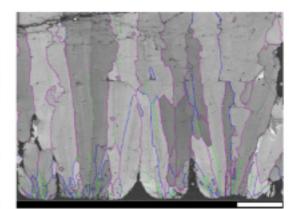


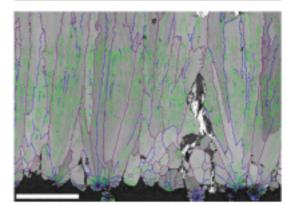


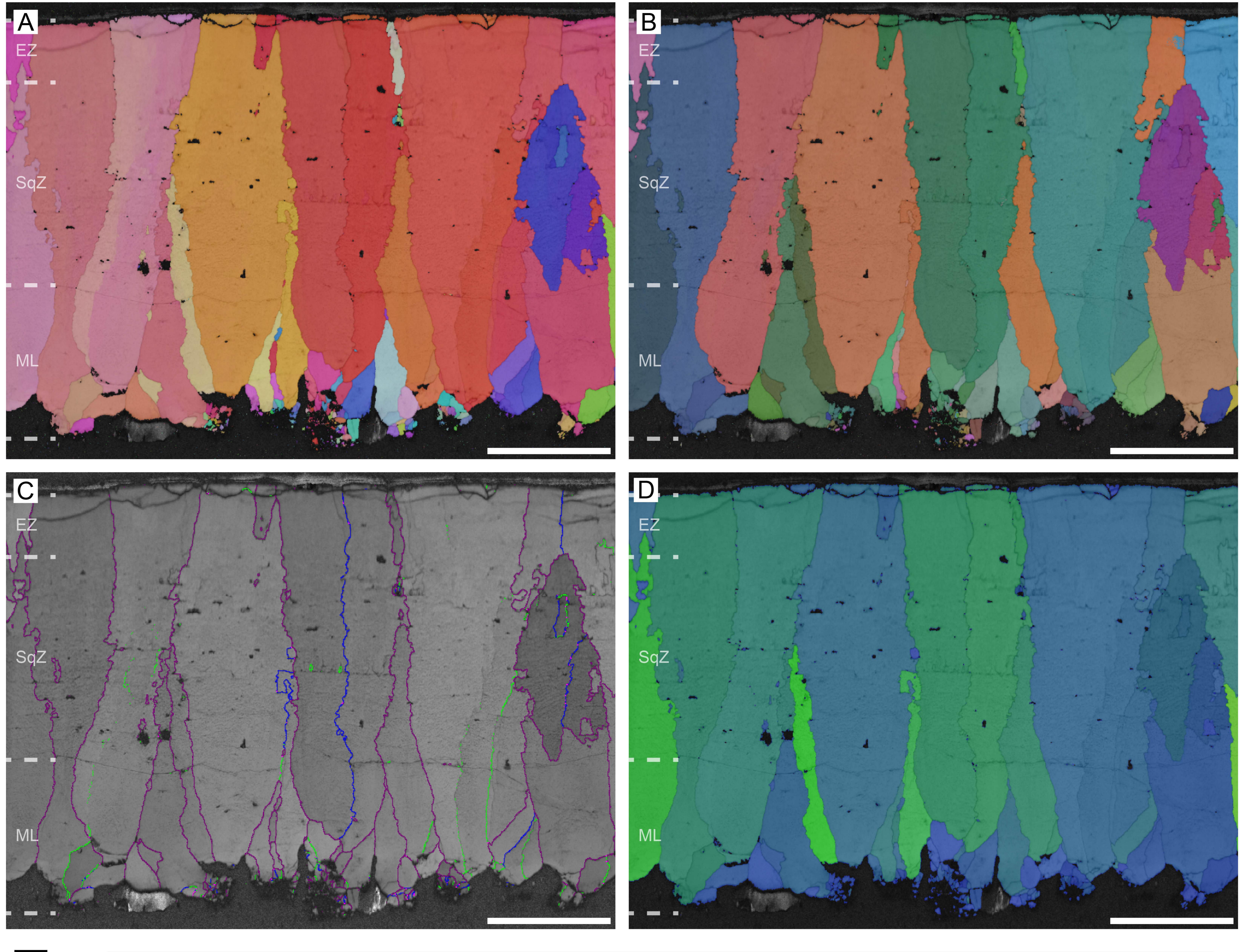


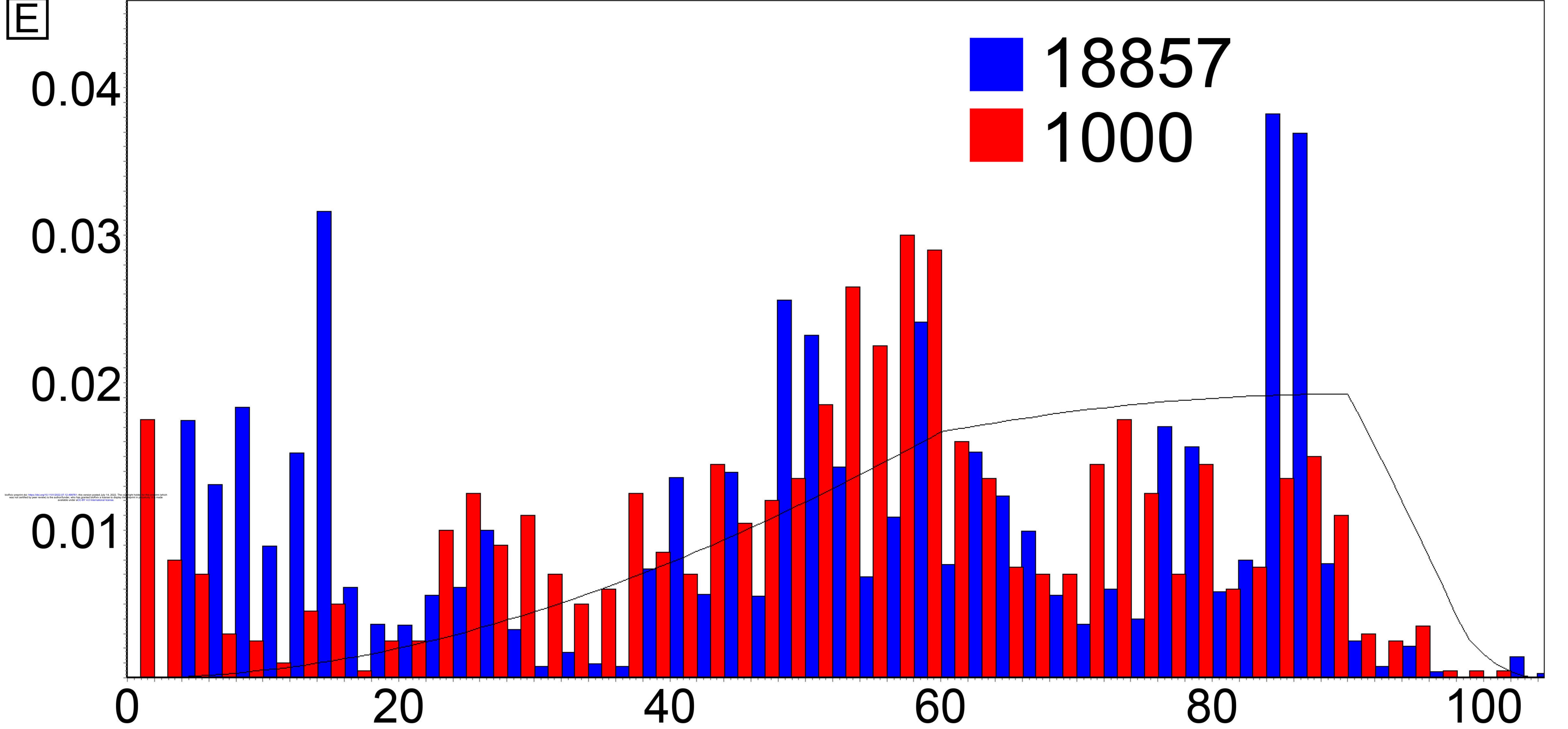


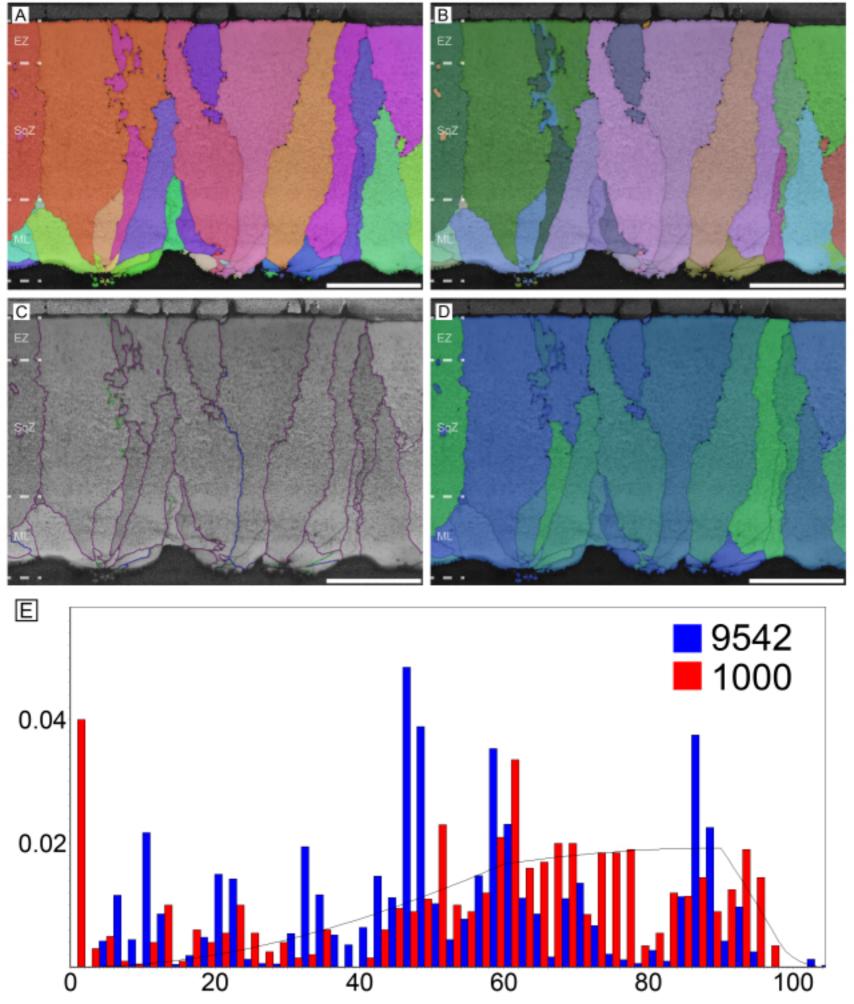


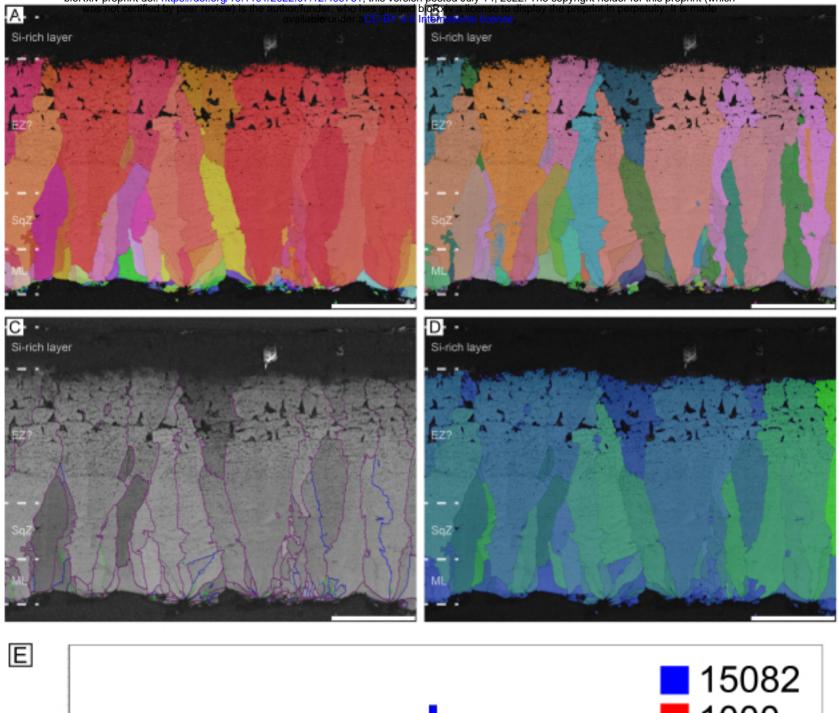


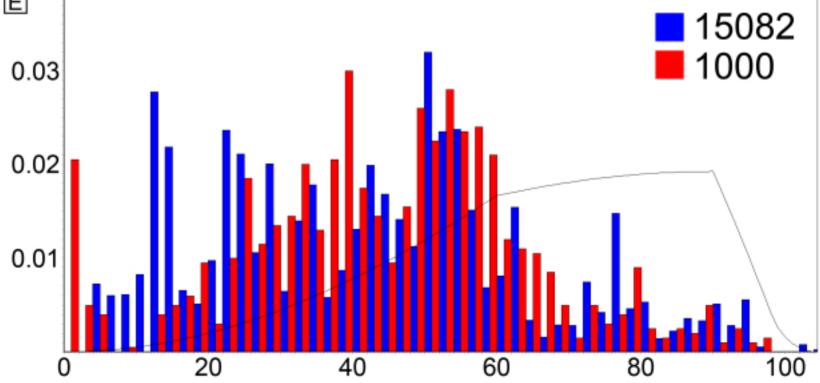


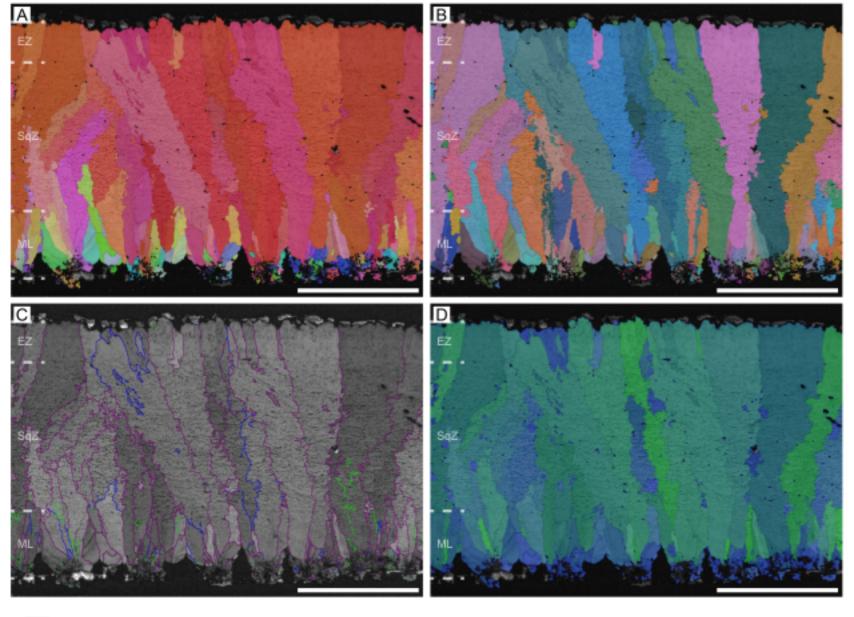


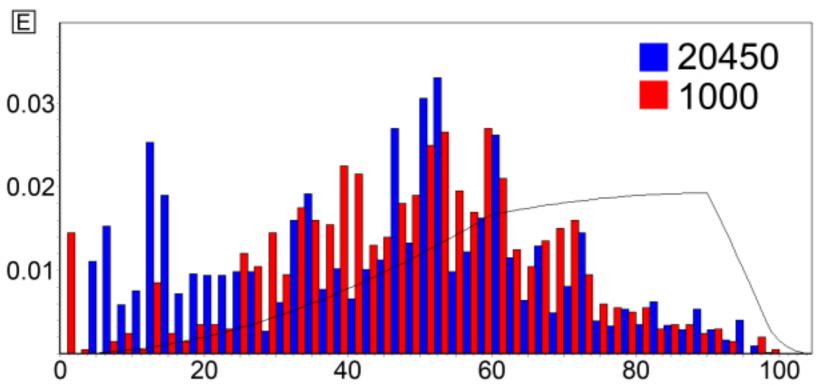


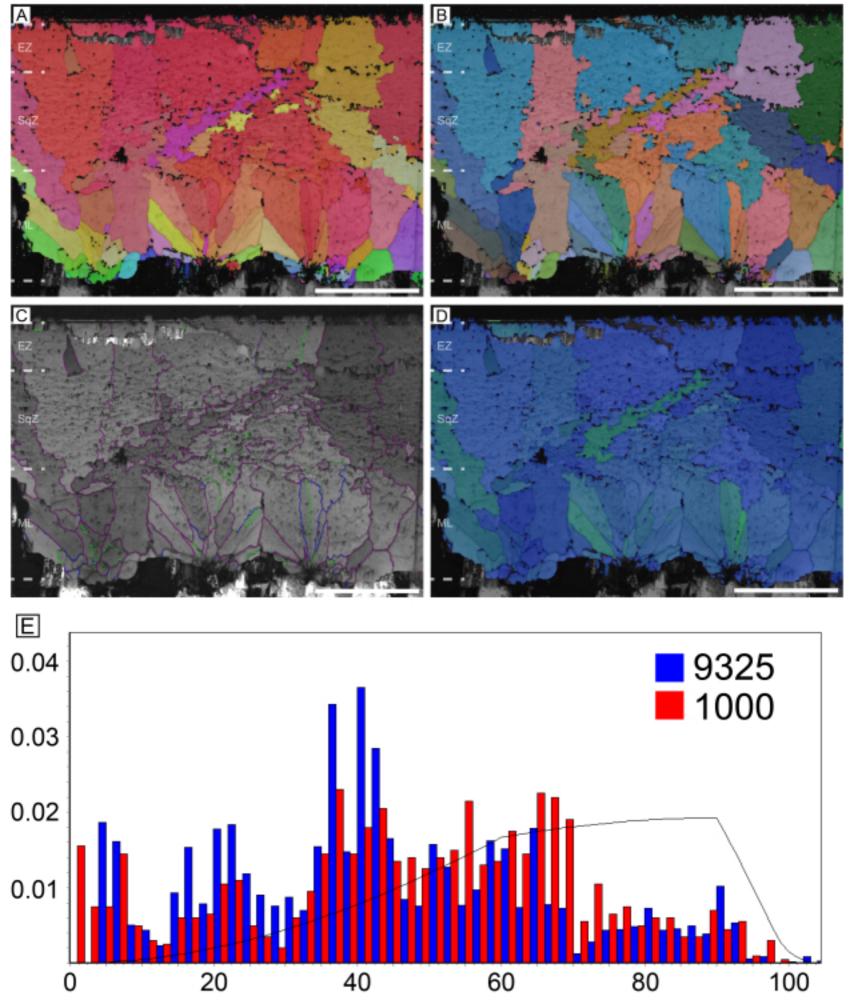


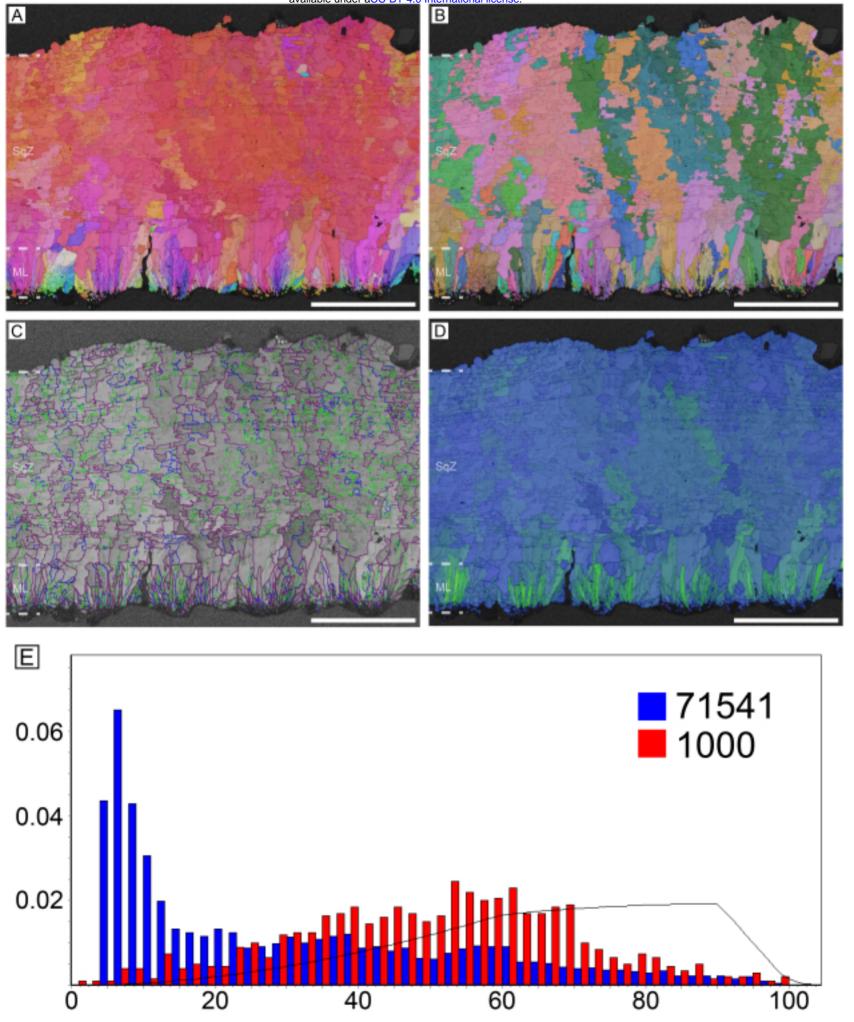


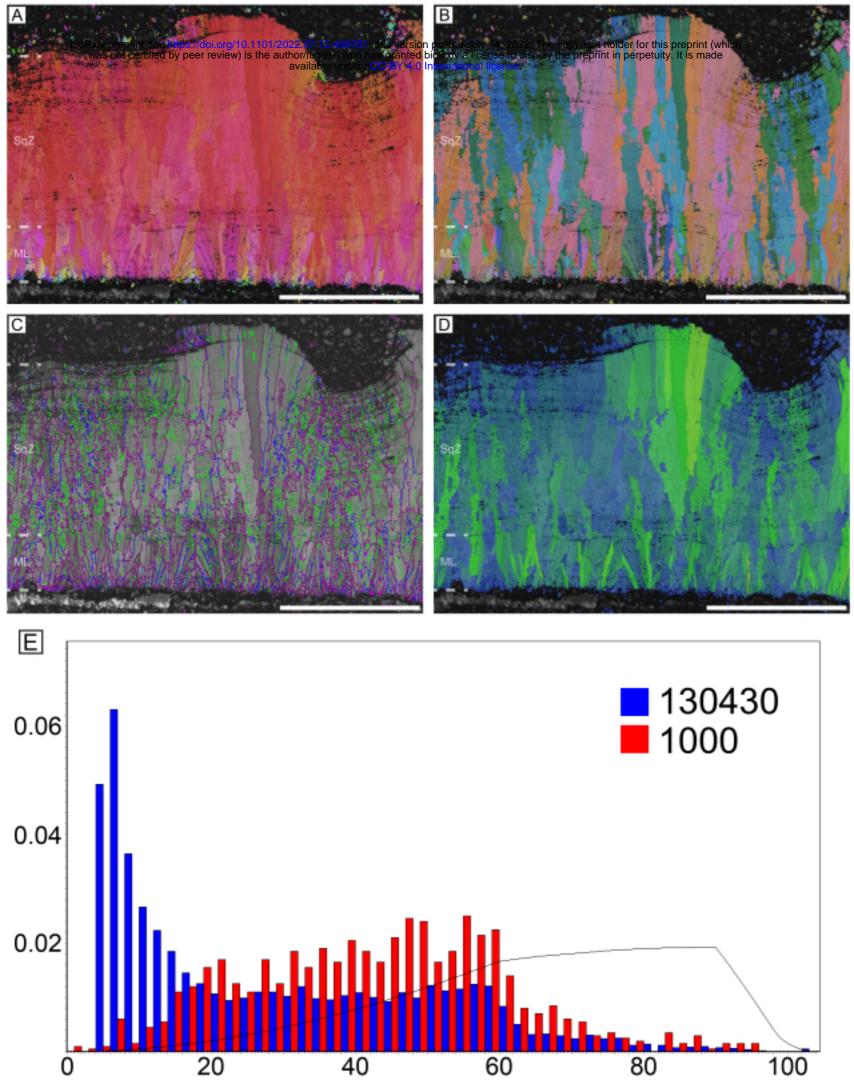


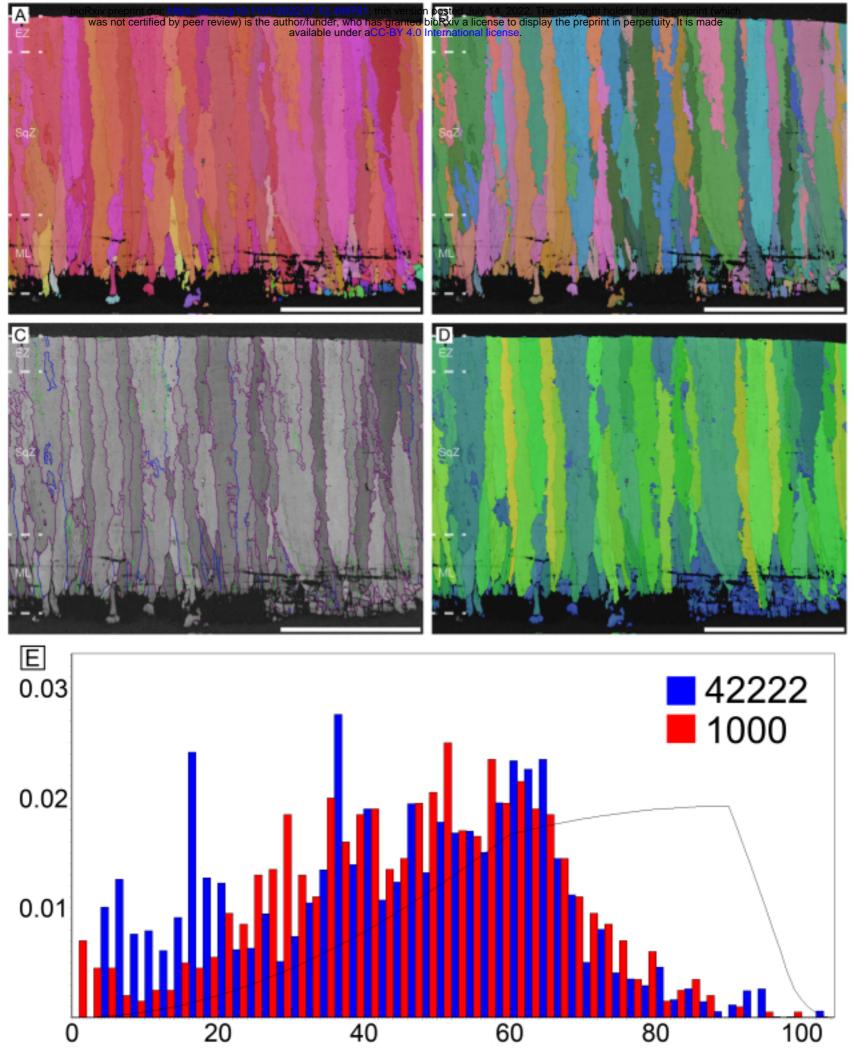


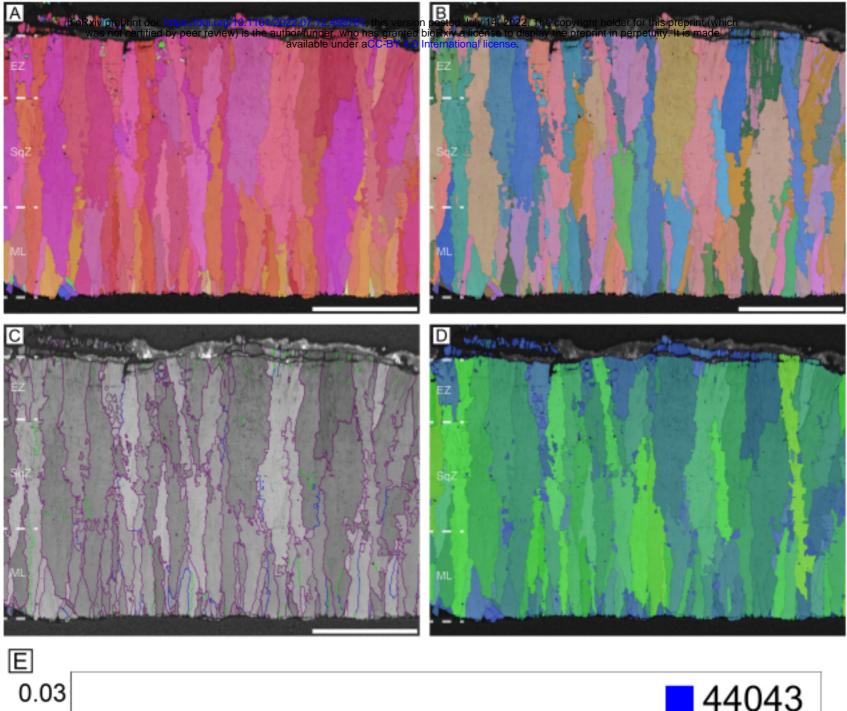


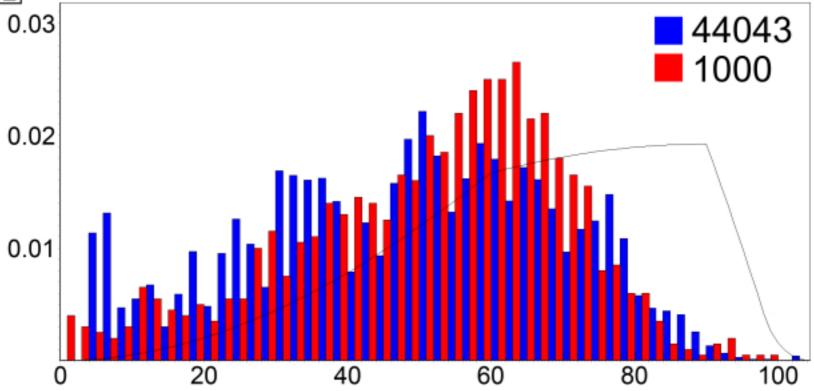


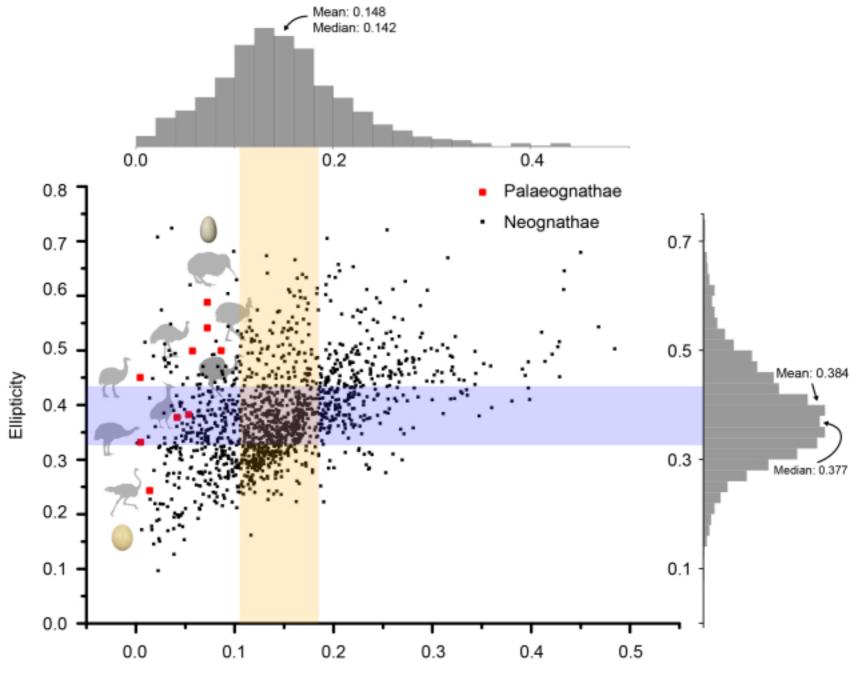









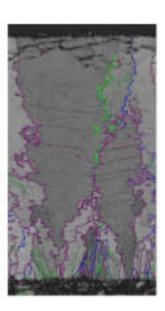


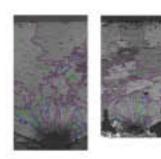


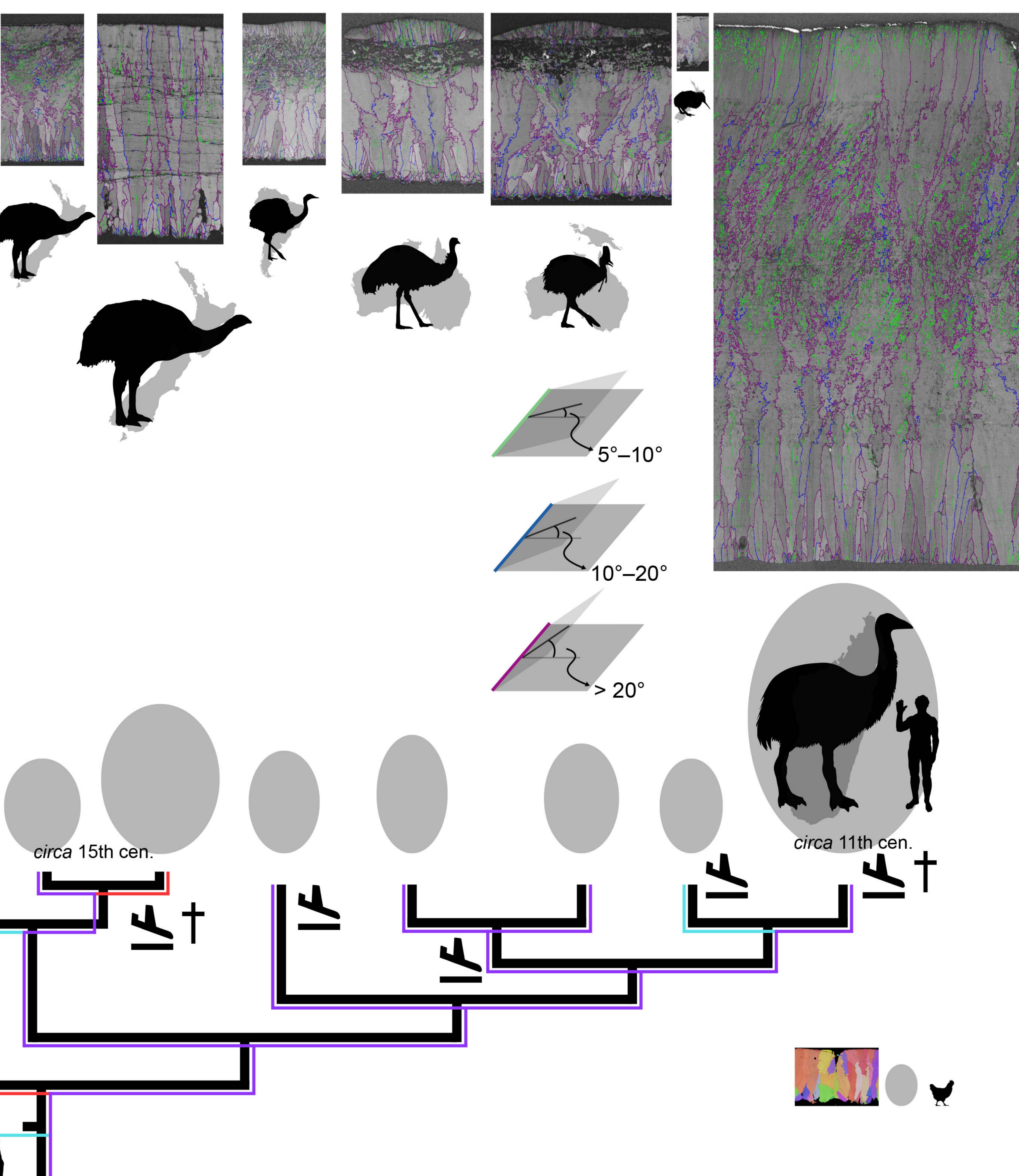
Asymmetry



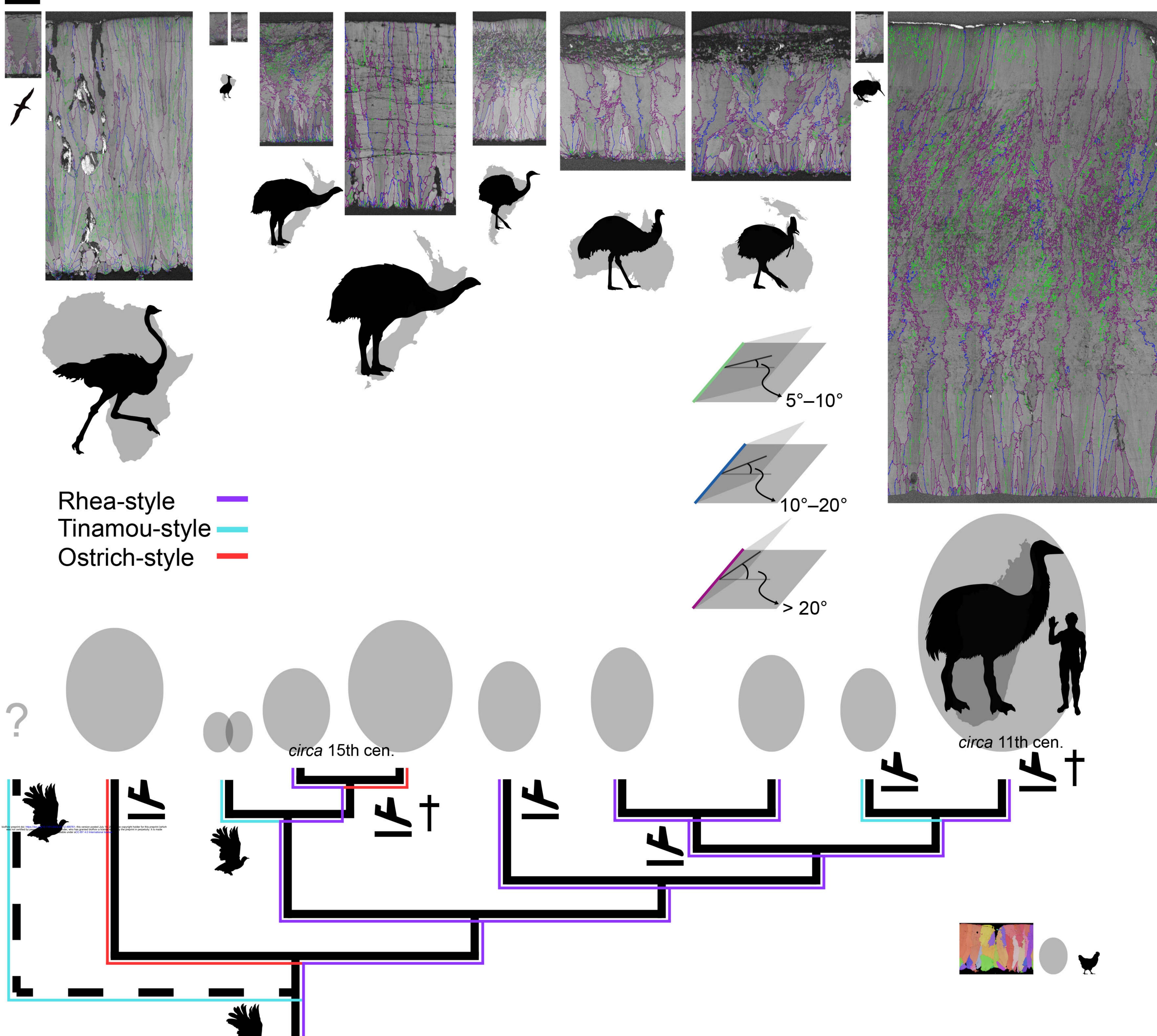
250 µm






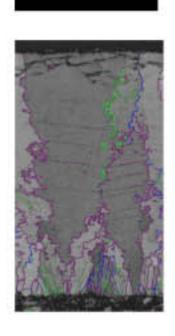




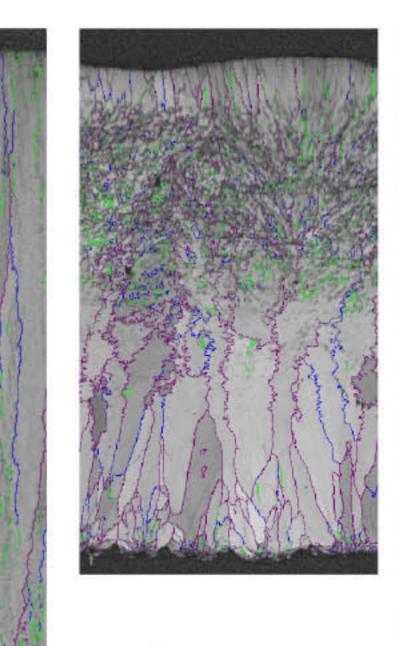




250 µm











NA ABOULD

Rhea-style Tinamou-style Ostrich-style

