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Aging-related iron deposit prevents the benefits of HRT from late

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postmenopausal atherosclerosis

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Tianze Xu^{1*}, Jing Cai^{1*}, Lei Wang¹, Li Xu², Hongting Zhao², Fudi Wang³, Esther

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Meyron-Holtz⁴, Fanis Missirlis⁵, Tong Qiao^{1#}, Kuanyu Li^{1,2#}

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¹State Key Laboratory of Pharmaceutical Biotechnology, Department of Vascular

8

Surgery, The Affiliated Drum Tower Hospital of Nanjing University Medical School,

9

Nanjing, 210008, P. R. China

10

²Jiangsu Key Laboratory of Molecular Medicine, Medical School of Nanjing

11

University, Nanjing, 210093, P. R. China

12

³Department of Nutrition, School of Public Health, School of Medicine, Zhejiang

13

University, Hangzhou, P. R. China

14

⁴Faculty of Biotechnology and Food Engineering, Technion Israel Institute of

15

Technology, Haifa, Israel

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⁵Department of Physiology, Biophysics and Neuroscience, Cinvestav, Mexico City,

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Mexico

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*Tianze Xu and Jing Cai contributed equally to this work

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[#]Corresponding authors: Dr. Kuanyu Li, Jiangsu Key Laboratory of Molecular

23

Medicine, Medical School of Nanjing University, Nanjing, 210093, P. R. China.

24

Email: likuanyu@nju.edu.cn; Dr. Tong Qiao. Email: qiaotong@nju.edu.cn

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Running Title: Iron reverses HRT effects on AS

27

28 **Abstract**

29 Postmenopausal atherosclerosis has been attributed to estrogen deficiency. The
30 beneficial effect of hormone replacement therapy (HRT), however, is lost in late
31 postmenopausal women with atherogenesis. We asked whether aging-related iron
32 accumulation affects estrogen receptor α (ER α) expression explaining HRT inefficacy.
33 A negative correlation between aging-related systemic iron deposition and ER α
34 expression in postmenopausal AS patients was established. In an ovariectomized
35 ApoE^{-/-} mouse model, estradiol treatment had contrasting effects on ER α expression
36 in early versus late postmenopausal mice. ER α expression was inhibited by iron
37 treatment in cell culture and iron-overloaded mice. Combined treatment with estradiol
38 and iron further decreased ER α expression, mediated by iron-regulated E3 ligase
39 Mdm2. In line with these observations, cellular cholesterol efflux was reduced and
40 endothelial homeostasis was disrupted and, consequently, atherosclerosis was
41 aggravated. Accordingly, systemic iron chelation attenuated estradiol-triggered
42 progressive atherosclerosis in late postmenopausal mice. Thus, iron and estradiol
43 together downregulate ER α through Mdm2-mediated proteolysis, explaining failures
44 of HRT in late postmenopausal subjects with aging-related iron accumulation. HRT is
45 recommended immediately after menopause along with appropriate iron chelation to
46 protect from atherosclerosis.

47 **Keywords:** Atherosclerosis, iron metabolism, post menopause, estrogen receptor α ,
48 hormone replacement therapy

49

50 **1. Introduction**

51 Atherosclerosis (AS) is the leading cause of cardiovascular disease-associated
52 death worldwide (Moss *et al*, 2019). It has been well recognized that postmenopausal
53 women confront an increasing risk of AS owing to estrogen deficiency and
54 disturbance of the estrogen receptor (ER) regulatory network (Moss *et al.*, 2019).
55 Nevertheless, the therapeutic effect of hormone replacement therapy (HRT) remains
56 controversial. Women's Health Initiative and the Heart and Estrogen/Progestin
57 Replacement Study reported that the atheroprotective effect of HRT in the late
58 postmenopausal women (commonly ages over 65) is lost or even worsened (Hlatky *et*
59 *al*, 2002; Rocca *et al*, 2014; Rossouw *et al*, 2002). The underlying mechanism may be
60 triggered by aging, which reduces the protection afforded by estrogen, particularly
61 estradiol (E₂).

62 In general, the atheroprotective effect of estrogen is attributed to its interaction
63 with estrogen receptor α (ER α), which participates in foam cell formation and
64 vascular remodeling (Murphy, 2011). ER α deletion is reported to induce adiposity and
65 increase atherosclerotic lesion size since the promoters of lipid metabolism-related
66 genes, such as *Tgm2*, *ApoE*, and *Abca1*, contain ER α binding sites (Ribas *et al*, 2011).
67 In addition, estrogen binds to ER α tethered to the plasma membrane, which can
68 stimulate vasodilatation via an endothelial nitric oxide synthase (eNOS)-dependent
69 pathway (Gavin *et al*, 2009; Teoh *et al*, 2020). Moreover, VEGF promotes
70 angiogenesis and is transcriptionally regulated by the E₂-ER α complex (Gu *et al*,
71 2018). ER α expression decreases after menopause (Gavin *et al.*, 2009; Zhang *et al*,
72 2019), suggesting the critical role of ER α in blood vessel function in healthy pre- and
73 postmenopausal women. Despite the above, few studies have focused on the
74 regulation of ER α in postmenopausal women on HRT.

75 Upon binding, estrogen functions as an ER α activator (Lung *et al*, 2020) and
76 initiates ER α dimerization and translocation into the nucleus. Estrogen treatment *in*
77 *vitro* leads to a marked increase in *Esr1* (gene that encodes ER α) mRNA through the
78 binding of the E₂-ER α complex to estrogen-responsive elements (EREs) in the
79 promoter region of *Esr1* (Pinzone *et al*, 2004). Estrogen binding to ER α also rapidly

80 stimulates ubiquitination and proteasomal degradation of ER α (Pinzone *et al.*, 2004).
81 Ubiquitination-dependent ER α cycling on and off the ERE promoter sites to activate
82 or prevent target gene transcription depends on the presence of estrogen at an
83 adequate concentration (Zhou & Slingerland, 2014). Ubiquitin ligases that have been
84 implicated in ER α regulation include BRCA1, MDM2, SKP1–CUL1–F-box S-phase
85 kinase-associated protein 2 (SCF^{SKP2}), and E6-associated protein (E6AP), all of which
86 promote estrogen-induced transcriptional activity with cell-type selectivity (Zhou &
87 Slingerland, 2014). Of these, MDM2 is a single-subunit RING finger E3 protein,
88 which does not always inversely correlate with ER α levels in cancer cells (Duong *et al.*
89 *al.*, 2007). The relationship between MDM2 and ER α in other contexts and cell types
90 remains elusive.

91 Estrogen also regulates systemic iron homeostasis through hepcidin, an
92 antimicrobial peptide of 25 amino acids that mediates endocytosis and degradation of
93 the ferrous iron exporter ferroportin 1 (Fpn1) (Nemeth *et al.*, 2004). The dimeric E₂-
94 ER α complex binds to an ERE site within the promoter of the hepcidin gene (*Hamp*)
95 to inhibit its expression (Hou *et al.*, 2012). Hence, E₂ elevates circulating iron to
96 compensate for blood loss during menstruation (Badenhorst *et al.*, 2021). However, E₂
97 declines by over 90% after menopause, while systemic iron content increases slowly
98 by steady iron uptake over the years. Serum ferritin in postmenopausal women
99 increased by 2-3 times compared with premenopausal women (Huang *et al.*, 2013). We
100 and others have previously reported that iron overload is a risk factor for
101 atherosclerosis (Cai *et al.*, 2020; Vinchi *et al.*, 2020). Our study relied on using the
102 proatherogenic *ApoE*^{-/-} mouse model, which we can manipulate genetically to cause
103 iron overload (Cai *et al.*, 2020). We aimed to investigate the impact of aging-related
104 iron accumulation on the therapeutic effect of HRT on AS and explore the underlying
105 mechanisms. First, we corroborated on a new cohort of postmenopausal AS patients
106 that a negative correlation between high concentrations of serum-iron and -ferritin and
107 low expression of ER α exists. We hypothesized that gradual iron accumulation in
108 postmenopausal women could explain poor HRT efficacy when applied at a late stage.
109 We used *ApoE*^{-/-} aging female mice or ovariectomized (OVX) young mice as

110 estrogen-deficient AS models to examine ER α expression with respect to HRT
111 efficacy and the genetic iron-overload mice in *ApoE*^{-/-} background (*ApoE*^{-/-}
112 *Fpn1*^{LysM/LysM}) to address the relation of iron metabolism and HRT efficacy. We found
113 that ER α expression positively responded to E₂ administration under low or normal
114 iron conditions and negatively responded to E₂ administration under high iron
115 conditions. Our results explain the puzzle of HRT in early and late postmenopausal
116 women, and the reason is the accelerated ER α proteolysis through iron-mediated
117 upregulation of *Mdm2*.

118

119 **2. Methods and Materials**

120 **2.1 Participants**

121 Participants in this study included 20 postmenopausal (at least one year since
122 menopause, without HRT) AS patients aged 54-84 years, recruited from the Vascular
123 Surgery Department, The Affiliated Drum Tower Hospital, Nanjing University
124 Medical School. Patients were divided into early (55-65 years old) and late (>65 years
125 old) groups since menopause for over ten years was defined as late post-menopause.
126 Twenty fasting serum samples were collected at the outpatient service. Of them, eight
127 patients, undergoing carotid endarterectomy, were recruited, and plaque samples were
128 collected immediately after separation. Exclusion criteria included the current use of
129 oral contraceptives or other medications. Further details on the exclusion criteria were
130 referenced (Wactawski-Wende *et al*, 2009). This study complies with the Declaration
131 of Helsinki, and the Institutional Review Board of Nanjing Drum Tower Hospital, the
132 Affiliated Hospital of Nanjing University Medical School, approved the study. All
133 patients provided written informed consent.

134

135 **2.2 Animals**

136 *ApoE*^{-/-} mice were obtained from the Model Animal Research Center of Nanjing
137 University (Nanjing, China). *ApoE*^{-/-} *Fpn1*^{LysM/LysM} mice on the C57BL/6J background
138 were generated in our previous study (Cai *et al.*, 2020). For the control experiments
139 included in Results 2 and 6, female *ApoE*^{-/-} mice at the age of 8 weeks (defined as

140 premenopausal) were anesthetized and bilaterally ovariectomized through a 1 cm
141 dorsal incision. After surgery, mice were allowed to recover for one week and
142 randomly divided into early and late groups. For each group, mice were fed a high-fat
143 diet (0.2% cholesterol and 20% fat) and injected with saline, E₂ (3 µg/kg every other
144 day, Solarbio, Beijing, China), or E₂+DFP (80 mg/kg daily, Sigma–Aldrich, St. Louis,
145 MO) for eight weeks from week nine as the early OVX group or week 21 as the late
146 OVX group.

147 For the control experiments included in Result 3, female *ApoE*^{-/-} and *ApoE*^{-/-}
148 *Fpn1*^{LysM/LysM} mice were ovariectomized at the age of 16 or 40 weeks. E₂ injection (3
149 µg/kg every other day) was performed one week after surgery for eight weeks. All
150 animals were housed and fed standard chow in the SPF animal facility with an
151 average 12 h light-and-dark cycle and under controlled temperature conditions
152 (25°C). The mice were anesthetized with an intraperitoneal injection of pentobarbital
153 sodium (40 mg/kg) and euthanized by cervical dislocation for sample collection. The
154 protocols were approved by the Animal Investigation Ethics Committee of The
155 Affiliated Drum Tower Hospital of Nanjing University Medical School and were
156 performed according to the Guidelines for the Care and Use of Laboratory Animals
157 published by the National Institutes of Health, USA.

158

159 **2.3 Cell culture**

160 J774a.1 cells, HUVECs, and MCF-7 cells were purchased from Cellcook
161 (Guangzhou, China) and cultured in DMEM (Gibco, Life Technologies, UK)
162 supplemented with 10% fetal bovine serum (FBS). Peritoneal macrophages were
163 collected from peritoneal exudates three days after injecting 8-week-old mice with 0.3
164 ml of 4% BBL thioglycollate, Brewer modified (BD Biosciences, Shanghai, China),
165 and then cultured in RPMI 1640 medium supplemented with 10% (FBS) for 8 h.
166 Macrophages were cultured in a medium containing 50 µg/ml human oxidized low-
167 density lipoprotein (oxLDL) in the presence of 1 µM E₂, 100 µM ferric ammonium
168 citrate (FAC), 350 µM DFP or indicated combination for 48 h as needed.

169 Oil Red O staining was performed to evaluate foam cell formation. The

170 quantification was carried out with the following formula: relative average area of the
171 fat droplet (%) = Target (Area of fat droplets/Numbers of cells)/Control (Area of fat
172 droplets/Number of cells)*100%. The area was analyzed by ImageJ software.
173 Angiogenesis assays were performed to evaluate angiogenic capacity. Cellular iron
174 levels were estimated using ferrozine assays. The protein levels of ER α , ABCA1,
175 VEGF, TfR1, and Ft-L were determined by Western blot analysis.

176

177 **2.4 Isolation of peritoneal macrophages from mice**

178 The mice were intraperitoneally injected with 4% starch broth (NaCl 0.5 g, beef
179 extract 0.3 g, peptone 1.0 g, and starch 3.0 g in 100 ml of distilled H₂O) 3 days before
180 euthanasia. After anesthesia, the abdominal skin was carefully cut to 1 cm, and 5-8 ml
181 PBS with 3% FBS was injected into the enterocoele. After 10 min of massage, the
182 liquid was extracted and centrifuged (1000 rpm, 5 min). The sediment was then plated
183 into 6-well plates for attachment or cryopreserved for further assays.

184

185 **2.5 Blood samples and tissue collection**

186 The mice were anesthetized with an intraperitoneal injection of pentobarbital
187 sodium (40 mg/kg) and euthanized by cervical dislocation. Blood was drawn from the
188 inferior vena cava and collected in heparinized tubes. Plasma was prepared by
189 centrifugation (1200 \times g) for 15 min at 4°C. Plasma samples were then stored at -80°C
190 for the determination of serum iron, lipid and cytokine levels. The mice were then
191 perfused with 4°C saline through the left ventricle. After perfusion, the arteries,
192 hearts, livers, and spleens were harvested. The samples were fixed in 4%
193 paraformaldehyde or quickly frozen at -80°C for further analysis.

194

195 **2.6 Serum lipid content and lesion area in the aorta and the aortic root**

196 Lipid content was determined with Oil Red O to stain the aorta. To assess the
197 atherosclerotic lesion area, the aorta was analyzed from the aorta arch to the
198 abdominal aortic bifurcation. The quantification of lesion area and size was performed
199 using ImageJ software. Serum cholesterol and triglycerides were measured by the

200 clinical laboratory of Nanjing Drum Tower Hospital using an autochemical analyser
201 (Beckman Coulter AU5421, CA).

202

203

204 **2.7 Immunohistochemistry (IHC) and Prussian blue staining**

205 Sections of mouse aortic valve or patient carotid artery plaques were used to
206 assess the plaque iron composition by IHC staining for Ft-L and Prussian blue
207 staining with DAB enhancement for ferric iron. The primary antibody against Ft-L
208 was made using recombinant human Ft-L subunit as antigen by GenScript (Nanjing,
209 China).

210 Images were captured under a light microscope (Leica, Germany). For quantitative
211 analysis of images, three sections per animal at intervals of 30 μm were analyzed. The
212 intensity of positive staining was analyzed by ImageJ software.

213

214 **2.8 Iron assays**

215 Deparaffinized tissue sections were stained with Prussian blue staining for
216 nonheme iron as previously described (Wang *et al*, 2016). Serum iron was measured
217 by the clinical laboratory of Nanjing Drum Tower Hospital using an autochemical
218 analyser (Beckman Coulter AU5421, CA). Total nonheme iron in the tissues was
219 measured by colorimetric ferrozine-based assays as previously described (Li *et al*,
220 2018). Briefly, 22 μl concentrated HCl (11.6 M) was added to 100 μl of homogenized
221 tissue samples (approximately 500 μg total protein). The sample was then heated at
222 95°C for 20 min, followed by centrifugation at 12000 g for 10 min. The supernatant
223 was transferred into a clean tube. Ascorbate was added to reduce the Fe^{3+} into Fe^{2+} .
224 After 2 min of incubation at room temperature, ferrozine and saturated ammonium
225 acetate (NH_4Ac) were sequentially added to each tube, and the absorbance was
226 measured at 570 nm (BioTek ELx800, Shanghai, China) within 30 min.

227

228 **2.9 Determination of eNOS and ferritin by ELISA**

229 eNOS (Abcam, Cambridge, MA) and ferritin (USBiological, #F4015-11,

230 Swampscott, MA) were detected by ELISA according to the manufacturer's protocols.

231

232 **2.10 Western blotting**

233 Protein lysates were run in gels and transferred to membranes as previously
234 reported (Cai *et al*, 2018). The membranes were probed using antibodies directed
235 against ER α , eNOS and VEGF purchased from Servicebio (Wuhan, China), ABCA1,
236 BRCA1, AHR, and SOD2 from Abcam, TfR1 from ProteinTech Group Inc. (Chicago,
237 IL), GAPDH from Bioworld Tech. (St. Louis Park, MN), ferritin L (Ft-L) made by
238 using purified human ferritin L subunit as antigen by GenScript (Nanjing, China).

239

240 **2.11 Detection of catalase enzymatic activity**

241 The activities of catalase were measured following the manufacturer's protocols
242 of the CAT assay kit (Jiancheng Bioengineering, Nanjing, China).

243

244 **2.22 Quantitative real-time PCR (qRT-PCR)**

245 Total cellular RNA was isolated from peritoneal macrophages using TRIzol
246 (Invitrogen, Carlsbad, CA) and reversely transcribed to cDNA. qRT-PCR
247 experiments were performed with SYBR Green PCR master mixture (Thermo Fisher
248 Scientific). The primer sequences were as follows: 5'-
249 TTATGGGGTCTGGTCCTGTG-3' and 5'- CATCTCTCTGACGCTTGTGC-3' for
250 *Esr1*, 5'- GCCACTGCCGCATCCTCTTC-3' and 5'-
251 AGCCTCAGGGCATCGGAACC-3' for *Actin*, and 5'-
252 TGTCTGTGTCTACCGAGGGTG-3' and 5'-TCCAACGGACTTTAACAACCTTCA-
253 3' for *Mdm2*.

254

255 **2.13 Immunoprecipitation (IP) and detection of ubiquitin**

256 ER α proteins were immunoprecipitated from J774a.1 cell lysates according to the
257 manufacturer's protocols (11204D, Invitrogen). The ER α antibody was the same as
258 that used for Western blotting and was purchased from Santa Cruz (1:200 dilution).
259 Western blotting was used to detect the efficiency of IP and the level of ubiquitin.

260

261 **2.14 Angiogenesis assays**

262 Matrigel (50 μ L/well) was transferred to a 96-well plate, followed by inoculation
263 of HUVECs (2×10^4 cells) and treatment with the medicines described in the cell
264 culture. After 8 hours, images were captured with an inverted microscope. The extent
265 of tube formation was assessed by measuring branch points and capillary length using
266 the ‘Angiogenesis Analyser’ plug-in designed by Gilles Carpentier with ImageJ
267 software.

268

269 **2.15 Statistical analysis**

270 All experiments were randomized and blinded. All the data are presented as the
271 mean \pm SD. A two-tailed Student’s t-test (for two groups) or one-way analysis of
272 variance followed by multiple comparisons test with Bonferroni correction (for more
273 than two groups) was performed by using SPSS 17.0 (SPSS Inc, Chicago, IL).
274 $P < 0.05$ indicated statistical significance.

275

276 **3. Results**

277 **3.1 ER α protein abundance correlates inversely with systemic and local plaque 278 iron content in aging postmenopausal women with AS.**

279 To build the link between iron contents and ER α levels in different
280 postmenopausal stages, we collected 8 plaques and 20 blood samples from
281 postmenopausal AS patients, divided a half into Early (55-65 years old, n=4/10,
282 plaque/blood samples) and another half into Late (>66 years old, n=4/10) Post-
283 Menopausal groups, EPM and LPM, respectively. Ferritin was evaluated by IHC,
284 ELISA, and immunoblotting, and iron was evaluated by DAB-enhanced Prussian blue
285 staining. As expected, both ferritin and iron levels were significantly increased in
286 plaques and serum of the LPM, compared to the EPM (Figure 1A-C, E). In addition,
287 serum cholesterol and triglycerides were elevated in the LPM (Figure 1D). By
288 contrast, plaque ER α expression was lower in the EPM than in the LPM (Figure 1E).
289 Pearson correlation analysis between ER α and ferritin levels in the plaques confirmed

290 that ER α levels were negatively correlated with iron levels (Figure 1F).

291

292 **3.2 AS aggravates in E₂-treated LPM *ApoE*^{-/-} mice with reduced ER α expression**
293 **and accumulation of body iron.**

294 To determine whether the effect of HRT was atheroprotective in postmenopausal
295 females, ovariectomy (OVX) was performed to mimic post-menopause in *ApoE*^{-/-}
296 female mice at eight weeks of age (Figure 2A). The mice started to be fed high-fat
297 chow one week post OVX. Ages of 9 weeks and 21 weeks were considered as EPM
298 and LPM stages, respectively. Although peritoneal E₂ injection in the EPM
299 significantly reduced plaque formation, it remarkably promoted atherosclerotic
300 development in the late application (Figure 2B and 2C), exactly as observed in
301 humans (Hlatky *et al.*, 2002; Rossouw *et al.*, 2002). We then detected aortic ER α
302 expression to evaluate whether ER α was responsive to E₂ treatment. The results
303 showed markedly lower ER α protein levels in the LPM mice than in the EPM mice
304 (Figure 2D). More strikingly, ER α expression was further reduced in LPM mice after
305 E₂ treatment but remained constantly high in EPM mice (Figure 2D). It has been
306 reported that ER α protects against atherosclerosis by promoting lipid efflux and
307 endothelial homeostasis (Wang *et al.*, 2021; Zhao *et al.*, 2021). Hence, we assessed
308 three ER α downstream proteins, ABCA1, a lipid exporter whose gene promoter is
309 predicted to have ERE, VEGF, an activator of angiogenesis, and eNOS, a modulator
310 of vasoconstriction and vascular repair. They were all positively correlated with ER α
311 expression (Figure 2D). Macrophage-derived foam cell formation is crucial in the
312 development of atherogenesis (Xu *et al.*, 2021). We therefore isolated peritoneal
313 macrophages from early and late OVX mice after E₂ treatment and surprisingly found
314 that the expression of ER α , ABCA1, and VEGF responded to E₂ treatment similarly
315 as observed in aortic tissue (Figure 2E). In line with this observation, serum
316 cholesterol and triglycerides negatively correlated with ER α and ABCA1 (Figure 2F).
317 Our previous data have demonstrated that macrophage iron plays a critical role in the
318 development of AS (Cai *et al.*, 2020); therefore, iron-related proteins were monitored.
319 Ferritin was reduced in response to E₂ treatment in the EPM stage. In contrast, ferritin

320 remained high in the late stage after E₂ treatment in both aortae and isolated
321 macrophages (Figure 2D and 2E), which could be explained by the response of Fpn1
322 expression that was decreased in the EPM stage but not in the LPM stage. Both serum
323 and tissue iron levels were significantly higher in the LPM mice (Figure 2G-2H, LPM
324 vs. EPM without E₂ treatment). Interestingly, E₂ treatment elevated serum iron while
325 lowering tissue iron in both EPM and LPM stages (Figure 2G-H, E₂ treatment vs.
326 saline) suggesting impaired iron homeostasis in the plaque area, particularly in
327 macrophages (Figure 2E) and confirming that estrogen modulates iron homeostasis as
328 previously suggested (Yang *et al.*, 2012).

329

330 **3.3 E₂ downregulates ER α expression in an iron-dependent manner.**

331 Next, we aimed to identify whether aging or iron overload alone could trigger a
332 decrease in ER α expression *in vivo*. To address this question, myeloid-specific *Fpn1*
333 knockout mice (*Fpn1*^{LysM/LysM}) were used as a macrophage-iron overload model in the
334 *ApoE*^{-/-} background (Cai *et al.*, 2020). This double knockout (KO) model is
335 considered relevant for AS studies due to the accumulation of a large number of
336 macrophages in plaques, which contributes to the progression of atherosclerosis
337 (Moore *et al.*, 2013). OVX was performed in female mice fed standard chow at 16
338 weeks or 40 weeks of age, and E₂ was injected to model HRT, as illustrated in Figure
339 3A. Figures 3B and 3C show the severity of atherosclerosis, which was significantly
340 enhanced in the E₂-treated groups at both ages compared to the saline groups of *ApoE*
341 ^{-/-} *Fpn1*^{LysM/LysM}. Notably, macrophage *Fpn1* KO mice displayed a larger lesion area
342 after E₂ treatment at the EPM and LPM stages (Figure 3C), suggesting a dominant
343 influence of iron on the effects of the E₂ treatment. In particular, the specific iron
344 overload in macrophages, characteristic of the mouse model used, was sufficient to
345 cause a significant increase in the lesion area in the LPM group (Figure 3C, lower
346 panel), reproducing previous observations (Cai *et al.*, 2020). We then examined the
347 iron status in tissues and serum. Iron levels in tissues (aorta/liver) were higher in
348 *ApoE*^{-/-} *Fpn1*^{LysM/LysM} compared to *ApoE*^{-/-} mice, as revealed by ferrozine assays
349 (Figure S1A for EPM groups and Figure 3E for LPM groups) and further supported

350 by higher ferritin content (Figure S1B for EPM and Figure 3D for LPM). On the
351 contrary, serum iron was lower in *ApoE^{-/-}Fpn1^{LysM/LysM}* mice at the EPM and LPM
352 stages (Figure 3F and S1C). However, E₂ administration did not significantly reduce
353 the ferritin levels and iron levels in the aorta and liver (Figure 3D, 3E, and Figure
354 S1A), confirming that Fpn1 acts as an iron exporter and that macrophages play a
355 crucial role in response to E₂ treatment. E₂ administration significantly increased
356 serum iron levels in the LPM group (Figure 3F) and mildly increased serum iron
357 levels in the EPM group (Figure S1C), suggesting that other factors besides iron also
358 contribute to the aging-related physiological changes in the E₂ treatment response. Of
359 note, ERα was downregulated in the aorta of the *Fpn1^{LysM/LysM}* mice and further
360 downregulated by E₂ treatment, accompanied by reduced expression of ABCA1 and
361 VEGF (Figure 3B and Figure S1B), suggesting that both iron alone and iron plus E₂
362 could downregulate ERα expression in macrophages. Consistent with the severity of
363 atherosclerosis and the alteration of ERα and its target gene ABCA1, serum
364 cholesterol and triglycerides were increased in the *Fpn1^{LysM/LysM}* mice and further
365 increased by E₂ treatment (Figure 3G).

366

367 **3.4 E₂ treatment potentiates iron-induced downregulation of ERα in both** 368 **macrophages and endothelial cells.**

369 To further validate the interaction between iron and E₂ on ERα downregulation in
370 different cell types, we used primary peritoneal macrophages from C57BL/6 female
371 mice, the macrophage-like cell line J774a.1, and human umbilical vein endothelial
372 cells (HUVECs). The cells were treated with E₂, ferric ammonium citrate (FAC, an
373 iron source), and/or deferiprone (DFP, an iron chelator). Downregulation of ERα
374 expression triggered by FAC with or without E₂ was observed in time- and
375 concentration-dependent manners (Figure 4A and 4B). Such downregulation was
376 confirmed in all tested cell types and could be partially suppressed by iron chelation
377 (Figure 4C, 4D, 4E, and 4F).

378 To examine the capacity of lipid export when ERα was downregulated, we loaded
379 J774a.1 with oxidized low-density lipoprotein (oxLDL) and observed significantly

380 more lipid accumulation in the E₂-treated plus iron overload group than in the other
381 groups (Figure 4G), suggesting a tendency of macrophages to be converted into foam
382 cells. Angiogenesis assays were also performed and showed that E₂, together with
383 iron, inhibited angiogenesis (Figure 4H), which has been demonstrated to increase the
384 risk of macrophage adhesion and intraplaque hemorrhage (Chang & Nguyen, 2021;
385 Mao *et al.*, 2020). The reduced levels of eNOS were also revealed by ELISA in
386 HUVECs treated with E₂ and iron (Figure 4I). Overall, our data strongly support that
387 both macrophages and endothelial cells are the effectors of E₂ in iron-mediated
388 worsening by downregulation of ER α in the development of AS.

389

390 **3.5 Proteasome-mediated ER α degradation results from the interactive effects of** 391 **iron overload and E₂ treatment mediated by the E3 ligase Mdm2.**

392 We wondered how excess iron and E₂ together downregulated ER α expression.
393 To elucidate the underlying mechanism, we first searched for what regulates ER α
394 expression. It was reported that the downregulation of ER α could be attributed to
395 methylation of its promoter region, which could be induced by oxidative stress (Lung
396 *et al.*, 2020). Because iron overload has been massively correlated with oxidative
397 stress, two relevant antioxidative enzymes, catalase (CAT) and superoxide dismutase
398 (SOD) 2, were evaluated. The results did not show a significant difference between
399 the control and E₂/FAC treatments (Figure S2A and S2B). In addition, the mRNA
400 level of ER α was examined and barely showed significant changes after FAC and E₂
401 treatment (Figure S2C), suggesting posttranscriptional regulation of ER α better
402 explained the decreased presence of the receptor after iron and/or E₂ treatment.

403 As stated previously, ER α may be regulated through an estrogen-ER α binding-
404 dependent ubiquitination signaling pathway for degradation and estrogen recycling.
405 To test this possibility, we treated J774a.1 cells with MG132, a proteasome inhibitor,
406 and observed that ER α protein levels were significantly elevated in the presence of E₂
407 and excess iron (Figure 5A). Treatment with cycloheximide (CHX), an inhibitor of
408 eukaryotic translation, showed that the half-life of ER α was shortened in the E₂ +
409 FAC group (Figure 5B), suggesting a faster turnover rate of ER α in the presence of E₂

410 plus excess iron, verifying the activation of ER α proteasome degradation pathway
411 (Zhou & Slingerland, 2014). We then detected the ubiquitination levels of ER α by
412 immunoprecipitation and immunoblotting. The results showed much more
413 ubiquitinated and degraded ER α in the presence of E₂ and excess iron than in other
414 conditions (Figure 5C), further supporting the proteolysis-dependent pathway for ER α
415 degradation. We then tested a few E3 ligases (BRCA1, AHR, and Mdm2) in J774a.1,
416 which were supposed to regulate ER α (Fan *et al*, 2001; Khan *et al*, 2006; Saji *et al*,
417 2001), and did not find a negative correlation between BRCA1/AHR and ER α in
418 response to FAC or E₂+FAC treatments (Figure S3A). However, *Mdm2* was
419 upregulated in the FAC and E₂+FAC groups (Figure 5D). When cells J774a.1 and
420 HUVECs were treated with Nutlin-3, a well-known Mdm2 inhibitor, ER α exhibited
421 iron-dependent Mdm2-mediated degradation (Figure 5E and 5F). Amazingly and
422 consistently, Mdm2 expression was upregulated at the LPM stage compared with the
423 EPM stage both in the aorta of female mice and in plaques of AS patients (Figures 5G
424 and 5H), which is exactly opposite to ER α expression (Figure 1E). And this effect was
425 significantly enhanced at the LPM stage mice when E₂ was administrated (Figure 5G,
426 2D and 2E). Our results indicate that Mdm2 is responsible for E₂-triggered ER α
427 deficiency under iron overload condition or in LPM women.

428

429 **3.6 Iron restriction therapy restores ER α levels and attenuates E₂-triggered** 430 **progressive atherosclerosis in late postmenopausal mice.**

431 To further verify whether iron overload is responsible for the E₂-induced
432 downregulation of ER α and progressive atherosclerosis in LPM mice, we evaluated
433 the effects of iron restriction. Twenty-one-week-old female *ApoE*^{-/-} mice, OVX-ed at
434 eight weeks of age, received iron chelation therapy through peritoneal injection of
435 DFP (80 mg/kg) daily for eight weeks (Figure 6A). Similar to the definition used in
436 Figure 2, 13 weeks after OVX was considered as the LPM stage. Indeed, iron
437 chelation attenuated the plaque-accelerated development of AS (Figure 6B and 6C).
438 The contents of serum cholesterol and triglycerides compared with those in the E₂-
439 only group were significantly diminished (Figure 6D). Consistent with previous data

440 (Figures 2 and 3), ferrozine assays proved decreased iron deposition in tissues but
441 increased iron in serum post E₂ application (Figure 6E-F). Although DFP
442 administration reduced the tissue and serum iron levels, it did not induce anemia
443 (Figure 6E-F), which was comparable to the mice at EPM (Figure 2G and H). We then
444 detected aortic ER α expression and found significant upregulation by iron restriction,
445 along with the upregulation of ABCA1 and VEGF (Figure 6G). In contrast, iron
446 chelation by DFP significantly reduced Mdm2 expression (Figure 6G). In agreement
447 with our previous findings in cell-based assays, these results corroborate the concept
448 that late postmenopausal HRT-induced ER α deficiency is, at least partially, iron
449 overload-mediated. Thus, the non-atheroprotective effects of E₂ in the LPM result
450 from aging-mediated iron accumulation.

451

452 **4. Discussion**

453 Estrogen has long been considered atheroprotective and responsible for the low
454 morbidity of cardiovascular diseases in premenopausal women (Lobo, 2017; Moss *et al.*,
455 2019). However, epidemiological studies of the Women's Health Initiative
456 question the beneficial effects of late postmenopausal HRT (Hlatky *et al.*, 2002).
457 Although one hypothesis to explain this observation may be that iron potentiates the
458 adverse effects of estrogen in AS (Sullivan, 1981; Sullivan, 2003), a comprehensive *in*
459 *vivo* study to test this hypothesis was missing. We reported previously that the
460 developmental course of atherosclerosis was highly accelerated in *ApoE*^{-/-}
461 *Fpn1*^{LysM/LysM} mice compared with *ApoE*^{-/-} (Cai *et al.*, 2020). Our present study
462 provides the first experimental evidence that iron overload facilitates ER α proteolysis,
463 which is potentiated in the presence of E₂ and reverses the anti-atherogenic effect of
464 E₂ (Figure 7). Our results support the benefit of early application of estrogen post-
465 menopause. We propose that the combination of HRT and iron restriction therapy may
466 be a long-term strategy for the preventive effects of E₂ from the development of AS in
467 post-menopausal women.

468 The controversy of whether or not to proceed with HRT in postmenopausal
469 women is fueled by an increased, although small, risk of breast cancer and from the

470 potentially harmful effect on cardiovascular outcomes (Lobo, 2017). Previous
471 randomized trials did not consider the ages sorting out EPM from LPM and have not
472 excluded subjects with iron depletion or loss in the recruited post-menopausal subjects
473 (Sullivan, 2003). We sorted the recruited female AS volunteers from the Department
474 of Vascular Surgery of Nanjing Drum Tower Hospital as EPM and LPM groups to
475 reveal whether aging-associated iron deposition correlates with ER α expression. The
476 negative correlation between systemic iron status and intraplaque ER α expression was
477 validated, which prompted us to address the role of iron in ER α expression.

478 Previous efforts focused more on the role of estrogen in iron metabolism
479 (primarily the hepcidin/Fpn axis) and not *vice versa* (Hou *et al.*, 2012; Ikeda *et al.*,
480 2012; Yang *et al.*, 2012). Both genes encoding hepcidin and Fpn are inhibited by E₂
481 treatment through an estrogen-responsive element (ERE) (Hou *et al.*, 2012; Qian *et al.*,
482 2015; Yang *et al.*, 2012). However, it was also reported that hepcidin expression
483 decreased in the livers of OVX mice through a GPR30-BMP6-dependent mechanism,
484 independent of the ERE-mediated E₂-ER α pathway (Ikeda *et al.*, 2012). Though the
485 difference in hepcidin expression in OVX mice (Bowling *et al.*, 2014; Gavin *et al.*,
486 2009; Hou *et al.*, 2012; Ikeda *et al.*, 2012), the consistent with this study here is that
487 aging, OVX, and genetic manipulation of Fpn induced progressive iron retention in
488 tissues, accompanied by reduced ER α expression. E₂ administration further enhanced
489 this reduction in ER α levels under the above-mentioned conditions. Overall, high
490 ER α levels are found in reproductive women, despite fluctuations caused by the
491 periodic estrogen wave and blood loss in reproductive women (Gavin *et al.*, 2009).
492 The aging process, particularly in late postmenopausal women, progressively elevates
493 iron levels which we show, downregulates ER α , resulting in insufficient ER α to
494 respond to E₂ treatment. Therefore, HRT is unlikely to result in an effective outcome
495 in LPM women as in EPM women unless it is coupled with an iron-chelating scheme.
496 This is because aggravated AS in LPM women is, at least partially, the result of age-
497 related iron accumulation. We demonstrated the effectiveness of iron chelation in
498 improving HRT outcomes in the mouse model, but further work is required to
499 translate this finding for clinical practice.

500 ER α is the main effector of estrogen on cardiovascular function (Aryan *et al*,
501 2020; Meng *et al*, 2021). We wondered how iron downregulated ER α . Since several
502 E3 ubiquitin ligases (*i.e.*, CHIP, E6AP, BRCA1, BARD1, SKP2, and Mdm2) have
503 been found to catalyze the covalent binding of ubiquitin to lysine residues of ER α
504 (reviewed in (Tecalco-Cruz & Ramirez-Jarquin, 2017), we tested them and found that
505 Mdm2 is responsive to iron treatment in cells and mice and negatively correlated with
506 ER α , particularly under high iron conditions. Furthermore, we showed that Mdm2 is a
507 negative regulator of ER α .

508 Our findings may be context specific, as some differences are noted in its studied
509 roles in some cancer cell types (Dongiovanni *et al*, 2010; Zhang *et al*, 2020). Mdm2
510 acts as a ubiquitin ligase E3 to p53 in SV40 hepatocytes (Honda *et al*, 1997) and has
511 been shown to act as a direct coactivator of ER α function in ER α -positive breast
512 cancer (Saji *et al.*, 2001). Nevertheless, iron-dependent downregulation was revealed
513 in leukemia cell lines and primary human cells derived from acute myeloid leukemia
514 patients (Calabrese *et al*, 2020), suggesting a cell-type-specific regulation of Mdm2
515 by iron. In our study, the downregulation of Mdm2 by E₂ occurred in the context of
516 iron overload both *in vivo* and *in vitro*, concluding that Mdm2 is the critical mediator
517 that participates in iron overload triggered ER α loss.

518 In summary, this study demonstrates the impact of iron overload in E₂-mediated
519 ER α proteolysis and its critical consequence on the outcome of HRT. With the
520 efficacy of HRT challenged by “the window of opportunity” theory (Yesufu *et al*,
521 2007), it is vital to explore therapies that maintain ER α expression mediating the
522 protective effects of estrogen. Although further work is needed to determine whether
523 iron restriction therapy is clinically relevant in combination with HRT for the
524 intervention of postmenopausal atherosclerosis as a long-term strategy, this paper
525 provides guidance for optimizing the timing HRT intervention and supportive nutrient
526 management.

527

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531

532 6. **Conflict of Interest:** none declared.

533

534 7. References

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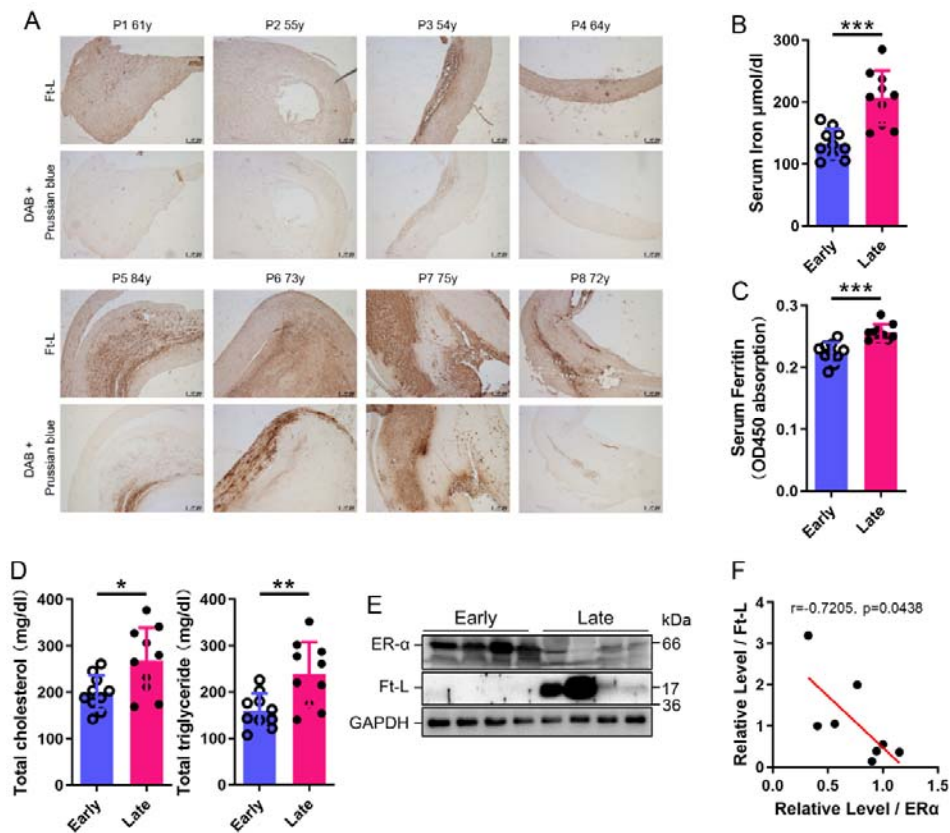
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659 **Figure 1 ER α levels were negatively associated with iron content in human**
 660 **plaques.** (A) Ferritin (Ft-L), revealed by immunohistochemistry, and iron content,
 661 revealed by DAB-enhanced Prussian blue staining, in plaque paraffin sections of 8
 662 postmenopausal patients. The upper panel: the early postmenopausal (EPM) group
 663 (P1-P4, < 65 years old); the lower panel: the late postmenopausal (LPM) group (P5-
 664 P8, > 65 years old). (B) Serum iron in EPM (blue) and LPM (magenta) patients,
 665 measured by using an autochemical analyser (Beckman Coulter AU5421, CA).
 666 n=10/group, *** $p < 0.001$. (C) Serum ferritin levels detected by ELISA. n=10/group,
 667 *** $p < 0.001$. (D) Serum total cholesterol (left) and total triglyceride (right) levels.
 668 n=10/group, * $p < 0.05$, ** $p < 0.01$. (E) ER α and Ft-L expression in plaques measured
 669 by Western blotting. The samples are the same as in (A). (F) The plotted and
 670 calculated Pearson correlation coefficient ($r = -0.7205$) between the plaque Ft-L and
 671 ER α levels (n=8, $p = 0.0438$).



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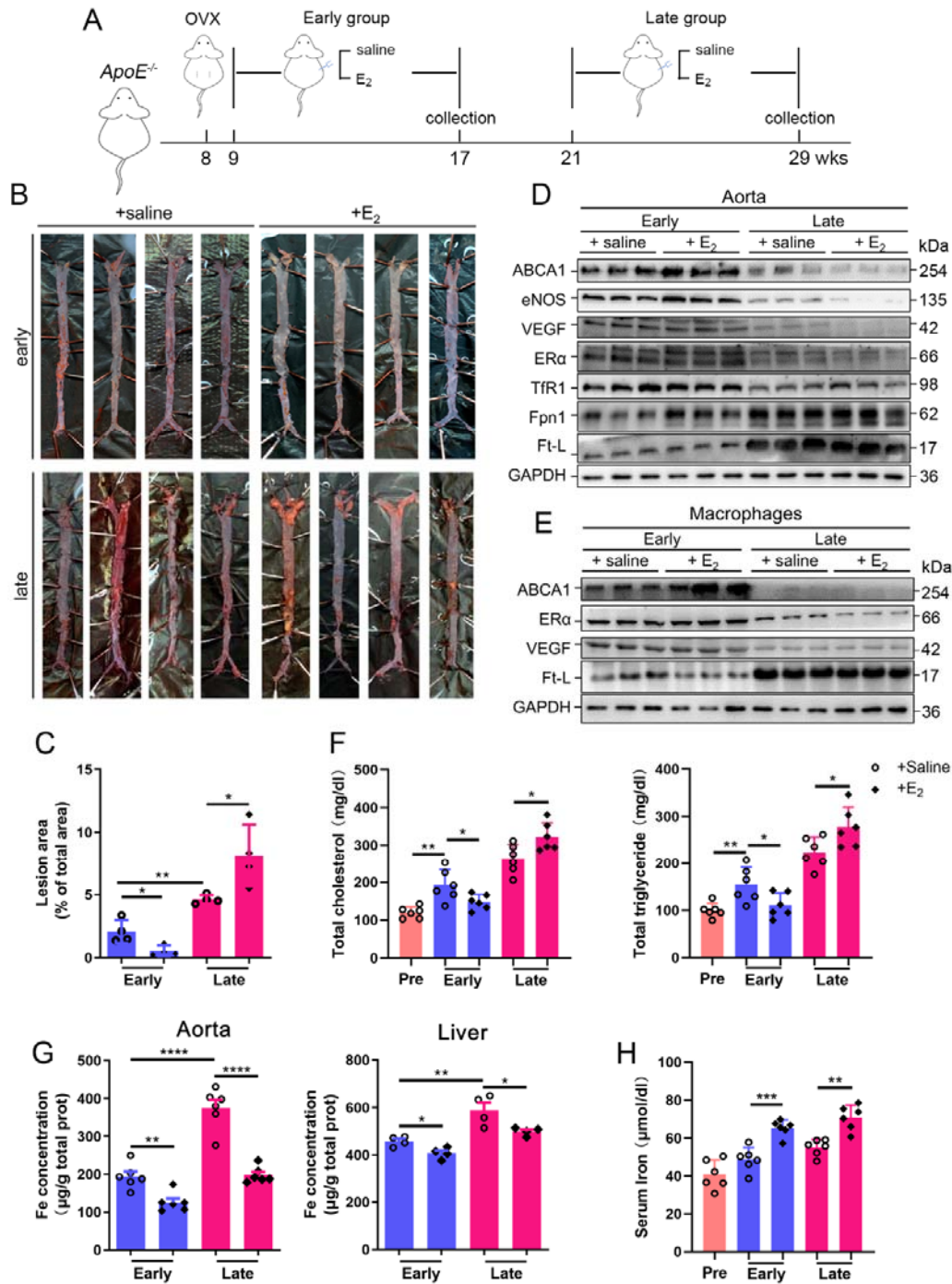
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681 **Figure 2 Atherosclerosis was aggravated in E₂-treated late postmenopausal**

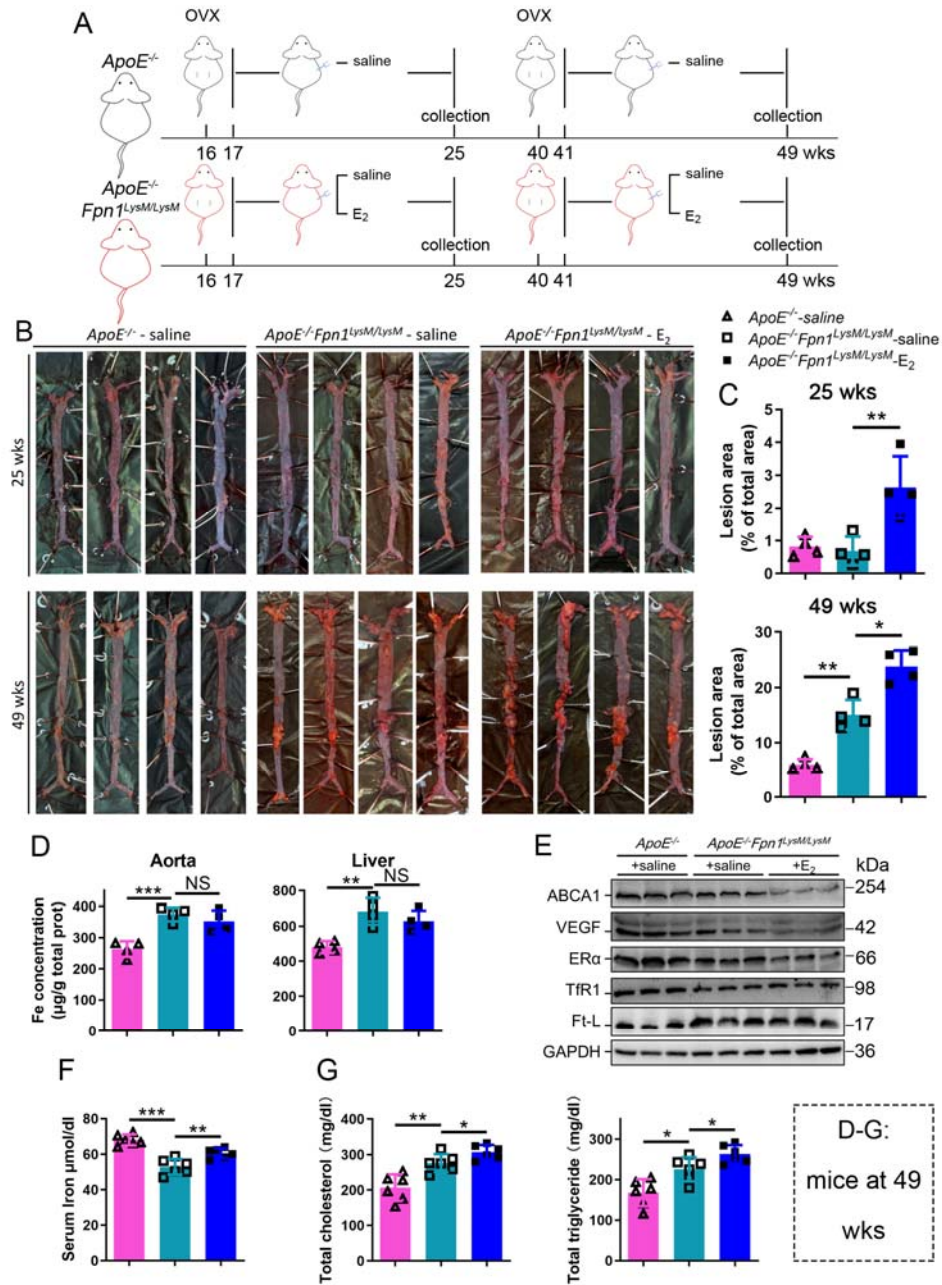
682 ***ApoE*^{-/-} mice with lower ER α expression.** (A) Flow diagram of mouse modeling.
683 Early E₂-treatment group: OVX at 8 weeks old, one-week recovery, E₂ treatment for 8
684 weeks; late E₂-treatment group: OVX at 8 weeks old, E₂ treatment from 21 weeks old
685 to 29 weeks old for 8 weeks. Saline is vehicle control. Mice were fed high-fat chow
686 from 9 weeks old. (B) Oil red O-stained aortic lesions in *ApoE*^{-/-} mice after E₂
687 treatment for 8 weeks in the EPM or LPM group. (C) Statistical analysis of the area of
688 atherosclerotic plaque in the aorta. n = 4/group, **p* < 0.05, ** *p* < 0.01. (D) The
689 expression of iron-related or ER α -targeted proteins in the aorta, detected by Western
690 blotting. (E) Protein expression in peritoneal macrophages detected by Western
691 blotting. Macrophages were isolated from 4 mouse groups (early/late \pm E₂, details see
692 **Materials and Methods**). (F) Serum total cholesterol and total triglyceride levels in
693 the 4 mouse groups. Pre: serum samples before OVX as a control group. n=6/group,
694 **p* < 0.05, ** *p* < 0.01. (G) Iron content in aorta and liver, detected by ferrozine
695 assays. n = 6/group, *****p* < 0.0001, ***p* < 0.01, **p* < 0.05. (H) Serum iron in
696 different groups, detected by using an autochemical analyser (Beckman Coulter
697 AU5421). n = 6/group, ****p* < 0.001, ***p* < 0.01. Student's *t*-test analysis was used
698 for C, F, G, and H.



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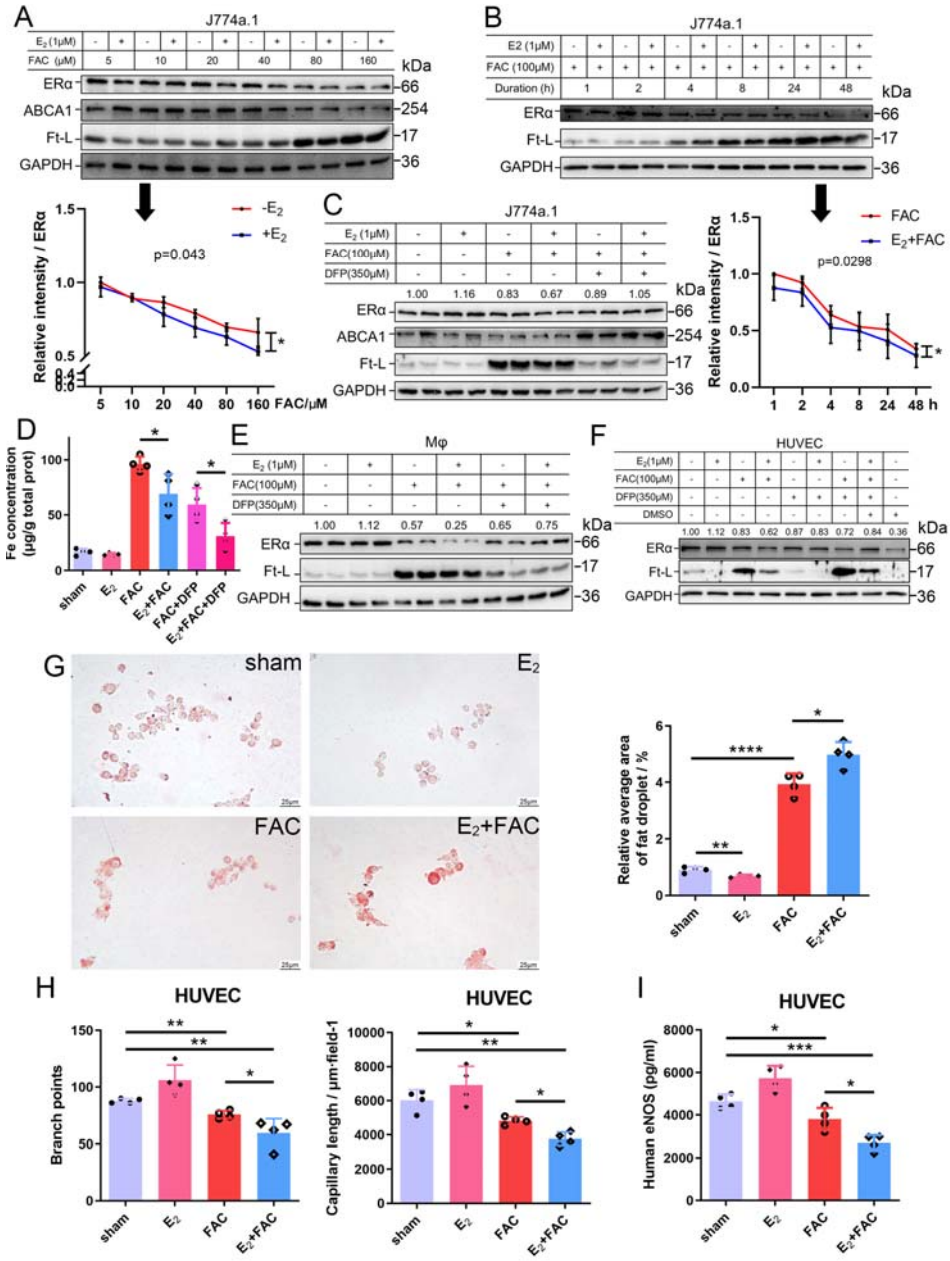
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701 **Figure 3 E₂-triggered ER α deficiency was observed in a genetic iron overload**
702 **mouse model at postmenopausal age.** (A) Flow diagram of mouse modeling. Early
703 groups: OVX at 16 weeks old, one-week recovery, \pm E₂ treatment for 8 weeks; late
704 groups: OVX at 40 weeks old, one-week recovery, \pm E₂ treatment for 8 weeks. Saline
705 is vehicle control. The mice were fed with normal chow. (B) Oil red O-stained aortic
706 lesions in *ApoE^{-/-}* and *ApoE^{-/-} Fpn1^{LysM/LysM}* mice after E₂ treatment for 8 weeks in the
707 EPM or LPM groups as indicated. (C) The lesion area in the aorta. n = 4/group, ***p* <
708 0.01, **p* < 0.05. (D) The expression of iron-related or ER α -targeted proteins in the
709 aorta, detected by Western blotting. (E) The iron content of the aorta and liver
710 detected by ferrozine assays. n = 6/group, ****p* < 0.001, ***p* < 0.01. (F) Serum iron
711 level in different groups. n = 6/group, ****p* < 0.001, ***p* < 0.01. (G) Serum total
712 cholesterol and total triglyceride levels. n=6/group, **p* < 0.05, ** *p* < 0.01. The
713 samples for D-G were from 49-week-old *ApoE^{-/-}* and *ApoE^{-/-} Fpn1^{LysM/LysM}* mice.
714 Student's *t-test* analysis was used for C, E, F, and G.



715

716 **Figure 4 E₂ treatment potentiates iron-induced downregulation of ERα *in vitro*.**
717 (A-B) ERα expression in the presence or absence of E₂ under different iron
718 concentration conditions (A) or in the time course (B). Quantification was carried out
719 using ImageJ. Two-way ANOVA was used. (C) The rescue effect of iron chelation on
720 the downregulation of ERα by FAC or FAC plus E₂. (D) The intracellular iron content
721 in J774a.1 under different iron-concentration conditions in the presence or absence of
722 E₂, detected by ferrozine assays. n = 4, **p* < 0.05. (E, F) ERα expression in peritoneal
723 macrophages (E) and HUVECs (F) under the indicated iron and E₂ conditions. A, B,
724 C, E, and F are data from Western blotting. Numbers indicate the relative intensity of
725 ERα n=4. (G) Oil red O-stained J774a.1 cells after treatment with FAC and/or E₂ (left)
726 with the quantified droplets (right). scale bar = 25 μm, n = 4, ****p* < 0.001. (H)
727 HUVEC angiogenesis assays, revealed by the number of branch points (left) and
728 capillary length (right). n = 4, **p* < 0.05, ***p* < 0.01. (I) eNOS level in HUVEC,
729 assessed by ELISA. n = 4, **p* < 0.05, ***p* < 0.01, ****p* < 0.001. The student's *t*-test
730 analysis was used for G - I.
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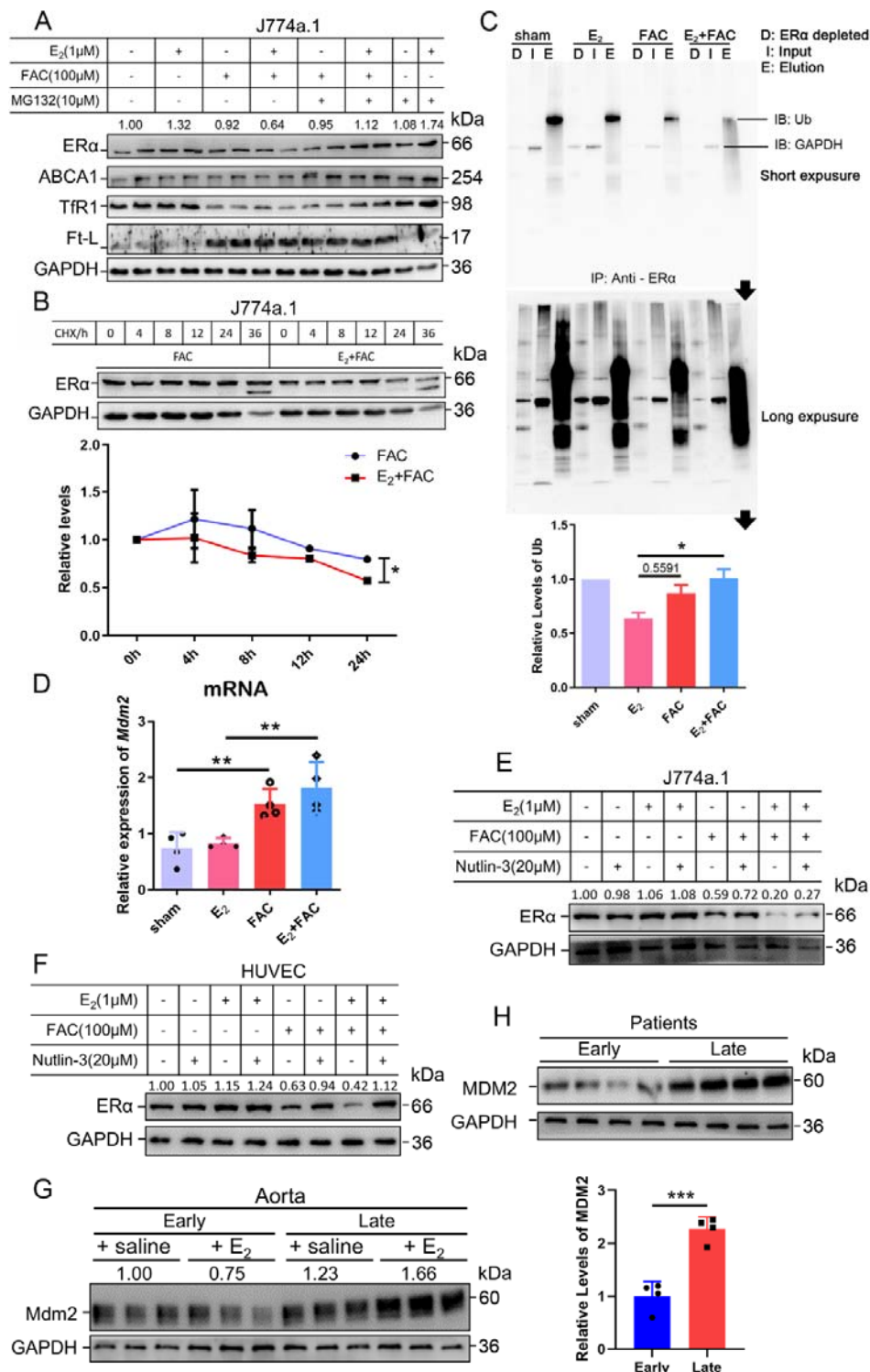
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741 **Figure 5 The interactive effects of iron overload and E₂ treatment on ERα**
742 **downregulation are mediated by the E3 ligase MDM2.** (A) Evaluation of ERα
743 proteasome-dependent degradation in J774a.1 cells by western blotting. MG132: 10
744 μM. n=4. (B) ERα turnover rate in J774a.1 cells under FAC or E₂+FAC conditions,
745 detected by western blotting after 20 μM cycloheximide (CHX) treatment. **p* < 0.05
746 using two-way ANOVA (C) Ubiquitination of ERα, evaluated by western blotting
747 (anti-ubiquitin) following immunoprecipitation against ERα antibody. n = 3, **p* <
748 0.05. (D) Relative *Mdm2* mRNA expression in J774a.1 cells, assessed by qPCR, n =
749 4, ***p* < 0.01. (E) The protein levels of ERα in the presence of FAC or FAC plus E₂ in
750 J774a.1 cells after treatment of Nutlin-3, a specific antagonist of Mdm2. n=3. (F) The
751 protein levels of ERα in the presence of FAC or FAC plus E₂ in HUVECs after
752 treatment of Nutlin-3. n=3. (G) Mdm2 protein expression in the aortas of mice in the
753 EPM or LPM stage, as detected by western blotting. n=3/group. (H) MDM2 protein
754 levels in patient plaques, detected by western blotting and quantified with ImageJ.
755 n=4/group, ****p* < 0.001. Student's *t*-test analysis was used for B, E, and H.
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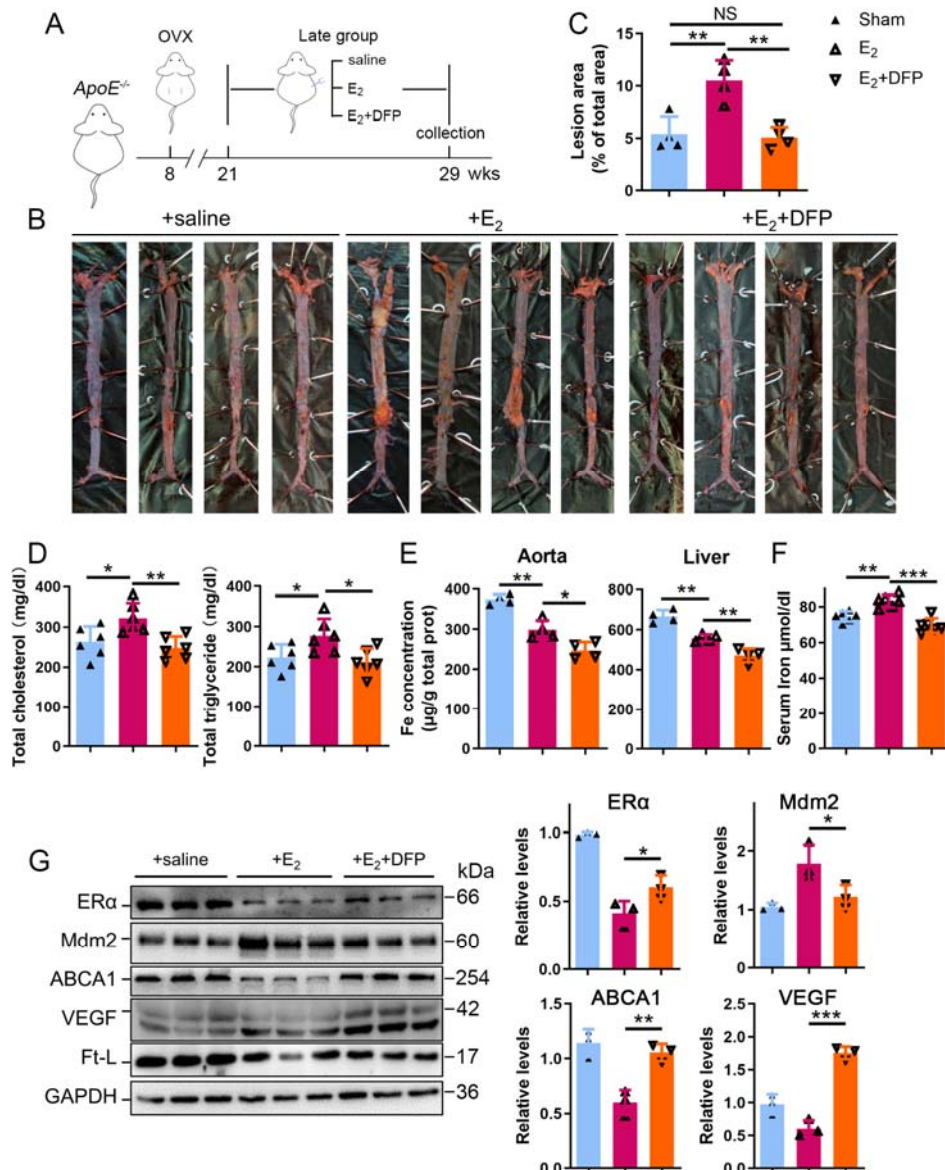
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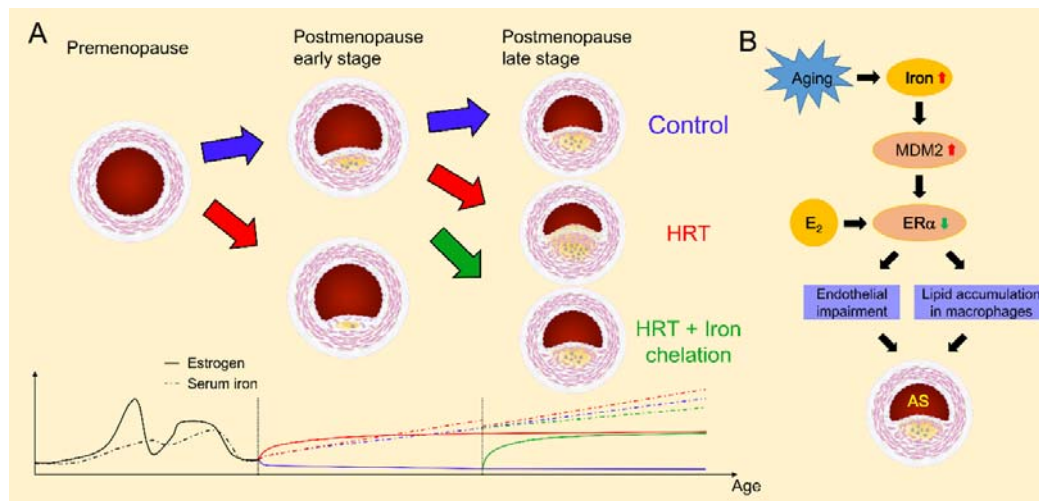
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762 **Figure 6 Iron restriction therapy restored ER α levels and attenuated E₂-**
 763 **triggered progressive atherosclerosis in late postmenopausal mice.** (A) Flow
 764 diagram of mouse modeling. The mice were ovariectomized at 8 weeks old and E₂ or
 765 E₂+DFP treated from 21 weeks old to 29 weeks old for 8 weeks. Saline is vehicle
 766 control. Mice were fed high-fat chow one week after OVX. Thirteen weeks post-OVX
 767 is considered as late post-menopause. (B) Oil red O-stained aortic lesions in *ApoE*^{-/-}
 768 mice treated with E₂ or E₂+DFP as indicated. (C) The quantified lesion area of
 769 atherosclerotic plaques in the aorta from B. n=4, ***p* < 0.01. (D) Serum total
 770 cholesterol and total triglyceride levels. n=6, **p* < 0.05, ** *p* < 0.01. (E) The iron
 771 content in the aorta and liver, detected by ferrozine assays. n = 6, ***p* < 0.01, **p* <
 772 0.05. (F) Determination of serum iron in different groups. n = 6, ****p* < 0.001, ***p* <
 773 0.01. (G) Protein expression in the aorta, detected by western blotting (left) and
 774 quantified with ImageJ (right). ****p* < 0.001, ***p* < 0.01, **p* < 0.05. The student's *t*-
 775 test analysis was used for C - G.



777 **Figure 7 Schematic model for the effects of postmenopausal iron accumulation**
778 **with or without HRT on AS severity through modulating ER α expression.** Iron
779 accumulation occurs naturally and gradually after menopause. In EPM, iron retention
780 was mild, and ER α was responsive to HRT application to achieve protective effects
781 (A). However, when iron overload is significant in LPM, Mdm2 is upregulated along
782 with ER α downregulation (B). This negative correlation is potentiated by the
783 application of HRT and iron accumulation with aging. Therefore, HRT use avails to
784 aggravate the progression of AS in the LPM period. Iron chelation, however, reverses
785 the adverse effect of HRT and attenuates the accelerated development of AS (A),
786 suggesting a protective role of appropriate iron restriction in the LPM stage.



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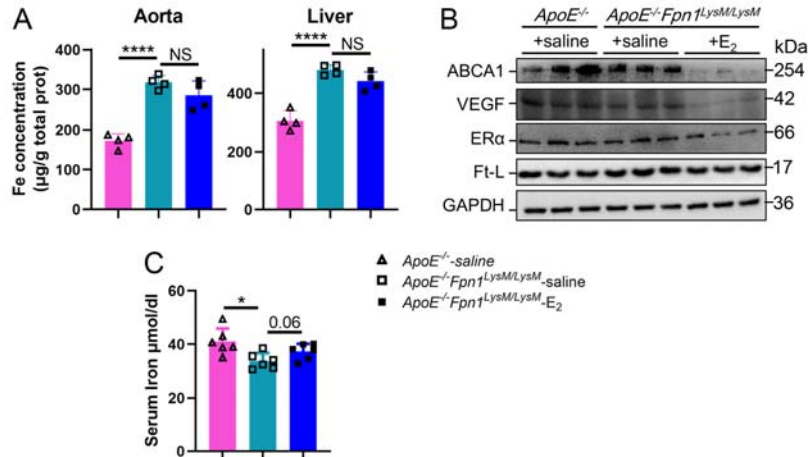


Figure S1 E₂-triggered ERα deficiency was observed in *ApoE*^{-/-} *Fpn1*^{LysM/LysM} at early post-menopause (25 weeks old). (A) Iron content of aorta and liver detected by ferrozine assays. n = 4, *****p* < 0.0001. (B) ABCA-1, ERα, VEGF and Ft-L protein expression of aorta were detected. (F) Serum iron in different groups. n = 6, **p* < 0.05.

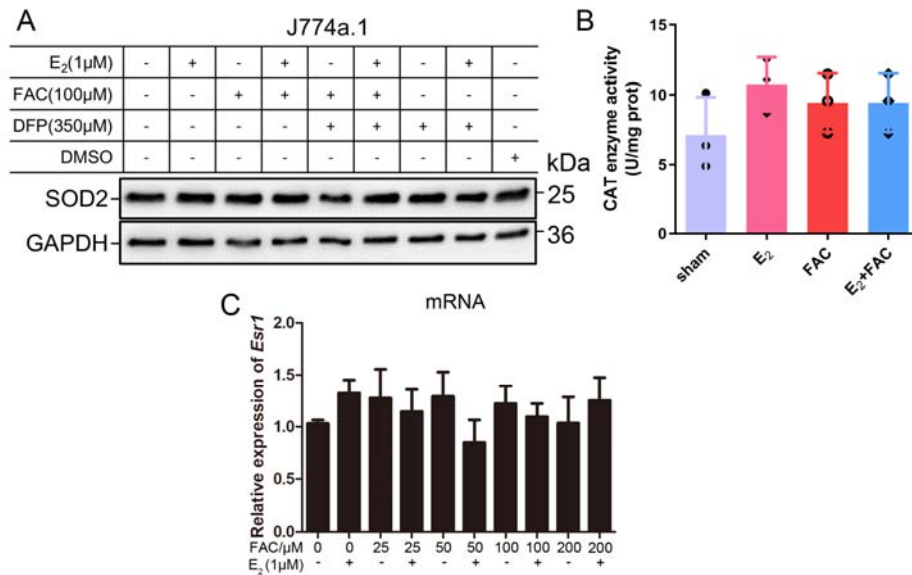


Figure S2 No significant oxidative-stress was raised by application of E₂ and iron within the indicated concentration. (A) SOD2 protein levels of J774a.1 post treatments with FAC/DFP in the presence/absence of E₂. (B) The enzymatic activity of catalase in J774a.1. n=4. (C) Relative ERα mRNA expression of J774a.1 treated with different concentrations of FAC in the presence/absence of E₂, assessed by qPCR. n = 5.

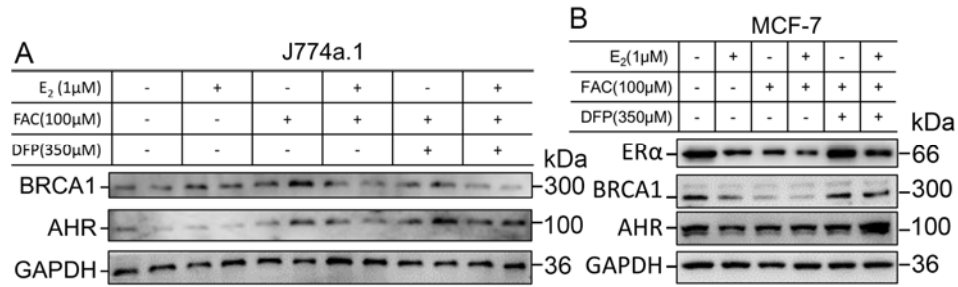


Figure S3 E3-ligase responses to iron and E₂ treatment in different cell types. (A)

BRCA1 and AHR protein expressions in J774a.1 were detected. (B) The protein

expression of ERα and its related E3 ligase, BRCA1 and AHR, was detected in

MCF-7 cell line. n=4