1 Hippocampus Modulates Natural Sound Processing at Early

Auditory Centers

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24 Author Contributions

- E.C.W., A.T.L.L., and E.X.W. designed research; E.C.W. performed research; E.C.W., X.W.,
- A.T.L.L., and E.X.W. analyzed data; X.W. provided technical assistance; and E.C.W., A.T.L.L.,
- and E.X.W. wrote the paper.

28 Abstract

Despite its prominence in learning and memory, hippocampal influence in early auditory 29 processing centers remains unknown. Here, we examined how hippocampal activity modulates 30 sound-evoked responses in the auditory midbrain and thalamus using optogenetics and functional 31 MRI (fMRI) in rodents. Ventral hippocampus (vHP) excitatory neuron stimulation at 5 Hz evoked 32 33 robust hippocampal activity that propagates to the primary auditory cortex. We then tested 5Hz vHP stimulation paired with either natural vocalizations or artificial/noise acoustic stimuli. vHP 34 stimulation enhanced auditory responses to vocalizations (with a negative or positive valence) in 35 the inferior colliculus, medial geniculate body, and auditory cortex, but not to their temporally 36 reversed counterparts (artificial sounds) or broadband noise. Meanwhile, pharmacological vHP 37 inactivation diminished response selectivity to vocalizations. These results directly reveal the 38 large-scale hippocampal participation in natural sound processing at early centers of the ascending 39 auditory pathway. They expand our present understanding of hippocampus in global auditory 40 networks. 41

42 Introduction

In the central auditory system, auditory input from the ear transmits to the inferior colliculus 43 (IC), medial geniculate body (MGB) in thalamus, and auditory cortex (AC) along the ascending 44 45 auditory pathway (1-3). Information is hierarchically relayed along this ascending pathway, as distinct auditory features like amplitude and frequency are gradually extracted and processed 46 47 throughout each auditory center (3-7). Existing functional frameworks describing auditory processing in the ascending auditory pathway are often examined using basic stimuli such as pure 48 tones and broadband noise (8-10). However, neural representation of simplified acoustic stimuli 49 may not reliably predict responses to natural sounds (8, 11-13), such as vocalizations, which are 50 critical for facilitating communications and behavioral responses (14-16). Natural sound 51 processing requires decoding complex spectrotemporal dynamic properties (17, 18) and additional 52 53 input from higher-order regions is needed to facilitate tacitly assumed auditory functions such as communication, learning, and memory processes (19-22). Despite the current consensus on the 54 pivotal roles played by AC corticofugal projections in natural sound processing (7, 23-25), 55 56 emerging structural evidence has revealed that auditory midbrain and thalamus project to nonauditory regions such as superior colliculus (26) and striatum (27), respectively, and receive 57 afferents from sensory, prefrontal, and limbic regions (28, 29). These findings suggest that 58 59 information can transmit in and out of early auditory centers in the ascending pathway to cortex 60 and beyond, parallel with those at the AC level in the processing hierarchy. We speculate that the auditory network for natural sound processing is far more brain-wide than presently known. 61

Given its roles in memory, emotion, and learning functions (30-32), we contend that the hippocampus is a strong candidate to participate in brain-wide auditory processing of natural sounds. Notably, the hippocampus has been indirectly linked with auditory processing (33, 34).

65 Functional studies indicate interactions between the hippocampus and auditory cortex during learning and memory processes. Electrophysiology studies demonstrate that the hippocampus 66 actively engages the auditory cortex to transform auditory inputs into long-term memories that are 67 subsequently consolidated in cortical networks (35, 36). Meanwhile, studies show that specific 68 hippocampal neurons only respond to sounds associated with a trained sound behavioral task (22, 69 70 36), implying that the hippocampus participates in the interpretation of complex auditory inputs. Anatomically, the hippocampus can receive and relay auditory signals via reciprocal projections 71 directly with AC (37, 38) and indirectly through parahippocampal regions (38, 39) and forebrain 72 73 pathways (19, 40), such as the entorhinal cortex, amygdala and medial septum complex. Tracing studies also indicated indirect projections, albeit scarcer, from the hippocampus to the IC and MGB 74 via parahippocampal regions and amygdala (28, 29, 41). However, existing studies have not 75 directly examined the role of the hippocampus in processing auditory inputs at these early auditory 76 centers. Further, most studies so far focus on the cortex. They provide little to no evidence of the 77 possible functional interactions between the hippocampus and auditory regions at the midbrain 78 and thalamic levels. At present, whether and how the hippocampus functionally influences 79 auditory responses, especially in the early ascending auditory centers, remains unknown. 80

Here, we posit that the hippocampus participates in natural sound processing at early sound processing centers within the ascending auditory pathway, especially the IC and MGB. The ventral hippocampus (vHP) plays a role in processing sensory inputs with an emotional context (42, 43), thus it may influence natural sound processing throughout the ascending pathway. In this study, we examined whether optogenetically evoked vHP activity modulates sound processing in the auditory midbrain, thalamus and cortex. Using a combined optogenetic cell-specific stimulation of $Ca^{2+}/calmodulin-dependent$ protein kinase II α (CaMKII α)-expressing vHP neurons and whole-

brain functional MRI (fMRI) visualization, we assessed blood-oxygenation-level dependent
(BOLD) fMRI responses to two different categories of sound – natural sound (i.e., vocalizations)
and artificial/basic acoustic stimuli. We revealed that the vHP activity enhances auditory responses
to vocalizations, but not artificial stimuli or noise, in the IC, MGB and AC.

92

93 **Results**

94 **Brain-wide propagation of neural activity initiated at ventral hippocampus**

We characterized the downstream targets of the ventral hippocampus (vHP) using optogenetics
to selectively stimulate CaMKIIα-expressing vHP excitatory neurons, primarily in the dentate
gyrus of vHP. Anatomical MRI scans confirmed the location of the virus injection and optical fiber
implantation in vHP of all animals (Figure 1A). Immunohistochemistry confirmed that CaMKIIα⁺
excitatory neurons of the vHP (Figure 1B), but not GABAergic inhibitory neurons, expressed
ChR2-mCherry (Supplementary Figure 1A).

To examine frequency-dependent spatiotemporal characteristics of brain-wide, long-range 101 102 evoked BOLD responses driven by vHP, we performed whole-brain optogenetic fMRI in lightly anesthetized rats. Blue light pulses at five frequencies (1 Hz with 10 % duty cycle, 5 Hz, 10 Hz, 103 20 Hz, and 40 Hz with 30 % duty cycle; light intensity, 40 mW/mm²) were delivered to vHP 104 105 neurons in a block design paradigm (Supplementary Figure 2A). We chose a reduced duty cycle 106 for 1 Hz stimulation to avoid excessively long stimulation pulse width that may not be physiological. 5 Hz optogenetic stimulation of vHP evoked robust brain-wide positive BOLD 107 108 activations in regions related to learning, memory, sensory processing and emotion, including 109 bilateral vHP, dorsal hippocampus (dHP), entorhinal cortex (Ent), primary auditory cortex (AC),

110 perirhinal cortex (Prh), amygdala (AMG), medial septum (MS), lateral septum (LS), diagonal band of Broca (DBB), and cingulate cortex (Cg) (Figure 2). Note that we did not detect any positive 111 BOLD activations in IC and MGB in midbrain and thalamus, respectively. Such brain-wide 112 activations evoked by 5 Hz stimulation indicated a high possibility of sound processing modulation. 113 114 Further, this frequency matches the previously reported range of hippocampal theta oscillations, 115 which travels along the hippocampal septotemporal axis (44, 45). Importantly, we found robust BOLD activations in the AC only during 5 Hz stimulation at vHP, but not at the other four 116 frequencies (1 Hz, 10 Hz, 20 Hz, 40 Hz) (Supplementary Figure 2C). These frequencies evoked 117 weaker BOLD responses in Prh, MS, and LS, and Cg, while retaining strong BOLD responses in 118 vHP and dHP. Altogether, these results demonstrate the most extensive brain-wide vHP 119 120 downstream targets found at 5 Hz stimulation, especially the robust BOLD activations in AC. 121 These findings indicate that 5 Hz vHP stimulation generates strong and robust hippocampal activity outputs to AC and other regions, likely modulating sound processing brain-wide. 122

Hippocampal outputs enhance neural responses and their selectivity to vocalizations with negative valence in auditory midbrain, thalamus and cortex

To explore the large-scale hippocampal modulatory effects on early auditory processing of 125 natural sound, we performed auditory fMRI with and without continuous 5 Hz optogenetic 126 stimulation at vHP. Forward aversive vocalizations (i.e., natural and behaviorally relevant) and the 127 same but temporally reversed vocalizations (i.e., artificial and behaviorally irrelevant) were 128 presented to the contralateral/left ear in a block-design paradigm (Supplementary Figure 3A). As 129 130 expected, auditory evoked BOLD responses occurred along the ascending auditory pathway, 131 including ipsilateral IC, MGB, and bilateral AC (Figure 3). Without optogenetic stimulation, the 132 BOLD responses (as described by β values) in IC, MGB and AC were stronger using forward than

reversed vocalizations (with β percentage difference between forward and reversed vocalization 133 responses in IC: 8.6 ± 2.7 %, p < 0.01; MGB: 34.7 ± 10.53 %, p < 0.05; AC: 22.0 ± 7.7 %, p < 134 0.05, paired Student's t-test followed by Holm-Bonferroni correction). This finding demonstrates 135 136 the response selectivity to forward vocalizations, which is consistent with our prior findings in 137 rodent auditory system (46). Here, the response selectivity was most prominent in the external cortex (ECIC) and dorsal cortex (DCIC) of IC (with β percentage difference in ECIC: 8.8 ± 2.6 %, 138 p < 0.01; DCIC: 7.8 ± 1.6 %, p < 0.01, paired Student's t-test followed by Holm-Bonferroni 139 correction). 140

Optogenetic 5 Hz vHP stimulation significantly enhanced response selectivity to forward 141 142 vocalizations throughout the ascending auditory pathway (with the β percentage difference between forward and reversed vocalization responses in IC: 16.3 ± 3.5 %, p < 0.01; MGB: 166.2 143 \pm 57.6 %, p < 0.01; AC: 46.5 \pm 11.9 %, p < 0.001, paired Student's t-test followed by Holm-144 145 Bonferroni correction). Specifically, only the BOLD responses in IC, MGB and AC evoked by the forward vocalizations were significantly increased by optogenetic stimulation (with β percentage 146 increase in forward vocalization response in IC: 18.2 ± 7.3 %, p < 0.05; MGB: 76.5 ± 22.6 %, p < 147 0.01; AC: 28.7 \pm 14.1 %, p < 0.05, paired Student's t-test followed by Holm-Bonferroni correction). 148 149 This finding demonstrates that the hippocampal outputs selectively modulate the responses to forward vocalizations that convey contextual information. Note that such increased responses in 150 IC occurred in ECIC and DCIC, as well as CNIC (with β increase in ECIC: 20.5 ± 9.5%, p < 0.05; 151 DCIC: 20.8 ± 4.2 %, p < 0.001, CNIC: 26.3 ± 6.1 %, p < 0.01, paired Student's t-test followed by 152 Holm-Bonferroni correction). Altogether our fMRI results indicate that hippocampal outputs 153 154 (initiated by the 5 Hz vHP stimulation) can enhance IC, MGB and AC auditory responses and their

155 selectivity to natural and behaviorally relevant sounds at early processing centers within the 156 ascending auditory pathway.

157 Hippocampal outputs enhance neural responses and their selectivity to vocalizations with

158 **positive valence**

We then utilized the same approach to examine whether such hippocampal modulation on 159 160 natural sound processing was biased for only the aversive content in vocalizations. So, we performed auditory fMRI with postejaculatory vocalizations with and without presenting the 5 Hz 161 optogenetic stimulation at vHP. Similarly, auditory evoked BOLD responses occurred along the 162 ascending auditory pathway, including IC, MGB, and AC (Figure 4). Without optogenetic 163 stimulation, the BOLD responses evoked by postejaculatory vocalizations also showed response 164 selectivity to the forward one (with β percentage difference between forward and reversed 165 vocalization responses in IC: 7.8 ± 1.7 %, p < 0.001; MGB: 43.0 ± 16.2 %, p < 0.05; AC: $40.5 \pm$ 166 14.4 %, p < 0.05, paired Student's t-test followed by Holm-Bonferroni correction). Consistent with 167 the results of aversive vocalization experiment, the response selectivity to forward postejaculatory 168 169 vocalizations in IC was mainly observed in ECIC and DCIC (with β percentage difference in ECIC: 5.4 ± 1.8 %, p < 0.01; DCIC: 6.5 ± 2.5 %, p < 0.05, paired Student's t-test followed by Holm-170 Bonferroni correction), but not CNIC (no significant difference). 171

During optogenetic stimulation, similar to the aversive vocalization experiment, the response selectivity to the forward postejaculatory vocalizations was significantly enhanced throughout the ascending auditory pathway (with the β percentage difference between forward and reversed vocalization responses in IC: 19.2 ± 3.5 %, p < 0.001; MGB: 118.1 ± 43.0 %, p < 0.01; AC: 84.7 ± 23.2 %, p < 0.001, paired Student's t-test followed by Holm-Bonferroni correction). Specifically,

such enhancement primarily arose from increased responses to forward vocalizations (with β 177 percentage increase in forward vocalization response in IC: 15.2 ± 4.8 %, p < 0.01, MGB: 123.1 178 \pm 36.6%, p < 0.01; AC: 74.7 \pm 34.2 %, p < 0.05, paired Student's t-test followed by Holm-179 Bonferroni correction). In IC, such increased responses were found in ECIC, DCIC as well as 180 CNIC (with β increase in ECIC: 16.0 ± 6.3 %, p < 0.05; DCIC: 9.8 ± 3.0 %, p < 0.01; CNIC: 17.3 181 $\pm 4.3\%$, p < 0.01, paired Student's t-test followed by Holm-Bonferroni correction). Taken together, 182 these results demonstrate that the hippocampus plays a key role in modulating natural vocalization 183 processing. Such hippocampal modulatory effects are not biased towards the aversive content 184 embedded in the vocalizations. These fMRI findings again show that, for behaviorally relevant 185 sound, the hippocampal outputs triggered by the 5 Hz vHP stimulation enhance auditory 186 processing at large scale throughout the early ascending auditory pathway. 187

188 Hippocampal outputs do not modulate neural responses to broadband acoustic noise

To further investigate whether the modulatory effects of hippocampal outputs are only specific to auditory processing of natural sounds, we replaced the vocalizations with a basic acoustic stimulus, 1 – 40 kHz broadband noise (**Supplementary Figure 4**). As expected, the broadband noise evoked BOLD responses along the ascending auditory pathway, including IC, MGB, and AC. Importantly, the noise evoked BOLD responses in IC, MGB, and AC remained unchanged during the vHP stimulation (**Figure 5**). This finding reveals that the hippocampal outputs do not modulate behaviorally irrelevant or basic acoustic stimuli.

Pharmacological hippocampal inactivation alters auditory responses and their selectivity for vocalizations

198 In addition, we examined the effects of pharmacologically inactivating neurons in the dentate 199 gyrus of vHP on natural sound processing using tetrodotoxin (TTX). Auditory fMRI was performed before (PRE) and after (POST) infusion of TTX at vHP (Supplementary Figure 5). 200 201 As expected, before the TTX infusion, the BOLD responses evoked by aversive vocalizations showed response selectivity to the forward one (Figure 6) (with β percentage difference between 202 forward and reversed vocalization responses in IC: 15.6 ± 1.9 %, p < 0.01; MGB: 77.6 ± 23.5 %, 203 p < 0.05; AC: 132.2 ± 60.5 %, p < 0.05, paired Student's t-test followed by Holm-Bonferroni 204 205 correction). Similarly, the response selectivity to forward aversive vocalizations in IC was mainly observed in ECIC and DCIC (with β percentage difference in ECIC: 11.2 ± 4.1 %, p < 0.05; DCIC: 206 31.4 ± 8.8 %, p < 0.05, paired Student's t-test followed by Holm-Bonferroni correction), but not 207 in CNIC. 208

Notably, pharmacological vHP inactivation via TTX infusion abolished the response selectivity 209 to forward aversive vocalizations throughout the ascending auditory pathway, including IC, MGB, 210 and AC. In general, the BOLD responses to forward and reversed vocalizations were also reduced. 211 Yet the BOLD responses to forward vocalizations were diminished by a much greater extent (with 212 β percentage decrease in forward vocalization response in IC: -20.6 ± 3.9 %, p < 0.01; MGB: -213 54.4 ± 21.5 %, p < 0.05; AC: -44.9 ± 17.0 %, p < 0.05, paired Student's t-test followed by Holm-214 Bonferroni correction). In IC, such decreased responses were mainly found in ECIC and DCIC 215 (with β percentage decrease in ECIC: -12.9 ± 3.9 %, p < 0.05; DCIC: -18.3 ± 3.6 %, p < 0.01, 216 paired Student's t-test followed by Holm-Bonferroni correction), but not in CNIC. These findings 217 present additional evidence that vHP modulates and shapes IC, MGB and AC response selectivity 218

to behaviorally relevant sounds at early sound processing centers within the ascending auditorypathway.

221

222 **Discussion**

Here, we experimentally revealed the large-scale modulatory effects of ventral hippocampal outputs on early sound processing within the ascending auditory pathway by monitoring auditory responses during optogenetic vHP stimulation or pharmacological inactivation using large-view fMRI. We discovered a robust hippocampal influence on BOLD responses to vocalizations, but not artificial/basic acoustic stimuli, in the auditory midbrain, thalamus, and cortex. These fMRI results directly support the large-scale and faciliatory influence of the hippocampus on natural sound processing in early auditory centers within the ascending auditory pathway.

Pathways subserving hippocampal top-down modulation of natural sound processing in early auditory centers

232 In the classical view, the auditory cortex processes complex auditory features and provides corticofugal feedback to modulate the responses in IC and MGB (4, 19-22). In particular, the 233 existing hierarchical notion of cortical processing (48-50) postulates that the AC decodes the 234 235 spectrotemporal dynamic features of auditory inputs, which facilitate responses to complex natural acoustic stimuli like vocalizations (5). However, studies suggest that complex sound processing 236 may also occur at early auditory centers (51, 52). Converging evidence indicates that extraction 237 and processing of spectral and temporal features begin at the midbrain level for discriminating 238 natural sounds (53, 54), such as vocalizations (46, 55, 56). Moreover, an electrophysiological study 239 showed that IC and MGB represent more comprehensive stimulus identities of natural stimuli 240

relative to the cortex (4), highlighting the importance of early auditory structures in processing 241 natural sounds. In addition, recent discoveries of non-canonical regions in processing complex 242 auditory stimuli, such as entorhinal cortex (Ent) and medial septum (MS) (19, 20), and inevitably 243 the hippocampus due to the dense reciprocal axonal projections (39, 57), challenge current dogma 244 on the hierarchical notion of cortical processing. Here, we directly demonstrated that the 245 246 hippocampus, a limbic region vital for learning and memory functions, modulates auditory responses to natural sounds along the early ascending auditory pathway. We propose that the 247 hippocampus acts as a network hub that receives extensive projections from both subcortical and 248 249 cortical regions (38, 58). In this view, multiple complementary pathways likely subserve longrange hippocampal modulation of central auditory processing of natural sound processing (Figure 250 251 7).

252 At the midbrain level, the IC integrates ascending auditory inputs from the lower auditory structures and descending feedback signals from the auditory thalamus and cortex (3). Despite the 253 descending feedback from AC (24, 25), the IC also receives direct inputs from non-auditory 254 255 structures such as the amygdala (AMG) (29), a limbic region that interacts with the hippocampus to regulate emotional memory (59, 60). The direct projection from AMG to IC may provide rapid 256 257 feedback for processing emotional auditory stimuli (61). Such AMG-IC projections provide a route for vHP to modulate the responsiveness of IC neurons to specific types of stimuli based on the 258 259 behavioral relevance and emotional valence (29, 61). Meanwhile, a recent retrograde tracing study 260 revealed that IC receives descending projections from non-auditory regions, such as the entorhinal (Ent), perirhinal (Prh), and cingulate (Cg) cortices (28). These regions are part of the hippocampal 261 formation and are linked with learning, memory, and sensory processes (31, 38, 62, 63). For 262 263 instance, the hippocampal-entorhinal circuit can acquire and discriminate frequency and temporal

264 features of auditory cues associated with reward-related behavior (20). Meanwhile, Prh inputs are required for fear conditioning to complex stimuli, such as vocalizations, but not for continuous 265 tones (64, 65). Furthermore, the hippocampus also interacts with Cg to support memory encoding 266 and retrieval of context-dependent information, thus facilitating the corresponding processing of 267 behaviorally relevant stimuli (62, 63). Meanwhile, we found that our identified regions (AMG, 268 269 Ent, Prh and Cg) were prominently activated upon 5 Hz optogenetic stimulation (Figure 2). Together, multiple afferents from non-auditory regions to IC suggest that inputs from higher-order 270 structures, such as the vHP and its associated hippocampal formation, are vital for natural sound 271 272 processing at the midbrain level.

At the thalamic level in the auditory hierarchy (66, 67), MGB can also receive hippocampal 273 274 modulatory outputs via other sensory and prefrontal cortices, including somatosensory and 275 cingulate cortices. For example, hind paw stimulation provides somatosensory inputs that can modulate auditory responses in the MGB (68), and electrical stimulation at the prefrontal cortex 276 277 can influence spontaneous firing in the MGB (71). Meanwhile, MGB can also receive indirect inputs from the AMG via the thalamic reticular nucleus (TRN), which is critical for deviant sound 278 279 detection (72). Exciting AMG-TRN projections amplified the sound-evoked responses in the 280 auditory thalamus and, in turn, the cortex (73). Inactivating the basal AMG reduced certain 281 conditioned responses to sound in MGB (74). Hence, the amplified sound-evoked response in 282 MGB observed here can undergo modulation by hippocampal outputs via AMG and the prefrontal cortices such as cingulate. 283

At the cortical level, the auditory association area can receive hippocampal outputs directly from ventral CA1 neurons (41) and indirectly via the Ent, Prh, and parahippocampal cortices (75). Previous works have identified the functional roles of these hippocampal-cortical pathways

comprising auditory recognition (20, 76, 77) and auditory-related memory processes (33, 36). Hippocampal modulatory outputs could potentially reach the auditory cortex through hippocampal-cortical pathways (37), and subsequently also modulate sound responses in the midbrain and thalamus via corticofugal projections (7, 23).

Recent evidence indicates that the reticular limbic auditory pathway may provide a fast route 291 292 to relay auditory inputs from the cochlear nucleus to high-order regions (19), suggesting that the 293 hippocampus receives and processes behaviorally relevant auditory inputs via Ent and MS. Further, 294 our histological findings showed strong anterograde ChR2 mCherry expression in the MS and 295 lateral septum (LS), as well as the diagonal band of Broca (DBB) (Supplementary Figure 1B), suggesting a circuit loop that is dedicated for auditory processing outside of the central pathways. 296 297 These regions support learning (78) and memory functions (79), particularly related to auditory 298 processes. For instance, MS inactivation impairs acquiring auditory fear memory (22). MS and DBB are the primary sources of cholinergic projections to HP and AMG (80), which can be critical 299 for contextual memory formation (81), sensory cue detection and discrimination (82, 83). 300 Disrupting MS and DBB cholinergic projections to vHP prevents auditory fear memory acquisition 301 and retention (84). Further, a prior study showed that systemic blockade of cholinergic signaling 302 303 via atropine, a muscarinic acetylcholine receptor antagonist, inhibited response selectivity to vocalizations in the auditory midbrain (46). Here, robust activations in the septum complex (i.e., 304 305 MS, LS, and DBB) initiated from vHP (Figure 2) may trigger rapid downstream signaling 306 cascades in the cholinergic system (82) and evoke postsynaptic responses at the terminal fields (85), such as the AMG. Cholinergic signaling within AMG is crucial for encoding and processing 307 emotionally salient memories (86), which could facilitate selective amplification of auditory 308 309 responses evoked by behaviorally relevant stimuli. Overall, we detected robust activations in

cortical (i.e., AC, Ent, Prh, Cg) and subcortical regions (i.e., AMG, MS, LS, DBB) during 5 Hz
optogenetic stimulation at vHP (Figure 2). The hippocampal modulatory outputs evoked from
vHP could propagate and functionally interact with these activated regions, thereby modulating
auditory responses at early auditory centers within the ascending auditory pathway, particularly at
the midbrain and thalamic levels.

315 **Hippocampal outputs enhance natural sound responses at early auditory centers**

In this study, we revealed that optogenetic activation of vHP, a region often associated with 316 317 motivation or emotional behaviors (42, 43), enhanced auditory responses in early ascending auditory processing centers (i.e., IC, MGB) and the AC to forward, but not temporally reversed, 318 vocalizations. Pharmacological inactivation of vHP diminished the auditory responses to forward 319 320 vocalizations. Further, such hippocampal modulatory effects were absent when processing broadband noise, confirming that hippocampal activity is an integral component of natural sound 321 322 processing within the ascending auditory pathway. Our findings demonstrate that the hippocampus 323 is key for processing vocalizations and shaping the corresponding response selectivity.

The exact mechanisms underlying our experimentally observed selective modulation of natural 324 sound processing by optogenetically triggered hippocampal outputs requires further investigation. 325 We posit that specific spectrotemporal information embedded in the sound drive this specificity. 326 The hippocampus is positioned to process temporal information of sensory inputs (33, 93), as 327 328 hippocampal lesions can impair memory for the temporal order of events in both animals (94, 95) 329 and humans (96, 97). When learning to associate specific time intervals with a given stimulus, the hippocampus is essential for discriminating minute temporal differences in rodents (98). These 330 331 findings indicate that the hippocampus plays a critical role in encoding and recognizing temporal

information to subsequently discriminate and interpret the temporal organization of incomingsensory inputs.

334 Here, we observed that hippocampal modulation primarily facilitates auditory responses in IC, 335 MGB, and AC to both types of vocalizations (i.e., aversive/fear and postejaculatory/positive), but not their temporally reversed counterparts (Figures 3 and 4). We postulate that temporally 336 337 reversing vocalizations alter specific properties. Reversed vocalizations no longer carry the critical information embedded in forward vocalizations, which diminishes the behavioral relevance of the 338 339 sound. The hippocampus, by interpreting and discriminating the embedded spectrotemporal 340 features of the incoming sounds, can discriminate and recognize natural and/or behaviorally relevant stimuli by contextual memory recall according to past experience (99, 100) and then can 341 342 exert selective influence to downstream targets. Moreover, blocking hippocampal outputs through pharmacological manipulation altered responses to forward vocalizations, and consequently 343 disrupted the response selectivity to vocalizations (Figure 6). Together, our results showed that 344 345 hippocampal outputs are critical to facilitate auditory responses to natural sounds.

Hippocampal modulatory outputs, such as the increased coherence of neuronal firing with 346 theta/gamma oscillations (101) and/or modifying synaptic strengths (102), can promote 347 communication between the hippocampus and its downstream targets, and then facilitate natural 348 sound processing via multiple pathways. At a systems level, theta oscillations can correspond to 349 350 contextual memory recall (i.e., retrieval of contextual information pertaining to a specific event in 351 the past where its temporal context or sequence is important) (103-105). During memory retrieval, 352 theta oscillations coupled with gamma oscillations were enhanced when processing previously 353 learned, behaviorally relevant stimuli (106). We speculate that optogenetically initiated hippocampal outputs generate theta-like oscillations in the hippocampal-cortical network (44, 45) 354

to enhance retrieval processes between the hippocampus and Ent during auditory processing. Previous studies also demonstrated the importance of hippocampal and cortical theta oscillations (101, 107) in coordinating groups of neurons to integrate sensory information (108). Theta oscillations are essential to coordinate brain-wide activity (109), such that neuronal spiking in somatosensory (110), prefrontal (111) and entorhinal cortices (112) are phase-locked to hippocampal theta oscillations. These studies indicate that the hippocampus can influence distal sensory responses via theta oscillations.

In this study, we uncovered long-range hippocampal modulation of natural sound processing 362 363 within the ascending auditory pathway during 5 Hz optogenetic stimulation at vHP. This stimulation frequency coincides with the reported range of hippocampal theta oscillations (101, 364 365 107), evoked the most robust brain-wide activations, including in primary AC. Such theta-like activities could trigger the propagation of hippocampal activity to distal regions, facilitating the 366 interaction with neural activity in the ascending auditory pathway (i.e., AC, MGB and IC) and 367 368 other targets to produce modulatory effects. However, we do not preclude the potential modulatory effects of other stimulation frequencies in the range of theta oscillations like 10 Hz (101, 107). 369 370 Even though BOLD activations evoked by 10 Hz optogenetic stimulation were not as widespread 371 as 5 Hz, we still observed robust activations in subcortical regions (e.g., AMG, MS, LS, DBB) albeit with weaker activations in cortical regions (e.g., AC, Prh, Cg) (Supplementary Figure 2). 372 373 Overall, our findings suggest that hippocampal top-down modulatory outputs, which may be 374 triggered by behaviourally relevant auditory inputs, were augmented by optogenetic stimulation of vHP to enhance responses to natural sounds. 375

In summary, the present fMRI study established a top-down and large-scale modulatory rolefor the hippocampus throughout the ascending auditory pathway, including the auditory midbrain,

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- thalamus and cortex, to faciliate natural sound processing. Our findings expand our present
- understanding of central auditory system beyond the traditional cortex centric views. Future
- studies should elucidate the precise hippocampal modulatory processes of natural sound that arise
- 381 from the brain-wide auditory information processing networks.

382 Methods

383 Subjects

Adult male Sprague-Dawley rats were used in all experiments. Animals were individually housed 384 under a 12-h light/dark cycle with access to food and water ad libitum. All animal experiments 385 386 were approved by the Committee on the Use of Live Animals in Teaching and Research of the University of Hong Kong. Group I underwent optogenetic fMRI experiments (n = 10), group II 387 underwent combined optogenetics and auditory fMRI experiments (n = 11, aversive vocalizations 388 experiments; n = 10, postejaculatory vocalizations experiments; n = 8, broadband noise 389 experiments), and group III underwent combined pharmacological and auditory fMRI experiments 390 (n = 7, aversive vocalizations experiments). Full details of animal surgical procedures, optogenetic 391 stimulation paradigms, combined optogenetics, and auditory fMRI acquisition and analysis 392 procedures, and histology are provided in SI Methods. 393

394

395 Data and Code Availability

The data files that support the findings of this study and computer codes used are available on
Dryad Digital repository (https://doi.org/10.5061/dryad.08kprr52x).

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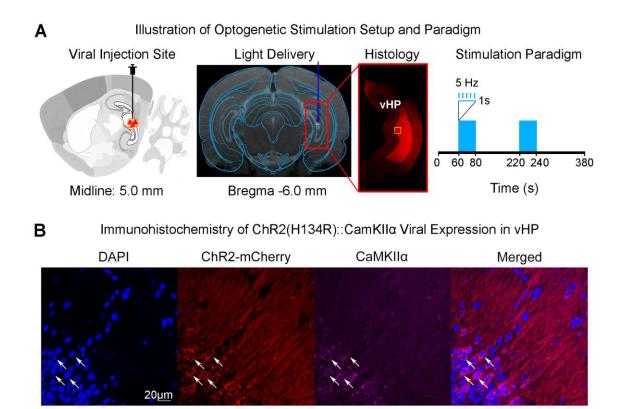
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409 Competing Financial Interests

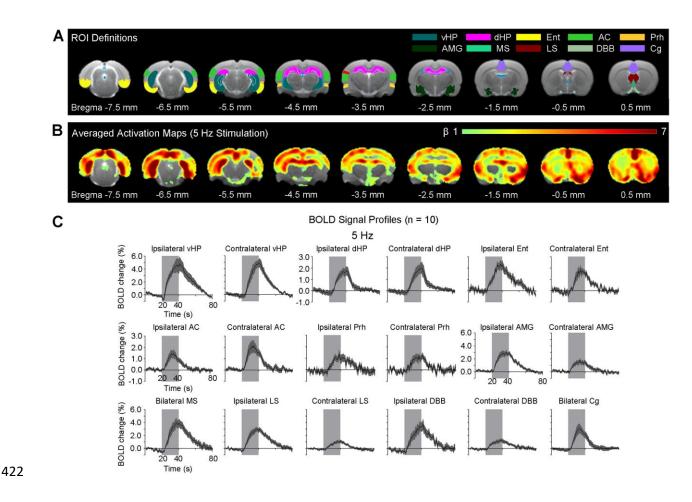
410 The authors declare no competing financial interests.

411 Figures

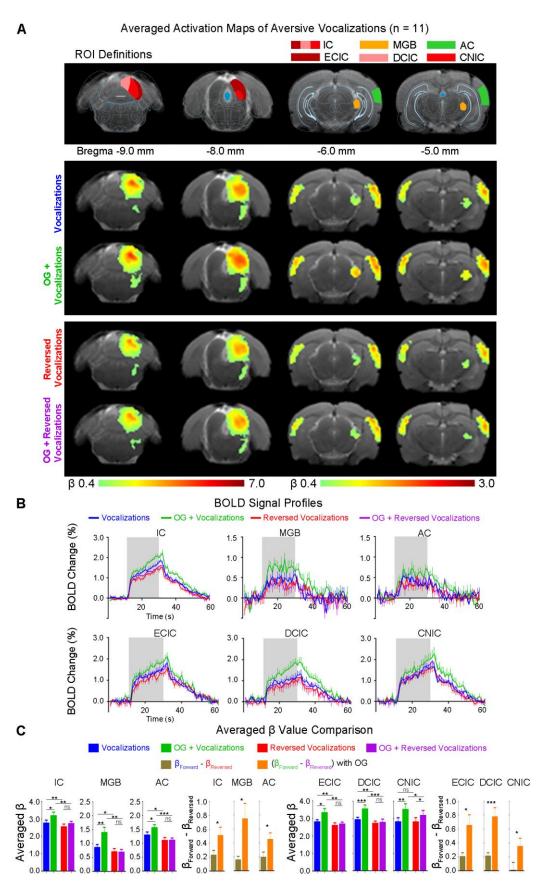


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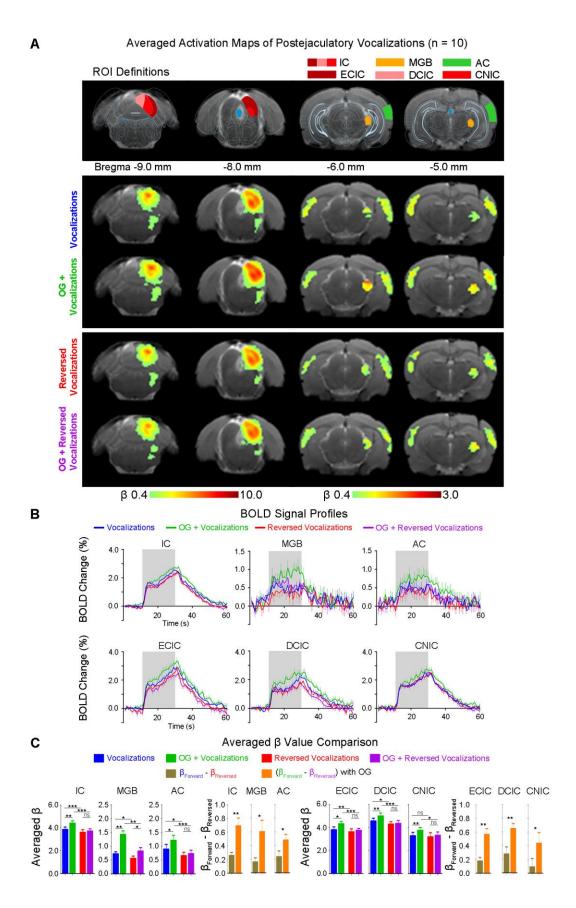
413 Figure 1. Experimental setup for optogenetic stimulation and histological characterization of ChR2::CaMKII viral expression in ventral hippocampus (vHP) excitatory neurons. (A) Schematic 414 (Left) and T2-weighted anatomical image (Middle) shows the viral injection and fiber implantation sites, 415 416 respectively. Histology image shows viral expression in vHP (Red box). The yellow box indicates the 417 location of magnified confocal images shown in B. Optogenetic fMRI stimulation paradigm (Right). 5 Hz was presented at 30 % duty cycle in a block-design paradigm (20 seconds ON; 140 seconds OFF). (B) 418 Merged representative confocal images co-stained for the nuclear marker DAPI, ChR2-mCherry, and 419 420 excitatory marker CaMKIIα confirmed colocalization of ChR2-mCherry and CaMKIIα⁺ neurons of vHP 421 (white arrows).



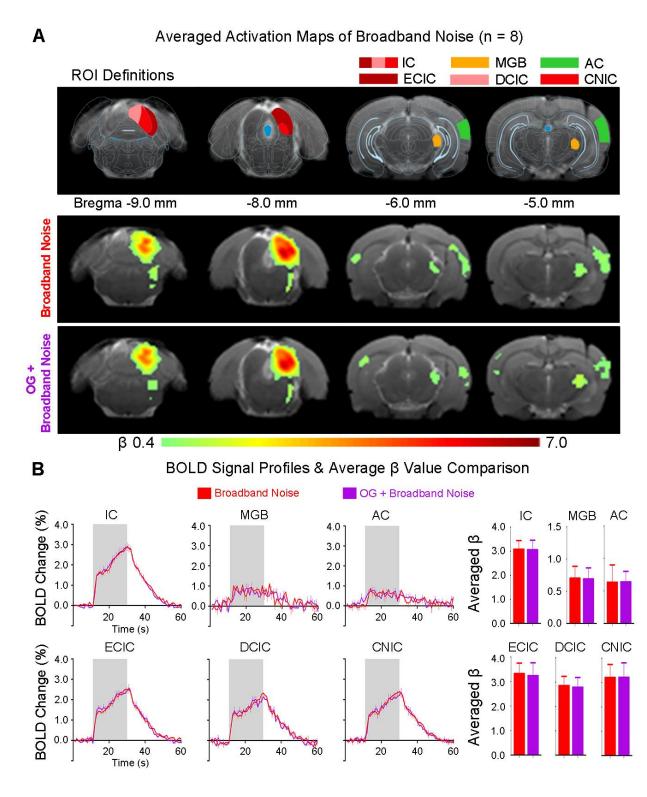
423 Figure 2. Brain-wide activations detected in the hippocampal formation, and cortical and subcortical 424 regions during 5 Hz optogenetic stimulation of excitatory neurons in vHP. (A) Regions of interest 425 (ROIs) defined by the rat brain atlas used to extract the BOLD signal profiles. (B) Averaged activation (β) 426 maps of optogenetic stimulation in vHP. Robust positive BOLD responses detected in bilateral vHP, dHP, Ent, AC, Prh, AMG, MS, LS, DBB, and Cg during 5 Hz optogenetic stimulation (n = 10; t > 3.1; 427 corresponding to p < 0.001). (C) BOLD signal profiles extracted from the ROIs. Error bars indicate \pm SEM. 428 429 The area shaded in grey indicates the 20 s 5 Hz optogenetic stimulation window. Abbreviations: Ventral 430 Hippocampus (vHP); Dorsal Hippocampus (dHP); Entorhinal Cortex (Ent); Auditory Cortex (AC); 431 Perirhinal Cortex (Prh); Amygdala (AMG); Medial Septum (MS); Lateral Septum (LS); Diagonal Band of 432 Broca (DBB); Cingulate Cortex (Cg).



434 Figure 3. vHP optogenetic stimulation enhances neural responses and their selectivity to aversive 435 vocalizations in the auditory midbrain (inferior colliculus or IC), thalamus (medial geniculate body or MGB), and cortex (auditory cortex or AC). (A) Illustration of the atlas-based region of interest (ROI) 436 437 definitions (*Top*). Averaged BOLD activation (β) maps with and without 5-Hz optogenetic stimulation 438 generated by fitting a canonical hemodynamic response function (HRF) to individual voxels in the fMRI image (n = 11; t > 2.6; corresponding to p < 0.01) (Bottom). (B) BOLD signal profiles extracted from the 439 corresponding ROIs (IC, MGB, AC, ECIC, DCIC, and CNIC). Error bars indicate ± SEM. The area shaded 440 in grey indicates the 20 s acoustic stimulation. (C) BOLD signal (averaged β) comparison showing the 441 442 modulatory effects of optogenetic stimulation on responses to forward aversive vocalizations in IC, MGB, 443 AC, ECIC, DCIC, and CNIC, but not temporally reversed counterparts (that are artificial and evoke no 444 behavioral response). Statistical comparisons were performed using paired two-sample t-test followed by Holm-Bonferroni correction with * for p < 0.05, ** for p < 0.01, *** for p < 0.001, and n.s. for not 445 446 significant.



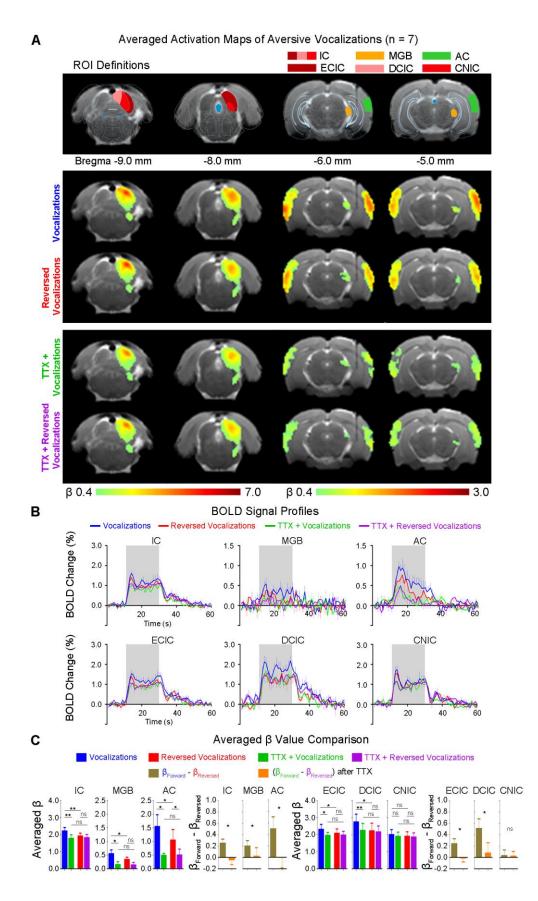
448	Figure 4. vHP optogenetic stimulation enhances neural responses and their selectivity to
449	postejaculatory vocalizations in the auditory midbrain (IC), thalamus (MGB), and cortex (AC). (A)
450	Illustration of the atlas-based region of interest (ROI) definitions (Top). Averaged BOLD activation (β)
451	maps with and without 5-Hz optogenetic stimulation (Bottom) generated by fitting a canonical HRF to
452	individual voxels in the fMRI image (n = 10; t > 2.6; corresponding to $p < 0.01$). (B) BOLD signal profiles
453	extracted from the corresponding ROIs (IC, MGB, AC, ECIC, DCIC, and CNIC). Error bars indicate \pm
454	SEM. The area shaded in grey indicates 20 s acoustic stimulation. (C) BOLD signal (averaged β)
455	comparison showing the modulatory effects of optogenetic stimulation on responses to forward
456	postejaculatory vocalizations in IC, MGB, AC, ECIC, DCIC, and CNIC, but not the temporally reversed
457	counterparts. Statistical comparisons were performed using paired two-sample t-test followed by Holm-
458	Bonferroni correction with $*$ for p < 0.05, $**$ for p < 0.01, $***$ for p < 0.001, and n.s. for not significant.



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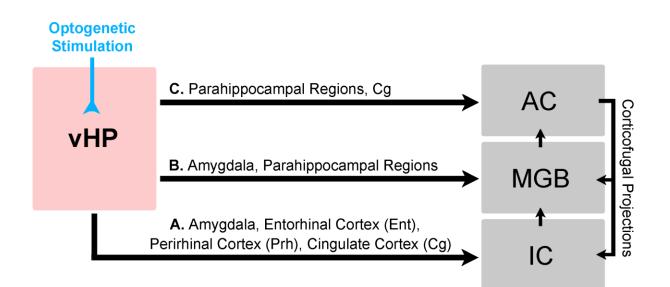
460 Figure 5. vHP optogenetic stimulation shows no modulatory effects on the responses to broadband
461 acoustic noise in the auditory midbrain (IC), thalamus (MGB), and cortex (AC). (A) Illustration of the

- 462 atlas-based region of interest (ROI) definitions (*Top*). Averaged BOLD activation (β) maps with, without
- 463 5-Hz optogenetic stimulation (*Bottom*) generated by fitting a canonical HRF to individual voxels in the
- 464 fMRI image (n = 8; t > 2.6; corresponding to p < 0.01). (B) BOLD signal profiles (Left) extracted from the
- 465 corresponding ROIs (IC, MGB, AC, ECIC, DCIC, and CNIC). Error bars indicate ± SEM. The area shaded
- 466 in grey indicates the 20 s acoustic stimulation. BOLD signal (averaged β) comparison (*Right*) showing no
- 467 effects of optogenetic stimulation on broadband noise responses in IC, MGB, and AC. Statistical
- 468 comparisons were performed using paired two-sample t-test followed by Holm-Bonferroni correction.



470	Figure 6. Pharmacologically inactivating vHP alters neural responses and decreases their selectivity
471	to aversive vocalizations in the auditory midbrain (IC), thalamus (MGB), and cortex (AC). (A)
472	Illustration of the atlas-based region of interest (ROI) definition (<i>Top</i>). Averaged BOLD activation (β) maps
473	before and after TTX infusion (Bottom) generated by fitting a canonical hemodynamic response function
474	(HRF) to individual voxels in the fMRI image (n = 7; t > 2.6; corresponding to p < 0.01). (B) BOLD signal
475	profiles extracted from the corresponding ROIs (IC, MGB, AC, ECIC, DCIC, and CNIC). Error bars
476	indicate ± SEM. The area shaded in grey indicates 20 s acoustic stimulation. (C) BOLD signal (averaged
477	β) comparison showing the effects of TTX inactivation of vHP neurons predominantly on responses to
478	forward aversive vocalizations in IC, MGB, AC, ECIC, and DCIC. Statistical comparisons were performed
479	using paired two-sample t-test followed by Holm-Bonferroni correction with * for $p < 0.05$, ** for $p < 0.01$,

480 *** for p < 0.001, and n.s. for not significant.





482 Figure 7. Schematic pathways of long-range hippocampal modulation of natural sound processing 483 within the ascending auditory pathway through optogenetically-evoked vHP activity. Route A: vHP 484 could modulate responses in IC via indirect projections from amygdala, entorhinal cortex (Ent), perirhinal cortex (Prh) and cingulate cortex (Cg), which can then enhance the responses in MGB and AC along the 485 486 ascending auditory pathway. Route B: vHP can modulate MGB responses indirectly via amygdala and 487 parahippocampal regions, which could subsequently modulate responses in AC via ascending auditory 488 pathway. Route C: vHP could modulate AC responses directly via hippocampal-cortical projections or 489 indirectly via parahippocampal regions such as Ent, Prh, and Cg via cortico-cortical projections. AC could 490 then modulate the responses in MGB and IC via corticofugal projections.

491	<u>SI Appendix</u>
492	Hippocampus Modulates Natural Sound Processing at Early
493	Auditory Centers
494	Eddie C. Wong ^{1,2} , Xunda Wang ^{1,2} , Ed X. Wu ^{1,2,3*} , Alex T. L. Leong ^{1,2*}
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514 SI Methods

515 Virus Packaging

516 Channelrhodopsin2-mCherry fusion protein under the control of the Ca²⁺ /calmodulin-517 dependent protein kinase II α (CaMKII α) promoter was used. The AAV5-CaMKII α -518 ChR2(H134R)-mCherry plasmid (maps available online from 519 <u>www.stanford.edu/group/dlab/optogenetics</u>) was packaged by the viral vector core of the 520 University of North Carolina at Chapel Hill, Chapel Hill, NC (tire of 4 x 10¹² particles/mL).

521 Stereotactic Surgery for Viral Injection

Stereotactic surgery was performed when rats were 6 weeks old with bodyweight around 250 522 g. Rats were anesthetized with an intraperitoneal bolus injection of ketamine (90 mg/kg) and 523 xylazine (40 mg/kg) mixture. The scalp was shaved, and the rats were secured in a stereotactic 524 frame. Buprenorphine (0.05 mg/kg) was administered subcutaneously to minimize pain, and 525 heating pads were used to prevent hypothermia. Following a midline incision, a craniotomy was 526 made on the right hemisphere in the vHP, and injection was performed at two depths (-6.00 mm 527 posterior to Bregma, +5.00 mm ML, -4.75 and -4.50 mm from brain surface). For optogenetic 528 529 animals, 1.5 μ L of viral constructs were delivered through a 5 μ L syringe and 33-gauge beveled needle injected at 150 nL/min at each depth. Following viral injection, the needle was held in the 530 place for 10 minutes before slow retraction. Then, the scalp incision was sutured. After the surgery, 531 buprenorphine (0.05 mg/kg) was administered subcutaneously twice daily for 72 hours to 532 minimize post-surgery infection and inflammation. Animals rested for six weeks before fMRI 533 experiments were performed. 534

535 **Optical Fiber Implantation**

Stereotactic surgery was performed to implant custom made plastic optical fiber cannula (POF, 536 core diameter 450 µm; Mitsubishi Super ESKATM CK-20) at the viral injection site 1 – 2 hours 537 before fMRI experiments. Rats were anesthetized with isoflurane (induction 3 % and maintenance 538 2 %) and secured on a stereotactic frame. Following a midline incision, a craniotomy was made at 539 540 the same coordinates as the viral injection site. A heating pad was used to prevent hypothermia. Before implantation, the fiber tip was beveled to facilitate insertion and minimize injury to brain 541 542 tissue. Then, it was inserted with the fiber tip at a depth of 4.7 mm. The optical fiber was fixed on 543 the skull with UV glue and dental cement, and the scalp incision was sutured. The fiber outside the brain was made opaque using heat-shrinkable sleeves to avoid undesired visual stimulation. 544 After the surgery, buprenorphine (0.05 mg/kg) was administered subcutaneously to minimize 545 discomfort. 546

547 Cannula Implantation for Tetrodotoxin (TTX) Infusion

Before animals were placed in the magnet, surgery was performed to implant an MRI-compatible cannula, 250- μ m internal diameter in vHP. Before surgery, animals were anesthetized with isoflurane (induction 3% and maintenance 2%) secured on a stereotactic frame. Buprenorphine (0.05 mg/kg) was administered subcutaneously to minimize discomfort before MRI experiments. The concentration of TTX used was 5-10 ng/ μ L, similar to the values used in previous in vivo studies (113, 114).

554 Animal Preparation for MRI Experiments

All MRI experiments were carried out on a 7T MRI scanner (PharmaScan 70/16, Bruker Biospin)
using a transmit-only birdcage coil in combination with an actively decoupled receive-only surface

557 coil. After surgery, one to two drops of 2% lidocaine was applied to the chords to provide local anesthesia before endotracheal intubation. The animals were mechanically ventilated at a rate of 558 60 breaths per minute with 1-1.5% isoflurane in room-temperature air using a ventilator (TOPO, 559 Kent Scientific). During all fMRI experiments, animals were placed on a plastic cradle with the 560 head fixed with a tooth bar and plastic screws in the ear canals. Rectal temperature was maintained 561 562 at ~37.0 °C using a water circulation system. Continuous physiological monitoring was performed using an MRI-compatible system (SA Instruments). Vital signs were within normal physiological 563 ranges (rectal temperature: 36.5 - 37.5 °C, heart rate: 350 - 420 beat/min, respiration rate: 60 564 breath/min, oxygen saturation: > 95%) throughout the experiments. 565

566 MRI-Synchronized Optogenetic and Auditory Stimulation

An Arduino programming board synchronized the scanner trigger and the lasers for optogenetic 567 and visual stimulation. Computers and light delivery systems were kept outside the magnet, and 568 long optical patch cables (5–10 m) delivered light into the bore of the scanner. For optogenetic 569 stimulation, blue light was delivered using a 473-nm DPSS laser. Light intensity was measured 570 (PM100D, Thorlabs, USA) before each experiment as 8 mW at the fiber tip (450 μ m, NA = 0.5), 571 corresponding to a light intensity of 40 mW/mm². For auditory stimulation, acoustic stimuli were 572 controlled by a computer and produced by a high frequency multi-field magnetic speaker (MF1, 573 574 TDT) driven by an amplifier (SA1, TDT). Monaural stimulation was delivered through a custom-575 made 165 cm long rigid tube and a 6.5 cm soft tube into the animals' left ear canal. The right ear was occluded with cotton and Vaseline, to reduce the scanner noise reaching the ears. The sound 576 pressure level (SPL) was measured by a recorder (FR2, Fostex, Japan) placed at $\sim 2 \text{ mm}$ from the 577 tip of the soft tube. The variance of the light power was maintained less than 2.5 mW/mm² and the 578 579 SPL less than 2 dB. This setup has been used in our previous studies (46, 115).

580 To determine the frequency-dependent spatiotemporal characteristics of evoked vHP responses (optogenetic fMRI experiments), five frequencies were used (1 Hz, 5 Hz, 10 Hz, 20 Hz, and 40 581 Hz) with a light intensity of 40 mW/mm². 30 % duty cycle was used for all stimulation frequencies, 582 except 1 Hz, which was at 10 % duty cycle. The duty cycle for 1 Hz optogenetic stimulation was 583 reduced to avoid a very long stimulation pulse width which may not be physiological. vHP 584 585 excitatory neurons were stimulated with a block design paradigm that consisted of 60 seconds light-off followed by 20 seconds light-on and 140 seconds light-off periods. Three to four trials 586 were recorded for each frequency in an interleaved manner in each animal. 587

In combined optogenetic and auditory fMRI experiments, the effects of optogenetic stimulation in the vHP on brain baseline BOLD signals were examined by presenting 5 Hz stimulation (60 seconds light-off followed by 20 seconds light-on and 140 seconds light-off periods), without presenting acoustic stimulation. This paradigm was repeated twice in each animal. Subsequently, the effects of optogenetic stimulation on auditory midbrain, thalamus, and cortex processing of sound stimulation were investigated.

In the vocalization experiment, two types of vocalizations (I. 'Aversive' Vocalizations: 594 bandwidth: 22-25 kHz, peak frequency: 22 kHz; sound pressure level (SPL): 83 dB, obtained 595 online from http://www.avisoft.com/rats.htm (46); II. 'Postejaculatory' Vocalizations: bandwidth: 596 22 kHz, peak frequency: 22 kHz; SPL: 83 dB) from (91) and their temporal reversions were 597 presented. Standard block-design paradigm was used for the auditory stimulation (40 s sound-off 598 followed by 4 blocks of 20 s sound-on and 40 s sound-off, fMRI no. of time points = 280). During 599 every 20 s sound-on period, the aversive vocalization (length 1.2 s, plus silence 0.8 s afterward) 600 601 was repeated ten times at 60 % duty cycle, whereas the postejaculatory vocalization (length 3.6 s, plus silence 0.4 s afterward) were repeated five times at 90 % duty cycle. For each animal, this 602

603 paradigm was repeated six times for each type of vocalization sounds. For three of them, the forward vocalization was presented first; and for the other three, the reversed one was presented 604 first. Note that the forward and temporally reversed vocalizations contained identical acoustic 605 features except reversed temporal information (Supplementary Figure 3). Note that temporally 606 reversed vocalizations were employed here as the control for forward (i.e., true and behaviorally 607 608 relevant) vocalizations. Such temporally reversed vocalizations exhibited the identical sound pressure level (SPL that is important to BOLD response level), but triggered minimal behavioral 609 610 responses (46).

611 In the control experiment, broadband noise (bandwidth: 1-40 kHz; SPL: 83 dB) was presented 612 to the left ear canal of the animals in a standard block-design paradigm (40 seconds sound-off followed by four blocks of 20 seconds sound-on and 40 seconds sound-off, fMRI no. of time points 613 = 280) (Supplementary Figure 4). During each 20 seconds sound-on period, the broadband noise 614 was presented with amplitude modulation at 4 Hz and 80 % duty cycle. The optogenetic 615 stimulation (light wavelength: 473 nm, intensity: 40 mW/mm², pulse rate: 5 Hz, duty cycle: 30%) 616 was continuously presented to the right vHP throughout the auditory fMRI sessions and alternated 617 between sessions. 618

In combined TTX and auditory fMRI experiment, a total of sixteen auditory fMRI sessions were performed in each animal. After eight sessions, 5μ L TTX (concentration: 5-10 ng/ μ L) (113, 114) was infused into vHP. The next immediate session was then acquired one minute after the TTX infusion. During auditory fMRI sessions, auditory stimuli were presented to the left ear canal of the animals. Aversive vocalization and its temporal reversion were presented in standard block design paradigm (40 s sound-off followed by 4 blocks of 20 s sound-on and 40 s sound-off, fMRI no. of time points = 280). Auditory fMRI sessions were interleaved (i.e., either starting with

626 forward aversive vocalization or temporally reversed aversive vocalization; Supplementary627 Figure 5).

628 MRI Acquisitions

Scout images were first acquired to determine the coronal and sagittal planes of the brain. 629 Twelve coronal slices with 1.0 mm thickness were positioned to cover the ascending auditory 630 pathway with the 2^{nd,} and 3rd slice covered the whole IC. T2-weighted images were acquired as 631 anatomical reference using a Rapid Acquisition with Refocused Echoes (RARE) sequence (FOV 632 = $32 \times 32 \text{ mm}^2$, data matrix = 256×256 , RARE factor = 8, echo time (TE) = 36, repetition time 633 (TR) = 4200 ms). All fMRI measurements were obtained using a multi-slice single-shot Gradient-634 Echo Echo-Planar-Imaging (GE-EPI) sequence (FOV = $32 \times 32 \text{ mm}^2$, data matrix = 64×64 , flip 635 angle = 56°, TE/TR = 20/1000 ms, temporal resolution = 1000ms). Note that the fiber outside was 636 made opaque using heat-shrinkable sleeves to avoid unwanted visual stimulation. 637

638 fMRI Data Analysis

For each animal, the fMRI images from each animal were realigned to the mean image of the 639 first fMRI session (SPM12, Wellcome Department of Imaging Neuroscience, University College 640 641 London, UK). Images from each animal were co-registered to a custom-made brain template using affine transformation and Gaussian smoothing, with the criteria of maximizing normalized mutual 642 information (SPM12). For optogenetic fMRI and auditory fMRI, data from repeated sessions were 643 averaged, in-plane smoothed (FWHM = 1 pixel), and high-pass filtered (128 s), and then standard 644 general linear model (GLM) was applied, to calculate the BOLD response coefficient (β) maps for 645 each stimulus (SPM12). fMRI sessions suffering from motion artifacts (>0.125 mm voxel shifts 646 detected by realignment) and sudden physiological changes (i.e., abrupt changes in respiration 647

pattern, heart rate and oxygen saturation level) were discarded. Typically, in each animal, three fMRI sessions were averaged for vHP optogenetic stimulation only, whereas four sessions were averaged for combined auditory and vHP optogenetic stimulation. Finally, activated voxels were identified with Student's t-test on the β values (p < 0.01).

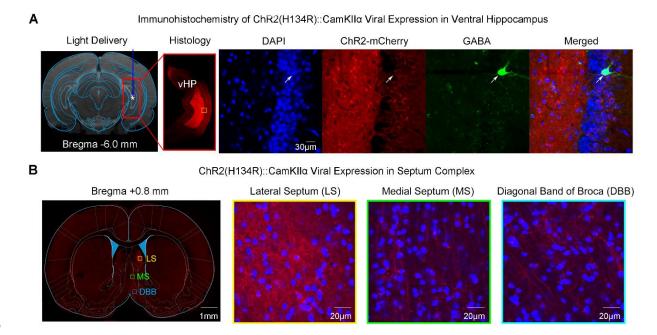
Three regions-of-interest (ROIs) covering different IC subdivisions were defined using the 652 653 Paxinos & Watson rat brain atlas. The ROI that covered the inferior colliculus (IC), medial 654 geniculate nucleus (MGB), or auditory cortex (AC) was defined by identifying clusters of activated voxels (p < 0.05) that were restricted within the anatomical location of each region. Anatomical 655 656 locations of IC, MGB, and AC, were determined using the atlas. In individual animals, the BOLD signal profiles for each ROI were first extracted and averaged across voxels, before they were 657 658 separated into six blocks (each covering a period from 10 s before to 30 s after a sound-on period) 659 and two blocks (each covering a period from 10 s before to 50 s after an optogenetic-ON period), respectively. They were then averaged again and normalized by the mean signal intensity of the 660 661 first 10 s to calculate the percentage of BOLD signal change. Final averaging was then performed across animals to generate BOLD signal profiles. 662

In individual animals, β values were also extracted from each ROI and averaged across voxels. The final β value used for comparison between the BOLD responses to the sound stimulus with and without optogenetic stimulation of the vHP was computed by averaging. Further, the β value difference between forward and reversed vocalizations ($\beta_{Forward} - \beta_{Reversed}$), as a metric of response selectivity, was compared between with and without optogenetic stimulation. Note that the size of ROI for each IC subdivision was different, and this could influence the absolute SNR of the averaged BOLD responses.

670 Histology, Immunohistochemistry, and Confocal imaging

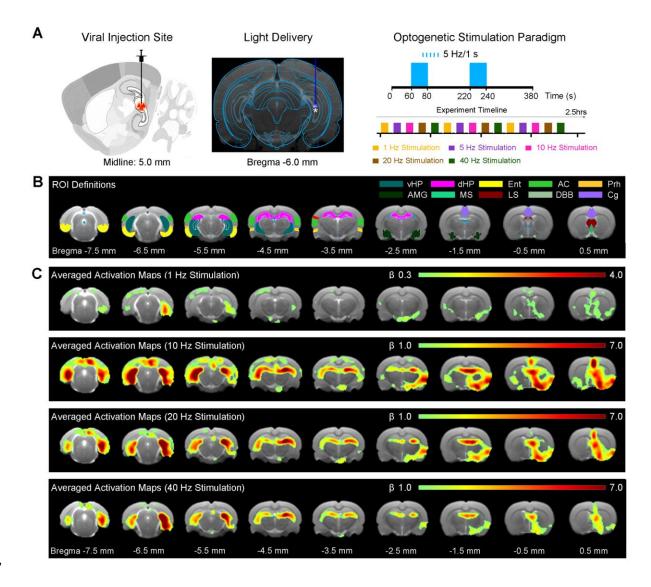
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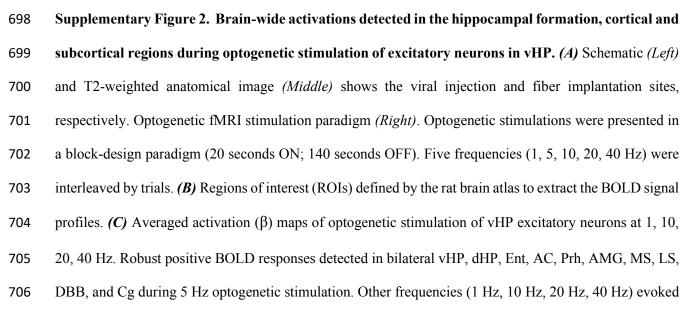
672 Upon completion of in vivo studies, animals were deeply anesthetized with pentobarbital and 673 transcardially perfused with ice-cold 4% paraformaldehyde (PFA) in PBS. Brains were extracted 674 and fixed in 4% PFA for 4 h at 4 °C. The brains were equilibrated in 20% sucrose in PBS at 4 °C overnight. Axial sections (40 µm) were prepared on a freezing microtome (model 860, AO 675 676 Scientific Instruments). Consecutive sections (120 µm apart) were mounted and examined with a laser confocal microscope (Carl Zeiss LSM780). For immunohistochemistry, free-floating 677 sections were processed with 5% normal goat serum and 0.3% Triton X-100 in PBS with primary 678 679 antibodies against rabbit polyclonal to CaMKIIa (1:400; Abcam) and guinea pig polyclonal to GABA (1:200; Abcam) at 4 °C for 24 h. After washing with PBS, sections were then incubated 680 for 2 h at room temperature with secondary antibodies Alexa Fluor 647 conjugate goat anti-rabbit 681 682 IgG and Alexa Fluor 488 conjugate goat anti-guinea pig IgG (both 1:500; Molecular Probe). Slices were then washed and mounted using FluoroShield mounting medium with DAPI (Abcam). 683 Double or triple immunofluorescence was assessed with a laser confocal microscope (Carl Zeiss 684 LSM780). 685



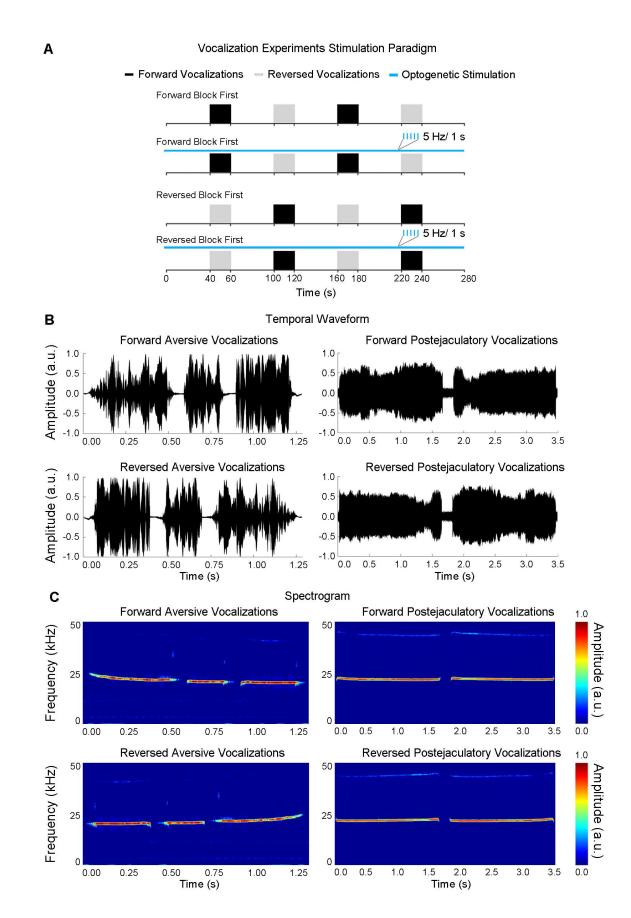
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Supplementary Figure 1. Histological characterization of ChR2::CaMKIIa viral expression in 687 688 ventral hippocampus (vHP) excitatory neurons demonstrates no colocalization with vHP GABAergic 689 neurons and their projection targets to the septum complex. (A) Confocal images of ChR2-mCherry 690 expression in vHP; Lower magnification (*Left*) and higher magnification (*Right*). Overlay of images co-691 stained for the nuclear marker DAPI, mCherry, and inhibitory marker GABA revealed no colocalization 692 between mCherry and vHP GABAergic neurons (indicated by white arrow). The yellow box indicates the location of magnified confocal images shown in B. (B) Confocal images of ChR2-mCherry expression in 693 the septum complex. Lower magnification (*Left*) and higher magnification (*Right*). vHP projections synapse 694 in the Lateral Septum (LS), Medial Septum (MS), and Diagonal Band of Broca (DBB), indicated by no 695 696 colocalization between mCherry and DAPI.

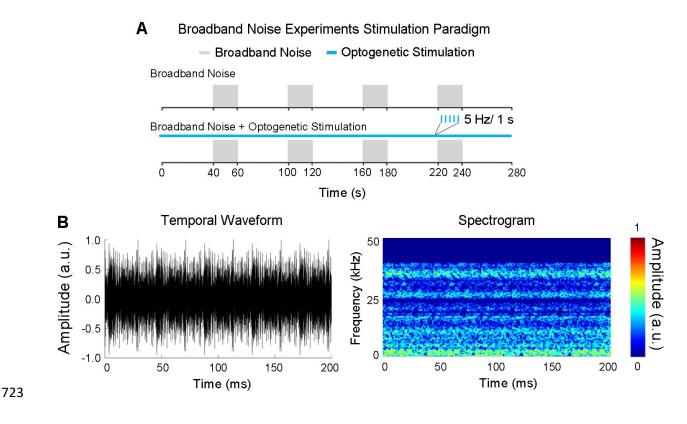




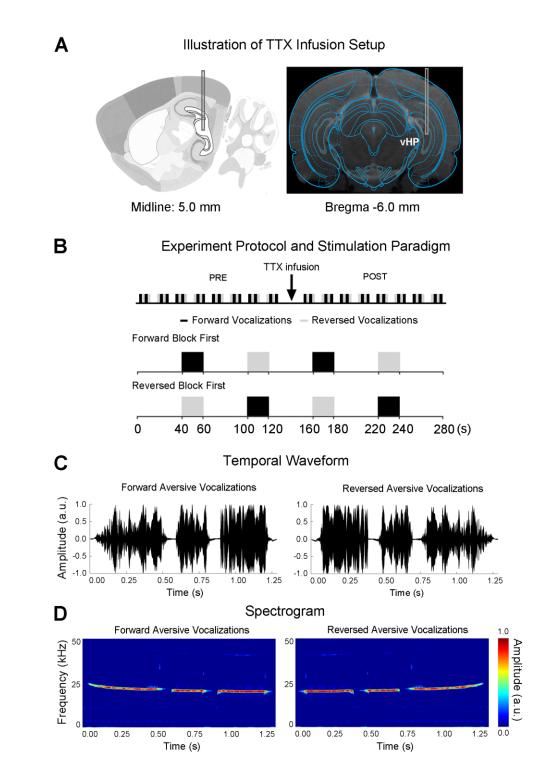
- weaker BOLD responses in Prh, MS, LS, and Cg, while retained strong BOLD responses in vHP and dHP.
- 708 (n = 6; t > 3.1, corresponding to p < 0.001).
- 709 Abbreviations: Ventral Hippocampus (vHP); Dorsal Hippocampus (dHP); Entorhinal Cortex (Ent);
- 710 Auditory Cortex (AC); Perirhinal Cortex (Prh); Amygdala (AMG); Medial Septum (MS); Lateral Septum
- 711 (LS); Diagonal Band of Broca (DBB); Cingulate Cortex (Cg).



713 Supplementary Figure 3. Auditory and optogenetic stimulation paradigms for the negative/aversive 714 and positive/postejaculatory vocalizations experiments. (A) The standard block paradigm (20 seconds 715 ON and 40 seconds OFF) was used to present rat vocalizations to the left ear. Forward and reversed 716 vocalizations were interleaved during each auditory fMRI session. The paradigm was repeated six times 717 for each animal, with the first block occupied by forward and reversed vocalization each three times, while 718 continuous 5 Hz optogenetic stimulation was alternating between auditory fMRI sessions. (B) The temporal 719 waveforms of forward (top left) and temporally reversed (bottom left) aversive vocalizations and forward 720 (top right) and temporally reversed (bottom right) postejaculatory vocalizations. (C) The spectrograms of 721 forward (top left) and temporally reversed (bottom left) aversive vocalizations and forward (top right) and 722 temporally reversed (bottom right) postejaculatory vocalizations.



Supplementary Figure 4. Auditory and optogenetic stimulation paradigms for broadband acoustic
noise experiments. (A) The standard block paradigm (20 seconds ON and 40 seconds OFF) used to present
broadband noise to the left ear, while continuous 5 Hz optogenetic stimulation was alternating between
auditory fMRI sessions. (B) The temporal waveforms of the broadband noise. (C) The spectrograms of the
broadband noise.



Supplementary Figure 5. Illustration of tetrodotoxin (TTX) infusion to vHP, and corresponding
auditory fMRI stimulation paradigm for the negative/aversive vocalization experiments. (A) TTX was
infused to the vHP with an implanted cannula. (B) Sixteen fMRI sessions were typically acquired during

729

- an experiment. TTX was infused after eight fMRI sessions. Standard block design paradigm (20 seconds
- 734 ON and 40 seconds OFF) was used to present vocalizations to the left ear. Forward and reversed
- vocalizations were interleaved during each auditory fMRI session. (C) The temporal waveform of forward
- 736 (left) and temporally reversed (right) aversive vocalizations. (D) The spectrograms of forward (left) and
- 737 temporally reversed (*right*) aversive vocalizations.

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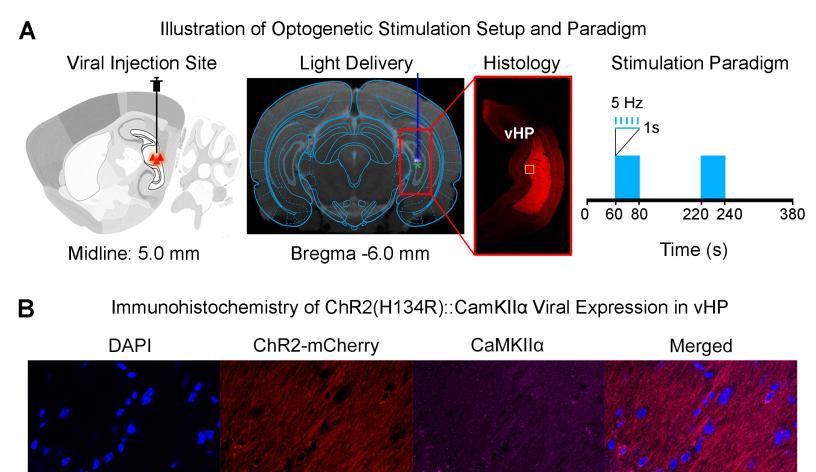
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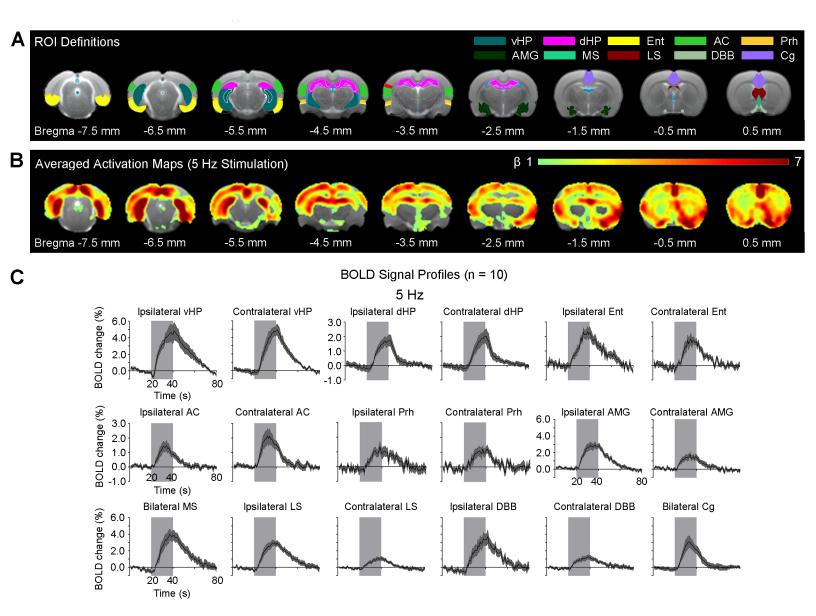
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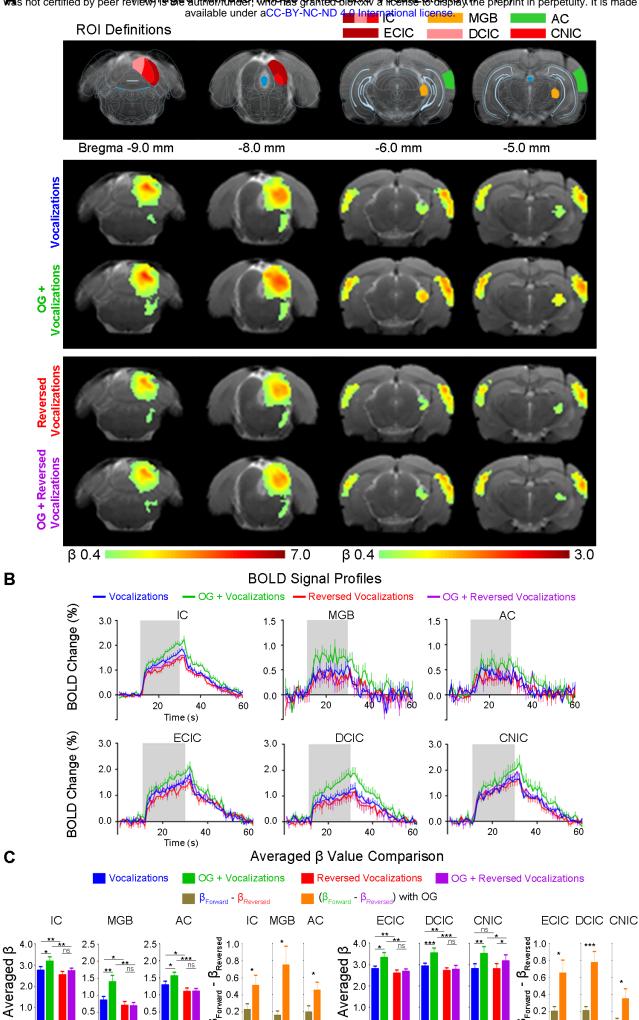
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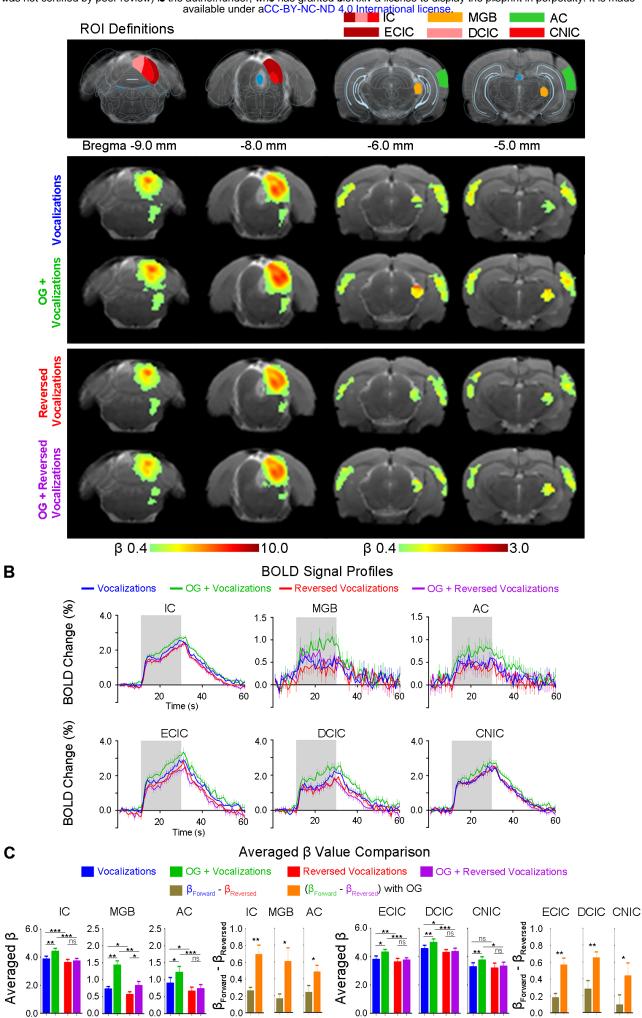
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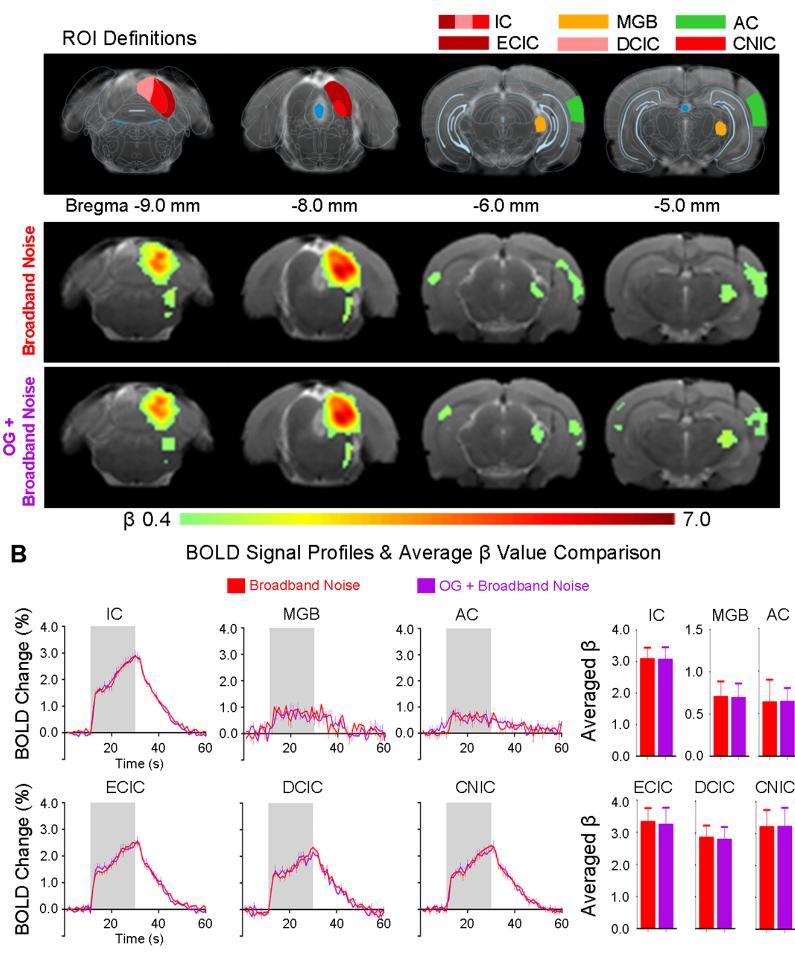
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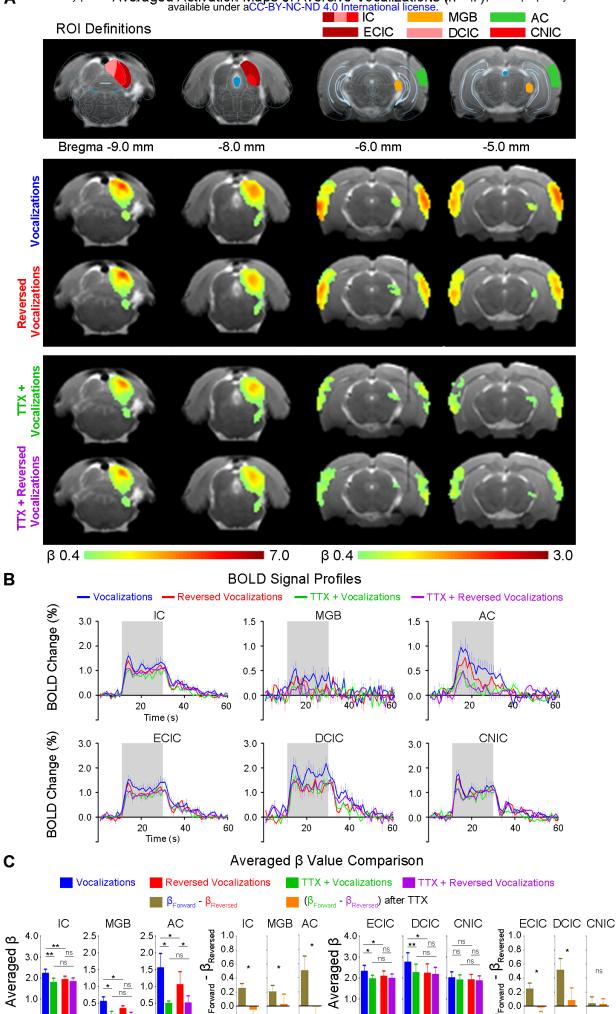


Α

Averaged Activation Maps of Broadband Noise (n = 8)



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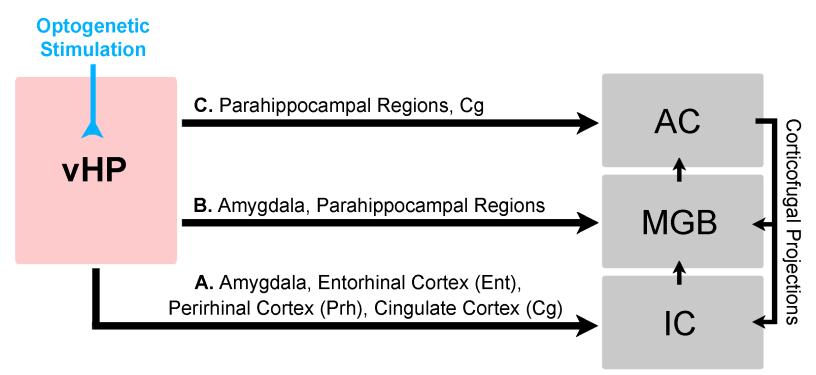


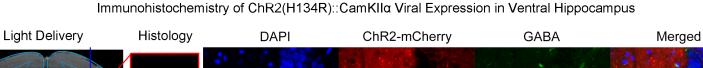
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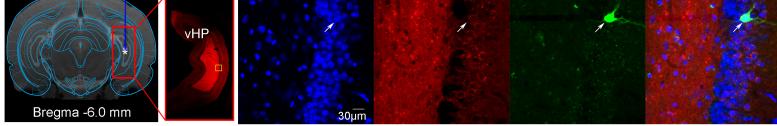
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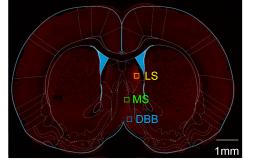


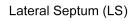
ChR2(H134R)::CamKIIa Viral Expression in Septum Complex

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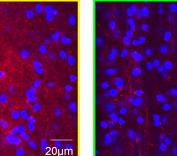
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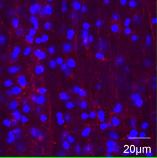


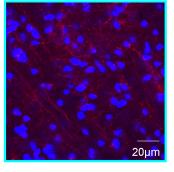


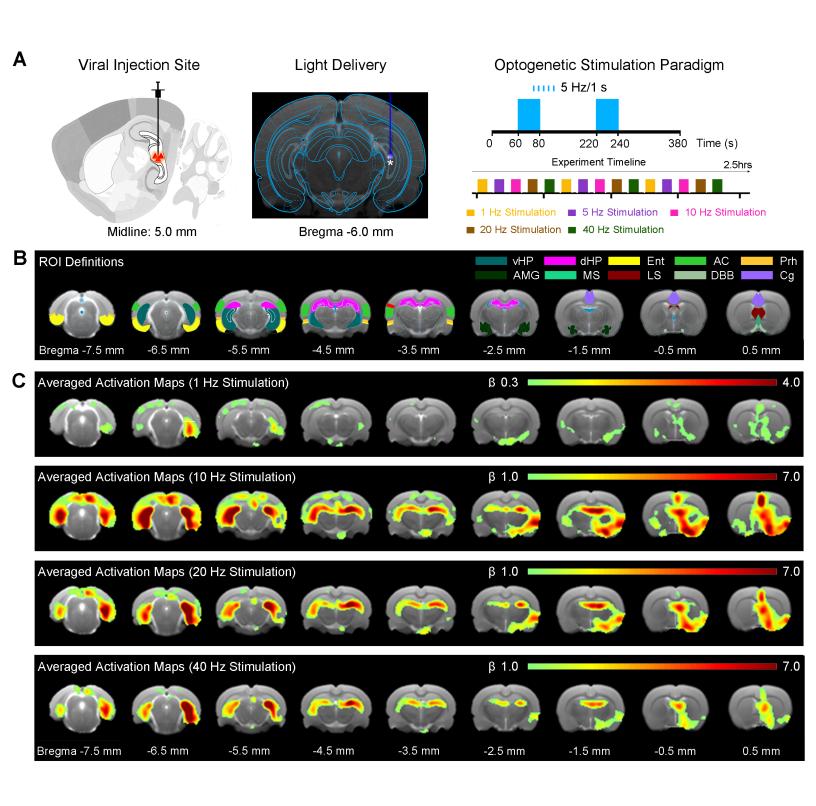
Medial Septum (MS)

Diagonal Band of Broca (DBB)

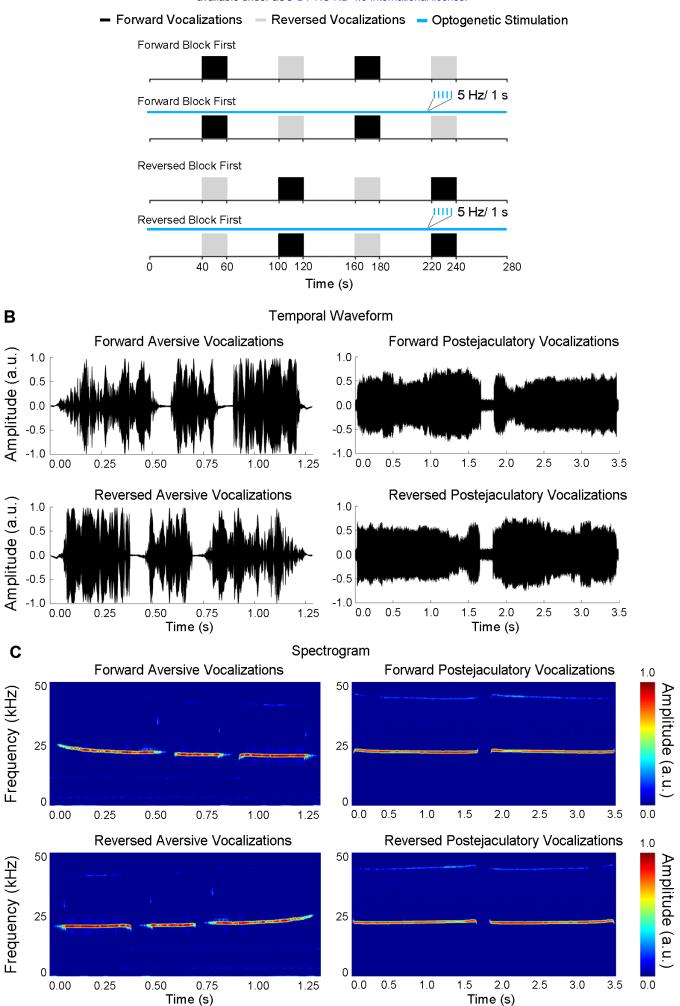


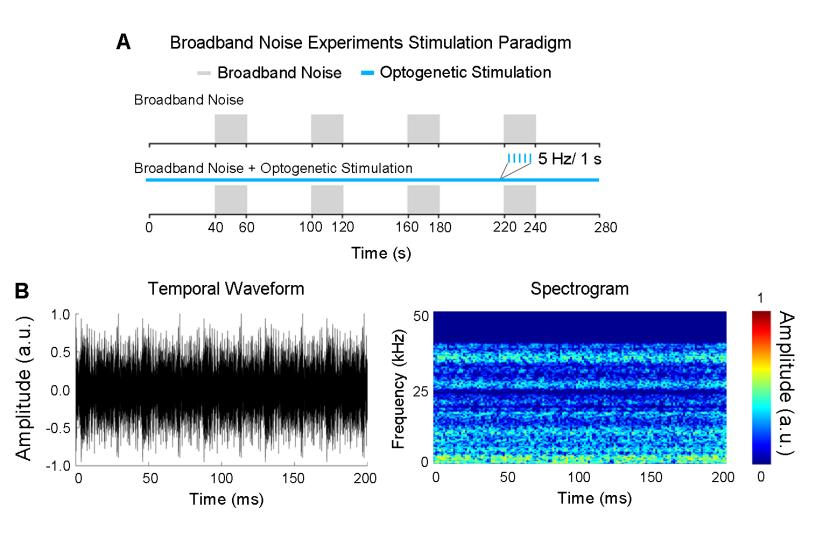


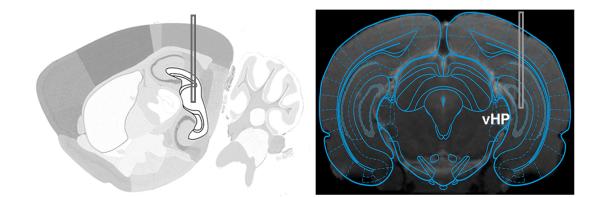


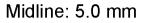


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