1	Studies on the interaction of three lytic bacteriophages
2	with a wide collection of Escherichia coli strains
3	implicated in swine enteric colibacillosis
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46 **ABSTRACT**

47 The misuse of antibiotics in the swine industry and their on-going restriction requires alternatives to control enterotoxigenic and shiga toxin-producing *Escherichia coli* (ETEC 48 49 and STEC, respectively). This study evaluates the potential of three coliphages, 50 vB EcoM FJ1, vB EcoM FN and vB EcoM SP1 against 104 ETEC, STEC and 51 ETEC/STEC strains isolated from pig colibacillosis in Portuguese (2018-2020) and Spanish 52 farms (2006-2016), encompassing 71.2% mcr-positive strains (33.7% with mcr-1, 1.9% mcr-2, 35.6% mcr-4 and 2.9% mcr-5) and 18.3% positive strains for TEM (1%), SHV (6.7%), 53 54 and CTX-M (11.5%) extended-spectrum beta-lactamase-encoding genes. In general, all bacteriophages presented a narrow lytic spectrum (up to 2.9%) against the 104 ETEC, STEC 55 56 and ETEC/STEC. Bacteriophages shared >80% overall nucleotide identity with *E. coli* phage 57 T4 (*Tevenvirinae* subfamily), but a particular look at the distal part of the long tail fiber 58 (gp38) revealed no homology. All bacteriophages recognize lipopolysaccharides as 59 receptors, and additionally, FN binds to an outer membrane protein A. Bacteriophage-60 insensitive mutants of vB EcoM FJ1 (90%) and vB EcoM FN (100%) were shown to be 61 more susceptible to pig serum inactivation comparatively to the parental strain and 62 furthermore, their adhesion capacity to porcine intestinal cells was diminished by, 63 approximately, 90%. Contrariwise, vB EcoM SP1 insensitive variants did not display 64 phenotypic differences comparing to the wild-type strain. This study demonstrates that 65 besides being T4-like, these bacteriophages revealed a narrow lytic spectrum against 66 diarrhoeagenic E. coli strains and that the acquisition of novel bacteriophage-encoded 67 adhesins (gp38) seems to be determinant for such results.

Keywords: swine colibacillosis, bacteriophages, BIM, host specificity, *mcr*, extendedspectrum beta-lactamase

70 **INTRODUCTION**

71 Intestinal Escherichia coli associated infections are recurrent in pig farms worldwide 72 and often originate from environmental contamination (i.e., wastewater and animal faeces). 73 Enterotoxigenic Escherichia coli (ETEC) is the most prevalent pathotype involved in enteric 74 colibacillosis outbreaks of neonatal and post-weaning diarrhoea, causing high rates of 75 morbidity and mortality and requiring expensive control measures [1]. Animals with a fragile 76 immune system, particularly in the neonatal and PW periods are more susceptible to the 77 disease [2]. ETEC strains exhibit different colonization fimbriae that enable bacterial 78 adhesion by recognition of specific receptors present in enterocytes. F4 and F18 are the most 79 prevalent in the PW phase while F5, F6 and F41, whose recognition sites in enterocytes 80 decrease with the age of the pig, are less frequent [1]. ETEC also produce heat stable (ST) 81 and labile (LT) enterotoxins, responsible for overproduction of electrolytes and fluids, and 82 reduction of water adsorption, causing acute diarrhoea, dehydration, slow growth and even 83 death in pigs. Two types of ST enterotoxins, STa and STb were so far reported [3]. Shiga 84 toxin-producing E. coli (STEC) also implicated in enteric colibacillosis, carries the shiga 85 toxin type 2e (Stx2e) and adheres to enterocytes mainly through F18 fimbriae [1]. Hybrid 86 strains (ETEC/STEC) are also observed [4].

The massive use in swine of last resort antibiotics used to treat humans (e.g extendedspectrum cephalosporins and colistin) has led to the presence of residues of extendedspectrum beta-lactamase-producing and multidrug resistant (MDR) *E. coli* in farms [5]. The European Medicine Agency has therefore restricted the use of antibiotics in farms, to mitigate the potential cross-contamination risks of resistant strains along the food chain [6]. Consequently, the reduction of available antibacterial options turns urgent the development of effective and sustainable alternatives. Some preventive measures are used to limit the

94 impact of PW diarrhoea. The effectiveness of hygienic measures and strict biosecurity rules. 95 such as vaccination of sows, use of prebiotics and probiotics, or genetic breeding for ETEC-96 resistant herds, although important, fail to avoid the use of antibiotics [1]. Bacteriophages 97 (phages) are specific and obligatory bacterial parasites with a genome confined in a protein 98 capsid. They vary on lifestyle (virulent and lysogenic), genome type (single and double 99 stranded DNA or RNA) and morphology (mostly, tailed viruses are from Myoviridae, 100 Siphoviridae or Podoviridae families). Their self-replicating, self-dosing capability and 101 innocuity nature towards animal cells as well as their high specificity towards the target 102 bacterium (not affecting the commensal microbiota) are valuable traits encouraging its use 103 [7]. Virulent phages that replicate within the bacterial host, releasing their progeny after cell 104 lysis, have been of particular interest to use against bacterial pathogens, including ETEC and 105 STEC. Despite the proof of concept of phage efficacy in veterinary medicine is being 106 reported [8], studies in pigs are still few. Yet, three studies have reported successful results in using phages both prophylactic and therapeutically to fight against few ETEC serotypes 107 108 causing infections (O149:H10:F4 [9,10] and F4 carrying strains [11]).

109 This study brings new data and promote discussion about current bottlenecks on the 110 successful use of phages to control swine colibacillosis. Here, we characterize three 111 coliphages against a collection of Portuguese and Spanish *E. coli* strains implicated in swine 112 enteric colibacillosis.

113

114 **MATERIALS AND METHODS**

Bacterial strains and culture conditions

116 In this study, 156 E. coli strains were isolated from pig farms in the North-Central region 117 of Portugal pig farms between 2018 and 2020. The strains were collected from fecal samples 118 and rectal swabs of pigs with diarrhoea aged between two days and one month old, or from 119 intestinal contents of dead infected animals. The Portuguese collection was tested to detect 120 the presence of ETEC and/or STEC pathotypes, as described below. Besides, a collection of 121 68 Spanish strains fully typed comprising 57 ETEC, five STEC and six ETEC/STEC 122 representative of different and prevalent seropathotypes implicated in enteric colibacillosis. 123 was included here [5]. As control, 36 Avian pathogenic E. coli (APEC) previously isolated 124 from organs of infected chickens recovered in Portuguese avian farms, were used to 125 comparatively assess the efficacy of the phages among diarrhoeagenic versus extraintestinal 126 pathogenic E. coli (DEC/ExPEC, respectively). All bacterial strains were cultivated in 127 MacConkey agar (50 g.L⁻¹, Biokar Diagnostics) for isolation, grown in Lysogeny Broth (LB, 128 NZYTech) agar (12 g.L⁻¹, VWR) at 37 °C for the subsequent studies, and stored at -80 °C.

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130 **IPEC-1 cells maintenance**

For tissue cultures, the neonatal intestinal porcine cell line IPEC-1 (CVCL_2245) was used. Cells were maintained in Dulbecco's Modified Eagle's Medium (DMEM, Biochrom) and Ham's F-12 (Biochrom) (1:1) supplemented with 10% fetal bovine serum (FBS, Biochrom) and 1x ZellShield (Biochrom) at 37 °C in a humidified atmosphere at 5% CO₂ (HERAcell 150). IPEC-1 cells were subcultured every three days at 80% confluence in Tflasks (Starstedt) in 10 mL complete cell culture medium. Cells used in this study were subcultured from passage 12 to 17.

138

139 Genotypic characterization of DEC

140	The E. coli pathotypes and virulence factors associated with enteric colibacillosis were
141	investigated among the Portuguese swine strains by PCR of specific genes encoding for
142	toxins (STa, STb, LT, Stx2e) and adhesins (F4, F5, F6, F18 and F41). Primer pairs and PCR
143	conditions were previously reported by García-Meniño and colleagues [5] (S1 Table).
144	Those DEC strains conforming ETEC and/or STEC pathotypes were further analysed for
145	the presence of colistin resistance associated to mcr genes (mcr-1, 2, 3, 4 and 5), using
146	reported PCR conditions [5] (S2 Table). Then, the mcr+ strains were also screened for the
147	detection of TEM, SHV, and CTX-M beta-lactamase-encoding genes [12] (S2 Table).

148

149 Phylogroups, Sequence types (STs) and Clonotypes

150 The main phylogenetic groups of *E. coli* (A, B1, B2, C, D, E, and F) were determined 151 for the *mcr*+ strains using the quadruplex PCR method described by Clermont et al. (2013) 152 [13], based on the presence/absence of the four genetic targets arpA, chuA, vjaA, and 153 *TspE4.C2* (S3 Table). The STs of the strains were assigned by multilocus sequence typing (MLST) following the Achtman seven-locus scheme [14] (S3 Table), and the allelic profile 154 155 for each isolate retrieved Enterobase website was through the 156 (https://enterobase.warwick.ac.uk/species/ecoli/allele st search). The clonotyping was 157 based on the internal 469-nucleotide (nt) and 489-nt sequence of the *fumC* and *fimH* genes, 158 respectively. Allele assignments for *fimH* were determined using the fimTyper database 159 available website at the Center for Genomic Epidemiology

(http://www.genomicepidemiology.org/). The combination of *fumC* (allele obtained from
MLST) and *fimH* allele designations was used as the CH "type" [15] (S3 Table).

163 **O Typing**

The most prevalent serogroups implicated in enteric colibacillosis of swine were investigated for the *mcr*+ strains by microagglutination, following the method described by Guinée et al. (1981) [16] and using the specific O45, O101, O108, O138, O139, O141, O149 and O157 antisera at the Laboratorio de Referencia de *E. coli* (LREC-USC). Strains that did not react with any of those O antisera were classified as non-assigned (NA) serogroup.

169

170 Haemolysis type

The haemolytic capacity of ETEC and STEC strains was evaluated by observing the colony phenotype after cultivation in Columbia blood agar (BioMérieux) and incubated at 37 °C, overnight (O/N). The presence and type of lysis halos around the bacterial colonies identified alpha (α) (green discoloration around the colonies), beta (β) (clear zone or transparency in the surrounding medium) or gamma (γ) (absence of reaction, nonhaemolytic) haemolysis.

177

178 Antibiotic susceptibility

Antibiotic susceptibility was determined by microdilution assays and diffusion disks.
Microdilution assays were performed to assess the phenotypic resistance to colistin. Briefly,

181	O/N cultures were 100-fold diluted in fresh LB and gown at 37 °C, 120 rpm until mid-log
182	phase. Bacterial suspension of an $OD_{600nm} = 0.1 (1 \times 10^8 \text{ CFU.mL}^{-1})$ were 100-fold diluted in
183	colistin solution (final concentration 2 mg.L ⁻¹) in a 96-well polystyrene microplate (SPL Life
184	Sciences). Next, the turbidity (OD_{600nm}) was measured in a spectrophotometer (Heales, MB-
185	580) after a 22 h incubation period at 37 °C. A bacterial suspension without colistin was used
186	as a control. A mcr ⁻ strain was used as positive control. The experiments were conducted in
187	triplicate. Results were interpreted following the 2022 EUCAST breaking point
188	(http://www.eucast.org).

189 Additionally, the strains were subjected to disc diffusion tests (Bio-Rad) containing 190 gentamicin (10 µg), cefoxitin (30 µg), imipenem (10 µg), aztreonam (30 µg), amoxicillin + 191 clavulanic acid (20 μ g + 10 μ g), ampicillin (10 μ g), ceftiofur (30 μ g), cefepime (30 μ g), 192 doxycycline (30 µg), minomycin (30 µg), colistin (10 µg), tigecycline (15 µg), marbofloxacin 193 (5 µg), nalidixic acid (30 µg), ciprofloxacin (5 µg), enrofloxacin (5 µg), trimethoprim-194 sulfamethoxazole (75 µg) and fosfomycin (200 µg). An isolate was considered either 195 susceptible, intermediate susceptible or resistant following the manufacturer guidelines based 196 on CLSI breakpoints (M100 30th Edition, 2020).

When resistant to at least one agent in three or more antimicrobial categories, strains
were classified as multidrug-resistant as proposed by Magiorakos et al. (2012) [17].

199

200 Phage propagation

A panel containing three previously isolated phages were used. Phages vB_EcoM_FJ1 (FJ1) and vB_EcoM_FN (FN) were isolated from chicken litter (unpublished data). Phage vB EcoM SP1 (SP1) was isolated from pig sewage and previously reported [18].

204 For phage propagation the host cells, O/N grown host cultures - HFJ1, HFN and SP16, 205 respectively for FJ1, FN and SP1 - were incorporated into LB soft agar overlays plates, before 206 spreading phage suspensions ($\sim 1 \times 10^7$ PFU.mL⁻¹) with a sterile strip of paper to produce 207 confluent plates. Plates were then O/N incubated at 37°C, and after that, 3 mL SM buffer 208 were added. Plates were again incubated (4 °C for 16 h), and then the liquid phase was 209 recovered, centrifuged, treated with chloroform (10% v/v), filtered (0.2 μ m) and stored at 4 210 °C. Phage concentration was assessed by plaque counting (PFU.mL⁻¹) after serial diluting the 211 phage stock in SM Buffer, plating and incubation.

212

213 Electron microscopy analysis

214 Phages FJ1 and FN were visualized by Transmission electron microscopy (TEM). 215 Phage particles (>1×10⁸ PFU.mL⁻¹) were collected by centrifugation (1 h, 25,000 × g, 4 °C) 216 and washed twice with water. Next, phages were deposited on copper grids with a carbon 217 coated Formvar film grid and stained with 2% uranyl acetate (pH 4.0). The visualization was 218 performed on a Jeol JEM 1400 (Tokyo, Japan).

219

220 DNA isolation, genome sequencing and annotation

Genomic DNA of phages FJ1 and FN was isolated using the phenol-chloroformisoamyl alcohol method as previously described [19]. The DNA sample was used for whole genome library construction using TruSeq® Nano DNA Library Prep Kit. DNA fragments were sequenced in Illumina MiSeq, using 300bp paired-end sequencing reads. After removing low quality bases, reads were *de novo* assembled using Geneious Prime. The

assembled genomes were scan through MyRAST for open reading frames [20] and tRNAscan-SE for tRNAs [21]. Protein functions were search using BLASTP against NCBI nonredundant protein database and using HHpred against Protein Data Bank database, in all using a E-value 1×10^{-5} threshold. Comparative genomic analysis was performed with BLASTN. Phages FJ1 and FN sequenced genomes were deposited in NCBI database under the accession numbers MZ170040.1 and MZ170041.1, respectively.

232

233 Lytic spectra determination and efficiency of plaquing

234 The host range was evaluated against a wide panel of 104 DEC strains from pigs: 31 235 ETEC and five STEC isolated within the scope of the present work, and 68 ETEC, STEC 236 and ETEC/STEC previously isolated in Spain [5]. Moreover, to assess phage activity against 237 other E. coli pathogenic strains, 36 APEC strains, previously isolated from chickens were 238 also included. Two parameters were then analysed. First, strains were subjected to phage spot 239 test to assess the host recognition rate: 10 μ L of each phage (1×10⁸ PFU.mL⁻¹) were dropped 240 onto each bacterial lawn (prepared as previously described) and checked for clear zones after 241 incubation. Then, the range of plaque formation was evaluated in sensitive strains, by 242 measuring phage efficiency of plaquing (EOP): serial dilutions of phage suspensions (starting 243 from 1×10^8 PFU.mL⁻¹) were spotted on bacterial lawns. The relative EOP was calculated by 244 dividing the titre (PFU.mL⁻¹) of each susceptible strain by the titre of the relevant 245 propagating host, and scored as 0 (no lysis), 1 (\leq 50%), 2 (\geq 50% - 100%), 3 (\geq 100%) and 246 lysis from without (LFW) if no single plaques were observed.

247

248 **One-step growth curve**

249 One-step growth curves were performed for all phages (FJ1, FN and SP1). Shortly, 250 O/N-grown cultures were 100-fold diluted in 20 mL of fresh LB and incubated until an 251 OD_{600nm} of 0.3 was reached. Resultant cultures were then centrifuged (7,000 × g, 5 min, 4 252 °C), resuspended in 5 mL fresh LB medium, and mixed with 5 mL of phage suspension to 253 reach a multiplicity of infection of 0.01 (for FN) or 0.001 (for FJ and SP1). A subsequent 254 incubation (37 °C for 10 min) allowed phage adsorption to bacterial cells and then a 255 centrifugation (7,000 \times g, 5 min, 4 °C) produced a pellet that was resuspended in 10 mL of 256 fresh LB medium. To analyse one-step growth curves, samples were taken every 5 or 10 min 257 and plated immediately over a period of 35 min, 40 min or 50 min for FJ1, FN and SP1, 258 respectively.

259

260 Identification of phage receptors

261 The type of phage receptors (carbohydrate or protein-based) on bacterial surface was 262 identified following the protocol proposed by Kiliunen et al. (2011) [22]. Phage host cultures 263 were treated with 1) sodium acetate (50 mM, pH 5.2) (control), 2) sodium acetate containing 264 100 mM periodate (IO_4^-) at room temperature for 2 h (to inactivate carbohydrates) or 3) 265 proteinase K (0.2 mg.mL⁻¹) at 37 °C for 3 h (to inactive outer membrane proteins). 266 Afterwards, the phage was incubated with the treated host cells during 5 or 10 minutes at 37 267 °C, and the adsorption measured by plaque counting (PFU.mL⁻¹) after serial diluting in SM 268 Buffer. The phage adsorption rate (%) was obtained by subtracting the concentration of non-269 adsorbed phage divided by the total phage titre. Each assay was performed at least 3 times.

- 270 Complementary studies were performed with phages FJ1, FN and SP1 to identify 271 specific receptor-encoding genes, using the Keio Collection composed of *E. coli* K-12 272 mutants carrying single-gene deletions [23], performing drop tests.
- 273

274 Bacteriophage-insensitive mutants' survival in pig serum

275 The vulnerability of bacteriophage-insensitive mutants (BIMs) generated by phages 276 to the pig complement system was assessed using porcine serum. For inducing the formation 277 of BIMs, mid-log phase grown cultures of host strain EC43 were challenged with FJ1, FN 278 and SP1, and incubated for 24 h. After incubation, the cultures were plated in LB agar and 279 incubated O/N. Afterwards, 10 colonies obtained from each culture were streaked at least 280 three times into new plates to guaranty purity. The confirmation of BIMs production was 281 performed by EOP. Whenever 24 h of incubation were not enough to obtain insensitive 282 mutants, the procedure was extended to 72 h. 283 Next, EC43 wild-type (WT) and respective BIMs mid-log phase cultures (OD_{600nm} =

284 0.3) were diluted to obtain a 5×10^5 CFU.mL⁻¹ and mixed with porcine serum (3:1 (v/v)). The

mixture was incubated for 1 h at 37 °C, followed by quantification of bacterial cells. Heat-

286 inactivated serum (56 °C for 30 min) was used as negative control.

287

285

288 Phage-induced mutants' adhesion to epithelial cells

289	The virulence of five BIMs of each phage (FJ1 - 1.1, 1.4, 1.6, 1.9 and 1.10, FN - 2.1,
290	2.2, 2.3, 2.7 and 2.9 and SP1 - 3.1, 3.5, 3.6, 3.7 and 3.9) in swine intestinal cells was assessed
291	by comparing the adhesion capacity (CFU.cm ²) caused by the BIM and by the originating
292	strain. Briefly, IPEC-1 cells were seeded in 96-well plates and let growth for 24 h to
293	confluence confirmed by microscopy. Afterwards, cells were washed once with 10 mM PBS
294	and exposed to bacterial suspensions (MOI=100) resuspended in DMEM/Ham's F-12
295	supplemented with 10% FBS and incubated during 2 h at 37 °C, 5% CO ₂ to allow adhesion.
296	After incubation, the culture medium was removed, the cells were carefully washed twice
297	with 10 mM PBS, 30 μL of trypsin/EDTA (Biochrom) was added to each well and plates
298	were re-incubated at 37 °C, 5% CO2, for 15 min. The effect of trypsin was quenched by
299	adding 70 μL of assay medium. CFU were quantified by 10-fold serial dilutions in 0.9%
300	(w/v).

301

302

Statistical analysis

The statistical analysis of the results was performed using GraphPad Prism 6. Results were compared using t-test or One-way ANOVA using Bonferroni test. All tests were performed with a confidence level of 95%. Differences were considered statistically different if *p*-value ≤ 0.05 .

307

308 **RESULTS**

309 Virulence factors, serogroups, mcr-types and beta-lactamase

310 encoding genes

311 A total of 156 E. coli strains were isolated from faecal samples or rectal swabs during 312 diarrhoea outbreaks in Portuguese pig farms between 2018 and 2020. While most strains 313 (76.9%) tested negative for all the virulent-related traits analysed by PCR, 36 (23.1%) of the 314 strains could be encompassed in two pathotypes (ETEC and STEC). Of the 36 pathogenic 315 strains, 86.1% carried enterotoxin genes (ETEC), of which 90.3%, 48.4% and 29.0% of the 316 ETEC strains showed carriage of STb, STa or LT genes, respectively. The remaining 13.9% 317 strains carried the shiga-like toxin gene stx2e (STEC) (Table 1). Regarding the intestinal 318 colonization factors, the most prevalent fimbriae among ETEC was F18 (41.9%) followed 319 by F4 (16.1%). Most ETEC strains carried both fimbriae and toxin-encoding genes (58.1%). 320 Fimbriae F18 was also present in 80% of the Shiga-like toxin-bearing strains. Fimbriae F5, 321 F6 and F41 were not detected within the collection. Additionally, 54.8% and 80% of ETEC 322 and STEC strains respectively, displayed β haemolytic activity.

323

Table 1. Virulence factors (fimbriae, toxins) attributes of the 36 Portuguese DEC isolates and haemolysis type.

Pathotype	Fimbriae No. isolates (%)		Toxins No. isolates (%)				Haemolysis type No. isolates (%)	
	F4	F18	STa	STb	LT	Stx2e	β	Y
ETEC (n=31)	5 (16.1)	13 (41.9)	15 (48.4)	28 (90.3)	9 (29.0)	-	17 (54.8)	14 (45.2)
STEC (n=5)	-	4 (80)	-	-	-	5 (100)	4 (80)	1 (20)

326

327

328	The screening of plasmid-mediated colistin resistance genes ($mcr-1$ to 5) on the 36
329	ETEC and STEC identified 36.1%, 5.6% and 25% strains with mcr-1, mcr-2 and mcr-4,
330	respectively. Among the mcr+ strains, three different serogroups were identified.
331	Predominantly, ETEC strains belonged to serogroups O108 (31.6%) and O157 (15.8%) while
332	all STEC strains belonged to O139 (S4 Table).

The screening of the bla_{CTX-M} , bla_{SHV} , and bla_{TEM} genes within the 24 *mcr*+ strains bearing genes indicated that all but one strain (bla_{CTX-M} carrying) displayed bla_{TEM} in their genomes. One strain encompassed both bla_{TEM} and bla_{CTX-M} genes. No bla_{SHV} gene was identified (S4 Table).

337

338 Phylogroups, STs, clonotypes

339 By PCR, the 24 mcr+ ETEC and STEC strains were assigned to four distinct 340 phylogroups: A (15 strains), B1 (three strains), E (five strains) and F (one strain). MLST 341 determined six different STs, but 15 strains of 24 belonged to CC10 (S4 Table). Among the 342 seven phylogroup-ST-clonotype (CH) combinations determined within the 24 strains, three 343 of them accounted for 79% of them: A-ST10 (CH11-24), A-ST5786 (CH11-24) and D-ST1 344 (CH2-54). Interestingly, all 9 mcr-4 strains belonged to the clonal group A-ST10 (CH11-24), 345 mostly exhibiting serogroup O108. Besides, the five STEC strains showed the clonal group D-ST1 (CH2-54), serogroup O139 and carried mcr-1. 346

347

348 Antimicrobial resistances

349 The inhibition assay confirmed that the *mcr* carrying strains were resistant to colistin 350 at 2 mg. L^{-1} (EUCAST breakpoint). Additionally, the antibiotic resistance profile (Fig 1) 351 indicated high resistance rates to ampicillin (100.0%), trimethoprim-sulfamethoxazole 352 (83.3%), doxycycline (75%), gentamicin (70.8%), nalidixic acid and ciprofloxacin (62.5%) 353 and enrofloxacin (50.0%). Most strains (75% and 50%) displayed an intermediate 354 susceptibility to colistin and amoxicillin + clavulanic acid, respectively. The active 355 ingredients with higher effectiveness were fosfomycin (100.0%), cefoxitin (95.8%), 356 imipenem (91.7%), cefepime and tigecycline (79.2%), aztreonam (75%), marbofloxacin 357 (62.5%) and ceftiofur (54.2%) Also, based on the antibiotic resistance pattern, all 24 strains 358 were considered MDR.

359

Fig 1. Antimicrobial susceptibility of the 24 *mcr*+ DEC Portuguese strains. The strains were assessed for their susceptibility towards a wide range of antibiotics used in the swine industry. Results were interpreted according to the CLSI, 2020. Grey colour stands for susceptible; light grey colour means intermediate susceptibility; dark grey colour stands for resistance.

365

Bacteriophage isolation, host recognition and plaque formation efficiency

The lytic spectra and EOP of the three phages (FJ1, FN and SP1) were firstly tested against 88 ETEC, 10 STEC, six ETEC/STEC (S5a Table). Overall, all phages demonstrated a narrow lytic spectrum: FJ1, FN and SP1 were able to lyse and propagate, respectively, in 1.0%, 2.9% and 1.0% of the 104 ETEC, STEC and ETEC/STEC (from which only FJ1 -

373 propagation (LFW) 4.8%, 19.2% and 9.6% of the same strains.

374 The EOP was further performed in 36 APEC (S5b Table) to compare phage activity 375 with different E. coli pathogenic strains. Overall, comparatively to ETEC, STEC and 376 ETEC/STEC strains, FJ1, FN and SP1 were able to infect and propagate in a higher number 377 of strains, respectively, 13.9%, 25.0% and 13.9% from which 100% (FJ1), 55.6% (FN) and 378 60% (SP1) had an EOP greater than 50% (LFW in 22.2%, 38.9% and 38.9%) of the APEC.

379

380

Phage morphology and genome

381 TEM images showed that all phages are tailed (Caudovirales order) and belong to the 382 Myoviridae family (Fig 2a). They have highly similar genomes ranging from 165 to 170 kb 383 (269 to 280 coding sequences), sharing 87% overall nucleotide identity with E. coli phage 384 T4, a prototype (NC 000866) of the subfamily *Tevenvirinae* (Fig 2b). Major phage proteins 385 such as those related to DNA packing and structural proteins, DNA replication, 386 recombination and modification proteins and cells lysis were identified in all genomes, 387 however, >50% of the proteome has no assigned function. As expected, there was a high 388 homology between the long tail fiber (LTF) of the three phages but less between them and 389 the T4 phage (Fig 2c). A closer look at the distal part of the LTF indicated that such 390 differences are mainly due to gp38 sequence (no homology found).

391

392 Fig 2. Microscopy observation and genomic comparison. A) Transmission electron 393 micrographs of phage's FJ1 (left) and FN (right). Black scale bar represents 100 nm. B)

³⁷² 100% - score an EOP greater than 50%). Additionally, phages recognized and lysed without

394	Comparison between the genomes of phage's FJ1, FN and SP1. Coloured arrows indicate
395	open reading frames according to the putative function. Similarity is indicated in grayscale.
396	Image was created using the EasyFig program. C) Comparison between the putative coding
397	sequences of the LTF of phage's T4, FJ1, FN and SP1.

398

399 Phage infection parameters

All three phages were evaluated in terms of one-step-growth curves (S1 Fig). Phages
FJ1 and FN displayed the shortest latency periods of - 10 and 15 minutes, respectively followed by SP1 that required 20 minutes to burst. Phages FN, FJ1 and SP1 produced 71, 96
and 150 phages per cell, respectively.

404

405 **Phage receptors**

Preliminary assays aimed to infer about the nature of the phage receptors. Host cells were treated with periodate (to remove carbohydrates) or proteinase K (to remove outer membrane proteins) (Fig 3). When cells were treated with periodate, the adsorption rate significantly decreased for all phages (*p*-value<0.001) - 9.8% ± 4.1% for FJ1, 45.8% ± 6.7 % for FN and 28.1% ± 9.1% for SP1 comparatively to untreated cells - 57.8% ± 7.3% for FJ1, 87.5% ± 3.5% for FN and 97.3% ± 1.8% for SP1. Adsorption of most phages was not affected when cells were treated with proteinase K, except for FN, with a reduction of 12%.

413

Fig 3. Adsorption assays of phages FJ1 (A), FN (B) and SP1 (C). Effect of proteinase K
(0.2 mg.mL⁻¹) and periodate (50 mM sodium acetate, pH 5.2, 100 mM IO₄⁻) on phage host

416	treated cells adsorption shown in residual PFU.mL ⁻¹ percentage. Controls were performed
417	using distilled water instead of proteinase K or 50 mM sodium acetate, pH 5.2 only. Dark
418	gray refers to control and light gray for treated cells. Errors bars represent standard deviation
419	for an average of three repeated experiments. Significance was determined with t test when
420	the treated and untreated groups were compared. *** <i>p</i> -value<0.001; **** <i>p</i> -value<0.001
421	
422	Additional studies were conducted to detect the specific host receptor using a library
423	of E. coli K-12 mutants. Results were in line with previous findings. Phage FN recognized
424	proteins involved in the lipopolysaccharide (LPS) layer biosynthesis (RfaY, RfaG, RfaH and
425	ADP-heptoseLPS heptosyltransferase 2 proteins) (either by moving sugar moieties and
426	rearrange the structure of LPS or by enhancing the expression of operons involved in LPS
427	synthesis) and binds to outer membrane proteins A (OmpA) as well. The specific receptor of
428	phages FJ1 and SP1 was not possible to unveiled using the mutants tested.

429

430 **BIMs survival to serum antimicrobial activity**

For this assay, 10 BIMs were confirmed and used for phages FJ1 and SP1, while only
nine were obtained to FN (there was difficulty in obtaining any other, even after 72 h
incubation).

BIMs of the strain EC43 displayed different susceptibility towards the swine serum batches, depending on the originating phage (Fig 4). Overall, 90% of the FJ1 BIMs (1.1, 1.2, 1.3, 1.6, 1.8 and 1.9 (*p*-value<0.001), 1.4 (*p*-value=0.0021), 1.5 (*p*-value=0.0018) and 1.7 (*p*-value=0.0042)) and 100% of FN BIMs (2.1 (*p*-value=0.0060), 2.2 and 2.8 (*p*-value<0.001), 2.3 (*p*-value=0.0054), 2.4 (*p*-value=0.0027), 2.5 (*p*-value=0.0469), 2.6 (*p*-value=0.0024), 2.7

439 (*p*-value=0.0066), and 2.9 (*p*-value=0.0377)) were reduced, in average 1.2 ± 0.2 Log 440 CFU.mL⁻¹ comparatively to EC43 WT. Conversely, mutants generated after SP1 challenge 441 did not show a higher susceptibility to serum killing activity when compared with the 442 originating strain (*p*-value>0.05). The control tests performed with the inactivated serum did 443 not influence the bacterial load concentration (data not shown), confirming that the reduction 444 observed was only due to the bactericidal action of the serum.

445

446 Fig 4. Serum complements effect against WT ETEC EC43 and respective BIMs. Mutants 447 derived from interaction with phage's FJ1 (A - 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9, 1.10), 448 FN (**B** - 2.1, 2.2, 2.3, 2.4, 2.5, 2.6, 2.7, 2.8 and 2.9) and SP1 (**C** - 3.1, 3.2, 3.3, 3.4, 3.5, 3.6, 449 3.7, 3.8, 3.9, 3.10). Porcine serum was challenged with EC43 WT and respective BIMs 450 emerged from contact with each phage in a 3:1 ratio and incubated for 1 h at 37 °C. Results 451 are shown in logarithm reduction of CFU.mL⁻¹. Control was performed with inactivated 452 serum (data not shown). Black refers to WT and dark gray for BIMs. Errors bars represent 453 standard deviation for an average of three repeated experiments. Significance was determined with One-way ANOVA when the BIMs were compared with WT. * p-value<0.05; ** p-454 value<0.01: *** *p*-value<0.001; **** *p*-value<0.0001 455

456

457 **BIMs adhesion to intestinal porcine cell line**

The mutants displayed different effect in the adhesion capacity to mammalian cells accordingly to the phage used to generated them (Fig 5). Most BIMs of FJ1 (80% - 1.1, 1.6 and 1.9 (*p*-value<0.001) and 1.4 (*p*-value=0.0102)) and FN (100% - 2.1 (*p*-value=0.0080), 2.2 and 2.9 (*p*-value<0.001), 2.3 (*p*-value=0.0022), 2.7 (*p*-value=0.0016)) demonstrated a

462 reduced adhesion to culture cells, on average, of (5.4 ± 0.2) Log CFU.cm⁻² and (5.2 ± 0.2)

463 Log CFU.cm⁻², correspondingly, comparing with the parental strain (6.3 ± 0.2) Log CFU.cm⁻

². In opposition, SP1 generated BIMs did not show any difference regarding the adhesion

465 capacity comparing with WT EC43 (*p*-value>0.05).

466

467 Fig 5. Adhesion capacity of WT ETEC EC43 and respective BIMs to mammalian cells. 468 Mutants derived from interaction with phage's FJ1 (A - 1.1, 1.4, 1.6, 1.9 and 1.10), FN (B -469 2.1, 2.2, 2.3, 2.7 and 2.9) and SP1 (C - 3.1, 3.5, 3.6, 3.7 and 3.9). IPEC-1 cells were 470 challenged with EC43 WT and five BIMs emerged from contact with each phage using a 471 MOI of 100 and incubated for 2 h at 37 °C, 5% CO₂. Results are shown in logarithm of 472 CFU.cm⁻². Black refers to WT and dark gray for BIMs. Errors bars represent standard 473 deviation for at least three repeated experiments. Significance was determined with One-way ANOVA when the BIMs were compared with WT. * p-value<0.05; ** p-value<0.01; *** p-474 475 value<0.001; **** p-value<0.0001

476

477 **DISCUSSION**

The proliferation of pathogenic *E. coli* in the intestine of pigs during the nursing and PW period has a great cost for the swine industry. The overuse of antibiotics in recent decades has triggered serious problems associated with antibiotic resistance events, compromising the therapeutic solutions available to fight against multidrug resistant swine colibacillosis. This study intended to assess the potential of three phages that were here fully characterized

- FJ1, FN and SP1- to tackle DEC strains, using a panel of ETEC, STEC and ETEC/STEC
isolated from pigs.

485 Phage taxonomic and genomic characterization indicated that all are T4-like phages 486 of the Tevenvirinae subfamily within the Myoviridae family, which, as the other T-even 487 phages, are known to infect a wide variety of Gram-negative hosts [24,25]. When host 488 recognition and plaque formation assays were performed for each phage, all revealed an 489 unexpected narrow host spectrum. As noted earlier [26], the number of infected phage-490 propagating strains was reduced compared to those which, despite being recognized and 491 lysed, cannot propagate them: mostly low EOP scores (<50%) were recorded, and only in 492 few strains: 1.0% for FJ1 and SP1 and 2.9% for FN, compared to the occurrence rates of 493 LFW events, 4.8% for FJ1, 19.2% for FN and 9.6% for SP1. The wide variety of strains used 494 - not only the herein isolated but also the previously reported and characterized, isolated from 495 Spanish farms [5] - contributed to the robustness and heterogenicity of this analysis. The 496 panel comprised mostly fimbriae carriers' strains (75%) belonging to 15 different serogroups 497 (including the most prevalent within swine colibacillosis), with 94 ETEC and ETEC/STEC 498 strains harbouring mostly toxin STb (76.6%), followed by STa (55.3%) and LT (42.6%) and 10 STEC. Moreover, the fact that 71.2% were mcr+, >40% carry beta-lactamase-encoding 499 500 genes (including 18.3% extended-spectrum beta-lactamase producers) and 82.7% MDR 501 strains reinforce the relevance of this work. It has been suggested that phages infecting but 502 not being able to propagate in their hosts may be targeted by bacterial anti-phage defense 503 systems, such as Restriction Modification (RM), or Abortive Infection (Abi) systems [26]. If 504 the same report proposed that specifically T4-like phages might exhibit broad resistance to 505 RM systems, it also indicated that they may be susceptible to some Abi systems, as typically 506 their hosts encode genes able to sense phage specific proteins, triggering cell destruction and

507 preventing subsequent infections. Analyzing now the low EOP observed, the comparison 508 with APEC scores (higher EOP efficacy and wide host recognition, between 13.9% and 25%) 509 seems to indicate (regardless of the phages isolation origin) that particularly ETEC may be 510 strengthening its immunity against these viral predators. It can be speculated that this was 511 possible, for example through host specialization in anti-phage defense systems such as 512 CRISPR-CAS or Superinfection exclusion (SE) [27]. In an extensive *in silico* study, Wang 513 et al. (2020) [28] demonstrated that ETEC can include 8.4 prophages/genome, a high average

514 number, increasing the likelihood of occurring SE events.

515 T-even phages are known to infect hosts through an initial and reversible binding to 516 primary receptors, - usually surface proteins like OmpC for T4, but when not available, sugar 517 motifs in the LPS - with its LTF, followed but second and irreversible binding by its short 518 tail fiber [29]. However, it is the first step that defines the host range. In phages such as T4 519 and S16, the LTF is encoded by gp34 to gp37 which form the tail proximal to distal segments 520 [30,31] known to bind to both LPS moieties and OMP proteins. It is also known that T4 binds 521 to hosts via gp37 but needs to be co-expressed with gp38 that functions as a chaperone [32], 522 while S16 binds to hosts using gp37 bond with the gp38 which acts as an adhesin and 523 mediates host specificity [31]. Given the fact that our E. coli phages share similar proximal 524 end of the LTFs but have different distal segments (from the C-terminal gp37 to gp38), this 525 can also explain their different and unexpected narrow host range. Regarding their host 526 receptors, all the three phages are expected to be behave similarly to reported T-even phages. 527 Accordingly, assays revealed that FN binds to proteins involved in LPS biosynthesis and 528 OmpA. FJ1 and SP1 seems to recognize only one receptor (carbohydrates), nonetheless, we 529 were not able to identify them using K-12 mutants. The repeated *in vitro* exposure of bacterial 530 hosts to our phages led to the emergence of BIMs. This new phenotype brought fitness cost

to some of the mutants, depending on the inducing phage. In fact, 90% of the BIMs from 531 532 FJ1 and 100% from FN were more vulnerable to the pig complement system when compared 533 to the originating strain, suggesting that changes at the level of the cell wall made the host 534 more reactive to serum immunogenic proteins [33]. Conversely, pig serum has no increased 535 bactericidal effect against BIMs from SP1, recognizing only LPS. Differences regarding 536 serum sensitivity suggest that the site of the mutations at least at the LPS level will influence 537 the reaction of the immune system. Mizoguchi and colleagues had already reported the 538 formation of phage resistant strains associated with LPS alterations or OMP deficiency [34]. 539 Particularly the surface protein OmpA (targeted by FN) and also carbohydrates (targeted by 540 FJ1 and FN), especially those that are part of the LPS have been implicated in resistance to 541 serum [35]. Furthermore, the bacterial structure re-conformation due to loss or alteration of 542 the FJ1 and FN binding sites in ETEC EC43 influenced the adhesion capacity to porcine 543 mammalian cells (in about 90%), even though the target of both phages is not related to their 544 adhesin structures. This seems of relevant importance since the first step in the colonization 545 of diarrhoeagenic pathotypes such as ETEC is their attachment to the host cells that promotes 546 the transferring of enterotoxins more efficiently to the target cells.

547

548 **CONCLUSIONS**

In summary, this study reflects the diversity of ETEC and STEC in Portuguese swine farms associated with high resistance towards several class of antibiotics, including last resort antimicrobials. Additionally, it demonstrated that the host range of three phages appears to be conditioned by the presence of a unique region in the phage's LTFs. Overall, it suggests

- the importance of improving knowledge about ETEC and phage interaction, enhancing the
- importance of an extensive study of phage for a potential veterinary use.

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660 SUPPORTING INFORMATION

- 661 S1 Table. Targets and primers associated with ETEC and STEC pathotypes.
- 662 S2 Table. Primers used for the detection and/or sequencing of TEM, SHV, CTX-M and
- 663 MCR genes.
- 664 S3 Table. Targets and primers to determine phylogroups, clonotypes and sequence
 665 types by MLST.
- 666 S4 Table. Molecular characterization of the 24 mcr+ Portuguese strains.
- 667 S5 Table. Phages (FJ1, FN and SP1) lytic spectra and EOP against 104 ETEC, STEC
- 668 and ETEC/STEC strains (a) and 36 APEC strains (b). The EOP was divided into four

- 669 scores: 0 (no lysis), 1 (≤50%), 2 (>50% 100%) and 3 (>100%). LFI stands for lysis from
- 670 within and LFW for lysis from without. Light gray represents ETEC strains, gray cells are
- 671 STEC strains and dark gray stands for ETEC/STEC isolates. NA: Not assigned, ONT: O
- 672 non-typeable, HNM: H non motile.
- 673 S1 Fig. One-step growth curve of phages FJ1 (a), FN (b) and SP1 (c) on respective hosts
- 674 cells. Results are shown in PFU per infected cell. Errors bars represent standard deviation for
- an average of three repeated experiments.

















