# 1 Three-dose vaccination-induced immune responses protect against SARS-CoV-2

# 2 Omicron BA.2

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## 34 Summary

# 35 Background

The ongoing outbreak of SARS-CoV-2 Omicron BA.2 infections in Hong Kong, the model city of universal masking of the world, has resulted in a major public health crisis. Although the third vaccination resulted in strong boosting of neutralization antibody, vaccine efficacy and corelates of immune protection against the major circulating Omicron BA.2 remains to be investigated.

# 41 Methods

42 We investigated the vaccine efficacy against the Omicron BA.2 breakthrough 43 infection among 470 public servants who had received different SARS-CoV-2 44 vaccine regimens including two-dose BNT162b2 (2×BNT, n=169), three-dose 45 BNT162b2 (3×BNT, n=170), two-dose CoronaVac (2×CorV, n=34), three-dose 46 CoronaVac (3×CorV, n=67) and third-dose BNT162b2 following 2×CorV 47 (2×CorV+1BNT, n=32). Humoral and cellular immune responses after three-dose 48 vaccination were further characterized and correlated with clinical characteristics of 49 BA.2 infection.

## 50 Findings

51 During the BA.2 outbreak, 27.7% vaccinees were infected. The timely third-dose 52 vaccination provided significant protection with lower incidence rates of breakthrough infections (2×BNT 49.2% vs 3×BNT 13.1%, p<0.0001; 2×CorV 44.1% 53 54 vs 3×CoV 19.4%, p=0.003). Investigation of immune response on blood samples 55 derived from 92 subjects in three-dose vaccination cohorts collected before the BA.2 56 outbreak revealed that the third-dose vaccination activated spike (S)-specific memory 57 B cells and Omicron cross-reactive T cell responses, which correlated with reduced frequencies of breakthrough infections and disease severity rather than with types of 58 59 vaccines. Moreover, the frequency of S-specific activated memory B cells was 60 significantly lower in infected vaccinees than uninfected vaccinees before vaccine-61 breakthrough infection whereas IFN- $\gamma^+$  CD4 T cells were negatively associated with 62 age and viral clearance time. Critically, BA.2 breakthrough infection boosted cross-63 reactive memory B cells with enhanced cross-neutralizing antibodies to Omicron 64 sublineages, including BA.2.12.1 and BA.4/5, in all vaccinees tested.

# 65 Interpretation

66 Our results imply that the timely third vaccination and immune responses are likely 67 required for vaccine-mediated protection against Omicron BA.2 pandemic. Although

BA.2 conferred the highest neutralization resistance compared with variants of concern tested before the emergence of BA.2.12.1 and BA.4/5, the third dose vaccination-activated S-specific memory B cells and Omicron cross-reactive T cell responses contributed to reduced frequencies of breakthrough infection and disease severity. Neutralizing antibody potency enhanced by BA.2 breakthrough infection with previous 3 doses of vaccines (CoronaVac or BNT162b2) may reduce the risk for infection of ongoing BA.2.12.1 and BA.4/5.

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### 83 Key words

SARS-CoV-2, COVID-19, Omicron, BA.2, Breakthrough infection, Neutralizing
antibody, T cell response

86

# 87 Introduction

88 To fight the ongoing SARS-CoV-2 pandemic, over 10 billion doses of COVID-19 89 vaccines under emergency use authorization (EUA) have been administered globally, which has significantly reduced the rates of hospitalization, disease severity and death 90 <sup>1-5</sup>. Unfortunately, the emergence of variants of concern (VOCs), especially the 91 Omicron variants, have substantially threatened the vaccine efficacy <sup>6</sup>. We recently 92 93 reported that waning anti-Omicron neutralizing antibody and T cell responses 94 especially among CoronaVac-vaccinees might pose a risk to vaccine-breakthrough infections in Hong Kong<sup>7</sup>. Although the third heterologous BNT162b2 vaccination 95 96 after 2-dose CoronaVac generates high neutralizing antibody responses against ancestral and Omicron BA.1 than the third homologous CoronaVac booster<sup>8,9</sup>, 97 98 vaccine efficacy and its correlations with the immune protection against the major circulating Omicron BA.2 remains to be investigated <sup>10-12</sup>. In addition, it remains 99 100 unclear if BA.2 breakthrough infection would reduce the risk against ongoing 101 BA.2.12.1 and BA.4/5 reinfection by enhancing cross-reactive neutralizing antibody 102 potency.

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## 104 Materials and methods

## 105 Human subjects

106 This study was approved by the Institutional Review Board of the University of Hong 107 Kong/Hospital Authority Hong Kong West Cluster (Ref No. UW 21-452). A total of 108 481 participants were recruited in this study. Written informed consent and 109 questionnaire of vaccination and infection were obtained from these subjects. Patients 110 provided the information of symptom onset date, type of symptoms, hospitalization, 111 duration of illness and the date of viral negative conversion as summarized in Table 1. 112 The vaccination record was officially registered by professional medical staff in the 113 governmental system called "LeaveHomeSafe". The diagnosis of SARS-CoV-2 114 infection was confirmed by results of rapid antigen test and PCR, as well as 115 quarantine records enforced strictly by law. Peripheral blood mononuclear cells 116 (PBMCs) from 92 randomly selected-participants who had the third vaccination were 117 isolated from fresh blood samples before SARS-CoV-2 infection using Ficoll-Paque 118 density gradient centrifugation in our BSL-3 laboratory at the same day of blood 119 collection. The majority of purified PBMCs were used for immune cell phenotyping 120 whereas plasma samples were subjected to antibody testing. The rest of the cells were cryopreserved in freezing medium (Synth-a-Freeze Cryopreservation Medium, 121 122 ThermoFisher Scientific) at  $5 \times 10^6$  cells/mL at  $-150^\circ$ C. Subjects included in the study 123 were required to complete vaccination (all dose) for at least 7 days, to allow the 124 manifestation of the delayed immune response to vaccination.

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### 126 Enzyme-linked immunosorbent assays (ELISA)

127 Serum IgG binding antibodies to Spike were quantitated by ELISA using WHO 128 International Standard as standard. Briefly, different recombinant trimeric Spike 129 proteins derived from SARS-CoV-2 VOCs (Sino Biological) were diluted to final 130 concentrations of 1 µg/mL, then coated onto 96- well plates (Corning 3690) and 131 incubated at 4 °C overnight. Plates were washed with PBST (PBS containing 0.05% 132 Tween-20) and blocked with blocking buffer (PBS containing 5% skim milk or 1% 133 BSA) at 37 °C for 1 h. Two-fold serial dilution of WHO international standard (from 134 20 BAU/mL to 0.15625 BAU/mL) and plasma samples (400-fold diluted) were added 135 to the plates and incubated at 37 °C for 1 h. Wells were then incubated with a 136 secondary goat anti-human IgG labeled with horseradish peroxidase (HRP) (1:5000 137 Invitrogen) TMB substrate (SIGMA). Optical density (OD) at 450 nm was measured 138 by SkanIt RE6.1 with VARIOSKAN Lux (Thermo Scientific).

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#### 140 **Pseudotyped viral neutralization assay**

141 To determine the neutralizing activity of subject's plasma, the plasma was inactivated

142 at 56°C for 30 min prior to a pseudotyped viral entry assay. In brief, different SARS-

143 CoV-2 pseudotyped viruses were generated through co-transfection of 293T cells with 144 2 plasmids, pSARS-CoV-2 S and pNL4-3Luc\_Env\_Vpr, carrying the optimized 145 SARS-CoV-2 S gene and a human immunodeficiency virus type 1 backbone, 146 respectively. At 48 h post-transfection, viral supernatant was collected and frozen at 147  $-150^{\circ}$ C. Serially diluted plasma samples (from 1:20 to 1:14580) were incubated with 148 200 TCID<sub>50</sub> of pseudovirus at 37°C for 1 h. The plasma-virus mixtures were then 149 added into pre-seeded HEK293T-hACE2 cells. After 48 h, infected cells were lysed, 150 and luciferase activity was measured using Luciferase Assay System kits (Promega) 151 in a Victor3-1420 Multilabel Counter (PerkinElmer). The 50% inhibitory 152 concentrations ( $IC_{50}$ ) of each plasma specimen were calculated to reflect anti-SARS-153 CoV-2 potency.

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# 155 Antigen-specific B cells

156 To characterize the SARS-CoV-2 Spike-specific B cells, PBMCs from each vaccinee 157 were first stained with an antibody cocktail contained dead cell dye (Zombie aquae), 158 CD3-Pacific Blue, CD14-Pacific Blue, CD56-Pacific Blue, CD19-BV785, IgD-159 BV605, IgG-PE, CD27-BV711, CD21-PE/Cy7, CD38-Percp/Cy5.5, CD11C-160 APC/Fire750 and His-tag Spike protein. Cells were then washed with FACS buffer 161 (PBS with 2% FBS) and further stained with the secondary antibodies including APC 162 anti-His and DyLight 488 anti-his antibodies. Stained cells were acquired by 163 FACSAriaIII Flow Cytometer (BD Biosciences) and analyzed with FlowJo software 164 (v10.6) (BD Bioscience).

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## 166 Intracellular cytokine staining (ICS)

167 To measure antigen-specific T cell responses, PBMCs were stimulated with 2 µg/mL 168 Spike peptide pool (15-mer overlapping by 11) from SARS-CoV-2 ancestral or 169 Omicron variant, or  $2 \mu g/mL$  nucleocapsid protein (NP) peptide pool in the presence 170 of 0.5 µg/mL anti-CD28 and anti-CD49d mAbs (Biolegend). Cells were incubated at 171 37°C for 9 hours and BFA was added at 3 h post incubation, as previously described <sup>11</sup>. PMA/ionomycin stimulation was included as positive control. Cells were then 172 173 washed with staining buffer (PBS containing 2% FBS) and stained with mAbs against 174 surface markers, including dead cell dye (Zombie aqua), CD3-Pacific Blue, CD4-175 Percp/Cy5.5, CD8-APC/Fire750, CD45RA-BV711, CCR7-BV785, CXCR5-APC, 176 CCR6-BV605. For intracellular staining, cells were fixed and permeabilized with BD 177 Cytofix/Cytoperm (BD Biosciences) prior to staining with the mAbs against IFN- $\gamma$ -178 PE, TNF-α-AF488 and IL-2-PE-Cy7. Stained cells were acquired by FACSAriaIII 179 Flow Cytometer (BD Biosciences) and analyzed with FlowJo software (v10.6) (BD 180 Bioscience). Results were subtracted from percentage of unstimulated control.

## 181

## 182 Correlation plots and heatmap visualizations

Correlograms plotting the Spearman rank correlation coefficient (r), between all parameter pairs were generated with the corrplot package (v0.84) <sup>13</sup> running under R (v3.6.1) in RStudio (1.1.456). Spearman rank two-tailed P values were calculated using corr.test (psych v1.8.12) and graphed (ggplot2 v3.1.1) based on \*p<0.05, \*\*p<0.01, \*\*\*p<0.001.

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### 189 Statistical analysis

Statistical analysis was performed using PRISM 8.0. For between-group or multiplegroup categorical values comparison, two-sided chi-square tests or fisher's exact tests were used. Unpaired Student's t tests were used to compare group means of GMT and cell frequencies between two groups. The statistic details are depicted in the respective legends. A P value <0.05 was considered significant.</p>

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### 196 Results

#### 197 Demographic characteristics of breakthrough infection among 481 vaccinees

198 Considering sociodemographic characteristics and exposure risk may also affect 199 vaccine efficacy. In this study, therefore, we only focus on 7247 subjects who are 200 public servants working for Hong Kong Government with comparable exposure risks. 201 During the time from January to March 2022 (Omicron BA.2 was first found in mid-202 January 2022 and reached the peak in the early March as dominant strain in Hong Kong<sup>10,14</sup>), 5995 (82.7%) and 1012 (14%) study subjects had received two and three 203 204 doses of vaccinations, respectively, resulting in an overall vaccination rate of 96.7%. 205 During the recent fifth wave of COVID-19 in Hong Kong since the end of January 206 2022<sup>10</sup>, 470 (6.5%) subjects joined our follow-up study. These subjects had received 207 2-dose BNT162b2 (2×BNT, n=169), 3-dose BNT162b2 (3×BNT, n=168), 2-dose 208 CoronaVac ( $2 \times CorV$ , n=34), 3-dose CoronaVac ( $3 \times CorV$ , n=67) or a heterologous 209 booster dose of BNT162b2 after two prior doses of CoronaVac (2×CorV+1×BNT, 210 n=32) (Table 1). Among these 470 subjects, a total of 141 (128/470, 27.2%) infections 211 were confirmed by governmental reverse transcriptase-polymerase chain reaction 212 (RT-PCR) or lateral flow-based rapid antigen test (RAT) during the study period. 213 Gender difference in infection was not observed. Patients in 2×BNT were relatively 214 younger than 3×BNT (2×BNT vs 3×BNT: median 32 years vs median 40 years, 215 p<0.0001), likely indicating the hesitation for taking the third dose BNT162b2 among 216 younger people. Patients who received two dose BNT162b2 were significantly 217 younger than patients who received two dose CoronaVac (2×CorV vs 2×BNT: median 218 41 years vs median 32 years, p=0.0006 (Table 1 and Supplementary Table 1), in line

219 with elderly people's preference of taking CoronaVac with less side effects. Moreover,

a shorter median interval between latest vaccination and symptom onset was noticed

221 for 3×BNT compared to 2×BNT (2×BNT vs 3×BNT: median 227 days vs median

48.5 days, p<0.0001) and for 3×CorV compared to 2×CorV (2×CorV vs 3×CorV:

223 median 237 days vs median 56 days, p<0.0001), respectively (Table 1 and 224 Supplementary Table 1).

Infections were found in both 2×BNT and 2×CorV groups with comparable incidence

226 rates of 49.2% (78/169) and 44.1% (15/34) (p=0.828), respectively. For the third dose 227 vaccination groups, however, both third homologous BNT162b2 (3×BNT: 22/168, 228 13.1%, p<0.0001) and CoronaVac vaccination (3×CorV: 13/67, 19.4%, p=0.009) 229 showed significantly reduced infection rate compared to 2×BNT and 2×CorV, 230 respectively. The third heterologous BNT162b2 vaccination group (2×CorV+1×BNT) 231 exhibited the lowest incident rate of 6.3% compared to the 2×CorV group (p<0.0001). 232 No statistical significance was found in the infection rates between any 3 dose groups, 233 although  $3 \times BNT$  and  $2 \times CorV + 1 \times BNT$  showed lower infection rates than  $3 \times CorV$ 234 (Table 1 and Supplementary Table 1). Notably, most infected subjects developed mild 235 disease, presenting three major symptoms including fever, cough and/or sore throat. 236 Asymptotic infections were only found in 2×BNT groups with a low frequencies of 237 3.8% (3/78) (Table 1). The hospitalization rate was lower for 3×BNT (4.5%) than that 238 of  $3 \times CorV$  (15.4%) patients. Comparable illness duration was observed in  $2 \times BNT$ 239 (median 7 days) and 3×BNT (median 7.5 days) than those of 2×CorV (median 8 days) 240 and  $3 \times CorV$  (median 8 days). There was no significant difference in terms of duration 241 time for viral antigen conversion to negativity between any groups (Table 1 and 242 Supplementary Table 1). These results suggested that the third dose vaccination by 243 both BNT162b2 and CoronaVac reduced the incident rate of BA.2 infection and the 244 third dose of BNT162b2 vaccination achieved a slightly lower hospitalization rate

- compared with the third CoronaVac.
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# 247 Activation of Spike-specific memory B cells by the third vaccination

248 To characterize the third dose vaccination-induced immune responses, we were able 249 to obtain 92 blood samples donated by subjects in the same cohort including 41 from 250 3×BNT, 28 from 3×CorV and 21 from 2×CorV+1×BNT at median 23, 56 and 47 days 251 after the last vaccination, respectively, on January 27, 2022, right before BA.2 outbreak in Hong Kong <sup>10,14</sup> (Supplementary Table 2). Considering that memory B cell 252 253 responses contribute to long-term immunological protection against COVID-19, we 254 measured the frequency of Spike (S)-specific B cells (gated on  $CD19^+$  IgG<sup>+</sup> IgD<sup>-</sup> cells) 255 after the third dose vaccination (Figure 1A). We found that the third dose of 256 BNT162b2, either 3×BNT (mean 2.83%) or 2×CorV+1×BNT (mean 1.33%), induced

257 significant higher frequency of S-specific B cells than 3×CorV (mean 0.35%) (Figure 258 1B). The significant boost effect of S-specific B cells was not observed by the third 259 dose of CoronaVac (Figure 1C). Moreover, S-specific B cells elicited by the third dose 260 of BNT162b2 reached the peak around 4-6 weeks and lasted for 3 months with a 261 higher mean frequency than that of 3×CorV (Figure 1D). Further phenotypical 262 analysis (Figure 1E) showed that the third dose of BNT162b2 resulted in elevated 263 frequency of activated memory B cells (AM, CD21<sup>-</sup>CD27<sup>+</sup>) compared with 2×BNT or 264  $2 \times CorV$  whereas the third dose of CoronaVac enhanced the frequency of resting 265 memory (RM) B cells (Figure 1F). The frequency of AM reached the peak at 4 weeks 266 after the third booster and subsequently declined, accompanied by proportional 267 increase of RM, in both 3×BNT and 2×CorV+1×BNT groups whereas AM remained 268 unchanged in the 3×CorV group around two months (Figure 1G). These results 269 demonstrated that S-specific memory B cells were predominantly activated by the 270 third dose of BNT162b2 but insignificantly by the third dose of CoronaVac. However, 271 the third BNT162b2 vaccination following 2 doses of CoronaVac-boosted S-specific 272 B cells was comparable to those induced by three doses of BNT162b2, indicating that 273 BNT162b2 can recall and augment CoronaVac-induced memory B cells.

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# The titer and breadth of neutralizing antibodies (NAbs) against a full panel of current SARS-CoV-2 VOCs

277 We then measured the titer and breadth of neutralizing antibodies (NAbs) against a 278 full panel of current SARS-CoV-2 VOCs including D614G, Alpha, Beta, Delta and 279 five Omicron variants (BA.1, BA.1.1, BA.2, BA.2.12.1 and BA.4/5) using the pseudovirus assay as we previously described <sup>7</sup>. We included data from subjects who 280 previously received 2×BNT or 2×CorV at the activation (0-4 weeks) and memory (4-281 282 15 weeks) periods were used for comparison  $^{7}$  (Supplementary Table 2). In line with 283 significantly higher frequencies of S-specific B cells, both 3×BNT- and 284  $2 \times CorV + 1 \times BNT$ -vaccinees displayed significantly stronger geometric mean 50% 285 neutralizing titers (GMT) than 3×CorV against all variants tested (Figure 2A). The 286 overall fold of neutralization resistance followed the order of Alpha < Beta < Delta < 287 Omicron lineages in all three vaccine groups. Interestingly, Omicron BA.2 and 288 BA.4/5 were more resistant to other VOCs with comparable reduction fold of GMT 289 while BA.2.12.1 showed a downward resistance compared to BA.2 among all 290 vaccinees (Figure 2B). According to the criteria that convalescent plasma antibody titer >1:320 were eligible initially for SARS-CoV-2 therapy  $^{15}$  and considering that 291 the prophylactic administration of convalescent plasma at 1:320 dilution hardly 292 prevents SARS-CoV-2 infection in the hamster model <sup>16</sup>, we used 1:320 as the 293 294 threshold to define NAb titer: less than 1:320 as "Low", 1:320-1:1280 as "Medium"

295 and above 1: 1280 as "High" for proportion analysis (Figure 2C). We found that 61% 296 of  $3 \times BNT$  and 48% of  $2 \times CorV + 1 \times BNT$  vaccinees had high neutralization activity 297 (>1280) against D614G whereas none of 3×CorV vaccinees showed similar activities 298 (Figure 2C). For BA.2, neither  $3 \times BNT$  nor  $2 \times CorV + 1 \times BNT$  vaccinees had high 299 neutralization activity, but 41% of 3×BNT and 29% of 2×CorV+1×BNT vaccinees 300 still had medium neutralization activity (321-1280). Strikingly, 68% of 3×CorV 301 vaccinees showed undetectable neutralization antibodies against BA.2. Similar 302 proportion of GMT magnitude was observed in all vaccine groups against BA.4/5 303 (Figure 2C). We also compared the binding antibody titers using different VOC spike 304 protein as the coating antigen. Since spike-specific IgG titers were correlated positively with the neutralizing potency <sup>7,11</sup>, we found that sera binding titers of 305 306 various VOCs in 3×BNT and 2×CorV+1×BNT groups were dramatically higher than 307 those in 3×CorV group (Figure 2D). However, as vaccine-induced NAbs wane over 308 time<sup>7</sup>, we further compared the NAb titer between 2-dose and 3-dose vaccinees at the 309 similar time post-vaccination (without significant difference) (Supplementary Table 3). 310 The third dose of BNT162b2 induced significant higher NAb titers against all VOCs 311 in  $3 \times BNT$  and  $2 \times CorV + 1 \times BNT$  groups compared to the 2-dose groups at both 0-4 312 weeks (activation) and >4 weeks (memory) after vaccination (Supplementary Table 3). 313 In contrast to weak boost effects by the third dose of CoronaVac in the 3×CorV group, 314 10.1-26.1-fold and 9.7-27.5-fold enhancements against Omicron variants at activation 315 and memory phases were observed after the third heterologous BNT162b2 316  $(2 \times \text{CorV} + 1 \times \text{BNT})$ , similar to the boost effects in the  $3 \times \text{BNT}$  group (Supplementary 317 Table 3). Apart from the significantly increased NAb titers, the responder rates of 318 anti-BA.2 raised from 33% to 100%, from 0% to 38% and from 0% to 100% at 0-4 319 weeks; from 39% to 100%, from 0% to 35% and from 0% to 100% at >4 weeks in 320  $3 \times BNT$ ,  $3 \times CorV$  and  $2 \times CorV + 1 \times BNT$  groups, respectively, post the last vaccination. 321 Consistently, BA.2 exhibited the most resistant profile to the boost effect, especially 322 in 3×CorV (Supplementary Table 4). These results demonstrated that the third 323 heterologous BNT162b2 vaccination in 2×CorV+1×BNT made significant 324 improvement on not only bringing the anti-Omicron responder rate to 100% but also 325 enhancing NAb titers close to 3×BNT at both 0-4 and >4 weeks (Supplementary Table 326 3 and Supplementary Table 4).

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# 328 Spike-specific CD4 and CD8 T cell responses

T cell responses may play an important role in control of SARS-CoV-2 infection 11,12,17, CD4 and CD8 T cell responses to viral Spike (S) and nucleocapsid protein (NP) were determined by measuring intracellular IFN- $\gamma$ , TNF- $\alpha$  and IL-2 (Figure 3A and 3E). Since many amino acid mutations were found in Omicron Spike protein, we

333 measured ancestral and Omicron S-specific T cell responses in parallel. Significantly 334 higher mean frequencies of S-specific IFN- $\gamma^+$  CD4 T cells were found in 3×BNT 335 (ancestral: 0.070% and Omicron: 0.080%) than those in 3×CorV (ancestral: 0.025% 336 and Omicron: 0.023%) and in 2×CorV+1×BNT (ancestral: 0.034% and Omicron: 337 0.030%) (Figure 3B). No significant differences of S-specific IFN- $\gamma^+$  and 338 polyfunctional CD4 T cells were found between ancestral and Omicron (Figure 3B 339 and 3C). There were also no significant differences between  $2 \times BNT$  and  $3 \times BNT$ , and 340 between 2×CorV and 3×CorV at activation period (Figure 3D, left). However, the 341 third BNT162b2 vaccination in the  $2 \times CorV + 1 \times BNT$  group recalled significant higher 342 frequency of S-specific IFN- $\gamma^+$  cells and responder rate than those in the 3×CorV 343 group at the memory phase (Figure 3D, right). In addition, significantly higher mean 344 frequencies of S-specific IFN- $\gamma^+$  CD8 T cells were found in 3×BNT (ancestral: 0.084%) 345 and Omicron: 0.098%) than those in 3×CorV (ancestral: 0.017% and Omicron: 346 0.015%) and in 2×CorV+1×BNT (ancestral: 0.021% and Omicron: 0.013%) (Figure 347 **3F**). The frequency of S-specific polyfunctional CD8 T cells were relatively higher in 348  $3 \times BNT$  than those in  $3 \times CorV$  and  $2 \times CorV + 1 \times BNT$  (Figure 3G). Significant increase 349 of S-specific IFN- $\gamma^+$  CD8 T cells was not observed in 3×BNT compared to that in 350 2×BNT at acute (Figure 3H, left) but observed at the memory period (Figure 3H, 351 right). CoronaVac, however, did not show similar activities. Besides the Spike, weak 352 nucleocapsid protein (NP)-specific IFN- $\gamma^+$ CD4 and CD8 T cells were observed in 3 353 groups although more CD4 T cell responders (67%) were found in 3×CorV 354 (Supplementary Figure 1), indicating the pre-existing of cross-reactive NP-specific T cell responses in unexposed donors <sup>18</sup>. Considering that S-specific circulating T 355 follicular helper cells (cTFH, CD45RA<sup>-</sup>CXCR5<sup>+</sup>CD4<sup>+</sup>) are associated with potent 356 357 NAb responses <sup>19</sup>, we found that the frequency of IFN- $\gamma^+$  cTFH cells were low with 358 mean 0.033-0.048%, 0.01-0.023% and 0.017-0.059% in 3×BNT, 3×CorV and 359 2×BNT+1×CorV groups, respectively (Supplementary Figure 2A-2B). However, the 360 responder rate was higher in 3×BNT (20-22%) and 2×BNT+1×CorV (14-24%) than 361 that of  $3 \times CorV$  (7-10%) (Supplementary Figure 2B). These results indicated that the 362 third dose of BNT162b2 vaccination significantly improved S-specific IFN- $\gamma^+$ , 363 polyfunctional and memory T cells in 3×BNT but not in 2×CorV+1×BNT and 364 3×CorV.

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# Associations among humoral, cellular immune response and breakthrough infection features

368 Immune correlation analysis was subsequently conducted for 23 antigen-specific 369 measurements together with gender, age, time interval between second and third 370 vaccinations, sampling time after third dose of vaccination and infection. Consistent

371 with the kinetics of AM proportion, S-specific AM correlated negatively with time 372 after the third dose of vaccination in the  $2 \times CorV + 1 \times BNT$  group (Figure 4C). Positive 373 correlations between S-specific B cells and NAbs were observed in both 3×BNT and 374  $2 \times CorV + 1 \times BNT$  groups while the RM was positively associated with NAbs in the 375  $3 \times CorV$  group (Figure 4A-C, green rectangle). Consistently, significant positive 376 correlations were found in NAbs titers against all 7 viral variants (Figure 4A-C, 377 purple triangles). Since the third dose vaccination by BNT162b2 or CoronaVac did 378 not improve S-specific CD4 T cell responses among 2×CorV vaccinees, positive 379 correlations among S-specific CD4 T cells, S-specific B cells and NAbs were limited 380 to the 3×BNT group (Figure 4A, red rectangle). However, positive correlations 381 between S-specific cTFH cells and NAbs were observed in 3×BNT and 382  $2 \times CorV + 1 \times BNT$ , but not in  $3 \times CorV$  (Figure 4A-C, yellow rectangles). Interestingly, 383 in the 3×BNT group, Omicron S-specific CD4 T cell and cTFH responses exhibited 384 stronger correlation with S-specific B cell and the broadly NAbs than those with 385 ancestral S-specific CD4 T cell and cTFH responses (Figure 4A, yellow rectangle). 386 We then combined all three groups for overall analysis (Figure 4D). Strong positive 387 correlations were consistently found in NAbs titers against all 7 viral variants (Figure 388 4D, purple triangle). Both age and S-specific RM B cells were negatively correlated 389 with NAb activity (Figure 4D, purple rectangle) whereas S-specific AM B cells were 390 positively correlated with neutralizing activity (Figure 4D, green rectangle). Moreover, 391 the frequency of S-specific AM B cells was significantly lower in infected vaccinees 392 than uninfected vaccinees before vaccine-breakthrough infection (Figure 4E) whereas 393 the anti-BA.2 NAb titer did not achieve statistical significance (Figure 4F). Notably, 394 few vaccinees (2/12, 16.7%) with NAb titer higher than 1:320 became infected. We 395 further analyzed the relationships between immune responses and clinical 396 characteristics among our study subjects who were subsequently infected by BA.2 397 (Figure 4G). NAb titer was negatively correlated with hospitalization rate (Figure 4G, 398 purple rectangle), indicating the importance of NAb in reducing COVID-19 severity. 399 Age was positively correlated with viral negative conversion time, suggesting a longer 400 viral clearance time among older patients (Figure 4G, black square). Notably, IFN- $\gamma^+$ 401 CD4 T cells were negatively associated with age and viral negative conversion time 402 (Figure 4G, red squares). In addition, hospitalization was negatively correlated with 403 the interval between second and third dose of vaccinations and with the interval 404 between third dose of vaccination and symptom onset, likely suggesting the 405 importance of the optimal timing for the third dose vaccination (Figure 4G, black 406 rectangle). These results demonstrated that the third dose vaccination-induced NAbs 407 and T cell response contributed to reducing risk of severe clinical outcomes after 408 infection.

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# 410 Immune responses after Omicron BA.2 breakthrough infection and the fourth 411 vaccination

412 Rapidly recalled antibody and T cell responses were observed in vaccine breakthrough infections by SARS-CoV-2 variants <sup>17,20-22</sup>. At median 137 (range 122-413 414 164) days post symptom onset (Supplementary Table 5), we able to harvest the blood 415 sample from five  $3 \times BNT$ , three  $3 \times CorV$  and one  $2 \times CorV + 1 \times BNT$  subject who had a 416 BA.2 breakthrough infections. Six  $3 \times BNT$ , seven  $3 \times CorV$  and ten  $2 \times CorV + 1 \times BNT$ 417 subjects who never had infection were also included. For comparison, we also 418 included three subjects who received the fourth vaccination with BNT162b2 419 following three-dose CoronaVac  $(3 \times CorV + 1 \times BNT)$  (Supplementary Table 5). We 420 first measured the frequency of S-specific B cells and found that BA.2 S-specific B 421 cells were consistently lower than ancestral S-specific B cells among all vaccinees no 422 matter with or without BA.2 infection (2.2-3.1-fold and 1.1-2.3-fold difference among 423 uninfected and infected vaccinees, respectively) (Figure 5A-C). Among uninfected 424 vaccinees, the frequency of BA.2 S-specific B cells in 3×CorV group (mean 0.05%) 425 was significantly lower than those in  $3 \times BNT$  (mean 0.38%) and  $2 \times CorV + 1 \times BNT$ 426 (mean 0.17%) groups (Figure 5B). Although BA.2 infection increased BA.2 S-427 specific B cells in  $3 \times \text{CorV}$  (mean 0.18%), it was still significantly lower than those in 428  $3 \times BNT$  group (mean 0.53%) and lower than  $3 \times CorV + 1 \times BNT$  group (mean 0.48%) 429 without significance (Figure 5C). In contrast to B cell response, all vaccinees showed 430 similar CD4 and CD8 T cell responses to ancestral and Omicron Spike, and BA.2 431 infection did not boost a higher T cell response than uninfected vaccinees (Figure 5D-432 I). Moreover, uninfected and infected 3×CorV showed lower T cell responses than 433 those in  $3 \times BNT$  and  $3 \times CorV + 1 \times BNT$  without significance (Figure 5F and 5I). 434 Particularly, markedly higher CD8 T cells were found in 3×BNT uninfected vaccinees 435 than those in 3×CorV and 2×CorV+1×BNT uninfected vaccinees even at a long term 436 after vaccination (>4 months) (Figure 5H). These results indicated that BA.2 infection 437 boosted cross-reactive B cells rather than T cells to ancestral and Omicron Spike.

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# Neutralizing antibody titer after BA.2 breakthrough infection and the fourth vaccination

Since broadly neutralizing activity would be boosted by an increased number of exposures to SARS-CoV-2 antigens (vaccination or infection) among vaccinees <sup>17,21,23,24</sup>, pairwise comparison of neutralizing activity was analyzed using the plasma sample collected before (1<sup>st</sup>) and after (2<sup>nd</sup>) BA.2 breakthrough infection. Three-dose and 4-dose uninfected vaccinees were also included (Supplementary Table 5).

Consistent to our previous findings in two-dose vaccinees<sup>7</sup>, NAb titer of uninfected 446 447 vaccinees waned over time, especially when against BA.2.12.1 and BA.4/5 (Figure 448 6A-E), but the waning effect was not observed in NAbs against D614G (Figure 6A). 449 However, 100% and 90% of the uninfected 3×BNT and 2×CorV+1×BNT vaccinees 450 were maintained measurable NAbs against all Omicron variants whereas more 451 uninfected 3×CorV vaccinees (4/7) loosed neutralizing capacity against Omicron 452 BA.4/5. Notably, the fourth vaccination can boost higher NAbs titers and responder 453 rates for 3×CorV vaccinees (Figure 6A-E). Moreover, different 3-dose vaccinees after 454 BA.2 breakthrough infection and  $3 \times CorV + 1 \times BNT$  vaccinees consistently exhibited a 455 stronger GMT against BA.1 (3×BNT: 3653, 3×CorV: 582 and 2×CorV+1×BNT: 221) 456 and BA.2 (3×BNT: 3005, 3×CorV: 742 and 2×CorV+1×BNT: 417) than those against 457 BA.2.12.1 (3×BNT: 1857, 3×CorV: 531 and 2×CorV+1×BNT: 135) and BA.4/5 458 (3×BNT: 957, 3×CorV: 200 and 2×CorV+1×BNT: 94) (Figure 6A-E). This boost 459 effect by BA.2 breakthrough infection was more profound in 3×CorV vaccinees with 460 the highest fold-change (up to 21.2-fold increased for BA.2) in GMT against Omicron 461 sublineages (Figure 6A-E). The results indicated that BA.2 breakthrough infection 462 and the fourth vaccination enhanced cross-neutralizing antibodies to Omicron 463 sublineages in all vaccinees.

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#### 465 Discussion

466 Clinical trials have demonstrated that a third heterologous booster vaccination by 467 EUA SARS-CoV-2 mRNA vaccines (BNT162b2 and mRNA-1273) increased 468 neutralizing antibody titer accompanied by better prevention and lower disease 469 severity than the initial two doses with BBIP-CorV or CoronaVac during the Gamma 470 and Delta epidemics <sup>25-29</sup>. After the emergence of the Omicron variants, some cohort 471 studies reported that Omicron BA.1 infection was associated with milder disease and 472 shorter duration of clinical symptoms than Delta infection <sup>30-35</sup>.

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474 The third vaccination was helpful in reducing the infection and hospitalization rates during the Delta and Omicron BA.1 prevalence in other countries <sup>25,36,37</sup>. Till now, the 475 476 association between immune responses induced by the third vaccination and Omicron 477 BA.2 breakthrough infection remains unknown. In this study, we investigated the 478 immune responses of vaccinees after they received the third vaccination right before 479 the explosive fifth wave of SARS-CoV-2 epidemic caused by Omicron BA.2 in Hong Kong  $^{10,14}$ . We also followed up the infection status and clinical outcomes of our study 480 481 subjects during the wave period. We found that the third dose of either BNT162b2 or 482 CoronaVac led to significantly lower infection rates than those who received the 483 standard 2-dose vaccination regimen, particularly in the heterologous

484 2×CorV+1×BNT group. Furthermore, the third BNT162b2 resulted in significantly 485 higher rates of asymptomatic and lower rates of hospitalization than 3×CorV group. 486 Our findings, therefore, provided critical knowledge on understanding the role of third 487 vaccination-induced immune responses in protection against the globally spreading 488

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Omicron BA.2 infections.

490 Omicron BA.2 has higher transmissibility and immune evasion than Omicron BA.1  $^{38,39}$ , explaining its rapid spread in Hong Kong and other places  $^{40,41}$ . Since the end of 491 January 2022, BA.2 has quickly dominated the fifth wave of SARS-CoV-2 epidemic 492 493 in Hong Kong, where the universal masking policy remains unchanged, with a shorter doubling time of 1.28 days than 1.6-2.8 days of BA.1<sup>10</sup>. BA.2 shares 21 mutations in 494 495 the Spike with BA.1. Although Q496S and N501Y mutations are missing in the BA.2 496 S-BRD domain, unique S371F, T376A, D405N and R408S mutations have been found <sup>39</sup>. Due to these mutations, we and others <sup>39,42</sup> demonstrated that NAb titers 497 against BA.2 showed 0.97-1.18 and 1.14-1.42 time lower than those against BA.1 at 498 499 0-4 weeks and >4 weeks after third vaccination by BNT162b2 or CoronaVac. Also, 500 we consistently found that BA.2 confers the highest NAb resistance compared with 501 other VOCs including BA.1 and BA.1.1 before emergence of BA.4/5. While 59-71% 502 and 29-41% BNT162b2 booster recipients had low (IC<sub>50</sub>: 20-320) and median (IC<sub>50</sub>: 503 321-1280) NAbs against BA.2, 66% CoronaVac booster recipients had undetectable 504  $(IC_{50}<20)$  NAbs. Surprisingly, although the third BNT162b2 vaccination boosted 505 higher anti-BA.2 NAb titer and responder rate as well as a more S-specific T cell 506 responses than the third CoronaVac, there was no significant difference in incidence 507 of breakthrough infections between 3×BNT and 3×CorV. Firstly, the majority of our 508 vaccinees, including 3×BNT and 3×CorV, have a low neutralizing antibody titer at 509 the time of exposure, rendering them susceptible to BA.2 breakthrough infection. Ten 510 of twelve vaccinees who had  $IC_{50}$ <320 NAb against BA.2 became infected, which is 511 consistent to the animal study that the prophylactic administration of convalescent plasma at 1:320 dilution hardly prevents SARS-CoV-2 infection in hamster model <sup>16</sup>. 512 513 Secondly, both CoronaVac and BNT162b2 hardly induce enough mucosal neutralizing antibody or T cell responses for prevention <sup>43</sup>, as Omicron replicates 514 515 faster and stronger than wild type and Delta variant in the nasal and bronchial compartments but less efficiently in the lung parenchyma<sup>44-46</sup>. Critically, although 516 517 CoronaVac displays lower immunogenicity than BNT162b2, it still induced memory 518 B cell and T cell responses that can be recalled for protection as demonstrated in the 519 3×CorV vaccinees with BA.2 breakthrough infection. Therefore, the recalled immune 520 response, especially the comparable T cell responses, which are invoked by the BA.2 521 breakthrough infection in participants who received different vaccine regimens.

522 In addition, three doses of either CoronaVac or BNT162b2 vaccines provided similar and high protection against Omicron infection-induced severe outcomes <sup>47,48</sup>.Such 523 524 BA.2 infection-mediated immune activation might be even more profound among 525 3×CorV vaccinees, resulting in significantly reduced infection and hospitalization 526 rates compared with 2×CorV vaccinees. Therefore, when all vaccinees were analyzed 527 together, we found that S-specific activated memory B cell subset was a significant 528 factor in preventing BA.2 infection because these AM B cells could differentiate into long-lived plasma cells<sup>49</sup> and are associated with expansion of memory B cells, and 529 the re-establishment of B cell memory after the third vaccination <sup>23,50</sup>. Moreover, T 530 cell responses could be another protective factor because they may recognize mutated 531 viral variants without significantly reducing the potency <sup>51</sup>. We found that both 532 533 BNT162b2 and CoronaVac-induced T cell responses cross-reacted to Omicron S peptides with comparable activities to ancestral S<sup>52,53</sup>. Since S-specific T cells are 534 535 associated with the control and clearance of the ongoing infection <sup>12</sup>, potent T cell 536 responses correlated with fewer hospitalization among patients who received the third 537 vaccination.

538 While we studied the BA.2 variant, the BA.2.12.1, BA.4, and BA.5 have raised and 539 increased resistance compared to previous VOCs to vaccine-induced NAbs through the L452R/Q and F486V mutations in the Spike 54-56. We confirmed that BA.2 540 541 breakthrough infection and the fourth vaccination effectively boosts neutralizing 542 antibody against BA.2.12.1 and BA.4/5. This can explain why BA.1/BA.2 infection in 543 vaccinated persons were less at risk of BA.4/5 infection than individuals infected with a pre-Omicron VOCs 57. However, BA.2 breakthrough infections mainly recalled 544 vaccine-induced ancestral Spike-specific memory B cells, which may drive further 545 mutation of virus and variant-associated reinfection 55,58,59,60. 546

547 There are some limitations in our study. Firstly, most of our infected vaccinees were 548 confirmed to have been infected by self-RAT, thus the effect of different vaccine 549 regimens on controlling viral loads could not be determined. It remains necessary to 550 compare the dynamics and magnitudes of the recalled immune responses among 551 vaccinees with BA.2 breakthrough infection patients in the future. Secondly, it should 552 be noted that the median interval time between the latest vaccination and symptom 553 onset for the 2×BNT (227 days) and 2×CorV (237 days) groups was significantly 554 longer than those for 3 dose vaccination groups, including  $3 \times BNT$  (48.5 days), 555  $3 \times CorV$  (56 days) and  $2 \times CorV + 1 \times BNT$  (25.5 days). Although NAb potency wans 556 over time 7, we and others consistently found that timely boost vaccination not only restore waning NAb titers but also broaden the breadth of NAbs, which is able to 557 cross-neutralize VOCs, including Omicron<sup>8,23,50,61</sup>. Thirdly, only one sample can be 558 559 harvested from 2×CorV+1×BNT vaccinees with BA.2 infections. It's hard to

560 conclude the outcome of BNT162b2 booster for two-dose CoronaVac vaccinees

561 during BA.2 breakthrough infection.

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In summary, we report that 3×BNT and 3×CorV provided better protection against SARS-COV-2 BA.2 than 2×BNT and 2×CorV. High frequencies of S-specific activated memory B cells and cross-reactive T cell responses induced by the third vaccination are critical for protection and illness reduction during the Omicron BA.2 breakthrough infection. Enhanced neutralization induced by BA.2 breakthrough infection and the fourth vaccination may help to reduce the risk for infection of ongoing BA.2.12.1 and BA.4/5.

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# 571 Contributors

572 Z.C. and R.Z. conceived and designed the study. R.Z. and Z.C. designed experiments,

analyzed data, and wrote the manuscript. Z.C., R.Z. X.L., Y.-C.K., H.-Y.K., I.F.-N.H.,

and K.-Y.Y coordinated donor recruitment and specimen collection. R.Z., N.L., H.H.,

575 D.Y., Q.P. prepared the clinical sample. R.Z., N.L. and H.H. performed the flow 576 cytometry analysis. R.Z., N.L. and D.Y. performed the pseudoviral neutralization 577 assay. Z.D. did the correlation analysis.

578

# 579 Data sharing

580 The authors declare that the data supporting the findings of this study are available 581 from the corresponding author upon request.

582

# 583 Declaration of interests

- 584 We declare no competing interests.
- 585

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# 602 Figurer legends

603 Figure 1. Activation of Spike-specific memory B cells by the third dose 604 vaccination. (A) Representative flow cytometry plots showing staining patterns of 605 SARS-CoV-2 Spike probes on memory B cells (IgD<sup>-</sup> IgG<sup>+</sup> CD19<sup>+</sup>). (B) Quantified 606 results depict the percentage of Spike<sup>+</sup> B cells in 3×BNT (orange), 3×CorV (blue) 607 and  $2 \times CorV + 1 \times BNT$  (purple) groups at median 23, 55 and 47 days after the third 608 dose vaccination. (C) Comparisons of Spike<sup>+</sup> B cell frequency between 2-dose 609 (sample collected at median 28 days after second vaccination for 2×BNT and 2×CorV 610 groups) and 3-dose (sample collected at median 16, 20 and 18.5 days after third 611 vaccination for 3×BNT, 3×CorV and 2×CorV+1×BNT groups, respectively) cohorts 612 within 4 weeks after the last vaccinations. (D) Cross-sectional analysis of Spike-613 specific B cells by time after third dose vaccination. The connection lines indicate the 614 mean value. (E) Phenotypes of Spike-specific B cells were defined by using CD21 615 and CD27 markers. (F) Pie chart showed the proportion of activated (AM), 616 tissue like (TLM) memory, intermediate memory (IM) and resting-memory (RM) B 617 cells. (G) Cross-sectional analysis of the percentage of AM (upper) and RM (bottom) 618 in the Spike-specific B cells by time after third vaccination. The connection lines 619 indicate the mean value.

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621 Figure 2. The titer and breadth of neutralizing antibodies (NAbs) against a full 622 panel of current SARS-CoV-2 VOCs. (A) The geometric mean titers (GMT) of 623 neutralizing antibody (IC<sub>50</sub> represents serum dilution required to achieve 50% virus 624 neutralization) against nine SARS-CoV-2 strains were measured by pseudovirus-625 based assay among  $3 \times BNT$  (orange),  $3 \times CorV$  (blue) and  $2 \times CorV + 1 \times BNT$  vaccinees 626 (purple) at median 23, 55 and 47 days after the third dose vaccination. Numbers under 627 the x-axis indicate the responder rates (IC<sub>50</sub>>20 termed 'responder'). (B) GMT of 628 neutralizing antibody were depicted on the top of Figure. The green lines indicate the 629 change of GMT among variants. Numbers on the top of dots indicate the fold change 630 of different VOC relative to D614G. Each symbol represents an individual donor with 631 a line indicating the mean of each group. (C) Proportion of four neutralizing antibody 632 magnitudes among vaccinees. (D) Levels of anti-Spike IgG (BAU/mL) of all 633 vaccinated subjected are shown as mean  $\pm$  SEM. Dotted line represents value of 64.5 634 BAU/mL used as the limit of detection (LOD). Statistics were generated by using 2-635 tailed Student's t test. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001.

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637 Figure 3. Spike-specific CD4 and CD8 T cell responses. PBMCs were stimulated 638 with the Spike peptide pools from ancestral or Omicron SARS-CoV-2 prior to 639 intracellular cytokine staining assay. Representative flow cytometry plots showing 640 single positive of IFN- $\gamma^+$  or TNF- $\alpha^+$  or IL-2<sup>+</sup> as well as the polyfunctional cells with 641  $\geq 2$  cytokines among CD4<sup>+</sup> (A) and CD8<sup>+</sup> (E) T cells. Paired analysis of the frequencies of IFN- $\gamma$ -producing CD4<sup>+</sup> (**B**) and CD8<sup>+</sup> (**F**) T cells as well as the 642 643 frequencies of polyfunctional  $CD4^+$  (C) and  $CD8^+$  (G) T cells to ancestral (open dots) 644 or Omicron (solid dots) Spike among the 3×BNT (orange), 3×CorV (blue) and 645  $2 \times CorV + 1 \times BNT$  (purple) vaccinees. The mean frequencies were depicted under the 646 x-axis. The frequencies of IFN- $\gamma$ -producing CD4<sup>+</sup> (**D**) and CD8<sup>+</sup> (**H**) T cell to ancestral 647 Spike among 2×BNT, 3×BNT, 2×CorV, 3×CorV and 2×CorV+1×BNT vaccinees at 0-648 4 weeks (left) and >4 weeks (right) periods after vaccinations. Undetected (UD): % of 649 IFN- $\gamma^+$  cells<0.00781%. The green lines in **B**, **C**, **F**, **G** indicate the change of mean 650 responses to ancestral and Omicron Spike. The responses are depicted as the 651 background-subtracted percentage of S-specific T cells (Background subtraction 652 refers to the subtraction of the values of the negative control sample from the peptide-653 stimulated sample). The responder rates were depicted on the top of dots (% of IFN- $\gamma^+$ 654 cells>0.00781% termed 'responder' after subtracted from percentage of unstimulated 655 control). Each symbol represents an individual donor with a line indicating the mean 656 of each group. Statistics were generated by using 2-tailed Student's t test. Ns: no significance, \*p<0.05; \*\*p<0.01; \*\*\*p<0.001. 657

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659 Figure 4. Associations among humoral, cellular immune response and 660 breakthrough infection features. Correlogram of immune responses among 3×BNT 661 (A), 3×CorV (B), 2×CorV+1×BNT (C) and overall (D) vaccinees. Comparison of 662  $AM^+$  B cell frequency on Spike-specific B cells (E) and neutralizing titer against 663 BA.2 (F) between uninfected and infected vaccinees. Uninfected vaccinees, infected 664  $3 \times BNT$  vaccinees, infected  $3 \times CorV$  vaccinees and infected  $2 \times CorV + 1 \times BNT$ 665 vaccinees were presented as grey, orange, blue and purple dots, respectively. Statistics 666 were generated by using 2-tailed Student's t test. p<0.05. (G) Correlogram of clinical 667 characteristics and immune responses among patients. Spearman rank order 668 correlation values (r) are shown from red (-1.0) to blue (1.0); r values are indicated by 669 color and square size. p values are indicated by white asterisks. The green rectangles 670 denote SARS-CoV-2 Spike-specific B cell features, the purple triangle and rectangles 671 denote anti-SARS-CoV-2 variants' neutralizing antibody features, the red rectangles 672 denote the SARS-CoV-2 Spike-specific CD4 T cell features, the yellow rectangle 673 denotes the SARS-CoV-2 Spike-specific cTFH features and the black rectangles

674 denotes clinical characteristic features.

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# Figure 5. Immune responses after Omicron BA.2 breakthrough infection and the fourth vaccination

678 (A) Representative flow cytometry plots showing staining patterns of SARS-CoV-2 679 ancestral or BA.2 Spike probes on memory B cells (IgD<sup>-</sup> IgG<sup>+</sup> CD19<sup>+</sup>). (B-C) 680 Quantified results depict the percentage of ancestral (empty) and BA.2 (solid) Spike<sup>+</sup> 681 B cells in uninfected (B) and infected (C)  $3 \times BNT$  (orange),  $3 \times CorV$  (blue), 682  $2 \times CorV + 1 \times BNT$  (purple) and  $3 \times CorV + 1 \times BNT$  (grey) groups. The numbers above 683 the x-axis indicate the fold-change in frequency of positive B cells to ancestral and 684 BA.2 Spike. The numbers under x-axis indicate the mean frequencies of ancestral or 685 BA.2-specific B cells. Undetected (UD): % of Spike<sup>+</sup> cells<0.03125%. (D and G) 686 Representative flow cytometry plots showing the IFN- $\gamma^+$  cells among CD4<sup>+</sup> (**D**) and 687  $CD8^+$  (G) T cells to negative control, ancestral Spike and Omicron Spike peptide 688 pools. Quantified results depict the percentage of ancestral (empty) and Omicron 689 (solid)-specific IFN- $\gamma^+$  cells in uninfected (**E** and **H**) and infected (**F** and **I**) 3×BNT 690 (orange),  $3 \times CorV$  (blue),  $2 \times CorV + 1 \times BNT$  (purple) and  $3 \times CorV + 1 \times BNT$  (grey) 691 groups. The numbers above the figures indicate the fold-change in frequency of 692 positive T cells to ancestral and BA.2 Spike. The numbers under x-axis indicate the mean frequencies of ancestral or Omicron-specific IFN- $\gamma^+$  cells T cells. Undetected 693 (UD): % of IFN- $\gamma^+$  cells<0.00781%. Each symbol represents an individual donor. 694 695 Statistics were generated by using 2-tailed Student's t test. Ns: no significance, \* 696 p<0.05; \*\*p<0.01.

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698 Figure 6. Neutralizing antibody titer after BA.2 breakthrough infection and the 699 fourth vaccination. (A-E) The neutralizing antibody ( $IC_{50}$  represents serum dilution 700 required to achieve 50% virus neutralization) against five SARS-CoV-2 strains were 701 measured by pseudovirus-based assay among uninfected and infected 3×BNT 702 (orange),  $3 \times CorV$  (blue),  $2 \times CorV + 1 \times BNT$  (purple) and  $3 \times CorV + 1 \times BNT$  (grey) before (1<sup>st</sup>, empty dots) and after (2<sup>nd</sup>, solid dots) BA.2 infection or the fourth 703 704 vaccination. Black dots and lines represent the breakthrough infection sample in each group. Numbers on the figure top indicate the fold-change in NAb titer between 1<sup>st</sup> 705 and 2<sup>nd</sup> sample. Numbers under the x-axis indicate the geometric mean titers (GMT). 706 707 Statistics were generated by using 2-tailed Student's t test. \*p<0.05; \*\*\*p<0.001; ns: 708 not significant. (F-H) The ratio of SARS-CoV-2 VOC NAb IC<sub>50</sub> normalized against 709 the D614G NAb IC<sub>50</sub>. Orange line, blue line and purple line represent uninfected 710 3×BNT, 3×CorV and 2×CorV+1×BNT vaccinees. Black lines represent the infected 711 vaccinees in each group. Numbers on the figure top indicate the ratio for

712 corresponding VOCs.

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# 884 Supplementary materials

- 885 Supplementary Table 1. Significance in demographic characteristics among each
  886 vaccine cohort.
- 887
- 888 Supplementary Table 2. Characteristics of the third doses of SARS-CoV-2
  889 vaccinee cohorts.
- 890
- 891 Supplementary Table 3. Comparison in neutralizing antibody titers between 2892 dose and 3-dose vaccinations.
- 893
- 894 Supplementary Table 4. Comparison in antibody responder rates between 2-dose
  895 and 3-dose vaccination.
- 896

897 Supplementary Table 5. Characteristics of three doses and 4 doses of SARS-CoV-

898 2 vaccinees with or without BA.2 infection.

899

Supplementary Figure 1. SARS-CoV-2 NP-specific T cell responses. PBMCs from
 vaccinees were subjected to the intracellular cytokine staining assay against NP

902 peptide pool. IFN- $\gamma^+$  cells were gated on CD4 (A) and CD8 (B) T cells, respectively. 903 Quantified results depict the percentage of IFN- $\gamma^+$  cells as background subtracted 904 data from the same sample stimulated with negative control (anti-CD28/CD49d only). 905 Each symbol represents an individual donor with a line indicating the mean of each 906 group among the  $3 \times BNT$  (orange),  $3 \times CorV$  (blue) and  $2 \times CorV + 1 \times BNT$  (purple) 907 vaccinees. The mean frequency of IFN- $\gamma^+$  cells and responder rates were depicted 908 under x-axis (% of IFN- $\gamma$ + cells>0.00781% termed 'responder' after subtracted from 909 percentage of unstimulated control). Undetected (UD): % of IFN- $\gamma^+$  cells<0.00781%. 910 911 Supplementary Figure 2. SARS-CoV-2 Spike-specific cTFH responses. PBMCs

912 from vaccinees were subjected to the intracellular cytokine staining assay against

913 Spike peptide pools from ancestral or Omicron SARS-CoV-2. (A) IFN- $\gamma^+$  cells were 914

gated on cTFHs. (B) Quantified results depict the percentage of IFN- $\gamma^+$  cells as 915 background subtracted data from the same sample stimulated with negative control

916 (anti-CD28/CD49d only). Each symbol represents an individual donor with a line

917 indicating the mean of each group to ancestral (open dots) or Omicron (solid dots)

918 Spike among the  $3 \times BNT$  (orange),  $3 \times CorV$  (blue) and  $2 \times CorV + 1 \times BNT$  (purple)

919 vaccinees. The mean frequency of IFN- $\gamma^+$  cells and responder rates were depicted

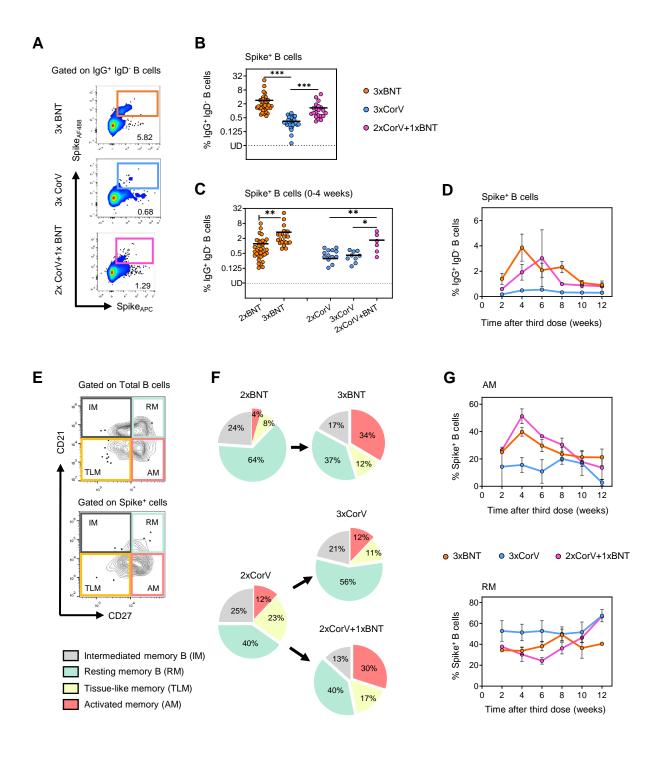
920 under x-axis. Undetected (UD): % of IFN- $\gamma^+$  cells<0.00781%. Statistics were

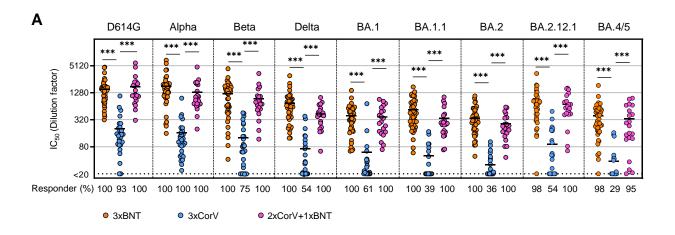
921 generated by using 2-tailed Student's t test. Ns: no significanceNs: no significance.

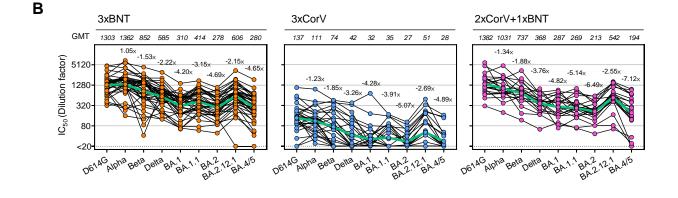
Vaccinations	2×BNT (n=169)	3×BNT (n=168)	2×CorV (n=34)	3×CorV (n=67)	2×CorV+1×BNT (n=32)
Infection rate % (No. patient/Total No.)	49.2% (78/169)	13.1% (22/168)	44.1% (15/34)	19.4% (13/67)	6.3% (2/32)
Patients	(n=78)	(n=22)	(n=15)	(n=13)	· (n=2)
Age, year (ranges in parentheses)	32 (24-58)	40 (27-60)	41 (24-64)	50 (20-62)	47.5 (37-58)
Gender Male (% of all participants)	60 (48.8%)	14 (12.3%)	9 (42.9%)	8 (18.2%)	2 (7.1%)
Female (% of all participants)	18 (39.1%)	8 (14.8%)	6 (46.2%)	5 (21.7%)	0 (0%)
Median interval days between latest vaccination and symptom onset (ranges in parentheses)	227 (140-332)	48.5 (10-111)	237 (52-341)	56 (7-109)	25.5 (10-41)
Asymptomatic rate % (No. Asymptomatic patient/No. total patient)	3.8% (3/78)	0% (0/22)	0 % (0/15)	0% (0/13)	0% (0/2)
Disease severity	Mild	Mild	Mild	Mild	Mild
Number of symptoms (ranges in parentheses)	4 (0-6)	3 (1-5)	3 (1-6)	2 (1-5)	3.5 (3-5)
Presentation to hospital % (No. patients presenting to hospital/No. total patient)	19.2% (15/78)	4.5% (1/22)	20% (3/15)	15.4% (2/13)	50% (1/2)
Duration of illness, days (ranges in parentheses)	7 (0-19)	7.5 (2-19)	8 (6-21)	8 (2-14)	9.5 (2-17)
The interval days between symptom onset and two negative RAT	8 (1-20)	9 (6-13)	8 (6-12)	9 (3-14)	8 (5-11)

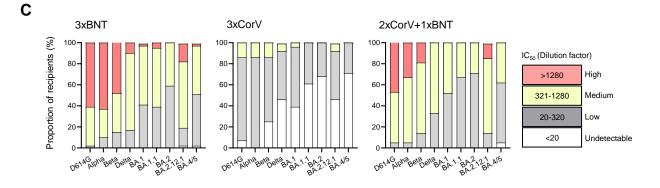
## Table 1. Demographic characteristics of breakthrough infection among 470 vaccinees

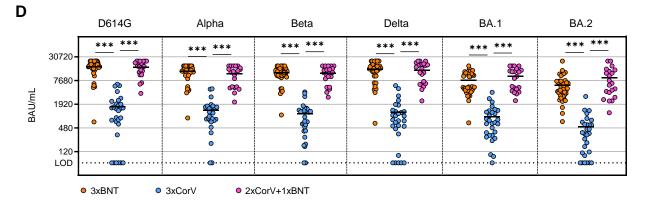
Values displayed are medians, with ranges in parentheses

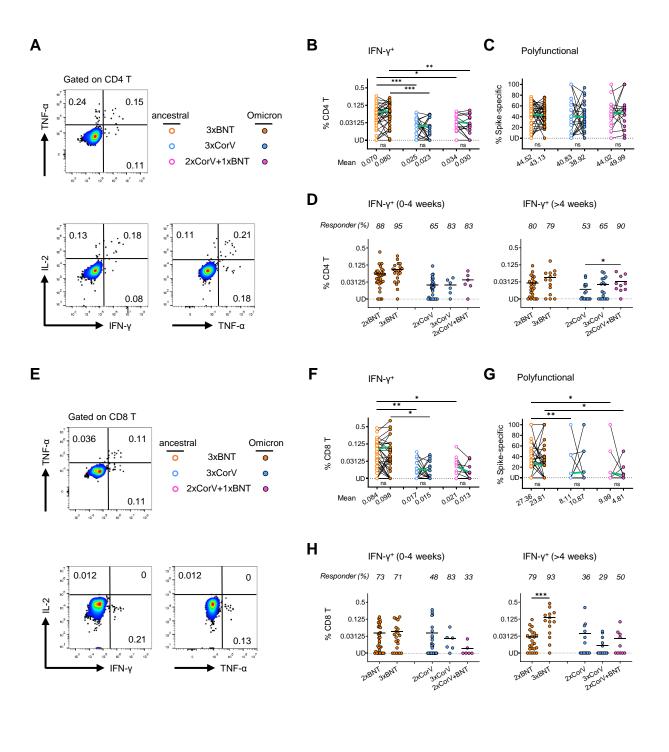


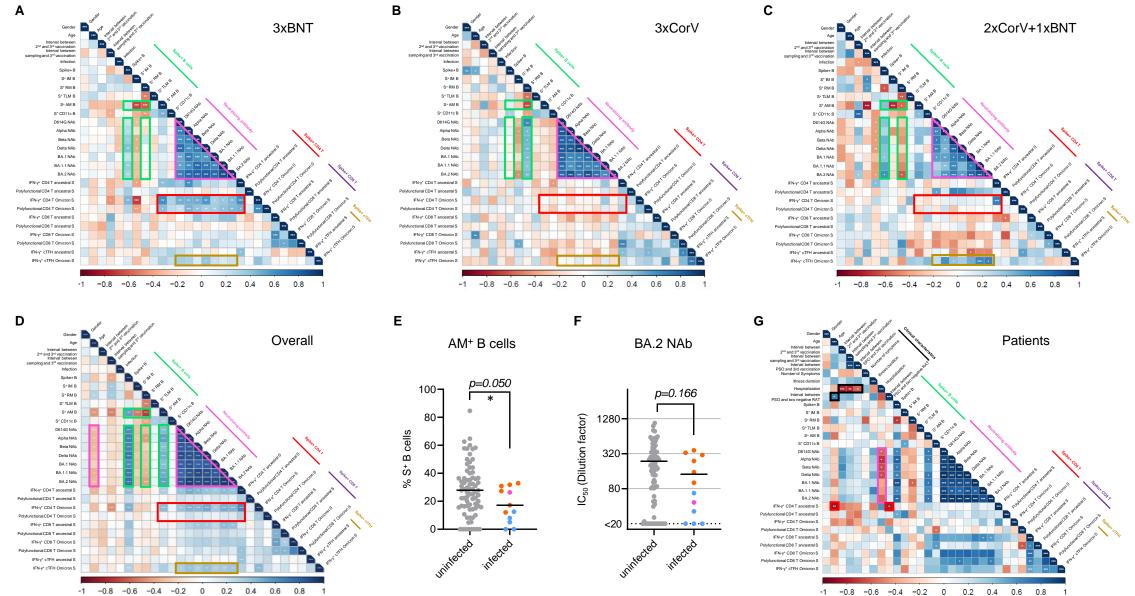


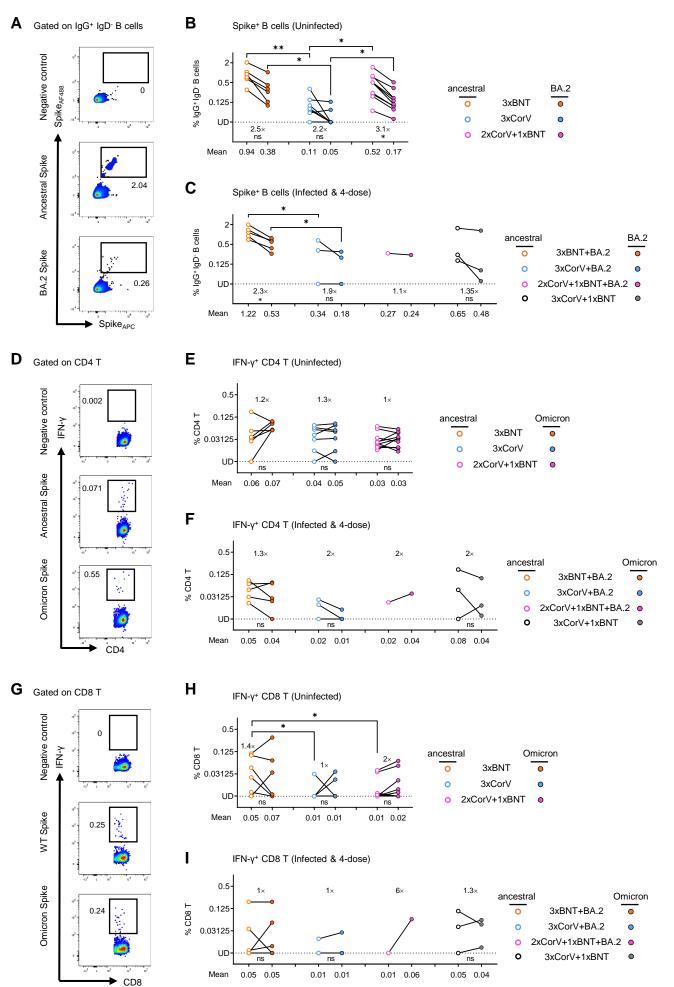


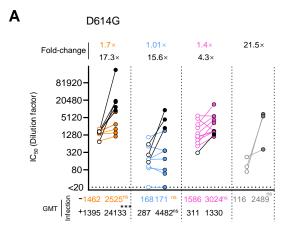


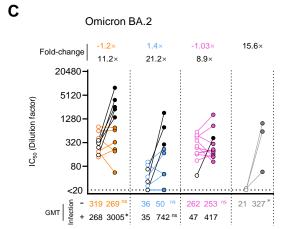


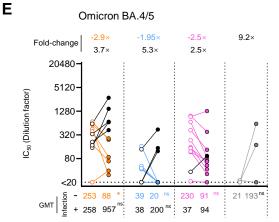


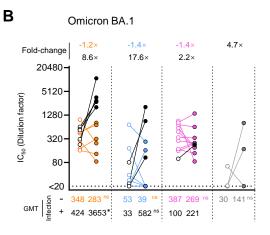


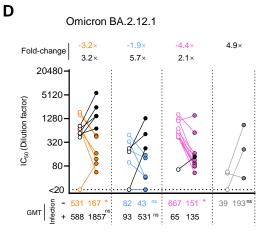


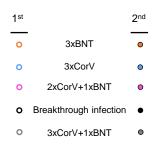












				P value			
- Vaccinations	2×BNT vs 3×BNT	2×CorV vs 3×CorV	2×CorV vs 2×BNT	3×CorV vs 3×BNT	2×CorV +1×BNT vs 2×CorV	2×CorV +1×BNT vs 3×CorV	2×CorV +1×BNT vs 3×BNT
Infection rate %	<0.0001	0.009	0.828	0.22	<0.0001	0.159	0.426
Age	<0.0001	0.2654	0.0006	0.1024	0.6595	0.9274	0.4714
Gender	0.21	1.0	0.294	1.0	0.515	0.524	1.0
Median interval days between latest vaccination and symptom onset	<0.0001	<0.0001	0.4355	0.3926	0.0027	0.1598	0.2162
Asymptomatic rate %	0.821	ns	1.0	ns	ns	ns	ns
Number of symptoms	0.3009	0.2634	0.7435	0.6130	0.8218	0.3983	0.5026
Presentation to hospital %	0.183	1.0	1.0	0.541	0.426	0.371	0.163
Duration of illness, days	0.8024	0.4392	0.1780	0.8306	0.9264	0.6639	0.8108
The interval days between symptom onset and two negative RAT	0.9501	0.474	0.3277	0.8324	0.9645	0.7541	0.5315

# Supplementary Table 1. Significance in demographic characteristics among each vaccine cohort.

### Supplementary Table 2. Characteristics of the two and three doses of SARS-CoV-2 vaccinee cohorts who included for comparison of immune responses

Characteristics	2xBNT (n=27)	2xCorV (n=16)	3xBNT (n=41)	3xCorV (n=28)	2xCorV+1xBNT (n=21)	
Age	30 (22-66)	27 (22-33)	46 (27-55)	51 (40-58)	47 (32-53)	
Gender						
Male (n)	11	10	29	15	19	
Female (n)	16	6	12	13	2	
Days between the 1st and 2nd dose	21 (20-31)	28 (22-35)	23 (21-36)	28 (28-71)	29 (28-97)	
Days between the 2nd and 3rd dose	-	-	236 (180-283)	236 (191-287)	240 (189-284)	
Days between last vaccination and blood collection	31 (7-47)	27 (10-105)	23 (7-75)	56 (13-77)	47 (7-77)	
Number of Infection after last vaccination	0	0	6	5	1	
Values displayed are	medians, with rang	ges in parentheses				

Values displayed are medians, with ranges in parentheses

Vaccinations	Homologous BNT162b2			Homologous CoronaVac			Heterologous BNT162b2		
	2×BNT 3×BNT			2×CorV 3×CorV			2×CorV+1×BNT		
0-4 weeks after vaccination	n=9	n=24		n=9	n=8		n=6	;	
Median time (days) post-vaccination	14 (7-26)	16 (7-28)	ns	25 (10-28)	20 (13-28)	ns	18.5 (7-24)	ns	
	<sup>†</sup> NAb IC <sub>50</sub> G	MT (95% CI)	<sup>‡</sup> Fold	†NAb IC <sub>50</sub> G	MT (95% CI)	<sup>€</sup> Fold	†NAb IC <sub>50</sub> GMT (95% Cl)	<sup>δ</sup> Fold	
D614G	736 (334-1621)	1393 (1061-1830)	1.9 <sup>ns</sup>	80 (39-165)	181 (106-308)	2.3 <sup>ns</sup>	1242 (481-3204)	15.6 **	
Alpha	589 (242-1434)	1545 (1099-2171)	2.6 <sup>ns</sup>	80 (30-215)	90 (43-185)	1.1 <sup>ns</sup>	1000 (387-2582)	12.5 **	
Beta	143 (41-491)	923 (608-1400)	6.5 **	24 (15-38)	109 (49-244)	4.5 *	788 (253-2459)	32.8 **	
Delta	241 (86-677)	586 (425-807)	2.4 <sup>ns</sup>	28 (20-38)	46 (19-116)	1.6 <sup>ns</sup>	324 (123-852)	11.6 ***	
BA.1	66 (32-140)	295 (215-404)	4.5 **	22 (18-28)	36 (20-66)	1.6 <sup>ns</sup>	238 (105-535)	10.8 *	
BA.1.1	30 (15-58)	411 (287-589)	12.1 **	21 (19-22)	58 (27-124)	2.8 **	257 (106-626)	12.2 **	
BA.2	43 (17-109)	273 (202-370)	13.7 **	20 (20-20)	28 (17-46)	1.4 <sup>ns</sup>	202 (87-465)	10.1 ***	
BA.2.12.1	50 (20-126)	707 (514-971)	14.1 **	20 (20-20)	65 (26-164)	3.3 *	521 (155-1744)	26.1 **	
BA.4/5	67 (24-187)	339 (232-496)	5.1 *	20 (20-20)	37 (17-81)	1.9 <sup>ns</sup>	298 (37-1062)	14.9 **	
4 weeks after vaccination	n=18	n=17		n=7	n=20		n=15	5	
Median time (days)	31 (30-47)	45 (30-75)	ns	40 (32-105)	66 (30-77)	ns	59 (35-77)	ns	
	†NAb IC <sub>50</sub> GM <sup>-</sup>	Г (95% CI)	<sup>‡</sup> Fold	†NAb IC <sub>50</sub> G	MT (95% CI)	<sup>€</sup> Fold	<sup>†</sup> NAb IC <sub>50</sub> GMT (95% Cl)	<sup>δ</sup> Fold	
D614G	399 (297-536)	1106 (832-1471)	2.8 ***	21 (25-105)	122 (77-194)	5.8 <sup>ns</sup>	1443 (1018-2044)	68.7 **	
Alpha	687 (44-1051)	1140 (760-1709)	1.7 <sup>ns</sup>	44 (20-96)	121 (82-179)	2.8 <sup>ns</sup>	1044 (726-1501)	23.7 **	
Beta	132 (72-243)	762 (448-1296)	5.8 ***	20 (20-20)	64 (38-107)	3.2 <sup>ns</sup>	718 (503-1025)	35.9 ***	
Delta	110 (79-153)	584 (407-839)	5.3 ***	23 (16.8-32)	41 (26-63)	1.8 <sup>ns</sup>	387 (293-511)	16.8 ***	
BA.1	37 (24-58)	326 (219-484)	8.8 ***	20 (20-20)	31 (21-46)	1.6 <sup>ns</sup>	310 (203-473)	15.5 ***	
BA.1.1	31 (22-41)	284 (485-435)	9.2 ***	20 (20-20)	29 (21-40)	1.5 <sup>ns</sup>	274 (186-402)	13.7 **	
BA.2	28 (21-38)	284 (199-406)	10.1***	20 (20-20)	27 (21-34)	1.4 <sup>ns</sup>	218 (152-311)	10.9 **	
BA.2.12.1	36 (25-53)	488 (285-836)	13.6***	20 (20-20)	47 (28-78)	2.4 <sup>ns</sup>	550 (361-838)	27.5 ***	
BA.4/5	36 (23-56)	214 (135-340)	5.9 ***	20 (20-20)	25 (20-32)	1.3 <sup>ns</sup>	193 (104-357)	9.7 *	

Supplementary Table 3. Comparison in neutralizing antibody titers between 2-dose and 3-dose vaccinations.

<sup>+</sup>The neutralizing antibody titer was measured as the geometric mean titer (GMT) and 95% confidence interval (95% CI) of the 50% inhibitory concentrations (IC<sub>50</sub>) against the series SARS-CoV-2 variants. <sup>‡</sup>Fold indicates the change of neutralizing antibody titers in 3xBNT relative to 2xBNT.

<sup>€</sup>Fold indicates the change of neutralizing antibody titers in 3xCorV relative to 2xCorV.

 $^{\circ}$ Fold indicates the change of neutralizing antibody iters in oxcorV+1xBNT relative to 2xCorV. Significant differences in neutralizing antibody iters between 2-dose and 3-dose were performed using the 2-tailed Student's t test. ns: no significance; \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001.

Vaccinations		-	s BNT162b2	Homologous	CoronaVac	Heterologous BNT162b2		
		2×BNT	3×BNT	2×CorV	3×CorV	2×CorV+1×BNT		
				0-4 weeks after	r vaccination			
Median time (days) post-vaccination		14 (7-26)	16 (7-28)	25 (10-28)	20 (13-28)	18.5 (7-24)		
			Responder ra	ate % (No. participa	ants with response/	Total No.)		
	D614G	100 (9/9)	100 (24/24)	89 (8/9)	100 (8/8)	100 (6/6)		
	Alpha	100 (9/9)	100 (24/24)	67 (6/9)	100 (8/8)	100 (6/6)		
	Beta	67 (6/9)	100 (24/24)	11 (1/9)	88 (7/8)	100 (6/6)		
	Delta	89 (8/9)	100 (24/24)	56 (5/9)	63 (5/8)	100 (6/6)		
	BA.1	67 (6/9)	100 (24/24)	11 (1/9)	88 (7/8)	100 (6/6)		
BA.1.1 BA.2 BA.2.12.1		22 (2/9)	100 (24/24)	11 (1/9)	63 (5/8)	100 (6/6)		
		33 (3/9)	100 (24/24)	0 (0/9)	38 (3/8)	100 (6/6)		
		67 (6/9)	100 (24/24)	0 (0/9)	63 (5/8)	100 (6/6)		
	BA.4/5	56 (5/9)	100 (24/24)	0 (0/9)	38 (3/8)	100 (6/6)		
				>4 weeks after	vaccination			
Median time post-vaccina		31 (30-47)	45 (30-75)	40 (32-105)	66 (30-77)	59 (35-77)		
		Responder rate % (No. participants with response/Total No.)						
	D614G	100 (18/18)	100 (17/17)	86 (6/7)	90 (18/20)	100 (15/15)		
	Alpha	100 (18/18)	100 (17/17)	71 (5/7)	100 (20/20)	100 (15/15)		
	Beta	89 (16/18)	100 (17/17)	0 (0/7)	70 (14/20)	100 (15/15)		
	Delta	94 (17/18)	100 (17/17)	71 (5/7)	50 (10/20)	100 (15/15)		
	BA.1	50 (9/18)	100 (17/17)	0 (0/7)	50 (10/20)	100 (15/15)		
	BA.1.1	39 (7/18)	100 (17/17)	0 (0/7)	30 (6/20)	100 (15/15)		
	BA.2	39 (7/18)	100 (17/17)	0 (0/7)	35 (7/20)	100 (15/15)		
	BA.2.12.1	56 (10/18)	94 (16/17)	0 (0/7)	50 (10/20)	100 (15/15)		
	BA.4/5	33 (6/18)	94 (16/17)	0 (0/7)	25 (5/20)	93 (14/15)		

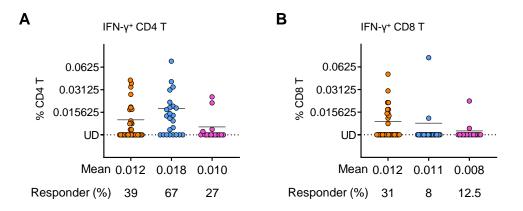
#### Supplementary Table 4. Comparison in antibody responder rates between 2-dose and 3-dose vaccination.

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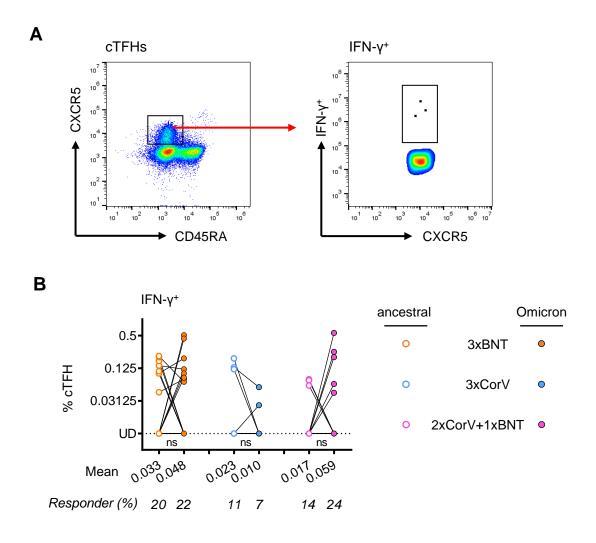
# Supplementary Table 5. Characteristics of three doses and four doses of SARS-CoV-2 vaccinees with or without BA.2 infection

Characteristics	3xBNT (n=11)		3xCorV (n=10)		2xCorV+1xBNT (n=11)		3xCorV+1xBNT (n=3)
BA.2 infection	Without (n=6)	With (n=5)	Without (n=7)	With (n=3)	Without (n=10)	With (n=1)	Without (n=3)
Age	35 (30-42)	40 (40-49)	50 (42-57)	50 (48-58)	46 (32-52)	37	53 (50-56)
Gender							
Male (n)	4	4	3	1	9	1	2
Female (n)	2	1	4	2	1	0	1
Days between last vaccination and 1 <sup>st</sup> blood collection	31.5 (14-56)	31 (14-59)	56 (20-70)	47 (35-70)	38.5 (14-77)	7	63 (30-73)
Days between last vaccination and 2 <sup>nd</sup> blood collection	210.5 (193-235)	210 (193-238)	235 (199-249)	226 (214-249)	217.5 (193-256)	186	40 (14-47)
Days between symptom onset last vaccination and 2 <sup>nd</sup> blood collection	-	134 (133-148)	-	147 (123-165)	-	145	-

Values displayed are medians, with ranges in parentheses



Supplementary Figure 1. SARS-CoV-2 NP-specific T cell responses. PBMCs from vaccinees were subjected to the intracellular cytokine staining assay against NP peptide pool. IFN- $\gamma^+$  cells were gated on CD4 (A) and CD8 (B) T cells, respectively. Quantified results depict the percentage of IFN- $\gamma^+$  cells as background subtracted data from the same sample stimulated with negative control (anti-CD28/CD49d only). Each symbol represents an individual donor with a line indicating the mean of each group among the 3xBNT (orange), 3xCorV (blue) and 2xCorV+1xBNT (purple) vaccinees.. The mean frequency of IFN- $\gamma^+$  cells and responder rates were depicted under x-axis (% of IFN- $\gamma^+$  cells>0.00781% termed 'responder' after subtracted from percentage of unstimulated control). Undetected (UD): % of IFN- $\gamma^+$  cells



**Supplementary Figure 2. SARS-CoV-2 spike-specific cTFH responses.** PBMCs from vaccinees were subjected to the intracellular cytokine staining assay against Spike peptide pools from ancestral or Omicron SARS-CoV-2. (**A**) IFN- $\gamma^+$  cells were gated on cTFHs. (**B**) Quantified results depict the percentage of IFN- $\gamma^+$  cells as background subtracted data from the same sample stimulated with negative control (anti-CD28/CD49d only). Each symbol represents an individual donor with a line indicating the mean of each group to ancestral (open dots) or Omicron (solid dots) Spike among the 3×BNT (orange), 3×CorV (blue) and 2×CorV+1×BNT (purple) vaccinees. The mean frequency of IFN- $\gamma^+$  cells and responder rates were depicted under x-axis. Undetected (UD): % of IFN- $\gamma^+$  cells<0.00781%. Statistics were generated by using 2-tailed Student's t test. Ns: no significanceNs: no significance.