

1 **Bacterial Infection of the Placenta Induces Sex-Specific Responses in the Fetal Brain**

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15 **Category of study:** Basic Science

16 **Impact:**

- 17 • Placental infection with *Listeria monocytogenes* induces sexually dichotomous gene
18 expression patterns in the fetal brain.
- 19 • Abnormal cortical lamination is correlated with placental infection levels.
- 20 • Placental infection results in autism related behavior in male offspring and heightened
21 anxiety level in female offspring.

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23 **BACKGROUND:** Epidemiological data indicate that prenatal infection is associated with an
24 increased risk of several neurodevelopmental disorders in the progeny. These disorders display
25 sex differences in presentation. The role of the placenta, which is a target of prenatal infection, in
26 the sex-specificity of neurodevelopmental abnormalities is unknown. We used an imaging-based
27 animal model of the bacterial pathogen *Listeria monocytogenes* to identify sex-specific effects of
28 placental infection on neurodevelopment of the fetus.

29 **METHODS:** Pregnant CD1 mice were infected with a bioluminescent strain of *Listeria* on
30 embryonic day 14.5 (E14.5). Excised fetuses were imaged on E18.5 to identify the infected
31 placentas. The associated fetal brains were analyzed for gene expression and altered brain structure
32 due to infection. The behavior of adult offspring affected by prenatal *Listeria* infection was
33 analyzed.

34 **RESULTS:** Placental infection induced sex-specific alteration of gene expression patterns in the
35 fetal brain and resulted in abnormal cortical development correlated with placental infection levels.
36 Furthermore, male offspring exhibited abnormal social interaction, whereas females exhibited
37 elevated anxiety.

38 **CONCLUSION:** Placental infection by *Listeria* induced sex-specific abnormalities in
39 neurodevelopment of the fetus. Prenatal infection also affected the behavior of the offspring in a
40 sex-specific manner.

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44 INTRODUCTION

45 The molecular and cellular mechanisms leading to most neuropsychiatric disorders, such
46 as autism spectrum disorder (ASD), remain unclear due to their complex polygenic etiology.
47 Epidemiological data indicate that prenatal infection with bacterial, viral, or parasitic pathogens
48 during pregnancy is associated with an increased risk of neuropsychiatric disorders in the progeny,
49 including ASD¹ and schizophrenia^{2,3}. Injection of bacterial endotoxin lipopolysaccharide (LPS) or
50 polyinosinic-polycytidylic acid [poly(I:C)], which mimics viral infections, activates the immune
51 system of pregnant rodents and results in altered brain gene expression⁴ and atypical behavior in
52 offspring⁵. These behavioral abnormalities are notably relevant to ASD core symptoms, such as
53 repetitive behaviors and deficit in social interaction. Furthermore, animal studies show sex biased
54 behaviors and responses in offspring after exposing to LPS and poly(I:C) during pregnancy, which
55 resembles sex differences in neuropsychiatric disorders, including ASD^{6,7}. Maternal immune
56 activation (MIA) induced by LPS or poly(I:C) causes the changes in fetal brain development.
57 Although injection of immunogens in pregnant animals results in consistent altered behavior and
58 brain abnormalities in the progeny, they do not elicit the complex immune responses induced by
59 actual infection. Prenatal pathogens exhibit tissue and cell-specificity as well as directed immune
60 modulation, such that the different pathogens may regulate MIA differently. For example,
61 infection of rats with Group B *Streptococcus* elicits distinct MIA patterns including neutrophil
62 infiltrates that differ from immune stimulants such as LPS and poly(I:C)⁸. In addition, prenatal
63 influenza is a risk factor for schizophrenia², whereas no such association was found with prenatal
64 infection with either maternal type 1 herpes simplex virus^{9,10} or cytomegalovirus¹¹. Thus, the
65 induction of MIA is complex and cannot be completely replicated by any single approach. It is

66 therefore critically important to examine different prenatal infection models and their specific
67 effects on fetal brain development and behavior.

68 *Listeria monocytogenes* (*Lm*) provides an excellent animal model for prenatal infection^{12,13}.
69 This Gram-positive bacterium is a foodborne pathogen and is a significant health concern during
70 pregnancy because pregnant women are up to 10 times more likely to be infected with *Lm*¹⁴. An
71 important hallmark of prenatal listeriosis is the infection of the placenta¹⁵⁻¹⁷. Placental infection by
72 *Lm* can lead to many overt fetal and newborn pathologies, including spontaneous abortions,
73 stillbirth, and other neonatal illnesses, even while pregnant mothers can be largely
74 asymptomatic^{12,18-20}. Previously, we reported that bradycardia was only observed in fetuses with
75 infected placentas within the same infected pregnant mouse as those with normal heart rates²¹.
76 These studies demonstrated that bradycardia induced by placental infection was not systemic but
77 localized.

78 Infection with the appropriate dose of intravenous *Lm* on embryonic day 14.5 results in
79 abortion, stillbirth, and fetal bradycardia in the absence of overt maternal disease symptoms.
80 Although placental infection by *Lm* causes adverse outcomes in newborns, neurodevelopmental
81 consequences of this infection have not been characterized. In addition, sex-specific consequences
82 of placental infection have not been defined for any living pathogen. The aims of this study were
83 to understand how bacterial infection of the placenta affects fetal neurodevelopment, to determine
84 if sex-specific responses occur, and to assess effects on the behavior of the offspring.

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87 **METHODS**

88 **Animal care and use**

89 All animal procedures were approved by the Institutional Animal Care and Use Committee
90 and the Biosafety of Michigan State University under protocol number 201800030. Michigan State
91 University (MSU) has approved Animal Welfare Assurance (A3955-01) from the NIH Office of
92 Laboratory Animal Welfare (OLAW). In addition, all components of the University are accredited
93 by the Association for Assessment and Accreditation of Laboratory Animal Care, International
94 (AAALAC Unit #1047). Standard BSL-2 containment and handling procedures were used for all
95 animals including the offspring. These procedures were also approved according to the specific
96 MSU Biosafety Protocol 0000058, and all laboratories, procedure rooms and facilities are
97 inspected by MSU Environmental Health and Safety. Timed CD1 pregnant mice purchased from
98 Charles River Laboratories were used for all studies and housed in temperature controlled, 12:12
99 hour light and dark cycle rooms. Euthanasia was performed by cervical dislocation under
100 isoflurane anesthesia by trained personnel according to NIH and MSU approved protocols.

101 ***In vivo* bioluminescence imaging (BLI) and tissue processing**

102 The bioluminescent strain of *L. monocytogenes* used in this study (Perkin Elmer Xen32)
103 was generated in a 10403S strain background²². Cultures were incubated overnight at 37°C in brain
104 heart infusion (BHI) broth. The overnight culture was sub-cultured in fresh BHI broth to an optical
105 density (OD₆₀₀) of 0.5. Timed embryonic day 11 (E11) pregnant CD1 mice were housed at the
106 Michigan State University Clinical Center animal facility under BSL-2 containment. Pregnant
107 mice were administered a tail vein injection of 2×10^5 colony-forming units (CFU) of Xen32,
108 diluted in 200 μ L phosphate-buffered saline (PBS), or an equivalent volume of PBS vehicle on
109 E14.5. On E18.5, pregnant mice were anesthetized using isoflurane and imaged using the *In vivo*

110 bioluminescence imaging system (IVIS; Perkin Elmer Inc.), and then humanely scarified by
111 cervical dislocation while under isoflurane anesthesia according to the approved animal protocol.
112 Uterine horns were excised immediately and imaged again using the IVIS. Individual fetuses could
113 be imaged separately for high-resolution BLI. Signal levels from the placenta at this dose and
114 timing vary over orders of magnitude within one pregnant mouse, permitting the analysis of
115 systemic versus localized effects and allowing for comparisons of fetal brains with and without
116 high placental BLI signal from the same pregnant animal. Fetal brains were collected and
117 transferred into sterile Eppendorf tubes, flash-frozen, and stored at -80°C until analyzed.

118 **Histology**

119 For histology of the fetal brain, excised fetuses and placentas were imaged with ex vivo
120 BLI to determine signal levels of the associated placentas. The heads were removed and fixed
121 overnight in 4% paraformaldehyde for sectioning. Following sectioning, the brains were routinely
122 processed and embedded in paraffin and matched coronal sections were stained with hematoxylin
123 and eosin (H&E). Matched coronal sections were also obtained from PBS-injected pregnant mice
124 and from fetuses with and without detectable BLI signals from the placenta from infected pregnant
125 mice. BLI signals from the placenta were measured with identical regions of interest (ROIs). For
126 immunohistochemistry of brains of the adult offspring, the animals were euthanized with CO₂
127 according to the approved animal protocol. The brains were removed, fixed in 4%
128 paraformaldehyde and matched coronal sections were obtained as described above. Several
129 sections from each brain were stained with H&E following the same routine methods or
130 immunohistochemically labeled with a rabbit monoclonal anti-c-Fos antibody (dilution 1:1,000,
131 EPR21930-238, Abcam, Boston, MA). Immunohistochemistry was performed on the Dako link
132 48 Automated Staining System (Agilent Technologies, Santa Clara, CA) using a high pH antigen

133 retrieval and peroxidase-conjugated EnVision Polymer Detection System (Aligent Technologies)
134 with 3,3'-diaminobenzidine (DAB) as the chromogen and hematoxylin counterstaining.

135 **RNA-seq**

136 Total RNA was isolated using the phenol/guanidine based QIAzol lysis reagent (Qiagen,
137 Valencia, CA), according to the manufacturer's recommendations. The concentration and quality
138 of RNA samples were measured using Qubit (ThermoFisher) and BioAnalyzer (Agilent),
139 respectively. Samples with RNA integrity number values of 9 or above were selected for
140 sequencing. Fetal brains (positive BLI signal n = 19; control n =6) were collected and total RNA
141 was submitted for next generation sequencing (NGS) library preparation and sequencing to
142 Research Technology Support Facility at Michigan State University. Libraries were prepared using
143 the Illumina TruSeq Standard mRNA Library Preparation Kit with IDT for Illumina Unique Dual
144 Index adapters following manufacturer's recommendations. Completed libraries were quality
145 checked and quantified using a combination of Qubit dsDNA High Sensitivity and Agilent 420
146 TapeStation HS DNA1000 assays. Libraries were pooled in equimolar proportions for multiplexed
147 sequencing. The pool was quantified using the Kapa Biosystems Illumina Library Quantification
148 qPCR kit. This pool was loaded onto two lanes of an Illumina HiSeq 4000 flow cell (two technical
149 replicates) and sequencing was performed in a 1 x 50 single read format using HiSeq 4000 SBS
150 reagents. Base calling was done by Illumina Real Time Analysis v2.7.7 and output of RTA was
151 demultiplexed and converted to FastQ format with Illumina Bcl2fastq v2.19.1. The raw single-end
152 (SE) reads were processed to trim sequencing adapter and low-quality bases. The clean SE RNA-
153 seq reads were mapped to the mouse reference genome (GCRm38.p6/mm10) using STAR (Spliced
154 Transcriptions Alignment to a Reference) v2.3.2²³. Mapped reads were assigned to genes with
155 FeatureCounts in the subread package²⁴.

156 **Differential gene expression analysis and functional enrichment analysis**

157 Differential gene expression analysis was performed using DESeq2 v1.32.0²⁵ in R v4.1.1.
158 Genes with minimum 5 raw reads in at least 20 samples were filtered out, resulting a total of 19,180
159 of genes. Differentially expressed genes with $p\text{-adj} < 0.05$ were used to perform functional
160 enrichment analysis using the g:Profiler system (<https://biit.cs.ut.ee/gprofiler/gost>)²⁶. Biological
161 pathways with $p\text{-adj} < 0.05$ were considered significant. To examine the sex dependent effects of
162 placental infection, a female specific gene, *Xist*, was used to identify the sex of fetal brains from
163 RNA-seq samples. Differential gene expression and functional enrichment analyses were
164 performed using the same parameters as placental infection. Volcano plots were generated using
165 EnhancedVolcano package in R²⁷.

166 **Social interactions and repetitive behaviors**

167 The three-chamber social approach assay has been widely used to test for assaying
168 sociability in mice²⁸. This assay measures interaction between animals that are provided choices
169 between unfamiliar animals and inanimate objects (social interaction). We used a custom three-
170 chamber apparatus (63 cm x 30 cm x 31 cm) with an empty middle chamber and accessible side
171 chambers on either end that contain cylindrical open barred cages in which mice or objects are
172 placed. An inanimate object is placed in one of the barred cages in one side chamber, and an
173 unfamiliar mouse is placed in the barred cage in the other side chamber. A test subject mouse is
174 placed in the central chamber and allowed to freely interact with the mice or objects in the side
175 chambers. The social interaction test we employed had three phases. First, the test subject (prenatal
176 *Lm*-exposed male = 10 and female = 8; control male = 5 and female = 5; 8 – 12 weeks of age) was
177 habituated in the center of chamber for 10 minutes and two doorways in the chambers were closed.
178 Second, the test subject was habituated to all three chambers for 10 minutes. Third, the subject was

179 confined to the middle chamber, a novel object (lab tape) was placed in the barred cage in one side
180 chamber, and a novel mouse (a treatment, sex, and age matched unfamiliar mouse) was placed in
181 the other side chamber.

182 The social interaction in each test was recorded for 10 minutes. Sniffing time for each
183 subject was recorded. Self-grooming, which is defined as time spent rubbing the face, scratching
184 with a foot, or licking paws, was examined to measure repetitive and persistent behavior²⁸. During
185 the three-chamber social approach assay, self-grooming was measured by using a stopwatch.

186 **Open field exploration**

187 Open field exploration tests measure anxiety, exploration and locomotion²⁹. Mice (prenatal
188 *Lm*-exposed male = 8 and female = 10; control male = 4 and female = 3, 8 – 12 weeks of age) were
189 acclimated for 30 minutes before the assay. Mice were placed in the middle of the testing area (63
190 cm x 60 cm x 31 cm) and underwent a 10-minute exploration period. Sessions were video recorded
191 and analyzed using the ANY-maze Video Tracking System software.

192

193 RESULTS

194 BLI and postnatal effects of placental infection

195 All infections were performed by intravenous (IV) injection into pregnant CD1 mice with
196 5×10^5 colony forming units (CFU) of the bioluminescent *Lm* strain 2C (Perkin Elmer Xen36) on
197 E14.5. The dose and timing were selected based on our prior studies²¹. The IV route of *Lm*-
198 infection in pregnant mice is employed rather than oral infection for several reasons; the foremost
199 being that oral infection requires over 10^{11} CFU in CD1 mice and is not reproducible between
200 laboratories. In contrast, IV infection is highly reproducible and bypasses the intestine, seeding the
201 placenta directly in a dose-dependent manner. The selected dose results in stillbirth, abortion, and
202 developmental abnormality, resembling listeriosis in pregnant women. An IVIS image of *Lm*-
203 infected pregnant CD1 mouse is shown in Figure 1a, with BLI signals indicating different infection
204 sites, including gallbladder, placentas, and fetuses. BLI of excised uterine horns shows that there
205 is a range of infection severity indicated by the intensity of the BLI signals from the placentas (Fig.
206 1b). In addition, BLI demonstrated that *Lm*-infection was much greater in the placenta than the
207 fetus (Fig. 1c), as most often at this dose the signal was only detectable in the placenta. This result
208 was consistent with other studies that showed that fetal infection only occurs at high doses^{12,30}.

209 When the *Lm*-infected pregnant CD1 mice gave birth, the pups showed a range of postnatal
210 effects of placental infection. Some pups showed extreme low birth weight and altered body
211 morphology (4 weeks old; Fig. 1d). These effects were correlated with signal levels in the live
212 pregnant dam. Higher signal levels of $>10^5$ photons/sec produced more severe effects such as
213 stillbirth and extremely low birth weight, whereas signals $<4 \times 10^4$ photons/sec yielded litters of
214 normal-sized pups. In addition, pups from infected pregnant dams that showed $<4 \times 10^4$ photons/sec
215 and were indistinguishable from controls exhibited delayed eye opening (postnatal day 13; Fig.

216 1e). These findings show that placental infection with *Lm* affects fetal and postnatal development.
217 The range of effects was correlated with overall signal intensities from the live pregnant animal.
218 At the dose we employed, none of the pregnant dams exhibited overt symptoms and they were
219 outwardly indistinguishable from PBS-injected controls. Although some pregnant dams showed
220 BLI signals from the area of the liver and/or gallbladder, all of them survived to give birth if they
221 were allowed to do so.

222 **Effect of placental infection on fetal cortical development**

223 To determine whether placental infection promotes morphological changes in the fetal
224 cortex, we performed hematoxylin/eosin (H&E) staining of the cortical sections of fetal brains.
225 Pregnant CD1 mice were infected as described above and imaged to ascertain infection levels. We
226 compared fetuses from infected and PBS-injected controls, but also fetuses within on pregnant
227 dam that exhibited high and low signals from the placenta. The latter observation allowed us to
228 distinguish effects due to systemic MIA from localized effects of the placenta. In the sections,
229 layering was abnormal in the fetal brains from mice that originated from infected dams compared
230 to controls (Fig 2a), and fetal brains from mice where the placentas exhibiting BLI signals above
231 background showed layering alterations compared to fetuses from the same dam where the
232 placenta had background BLI signals from the same dam (Fig. 2b).

233 **Infection of the placenta alters gene expression in the fetal mouse brain**

234 We next investigated the effect of placental infection on transcriptomic alterations in fetal
235 brain. For these investigations, we used fetal brains from mice in which no BLI signal over
236 background was detectable in the placenta. A total of 25 whole fetal brains (6 control and 19 *Lm*-
237 exposed samples) were harvested on E18.5 to generate RNA-seq datasets and performed
238 differential expression analysis using a DESeq2 package in R. The analysis revealed that IV

239 injection of bioluminescent *Lm* into pregnant CD1 mice at E14.5 altered gene expression in the
240 fetal mouse brain. Overall, *Lm*-exposed fetal brains had a total of 268 upregulated and 139
241 downregulated differentially expressed genes (DEGs) with a false discover rate (FDR) <0.05
242 threshold, and 1697 upregulated and 1247 downregulated with a $p < 0.05$ threshold (Fig. 3a).
243 Among DEGs, most significant genes include upregulated *Lym7*, *Flt1*, *Vegfa* and *Kdm3a*, and
244 downregulated *Zfp125*, *Mfsd5*, *slc38a5*, *Mblac1*, and *Chd15* (Fig 3b). The Gene Ontology (GO)
245 enrichment and KEGG analysis of upregulated DEGs revealed pathways, such as macromolecule
246 biosynthetic and nitrogen compound metabolic processes, and hypoxia inducible factor-1 (HIF-1)
247 signaling pathway (Fig. 3c). Furthermore, pathways such as establishment of localization in cell
248 and protein processing in endoplasmic reticulum were identified among significantly
249 downregulated DEGs (Fig. 3c). Many of these genes are associated with brain development or
250 neurological function^{31–34}. Together, differential expression analysis demonstrated that placental
251 infection by *Lm* causes disruption of neurodevelopment during pregnancy.

252 **Male and female fetal brains exhibit distinct gene expression profiles in response to placental** 253 **infection**

254 To examine sex-specific gene expression patterns, we used *Xist*, a female specific gene, to
255 identify sex of each fetal brain RNA-seq sample (males: 9 *Lm*-exposed and 3 controls; females:
256 10 *Lm*-exposed and 3 controls). We used DESeq2 in R to identify DEGs and investigated
257 overlapping genes between both *Lm*-exposed sexes. A total of 44 and 42 downregulated DEGs
258 were identified for males and females, respectively, with one gene overlapping between the sexes
259 (Fig. 4a). Interestingly, females had 171 upregulated DEGs while males had 50 upregulated DEGs
260 with 7 DEGs overlapping between the sexes (Fig. 4b). GO enrichment and KEGG analysis of
261 upregulated DEGs of *Lm*-exposed male fetal brains identified pathways, such as VEGF receptor 2

262 binding, HIF-1 signaling, and microtubule organizing center (Fig. 4c). In addition, ribosome,
263 mitochondrial translation elongation and termination, and oligosaccharyltransferase complex
264 pathways were identified in downregulated DEGs of *Lm*-exposed male fetal brains (Fig. 4d).
265 Analysis of the GO enrichment and KEGG analyses of upregulated DEGs in *Lm*-exposed female
266 fetal brains demonstrated organelle related and nuclear speck pathways, whereas catenin complex
267 and postsynaptic actin cytoskeleton pathways were identified in downregulated DEGs. (Fig. 4c
268 and d) These findings demonstrated that placental infection had different effects on male versus
269 female brains during neurodevelopment.

270 **Placental infection induces sex-specific behavioral alterations in adult offspring**

271 We sought to determine if altered behaviors were induced in the progeny of dams infected
272 with *Lm*. Bacterial chorioamnionitis, which is not placental, leads to autism-like alterations in the
273 behavioral of progeny in animals³⁵, so we selected behavioral tests that are used as correlates for
274 human ASD. For this purpose, we screened the pregnant dams with BLI to identify those with
275 signals less than 4×10^4 photons/sec. These mice give birth to normal-sized pups, which cannot be
276 grossly distinguished from controls from PBS-injected dams. When the pups were 8 to 12 weeks
277 of age, we performed behavioral assays to determine if the adult mouse offspring exhibit abnormal
278 behavioral due to placental infection by *Lm*. We separated mice tested with behavior assays by sex
279 to determine if placental infection results in a sex bias of these effects. First, we analyzed social
280 interaction by using the three-chamber social approach assay to assess social impairment. Social
281 interactions are important for forming bonds for rodents, and autism-relevant behavior mouse
282 models have demonstrated reduction in reciprocal social interactions. *Lm*-exposed male adult
283 offspring presented with significant reduction in social interaction time with an unfamiliar mouse,

284 whereas *Lm*-exposed female adult offspring did not exhibit impairment in socialization (Fig. 5a;
285 two-way ANOVA, $p = 0.016$ by Tukey's HSD test).

286 To assess repetitive behavior with restricted interests, the duration of self-grooming
287 behavior was examined during the three-chamber social approach assay. *Lm*-exposed male adult
288 offspring spent significantly more time self-grooming compared to the PBS treated male mice (Fig
289 5b; two-way ANOVA, $p = 0.044$ by Tukey's HSD test). However, self-grooming behavior of
290 female adult offspring was not affected by placental infection.

291 Next, we examined the level of anxiety and locomotion using an open field exploration test.
292 Rodents are hesitant to enter an unfamiliar brightly lit open field, but they gradually explore the
293 area. Higher level of thigmotaxis, a subject remaining close to walls, is usually indicative of
294 heightened anxiety³⁶. Compared with PBS treated controls, *Lm*-exposed female adult offspring
295 spent less time in the center of the field (two-way ANOVA, $p = 0.011$ by *post hoc* test). However,
296 *Lm*-exposed male adult offspring did not show difference in total time spent in the center compared
297 to the PBS treated male group (Fig. 5c). In addition, both *Lm*-exposed male and female groups
298 showed no difference in total travel distance, which indicates locomotion activity was not affected
299 (Fig. 5e). Together, placental infection causes abnormal behaviors in offspring that are relevant to
300 human neuropsychiatric disorder symptoms, including elevated anxiety, increased repetitive
301 behavior, and impaired social interaction.

302 **Differential expression of the activation marker c-Fos**

303 To begin to identify changes in the adult brain due to placental infection, we
304 immunohistochemically labeled brain sections of mice from different test groups with anti-c-Fos
305 (Fig. 5), which has been used to characterize neuronal activity differences in MIA models^{37,38}. In
306 these preliminary experiments, we labeled coronal sections of four male and female *Lm*-exposed

307 mice brains and two controls mice with anti-c-Fos, a marker of brain cell activation. The results
308 are shown in Figure 6. Sections from male mice exposed to prenatal *Lm* infection had increased c-
309 Fos labeling compared to exposed brains from female mice and control mic. While these results
310 are based limited in numbers of tested animals, they suggest increased neuronal activation in male
311 mice exposed to *Lm* as a possible corollary of the sex-specific alterations of behavior
312

313 **DISCUSSION**

314 Prenatal infection is highly diverse and leads to a wide variety of outcomes both for the
315 pregnant mother and the developing fetus. Animal models continue to reveal important
316 mechanisms of fetal abnormality due to infection, including effects on fetal brain development that
317 lead to abnormal behavior. Although injecting pregnant mice with immunogens, such as LPS or
318 poly(I:C), consistently results in altered behavior and brain abnormalities in the progeny, the
319 results of these studies are quite heterogenous³⁹. In addition, these chemicals cannot be used to
320 determine the effects of localized bacterial infections. The placental infection model using *Lm*
321 reflects a typical subclinical infection in humans and our methods of infection allows for the
322 analysis of abnormalities that are not due to symptomatic disease of the pregnant subject. In
323 addition, *Lm* is well characterized and has been used for decades in placental infection models.
324 Although listeriosis may cause serious and even fatal consequences for pregnant women and their
325 offspring, its effect on neurodevelopment of the adult offspring has not been characterized. Here,
326 we used bioluminescent *Lm* and the IVIS imaging system to determine if placental infection affects
327 fetal neurodevelopment and the behavior of offspring in mice.

328 The identification of biological pathways in exposed whole brain transcriptome data
329 suggests that placental infection with *Lm* dysregulates transcriptional levels of several different
330 processes during neurodevelopment. First, the HIF-1 signaling pathway was upregulated,
331 suggesting placental infection induces hypoxic conditions in the fetal brain during
332 neurodevelopment. Numerous studies indicate that prenatal hypoxia results in various postnatal
333 deficits, including reduced body and brain weight, delayed development, and impaired synaptic
334 plasticity. Notably, *Vegfa* (vascular endothelial growth factor A), which promotes cortical
335 interneuron proliferation, migration, and vasculature in the forebrain^{40,41}, and *Flt1* (Fms related

336 receptor tyrosine kinase), which plays an important role in regulation of angiogenesis and
337 development of embryonic vasculature^{42,43}, are among the main genes that are upregulated in the
338 HIF-1 signaling pathway. Dysregulation of these genes has been identified in neuropsychiatric
339 disorders^{33,44}. In addition, recent MIA studies demonstrated induction of hypoxia in the brain^{4,6}.
340 Identifying elements of conservation between MIA and bacterial infection models should be
341 examined. Interestingly, previous rodent studies have shown that prenatal hypoxia is associated
342 with alterations in biochemical pathways during brain development, including nucleic acids
343 process and metabolic process pathways^{45,46}. Among these pathways, *Kdm3A* (lysine demethylase
344 3A), which plays an important role in regulating mitochondrial biogenesis by sensing oxygen
345 availability⁴⁷, and *Vegfa* genes were upregulated. Lastly, protein processing in the endoplasmic
346 reticulum (ER) pathway was downregulated due to placental infection by *Lm*. Dysregulation of
347 protein synthesis has previously been suggested as one of the cellular responses to a hypoxic
348 condition⁴⁸ and implicated in various neuropsychiatric disorders⁴⁹. Furthermore, a recent study
349 found that poly(I:C) induced MIA triggers ER stress as a cellular response to inflammation and
350 results in reduced protein synthesis³⁸. Future work should examine the effect of placental infection
351 on different types of cells in the fetal brain during neurodevelopment using single-cell RNA-seq.

352 Sexual dimorphism in neuropsychiatric disorders is well recognized. However, the basis
353 of this dichotomy is unknown. One hypothesis proposes sex-specific vulnerability and response to
354 environmental insults during pregnancy as one cause of sex dimorphism in these disorders. Recent
355 MIA studies demonstrate that inflammation during pregnancy caused sex-biased placental and
356 fetal pro-inflammatory responses⁶. Although we did not observe significant differences in BLI
357 signals of *Lm* from placentas between male and female mice, sexually dichotomous responses are
358 consistent with our transcriptional analysis. Interestingly, we observed more upregulated number

359 of DEGs in brain from *Lm*-exposed female mice compared to brains of *Lm*-exposed male mice
360 (Fig. 4b), but we did not find many biologically meaningful pathways in females. Consistent with
361 previous MIA study, upregulation of HIF-1 signaling pathway was only enriched in *Lm*-exposed
362 males, suggesting males are more susceptible to hypoxia during pregnancy. Future work should
363 examine at the protein level by performing proteomic analysis to better understand how the male
364 and female brain development is impacted by *Lm* infection during pregnancy.

365 Our behavioral results highlight possible pathogen specificity among rodent MIA-
366 associated models. Injection of immune stimulants such as LPS or poly(I:C), into pregnant animals
367 results in behavioral abnormalities in offspring that are notably relevant to ASD. Similar to these
368 MIA-associated studies, *Lm*-exposed male offspring, but not female offspring, showed a
369 significant reduction in social interaction and more frequent repetitive behaviors (Fig. 5a and b).
370 These behavioral changes, and male-biased sex ratio, are observed in human ASD patients.
371 Interestingly, we only observed significantly increased anxiety levels in *Lm*-exposed female
372 offspring (Figure 5d), whereas MIA-associated male offspring exhibited heightened anxiety levels
373 during open field exploration. It is important to note that numerous MIA studies have investigated
374 behavioral changes only using male offspring⁵⁰⁻⁵² because the prevalence of developing ASD is
375 higher in males than in females. This difference remains to be further investigated; however,
376 women are more likely to be diagnosed with human anxiety disorders. Another behavioral
377 discrepancy was observed in locomotor activity. In our studies, placental infection did not alter
378 locomotor activity in both sexes. Interestingly, Allard et al. demonstrated that prenatal infection
379 with live Group B *Streptococcus*, a major health concern during pregnancy implicated in preterm
380 birth and stillbirth, led to hyper-locomotor and elevated anxiety behaviors in male rat offspring,
381 but not in female rat offspring³⁵. Our contrasting results highlight the need to examine diverse

382 prenatal pathogens, as it is becoming clear that different infections result in distinct neurological
383 abnormalities. Studies have shown that if the locomotor activity is altered due to a treatment effect,
384 it has a confounding effect on the movement of the animal subject during open field exploration²⁹.
385 In preliminary results, we have shown increased c-Fos labeling in brain of male but not female
386 mice when they were exposed to *Lm* in utero (Fig. 6). This result suggests that hyperactivity of
387 cortical neurons may be one underlying mechanism of the sexual dimorphism. Large scale and
388 more in-depth studies will be needed to confirm this hypothesis.

389 One of the limitations of our studies is that individual placental BLI signals cannot be
390 correlated with the behavior of individual offspring. Although the BLI signal of the pregnant dam
391 can be measured using an IVIS, severity of each placental infection cannot be quantified except
392 by sacrificing the animal. In our model, individual placentas are differentially infected by *Lm* (Fig.
393 1b), and our previous findings show that fetal pathologies, such as bradycardia and fetal resorption,
394 are correlated with BLI signals from pregnant dams. Since high BLI signals in pregnant mice may
395 result in severe postnatal consequences for the offspring, we used the animals that showed
396 relatively low BLI signals for the behavioral analysis. We wished to compare healthy, normal-
397 sized offspring and did not perform behavioral studies of stunted animals such as shown in Figure
398 1d. Another limitation of this study is the limited dose and timing of *Lm* infection we selected.
399 According to epidemiological studies, developing psychiatric disorders is highly associated with
400 severity and timing of the infection⁵³. Furthermore, MIA-associated brain transcriptomic data from
401 LPS and poly(I:C) have demonstrated different profiles of DEGs were observed in fetal brains that
402 were collected at various time points⁴. Different doses and timing of *Lm* infection are likely to
403 yield different outcomes in our behavioral and transcriptomic outcomes studies and should be
404 performed. We have not studied the consequences of direct infection of the fetal brain, which

405 occurs in mice with higher BLI signals, nor the effect of infection of maternal organs such as the
406 liver or spleen, which would induce MIA. Finally, we are very interested in ascertaining the role
407 of maternal antigen-specific immunity. These studies could be performed by vaccinating the dams
408 before pregnancy.

409 In summary, we have established that placental infection by *Listeria* affects the trajectory
410 of fetal neurodevelopment during pregnancy. We showed sex-specific dysregulation of the fetal
411 brain transcriptome due to *Lm* infection during pregnancy. We also demonstrated that prenatal
412 infection causes sex-specific behavioral abnormalities in offspring that resemble human ASD and
413 anxiety-related disorders, which are known to have sexually dimorphic effects. Altogether, we
414 have identified neurodevelopmental effects of placental infection by bacteria and expanded models
415 of prenatal infection-associated sexual dimorphism of behavior, thus improving our understanding
416 of the development of neuropsychiatric disorders.

417

418 **Data availability Statement**

419 The datasets, including RNA-seq fastq and raw counts of sequencing reads, can be accessed
420 through the NCBI Gene Expression Omnibus.

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- 545
- 546

547 **Disclosures**

548 No human patients were involved in this study. All animal procedures were approved by
549 Institutional Animal Care and Use Committee and the Biosafety Committee of Michigan State
550 University under animal protocol number 201800030.

551 **Contributions**

552 K.H.L. designed the experiment, performed experiments, collected data, wrote the draft and
553 edited the manuscript. M.K and T.W. design and executed experiments and helped write the
554 manuscript. P.P. designed and executed experiments and contributed to writing the manuscript. J.
555 H. conceptualized the project, supervised the team with feedback and evaluation of the project,
556 edited the manuscript. All authors read and approved the final manuscript.

557 **Acknowledgements**

558 The authors would like to acknowledge Dr. Kevin Childs, the director of Genomics Core at MSU
559 for consulting regarding the RNA-seq experiment. Additionally, we also acknowledge Dr. Alexa
560 Veenema for the help with behavioral assays.

561 **Funding**

562 This work has partly supported by the March of Dimes Prematurity Research Center at Stanford
563 University, and partly by Michigan State University Startup Funds for Jonathan Hardy.

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565

566 **Figure legends**

567 **Fig. 1. Postnatal effects of placental infection. (a-c)** *In vivo* bioluminescence imaging (BLI) of
568 prenatal *L. monocytogenes* (*Lm*) infection. **(a)** Live pregnant CD1 mouse on embryonic day 18.5
569 (E.18.5). **(b)** Excised uterine horns and **(c)** placenta. **(d)** Low birth weight due to *Lm* placental
570 infection in a 4-week-old mouse (left) compared to a littermate (right). **(e)** *Lm*-exposed offspring
571 exhibiting delayed eye opening compared to controls **(f)** on postnatal day 13.

572 **Fig 2. Placental infection promotes abnormal cortical lamination. (a)** Coronal sections of fetal
573 brain with normal layering in cortex from PBS-injected pregnant mice (left) and abnormal layering
574 cortex from *Lm*-infected pregnant mice (right). **(b)** Abnormal layering in brains from mice with
575 high placental BLI signal versus low placental BLI signal from the same pregnant animal. BLI
576 signal is indicated in photons/s/cm²/str. Layers: I: molecular, II: external granular, III: external
577 pyramidal, IV: internal granular, V: internal pyramidal, VI: multiform.

578 **Figure 3. Gene expression changes in the fetal brain due to placental infection with *Lm*. (a)**
579 Total number of differentially expressed genes (DEGs) of fetal brains in response to placental
580 infection. **(b)** Volcano plot of DEGs in *Lm*-exposed fetal brains of *Lm*-exposed mice at E18.5. Red
581 dots indicate statistical significance (p -value $< 10^6$) and \log_2 (fold change) greater or less than 0.2.
582 Total variables indicate the total number of genes that were used to generate a volcano plot. **(c)**
583 Gene Ontology analysis of DEGs (p -adj < 0.05) in fetal brains of *Lm*-exposed mice at E18.5.
584 Biological pathways of downregulated and upregulated fetal brains of *Lm*-exposed mice were
585 identified using g:ProfileR.

586 **Figure 4. Sexually dichotomous gene expression profiles induced by placental infection. (a,**
587 **b)** Venn Diagrams representing the number of overlapping downregulated and upregulated genes
588 in **(a)** and **(b)**, respectively. **(c, d)** Enrichment analysis of DEGs (p -adj < 0.05 of fetal brains from

589 female and male *Lm*-exposed mice. Upregulated and downregulated biological pathways are
590 shown in (c) and (d), respectively.

591 **Figure 5. Sex-specific abnormal behaviors in the offspring of *Lm*-infected pregnant mice. (a)**

592 *Lm*-exposed adult male mouse offspring display deficits in social interaction (sniffing of
593 unfamiliar mice versus inanimate objects) whereas *Lm*-exposed adult female mouse offspring
594 show no altered behavior. (b) *Lm*-exposed adult male mouse offspring exhibit high levels of

595 grooming (resembles repetitive behavior). Number (*n*) of offspring: control male (*n* = 5), *Lm*-
596 exposed male (*n* = 10), control female (*n* = 5), and *Lm*-exposed female (*n* = 8) (a, b). (c)

597 Heightened level of anxiety observed only in *Lm*-exposed adult female mouse offspring. (d)

598 Differences in tracked movement during the open field exploration assay in *Lm*-exposed adult
599 mouse offspring versus PBS controls. (e) No significant change in total distance traveled during

600 open field exploration. Control male (*n* = 3), *Lm*-exposed male (*n* = 8), control female (*n* = 3), and
601 *Lm*-exposed female (*n* = 10) used in open field exploration. Data are shown as the mean ± SEM.

602 The behavioral assay data were analyzed by one-way analysis of variance (ANOVA) followed by
603 Tukey's HSD test. **P* < 0.05.

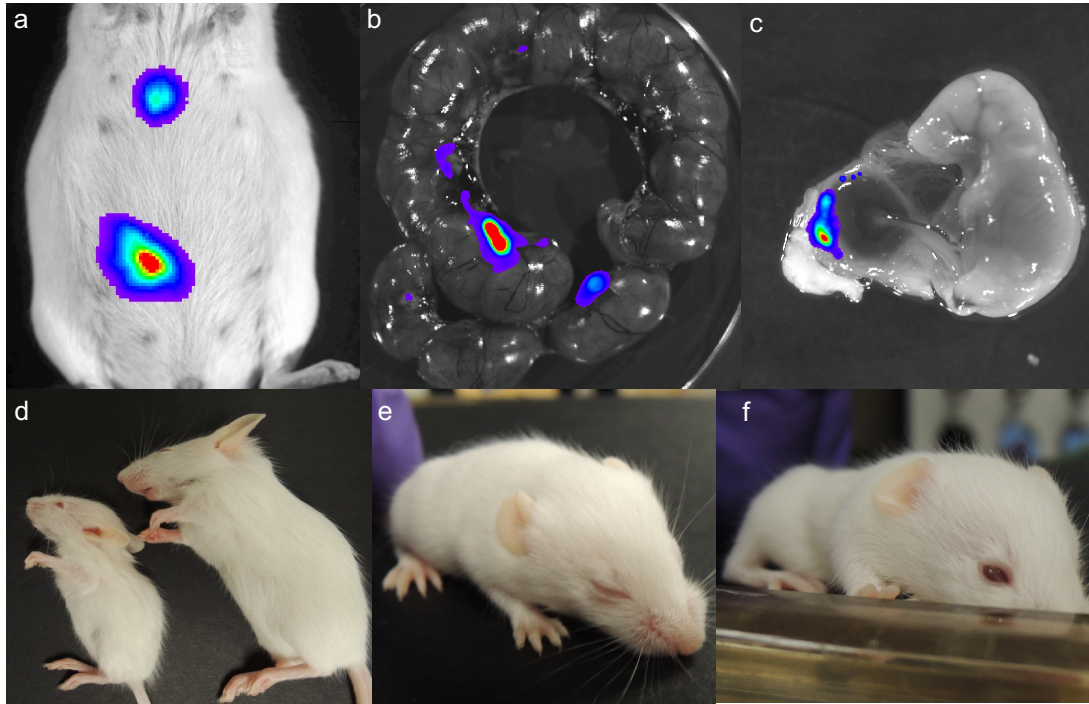
604 **Figure 6. Increased nuclear c-Fos labeling in adult mouse brain due to prenatal *Lm* infection.**

605 Brains of mice that were analyzed for behavior in Figure 5 (2 infected males, 2 infected females,
606 2 uninfected females and one uninfected male) were immunohistochemically labeled for c-Fos.

607 Representative sections of (a) control male; (b) *Lm*-exposed male; (c) *Lm*-exposed female. DAB
608 chromogen (brown), hematoxylin counterstain.

609

610 **Figure 1**



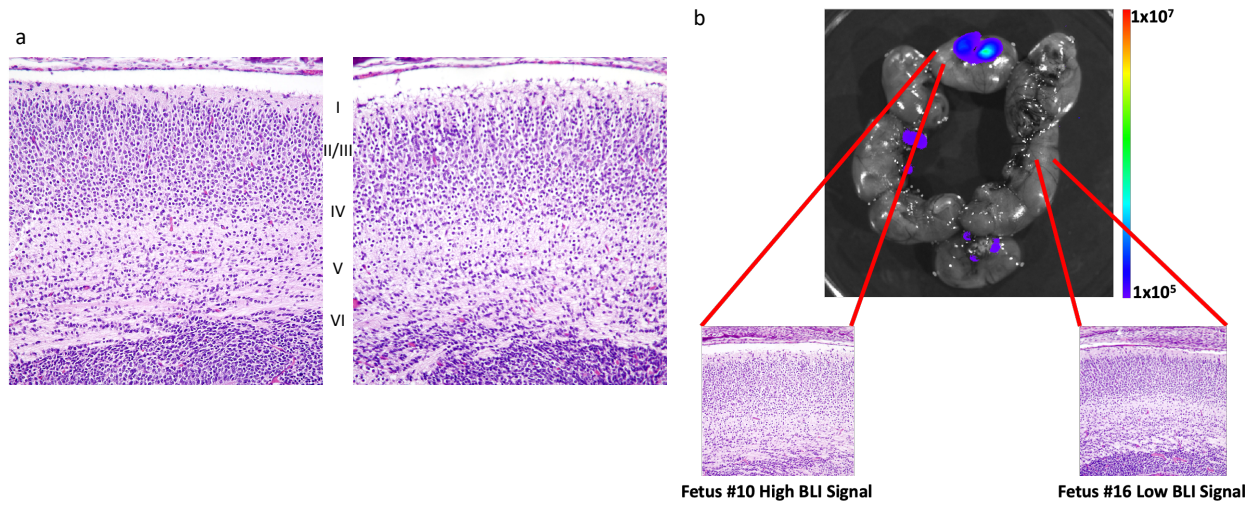
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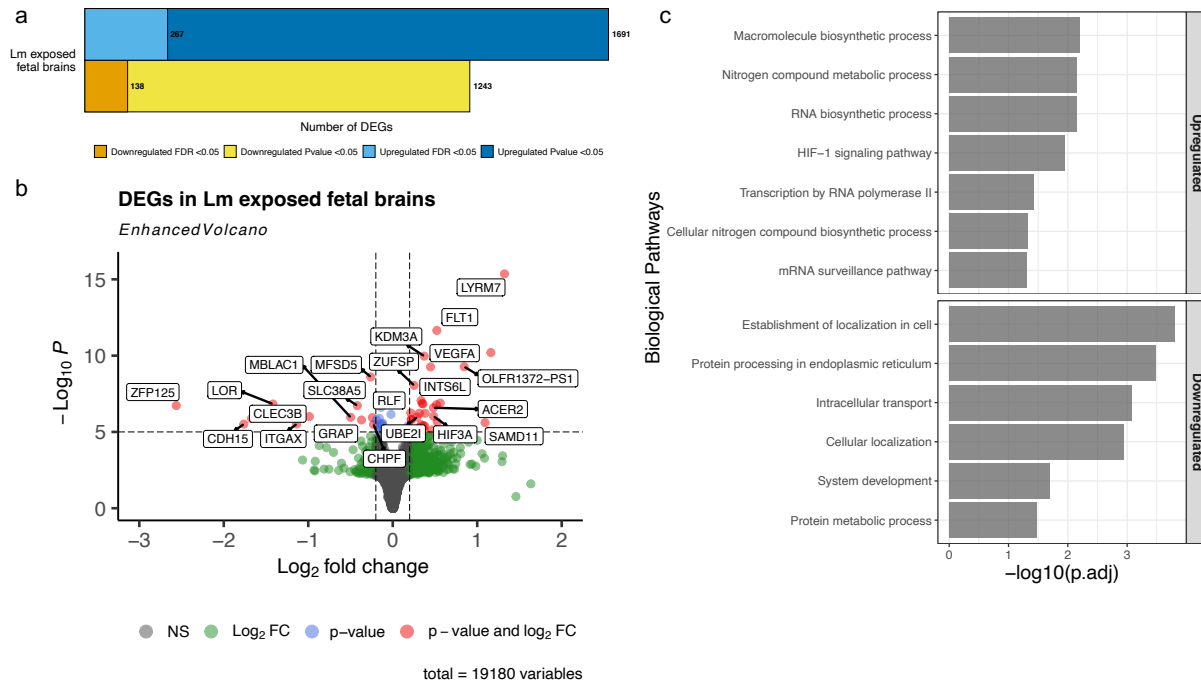
615 **Figure 2**



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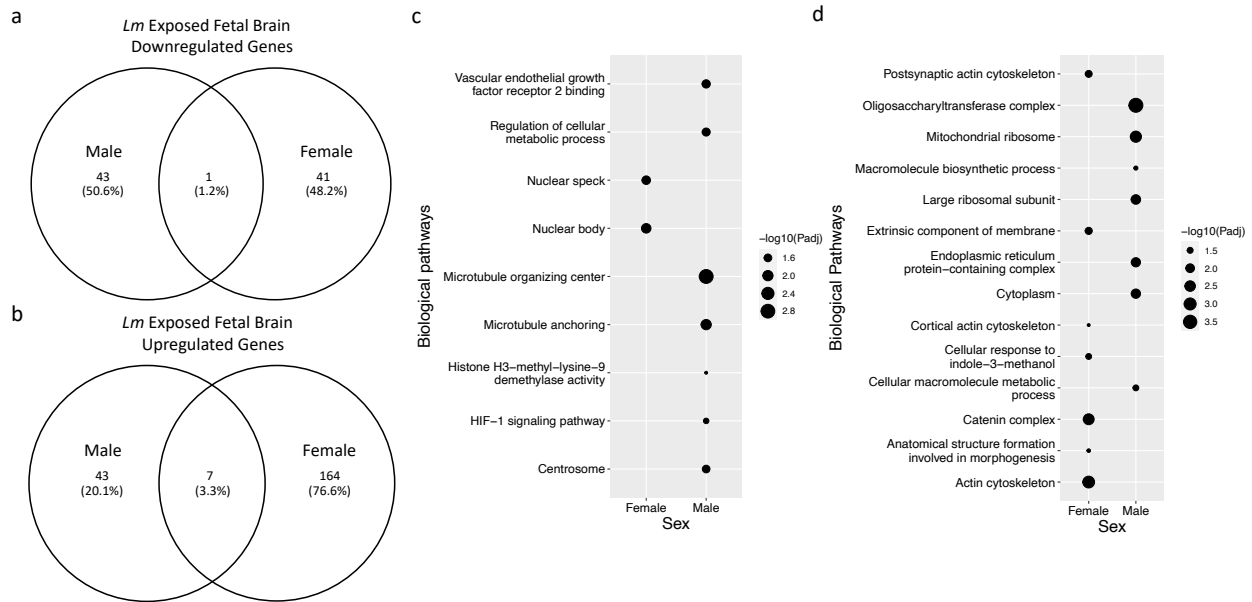
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618 **Figure 3**



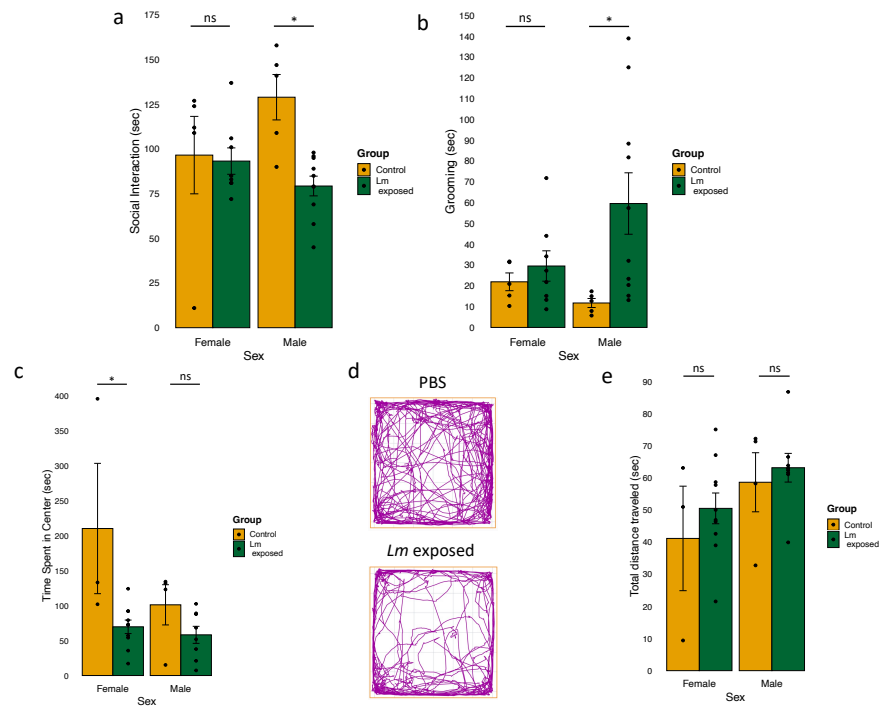
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621 **Figure 4**



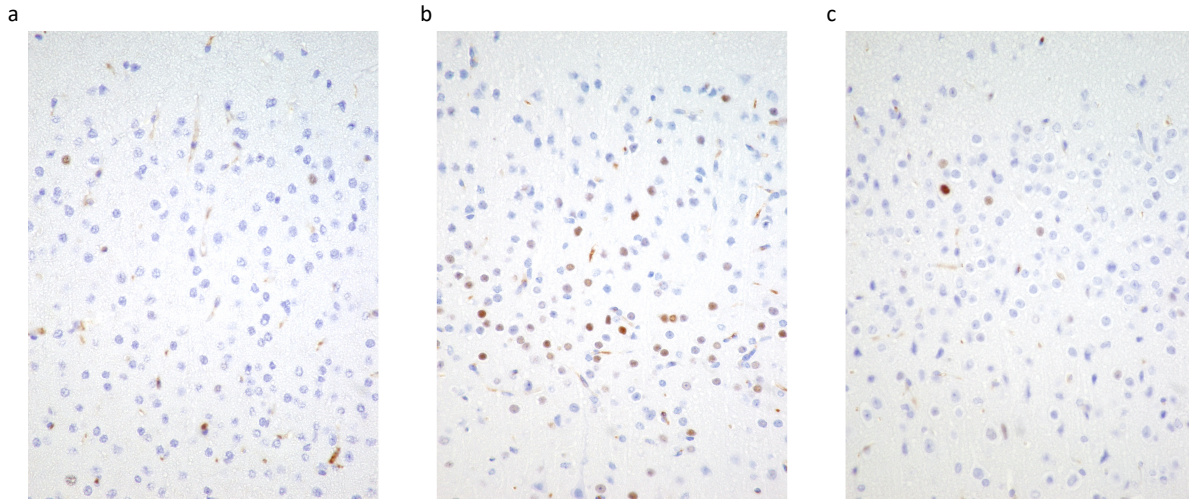
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625 **Figure 5**



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628 **Figure 6**
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