1	Superior colliculus visual neural sensitivity at the lower
2	limit of natural self-induced image displacements
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23 Abstract

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25 Visual pattern analysis relies on computations from neurons possessing spatially confined

- 26 receptive fields. Often, such receptive fields are orders of magnitude larger than the visual
- 27 pattern components being processed, as well as these components' minute displacements
- 28 on the retina, whether due to small object or self motions. Yet, perception effortlessly
- 29 handles such visual conditions. Here, we show that in the primate superior colliculus, a brain
- 30 structure long associated with oculomotor control, neurons with relatively large receptive
- fields are still sensitive to visual pattern displacements as small as 1 min arc. We used real-
- 32 time gaze-contingent retinal image stabilization to control the instantaneous spatio-
- 33 temporal luminance modulation of detailed patterns experienced by neurons, probing
- 34 sensitivity to the lower limit of natural self-induced image displacements. Despite a large
- 35 difference between pattern displacement amplitudes and receptive field sizes, collicular
- 36 neurons were strongly sensitive to the visual pattern consequences of the smallest possible
- 37 eye movements.
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40 Introduction

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42 Image analysis in the primate visual system is performed by neurons having individual

43 receptive fields (RF's) sampling confined regions of the retinal image. Outside the fovea, and

44 particularly in higher visual areas, RF's can be large. This is also the case in sensory-motor

- 45 structures like the superior colliculus (SC) ¹⁻³, which itself has a rich and diverse visual
 46 repertoire ¹⁻⁷.
- 47

48 Integration of relatively large image regions by individual RF's raises questions about how

49 detailed visual pattern analysis can occur when the local features inside an RF are much

50 smaller than RF size. Among these questions is what nature of visual processing takes place

51 in the SC, a sensory-motor structure, when compared to other visual areas that are more

52 distant from the motor control apparatuses. For example, the SC contributes to saccade

53 generation ⁸, and its diverse visual properties seem to be optimized for detecting stimuli for

54 the purpose of gaze orienting ⁹. Does this mean that SC neurons are incapable of detailed

- visual pattern analysis that may be more the purview of visual cortex?
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57 We investigated this question by exploring whether SC neurons are sensitive to the visual

58 pattern consequences of minute image displacements over their RF's. With the head fixed, a

59 lower limit on natural self-induced retinal image motion is that caused by slow ocular

- 60 position drifts during gaze fixation (Fig. 1A)¹⁰⁻¹². With stable external stimuli, such drifts
- 61 introduce image pattern displacements over individual RF's that are much smaller than the
- 62 RF's themselves (Fig. 1B). Thus, the local pattern features of the stimuli never really leave
- 63 the RF's during drifts. Yet, theoretical and perceptual work suggests that small

64 displacements associated with ocular position drifts reformat images in meaningful ways for

65 perception ¹³⁻¹⁶. The reformatting itself is a direct consequence of eyeball rotation: it is a

66 property of the image formation process. However, for the reformatting to be effective for

67 perception, downstream neural processing stages need to be also sensitive to them.

68 Therefore, we asked whether SC neurons functionally utilize the visual reformatting afforded

- 69 by ocular position drifts in their activity (Fig. 1B).
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71 We employed the technique of gaze-contingent retinal image stabilization, combined with 72 grating images of different properties, to identify a direct SC neural correlate of perceptual effects ¹³ associated with ocular position drifts. We presented gratings to the RF's of SC 73 74 neurons either stably on the display (and thus moving on the retina due to drifts) or using 75 gaze-contingent display updates, as was done earlier in the primary visual cortex with simple spot and bar stimuli ¹⁷. We used, instead, patterned gratings and different experimental 76 77 manipulations of retinal image motions to demonstrate clear SC neural sensitivity (even 78 extrafoveally) to the diminutive visual pattern consequences of ocular position drifts. These 79 results complement studies in the retina highlighting the impact of visual reformatting by drifts on the retinal output ¹⁸, and they demonstrate that SC neurons can contribute to visual 80 81 scene analysis with high fidelity despite their relatively large RF's. 82

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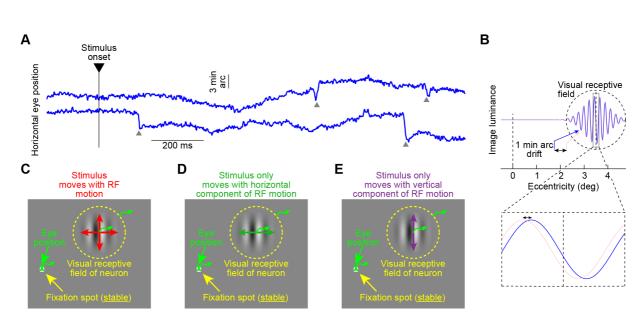
88 Results

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90 We asked whether visually-responsive SC neurons are sensitive to image pattern

91 displacements at the lower limit of natural self-induced motion. We did so by recording SC

- 92 neural activity from head-fixed monkeys precisely holding their gaze on a small, stable
- 93 fixation spot of 8.5 x 8.5 min arc dimensions. During fixation, the eyes drifted slowly ¹⁰⁻¹²,
- causing retinal image displacements on the order of 1 min arc magnitude (Fig. 1A), with
- 95 occasional larger microsaccades. The scale of retinal image displacements associated with
- 96 ocular position drifts, as small as the approximate distance between two individual foveal
- 97 cone photoreceptors, is considerably smaller than SC RF sizes, especially extrafoveally ^{1-3,9}. It
- 98 is also frequently much smaller than the viewed image patterns themselves. Consider, for
- 99 example, a gabor grating of 4.44 cycles/deg (cpd) in an RF of a neuron preferring 3.5 deg
- eccentricity. A displacement over the RF of the grating's retinal image by 1 min arc wouldcause minimal change to the overall luminance pattern experienced by the neuron (Fig. 1B).
- 102 Yet, theoretical considerations suggest that such minute pattern displacements can still
- 103 matter for perception ^{10,13-16}. We, therefore, investigated whether SC neurons are sensitive
- 104 to these diminutive pattern displacements.
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110 Figure 1 Isolating the visual-pattern consequences of slow eye position drifts on SC neural activity. (A) 111 Horizontal eye position from two example gaze fixation trials. Microsaccades are highlighted with arrows and 112 were removed from all analyses (Methods). In between, slow eye position drifts occurred with a retinal-image 113 displacement range on the order of 1-3 min arc. (B) The luminance profile of a 4.44 cpd gabor grating placed 114 within a visual receptive field (RF) of a hypothetical SC neuron for two instantaneous eye positions separated by 115 1 min arc (blue versus pink; the bottom inset magnifies one cycle). The image displacement is much smaller than 116 the RF size (depicted based on our prior measurements ^{3,9}). (C) To characterize SC neural sensitivity to pattern 117 displacements on the order of 1-3 min arc, we exploited the slow position drifts in A. Monkeys fixated a stable 118 spot, and we continuously translated the image of an eccentric grating with fixational eye motion, thus stabilizing 119 the grating's image within the continuously moving retinotopic RF. This minimized the displacements of the 120 grating in the RF that would have otherwise occurred. (D) In other conditions, we only stabilized the horizontal 121 component of ocular position changes (minimizing RF image shifts orthogonal to the grating). (E) In yet other 122 conditions, we only stabilized the vertical component of eye drift. Curly bright green arrows in C, D, E schematize 123 the eye position changes and their associated retinotopic RF motions.

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127 We utilized real-time retinal image stabilization to move a visual pattern (gabor grating) in lock with instantaneous eye position (Methods). We compared neural activity with a stable 128 129 pattern on the display (thus moving with respect to a continuously moving retinotopic RF) to 130 neural activity with a moving pattern on the display tracking the eye movements (and thus 131 rendered more stable with respect to the RF; Fig. 1C). If the SC is sensitive to visual pattern 132 consequences of retinal image displacements as small as those in Fig. 1A, B, then the neural 133 responses should differ between the two conditions. Critically, the fixation spot was always 134 stable on the display, allowing the monkeys to properly anchor their gaze independently of 135 retinal image stabilization. Indeed, the characteristics of both ocular position drifts and 136 microsaccades across all of our gaze-contingent manipulations (Methods) were unaltered by whether the grating was stable on the display (control) or not (Figs. S1-S3). This is consistent 137 138 with evidence that, in steady-state fixation, microsaccades and ocular position drifts act to optimize eye position at the fixated target ¹⁹⁻²². Therefore, we experimentally controlled the 139 subtle image displacements of visual patterns over RF's (Fig. 1), but without altering the 140 141 natural gaze behavior itself.

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- 144 Superior colliculus neurons are sensitive to visual pattern displacements on the 145 order of 1 min arc

146 We first established the effectiveness of our manipulation. After identifying a visually-147 responsive neuron, we estimated its retinotopic RF hotspot location and extent (Methods). If 148 we then pegged the stimulus, via retinal image stabilization, at the estimated hotspot 149 location, then the neuron would consistently experience an optimal stimulus. This is in 150 contrast to control trials, during which fixational eye movements could, at any one moment 151 in time, displace the stimulus from the optimal RF hotspot location or otherwise blur it. 152 Thus, neural activity was expected to be elevated with retinal image stabilization (Fig. 2). 153 Alternatively, if we pegged the stimulus at a sub-optimal location relative to the RF during 154 retinal image stabilization, then the neuron's activity was expected to decrease, because in 155 control trials, eye movements could momentarily bring the stimulus to a more optimal RF position (Fig. S4). These effects are similar to those observed in V1 with the retinal image 156 stabilization technique and simple spot and bar stimuli ^{17,23,24}, and they meant that we were 157 158 now in a good position to explore, in more detail, the visual pattern consequences of minute 159 ocular position drifts on SC image representations.

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In all of our subsequent analyses, we only focused on situations like in Fig. 2, with anoptimally placed RF stimulus, and also with primarily extrafoveal neurons with RF's larger

163 than the scale of ocular position drifts; this was the relevant scenario for the questions

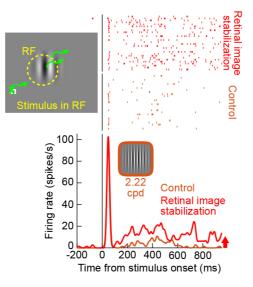
164 raised by Fig. 1B. We also excluded all epochs around microsaccades (Methods), because

165 retinal image stabilization with discretized display update times (Methods) is expectedly ¹⁷

166 least effective for these faster eye movements (but see Fig. S5 for evidence of the

167 effectiveness of the technique in tracking eye motions even with microsaccades).

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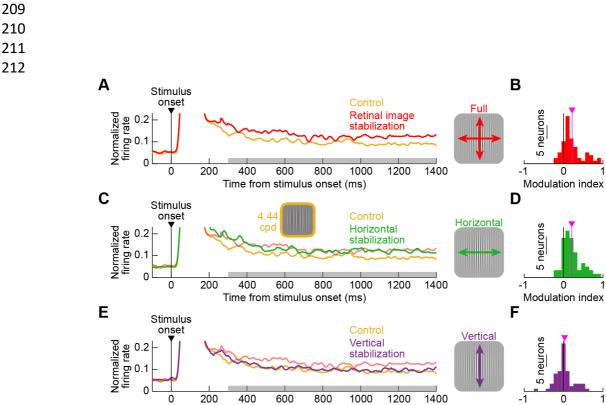
174 Figure 2 Example SC neuron illustrating the effect of retinal image stabilization on SC activity. In control trials, 175 we presented a grating of middle spatial frequency (2.22 cpd) near RF center. The neuron had a weak response 176 after the initial visual burst. In retinal image stabilization trials, we continuously updated the position of the 177 grating with fixational eye position (predominantly ocular drift; Fig. 1A), to render the grating's retinotopic 178 position relative to the RF more stable than in control trials. The neuron's sustained visual response was 179 significantly elevated, suggesting that smearing of the grating position over the RF in control trials reduced the 180 overall responsiveness of the neuron. Our analyses focused on sustained responses, to avoid the transients 181 associated with stimulus onsets at the beginnings of trials (Methods). Fig. S4 also shows an additional validation 182 of the stabilization technique, this time when placing the grating away from the RF center of a recorded neuron.

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Retinal image stabilization of a stimulus at the RF hotspot location consistently elevated SC 187 188 neural activity, suggesting sensitivity to local image pattern statistics within the RF's (like 189 those schematized in Fig. 1B). In Fig. 3A, we plotted the normalized population activity after 190 the onset of a 4.44 cpd grating in the RF's, either in control or with full retinal image 191 stabilization. We found a persistently elevated sustained response (after an initial visual 192 burst due to stimulus onset) for as long as the stimulus was stabilized over the RF's (compare 193 control to retinal image stabilization firing rates). Given the spatial scale of our image 194 displacements associated with ocular position drifts and the predicted luminance 195 modulations that they introduced (Figs. 1A, S1, S3C-F), this implies that SC neurons can 196 indeed detect minute image pattern displacements much smaller than RF sizes, and also 197 smaller than the pattern features themselves (Fig. 1B). Figure 3B also shows the distribution 198 of individual neural modulation indices (Methods) across our population, with a significant 199 positive shift indicating consistently elevated firing rates during retinal image stabilization (21.74% average modulation index relative to control; p=3.2073x10⁻⁸; 1-sample t-test; n=61 200 201 neurons). Moreover, Fig. S6A shows the individual neuron raw firing rates during sustained 202 fixation (shaded gray region on the x-axis of Fig. 3A, and excluding microsaccades) in the two 203 conditions: practically all neurons exhibited elevated activity for vertical 4.44 cpd gratings 204 stabilized over their RF's as opposed to being jittered by ocular position drifts in the control 205 condition. This is a direct SC neural correlate of the concept of temporal encoding by ocular 206 position drifts predicted theoretically ¹³⁻¹⁵, but now being viewed from the individual neuron 207 perspective. 208



Time from stimulus onset (ms)

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215 Figure 3 SC neurons are sensitive to image pattern displacements as small as 1-3 min arc in amplitude. (A) 216 Normalized firing rate across our population after the onset of a 4.44 cpd grating in the RF's. Yellow shows the 217 control condition in which the grating was stable on the display, and therefore continuously being displaced over 218 the retinotopic RF's. The neurons exhibited a sustained response above baseline, as long as there was a stimulus 219 presented. Red shows the full retinal image stabilization condition (Fig. 1C), in which the firing rate was 220 persistently elevated. The gray horizontal bar on the x-axis defines our measurement interval for our analyses 221 (Methods). (B) Distribution of per-neuron modulation indices comparing the sustained response under full 222 retinal image stabilization to the sustained response in control (Methods). The vertical pink line shows the mean 223 modulation index across the population (individual neuron raw measurements are also shown in Fig. S6A). (C) 224 Same as A but for retinal image stabilization of only the horizontal component of eye position displacements 225 (orthogonal to the grating orientation). The neurons were as affected as in the full retinal image stabilization 226 condition (the faint red curve is replicated from A for easier comparison). This is because a vertical ocular position 227 drift over a vertical grating causes no luminance modulations over the RF's beyond the original image pattern. 228 (D) Modulation index distribution for the data in C, showing similar effects to full retinal image stabilization. (E) 229 With vertical retinal image stabilization, the neurons were unaffected by the gaze-contingent image 230 manipulation: the horizontal component of RF motion relative to the grating was the same as in control, resulting 231 in the same neural response. (F) Modulation indices for vertical retinal image stabilization were not significantly 232 different from zero across the population.

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Drift-scale pattern displacements orthogonal to the patterns' orientations drive neural modulations the most

The results of Fig. 3A, B might have been an artifact of world-centered grating motion, which was necessarily introduced by retinal image stabilization (by stabilizing the retinal image, we

- had to move the stimulus on the display). We, therefore, tested the stronger predictions
- afforded by either horizontal or vertical retinal image stabilization (Fig. 1D, E). Here, with the
- 242 same vertical 4.44 cnd grating if we stabilized only the horizontal component of fixational

Modulation index

243 eye movements, then any residual vertical movements of the grating over the retinotopic 244 RF's (uncompensated by the partial gaze-contingent technique) would not alter the 245 luminance pattern over the RF's too much; this is because the vertical component of ocular 246 position drifts is much smaller than the grating size and also parallel to it (Fig. 1D). On the 247 other hand, if we stabilized only the vertical component of fixational eye movements (Fig. 248 1E), then the residual (uncompensated) horizontal movements of the grating over the 249 retinotopic RF's would cause luminance pattern changes like in Fig. 1B. More importantly, 250 since the ocular position drift statistics were unchanged across all of our manipulations (Fig. 251 S1, S3C-F), the residual horizontal motions experienced by the neurons would be highly 252 similar to those in the control condition, as if there was no retinal image stabilization at all. 253 Thus, at the individual neural modulation level, horizontal retinal image stabilization should 254 look indistinguishable from full retinal image stabilization relative to the control condition, 255 and vertical retinal image stabilization should appear like the control condition instead; this 256 is despite both conditions causing world-centered grating motions on the display.

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258 Our neurons were modulated according to the predictions of retinotopic pattern 259 displacements over the RF's (Fig. 1B-E), suggesting sensitivity to local features much smaller 260 than SC RF size. During horizontal retinal image stabilization, the neurons' activity was elevated as much as in full retinal image stabilization (Fig. 3C, D; average modulation index 261 20.86%; significantly larger than zero; p=7.8757x10⁻⁹; 1-sample t-test; n=61 neurons). On the 262 other hand, the neurons' firing rates were unchanged from control with vertical retinal 263 264 image stabilization (Fig. 3E, F; population modulation index not significantly different from 265 zero; p=0.3898; 1-sample t-test; n=61 neurons). The difference between horizontal and 266 vertical retinal image stabilization was also robustly evident at the individual neuron level 267 (Fig. S6B), and the spiking statistics in the two conditions mimicked those in either full retinal 268 image stabilization (for the case of horizontal stabilization; Fig. S6C) or control (for the case 269 of vertical stabilization; Fig. S6C). Therefore, SC neurons are sensitive to the image pattern 270 displacements associated with ocular position drifts, despite the small scale of such drifts 271 (and the local image features) relative to RF size. This means that SC neurons with relatively 272 large RF's can benefit from the edge enhancing properties of ocular position drifts seen 273 perceptually ¹³.

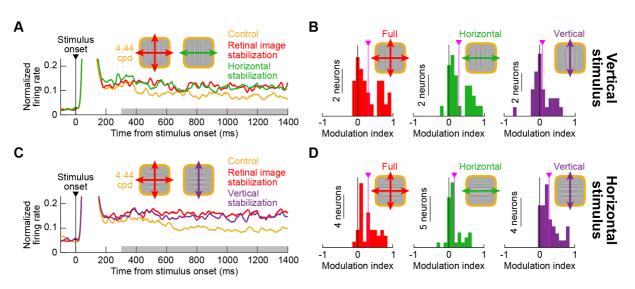
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To further demonstrate the sensitivity of SC neurons to minute pattern displacements 275 276 orthogonal to the local edges in the patterns, we also repeated the same experiments in one monkey but with horizontal, rather than vertical, 4.44 cpd gratings. Thus, in this monkey, we 277 278 could test some neurons under two different pattern conditions within the same session 279 (Methods). With vertical gratings, we replicated the results of Fig. 3, as seen in Fig. 4A, B. 280 With horizontal gratings (Fig. 4C, D), it was now vertical retinal image stabilization (as well as full stabilization) that resulted in the largest neural modulations; horizontal stabilization 281 282 (parallel to the now horizontal gratings) caused the least modulations (also see Fig. S7 283 showing individual neuron firing rates as well as spiking statistics in the different conditions). 284 Thus, it was always ocular position drifts orthogonal to the local pattern orientations that 285 resulted in the largest neural modulations. 286

Therefore, not only are SC neurons sensitive to image pattern displacements on the order of
 magnitude of 1 min arc, but they are also differentially sensitive as a function of the relative
 difference between the image displacement directions and the underlying pattern
 orientations. We previously demonstrated this to be the case in the SC for the image

displacements associated with significantly larger microsaccades ²⁵, but the smaller scale of
ocular position drifts (Fig. 1A, B) suggests an even finer ability of SC neurons to represent
and react to detailed visual patterns (Fig. 1B). The SC can indeed contribute to the
theoretically-predicted perceptual effects associated with slow ocular position drifts (e.g.
^{13,15}).

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301 Figure 4 Ocular position drifts orthogonal to a pattern cause the biggest neural modulations, independent of 302 original pattern orientation. (A, B) Neural modulations similar to Fig. 3 from a monkey viewing a vertical 4.44 303 cpd grating. Full and horizontal (orthogonal to the grating orientation) retinal image stabilization caused the 304 biggest neural effects. The modulation indices for vertical retinal image stabilization (rightmost histogram in B) 305 were not significantly different from zero (p=0.1728; 1-sample t-test; n=27); the indices were significant for full (p=6.741x10⁻⁵) and horizontal (p=3.854x10⁻⁵) stabilization (left and middle histograms in B). (C, D) SC neural 306 307 activity modulations in the same monkey viewing a horizontal grating instead of a vertical one. Now, horizontal 308 retinal image stabilization had the weakest effect (middle histogram in **D**; 15.56% modulation; $p=1.1x10^{-4}$; 1-309 sample t-test; n=35). Vertical retinal image stabilization resulted in similar neural modulations to full retinal 310 image stabilization (26.84% and 30.14%, respectively, in the rightmost and leftmost histograms in D; both 311 significantly different from zero: $p=1.1 \times 10^{-7}$ and 4.1×10^{-8}). All other conventions similar to Fig. 3. Also see Fig. S7 312 for individual neuron results.

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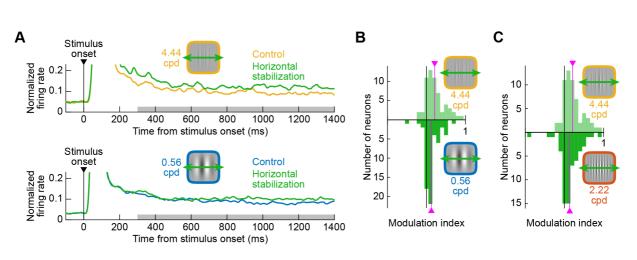
Neural responses to high spatial frequency patterns are modulated the most by drift-scale image displacements

The results so far suggest that SC neurons are sensitive to image pattern features that can be 319 significantly smaller than the neurons' RF sizes (Fig. 1B). However, with a pattern at the 320 optimal RF location, the scale of luminance modulations caused by ocular position drifts (Fig. 321 1B) should depend on both the spatial detail of the pattern itself as well as the spatial scale 322 323 of the retinal image displacements caused by eye movements (Fig. S3A, B). Therefore, given 324 the ocular position drift sizes that we observed (Figs. 1A, S1, S3C-F), we expected to observe 325 the largest effects of retinal image stabilization with high spatial frequency patterns (Fig. 326 S3A, B). This was indeed the case. In our experiments, we also tested low (0.56 cpd) and 327 intermediate (2.22 cpd) spatial frequency gratings (Methods). For both vertical (Fig. 5) and

horizontal (Fig. S8) gratings, the relevant orthogonal stabilization condition (horizontal in Fig.

5 and vertical in Fig. S8) resulted in the largest neural modulation indices for 4.44 cpd 329 330 gratings. For example, with vertical gratings and horizontal stabilization, the average 331 modulation index with 4.44 cpd was 20.86% relative to control, but it was 12.46% for 0.56 332 cpd (p=0.0319; 2-sample t-test; n=61 neurons; comparing 4.44 cpd to 0.56 cpd; Fig. 5B); the 333 modulation index was 13.27% for 2.22 cpd (p=0.0997; 2-sample t-test; n=61 neurons; 334 comparing 4.44 cpd to 2.22 cpd; Fig. 5C). Naturally, full retinal image stabilization also 335 showed similar effects to orthogonal image stabilization, as expected from Figs. 3, 4. Therefore, SC neurons are lawfully sensitive to the luminance modulations caused by 336 337 orthogonal edges being displaced ever so slightly within their RF's due to ocular position 338 drifts. 339

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344 Figure 5 The representation of high spatial frequency features in the SC is most sensitive to the smallest 345 naturally-induced image displacements. (A) Normalized population firing rates in control and with horizontal 346 retinal image stabilization for 4.44 cpd (top) and 0.56 cpd (bottom) vertical gratings. The high spatial frequency 347 grating was associated with a larger neural modulation than the low spatial frequency grating (consistent with 348 the image luminance predictions of Fig. S3A, B). (B) Neural modulation indices comparing high (top) and low 349 (bottom) spatial frequencies with horizontal retinal image stabilization (pink vertical lines indicate the mean 350 across the population). The high spatial frequency grating was associated with higher modulation indices. (C) 351 When comparing the high to the middle spatial frequency, the difference in modulation indices was smaller than 352 in B (but the modulation indices with 4.44 cpd were still higher than with 2.22 cpd; 20.86% versus 13.27%).

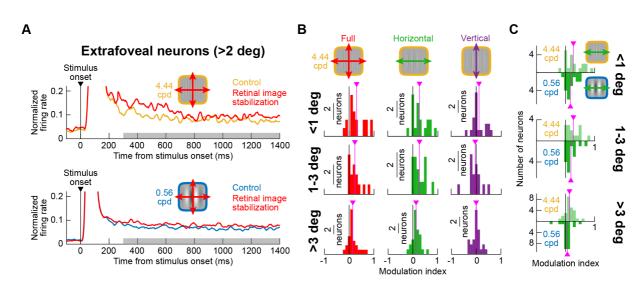
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Sensitivity to drift-scale pattern displacements still occurs in extrafoveal and lower visual field neurons with larger receptive fields

In prior theoretical and perceptual work ^{13,15}, the image pattern consequences of ocular 358 position drifts were primarily, and understandably, considered from a foveal perspective. 359 360 However, our results above suggest that even eccentricities with larger RF's (Fig. 1B) may 361 still utilize the visual formatting afforded by slow fixational eye movements in SC visual neural coding. Therefore, we exploited the fact that we sampled neurons from a wide range 362 of eccentricities (Fig. S9), and we specifically analyzed extrafoveal neurons to ask if they 363 were still sensitive to our retinal image stabilization manipulations. These extrafoveal SC 364 365 neurons clearly showed modulations (Fig. 6A) that were very similar to those observed for 366 the entire neural population (Figs. 3-5): greater elevation with retinal image stabilization for 367 high than low spatial frequencies.

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369 We then separated the neurons into 3 groups based on their preferred eccentricities (<1 370 deg, 1-3 deg, and >3 deg). In all cases, full and horizontal retinal image stabilization (with 371 vertical gratings) had higher positive neural modulation indices than vertical retinal image 372 stabilization (Fig. 6B). Specifically, the full and horizontal retinal image stabilization 373 modulation indices in Fig. 6B were statistically significantly different from zero (p<0.0026 in 374 each panel; 1-sample t-test; neuron numbers shown in Fig. 6B), but the vertical retinal image 375 stabilization modulation indices were not. Moreover, the modulation indices were higher for 376 high rather than low spatial frequencies (Fig. 6C); each comparison of high (4.44 cpd) to low 377 (0.56 cpd) spatial frequency modulation indices in Fig. 6C was statistically significant (p<0.032 in each panel; 2-sample t-test; neuron numbers shown in Fig. 6C), except for >3 378 379 deg eccentricities (which still showed the same trends; p=0.1683). Thus, even extrafoveal SC neurons with larger RF's are sensitive to the visual pattern consequences of ocular position 380 381 drifts on the order of magnitude of 1 min arc, and with the same dependencies as in Figs. 3-382 5. It should also be noted that the effect sizes of retinal image stabilization were smaller with 383 the larger RF's (e.g. compare modulation indices across the three rows in Fig. 6B, C), which might be due to the larger integration areas of the larger RF's in the periphery. 384 385 386



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391 Figure 6 Visual formatting of SC neural responses by ocular position drifts persists for extra-foveal neurons 392 with larger RF's. (A) Normalized population firing rates from all extra-foveal SC neurons comparing control and 393 retinal image stabilization conditions for high (top) and low (bottom) spatial frequencies. Similar observations to 394 Figs. 3-5 could be made: there was still an effect of retinal image stabilization despite the larger RF's of extra-395 foveal SC neurons (see also Fig. 1B). (B) Modulation indices as in Figs. 3, 4 for three different groups of neurons 396 according to their preferred eccentricities. Full and horizontal retinal image stabilization had positive modulation 397 indices across eccentricities, whereas vertical retinal image stabilization modulation indices were always closest 398 to zero. Note also that the modulation indices for full and horizontal retinal image stabilization progressively 399 decreased in amplitude with increasing eccentricities (top to bottom). (C) Modulation indices comparing high 400 and low spatial frequencies (as in Fig. 5) across the different groups of neurons. The same observations as in Fig. 401 5 were still made for extra-foveal SC neurons.

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405 Another test of the effects of RF sizes in our retinal image stabilization manipulations was to

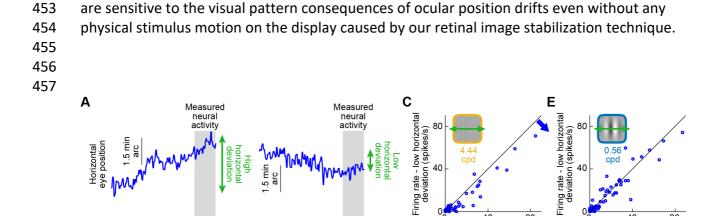
- also check upper and lower visual field SC neurons. This is so because, in the very same
- animals, we previously documented a substantial difference in SC RF sizes above and below
- 408 the horizontal meridian, with lower visual field RF's being significantly larger ⁹. Here, we 409 found that even such lower visual field neurons, with significantly larger RF's than upper
- found that even such lower visual field neurons, with significantly larger RF's than upper
 visual field neurons⁹, still showed all the same hallmarks of neural modulations described
- 410 Visual field field ons , still showed all the same fialinance of the SC neural code by slow couler
- 411 above (Figs. S10, S11). Thus, visual reformatting of the SC neural code by slow ocular
- 412 position drifts extends well beyond the fovea and affects extrafoveal and lower visual field
- 413 neurons with larger RF's (Fig. 1B).
- 414 415

416 Neural modulatory effects of ocular position drifts still occur without 417 experimental retinal image stabilization

418 Finally, if SC neurons are indeed sensitive to the visual pattern consequences of ocular 419 position drifts, might it be possible to observe hallmarks of this even without retinal image 420 stabilization? To test this, we inspected our control trials in more detail. Since we did not 421 have experimental control over individual retinal image stimulation in this condition, we 422 picked, instead, microsaccade-free fixation epochs that were confined within a small eye 423 position window (+/- 3 min arc) in any given trial. We hypothesized that, with a vertical 424 grating, momentary epochs of microsaccade-free fixation with particularly large horizontal 425 eye position deviation within such a confined spatial window might drive a luminance 426 transient signal from the neurons more than epochs of low horizontal deviation (a kind of momentary refreshing due to image translation, like with microsaccades ²⁵ but on the much 427 428 smaller scale of ocular position drifts). For example, in Fig. 7A, we had two 250-ms 429 microsaccade-free epochs, with the left one showing a high deviation in horizontal eye 430 position during the first 200 ms and the right one showing a low deviation (Fig. 7B shows the 431 vertical eye position deviations from the same epochs). If we now measured neural activity 432 in the final 50 ms, assuming a temporal integration window of approximately 100-200 ms as per prior measurements of the SC²⁶, then we might expect that the recent history of 433 luminance changes over the RF (in the past 200 ms) provides stronger sensory motion drive 434 435 from the large horizontal deviation epochs than the low horizontal deviation epochs (or any 436 vertical deviation epochs). In other words, refreshing of the image pattern representation in 437 the RF would be the largest (for a given retinal image position) with an orthogonal recent

- 438 shift of the pattern.
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440 This was indeed the case. We divided all 250-ms microsaccade-free epochs, only from control trials, into high or low horizontal deviation (while still maintaining the average 441 442 position of the retinal image of the grating within a confined spatial window; Methods), 443 according to the median deviation value in each session. With a vertical grating of 4.44 cpd, 444 the epochs with high horizontal deviation consistently resulted in higher firing rates in the 445 final 50 ms than the epochs with low horizontal deviation (Fig. 7C; $p=1.2x10^{-4}$; 2-sample t-446 test; n=61 neurons). When we repeated the same analysis based on epochs of large or small 447 vertical eye position deviations instead, there was no longer a difference (Fig. 7D; p=0.1688; 448 2-sample t-test; n=61). This is because vertical drift over a vertical grating caused minimal 449 image changes in the RF's, and it is consistent with our horizontal and vertical retinal image 450 stabilization results above (e.g. Figs. 3, 4). Similarly, with low, rather than high, spatial 451 frequency patterns, the effects were significantly diminished (Fig. 7E, F; p=0.445 for E and 452 p=0.8227 for F; 2-sample t-test; n=61 neurons), consistent with Fig. 5. Therefore, SC neurons



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High

Firing rate - low vertical deviation (spikes/s)

80

40

Firing I ģ

Firing rate - high horizontal deviation (spikes/s)

80 (spikes/s)

40 viation Firing I de

- high vertical deviation (spikes/s)

rate - low vertical

F

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40

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80

80

40

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Firing rate



Ιw

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100

200

В

459 460 Figure 7 Naturally occurring ocular position drifts in the absence of experimental retinal image stabilization 461 also significantly modulate the representation of high spatial frequency features in the SC. (A) Example 462 microsaccade-free fixation epoch from two control trials (right and left) having either a large (left) or small (right) 463 ocular position drift in the horizontal direction. We only picked epochs in which eye position remained within a 464 confined spatial window despite the drift variations (Methods). (B) Vertical eye positions from the same two 465 trials as in A. The epoch with high horizontal drift had low vertical deviation (left), and the epoch with low 466 horizontal drift had high vertical deviation (right). (C) For all control trials with a high spatial frequency grating, 467 we measured firing rate in a 50 ms interval (gray in **A**, **B**) preceded by a 200-ms microsaccade-free fixation epoch, 468 and we divided the epochs as having a horizontal deviation larger (x-axis) or smaller (y-axis) than the median 469 horizontal deviation across all epochs in a given neuron. Instantaneous firing rates preceded by a period of large 470 horizontal position deviations (orthogonal to the vertical grating) were associated with systematically higher 471 firing rates. (D) This effect was absent when assessing the impact of large and small vertical eye position drifts 472 relative to the vertical gratings. (E, F) For a low spatial frequency grating, neither horizontal (E) nor vertical (F) 473 drifts significantly modulated neural activity. For horizontal drifts, this is consistent with the sizes of eye position 474 drifts relative to the gratings' luminance spatial profiles (Figs. 1, S3A, B). For vertical drifts, this is consistent with 475 the lack of significant luminance modulation of the vertical pattern by a subtle vertical image displacement.

ັດ

Time within saccade-free fixation epoch (ms)

100

200

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- 477
- 478

Discussion 479

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481 We found that individual SC neurons, even with large RF's, are sensitive to minute displacements of visual patterns caused by ocular position drifts on the order of 1 min arc in 482 483 amplitude. We were particularly motivated by the question of whether the diverse visual 484 capabilities of the primate SC, which are becoming increasingly evident, include being 485 sensitive to local feature patterns that are significantly smaller than the RF's themselves (Fig. 1B). Thus, we investigated whether subtle shifts of these patterns caused by ocular position 486 487 drifts, representing the lower limit of natural self-induced image displacements, can reliably 488 and systematically modulate SC neural activity. We employed retinal image stabilization to 489 experimentally control the location and motion of a given pattern over the RF at any one 490 moment in time. If the RF's grossly integrated information all over their spatial extents, then 491 subtle shifts of a given pattern (Fig. 1B) should not have resulted in altered neural responses.
492 In contrast, we found robust neural modulations, which were also direction-dependent.
493 Thus, even though SC neurons may have large RF's, they still exhibit sensitivity to highly local

- 494 image features. This idea is consistent with the notion that the primate SC can contribute to
- 495 visual scene analysis ^{4,5,26} and object processing ^{6,27-30} in a variety of ways.
- 496

497 Our results are relevant with respect to theoretical predictions on how eye position drifts can reformat visual images by introducing temporal fluctuations of image luminance at any 498 one position on the retina ^{13-16,31}. Such image reformatting happens by virtue of a physical 499 rotation, albeit small, of the eyeball when viewing a stationary image. However, for such a 500 501 reformatting to actually influence perception in a meaningful way, then neural elements 502 downstream of the retina must be sensitive to its consequences. With small foveal RF's, say 503 in the lateral geniculate nucleus or primary visual cortex, this idea might be expected 504 because small ocular position drifts can displace stimuli in and out of the equally small RF's; 505 that is, the scale of image shifts is similar to the scale of foveal (and perifoveal) RF sizes. 506 However, whether larger RF's (e.g. extrafoveally), and particularly within an area that is 507 more traditionally investigated from the perspective of motor control like the SC, can still 508 benefit from such reformatting was not equally clear. We found this to be the case, adding 509 to the increasingly rich repertoire of visual capabilities of the primate SC described in the 510 literature. Thus, visual reformatting by ocular position drifts for the benefit of perception 511 can extend also beyond the fovea, and it has direct neural consequences downstream of the 512 retina.

512

514 An additional interesting implication of our results concerns the degree of correlation 515 between the two eyes during ocular position drifts. We experimentally stabilized our grating 516 images based on the motion of only one eye (Methods), and we still observed very 517 systematic neural modulations. This means that there must have been at least a minimal 518 amount of correlation between the motions of the two eyes. Otherwise, our retinal image 519 stabilization conditions would have created disparities between the left and right eye images 520 that might have blurred the gratings too much. This would not have necessarily increased 521 the gain and directional sensitivity of neural responses with retinal image stabilization like 522 we saw. Therefore, it will be important in future work to better investigate binocular 523 coordination in ocular position drifts during gaze fixation. Indeed, the question of whether 524 ocular position drifts are correlated (either positively or negatively) between the two eyes has been investigated in the past, with a variety of observations and interpretations ^{12,32}. It 525 526 has also been previously shown that primates are capable of controlling slow eye 527 movements with speeds and position changes similar to those obtained with ocular position drifts during fixation ^{20,33,34}. 528 529

530 In yet additional future experiments, stabilization based on only one eye motion could be 531 exploited experimentally to investigate the strength of binocular and monocular visual input integration in the SC. Specifically, it is known that most of the SC is binocular ^{1,35-37}. However, 532 533 it could be that some neurons' activity may be dominated by one eye input or the other. 534 Therefore, in follow up experiments, one can repeat our study but with stabilization, in 535 separate trials, based on the separate eyes (e.g. stabilization in one trial based on right eye 536 motion and stabilization in another trial based on left eye motion). If a particular SC neuron 537 is functionally dominated by input from one eye, then stabilization with one of the eyes 538 should cause larger modulations than stabilization based on the motion of the other eye.

539 This would allow investigating potential ocular dominance of visual information in individual 540 neurons in the awake monkey SC. Moreover, with sufficient mapping across the SC

540 topographic map using such an experiment, it may then be possible to find individual zones

542 in the SC that are potentially dominated (while remaining generally binocular) by visual input

from one eye or the other, similar to the finding of orientation tuning zones in the mouse SC
 ^{38,39}.

545

Since microsaccades are a component of fixational eve movements, it is also likely that they 546 547 cause similar visual modulations to ocular drifts, but with different spatial and temporal parameters due to the faster and larger nature of microsaccades. This is exactly what we 548 549 saw recently in the SC ²⁵, and it is also consistent with calculations of the different 550 spatiotemporal consequences of saccades on visual images relative to ocular position drifts 551 ⁴⁰. In any case, it is highly unlikely that microsaccades explain our results in the current 552 study, because we excluded these movements from analysis. Also, the microsaccade 553 characteristics were not altered by our retinal image stabilization manipulations but the 554 neural activity was. Therefore, our experiment isolated the effects of the smaller and slower

555 ocular position drifts on SC activity.

556

557 In all, our results highlight the importance of investigating active vision ⁴¹ in the SC ⁴² and in

other visual areas^{18,43,44}, whether by careful analysis of the image consequences of eye

559 movements on the retina with stable targets (as in Fig. 7) or by experimentally altering the 560 normal visual-motor loop by gaze-contingent manipulation (as in Fig. 3). This would be even 561 more important by using rich visual stimuli – like gratings, textures, and patterns – and even 562 natural images.

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564

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567

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573 Author contributions

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575 CYC and ZMH collected the data. ZMH and FK analyzed the data. ZMH wrote the manuscript.576 ZMH, CYC, and FK edited the manuscript.

577 578

579 **Declaration of interests**

580

581 The authors declare no competing interests.

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755 Methods

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757 Experimental animals and ethics approvals

We recorded superior colliculus (SC) neural activity from two adult, male rhesus macaque
monkeys (N and P) aged 7 years, and weighing 8 kg and 7 kg, respectively. The experiments
were approved by ethics committees at the regional governmental offices of the city of
Tübingen.

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764 Laboratory setup and animal preparation

The experiments were conducted in the same laboratory as that described in earlier
 publications ^{3,9,45}. Briefly, the monkeys were seated in a darkened booth approximately 45
 cm from a calibrated and linearized CRT display spanning approximately +/-22 deg
 horizontally and +/-15 deg vertically. Data acquisition and stimulus control were managed by
 a custom-made, real-time computing system ^{19,46}, interfacing with the Psychophysics

- Toolbox ⁴⁷⁻⁴⁹ and a Multi-Channel Acquisition Processor (MAP) data acquisition device
 (Plexon, Inc.).
- 772

The monkeys were prepared for behavioral training and electrophysiological recordings

earlier ^{46,50}. Specifically, each monkey was implanted with a head-holder and scleral search
coil in one eye ⁴⁶. The search coil allowed tracking eye movements using the magnetic
induction technique ^{51,52}, and the head-holder comfortably stabilized head position during
the experiments. The monkeys also each had a recording chamber centered on the midline
and tilted 38 deg (monkey P) or 35 deg (monkey N) posterior of vertical, allowing access to
both the right and left SC ⁴⁵.

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782 Behavioral task

We employed a gaze fixation task in which we presented static gabor gratings of different spatial frequencies within the receptive fields (RF's) of the recorded neurons. Unlike in our earlier work with spatial frequency mapping in the SC ^{5,53}, we maintained the stimulus on the display for much longer during fixation ⁵⁴. Specifically, once we identified the size and location of an RF, we designed a gabor of suitable size to fill the RF. The grating always had high contrast (100%) and one of three different spatial frequencies (0.56, 2.22, or 4.44 cpd), which were varied across trials (the phase of the gabor was also random from trial to trial).

- 791 A trial started with the onset of a central white fixation dot over a gray background. After
- the monkey fixated the spot in a stable manner for a random interval spanning a few
- hundred milliseconds, the grating appeared in the RF and remained on for approximately
- 1500 ms. The fixation spot was small (8.5 x 8.5 min arc), and it had a luminance of 72 cd/m².
- The gray background had 21 cd/m² luminance. If the monkey successfully fixated the spot
- for the entire duration of the trial, it was rewarded with fruit juice, and another trial wasinitiated after a short blank-screen interval.
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Four different trial types were interleaved. In the control condition, both the fixation spot

- and grating were stable on the display. This condition was analyzed for microsaccade induced visual reafferent responses in a recent study ²⁵, as well as for interactions between
- spiking activity and microsaccade kinematics ⁵⁴. The sustained firing rates during

803 microsaccade-free fixation, as well as all the remaining three trial types of the task, were 804 never described in any other publications. The additional trial types constituted our retinal 805 image stabilization manipulations (Fig. 1). In full retinal image stabilization (Fig. 1C), the 806 grating (from the moment it appeared until trial end) was moved in lockstep with 807 instantaneous eye position (see next section). The fixation spot always remained stable on 808 the display to help anchor gaze properly and not alter the eye movement statistics (Figs. S1-809 S3). This was necessary because we wanted to isolate the influences of (slow) fixational eye 810 movements on neural activity and, therefore, had to ensure that the eye movements 811 themselves were occurring as naturally as possible. In horizontal retinal image stabilization 812 (Fig. 1D), the vertical position of the grating was kept constant on the display and unchanged 813 from control; the horizontal position of the grating was moved in lockstep with horizontal 814 eye position. Finally, in vertical retinal image stabilization (Fig. 1E), the horizontal position of 815 the grating was stable on the display and similar to the control condition, whereas the 816 vertical position of the grating was moved in synchrony with vertical eye position. 817 818 In a subset of experiments, we replaced the vertical gratings with horizontal ones, in order 819 to explore the relative relationship between eye movement directions and image pattern 820 orientations (e.g. Fig. 4). 821 822 We collected approximately 18 trial repetitions per condition per neuron. 823 824

825 Retinal image stabilization

We first calibrated eye position measurements using methods described earlier ¹⁹. Briefly, at the beginning of every session, the monkeys fixated (multiple times) a series of 19 locations on the display for at least 1000 ms. We then measured raw voltages during stable fixation from each location. To convert the raw voltages to degrees of angular rotation, we used a multi-order polynomial including both the horizontal and vertical raw voltages, as well as cross-channel interaction terms ¹⁹.

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We then employed our real-time gaze-contingent display system ^{19,20,46}. In this system, we 833 834 sampled and processed eye positions at 1 KHz using a real-time control system, and we 835 updated the display at 120 Hz (constrained by the display technology). In retinal image 836 stabilization trials, after every display refresh time, we sampled new eye positions and 837 processed them. We then calculated the position of the grating according to the new eye 838 positions, and we updated the display at the next frame refresh. Thus, our retinal image 839 stabilization trials discretized eye positions at 120 Hz (the bottleneck imposed by the display 840 refresh rate). Such a rate is suitable for successful retinal image stabilization with slow eye movements, as shown previously by our ^{19,20} and other ^{13,17,55,56} laboratories. In fact, even 841 with microsaccades, Fig. S5 shows that the microsaccade-related reafferent responses were 842 843 reduced by retinal image stabilization at 120 Hz. This means that while the microsaccades 844 were still a bit too rapid for the display's 120 Hz frequency, the stimulus was still moved 845 sufficiently rapidly to catch up with the real microsaccade and reduce the retinal slip of the 846 grating by the eye movement (and, therefore, the associated reafferent response). 847 848 Finally, eye coil systems often exhibit a slow drift in their measurements, which is much

slower than ocular position drifts (we confirmed this by comparing initial fixation positions
 across trials and assessing a time constant of eye coil system drift, which was more than two

orders of magnitude slower than within-trial ocular position drifts). Therefore, at the
beginning of every trial, we performed a drift correction that was applied for all subsequent
eye position measurements within a trial. We did this by averaging eye position in the final
50 ms before gabor onset and using this measurement as a reference to which we compared
all subsequent eye positions in the trial. In post-hoc analyses, if there was a microsaccade in
the drift correction measurement interval, the trial was excluded from further analysis.

857 858

859 Eye movement data analysis

We detected saccades and microsaccades as described previously ^{25,46,57}. We used the 860 861 detections for two primary purposes. First, we established that microsaccade properties 862 were not altered by our retinal image stabilization manipulations (Fig. S2). Second, for our 863 neural analyses, we excluded all data starting from 10 ms before microsaccade onset until 90 864 ms after microsaccade end. This was done in order to avoid movement-induced reafferent 865 responses from the analyses (Fig. S5). We also inspected all trials for blinks, and we removed 866 all blink intervals, including a period of 50 ms before and a period of 50 ms after each of 867 them.

868

869 Our microsaccade analyses (Fig. S2) included microsaccade rate, directions, and main 870 sequence ⁵⁸ relationship between movement amplitude and peak velocity. For microsaccade 871 rate, we used a running window of 25 ms width and moved in steps of 2 ms. Within each 872 such time window, we counted the fraction of trials in which the window contained 873 microsaccades across trials of a given condition. For microsaccade directions and main 874 sequence relationships, we considered all microsaccades in the sustained fixation interval 875 that we were interested in for our neural analyses (>300 ms after stimulus onset; see Neural 876 data analysis below), and we plotted the distribution of movement vector angles (for the 877 direction analysis) or the scatter of movement peak velocity versus movement radial 878 amplitude (for the main sequence analysis). We performed all of these analyses separately 879 for each of the four stimulus conditions (control and three retinal image stabilization 880 versions), and we then compared the results to confirm that retinal image stabilization did 881 not significantly alter the statistics of microsaccades.

882

To confirm that retinal image stabilization also did not alter absolute eye position (i.e. the combination of ocular position drifts and microsaccades), we also plotted the raw eye positions (in sustained fixation; >300 ms after stimulus onset) across all trials in each condition (Fig. S1).

887

We also analyzed ocular position drifts more specifically. For example, for Fig. S3C-F, we first 888 889 excluded all microsaccades and their pre- and post-movement periods mentioned above. 890 We then considered all sustained fixation intervals starting from 300 ms after stimulus onset 891 in each trial. For every interval in between two successive microsaccades (which we called a 892 saccade-free fixation interval), we measured mean eye position and subtracted it from every 893 sample of eye position within the same interval. This gave us the instantaneous deviation of 894 eye position from the mean position during the particular saccade-free interval of interest. 895 In Fig. S3C, E, we then binned all such deviations across all fixation intervals and obtained a 896 distribution of how much ocular position drifts altered eye position. For Fig. S3D, F, we also 897 took the standard deviation of eye position within each microsaccade-free fixation interval. 898 We then plotted the distribution of these measurements across all such intervals in Fig. S3D,

F. Our purpose in both cases was to highlight that the slow ocular position drifts had similar
characteristics whether we ran control or retinal image stabilization trials (Fig. S3C-F), and
also to predict the amounts of luminance modulations over the retina that were expected
from our gratings and ocular position drift amplitudes (Fig. S3A, B).

903 904

905 Neural data analysis

906 We recorded from 61 individually isolated SC neurons, which were first characterized online 907 using delayed visually-guided and memory-guided saccade tasks ^{9,45,53}. The initial 908 characterization allowed classifying the neurons as being visual or visual-motor, as well as 909 assessing the neurons' RF sizes and locations. After establishing that a recorded neuron was 910 visually-responsive, we ran the main behavioral task described above with the grating placed 911 at the optimal RF position (except for some test examples like in Fig. S4). We included all 912 visually-responsive neurons in our analyses, without further classification into visual or visual-motor categories. This was because our results were similar regardless of whether a 913 914 neuron was purely visual or visual-motor in nature (the results were also highly consistent 915 across the population; for example, Fig. S6A, B).

916

917 In both monkeys, we tested the neurons with vertical gratings. In monkey N, we additionally

tested 35 neurons with horizontal gratings (27 of these neurons had both vertical and

919 horizontal gratings tested together within the same session).

920

921 We analyzed neural data by counting spikes during the sustained fixation interval or by 922 converting spike times into firing rate estimates (with a Gaussian convolution kernel of σ 40 923 ms). We defined the sustained fixation interval as the time from 300 ms to 1400 ms after 924 grating onset. The lower bound of this time interval (300 ms) was chosen to avoid the initial 925 visual onset response of the neurons (occurring immediately after grating onset); the upper 926 bound was chosen to maximize the numbers of neurons that we could pool in the analyses. 927 We did not notice a difference in the onset response strength (the initial visual burst after 928 grating onset) across our different retinal image stabilization manipulations relative to 929 control. Therefore, we did not analyze initial visual responses further. Rather, we were 930 interested in assessing how subtle image displacements (i.e. during sustained presence of a 931 stimulus within the RF's) affected SC neural activity. Our chosen interval of more than 1 932 second (300 ms to 1400 ms from stimulus onset) was sufficient to do that.

933

934 As stated above, in all of our analyses, except for Fig. S5, we excluded all neural activity 935 associated with microsaccades, in order to avoid contamination by microsaccade-induced 936 reafferent responses ²⁵ (Fig. S5 shows an example of such responses). We replaced all 937 intervals starting from 10 ms before microsaccade onset to 90 ms after microsaccade end by 938 not-a-number labels such that these intervals were not included when computing across-939 trial averages of firing rates or when computing inter-spike intervals. Note that all of our 940 neurons were not microsaccade-related in the sense of emitting a motor burst at movement 941 onset; they, therefore, did not exhibit prolonged buildup of discharge up to 100 ms before microsaccade onset ^{59,60}. This justified our choice of pre-microsaccadic mask interval (also 942 943 see Fig. S5A).

944

For summarizing population firing rates (e.g. Fig. 3A), we first calculated the within-neuron average firing rate across trial repetitions of a given spatial frequency in the control

947 condition. We then normalized each trial's firing rate (for the same spatial frequency) by 948 dividing the trial's instantaneous firing rate (at any given time after stimulus onset) by the 949 peak of the average firing rate in the first 150 ms after stimulus onset. That is, we 950 normalized each trial's firing rate to the peak average firing rate occurring in the early 951 stimulus-evoked visual burst interval of the control condition. We repeated this trial-by-trial 952 normalization procedure for all control trials of the same spatial frequency, and also for all 953 trials of the retinal image stabilization conditions (again of the same spatial frequency). Thus, 954 if a retinal image stabilization condition (e.g. full retinal image stabilization) elevated firing 955 rates relative to control, then the normalized firing rates were also elevated. With all trials 956 and all spatial frequencies normalized to each neuron's response in the respective control 957 condition, we could then average normalized trials across neurons to get population firing 958 rates like in Fig. 3A. This allowed us to visualize the neural modulation effects of retinal 959 image stabilization, and to do further individual neuron and population analyses. 960

Among such analyses, we calculated neural modulation indices (e.g. Fig. 3B). To do so, we measured raw firing rates at the individual neuron level across conditions. For each trial of a given spatial frequency, we evaluated the average sustained firing rate (300 ms to 1400 ms after stimulus onset, and with microsaccades removed as per the procedure described

above). We did this in the control condition, and we averaged all measurements across trial

966 repetitions ($fr_{control}$). We then repeated this procedure for one of our retinal image

967 stabilization manipulations (full, horizontal, or vertical retinal image stabilization) to obtain

968 *fr_{stabilization}* (the average within-neuron sustained firing rate during retinal image
 969 stabilization). Then, we calculated a modulation index comparing retinal image stabilization

to control as: $(fr_{stabilization} - fr_{control})/(fr_{stabilization} + fr_{control})$; that is, the modulation index was the

average sustained firing rate in a retinal image stabilization manipulation minus the average
 sustained firing rate in the control condition, divided by the sum of the two measurements.

973

We plotted histograms of modulation indices across the entire population of neurons, with
indications of the average modulation index across neurons (e.g. pink vertical line in Fig. 3B).
We tested whether the population modulation indices were significantly different from zero
using a t-test and reported p-values in the figures and/or text. In the Results text, we also
often reported the average modulation index values as percentages by multiplying the

values from the calculation above by 100. We also often compared neural modulationindices across conditions (e.g. comparing the effects of retinal image stabilization for high or

981 low spatial frequency gratings). We did so by performing a t-test across the different

982 conditions and reporting p-values.

983

984 For scatter plots of individual neuron results, we followed similar procedures to those above 985 for the modulation indices. For example, in Fig. S6A, we counted the number of spikes per 986 trial in the sustained interval (i.e. per 1100 ms occurring between 300 ms and 1400 ms after 987 stimulus onset) in either the control condition or the full retinal image stabilization 988 condition. This resulted in a paired measurement per neuron (average spike count per 989 control trial and average spike count per retinal image stabilization trial). We then plotted all 990 of these measurements across the population as a scatter plot. Note that microsaccades 991 were still excluded in such analyses, exactly as above. However, since microsaccade 992 characteristics were unchanged across conditions (Fig. S2), the microsaccade exclusion was 993 not inappropriately favoring one condition over the other when comparing the spike counts 994 across them. Therefore, it was appropriate to exclude the occasionally occurring rapid eye

movements in this manner. Also note that the spike counts in these kinds of analyses were
essentially estimates of average sustained firing rates. This is so because such spike counts
were evaluated over an interval of approximately 1 second duration (1100 ms).

999 We also computed inter-spike intervals during sustained fixation in either control or one of 1000 the retinal image stabilization manipulations (e.g. Fig. S6C). Because we excluded 1001 microsaccades, there could be intervals within trials that were replaced with not-a-number 1002 labels during the exclusion process. Therefore, for inter-spike interval measurements, we 1003 first found contiguous blocks of fixation data within trials that existed in between successive 1004 microsaccades. Then, we computed inter-spike intervals within all such contiguous blocks. 1005 This allowed us to avoid counting inter-spike intervals during (and around) microsaccades, 1006 and also to avoid having erroneously large inter-spike intervals due to the not-a-number 1007 labels introduced during preprocessing (e.g. if we had counted two spikes on either side of a 1008 not-a-number block of data).

1009

998

For summarizing microsaccade-induced reafferent responses at the individual neuron level
in Fig. S5B, we used a similar procedure to our earlier analyses (e.g. Fig. S6A). The only
difference is that our measurement interval was now different. Here, for each microsaccade
in a given condition, we measured the firing rate in the interval 30-80 ms after microsaccade
onset (see gray bar on the x-axis in Fig. S5A). This interval captured the reafferent response.
We then compared, within each neuron, the average response with and without retinal
image stabilization (Fig. S5B).

1017

For some of our analyses, we selected neurons according to their preferred eccentricities or visual field locations. For example, we categorized neurons based on whether they were foveal or extrafoveal (Fig. 6); or whether they were part of the upper or lower visual field representation of the SC (Figs. S10, S11). To do so, we classified neurons according to the eccentricity and direction from horizontal of their RF hotspots (Fig. S9).

1023

1024 Finally, for Fig. 7, our goal was to ask whether horizontal or vertical ocular position drifts in 1025 control trials were still sufficient to modulate SC neural responses in a manner that was 1026 consistent with the retinal image stabilization results. For every control trial of a given 1027 spatial frequency, we had a moving window of 250 ms duration (starting from 300 ms after 1028 stimulus onset and in steps of 1 ms). If the window was devoid of microsaccades (including 1029 the pre- and post-microsaccadic masks described above) and the eye position was within +/-1030 3 min arc (horizontally and vertically) from the initial fixation position, we measured eye 1031 position variability (horizontal or vertical) in the first 200 ms of the interval and firing rate in 1032 the final 50 ms of the interval. That is, we assumed that SC neurons integrate the recent 1033 history (200 ms) of the image over the RF's in their instantaneous firing rate. This was 1034 justified based on prior measurements of the temporal properties of SC neurons ²⁶. Our 1035 measure of variability was the standard deviation of eye position during the interval. We 1036 then did a median split based on this variability across all microsaccade-free epochs of a 1037 given neuron, and we compared firing rates for high or low variability trials. We did this 1038 independently for horizontal and vertical variability. Thus, for vertical gratings, we could compare epochs with high variability of horizontal eye position (orthogonal to the grating) to 1039 1040 epochs with low variability of horizontal eye position. If our retinal image stabilization results 1041 were indeed related to the image luminance modulations of orthogonal eye position shifts, 1042 then such comparison would yield a difference between high and low horizontal drift

- 1043 variability. Similarly, if we now compared epochs of low and high vertical eye position
- 1044 variability, we would have expected no neural effects (analogous to parallel retinal image
- 1045 stabilization). We did this procedure for all neurons and across different spatial frequencies.

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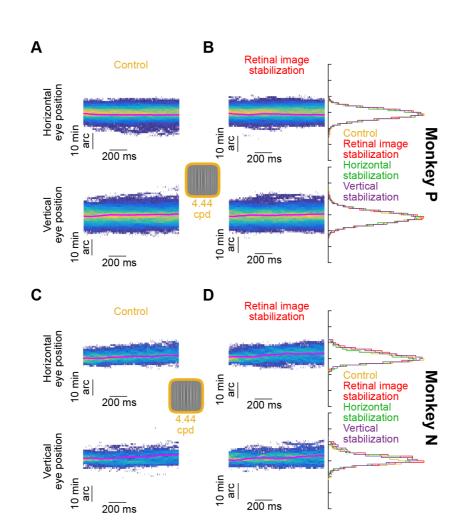
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1051 Supplementary figures

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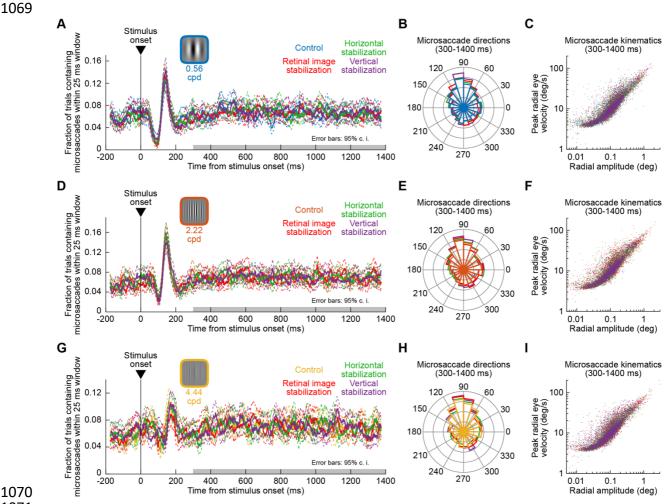
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1056 Figure S1 Similarity of fixational eye positions during retinal image stabilization and control trials. (A) 1057 Histograms of raw horizontal (top) and vertical (bottom) eye positions from monkey P as a function of time from 1058 stimulus onset, in the interval from 300 ms to 1400 ms (control trials with a 4.44 cpd gabor grating are shown). 1059 The thick pink line in each histogram is the mean eye position. (B) Same as A but for full retinal image 1060 stabilization. The marginal histograms on the right show the distributions of eye positions (across all times) for 1061 the control condition, the retinal image stabilization condition (red), as well as the two other retinal image 1062 stabilization conditions of Fig. 1D, E. The distributions of eye positions were similar across all conditions, 1063 suggesting that retinal image stabilization did not alter eye movement characteristics in our experiments 1064 (Methods). (C, D) Same as A, B but for monkey N. The same results were observed in both monkeys for the low 1065 (0.56 cpd) and intermediate (2.22 cpd) spatial frequency trials.

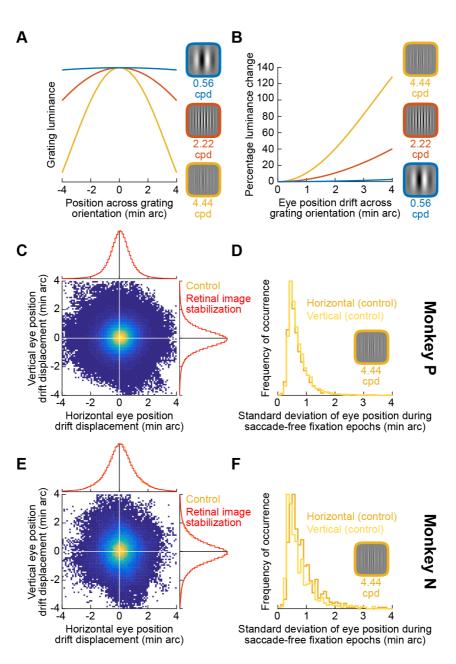
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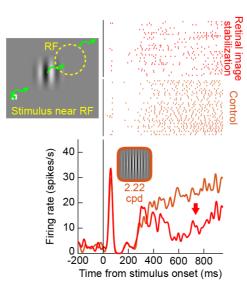
1072 Figure S2 Similarity of microsaccade characteristics between control trials and all retinal image stabilization 1073 trials. (A) Microsaccade rate (+/- 95% confidence intervals) as a function of time from stimulus onset from all 1074 trials with a 0.56 cpd grating (monkey P shown as an example). The different colors show the different conditions 1075 (control plus the three retinal image stabilization conditions of Fig. 1C-E). Initially, microsaccade rate was modulated by stimulus onset, as expected 50,61,62, and was then stable. Critically, the modulations in 1076 1077 microsaccades were similar across all of our experimental conditions (control versus the three types of retinal 1078 image stabilization). The gray bar denotes our analysis interval for exploring the influences of microsaccade-free 1079 ocular position drifts on SC neural activity. (B, C) Microsaccade directions (B) and kinematics (C) during sustained 1080 fixation were also unaltered by retinal image stabilization. (D-F) Same as A-C, but for the trials in which a 2.22 1081 cpd grating was presented. (G-I) Same as A-C, but for 4.44 cpd trials. Monkey N showed the same results of no 1082 impact of retinal image stabilization on microsaccade characteristics. Note that in all of our neural analyses 1083 (except for Fig. S5), we excluded all microsaccades as well as pre- and post-movement intervals around them 1084 before taking any measurements (Methods). Our purpose in the current analysis was merely to demonstrate 1085 that the properties of microsaccades (like drifts in Fig. S1) were largely unaffected by the retinal image 1086 stabilization technique. This was due to the stability of the fixation spot on the display.





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1091 Figure S3 Spatial scale of the image pattern displacements over SC neurons' visual RF's. (A) Luminance profile 1092 of each of our three tested spatial frequencies as a function of position orthogonal to the grating orientation. (B) 1093 Ocular position drifts on the scale of 1 min arc are associated with the highest instantaneous change in luminance 1094 experienced at a given retinotopic location for the highest spatial frequency grating. (C) Two dimensional 1095 histogram of naturally-occurring displacements of eye position during microsaccade-free fixation. Within any 1096 microsaccade-free epoch, we measured the range of eye position deviation from mean position during the epoch 1097 (Methods). We did this for the control condition from one of our trial types (4.44 cpd trials), but the results were 1098 the same across all conditions (see Figs. S1, S2). The horizontal/vertical yellow histograms show the marginal 1099 distributions in each direction; the red histograms overlaid on top show the same distributions from the full 1100 retinal image stabilization condition for comparison. Microsaccade-free fixation epochs were associated with 1101 displacements on the order of 1 min arc, and were unchanged by our gaze-contingent manipulation (compare 1102 red and yellow histograms). (D) Variability estimate of microsaccade-free eye position drifts in monkey P (retinal 1103 image stabilization conditions yielded similar histograms). (E, F) Similar observations in monkey N. Natural 1104 fixation behavior in both monkeys was expected to cause predictable luminance modulations of image patterns 1105 over SC receptive fields, and such behavior was unchanged by our experimental manipulations (see Figs. S1, S2). 1106



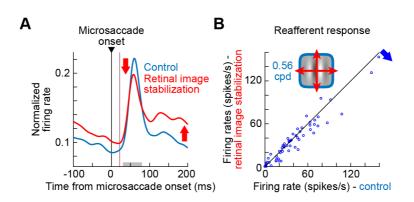
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Figure S4 Validating the retinal image stabilization technique by forcing a grating position at a sub-optimal RF position (away from RF center). The schematic shows the experimental manipulation that we applied for this neuron. We presented a grating stimulus displaced away from the preferred RF location indicated by the dashed yellow circle. Therefore, the stimulus was near the RF, but at a sub-optimal position. Forcing this position during retinal image stabilization significantly reduced the sustained response of the neuron. This is the complement of the example neuron results shown in Fig. 2, in which forcing a stimulus at the best receptive field location elevated the neural response relative to control.

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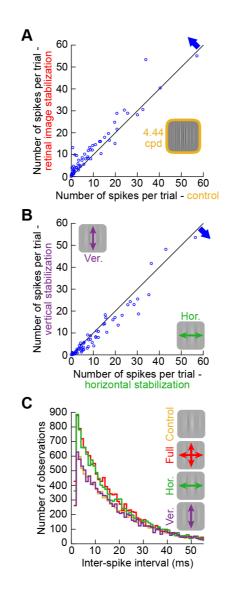


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1124 Figure S5 Validating the retinal image stabilization technique by exploring SC visual reafferent responses after microsaccades ²⁵. (A) Across all neurons, we plotted normalized firing rate (as in Fig. 3A), but this time by aligning 1125 1126 data to microsaccades during sustained fixation (as opposed to during microsaccade-free fixation, as in Fig. 3). 1127 We did this for presentations of a 0.56 cpd vertical grating. In control trials, there was an expected visual 1128 reafferent response immediately after microsaccades ²⁵. With retinal image stabilization, firing rate was elevated 1129 long before and long after microsaccades (consistent with our main results like in Figs. 2, 3). However, the 1130 reafferent response was reduced relative to control. This is because, even though microsaccades were fast 1131 relative to display updates, the retinal image stabilization technique still partially tracked these rapid eye 1132 movements. This resulted in subdued retinal-image motion caused by the microsaccades (relative to the control 1133 condition). Such a reduction in microsaccade-induced retinal-image motion is known to reduce the SC visual 1134 reafferent response ²⁵. (B) Across all neurons, we measured the microsaccade-induced reafferent response 1135 (during the gray interval on the x-axis in A) in the control and retinal image stabilization trials (Methods). There 1136 was a consistent reduction in the reafferent response during retinal image stabilization (p=0.0015; 2-sample t-1137 test; n=61 neurons). Higher spatial frequency gratings had significantly weaker reafferent responses even during 1138 control trials ²⁵, making the effects of retinal image stabilization harder to observe.



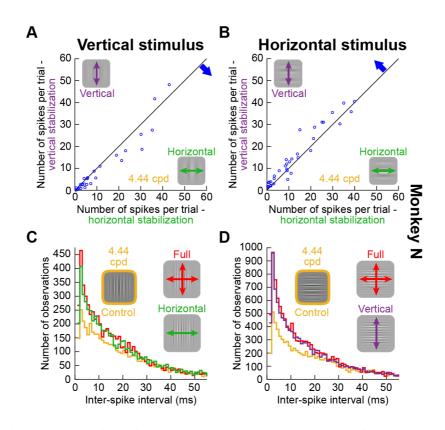
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1143 Figure S6 Individual neuron results from Fig. 3. (A) We measured raw neural activity during sustained fixation 1144 (excluding peri-microsaccadic intervals; Methods) for the analyses of Fig. 3A, B. The x-axis counts the number of 1145 spikes per trial in control, and the y-axis counts the number of spikes per trial in retinal image stabilization. Note 1146 that given the length of our measurement interval (gray region on the x-axis in Fig. 3A), the shown values of spike 1147 counts are quantitatively equivalent to the approximate sustained firing rate of the neurons during the trials. 1148 Also, note that since microsaccade characteristics were unaltered by retinal image stabilization (Fig. S2), the 1149 shown differences in firing rates across the conditions could not be attributed to potentially different 1150 distributions of microsaccades across image stabilization manipulations. Rather, there was a consistent elevation 1151 of sustained neural activity (blue arrow) by retinal image stabilization ($p=1.436x10^{-5}$; 2-sample t-test; n=61 1152 neurons). This is consistent with the results of Fig. 3A, B. (B) We also compared the individual neuron spike counts 1153 across the horizontal and vertical retinal image stabilization conditions (as in Fig. 3C-F). The neurons consistently 1154 exhibited elevated activity for horizontal (orthogonal) rather than vertical retinal image stabilization 1155 (p=3.142x10⁻⁶; 2-sample t-test; n=61 neurons). (C) Across all neurons, inter-spike interval distributions reflected 1156 the results of Fig. 3: horizontal and full retinal image stabilization resulted in more SC activity than in control 1157 trials, but vertical retinal image stabilization did not. The inter-spike interval distributions also reveal that the SC 1158 spiking statistics (e.g. burstiness) were not significantly altered by retinal image stabilization.

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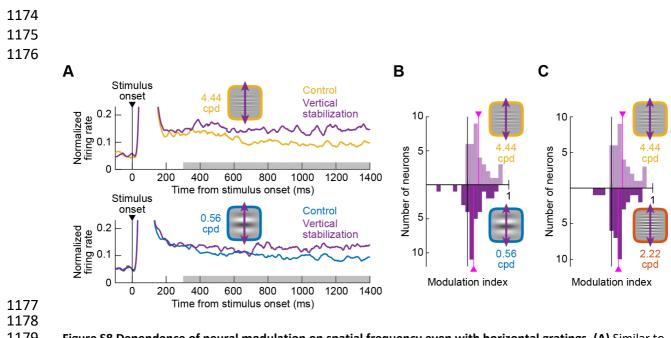




1163 Figure S7 Individual neuron results with horizontal gratings showed similar results to the main experiments 1164 with vertical gratings. (A) Same as Fig. S6B but from the monkey in which we also collected horizontal grating 1165 trials. The same results as in Fig. S6B were observed, as expected (p=0.0072). (B) In the same animal, when we 1166 flipped the image pattern from vertical to horizontal, it was now vertical retinal image stabilization trials that 1167 resulted in elevated firing rates relative to horizontal retinal image stabilization trials (p=0.0026; 2-sample t-1168 test; n=35 neurons). Therefore, it was the relative (orthogonal) relationship between the image pattern and the 1169 stabilization direction that mattered for the neurons, consistent with Figs. 4, S8. (C, D) Similar to Fig. S6C but 1170 for vertical (C) or horizontal (D) gratings in the same animal. Note that with horizontal gratings (D), it was now

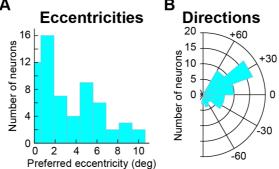
1171 vertical retinal image stabilization that resulted in indistinguishable results from full retinal image stabilization

1172 (instead of horizontal retinal image stabilization as in the case of vertical gratings in **C**).



1179 Figure S8 Dependence of neural modulation on spatial frequency even with horizontal gratings. (A) Similar to 1180 Fig. 5A but for horizontal gratings and vertical retinal image stabilization (Fig. 4 showed that vertical retinal 1181 image stabilization with horizontal gratings was equivalent to horizontal retinal image stabilization with vertical 1182 gratings due to the orthogonal relationship between eye movements and image patterns in both cases). There 1183 was a higher modulation of neural activity by the retinal image stabilization technique for high (top) than low 1184 (bottom) spatial frequencies (compare each stabilization curve to its respective control curve), consistent with 1185 Fig. 5. (B) Similar to Fig. 5B but for horizontal gratings with vertical retinal image stabilization. The population 1186 average modulation indices were 26.84% and 14.94% for 4.44 cpd and 0.56 cpd gratings, respectively 1187 (p=0.0529; 2-sample t-test; n=35 neurons). (C) Similar to Fig. 5C but for horizontal gratings and vertical retinal 1188 image stabilization. Similar results to B were now obtained when comparing 4.44 cpd (26.84% average 1189 modulation index) to 2.22 cpd (16.76% average modulation index; p=0.0845 comparing 4.44 cpd to 2.22 cpd 1190 modulations; 2-sample t-test; n=35 neurons). Therefore, with both vertical (Fig. 5) and horizontal (this figure) 1191 gratings, the same dependence of neural activity on the relative spatial scale of image patterns and ocular 1192 position drifts was observed. 1193





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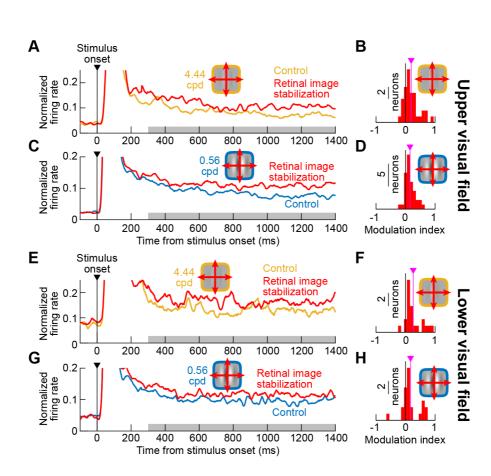
1199 Figure S9 RF hotspot locations of the recorded neurons. (A) Distribution of preferred eccentricities by our

1200 neurons. We sampled foveal and extrafoveal neurons. (B) Distribution of the directions of the RF hotspot

1201 locations relative to the horizontal meridian. We sampled both upper and lower visual field neurons.

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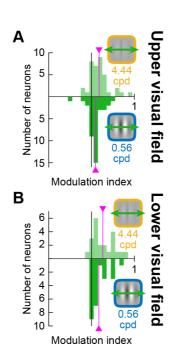
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1208 Figure S10 Sensitivity of both upper and lower visual field SC neurons to the visual-pattern consequences of 1209 ocular position drifts on the scale of 1 min arc amplitude. (A) Normalized firing rates in control and retinal image 1210 stabilization from all neurons with RF hotspots occupying the upper visual field. A 4.44 cpd vertical grating was 1211 presented to the neurons. As in Fig. 3, neural activity was systematically elevated with retinal image stabilization. 1212 (B) Modulation indices for the individual neurons in A. There was a significant positive modulation across the 1213 population (average modulation of 19.2% across the population; pink vertical line; p=4.009x10⁻⁵; 1-sample t-test; 1214 n=37 neurons). (C, D) Same as A, B but for a low spatial frequency grating. The average modulation index was 1215 now 15.13% (p=1.649x10⁻⁶; 1-sample t-test; n=37 neurons). (E-H) Same as A-D but for lower visual field SC 1216 neurons, which we showed earlier (in the same animals) to have significantly larger RF's than upper visual field 1217 neurons ⁹. There was still significant positive elevation of neural activity for these neurons ($p=2.94 \times 10^{-4}$ for F and 1218 p=0.0134 for H). Therefore, even SC neurons with relatively large RF's are still sensitive to the visual-pattern 1219 consequences of ocular position drifts.

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1225 Figure S11 Ocular position drifts cause stronger modulations with high spatial frequency patterns than low 1226 spatial frequency patterns in both upper and lower visual field SC neurons. (A) Similar analyses to Fig. 5 but 1227 only for upper visual field neurons. The average modulation indices (pink vertical lines) for high and low spatial 1228 frequencies were 17.61% and 9.49%, respectively (p=0.0481; 2-sample t-test comparing high to low populations; 1229 n=37 neurons). (B) Same as A but for lower visual field neurons with larger RF's. The modulation indices were 1230 now 26.33% and 17.03% for high and low spatial frequencies, respectively (p=0.0066; 2-sample t-test comparing 1231 high to low spatial frequency populations; n=24 neurons). Similar results were obtained with full retinal image 1232 stabilization, as expected. 1233