

1 **Pleiotrophin signals through ALK receptor to enhance growth of neurons in the presence of**
2 **inhibitory CSPGs**

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13 Keywords

14 Plasticity, neurons, CSPGs, PTN, ALK, neuronal growth.

15

16 **Summary statement**

17 Function in the central nervous system (CNS) is attributed to the complex interactions of
18 neurons and glia. These cells are anchored in extracellular matrix (ECM) which constitutes
19 about 10% - 20% of brain volume. Cells in the brain produce different components of the
20 ECM in brain including chondroitin sulfate proteoglycans (CSPGs). After a nervous
21 system injury, glial cells produce excess CSPGs that restrict the regeneration of neurons,
22 thus limiting functional recovery. This study examines the role of the endogenous growth
23 factor pleiotrophin (PTN) in driving the growth of neurons even in the presence of
24 inhibitory CSPGs, and anaplastic lymphoma kinase (ALK) receptor as a key mediator by
25 which PTN potentiates growth.

26 **Abstract**

27 Chondroitin sulfate proteoglycans (CSPGs), one of the major extracellular matrix
28 components of the glial scar that surrounds central nervous system (CNS) injuries, are
29 known to inhibit the regeneration of neurons. This study investigated whether pleiotrophin
30 (PTN), a growth factor upregulated during early CNS development, can overcome the
31 inhibition mediated by CSPGs and promote the neurite outgrowth of neurons *in vitro*. The
32 data showed that a CSPG matrix inhibited the outgrowth of neurites in primary cortical
33 neuron cultures compared to a control matrix. PTN elicited a dose dependent increase in
34 the neurite outgrowth even in the presence of the growth inhibitory CSPG matrix, with
35 optimal growth at 15 ng mL⁻¹ of PTN (114.8% of neuronal outgrowth relative to laminin
36 control). The growth promoting effect of PTN was blocked by inhibition of the receptor
37 anaplastic lymphoma kinase (ALK) by alectinib in a dose dependent manner. Neurite
38 outgrowth in the presence of this CSPG matrix was induced by activation of the protein
39 kinase B (AKT) pathway, a key downstream mediator of ALK activation. This study
40 identified PTN as a dose-dependent regulator of neurite outgrowth in primary cortical
41 neurons cultured in the presence of a CSPG matrix, and identified ALK activation as a key
42 driver of PTN-induced growth.

43 Introduction

44 Chondroitin sulfate proteoglycans (CSPGs) are a major component of the extracellular
45 matrix that surrounds cells of the central nervous system (CNS) (Siebert, Conta Steencken
46 and Osterhout, 2014). CSPGs maintain CNS health by regulating growth of axons during
47 development and protecting against oxidative stress (Morawski *et al.*, 2004). After CNS
48 injury, activated glial cells increase synthesis of CSPGs to form a glial scar that contains
49 the injury site (Siebert, Conta Steencken and Osterhout, 2014). The scar reduces lesion
50 growth but also acts as a barrier for the regeneration of neurons that may limit functional
51 recovery (Siebert, Conta Steencken and Osterhout, 2014; Wang *et al.*, 2009). CSPGs are
52 also upregulated in neurodegenerative conditions including Alzheimer's disease (AD),
53 Pick bodies in Pick's disease, and Lewy bodies in Parkinson's disease (PD) (Herradón and
54 Pérez-García, 2014). Several experimental approaches have been investigated to neutralize
55 the growth inhibitory effect of CSPGs *in vivo*, including digestion of CSPGs by
56 chondroitinase ABC (ChABC), knockdown of CSPG polymerisation enzymes by RNA
57 interference (RNAi), and peptide blocking the signalling of two major receptors of CSPGs:
58 protein tyrosine phosphatase sigma ($PTP\sigma$) and leukocyte antigen receptor (LAR) (Keough
59 *et al.*, 2016). Although these strategies have shown positive effects on the growth of
60 neurons in model systems, there are disadvantages that may limit translation to clinical use.
61 Both ChABC and siRNA are exogenous macromolecules that must be delivered directly
62 to the injury site, have short half-lives *in vivo*, and could induce immunological response
63 due to repeated administration (Wong, 2008). Potentiating regeneration of neurons without
64 degrading the glial scar may be possible via the heparin-binding growth factor pleiotrophin
65 (PTN). PTN has long half life (Dreyfus *et al.*, 1998; Paveliev *et al.*, 2016) and may
66 overcome the disadvantage of repeated administration associated with the use of ChABC
67 and siRNA. PTN is a developmentally regulated protein whose expression peaks (in rats)
68 3-4 weeks after birth (Wang, 2020). Notably, PTN expression is low in adults but increases
69 transiently after CNS injury (Wang, 2020). PTN is associated with neuroplasticity
70 including the maturation of new neurons and induction of neurite outgrowth (Tang *et al.*,
71 2019). Notably, PTN may reverse aggrecan (a prominent CSPG) mediated inhibition *in*
72 *vitro* by preventing the binding of (Paveliev *et al.*, 2016). In PD, PTN has shown to reduce
73 nigrostriatal degeneration and improve functional recovery (Herradón and Pérez-García,

74 2014). Notably, PTN has a sustained presence in the tissue when injected into the nervous
75 system directly. Moreover, identifying key receptors involved in PTN-induced
76 neuroplasticity may allow for systemic pharmacotherapy.

77 PTN has several putative cell surface receptors, including receptor protein tyrosine
78 phosphatase ζ (RPTP ζ /PTPRZ), syndecans, nucleolin, neuropilin-1, integrin α V β 3 and
79 α M β 2, N-syndecan receptor, glypican 2, neuroglycan-C, and anaplastic lymphoma kinase
80 (ALK) (Paveliev *et al.*, 2016; Wang, 2020). Moreover, PTN can integrate into the
81 extracellular matrix, limiting CSPG interactions with growth-inhibitory receptor PTP σ for
82 a sustained period (Paveliev *et al.*, 2016).

83 This study investigates the potential role of ALK receptor activation by PTN to
84 increase the growth of neurons in the presence of a CSPG matrix. ALK is expressed during
85 early development in CNS, with low expression in the adult central nervous system
86 (Vernersson *et al.*, 2006; Iwahara *et al.*, 1997). ALK signalling is critical for differentiation
87 of neuronal progenitor cells to neurons and regulates their survival (Yao *et al.*, 2013; Tang
88 *et al.*, 2019). By binding to CSPGs, PTN also reduces phosphatase activity of RPTP ζ ,
89 which increases activity of ALK (Wang, 2020). Moreover, in developing neurons PTN
90 signals directly via ALK receptor binding (Tang *et al.*, 2019; Yanagisawa *et al.*, 2009).
91 Based on the evidence mentioned above ALK receptor plays a major role in neurogenesis
92 and neuronal survival making it a potential receptor to investigate its involvement in PTN
93 signaling in the presence of CSPGs. Thus, here we investigated to role of PTN signaling
94 via ALK in driving neuron growth in the presence of inhibitory CSPGs. Our data reveals a
95 dose dependent effect of PTN on neurite outgrowth in the presence of CSPGs. Notably,
96 selective pharmacological inhibition of the ALK receptor attenuated the growth promoting
97 effect of PTN.

98 **Results and Discussion**

99 **Pleiotrophin induces neurite outgrowth in the presence of CSPGs**

100 CSPGs are known to inhibit the growth of neurons. In order to understand the effect of
101 PTN on neurite outgrowth, cortical neurons were cultured on the growth permissive
102 (laminin) matrix or on the growth inhibitory (laminin + CSPGs) matrix and treated with

103 different concentrations of PTN (5 ng mL^{-1} – 20 ng mL^{-1}) for 72 h. MAP2
104 immunofluorescence was used to quantify neurite outgrowth. Neurons showed extensive
105 neurite outgrowth on the laminin matrix (Fig. 1A-C) that was inhibited by CSPGs (Fig. 1
106 D-F). PTN restored neurite extension in a dose dependent manner (Fig. 1G-I). Neuronal
107 morphology was analysed using the Simple Neurite Tracker plugin for ImageJ (Longair,
108 Baker and Armstrong, 2011) (Fig. 1J). A dose dependent effect of PTN on total neurite
109 outgrowth was observed, with PTN counteracting the inhibitory effect of CSPGs at
110 concentrations below 20 ng mL^{-1} and maximal effect at 15 ng mL^{-1} concentration (Fig.
111 1K). PTN treatment increased the complexity of cortical neuron neurite growth by
112 increasing branched neurite growth, with maximum branch length observed at 15 ng mL^{-1}
113 PTN (Fig. 1L), and increased the number of neurons with neurite outgrowth at 10-15 ng mL^{-1}
114 PTN (Fig. 1M). This data suggests that PTN at specific concentrations potentiates
115 neurite extension. PTN has been shown to promote cell survival signal in dopaminergic
116 neurons invitro and in a mouse model of Parkinson's disease PTN was shown to promote
117 survival of grafted dopaminergic neurons, thus improving functional recovery of the
118 nigrostriatal pathway (Herradón and Pérez-García, 2014; Hida *et al.*, 2007). These findings
119 support PTN as a candidate to restore neurite extension in CSPG-rich lesion areas after
120 CNS injury and other neurological conditions. Notably, PTN may also modulate the
121 activity of glial cells including OPCs (Oligodendrocyte progenitor cell) and microglia.
122 PTN induces differentiation of OPCs to mature oligodendrocytes, thus promoting
123 myelination of developing neurons (Herradon and Ezquerro, 2009), and could therefore
124 potentiate remyelination after injury. Microglia increase their release of neurotrophic
125 factors including ciliary neurotrophic factor (CNTF), nerve growth factor (NGF) and brain-
126 derived neurotrophic factor (BDNF) after stimulation with PTN (Miao *et al.*, 2012). Thus,
127 in addition to direct actions on neurons, PTN is a strong candidate to generate an
128 environment favouring the neuronal growth and its functionality by actions on multiple
129 cell types. These data suggest PTN signaling may be an exciting approach to enhancing
130 neuroplasticity after CNS injury, though the dose-response relationship for PTN in the
131 presence of CSPGs will be important to verify *in vivo*.

132

133 **Pleiotrophin signals via ALK receptor on neurons**

134 PTN signals by inactivating the phosphatase activity of PTPR ζ (Herradon and
135 Ezquerro, 2009). Currently available pharmacological inhibitors of PTPR ζ are quite limited
136 (Herradon and Pérez-García, 2014). Thus, identifying other potential receptors for PTN
137 may identify new targets to drive neuron growth. ALK is a putative PTN receptor, and is
138 broadly expressed on cortical neurons *in vitro* (Fig. 2A-C). Treatment of primary neuronal
139 cultures with alectinib, which selectively inhibits phosphorylation of ALK and therefore
140 blocks activity of ALK (Tang *et al.*, 2019), was used to probe the involvement of the ALK
141 receptor in PTN signalling. Alectinib induced a dose dependent inhibition of neurite
142 outgrowth in PTN treated neurons cultured on a CSPG matrix (Fig. 2D-J). The available
143 literature indicates the involvement of ALK in neuron like differentiation of PC12 cells
144 (Palmer *et al.*, 2009) and depletion of ALK receptor attenuates neuronal proliferation and
145 neurogenesis (Wulf *et al.*, 2021). Attenuation of neurite growth due to alectinib treatment
146 provides strong evidence for the necessity of ALK activity in neurite extension, identifying
147 a further avenue for investigation for development of ALK agonists to drive
148 neuroplasticity.

149 **Activating the AKT pathway drives neurite growth**

150 The protein kinase B (AKT) pathway is a key downstream transducer of PTN/ALK
151 signalling (Tang *et al.*, 2019). To investigate the involvement of this pathway in the
152 regeneration of neurons, cortical neurons cultured on a CSPG matrix were treated with the
153 AKT activating compound SC79 in the absence of PTN (Tang *et al.*, 2019). SC79 treated
154 neurons showed a dose dependent enhanced neurite outgrowth even in the presence of
155 CSPGs (Fig. 3A-G). Although activating AKT signalling with SC79 did not show an
156 elevated response as compared to PTN treatment, with 15 ng mL⁻¹ PTN showing 114.8 %
157 and 5 μ M SC79 with 78.43% increased neurite outgrowth compared to laminin control. The
158 reason for reduced effectiveness of SC79 relative to PTN incubation could reflect other
159 potential downstream activators of ALK signalling. ALK is known to activate many
160 pathways including phospholipase C γ , Janus kinase (JAK), PI3K-AKT, mTOR and
161 MAPK signaling cascades (Herradon and Ezquerro, 2009; Palmer *et al.*, 2009). Thus,
162 blocking the ALK activity with alectinib blocks the activity of all these possible pathways

163 and induces a significant reduction in neurite growth even at the lowest tested concentration
164 of 1.25 nM (Figure 2H). PTN/ALK signalling may therefore be a potential target to induce
165 neuron regeneration after CNS injury or degenerative diseases associated with CSPG
166 upregulation, including Alzheimer's, Parkinson's, and multiple sclerosis.

167 **Materials and Methods**

168 **Matrices preparation:**

169 Coverslips were coated with 100 $\mu\text{g mL}^{-1}$ Poly-L-Lysine (sigma-aldrich, P5899, USA) for
170 2 hours. After 3 washes with water, the coverslips were coated with either a growth
171 permissive matrix -10 $\mu\text{g mL}^{-1}$ of laminin (Corning, 354232) or an inhibitory matrix - 10
172 $\mu\text{g mL}^{-1}$ laminin + 1.25 $\mu\text{g mL}^{-1}$ of CSPGs (sigma aldrich, CC117) for 2 hour and washed
173 2 times with PBS.

174 **Primary cortical neuronal culture:**

175 All animal protocols were conducted in accordance with Canadian Council on Animal Care
176 Guidelines and approved by the Animal Care and Use Committee: Health Sciences for the
177 University of Alberta. Rat primary cortical neurons were isolated from 0-1day old Sprague
178 Dawley rat pups. The cortices were dissected and digested with TrypLE (Gibco, 12605-
179 028) for 15 minutes at 37°C. The cells were dissociated from tissue by trituration in
180 neurobasal A medium (Thermofisher, 1088802) containing B27 supplement (1:50 v/v)
181 (Gibco, 17504-044), antibiotics and GlutamX (Gibco, 35050-061). The cell suspension
182 was seeded at 20,000 cells / well on coverslips with different matrices and incubated at 37
183 °C, 5% CO₂ for 1 hour. Following incubation, the media was incubated (72 h) with media
184 containing different concentration of recombinant human PTN (rhPTN, R&D systems 252
185 - PL) or the drugs alectinib (Toronto Research Chemicals, C183665) or SC79 (Selleck
186 Chemicals, S7863).

187 **Immunofluorescence**

188 Cells were fixed after 72 h of treatment with 5% formaldehyde solution for 15 min. and
189 stained with microtubule-associated protein 2 (MAP 2) antibody (1:500, Sigma aldrich
190 M9942), ALK (1:500, abcam ab190934) and Hoechst 33342 (1:1000, Invitrogen, 62249).

191 Statistical analyses of data from epifluorescent images were carried out using one-way
192 ANOVA followed by Dunnett's multiple comparisons test Using Graphpad Prism (v9.1.2).

193 **Competing interests**

194 No competing interests declared.

195 **Author contributions**

196 SJG conceived the study with IRW, designed and analysed experiments, and wrote the
197 manuscript. MAC, KGT, and IRW assisted with analysis and interpretation of data and co-
198 wrote the manuscript.

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275 **Figures and Captions:**

276 **Figure 1:** Neurons cultured on laminin (growth permissive matrix) and stained for **(A)**
277 Hoechst, **(B)** MAP 2 (Microtubule associated protein 2), **(C)** overlay. Neurons cultured on
278 laminin + CSPGs (growth inhibitory matrix) and stained for **(D)** Hoechst, **(E)** MAP 2 **(F)**
279 overlay. Neurons cultured laminin + CSPGs (growth inhibitory matrix) and treated with
280 pleiotrophin (PTN) and stained for **(G)** Hoechst **(H)** MAP 2 **(I)** overlay. **(J)** Neurite
281 outgrowth traced using simple neurite tracer plugin (SNT) in image J, total neurite growth
282 (highlighted in purple + green), branched neurite outgrowth (highlighted in green) and
283 neurons with no neurite outgrowth (indicated by yellow arrow). **(K)** PTN induces neurite
284 growth in the presence of CSPGs (ANOVA, $F_{(4,10)}=9.028$, $P=0.0024$). **(L)** PTN induced
285 branched neurite growth in the presence of CSPGs (ANOVA, $F_{(4,10)}=3.740$, $P=0.0413$) **(M)**
286 PTN increases number of neurons with neurite growth in the presence of CSPGs (ANOVA,
287 $F_{(4,10)}=4.560$, $P=0.0235$). **(K – M)** Error bars represent standard error of mean (SEM),
288 symbols *, ** represent $p<0.05$ and 0.01 , respectively, on Dunnett’s multiple comparisons
289 against control. All data are based on 3 independent experiments with a minimum of three
290 technical replicates.

291 **Figure 2:** Expression of ALK in cortical neuronal culture and stained for **(A)** ALK **(B)**
292 MAP 2 and **(C)** overlay. Cortical neurons cultured on **(D)** laminin matrix **(E)** Laminin +
293 CSPGs matrix **(F)** Laminin + CSPGs matrix and treated with PTN **(G)** Laminin + CSPGs
294 matrix and treated with PTN and alectinib and stained for MAP2 and Hoechst. **(H)** Neurite
295 growth induced by PTN in the presence of CSPGs is blocked by alectinib (ANOVA,
296 $F_{(5,12)}=30.48$, $P<0.0001$). **(I)** PTN induced branched neurite growth in the presence of
297 CSPGs is blocked by alectinib (ANOVA, $F_{(5,12)}=4.078$, $P=0.0214$). **(J)** Alectinib reduced
298 number of neurons with neurite growth in the presence of PTN in the presence of CSPGs
299 (ANOVA, $F_{(5,12)}=9.068$, $P=0.0009$). **(H – J)** Error bars represent standard error of mean
300 (SEM), symbols *, ** and ***/**** represent $P<0.05$, 0.01 , and 0.001 respectively. All
301 data are based on 3 independent experiments with a minimum of three technical replicates.

302 **Figure 3:** Cortical neurons cultured on **(A)** laminin matrix **(B)** Laminin + CSPGs matrix
303 **(C)** Laminin + CSPGs matrix and treated with PTN **(D)** Laminin + CSPGs matrix and
304 treated with SC79 (AKT activator) and stained for MAP2 and Hoechst. **(E)** SC79 induced
305 neurite growth in the presence of CSPGs (ANOVA, $F_{(4,10)}=8.222$, $P=0.0033$). **(F)** SC79
306 increases branched neurite growth of neurons in the presence of CSPGs (ANOVA,
307 $F_{(4,10)}=4.441$, $P=0.0254$). **(G)** SC79 increases number of neurons with neurite growth in
308 the presence of CSPGs (ANOVA, $F_{(4,10)}=3.699$, $P=0.0425$). **(E – G)** Error bars represent
309 standard error of mean (SEM), symbols * and ** represent $p<0.05$ and 0.01 , respectively,
310 on Dunnett's multiple comparisons against vehicle control. All data are based on 3
311 independent experiments with a minimum of three technical replicates.

Figure 1:

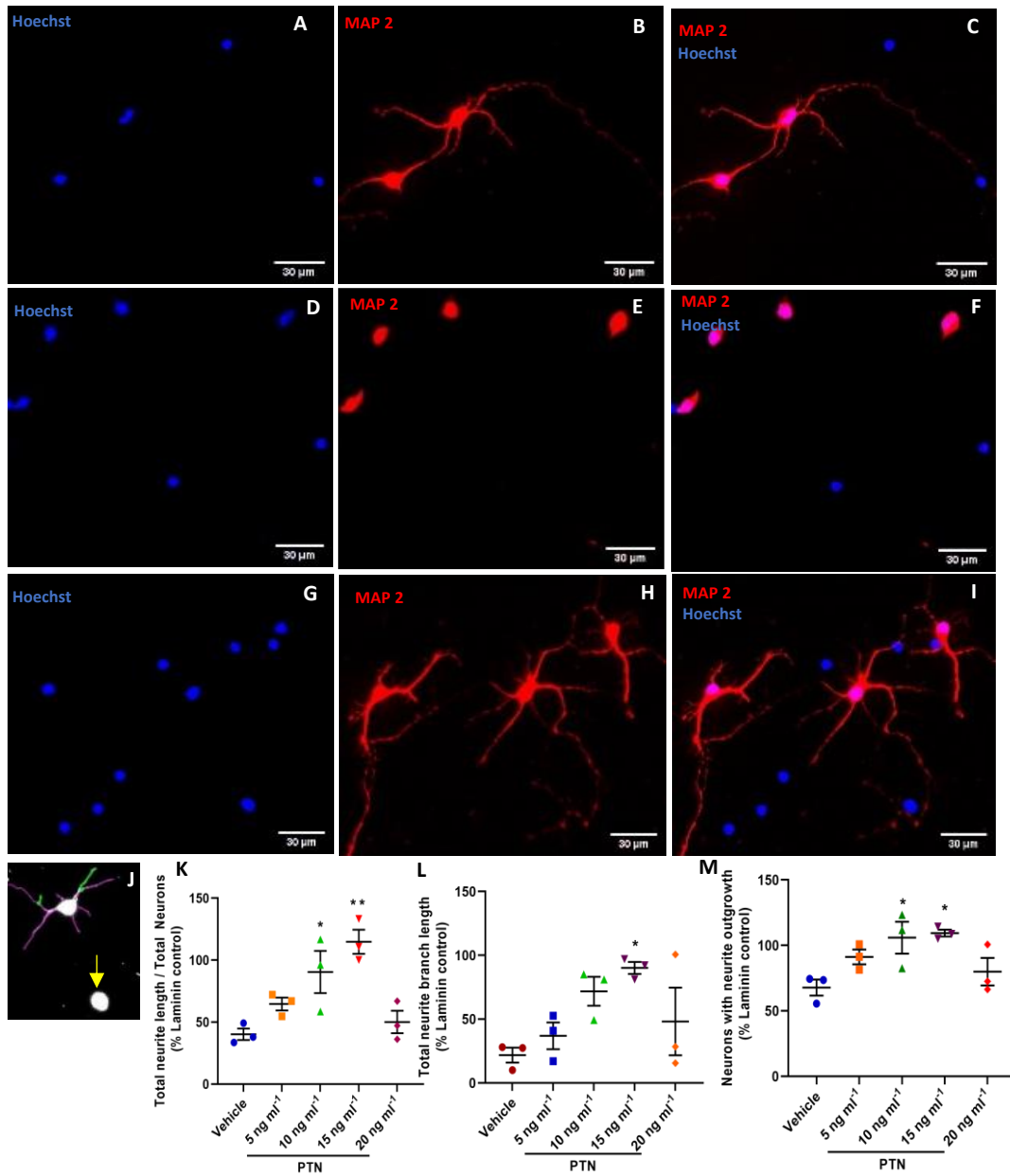


Figure 2:

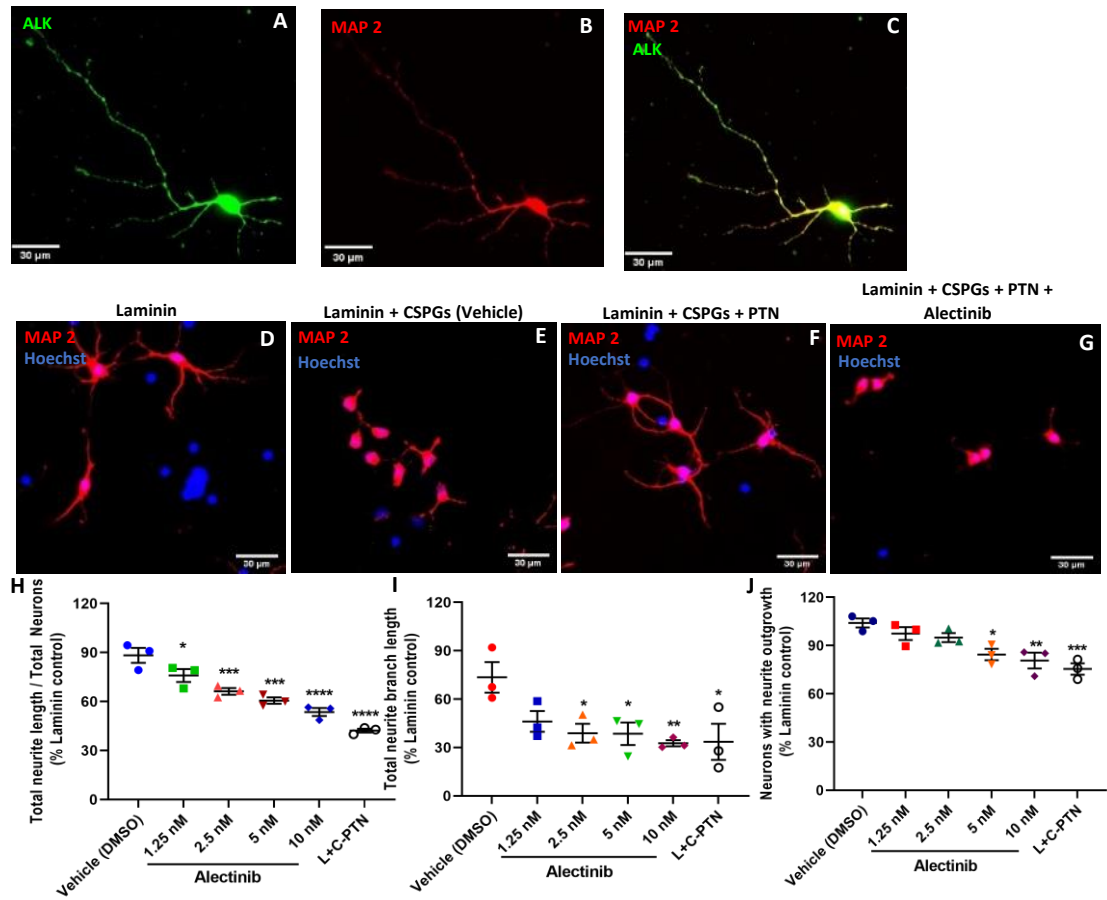


Figure 3:

