1 A framework for summarizing chromatin state annotations within

2 and identifying differential annotations across groups of samples

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18 Abstract

19 Motivation: Genome-wide maps of epigenetic modifications are powerful resources for non-20 coding genome annotation. Maps of multiple epigenetics marks have been integrated into cell or 21 tissue type-specific chromatin state annotations for many cell or tissue types. With the increasing 22 availability of multiple chromatin state maps for biologically similar samples, there is a need for 23 methods that can effectively summarize the information about chromatin state annotations within 24 groups of samples and identify differences across groups of samples at a high resolution. 25 **Results:** We developed CSREP, which takes as input chromatin state annotations for a group of 26 samples and then probabilistically estimates the state at each genomic position and derives a 27 representative chromatin state map for the group. CSREP uses an ensemble of multi-class 28 logistic regression classifiers to predict the chromatin state assignment of each sample given the

state maps from all other samples. The difference of CSREP's probability assignments for two
groups can be used to identify genomic locations with differential chromatin state patterns.

Using groups of chromatin state maps of a diverse set of cell and tissue types, we demonstrate the advantages of using CSREP to summarize chromatin state maps and identify biologically relevant differences between groups at a high resolution.

Availability and implementation: The CSREP source code is openly available under
http://github.com/ernstlab/csrep.

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37 Introduction

38 Genome-wide maps of chromatin marks such as histone modifications and variants 39 provide valuable information for annotating non-coding genome features (Barski *et al.*, 2007;

Ernst *et al.*, 2011; Zhu *et al.*, 2013; Xie *et al.*, 2013). Efforts by large consortia and individual labs have produced chromatin state maps for many cell and tissue types (Roadmap Epigenomics Consortium et al., 2015; Consortium, 2012; Zhu *et al.*, 2013; Barski *et al.*, 2007). A popular representation of such data is chromatin states defined by the combinatorial and spatial patterns of multiple marks, which are generated by methods such as ChromHMM and Segway (Libbrecht *et al.*, 2021)(Ernst and Kellis, 2010, 2012; Hoffman *et al.*, 2012), and correspond to diverse classes of genomic elements including various types of enhancers and promoters.

47 Chromatin state maps have been produced for hundreds of different biological samples. 48 In many cases there are multiple samples representing similar cell and tissue types (Boix *et al.*, 49 2021; Roadmap Epigenomics Consortium et al., 2015). In such cases, to simplify analyses and 50 visualizations, it may be desirable to have a single chromatin state annotation that summarizes 51 the annotations for all samples from each group. A straightforward approach to this task is to 52 take the most frequent chromatin state assigned at each position across samples. However, when 53 the number of samples per group is small or the number of states is large, such an approach can 54 be particularly vulnerable to noise. Furthermore, such an approach does not consider additional 55 information available about the different chromatin states. For example, if a location was 56 assigned to three different states in three samples, the summary annotation among these three 57 states based on the frequency-based method would be arbitrary. However, by leveraging 58 information about the co-occurrence of state assignments genome-wide, there is additional 59 information to predict the most likely chromatin state annotation for a new sample from the 60 group.

61 A related challenge is to identify differences in chromatin state annotations between two 62 groups at a high resolution and on a per-state basis. Methods such as ChromDiff, chromswitch, 63 and EpiAlign (Yen and Kellis, 2015; Jessa and Kleinman, 2018; Ge et al., 2019) can identify 64 chromatin state differences between samples, but only calculate a measure of difference for a 65 broad domain (e.g. a gene body), encompassing a large number of genomic bins for which the 66 states are defined. Additionally, EpiAlign and Chromswitch are designed to measure the 67 difference in annotations for one user-input query region in each run, and are not designed to generate genome-wide output, which is our focus. Another approach, EpiCompare (He and 68 69 Wang, 2017) presented an approach for identifying differential enhancer chromatin states across 70 cell or tissue tissue types, but did not consider other types of chromatin states. SCIDDO (Ebert 71 and Schulz, 2020) can detect genome-wide significant differential chromatin domains between 72 two groups of samples while incorporating a measure of similarity among states. However, 73 SCIDDO only provides a single differential score per position and does not directly answer the 74 question of what chromatin state switch occurs at each genomic position. Another method, dPCA 75 (Ji et al., 2013), works directly on chromatin mark signals and does not quantify state differences 76 across groups of samples.

77 To effectively summarize the chromatin state annotations for a group of samples and prioritize the chromatin state differences between two groups on a per-state basis, at high 78 79 resolution, we introduce CSREP. CSREP leverages both the information about the input 80 samples' chromatin states at a position being summarized, as well as information of states' co-81 occurrences in different samples within the same group across the genome. CSREP does this by 82 first generating probabilistic estimates of chromatin state annotations by using an ensemble of 83 multi-class logistic regression classifiers that predict the state assignment in a sample at a 84 position, given the annotations in other samples, at the corresponding genomic position. From 85 those predictions, CSREP is then able to produce a single summary state assignment per

position. CSREP can also use the difference of summary probabilistic predictions for two groups
of samples to quantify the difference in state assignments between the two groups on a per-state
basis, e.g. one genome-wide score track per chromatin state.

89 Using CSREP, we generated the summary chromatin state maps for 11 groups of 90 tissue/cell types from Roadmap Epigenomic Project (Roadmap Epigenomics Consortium et al., 91 2015), and for 75 groups from the Epimap Portal (Boix *et al.*, 2021). We show that CSREP can 92 better predict chromatin state assignments in held-out samples than a counting-based baseline 93 method. We also verify that the resulting summary chromatin maps show correspondence with 94 the group's average gene expression profile. Additionally, we show that CSREP's differential 95 scores can recover differential epigenetic signals on chromosome X between male and female 96 samples. We also show that CSREP differential scores between samples from two different 97 tissue groups can predict regions of differential peaks for various chromatin marks. The CSREP 98 implementation is designed to be user-friendly and includes a detailed tutorial, available at 99 https://github.com/ernstlab/csrep. We expect CSREP will be a useful tool for summarizing 100 chromatin state maps within groups and finding differences across groups. Additionally, the 101 summary annotations for different tissue groups that we generated with CSREP are expected to 102 be useful resource.

103 **Results**

104 **CSREP method**

105 CSREP takes as input chromatin state maps for a group of samples learned based on a 106 concatenation approach (Ernst and Kellis, 2010, 2012) to ensure that annotations for different 107 samples share chromatin state definitions. CSREP then generates as output (1) a summary 108 probabilistic chromatin state assignment matrix and (2) a summary state map track for the group.

109 The summary state assignment matrix represents the probabilities of each state being present at 110 each genomic position in a new sample of that group. To generate these, CSREP takes a 111 supervised learning approach, leveraging information about the co-occurrence of states from the 112 different samples across the genome. Specifically, for each group of input samples, CSREP 113 trains an ensemble of multi-class logistic regression classifiers (Hastie et al., 2009) to generate 114 probabilistic predictions for each chromatin state at each position (Fig. 1A, Methods). We used 115 multi-class logistic regression classifiers since they provide well calibrated probabilities, are 116 robust, and relatively fast to train. Each classifier is trained with *labels* based on the chromatin 117 state assignments from one sample and *features* based on the chromatin states in other samples 118 for the same genomic positions. Each classifier then makes a probabilistic prediction of the 119 chromatin state assigned at each genomic position in the target sample. The chromatin state input 120 features to each logistic regression classifier are represented with a one-hot-encoding of the 121 chromatin states. The classifiers are trained on randomly selected genomic positions that 122 constitute 10% of the genome, while the predictions are calculated genome-wide. The resolution 123 of predictions is the same as that of input samples' chromatin state maps (200bp with default 124 settings from ChromHMM). The prediction result for each sample's chromatin state map are 125 represented in a matrix with rows corresponding to genomic positions and *columns* chromatin 126 states. The values in each row sum to 1, representing the probabilities of state assignments at a 127 genomic position. The probabilistic summary of a group is based on averaging the prediction 128 output matrices for each sample in the group. These probabilistic predictions are then used to 129 generate a summary chromatin state map for the group of samples by assigning the state with 130 maximum assignment probability to each genomic position.

131 CSREP's summary probabilistic predictions can be directly used to generate differential 132 chromatin state maps for two groups with multiple samples. This is achieved by subtracting the 133 summary chromatin state assignment matrices of one group (first group) from the other's 134 (second group) (Fig. 1B, Methods). At each genomic position, CSREP's chromatin differential scores for individual chromatin states are bounded between -1 and 1, with a score of 1 in state S 135 136 meaning state S was predicted to be the annotation for the first and second groups with 137 probabilities 1 and 0 respectively, and vice versa for -1 (Fig. 1C). Overall, in addition to 138 summarizing the state assignments for groups of samples, CSREP can calculate scores of 139 differential chromatin state assignments for pairs of groups at the resolution of the input 140 chromatin state maps.

141 **CSREP** is predictive of chromatin states on held-out samples

We applied CSREP to a compendium of 18-state chromatin state maps for 64 samples (reference epigenomes) from 11 tissue groups generated by the Roadmap Epigenomics Project (Roadmap Epigenomics Consortium et al., 2015). The tissue groups include embryonic stem cells (ESCs), induced pluripotent stem cells (iPSC), ESC-derived cells, blood & T-cells, HSC & B-cells, epithelial, brain, muscle, heart, smooth muscle and digestive. The numbers of input samples for each tissue group range from 3 to 12. We provide the CSREP's genomewide summary probabilistic and hard state assignments for 11 tissue groups (**Data availability**).

We first visualized CSREP's summary chromatin state maps for a group of samples from digestive and heart tissue groups, which have 10 and 3 samples, respectively (**Fig. 2A, Supp. Fig. 1-4**). For each group, we arbitrarily selected four 500-kb regions and visualized the input chromatin state maps and CSREP's output probabilistic estimates and summary state map at

such genomic windows. We observed expected correspondence between the groups' input and
output chromatin state assignment estimates (Fig. 2A, Supp. Fig. 1-4).

To quantitatively evaluate CSREP's summary output for a group of samples, we evaluated the accuracy of CSREP's summary probabilistic chromatin state predictions in a leaveone-out cross-validation analysis. In particular, for each chromatin state, we calculated Area Under the Receiver Operating Characteristic (AUROC) curve for predicting genomic locations assigned to the state in the left-out sample from the group (**Supp. Methods**). We compared the performance of CSREP against a baseline method, denoted base_count, which counts each state's frequency across input samples at each genomic position (**Supp. Methods**).

162 CSREP showed strong predictive performance for chromatin states in left-out samples 163 with average AUROCs across 64 samples varying from 0.871 to 0.993 for the 18 states. Across 164 the 18 states, CSREP consistently had better AUROC in recovering individual states compared 165 to the baseline method base_count (**Fig. 2B**). The average AUROC improvements by CSREP 166 compared to base_count ranged from 0.003 (for state 18_Quies) to 0.157 (for state 4_ 167 TSSFInkD). Larger performance improvements by CSREP relative to base_count are observed 168 for all chromatin states when there are fewer input samples in the group (**Supp. Fig. 5**).

169 CSREP summary chromatin state maps' association with gene expression

Transcription start sites (TSS) are marked by different histone modifications and variants that can correlate gene transcription (Kimura, 2013; Soboleva *et al.*, 2014). Here, we evaluated how CSREP's summary state map for a tissue group is predictive of the group's gene expression profiles at transcription start sites (TSS) of genes. First, we obtained gene expression data for available samples for the 11 tissue groups as above, and calculated the average protein-coding gene expression for each group (**Supp. Methods**). We then calculated the Pearson correlation 176 between (1) the group's average expression for protein coding genes and (2) CSREP's summary 177 state assignment probabilities for state 1 TssA (active TSS state) at the corresponding genes' 178 TSSs. We did the same evaluation for base count. CSREP had significantly higher correlations 179 than base count (paired t-test p-value: 0.009, average 0.550 vs. 0.534, **Supp. Methods**). We next 180 extended this analysis for a larger dataset for 552 samples in 75 groups from EpiMap repository 181 based on state 1_TssA from the same 18-state annotations (Boix et al., 2021) (Supp. Methods). 182 The 75 groups were previously formed based on tissue types and developmental stages with the 183 number of samples per group ranging from 3 to 38 (Supp. Methods, Data Availability). Of the 184 75 groups, 65 also had gene expression data available for at least one sample. Across these 65 185 groups, again CSREP's had significantly higher correlations than base_count (paired t-test p-val: 186 5.5e-08, average 0.545 vs. 0.538, Supp. Methods). Overall, CSREP's summary chromatin state 187 maps at TSS for the TssA state show significantly higher correspondence with gene expression 188 levels compared to the base count method.

189 CSREP detects differential chromatin regions associated with different sexes

We next investigated the performance of CSREP at identifying biologically meaningful chromatin state changes between groups of male and female samples based on its ability to prioritize chromatin state differences on chromosome X (chrX) relative to autosomal chromosomes. Specifically, we applied CSREP to calculate differential chromatin state scores between 25 female and 44 male samples from Roadmap Epigenomics (**Supp. Methods**) (Yen and Kellis, 2015; Ge *et al.*, 2019) by subtracting CSREP's summary state probability matrix for the female samples from the corresponding matrix for the male samples.

197 We analyzed CSREP's differential scores for all chromatin states across autosomal 198 chromosomes and chrX (**Fig. 3A, Supp. Fig 6-7**). Three states with the largest magnitude of

199 difference in mean scores between the sex chrX and autosomes were states 13 Het 200 (heterochromatin, marked by H3K9me3), 17 ReprPCWk (weak polycomb repressed complex) 201 and 18 Quies (quiescent). In chrX, compared to autosomal chromosomes, the distribution of 202 differential scores for states 13 Het and 17 ReprPCWk showed a larger tail of negative. ChrX's 203 average score minus the autosomes' average score values for states 13_Het and 17_ReprPCWk 204 were -0.039 and -0.054, respectively (Supp. Fig. 7), implying that on chromosome X, female 205 samples are more often assigned to these states compared to male samples. State 18 Quies 206 showed the opposite trend with a difference of 0.11(Fig. 3A, Supp. Fig. 7). These results are 207 consistent with sex-specific chrX inactivation, which is used in female mammals to achieve 208 dosage compensation between the two sexes (Wutz, 2011; Yen and Kellis, 2015).

209 We next compared the performance of CSREP and other methods in recovering 210 annotated transcription start sites (TSSs) on chrX, using the above-mentioned states, given 211 varying numbers of input samples (Supp. methods) (Fig. 3B). To do this, we randomly selected 212 30 subsets of size n male and n female samples from the set of available 44 male and 25 female 213 samples, where n is varied within the set of 3, 5, 9, 12 or 15 samples. Given each set of input 214 male and female samples, we calculated the receiver operating characteristic (ROC) curve when 215 using differential chromatin scores between male and female groups to predict locations 216 overlapping annotated TSSs on chrX, against the background of those overlapping all annotated 217 TSSs in the genome (Supp. Methods). We observed that CSREP showed the largest advantage 218 over base count, as measured by AUROCs, when the number of input samples from Male and 219 Female groups is relatively small, e.g. 3 samples in each group (**Fig. 3B**). As the number of input 220 samples from each group increases sufficiently, the overall gap of performance between CSREP 221 and base_count goes away. In all cases, CSREP and base_count show better performance

compared to SCIDDO (Ebert and Schulz, 2020) (Fig. 3B). Overall, CSREP showed the greatest
 advantage over other approaches when the number of samples is relatively small, which occurs
 frequently in practice.

225 CSREP differential scores recover differential chromatin mark peaks

226 We next analyzed how well CSREP's, base-count's and SCIDDO's differential 227 chromatin state scores can predict genomic regions overlapping differential signals of DNase I 228 hypersensitivity (DNase), H3K9ac and H3K27ac between samples from embryonic stem cell 229 (ESC) and brain. DNase and H3K9ac signals were not used for learning the 18-state model used 230 to annotate the two groups' input samples, providing an independent validation. While H3K27ac 231 was used in learning the input chromatin state maps, since all the methods being compared 232 (CSREP, base_count, SCIDDO) had access to the same maps as input, and H3K27ac is a well-233 established mark of cell-type specific activity (Creyghton et al., 2010), we still considered 234 H3K27ac in the evaluations of methods' performance.

235 For each of the three chromatin marks, we first obtained a set of bases that are present in 236 peaks in all samples from ESC but not in any from the Brain group and vice versa (Supp. 237 Methods). We then calculated CSREP and base_count differential chromatin scores by 238 subtracting the summary chromatin state map of Brain from that of the ESC. Additionally, we 239 applied SCIDDO to the same set of input data (Supp. Methods). We evaluated, in terms of 240 AUROC, how well the methods prioritize regions overlapping bases in the ESC-/brain-specific 241 sets of peaks (Supp. Methods). For CSREP and base_count, we conducted separate evaluations 242 for each chromatin state, but did *not* for SCIDDO since it outputs one score track that measures 243 the overall difference across the chromatin state landscape between the two groups.

244 Across the different marks being evaluated, the highest AUROCs were consistently from 245 CSREP based on its scores for either from promoter or enhancer associated states (Fig. 4). For 246 example, for identifying brain specific H3K9ac peaks, CSREP had an AUROC of 0.717 based 247 on the evaluation with state 9 EnhA1, an active enhancer state, while the maximum AUROC 248 achieved for base_count was 0.617 and SCIDDO's AUROC was 0.564. These analyses suggest 249 that CSREP differential scores better correspond to locations of individual mark differences 250 between two groups of samples genomewide, compared to other approaches that also aim to 251 identify chromatin state differences between two groups. The advantage of CSREP over 252 SCIDDO may in part be due to CSREP producing scores with respect to specific chromatin 253 states and including the direction of change (with positive/negative scores implying one group's 254 higher state assignment probabilities compared to the other's).

255 Discussion

256 Here, we proposed CSREP, a method for probabilistically summarizing the chromatin 257 state maps from a group of samples. CSREP achieves this by training multi-class logistic 258 regression models to predict the chromatin state annotations of one sample using data from 259 others, and then averaging the prediction probabilities across all samples in the group. CSREP 260 outputs the probabilities of each chromatin state being assigned to each genomic position, at the 261 same resolution that chromatin states are annotated. We applied CSREP to generate summary 262 18-state chromatin state assignment probability matrices for 11 groups of cell and tissue types 263 from Roadmap Epigenomics Project (Roadmap Epigenomics Consortium et al., 2015), and 75 264 groups of samples stratified by cell and tissue types and developmental phases from EpiMap 265 (Boix et al., 2021), and have made them publicly available (Data Availability).

Our analyses reveal that CSREP's probabilistic summary of state assignments better predicts the chromatin states of held out samples compared to the counting-based baseline approach. We also showed that CSREP's summary assignment probabilities of state 1_TssA at TSS was well correlated with the average gene expression of the group, and significantly higher than those achieved by the counting-based baseline.

271 CSREP can also be used to directly quantify the difference in chromatin state maps 272 between two groups with multiple samples, at the resolution of the input annotations. CSREP 273 produces differential scores for each chromatin state at each genomic position, which represent 274 the difference in probabilities that samples from two input groups are assigned to each specific 275 state. Therefore, CSREP differential scores are bounded (-1 to 1), interpretable with respect to 276 specific chromatin state changes, and indicative of the direction of change, which contrasts it 277 with other approaches that provide a single score showing magnitude of difference per genomic 278 position. We used CSREP to compare the chromatin state annotations between male and female 279 samples from Roadmap Epigenomics (Roadmap Epigenomics Consortium et al., 2015), and 280 showed that CSREP can better predict regions overlapping genes' TSS on chrX, particularly 281 when there are few samples in each group. CSREP's differential scores for states associated with 282 active enhancers and promoters better recovered tissue-group-specific peaks of 283 DNase/H3K27ac/H3K9ac signals compared to alternative approaches, suggesting that CSREP 284 provides useful additional information for analyzing epigenomic changes across tissue types. 285 Future work could apply CSREP to compare additional biological conditions or disease state 286 (e.g. cancer vs non-cancer).

287 CSREP works directly off of chromatin state annotations, which makes CSREP agnostic
288 to the specific methods used to produce those annotations. Some methods for learning chromatin

state annotations have the option to expose posterior probability estimates of annotations, which could potentially be used in an extended version of CSREP. However, assuming accurately determined posterior probability estimates are available as input would also make CSREP less generally applicable.

To facilitate the use of CSREP, we provide an implementation of CSREP as a snakemake pipeline (Mölder *et al.*, 2021) with a detailed tutorial that only requires users to modify parameters in a yaml file. The program can be run either on local computers or on computing clusters, in which case snakemake will optimize the workflow for execution.

We expect CSREP to be a useful tool and the output we have provided from it a valuable resource for summarizing summarize chromatin state maps from groups of samples and prioritizing regions with differential chromatin state changes across pairs of groups of samples.

300 Methods

301 **CSREP's summarization of a group of samples**

302 Let G denote the number of genomic bins across the genome, S the number of chromatin states, and N the number of samples in the target group of samples. Let $C_{i,n}$ denote the chromatin 303 304 state assigned to sample n at genomic position i, which can take one value of $1, 2, \ldots, S$. Let N_n denote the set of samples not including n, i.e. $N_n = \{1, ..., N\} - \{n\}$. In general, CSREP is an 305 306 ensemble of N multi-class logistic regression classifiers such that for each sample n, CSREP 307 trains a classifier to predict the chromatin state map of this sample based on features in the 308 remaining samples (N_n) . The predictor variables for such a model include one-hot encoding 309 chromatin state maps of the N-1 samples (all samples in the group except n) and an intercept term, resulting in (N-1) * S + 1 predictor variables. The response variable is the chromatin 310 311 state of the target sample n, which can take one value of $1, 2, \ldots, S$.

312 In the multi-class logistic regression model, let X_i denote the vector of predictor variables 313 at position *i*, which has length (N-1) * S + 1 and takes values $\{0,1\}$. The last entry of X_i is 1, 314 corresponding to the intercept term. Let Y_i denote the value of the response variable at position *i*, 315 which takes values $\{1, 2, \dots, S\}$. Since the input chromatin state maps segment the genome into 316 200-bp bins, we refer to each genomic position as one 200-bp window in the genome. We 317 randomly selected genomic positions for the training data set, such that these positions constitute 318 10% of the genome. Given the training data set, for each state $s \in \{1, ..., S - 1\}$, the multi-class logistic regression model learns a coefficient vector β_s with length (N-1) * S + 1, 319 320 corresponding to the number of predictor variables. The probability of sample n's chromatin 321 state *s* being assigned at position *i* is calculated as:

$$P(Y_i = s) = \frac{e^{\beta_{S^*} X_i}}{1 + \sum_{j=1}^{S-1} e^{\beta_j * X_i}}$$

322 for $s \in \{1, ..., S - 1\}$, and as the following when s = S:

$$P(Y_i = S) = \frac{1}{1 + \sum_{j=1}^{S-1} e^{\beta_j * X_i}}$$

323 After CSREP trains the multi-class logistic regression model on training data that constitute 10% 324 of the genome, and l2-norm penalty. The model is implemented using Python's sklearn, 325 pybedtools package and snakemake (Dale et al., 2011; Quinlan and Hall, 2010; Mölder et al., 326 2021). CSREP applies the model to generate predictions of genome-wide probabilistic chromatin 327 state map for sample n, which is presented in a matrix of size G * S. The output matrices from 328 N predictions for N samples are then averaged, so at each genomic bin, the sum of state 329 assignment probabilities across S states is 1. In addition, the chromatin state with the maximum 330 probability in each row is recorded to produce a single representative chromatin state map for the 331 entire group of samples.

332 CSREP's application to prioritizing differential chromatin state changes between two 333 groups of samples

334 To calculate differential chromatin state maps between two groups of samples, group1 and 335 group2, CSREP first calculates the probabilistic chromatin state map matrices for each group as 336 described above, denoted as R_1 and R_2 , respectively. After this, CSREP subtracts the two 337 matrices to represent the differential chromatin state map between group1 and group2 (denoted D_{12}), i.e. $D_{12} = R_1 - R_2$. We note that we used signed and not absolute difference here and 338 thus the score range from -1 to 1. A score on row *i* and column *s* of D_{12} , denoted $D_{12,i,s}$, being 339 340 -1 means group2 is estimated to have probability 1 of being assigned to state s at position i 341 while group1 has probability of 0. Additionally, since CSREP assigns S scores of differential 342 chromatin maps to each genomic position i, corresponding to S states, CSREP can uncover specific chromatin states switch. For example, if $D_{12,i,s} = 0.8$ when s = 1 while $D_{12,i,s} =$ 343 344 -0.8 when s = 2, we can say it is likely that at position *i*, group1 is more likely to be in state 1 345 while group2 is likely to be in state 2.

346 **Data availability**

The summary chromatin state maps (the chromatin state assignment matrices and the corresponding state annotation) for 11 tissue groups in Roadmap Project and 75 groups in Epimap Portal are available for download at https://github.com/ernstlab/csrep. The summary state maps for samples in Roadmap Epigenomics and EpiMap are provided both in hg38 and in hg19.

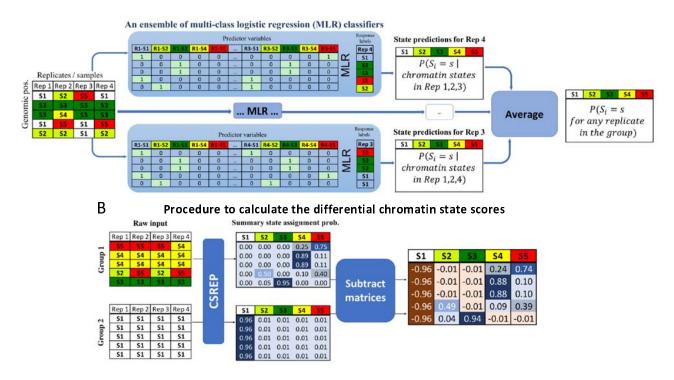
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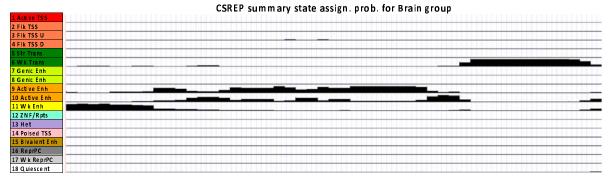
354 Funding

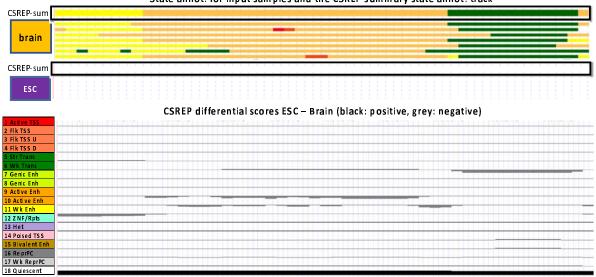
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C ESC and Brain samples' input chromatin state maps and CSREP's output for regions chr5:156012600-156022400





State annot. for input samples and the CSREP summary state annot. track

411 Fig. 1: Overview of CSREP. (A) CSREP uses an ensemble of multi-class logistic regression models. In 412 each model, the chromatin state map at the target sample is predicted based on the one-hot encoding of 413 chromatin state assignments at the corresponding genomic positions in other samples. Multi-class logistic 414 regression outputs the probabilities that each genomic position (row) in the target sample will be assigned 415 to each state (column). CSREP averages the prediction matrices for target samples, to output the summary 416 state assignment probability matrix. (B) The operations to obtain differential chromatin state assignment 417 scores between two groups with multiple samples. CSREP calculates the summary chromatin state 418 assignment matrices for two groups, and subtracts one group's summary matrix from the other's to obtain 419 differential chromatin scores. Different chromatin scores are bounded between -1 (brown) and 1 (blue). 420 (C) Visualization of CSREP's output in a genomic region (hg19, chr5:156,012,600-156,022,400). The top 421 of the subpanel shows the CSREP's summary chromatin state probabilities for 18 states across seven 422 Brain reference epigenomes. Each track shows the probabilities of assignment for one state, as named and 423 colored on the left. The middle subpanel shows the 18-state chromatin state maps for 7 Brain samples and 424 5 ESC samples from Roadmap Epigenomics (Roadmap Epigenomics Consortium et al., 2015), and the 425 CSREP's output summary chromatin state maps for each group, outlined in black. States are colored as in 426 legend as at the top of this subpanel. The last subpanel shows the differential chromatin scores when 427 ESC's summary state probabilities are subtracted from Brain's. Each track shows one state's differential 428 scores. Scores between 0 and 1 are colored black, while those between -1 and 0 are colored grey.

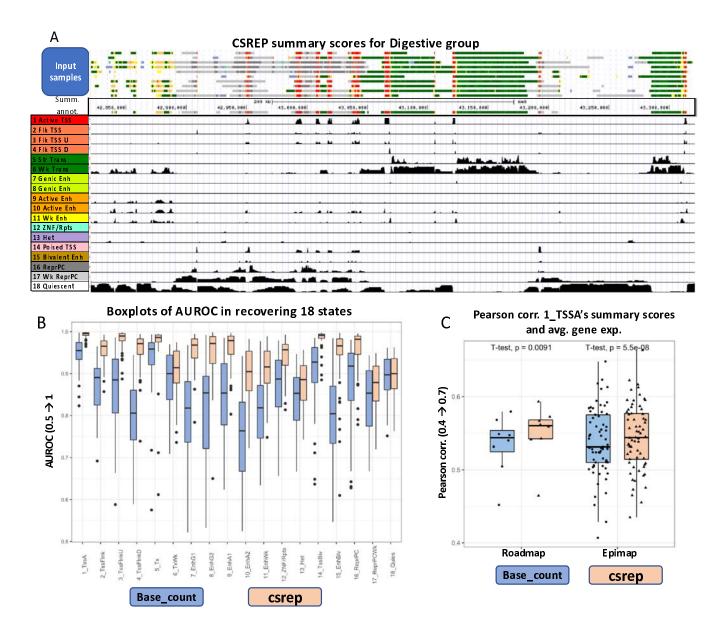


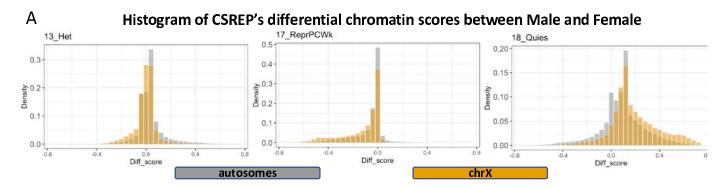
Fig. 2: Performance of CSREP in summarizing a group with multiple samples' chromatin state maps. (A) Visualization of one arbitrarily selected 500-kb region (chr5: 42,821,109-43,321,109, hg19). The first 10 tracks show chromatin state maps of 10 input samples from the Roadmap Epigenomics Consortium of the Digestive group, which were input to CSREP. The following track shows the summary chromatin state map from CSREP, which shows strong agreement with the input. States are colored based on the legend on the lower left. In the following 18 tracks, each track shows CSREP's probabilities of assignment for each of 18 states, with state annotations in legend on left.

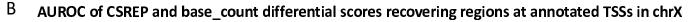
(B) Boxplots showing the CSREP and base_count methods' average, range and 25, 75% quantiles of the
AUROCs across 64 samples, for each of the 18 chromatin states. The AUROCs were calculated in leaveone-out cross validation analysis where we used a group's summary probabilistic chromatin state map to
predict genomic locations of individual chromatin states in a left-out sample from the same cell/tissue
group (Supp. Methods). States 1-18 (x-axis) are annotated as in (A).
(C) Boxplots showing the Pearson correlations between a group of samples' (1) summary probabilities of
state 1_TssA (active TSS) at annotated TSSs, and (2) the corresponding groups' average gene expression

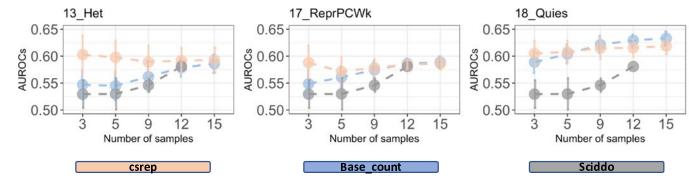
445 (Supp. Methods). We obtained the correlations for 11 groups of cell types from the Roadmap

446 Epigenomics Project, and 65 groups from EpiMap. Each dot shows the Pearson correlation for data from

a group of samples.









450 Fig. 3: CSREP show signals of differential chromatin state scores in chromosome X when 451 comparing male and female samples. (A) Each subpanel shows the histogram of CSREP's differential 452 scores in autosomes and chromosome X, for states associated with heterochromatin (13_Het), weak 453 polycomb repressed domains (17 ReprPCWk), and quiescent regions (18 Quies). The x-axis shows 454 differential scores, with positive values implying male samples have higher probabilities of being in the 455 state compared to female samples, and vice versa for negative values. Histograms of scores for all states 456 are in Supp. Fig 6. (B) AUROCs of recovering regions overlapping annotated TSSs on chromosome X. 457 using differential chromatin scores of three states as in (A), outputted by CSREP and base_count for Male 458 and Female groups (Supp. Methods). We calculated the AUROCs using different sets of input male and 459 female samples, with varying number of samples in each group (x-axis). For each number of samples (x-460 axis), we conducted the analysis for 30 sets of male and female input samples (Supp. Methods). The 461 plots show the average (dots) and standard deviation (error bars) of the AUROCs across the 30 sets of

462 input samples. SCIDDO did not successfully generate output for the case of 15 input samples so no

463 results are reported for that.

state	DNase peaks				H3K27ac peaks				H3K9ac peaks			
	Brain-spec		ESC-spec		Brain-spec		ESC-spec		Brain-spec		ESC-spec	
	csrep	base	csrep	base	csrep	base	csrep	base	csrep	base	csrep	base
1 Active TSS	0.540	0.506	0.595	0.5 02	0.5 84	0.524	0.702	0.513	0.587	0.527	0.659	0.548
2 Flk TSS	0.536	0.510	0.601	0.5 06	0.552	0.512	0.664	0.504	0.532	0.519	0.648	0.547
3 Flk TSS U	0.549	0.509	0.615	0.509	0.613	0.521	0.725	0.526	0.633	0.530	0.684	0.544
4 Flk TSS D	0.540	0.509	0.645	0.512	0.578	0.514	0.726	0.514	0.570	0.517	0.693	0.523
5 Str Trans	0.512	0.498	0.452	0.4 86	0.579	0.490	0.494	0.501	0.511	0.478	0.413	0.481
6 Wk Trans	0.490	0.483	0.542	0.496	0.557	0.527	0.579	0.512	0.436	0.440	0.449	0.463
7 Genic Enh	0.547	0.501	0.497	0.5 04	0.722	0.534	0.554	0.521	0.680	0.529	0.497	0.5 09
8 Genic Enh	0.566	0.502	0.498	0.5 01	0.720	0.507	0.563	0.507	0.710	0.516	0.529	0.511
9 Active Enh	0.568	0.529	0.584	0.546	0.740	0.582	0.712	0.623	0.717	0.617	0.606	0.584
10 Active Enh	0.564	0.537	0.594	0.521	0.729	0.644	0.738	0.615	0.700	0.613	0.623	0.530
11 Wk Enh	0.539	0.524	0.636	0.614	0.689	0.583	0.729	0.663	0.621	0.540	0.577	0.567
12 ZNF/Rpts	0.516	0.509	0.465	0.496	0.517	0.508	0.457	0.491	0.517	0.508	0.429	0.490
13 Het	0.474	0.504	0.399	0.4 84	0.414	0.504	0.310	0.477	0.447	0.507	0.341	0.478
14 Poised TSS	0.560	0.501	0.527	0.514	0.617	0.485	0.533	0.500	0.625	0.491	0.583	0.538
15 Bivalent Enh	0.556	0.500	0.529	0.513	0.618	0.496	0.531	0.501	0.610	0.496	0.484	0.489
16 R eprPC	0.521	0.499	0.469	0.490	0.505	0.487	0.469	0.491	0.517	0.492	0.395	0.453
17 Wk ReprPC	0.492	0.504	0.464	0.467	0.344	0.445	0.406	0.473	0.344	0.455	0.389	0.442
18 Quiescent	0.441	0.447	0.431	0.417	0.316	0.350	0.296	0.294	0.338	0.390	0.386	0.384
sciddo	0.517		0.549		0.562		0.535		0.564		0.585	

AUROCs for predicting Brain-/ESC- specific peaks of chromatin marks

464

465 Fig. 4: CSREP better recovers differential chromatin marks signals between ESC and Brain. The 466 table shows AUROCs for differential scores' predictions of genomic regions associated with differential 467 peak signals for one chromatin mark, from left to right: DNase, H3K27ac and H3K9ac. For each 468 chromatin mark, it shows the AUROCs of predicting signal peaks observed in Brain and ESC exclusively 469 (Brain-spec and ESC-spec). Differential scores outputted by CSREP or baseline are shown for each 470 chromatin state (rows). In each category of comparisons, the top three scores that show highest AUROCs 471 are highlighted in green. Along the bottom is the AUROC for SCIDDO. 472 473

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