

**Alternative splicing downstream of EMT enhances phenotypic plasticity and malignant behaviour in colon cancer.**

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## Abstract

Phenotypic plasticity allows carcinoma cells to transiently acquire the quasi-mesenchymal features necessary to detach from the primary mass and proceed along the invasion-metastasis cascade. A broad spectrum of epigenetic mechanisms is likely to cause the epithelial-to-mesenchymal (EMT) and mesenchymal-to-epithelial (MET) transitions necessary to allow local dissemination and distant metastasis. Here, we report on the role played by alternative splicing (AS) in eliciting phenotypic plasticity in colon cancer.

By taking advantage of the identification of a subpopulation of quasi-mesenchymal and highly metastatic EpCAM<sup>lo</sup> colon cancer cells, we here show that the differential expression of *ESRP1* and other RNA-binding proteins (RBPs) downstream of the EMT master regulator *ZEB1*, alters the AS pattern of a broad spectrum of targets including *CD44* and *NUMB*, thus resulting in the generation of specific isoforms functionally associated with increased invasion and metastasis. Additional functional and validation studies indicate that both the newly identified RBPs and the CD44s and NUMB2/4 splicing isoforms promote local invasion and distant metastasis and are associated with poor survival.

The systematic elucidation of the spectrum of EMT-related RBPs and AS targets in colon cancer and in other malignancies, apart from the insights in the mechanisms underlying phenotypic plasticity, will lead to the identification of novel and tumor-specific therapeutic targets.



## 1 Introduction

2 Colon cancer still represents one of the major causes of cancer-related morbidity and mortality  
3 worldwide. Apart from its high incidence, the adenoma-carcinoma sequence along which colon cancer  
4 progresses has served as a classic model to elucidate the underlying genetic alterations representative of  
5 virtually all of the hallmarks of cancers<sup>1</sup>, possibly with the only exception of “activating invasion and  
6 metastasis”. As also reported in other epithelial cancers, the several steps of the invasion-metastasis  
7 cascade are not caused by genetic alterations but rather by transient morphological and gene expression  
8 changes of epigenetic nature<sup>2,3</sup>. In this context, epithelial–mesenchymal transition (EMT), and its reverse  
9 MET, likely to represent the main mechanisms underlying local dissemination and distant metastasis<sup>4,5</sup>.  
10 EMT is triggered at the invasive front of the primary colon carcinoma in cells earmarked by nuclear  $\beta$ -  
11 catenin and enhanced Wnt signaling, as the result of their physical and paracrine interactions with the  
12 microenvironment<sup>6</sup>. The acquisition of quasi-mesenchymal features allows local invasion and  
13 dissemination through the surrounding stromal compartment. Of note, EMT/MET should not be regarded  
14 as binary processes in view of previous reports highlighting the existence of metastable hybrid E/M states  
15 (partial EMT or pEMT) endowed with phenotypic plasticity and likely to underlie the reversible  
16 morphological and functional transitions necessary to successfully complete the invasion-metastasis  
17 cascade<sup>7</sup>.

18 The molecular basis of the epigenetic changes underlying EMT and MET is likely to encompass a broad  
19 spectrum of mechanisms ranging from chromatin remodeling and histone modifications to promoter DNA  
20 methylation, non-coding RNAs (e.g. micro RNAs), and alternative splicing (AS). The inclusion/exclusion of  
21 specific exons in mature mRNAs results in different protein isoforms with distinct biological functions. AS  
22 occurs in 92–94% of human genes leading to enriched protein density<sup>8,9</sup>. Several sequence-specific RNA-  
23 binding proteins (RBPs) have been identified which bind pre-mRNAs to control AS in context-dependent  
24 fashion<sup>10</sup>. Multiple cancer-specific AS variants have been found to underlie progression and metastasis<sup>11</sup>.  
25 Likewise, alternative splicing has been suggested to play key roles in EMT/MET<sup>12,13</sup> and phenotypic  
26 plasticity<sup>14</sup> in cancer by expression changes in RBP-encoding genes and their consequences for the  
27 modulation of downstream AS targets.

28 The *ESRP1* (epithelial splicing regulatory protein 1) gene encodes for an epithelial-specific RBP and splicing  
29 regulator shown to play a central role in EMT by modulating AS of EMT-associated genes including *FGFR2*,  
30 *Mena*, *CD44* and p120-catenin<sup>4</sup>. Relevant to the present study, ESRP1 was reported to regulate the EMT  
31 transition from CD44v (variable) to CD44s (standard) isoforms in breast and lung cancer progression<sup>15,16</sup>.

1 As for colon cancer, whether ESRP1 regulates alternative splicing of CD44 and other target genes  
2 downstream of EMT/MET activation during invasion and metastasis, is yet poorly understood.

3 Recently, we identified and thoroughly characterized subpopulations of CD44<sup>hi</sup>/EpCAM<sup>lo</sup> colon cancer  
4 cells (here referred to as EpCAM<sup>lo</sup>) that coexist with their epithelial counterparts (CD44<sup>hi</sup>/EpCAM<sup>hi</sup>; for  
5 brevity EpCAM<sup>hi</sup>) through stochastic state transitions governed by phenotypic plasticity and pEMT<sup>17</sup>.  
6 Accordingly, EpCAM<sup>lo</sup> cells feature highly invasive and metastatic capacities. Here, we took advantage of  
7 these *in vitro* models of phenotypic plasticity to study differential gene expression changes at upstream  
8 RBPs and AS variations at target genes. Among the top AS targets, CD44 and NUMB were selected for  
9 validation and functional studies in view of their known splicing isoforms and roles in stemness and cancer.  
10 Moreover, we provide an extensive list of additional EMT- and colon cancer-related RBPs and AS targets  
11 and show that the same CD44s and NUMB2/4 isoforms are conserved in ovarian and cervical cancer, i.e.  
12 independently of the distinct modalities through which these malignant cells metastasize.

13

## 1 Results

### 2 ***Differential expression of RNA-binding proteins in quasi-mesenchymal and highly metastatic colon*** 3 ***cancer cells (EpCAM<sup>lo</sup>) affects alternative splicing of a broad spectrum of downstream target genes.***

4 As previously reported, the EpCAM<sup>lo</sup> subpopulation of colon cancer cells is earmarked by increased  
5 expression of the *ZEB1* transcription factor, responsible for EMT activation and for their quasi-  
6 mesenchymal and highly metastatic phenotype<sup>17</sup>. It has been established that in breast and pancreatic  
7 cancer *ZEB1*-driven EMT downregulates the expression of the RNA-binding protein and splicing regulator  
8 *ESRP1* as part of a self-enforcing feedback loop<sup>18</sup>. Accordingly, among the top differentially expressed  
9 genes between EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> in SW480 and HCT116 colon cancer cells, *ESRP1* was found to be  
10 downregulated both at the RNA and protein level in the quasi-mesenchymal subpopulation where *ZEB1*  
11 expression is upregulated (Figure 1A-C). Gain- and loss-of-function analyses of both genes confirmed the  
12 inter-dependence of their expression levels in both cell lines (Figure 1D-E). Of note, *ESRP1*-overexpression  
13 in the HCT116 and SW480 cell lines resulted in the dramatic reduction of their EpCAM<sup>lo</sup> subpopulations  
14 and the expansion of the epithelial bulk, as shown by FACS analysis (Figure 1F). However, *ESRP1*  
15 knockdown (KD) only marginally affected CD44 though not EpCAM expression levels (Suppl. Figure 1A).  
16 The latter suggests that additional RNA binding proteins are likely to be involved in the alternative splicing  
17 regulation of the EpCAM<sup>lo</sup> colon cancer subpopulation. Indeed, by taking advantage of the RBPDB  
18 database<sup>19</sup>, we found that, apart from *ESRP1*, consistent differential expression in the quasi-mesenchymal  
19 subpopulation of both cell lines was observed for *ESRP2*, *RBM47*, *MBNL3* (down-regulated) and *NOVA2*,  
20 *MBNL2*, (up-regulated). Other RBPs were found to be differentially expressed though in only one of the  
21 two cell lines (Suppl. Figure 1B). In validation of the clinical relevance of the RBPs found to be differential  
22 expressed between the EpCAM<sup>hi/lo</sup> subpopulations derived from the SW480 and HCT116 cell lines, the  
23 RBP-coding genes *QKI*, *RBM24*, and *MBNL2* (up in EpCAM<sup>lo</sup>), and *ESRP1/2* and *RBM47* (down in EpCAM<sup>lo</sup>)  
24 were found to be respectively up- and down-regulated in the consensus molecular subtype 4 (CMS4) of  
25 colon cancers, responsible for ~25% of the cases and earmarked by poor prognosis and a pronounced  
26 mesenchymal component (Suppl. Figure 1C)<sup>20</sup>.

27 Differentially spliced target genes between EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> colon cancer cells from the SW480  
28 and HCT116 cell lines were selected based on exon skip splicing events with  $\Delta$ PSI (differential Percentage  
29 Spliced In) values > 10%. The PSI value ranges from 0 to 1 and is a measurement of the percentage of  
30 isoform with an alternative exon included<sup>21</sup>. This resulted in a large and rather heterogeneous group of  
31 alternative spliced targets (n=1495; Suppl. Table 1a) with no clear enrichment in any specific gene

1 ontology class (data not shown). In order to identify differentially spliced target genes in RBP-specific  
2 fashion, we took advantage of RNAseq data sets from previous *ESRP1*-, *ESRP2*-, *RBM47*-, and *QKI*-  
3 knockdown studies in different cancer cell lines and compared them with our own AS data relative to the  
4 EpCAM<sup>hi/lo</sup> colon cancer subpopulations<sup>17</sup> (Suppl. Figure 2A). A total of 32 common skipped exons events  
5 in 20 genes were identified between EpCAM<sup>lo</sup> colon (both cell lines) and *ESRP1* KD H358 lung cancer cells<sup>22</sup>  
6 (Figure 2A). More extensive lists of common *ESRP1* AS events and target genes were obtained when the  
7 SW480 and HCT116 cell lines were individually compared with the lung cancer study (Suppl. Table 1b-c).  
8 As for the alternative splicing targets of RBPs other than *ESRP1*, based on the available RNAseq data from  
9 knockdown studies of *ESRP2* (in the LNCaP cell line<sup>23</sup>), *RBM47* (H358<sup>22</sup>), and *QKI* (CAL27; GEO Accession:  
10 GSM4677985), several common and unique genes were found (Suppl. Figure 2 and Suppl. Table 2).  
11 Notably, four EMT-related genes (*CTNND1*<sup>24</sup>, *LSR*<sup>25</sup>, *SLK*<sup>26</sup>, and *TCF7L2*<sup>27</sup>) were common to all RBP KD  
12 studies analyzed (Suppl. Figure 2).

13

14 ***The CD44s and NUMB2/4 ESRP1-specific AS isoforms are preferentially expressed in EpCAM<sup>lo</sup> colon***  
15 ***cancer cells.***

16 From the newly generated lists of RBP-specific alternative splicing targets, we selected *CD44* and  
17 *NUMB* for further analysis, based both on their *ESRP1*-specific AS patterns and on their well-established  
18 roles in EMT, stemness/differentiation, and cancer progression.

19 *CD44*, a transmembrane cell surface glycoprotein, has been show to play key roles in inflammatory  
20 responses and in cancer metastasis<sup>28</sup>. The *CD44* gene encompasses 20 exons of which 1-5 and 16-20 are  
21 constant and exist in all isoforms. In contrast, exons 6-14, also referred to as variants exons v2-v10, are  
22 alternatively spliced and often deregulated in cancer<sup>28</sup>. The *NUMB* gene and its protein product have been  
23 involved in a broad spectrum of cellular phenotypes including cell fate decisions, maintenance of stem  
24 cell niches, asymmetric cell division, cell polarity, adhesion, and migration. In cancer, *NUMB* is a tumor  
25 suppressor that regulates, among others, Notch and Hedgehog signaling<sup>29</sup>. The mammalian *NUMB* gene  
26 encodes for 4 isoforms, ranging from 65 to 72 KD, differentially encompassing two key functional domains,  
27 i.e. the amino-terminal phosphotyrosine-binding (PTB) domain, and a C-terminal proline-rich region (PRR)  
28 domain<sup>29</sup>.

29 Based on the above  $\Delta$ PSI-based AS analysis, decreased expression of CD44v (variable) isoforms was  
30 observed in EpCAM<sup>lo</sup> and *ESRP1*-KD cells, accompanied by increased CD44s (standard) isoform expression  
31 (Figure 2B). Likewise, the NUMB2/4 isoforms appear to be preferentially expressed in EpCAM<sup>lo</sup> and *ESRP1*-  
32 KD, accompanied by decreased NUMB1/3 expression (Figure 2B). RTqPCR and western analyses validated

1 these *in silico* data: CD44s and NUMB2/4 isoforms were preferentially expressed in EpCAM<sup>lo</sup> colon cancer  
2 cells, in contrast with the increased CD44v and NUMB1/3 levels in EpCAM<sup>hi</sup> cells (Figure 2C-D). In view of  
3 its previously suggested role in invasion and metastasis<sup>30</sup>, we focused on the CD44v6 isoform.  
4 As reported above, AS events at the *NUMB* and *CD44* genes correlate with decreased ESRP1 expression.  
5 To confirm this observation, we up- and down-regulated *ESRP1* in the SW480 and HCT116 cell lines. The  
6 dox-inducible shRNA vector used for the KD studies reduces ESRP1 expression by 5-10 fold (Figure 1D-E)  
7 and resulted in the upregulation of the CD44s and NUMB2/4 isoforms at the mRNA and protein level in  
8 both cell lines (Figure 3A-B and Suppl. Figure 3). Likewise, *ESRP1* overexpression led to an increase in the  
9 CD44v6 and NUMB1/3 isoforms, found in association with the bulk of epithelial colon cancer cells (Figure  
10 3C-D and Suppl. Figure 3).

11

### 12 ***Transcriptional and functional consequences of the CD44s and NUMB2/4 isoforms on colon cancer*** 13 ***invasion and metastasis.***

14 In order to elucidate the functional contribution exerted by the newly identified CD44s and NUMB2/4  
15 isoforms on the overall invasive and metastatic capacities of colon cancer cells, we first ectopically  
16 expressed each of them (individually and in combination for NUMB1/3 and 2/4) in the HCT116 and SW480  
17 cell lines (Suppl. Figure 3E-H), and analyzed their consequences *in vitro* by cell proliferation, transwell  
18 migration assay, RTqPCR, western, FACS, and RNAseq, and *in vivo* by spleen transplantation. A significant  
19 increase in migratory capacity, comparable to that of EpCAM<sup>lo</sup> cells sorted from the parental lines, was  
20 observed in SW480 and HCT116 upon overexpression of the CD44s and NUMB2/4 isoforms. Likewise,  
21 ectopic expression of the single NUMB2 or 4 isoforms resulted in increased migration rates when  
22 compared with NUMB1 and 3. In contrast, overexpression of CD44v6 and NUMB1/3, normally prevalent  
23 in the epithelial bulk (EpCAM<sup>hi</sup>) of both cell lines, did not affect their migratory properties (Suppl. Figure  
24 4A-B).

25 In agreement with the migration assays, overexpression of CD44s and NUMB2/4 results in the significant  
26 upregulation of the EMT transcription factors (EMT-TFs) *ZEB1*, accompanied by the up- and  
27 downregulation regulation of mesenchymal and epithelial markers such as *VIM* (vimentin), *CDH1* (E-  
28 cadherin), and *EpCAM*, respectively (Suppl. Figure 4C). Of note, expression of *ESRP1*, the main upstream  
29 splicing regulator of both CD44 and NUMB, was also decreased in CD44s- and NUMB2/4-OE cells, in  
30 confirmation of the self-enforcing feedback loop that characterize its interaction with ZEB1 and EMT  
31 activation<sup>18</sup>. In agreement with the well-established regulation of Notch signaling by NUMB isoforms<sup>29</sup>,

1 established Notch target genes and were accordingly up- (*HES1*, *HEY1*) and down-regulated (*ID2*) upon  
2 overexpression of NUMB2/4 (Suppl. Figure 4D).

3 FACS analysis was then employed to evaluate the overall effect of the ectopic expression of the specific  
4 CD44 and NUMB isoforms on the relative percentages of the EpCAM<sup>hi/lo</sup> subpopulations in the HCT116 and  
5 SW480 cell lines. As shown in Figure 4A, CD44s overexpression led to a dramatic increase of the EpCAM<sup>lo</sup>  
6 subpopulation at the expenses of EpCAM<sup>hi</sup> cells. The opposite effect was observed with CD44v6, i.e. the  
7 enlargement of the EpCAM<sup>hi</sup> gate and the corresponding decrease of EpCAM<sup>lo</sup> cells. As for NUMB, ectopic  
8 expression of NUMB2/4 significantly increased the relative proportion of EpCAM<sup>lo</sup> cells while reducing the  
9 size of the EpCAM<sup>hi</sup> subpopulation, while the opposite was observed with NUMB1/3 (Figure 4B-C). Of note,  
10 the single NUMB2 and NUMB4 isoforms appear dominant in their capacity to enlarge the HCT116 and  
11 SW480 EpCAM<sup>lo</sup> subpopulations, respectively. The same was true for NUMB1 and NUMB3 in the  
12 consequences of their ectopic expression in reducing the size of the HCT116 and SW480 EpCAM<sup>lo</sup> fractions,  
13 respectively (Figure 4B-C). In agreement with the RTqPCR analysis of EMT markers, CD44s overexpression  
14 negatively affected overall proliferation rates in both cell lines, whereas the opposite was observed upon  
15 CD44v6 expression (Suppl. Figure 5A-B). Likewise, NUMB1/3 expression positively affected proliferation  
16 rates in HCT116 and SW480, whereas the NUMB2/4 isoforms exert the opposite effects. In both cases,  
17 synergistic effects were observed upon co-expression of NUMB1/3 and 2/4, when compared to the  
18 individual isoforms (Suppl. Figure 5C-D).

19 In order to assess the *in vivo* the consequences of the ectopic expression of the CD44 and NUMB isoforms  
20 on the capacity of colon cancer cells to form metastatic lesions in the liver, parental HCT116 and SW480  
21 cells and their CD44s-, CD44v6-, NUMB1/3-, and NUMB1/4-overexpressing counterparts were injected in  
22 the spleen of immune-incompetent recipient mice. In agreement with the *in vitro* results, overexpression  
23 of both NUMB2/4 and CD44s isoforms significantly increased the multiplicity of liver metastases, whereas  
24 CD44v6 and NUMB1/3 did not differ from the parental controls (Figure 4D-E).

25 Next, in order to elucidate the signaling pathways and molecular and cellular mechanisms triggered by  
26 the CD44 isoforms, we analyzed by RNAseq HCT116 and SW480 cells ectopically expressing CD44s and  
27 CD44v6. After dimension reduction with principal component analysis (PCA), the samples separated by  
28 group (i.e. CD44s-OE, CD44v6-OE, and controls) (Figure 5A). Notably, the CD44s-OE samples showed most  
29 distinct expression in both cell lines when compared to the parental and CD44v6-OE cell lines. In HCT116,  
30 the CD44v6 samples shared most similarity with the CD44s samples, while in SW480, the CD44v6 samples  
31 were most similar to the parental cell line. Thus, we observed both an isoform independent effect,  
32 presumably as the result of the ectopic CD44 expression (and most dominantly visible in HCT116), and an

1 isoform dependent effect as depicted by the separation of CD44s and CD44v6 samples (Figure 5A). As  
2 expected, differential expression analysis of the CD44s and v6 isoforms overexpressing samples compared  
3 with the parental cell lines revealed an overall upregulation of gene expression (Suppl. Figure 6A). Next,  
4 in order to identify which genes are specifically upregulated by the different CD44 isoforms, we performed  
5 differential expression analysis between the CD44s samples and the CD44v6 samples. To this aim, we  
6 employed k-means clustering on the scaled expression values to separate genes specific for the CD44s  
7 isoform (e.g. *SPARC*, *ZEB1*, *VIM*), the CD44v6 isoform (e.g. *IL32*, *TACSTD2*, *CSF2*), and genes that were  
8 indiscriminative for the CD44v6 isoform or the parental cell lines (e.g. *MAL2*, *ESRP1*, *CDH1*) (Figure 5B).  
9 Finally, to identify the most distinct differences in signaling pathways and GO functional categories, we  
10 performed a gene set enrichment analysis (GSEA) by comparing the CD44s- with the CD44v6-  
11 overexpressing samples in the individual cell lines. Among the significantly altered pathways (normalized  
12 enrichment score > 1, pval < 0.01), epithelial mesenchymal transition (EMT) was the only one upregulated  
13 in CD44s vs. CD44v6 in both cell lines (Figure 5C). Additional pathways and GO categories activated by  
14 CD44s appeared to be cell line specific, e.g. Wnt beta catenin signaling (HCT116) and oxidative  
15 phosphorylation (SW480). Of note, the detailed GSEA analysis evidenced how several inflammatory  
16 (TNF/NFκB; IL6/JAK/STAT3; IFα/γ; ILK2/STAT5) and signaling (KRAS, MYC, E2F) pathways were common  
17 to both CD44s and v6, presumably as the result of the ectopic CD44 expression, regardless of the isoform  
18 (Suppl. Figure 6B).

19  
20 ***Increased ZEB1 and decreased ESRP1 expression correlate with the NUMB2/4 and CD44s isoforms and***  
21 ***with poor overall survival***

22 In order to assess the clinical relevance of the results obtained with the SW480 and HCT116 cell lines,  
23 we analyzed RNAseq data from patient-derived colon cancers available from the public domain and the  
24 scientific literature. To this aim, the TCGA Splicing Variants Database (TSVdb; [www.tsvdb.com](http://www.tsvdb.com)) was  
25 employed to integrate clinical follow-up data with RBP and AS expression profiles obtained from The  
26 Cancer Genome Atlas project (TCGA) and from the Guinney et al study<sup>20</sup> on the classification of human  
27 colon cancers into four consensus molecular subtypes (CMS1–4). The main limitation of this approach is  
28 the low representation of quasi-mesenchymal (EpCAM<sup>lo</sup>-like) subpopulations in bulk RNAseq preparations  
29 and the masking effect that the majority of epithelial (EpCAM<sup>hi</sup>-like) cancer cells are likely to cause. To  
30 identify tumors enriched in EpCAM<sup>lo</sup>-like cells, we first stratified them based on *ZEB1* expression (*ZEB1*>8.6:  
31 *ZEB1*<sup>hi</sup>; *ZEB1*<8.3: *ZEB1*<sup>lo</sup>; 8.2<*ZEB1*<8.6: Intermediate). Subsequently, we used *ESRP1* expression levels to  
32 further define the tumors into *ZEB1*<sup>hi</sup>*ESRP1*<sup>lo</sup> (*ESRP1*<11.8; hereafter referred to as *ZEB1*<sup>hi</sup>), *ZEB1*<sup>lo</sup>*ESRP1*<sup>hi</sup>



1 (*ESRP1*>11.6; hereafter referred to as *ZEB1*<sup>lo</sup>). Tumors with intermediate *ZEB1* expression levels and  
2 tumors with *ESRP1* expression levels outside these thresholds were defined as intermediate (Figure 6A).  
3 Kaplan-Meier analysis showed that *ZEB1*<sup>hi</sup> tumors have an overall decreased survival probability ( $p = 0.045$ )  
4 (Figure 6B). Next, we compared the expression of CD44 and NUMB isoforms across the *ZEB1*<sup>hi/lo</sup> tumors.  
5 Notably, while no significant differences were observed based on the expression level of the whole CD44  
6 and NUMB genes, significant differences were found for their specific isoforms (Figure 6C). Analysis of the  
7 specific isoforms expression across the different consensus molecular subtypes<sup>20</sup> revealed elevated CD44s  
8 and NUMB2/4 expression in the CMS4 subtype, known to be enriched in mesenchymal lineages in tumor  
9 and TME cells, and strongly associated with poor survival and the greatest propensity to form distant  
10 metastases (Figure 6D). Likewise, the majority of the *ZEB1*<sup>hi</sup> group was composed of the CMS4 subtype  
11 (72%), while the *ZEB1*<sup>lo</sup> group was mainly contributed by CMS2 (49%) and CMS3 tumors (31%), with few  
12 CMS4 tumors (1%) (Figure 6E).

13 Next, we correlated the expression of CD44s/v6 isoforms in patient-derived colon tumors with the  
14 differentially expressed genes (DEGs) identified in the isoform-overexpressing cell lines (Figure 7A). While  
15 overall *CD44* expression correlated with both isoforms, the DEGs from the CD44s-OE samples showed  
16 specific correlation with CD44s expression in patient-derived tumors (e.g. *SPARC*, *ZEB1*), the DEGs from  
17 the CD44v6 samples correlated with CD44v6 but not with CD44s (e.g. *KDF1*, *ESRP1*).

18 Last, we correlated the CD44 and NUMB isoforms expression in patient-derived colon cancers with  
19 functional signatures obtained by averaging the scaled expression levels for each of the hallmark sets<sup>31</sup>.  
20 The CD44s and NUMB2/4 isoforms showed overall similar correlating hallmarks and pathways. However,  
21 the same was not true when compared to the CD44v6- and NUMB1/3-associated functional signatures.  
22 Here, most invasion/metastasis-relevant hallmarks (e.g. EMT, angiogenesis, apical junctions) showed a  
23 positive correlation with CD44s and NUMB2/4, though not with CD44v6 and NUMB1/3 (Figure 7B).

24 In sum, we confirmed a switch in isoform expression (CD44v6 vs. CD44s and NUMB1/3 vs. NUMB2/4)  
25 as a function of *ESRP1* and *ZEB1* expression in colon cancer. Expression of the EpCAM<sup>lo</sup>-specific isoforms  
26 (CD44s and NUMB2/4) is elevated in CMS4 tumors overall survival.

27

28 ***Upregulation of the NUMB2/4 and CD44s isoforms is common to quasi-mesenchymal cells from cancers***  
29 ***other than colon.***

30 In order to assess whether the preferential expression of the NUMB2/4 and CD44s isoforms is specific  
31 to the modalities of local invasion and distant metastasis characteristic of colon cancer, we interrogated  
32 expression profiling data previously obtained by comparing epithelial and quasi-mesenchymal



1 subpopulations from ovarian (OV90) and cervical (SKOV6) cancer cell lines (*manuscript in preparation*).  
2 Ovarian cancer, because of the distinct anatomical localization of the primary lesion, metastasizes the  
3 abdominal cavity with very different modalities than colon cancer, namely by peritoneal dissemination  
4 rather than local dissemination into the stroma microenvironment followed by intra- and extravasation  
5 of the portal blood stream<sup>32,33</sup>. On the other hand, metastasis in carcinoma of the cervix occurs both by  
6 lymphatic or hematogenous spread to the lung, liver, and bones. We asked whether, notwithstanding the  
7 distinctive patterns of metastatic spread, the CD44s and NUMB2/4 isoforms were preferentially expressed  
8 in the corresponding EpCAM<sup>lo</sup> RNAseq profiles. To this aim, EpCAM<sup>hi/lo</sup> subpopulations from OV90 and  
9 SKOV6 were sorted and analyzed by RNAseq and RTqPCR, similar to our previous study on colon cancer<sup>17</sup>.  
10 As shown in Suppl. Figure 7, both NUMB2/4 and CD44s isoforms appear to be upregulated in the OV90  
11 and SKOV6 cell lines, as also validated by RTqPCR.

## 1 Discussion

2 The capacity to invade the tumor microenvironment and to form distant metastases undoubtedly  
3 represents the most clinically relevant hallmark of epithelial cancer cells. However, the complexity and  
4 diversity of the obstacles that carcinoma cells encounter along the invasion-metastasis cascade require  
5 transient and reversible changes that cannot be explained by the *de novo* acquisition of genetic alterations.  
6 Instead, epigenetic modifications underlie phenotypic plasticity, i.e. the capacity of cancer cells with a  
7 given genotype to acquire more than one phenotype in a context-dependent fashion<sup>34</sup>. Epithelial-to-  
8 mesenchymal and mesenchymal-to-epithelial transitions (EMT/MET) are central to the phenotypic  
9 plasticity characteristic of metastasizing carcinoma cells and are prompted by a broad spectrum of  
10 epigenetic mechanisms ranging from chromatin remodeling by histone modifications, DNA promoter  
11 methylation, non-coding RNAs, and alternative splicing (AS)<sup>35</sup>. Here, we have taken advantage of our  
12 previous identification of phenotypic plastic and highly metastatic EpCAM<sup>lo</sup> colon cancer cells<sup>17</sup> to  
13 characterize the genome-wide AS events that accompany EMT/MET state transitions between the  
14 epithelial bulk (EpCAM<sup>hi</sup>) and the quasi-mesenchymal subpopulation.

15 In view of the central role played by RNA-binding proteins in AS, we first identified RBP-coding genes  
16 differentially expressed between the EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> fractions of two commonly employed colon  
17 cancer cell lines, representative of the chromosomal- and microsatellite-unstable subtypes (SW480, CIN;  
18 HCT116, MIN)<sup>36</sup>. The Epithelial Splicing Regulatory Protein 1 and 2 genes (*ESRP1/2*)<sup>37</sup>, the “*splicing*  
19 *masterminds*” of EMT<sup>38,39</sup>, were found among the top downregulated RBP coding genes in EpCAM<sup>lo</sup> colon  
20 cancer cells, as part of a self-enforcing feedback loop with the EMT-TF *ZEB1*<sup>18</sup>. Accordingly, *ZEB1*  
21 upregulation in EpCAM<sup>lo</sup> colon cancer cells is invariably accompanied by *ESRP1/2* downregulation, and  
22 *ZEB1*<sup>hi</sup>/*ESRP1*<sup>lo</sup> colon cancers, predominantly belonging to the mesenchymal CMS4 subgroup, have a  
23 significantly worse survival outcome when compared with *ZEB1*<sup>lo</sup>/*ESRP1*<sup>hi</sup> patients.

24 Apart from *ESRP1*, several other RBP-coding genes were found to be differentially expressed between  
25 epithelial and quasi-mesenchymal colon cancer cells. Whereas the majority of RBP-coding DEGs, like  
26 *ESRP1*, appear to be downregulated upon EMT induction (*ESRP1/2*, *RBM14/19/47*, *MBNL3*, *HNRPA/B/PF*,  
27 *USAF2*), others were activated in the quasi-mesenchymal EpCAM<sup>lo</sup> fraction (*NOVA2*, *MBNL2*, *QKI*, *SRSF5*,  
28 *HNRNPH*, *RBM24/43*). Accordingly, in patient-derived colon cancers stratified according to their  
29 consensus molecular signature, the same *QKI*, *RBM24*, and *MBNL2* genes were found to have increased  
30 expression in CMS4 tumors, known for their pronounced mesenchymal composition and poor prognosis<sup>20</sup>.  
31 Of note, the mesenchymal nature of CMS4 tumors has previously been questioned as these lesions often  
32 feature pronounced infiltration from the surrounding microenvironment, the extent of which might cover

1 their true cellular identity other than representing a mere contamination from the tumor  
2 microenvironment<sup>40,41</sup>. As shown in our previous study<sup>17</sup>, the EpCAM<sup>lo</sup> cells do represent *bona fide* quasi-  
3 mesenchymal colon cancer cells, enriched among CMS4 cases, and likely responsible for their poor  
4 prognosis. The observed upregulation of RBPs such as quaking (*QKI*) is caused by the presence in its 3'UTR  
5 of target sequences of the miR-200 family of microRNAs<sup>42,43</sup>. The latter is analogous to the regulation of  
6 the expression of the EMT-TF *ZEB1* gene, whose activation during EMT is regulated by the same microRNA  
7 family<sup>44</sup>. Accordingly, the significantly reduced levels of all five miR-200 members in EpCAM<sup>lo</sup> cells<sup>17</sup>  
8 underlies the coordinated upregulation of both *ZEB1* and *QKI*.

9 The increased expression of other RBP-coding genes such as *RBM24* and *MBNL2* (muscleblind-like 2) in  
10 CMS4 tumors and in EpCAM<sup>lo</sup> cells is also in sharp contradiction with their alleged tumor suppressing roles  
11 in colon and other cancers<sup>45,46</sup>. Of note, *MBNL2* regulates cancer migration and invasion through  
12 PI3K/AKT-mediated EMT<sup>46</sup> and its overexpression in breast and cancer cell lines inhibits their metastatic  
13 potential<sup>47</sup>. In contrast to *MBNL2*, *MBNL3*, a distinct member of the muscleblind family, is downregulated  
14 in EpCAM<sup>lo</sup> colon cancer cells, similar to what reported in prostate cancer by Lu and colleagues<sup>48</sup>. *NOVA2*,  
15 a member of the Nova family of neuron-specific RNA-binding proteins, was also upregulated in the quasi-  
16 mesenchymal cells from both cell lines, possibly as the result of the differential expression of miR-7-5p<sup>49</sup>,  
17 as previously shown in non-small cell lung<sup>49</sup> and prostate<sup>48</sup> cancer. The identification the AS targets  
18 downstream of specific RBPs in quasi-mesenchymal cancer cells from different malignancies will likely  
19 clarify these apparent contradictions and shed light the functional roles of distinct members of the splicing  
20 machinery in EMT and metastasis.

21 The spectrum of AS target genes downstream of the RBPs differentially expressed in EpCAM<sup>lo</sup> colon  
22 cancer cells appears extremely broad when it comes to specific cellular processes or signaling pathways.  
23 Nonetheless, comparison of our RNAseq data with KD studies of specific RBPs from the public domain  
24 (*ESRP1*/<sup>223</sup>, *RBM47*/<sup>22</sup>, and *QKI* [GEO Accession: GSM4677985]) allowed us to identify common and unique  
25 AS target genes associated with specific downstream effectors. By following this admittedly imperfect  
26 approach, the top 4 AS targets common to all of the above-mentioned RBPs notwithstanding their up- or  
27 downregulation in EpCAM<sup>lo</sup> colon cancer cells, i.e. *CTNND1* ( $\delta$ - or p120-catenin), *LSR* (Lipolysis Stimulated  
28 Lipoprotein Receptor), *SLK* (STE20 Like Kinase), and *TCF7L2* (Transcription Factor 7-Like 2, or TCF4) are  
29 known regulators and effectors of epithelial-to-mesenchymal transition<sup>24-27</sup>, thus pointing to the central  
30 role played by alternative splicing in the regulation of EMT in the malignant evolution of colon cancer.  
31 Here, we have focused on CD44 and NUMB as two *ESRP1*-specific AS target genes with well-established  
32 functional roles in EMT and in cancer invasion and metastasis. The CD44s and NUMB2/4 isoforms appear

1 to be specifically expressed in quasi-mesenchymal colon cancer cells both from the immortalized cell lines  
2 and from patient-derived tumors, with a striking enrichment in the CMS4 subgroup of colon cancer  
3 patients. In contrast, the CD44v6 and NUMB1/3 isoforms are preferentially expressed in the epithelial  
4 bulk of the tumor. The latter, as far as CD44v6 is concerned, sharply contrasts what previously reported  
5 by Todaro et al.<sup>30</sup> where this specific isoform was found to earmark the colon cancer stem cells (CSCs)  
6 which underlie metastasis. CD44v6 and other 'variable' CD44 isoforms (CD44v4-10) earmark *Lgr5*<sup>+</sup>  
7 intestinal stem cells (ISCs), i.e. the stem cells of origin of intestinal tumors, and accordingly promote  
8 adenoma formation *in vivo*<sup>50-52</sup>. A plausible explanation for the discordant results lies in the epithelial  
9 nature of the models employed in the above study and in the requirement of both EMT and MET for the  
10 completion of the invasion-metastasis cascade<sup>5</sup>. By employing tumor spheres and freshly sorted CD133<sup>+</sup>  
11 tumor cells, Todaro et al. focused on epithelial CSCs where, as observed in normal ISCs, the CD44v6  
12 isoform is predominantly expressed, and is necessary for EMT to occur upon interaction with c-MET<sup>30</sup>. The  
13 CD44v6 isoform is strictly required for c-MET activation by hepatocyte growth factor (HGF, or scatter  
14 factor)<sup>53</sup> and as such plays an essential role in triggering EMT at the invasive front where tumor cells are  
15 exposed to these TME-secreted factors. Our own immunoprecipitation studies confirmed that CD44v6 but  
16 not CD44s binds to cMET in response to HGF stimulation (*data not shown*). Therefore, HGF/SF stimulation  
17 of colon cancer cells along the invasive front will trigger the acquisition of quasi-mesenchymal  
18 characteristics and the AS-driven switch from CD44v6 to CD44s, the latter unable to bind HGF and as such  
19 controlling the extension of EMT activation. The reverse switch will take place upon the activation of the  
20 mesenchymal-to-epithelial transitions necessary for the colonization of the distal metastatic site. From  
21 this perspective, both CD44 isoforms are essential for the completion of the invasion-metastasis cascade.  
22 The functional relevance of the CD44s isoforms has been highlighted in malignancies other than colon  
23 cancer, namely in prostate<sup>48</sup> and breast cancer where it activates, among others, PDGFR $\beta$ /Stat3 and Akt  
24 signaling to promote EMT and CSC traits<sup>15,54</sup>. GO analysis of the RNAseq profiles from colon cancer cells  
25 ectopically expressing CD44s highlighted a broader spectrum of signaling pathways likely to underlie EMT.  
26 Accordingly, analysis of RNAseq data from primary colon cancers stratified for their CD44s expression  
27 revealed an equally broad spectrum of downstream EMT-related biological processes. Of note, among the  
28 DEGs identified upon CD44s ectopic expression which correlate with *ZEB1*<sup>hi</sup>/*ESRP1*<sup>lo</sup> (and CMS4) colon  
29 cancers, the *SPARC* gene, a partial EMT marker in the EpCAM<sup>hi/lo</sup> state transitions<sup>17</sup>, was found.  
30 Expression of NUMB2/4 isoforms both in cells lines and in patient-derived colon tumors is associated with  
31 signaling pathways and GO categories largely overlapping with those linked to CD44s (and CD44v6 with  
32 NUMB1/3), possibly suggesting synergism between AS at these genes. Accordingly, NUMB is involved in a

1 broad spectrum of cellular phenotypes in homeostasis and in cancer where it mainly function as a tumor  
2 suppressor<sup>29</sup>. NUMB inhibits EMT by suppressing the Notch signaling pathway. As such, downregulation  
3 of NUMB can induce an EMT phenotype in isoform-specific fashion. Analysis of colon cancer cells  
4 individually overexpressing each of the four isoforms revealed an increased basal Notch signaling in  
5 NUMB2 and 4, as shown by the expression of the ‘universal’ targets *HES1* and *HEY1*. Instead, ectopic  
6 expression of NUMB1/3 resulted in increased transcriptional levels of the more atypical Notch signaling  
7 target *ID2*. Although the functional consequences of the NUMB2/4 (and 1/3) isoforms on Notch regulation  
8 of EMT is yet unclear, it seems plausible that the complex network of AS targets activated downstream  
9 the RBP-coding DEGs, including CD44, NUMB and many others as shown here, will eventually lead to the  
10 ‘just-right’ level of plasticity needed to allow both the ‘mesenchymalization’ during local invasion and  
11 systemic dissemination, and the reacquisition of epithelial features at the distant site of metastasis.  
12 Overall, it appears that alternative splicing substantially contribute to the epigenetic mechanisms that  
13 underlie EMT/MET in colon cancer metastasis. The systematic elucidation of the RBPs and AS targets will  
14 not only elucidate the cellular and molecular mechanisms underlying phenotypic plasticity as the most  
15 clinically relevant hallmark of cancer, but it will also offer novel tumor-specific targets for therapeutic  
16 intervention based on small molecule inhibitors and even RNA vaccination.

17

#### 18 **Authors’ contribution**

19 T.X. performed most of the experiments and wrote the first manuscript draft. M.V. contributed the *in*  
20 *silico* analysis and validation of the AS results in patient-derived RNAseq data. R.J. and A.S. contributed to  
21 the implementation of PCR, mouse, and FACS experiments. W.S. analysed AS splicing in the RNAseq data.  
22 L.M.S. and V.O-R. contributed to the CD44 AS analysis and critically revised the manuscript. RF conceived  
23 the experimental strategy and wrote the manuscript.

24

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27 their critical reading of the manuscript.

28

## 1 **Materials and Methods**

### 2 *Cell Cultures*

3 The human colon cancer cell lines HCT116 and SW480, obtained from the European Collection of  
4 Authenticated Cell Culture (ECACC), were cultured in DMEM (11965092, Thermo Fisher Scientific) with 10%  
5 FBS (Thermo Fisher Scientific), 1% penicillin/streptomycin (Thermo Fisher Scientific, 15140122), and 1%  
6 glutamine (Gibco, 25030024), in humidified atmosphere at 37°C with 5% CO<sub>2</sub>.

### 7 *Plasmid transfection and lentiviral transduction*

8 Stable transfection of the *ESRP1* (Sino Biological plasmid # HG13708-UT), *CD44s*, *CD44v6*, and NUMB1-4  
9 (from V.O.R.) expression plasmids was performed using FuGENE HD transfection reagent (Promega, E2311)  
10 according to the manufacturer's protocol and selected with Geneticin (Gibco, 10131035). As for the  
11 knockdown constructs, the *ESRP1*-shRNA plasmid (Horizon, V3THS\_335722) was packaged by pPAX2  
12 (Addgene # 12260) and pMD2.G (Addgene # 12259) into HEK293T. The virus-containing supernatant was  
13 collected 24 hrs. after transfection, filtered, and employed to infect the HCT116 and SW480 cell line.  
14 Selection was applied with 750 ng/ml puromycin (Invivogen, San Diego, USA) or 800 µg/ml of Geneticin  
15 selection for 1-2 weeks. The efficiency of overexpression and knockdown was assessed by qPCR and  
16 western blot 48-72 h after transfection.

### 17 *qRT-PCR and PCR analyses*

18 Total RNA was isolated using TRIzol reagent (Thermo Fisher Scientific, 15596018) and was reverse-  
19 transcribed using high-capacity cDNA reverse transcription kit (Life Technologies, 4368814), according to  
20 the manufacturer's instructions. qRT-PCR was performed using the Fast SYBR Green Master Mix (Thermo  
21 Fisher Scientific) on an Applied Biosystems StepOne Plus Real-Time Thermal Cycling Research with three  
22 replicates per group. Relative gene expression was determined by normalizing the expression of each  
23 target gene to GAPDH. Results were analyzed using the 2-( $\Delta\Delta C_t$ ) method. To validate isoform switches by  
24 RT-PCR, hCD44 and mCD44 primers were used (Table S3). qRT-PCR and PCR primers are listed in  
25 Supplementary Table S3.

### 26 *Western analysis*

27 Cells were lysed in 2X Laemmli buffer containing 4% SDS, 48% Tris 0.5M pH6.8, 20% glycerol, 18% H<sub>2</sub>O,  
28 bromophenol blue and 10% 1M DTT, and subjected to sodium dodecyl sulfate (SDS)- polyacrylamide gel  
29 electrophoresis (PAGE), followed by transfer onto polyvinylidene fluoride (PVDF) membranes (Bio-Rad).  
30 After blocking with 5% milk in TBS-Tween, the membranes were incubated with primary antibodies

1 against ESRP1 (1:1000, Invitrogen), CD44 (1:100, Invitrogen), CD44v6 (1:1000, Abcam), NUMB (1:1000,  
2 Cell Signaling) and  $\beta$ -actin (1:2000, Cell Signaling), followed by polyclonal goat anti-mouse/ rabbit  
3 immunoglobulins horseradish peroxidase (HRP)-conjugated secondary antibody (Dako) at appropriate  
4 dilutions. The signals were detected with Pierce ECT western blotting substrate (Thermo) using Amersham  
5 AI600 (GE Healthcare, USA).

#### 6 *Flow cytometry analysis and sorting*

7 Single-cell suspensions generated in PBS supplemented with 1% FBS were incubated with anti-EpCAM-  
8 FITC (1:20, Genetex), and anti-CD44-APC (1:20, BD Pharmingen) antibodies for 30 min on ice and analyzed  
9 on a FACSAria III Cell Sorter (BD Biosciences). CD44<sup>hi</sup>EpCAM<sup>hi</sup> and CD44<sup>hi</sup>EpCAM<sup>lo</sup> HCT116 and SW480 cells  
10 were sorted and cultured in humidified atmosphere at 37°C with 5% CO<sub>2</sub> for 3-5 days before collecting  
11 RNA or protein, as previously described<sup>17</sup>.

#### 12 *MTT assay*

13 For MTT assay, 2×10<sup>3</sup> HCT116, SW480 parental, CD44v6, CD44s, and NUMB1-4 OE cells were plated in 96  
14 well plates and incubated at 37°C, 5% CO<sub>2</sub>. 24 hours later, in the culture medium was supplemented with  
15 100  $\mu$ l 0.45 mg/mL MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; Sigma-Aldrich)  
16 and again incubated for 3 hrs.. The 96-well plates were then centrifuged at 1,000 rpm for 5 min and the  
17 culture medium removed. MTT formazan precipitates were solubilized with DMSO. OD reading was  
18 performed at 595 nm with microplate reader (Model 550, Bio-Rad). Background measurements were  
19 subtracted from each data point. Experiments were performed in duplicate for each individual cell line  
20 and drug. Cell numbers were calculated every 24 hrs. for a 6 days period for proliferation analysis.

#### 21 *Cell migration assay*

22 Migration assay were conducted with 8- $\mu$ m pore PET transwell inserts (BD Falcon™) and TC-treated multi-  
23 well cell culture plate (BD Falcon™). 5×10<sup>4</sup> cells were seeded in 100  $\mu$ l of serum-free growth medium in  
24 the top chamber. Growth medium containing 10% FBS was used as a chemoattractant in the lower  
25 chamber. After 24 hrs., cells migrated to the lower chamber were fixed with 4% PFA, stained with 0.1%  
26 trypan blue solution, and counted under the microscope.

#### 27 *Mouse spleen transplantation*

28 All mice experiment were implemented according to the Code of Practice for Animal Experiment in Cancer  
29 Research from the Netherlands Inspectorate for Health Protections, Commodities and Veterinary Public  
30 Health. Mice were fed in the Erasmus MC animal facility (EDC). NOD.Cg-Prkdc<sup>scid</sup> Il2rg<sup>tm1Wjl</sup>/SzJ (NSG) mice



1 from 8 to 12 week-old were used for spleen transplantation. Anesthetics Ketamine (Ketalin<sup>®</sup>, 0.12 mg/ml)  
2 and xylazine (Rompun<sup>®</sup>, 0.61 mg/ml) were given intraperitoneally, while the analgesic Carprofen (Rimadyl<sup>®</sup>,  
3 5 mg/ml) was injected subcutaneously.  $5 \times 10^4$  HCT116 and SW480 cells resuspended in 50  $\mu$ l PBS were  
4 injected into the exposed spleen with an insulin syringe and left for 15 minutes before splenectomy.  
5 Transplanted mice were sacrificed after 4 and 8 weeks and analyzed for the presence of liver metastases.

### 6 *Alternative splicing analysis*

7 The following public available RNASeq (SRA database) data relative to RBP (RNA binding protein) knock-  
8 down (KD) studies were used: ESRP1-KD and RMB47-KD in the human non-small cell lung cancer cell line  
9 H358<sup>22</sup> with accession ID SRP066789 and SRP066793; ESRP2-KD in the human prostate adenocarcinoma  
10 cancer cell line LNCaP<sup>23</sup> with accession ID SRP191570; the QKI-KD in the oral squamous cell carcinoma cell  
11 line CAL27 datasets with accession number SRX8772405. Together with our own EpCAM<sup>hi/lo</sup> RNASeq data  
12 obtained from the colon cancer cell lines<sup>17</sup>, the sequencing reads were mapped to GRCh37.p13.genome  
13 by STAR<sup>55</sup> ([https://www.encodegenes.org/human/release\\_19.html](https://www.encodegenes.org/human/release_19.html)). MISO<sup>56</sup> was used to quantify AS  
14 events with annotation from <https://miso.readthedocs.io/en/fastmiso/index.html#iso-centric>. The MISO  
15 uses the alternative exon reads and adjacent conservative reads to measure the percentage of transcript  
16 isoform with specific exon included, termed Percentage Spliced In (PSI or  $\Psi$ ). The PSI ranges from 0 (i.e.  
17 no isoform includes a specific alternative exon) to 1 (i.e. all of the isoforms detected comprise the  
18 alternative exon).

19 We removed alternative events with low expression of related transcript isoforms if less than 3 samples  
20 in a dataset had more than 10 informative reads to calculate the PSI. Next, we compared the PSI between  
21 RBPs KD and wild type in each cell line, as well as the PSI between EpCAM<sup>hi</sup> and EpCAM<sup>lo</sup> groups in the  
22 SW480 and HCT116 colon cancer cell lines. AS events were defined as differentially spliced events when  
23 the difference of mean PSI between two groups ( $\Delta$ psi; differential Percentage Spliced In) was >10%.

24

### 25 *RNAseq analysis*

26 RNA quality was first evaluated by NanoDrop and further purified by DNase treatment followed by the  
27 TURBO DNA-free Kit protocol (Invitrogen). Samples were sequenced with the DNA nanoball (DNB) seq  
28 protocol (BGI) to a depth of 50 million reads per sample. Adapter sequences and low-quality sequences  
29 were filtered from the data using SOAPnuke software (BGI). Reads were aligned to the human reference  
30 genome build hg19 with the RNAseq aligner STAR (v2.7.9a) and the Homo sapiens GENCODE v35  
31 annotation. Duplicates were marked with Sambamba (0.8.0) and raw counts were summed using  
32 FeatureCounts (subread 2.0.3). Downstream analysis was performed in R using the DESeq2 package



1 (v1.30.1). After variance stabilizing transformation, principal component analysis was performed on each  
2 cell line separately. Differentially expressed genes were identified by comparing the different groups of  
3 ectopically expressing CD44 samples with a Wald test, and by selecting the genes with absolute log fold  
4 change above 1.5 and  $\text{padj} < 0.1$ . Gene set enrichment analysis was performed with the Fsgsea package  
5 using the HallMark geneset from the molecular signature database, and by selecting significant pathways  
6 based on normalized enrichment score (NES)  $> 1$  and  $\text{pvalue} < 0.05$ .

### 7 *RNAseq data from primary (patient-derived) colon cancers*

8 Patient data from The Cancer Genome Atlas (TCGA), with annotation of the consensus molecular subtypes  
9 (CMS) as described in Guinney et al.<sup>20</sup> were integrated with splicing data from the TCGA splicing variant  
10 database (TSVdb, [www.tsvdb.com](http://www.tsvdb.com)). For splicing analysis, RNA-seq by expectation maximization (RSEM)  
11 values were log transformed and expression levels of each isoform (CD44std: isoform\_uc001mvx, CD44v6:  
12 exon\_chr11.35226059.35226187, NUMB1: isoform\_uc001xny, NUMB2: isoform\_uc001xoa, NUMB3:  
13 isoform\_uc001xnz, NUMB4: isoform\_uc001xob) were annotated to the patients. Isoform expression was  
14 compared in groups based on the CMS groups and tumor expression levels (*ZEB1*, *ESRP1*). Tumors were  
15 stratified on *ZEB1* expression levels using a log rank test to optimize overall survival differences  
16 (thresholds: 8.3, 8.6). Next, *ESRP1* expression was used to purify the groups into *ZEB1*<sup>hi</sup>*ESRP1*<sup>lo</sup> and  
17 *ZEB1*<sup>lo</sup>*ESRP1*<sup>hi</sup> (thresholds: 11.6, 11.8). Survival analysis was done using the Kaplan-Meier method with the  
18 survival and survminer packages in R. Correlation analysis was done by computing the Pearson Correlation  
19 between the isoforms and whole gene expression levels as processed in Guinney et al.<sup>20</sup>. Likewise,  
20 association between isoform expression and pathway activity was evaluated by computing the Pearson  
21 Correlation between the isoforms and the average scaled expression values of the pathways, as defined  
22 in the HallMark gene set from the molecular signature database<sup>31</sup>.

### 23 *Data accessibility*

24 The RNA-sequencing data from this study have been submitted to the Gene Expression Omnibus (GEO)  
25 database under the accession number GSE192877. Other data referenced in this study are publicly  
26 available and can be accessed from the GEO using GSE154927<sup>17</sup>, GSE154730 and Synapse using identifier  
27 syn2623706<sup>20</sup>.

28  
29

## Figure Legends

### Figure 1

- a. Gene rank plot showing differentially expressed genes between EpCAM<sup>hi</sup> and EpCAM<sup>lo</sup> with combined analysis of HCT116 and SW480.
- b. RT-qPCR *ESRP1* expression analysis of HCT116 and SW480 EpCAM<sup>hi</sup>, EpCAM<sup>lo</sup>, and bulk subpopulations. *GAPDH* expression was used as control normalized with the bulk subpopulation in each sample (Means±SEM, n=3). \*\* = p<0.01.
- c. *ESRP1* western analysis in HCT116 and SW480 EpCAM<sup>hi</sup>, EpCAM<sup>lo</sup>, and bulk fractions.  $\beta$ -actin was used as loading control.
- d. RT-qPCR *ZEB1* and *ESRP1* expression analysis in *ZEB1*-OE and -KD HCT116 and SW480 cells. Expression values were normalized in each sample with those from the parental HCT116 and SW480 cell lines. HCT116 and SW480 cells transduced with the sh*ZEB1* lentivirus were induced by 1  $\mu$ g/mL doxycycline for 72 hrs.. Expression values were normalized with those from non-induced cells; *GAPDH* expression was employed as control (Means±SEM, n=3).
- e. RT-qPCR *ZEB1* and *ESRP1* expression analysis in *ESRP1*-OE and -KD HCT116 and SW480 cells. Two independent *ESRP1*-OE clones were selected for each cell line. Expression values were normalized in each sample with those from the parental HCT116 and SW480 cell lines. HCT116 and SW480 cells transduced with the sh*ESRP1* lentivirus were induced by 1  $\mu$ g/mL doxycycline for 72 hrs. Two independent clones were selected for each cell line. Expression values were normalized with those from non-induced cells; *GAPDH* expression was employed as control (Means±SEM, n=3).
- f. CD44/EpCAM FACS analysis of HCT116 and SW480 EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> subpopulations in *ESRP1*-OE cells. Two independent clones are showed for each cell lines.

### Figure 2

- a. Heatmap of common AS events between RNAseq data from *ESRP1*-KD in human non-small cell lung cancer cells (H358) and our HCT116 and SW480 EpCAM<sup>hi</sup> and EpCAM<sup>lo</sup> RNAseq data<sup>17</sup>.
- b. *CD44* and *NUMB* exon chromosome sites information from AS analysis. Exon peak plot depicts the expression of different exons in the three groups; peak height is indicative of the expression level of specific exons. CD44v: CD44 exons v2 to v10. CD44v and CD44s, and NUMB1/3 and NUMB2/4 (PRR region) exons are highlighted by gray rectangles.

**c.** RT-qPCR expression analysis of *CD44s*, *CD44v6*, *NUMB1/3* and *NUMB2/4* isoforms in HCT116 and SW480 EpCAM<sup>hi</sup>, EpCAM<sup>lo</sup>, and bulk subpopulations. *GAPDH* expression was employed as control (Means±SEM, n=3). \*\* = p<0.01.

**d.** Western analysis of *CD44s*, *CD44v6* and *NUMB* isoforms in HCT116 and SW480 EpCAM<sup>hi</sup>, EpCAM<sup>lo</sup>, and bulk subpopulations.  $\beta$ -actin was used as loading control.

### Figure 3

**a.** RT-qPCR and western analysis of *CD44s*, *CD44v6* and *NUMB* isoforms expression in *ESRP1*-KD (sh*ESRP1* transduced) HCT116 cells. Two independent HCT116 *ESRP1*-KD clones were employed. Cells were induced with 1  $\mu$ g/mL doxycycline for 72 hrs. before analysis. *GAPDH* expression was employed as qRT-PCR control (Means±SEM, n=3). \*\* = p<0.01.  $\beta$ -actin was used as loading control for western blots.

**b.** RT-qPCR and western analysis of *CD44s*, *CD44v6* and *NUMB* isoforms expression in *ESRP1*-KD (sh*ESRP1* transduced) SW480 cells. Two independent SW480 *ESRP1*-KD clones were employed. Cells were induced with 1  $\mu$ g/mL doxycycline for 72 hrs. before analysis. *GAPDH* expression was employed as qRT-PCR control (Means±SEM, n=3). \*\* = p<0.01.  $\beta$ -actin was used as loading control for western blots.

**c.** RT-qPCR and western analysis of *CD44s*, *CD44v6*, and *NUMB* isoforms expression in *ESRP1*-OE HCT116 cells. Two independent HCT116 *ESRP1*-OE clones were employed. *GAPDH* expression was employed as qRT-PCR control (Means±SEM, n=3). \*\* = p<0.01.  $\beta$ -actin was used as loading control for western blots.

**d.** RT-qPCR and western analysis of *CD44s*, *CD44v6*, and *NUMB* isoforms expression in *ESRP1*-OE SW480 cells. *GAPDH* expression was employed as qRT-PCR control (Means±SEM, n=3). \*\* = p<0.01.  $\beta$ -actin was used as loading control for western blots.

### Figure 4

**a.** CD44/EpCAM FACS analysis of EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> subpopulations in *CD44s*-OE (left) and *CD44v6*-OE HCT116 and SW480 cell lines. The bar charts on the right depict the percentages of EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> cells.

**b.** and **c.** CD44/EpCAM FACS analysis of EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> subpopulations in *NUMB1* to 4-OE HCT116 and SW480 cells. The bar charts on the right depict the percentages of EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> cells.

**d.** Macroscopic images of livers from mice spleen-injected with *CD44s*-, *CD44v6*-, *NUMB2/4*-, and *NUMB1/3*-OE HCT116 cells. HCT116 EpCAM<sup>lo</sup> and bulk cells were used as positive control. Scale bar: 5 mm.

**e.** Liver metastasis multiplicity after intrasplenic injection of *CD44s*-, *CD44v6*-, *NUMB2/4*-, and *NUMB1/3*-OE HCT116 cells. For each transplantation experiment,  $5 \times 10^4$  cells were injected in the spleen of recipient

NSG mouse. Six weeks after injection, mice were sacrificed and individual tumors counted. \* =  $p < 0.05$ ; \*\* =  $p < 0.01$ .

### Figure 5

- a. Principal Component Analysis (PCA) of RNAseq profiles from CD44s- and CD44v6-OE HCT116 and SW480 cell lines.
- b. Heatmap of differentially expressed gene among HCT116 and SW480 CD44s-OE, CD44v6-OE, and parental cells.
- c. Gene Set Enrichment Analysis (GSEA) of HCT116 and SW480 expression profiles in CD44s-OE compared with CD44v6-OE cells. Plots show only significantly altered pathways, with normalized enrichment score (NES)  $> 1$ , and  $pval < 0.01$ .

### Figure 6

- a. RNAseq data from the Cancer Genome Atlas (TCGA) were subdivided in 3 groups based on *ZEB1* and *ESRP1* expression level: *ZEB1*<sup>hi</sup>*ESRP1*<sup>lo</sup> (*ZEB1*<sup>hi</sup>, red dots), *ZEB1*<sup>lo</sup>*ESRP1*<sup>hi</sup> (*ZEB1*<sup>lo</sup>, blue dots), and intermediate (grey dots).
- b. Kaplan Meier analysis of overall survival in the *ZEB1*<sup>hi</sup>*ESRP1*<sup>hi</sup> and *ZEB1*<sup>lo</sup>*ESRP1*<sup>lo</sup> patient groups.
- c. Box plots showing CD44 and NUMB gene and isoforms expression across the *ZEB1*<sup>hi</sup>*ESRP1*<sup>lo</sup>, *ZEB1*<sup>lo</sup>*ESRP1*<sup>hi</sup>, and intermediate patient groups.
- d. Dot plot analysis of the z-score scaled expression values of CD44s, CD44v6, NUMB1-4 isoforms across the 4 colon cancer consensus molecular subtypes (CMS).
- e. Stacked bar plot showing the composition of the CMS subtypes across the *ZEB1*<sup>hi/lo</sup> and intermediate patient groups.

### Figure 7

- a. Gene correlation analysis showing the correlation of gene expression with CD44s and CD44v6 isoform expression in the TCGA patient cohort. Differentially expressed genes from CD44s- (red) and CD44v6-OE (blue) RNAseq data are highlighted.
- b. Pathway correlation analysis showing the correlation of pathway activity CD44 and NUMB isoform expression in the TCGA patient cohort.

## Supplementary Figure Legends

### Figure S1

- a. CD44/EpCAM FACS analysis of EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> subpopulations in ESRP1-KD (*shESRP1*-transduced) HCT116 and SW480 cells. Cells were induced with 1 µg/mL doxycycline for 72 hrs. before analysis.
- b. List of RBPs differentially expressed between EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> subpopulation in SW480 and HCT116. The RBPs list was from reference (10).
- c. Dot plot analysis of the z-score scaled expression values of RBPs' expression across the 4 colon cancer consensus molecular subtypes.

### Figure S2

Heatmap of alternative splicing analysis obtained by comparison of RNAseq data from RBP-KD studies (ESRP1-KD in H358<sup>22</sup>, ESRP2-KD in LNCaP<sup>23</sup>, RBM47-KD in H358<sup>22</sup>, and QKI-KD in CAL27 [GEO Accession: GSM4677985]) with the EpCAM<sup>hi/lo</sup> RNAseq data<sup>17</sup>. Shared AS targets between RBPs KD cells, and HCT116, SW480 EpCAM<sup>hi/lo</sup> subpopulations are shown.

### Figure S3

- RT-PCR analysis of CD44 and NUMB isoforms expression in HCT116 (a) and SW480 (b) ESRP1-KD (*shESRP1*-transduced) cells, and in HCT116 (c) and SW480 (d) *ESRP1*-OE cells. Cells were induced with 1 µg/mL doxycycline for 72 hrs. before RNA isolation. *GAPDH* was used as control.
- e. RT-PCR analysis of CD44s and CD44v6 expression in HCT116 and SW480 CD44s- (left), and CD44v6-OE (right) cells. *GAPDH* was used as control, normalized with the HCT116 and SW480 parental in each sample. (Means±SEM, n=3). \*\* = p<0.01.
  - f. Western analysis of CD44s and CD44v6 expression in HCT116 and SW480 CD44s- (left), CD44v6-OE (right) cells. β-actin was used as loading control for western blots.
  - g. RT-PCR analysis of NUMB1-4 isoforms expression in HCT116 and SW480 NUMB1-4 OE cells. *GAPDH* was used as control, normalized with the HCT116 and SW480 parental in each sample. (Means±SEM, n=3). \*\* = p<0.01.
  - h. Western analysis of NUMB1-4 isoforms expression in HCT116 and SW480 NUMB1-4 OE cells. β-actin was used as loading control for western blots.

#### Figure S4

**a.** Migration assay analysis of HCT116 CD44s<sup>-</sup>, CD44v6<sup>-</sup>, and NUMB1/4-OE cells. EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> cells were used as controls. Each bar represents the mean  $\pm$  SD of cells migrated to the bottom of the transwell from two independent experiments. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ .

**b.** Migration assay analysis of SW480 CD44s<sup>-</sup>, CD44v6<sup>-</sup>, and NUMB1/4-OE cells. EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> cells were used as controls. Each bar represents the mean  $\pm$  SD of cells migrated to the bottom of the transwell from two independent experiments. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ .

**c.** RT-qPCR analysis of EMT-TFs in HCT116 and SW480 CD44s<sup>-</sup>, CD44v6<sup>-</sup>, and NUMB1/4-OE cells. *GAPDH* expression was used as control, normalized with the HCT116 or SW480 parental in each sample (Means $\pm$ SEM, n=3). Increased gene expression is depicted by red bars, whereas downregulation – when compared with parental cells- is shown by blue bar. \* =  $p < 0.05$ , \*\* =  $p < 0.01$ .

**d.** RT-qPCR analysis of the Notch signaling pathway markers *HES1*, *HEY1*, and *ID2* in HCT116 and SW480 NUMB1/4-OE cells. *GAPDH* expression was used as control, normalized with the HCT116 or SW480 parental in each sample (Means $\pm$ SEM, n=3). \* =  $p < 0.05$ , \*\* =  $p < 0.01$ .

#### Figure S5.

**a.** Proliferation assays of HCT116 CD44s<sup>-</sup> and CD44v6-OE cells. Both OD values and cell multiplicities are shown from day 1 to 6 (Means $\pm$ SEM, n=3). \* =  $p < 0.05$ ; \*\* =  $p < 0.01$ .

**b.** Proliferation assays of SW480 CD44s<sup>-</sup> and CD44v6-OE cells. Both OD values and cell multiplicities are shown from day 1 to 6 (Means $\pm$ SEM, n=3). \* =  $p < 0.05$ ; \*\* =  $p < 0.01$ .

**c.** Proliferation assays of HCT116 NUMB1/4-OE cells. Both OD values and cell multiplicities are shown from day 1 to 6 (Means $\pm$ SEM, n=3). \*\* =  $p < 0.01$ .

**d.** Proliferation assays of SW480 NUMB1/4-OE cells. Both OD values and cell multiplicities are shown from day 1 to 6 (Means $\pm$ SEM, n=3). \*\* =  $p < 0.01$ .

#### Figure S6

**a.** Vulcano plots showing differentially expressed genes (absLFC > 2, pval < 0.01, red) by comparing parental cell lines to the CD44s<sup>-</sup> and CD44v6-OE samples in both cell lines.

**b.** Gene Set Enrichment Analysis of CD44s<sup>-</sup> and CD44v6-OE compared with their HCT116 and SW480 parental cells, respectively. Only significantly altered pathways (NES > 1, and pval < 0.01) are shown.

## Figure S7

**a.** *CD44* and *NUMB* exon chromosome sites information from AS analysis in the ovarian and cervical cancer cell lines OV90 and SKOV6. Exon peak plot depicts the expression of different exons in the three groups; peak height is indicative of the expression level of specific exons. CD44v: CD44 exons v2 to v10. CD44v and CD44s, and NUMB1/3 and NUMB2/4 (PRR region) exons are highlighted by gray rectangles.

**b.** RT-qPCR expression analysis of *ESRP1*, *CD44s*, *CD44v6*, *NUMB1/3*, and *NUMB2/4* isoforms in EpCAM<sup>hi</sup>, EpCAM<sup>lo</sup>, and bulk subpopulations in OV90 and SKOV6 ovarian cancer cell lines. *GAPDH* expression was used as control (Means±SEM, n=3). \*\* = p<0.01.

## Supplementary Table Legends

**Table S1.** List of alternative splicing targets in *ESRP1* knocking down H358 line, HCT116 and SW480 EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> subpopulation, filtered by  $\Delta$ PSI > 0.1

**Table S2.** List of alternative splicing targets in *ESRP1*-KD in the H358 cell line, *ESRP2*-KD in LNCaP, *RBM47*-KD in H358 line, *QKI*-KD in CAL27, and HCT116 and SW480 EpCAM<sup>lo</sup> and EpCAM<sup>hi</sup> subpopulation, filtered by  $\Delta$ PSI > 0.1.

**Table S3.** Lists of primer sequences used for RT-PCR analysis.

**Table S4.** Differential expressed gene lists from the RNAseq analysis HCT116 CD44s- and CD44v6-OE cells.

**Table S5.** Differential expressed gene lists from the RNAseq analysis SW480 CD44s- and CD44v6-OE cells.

## References

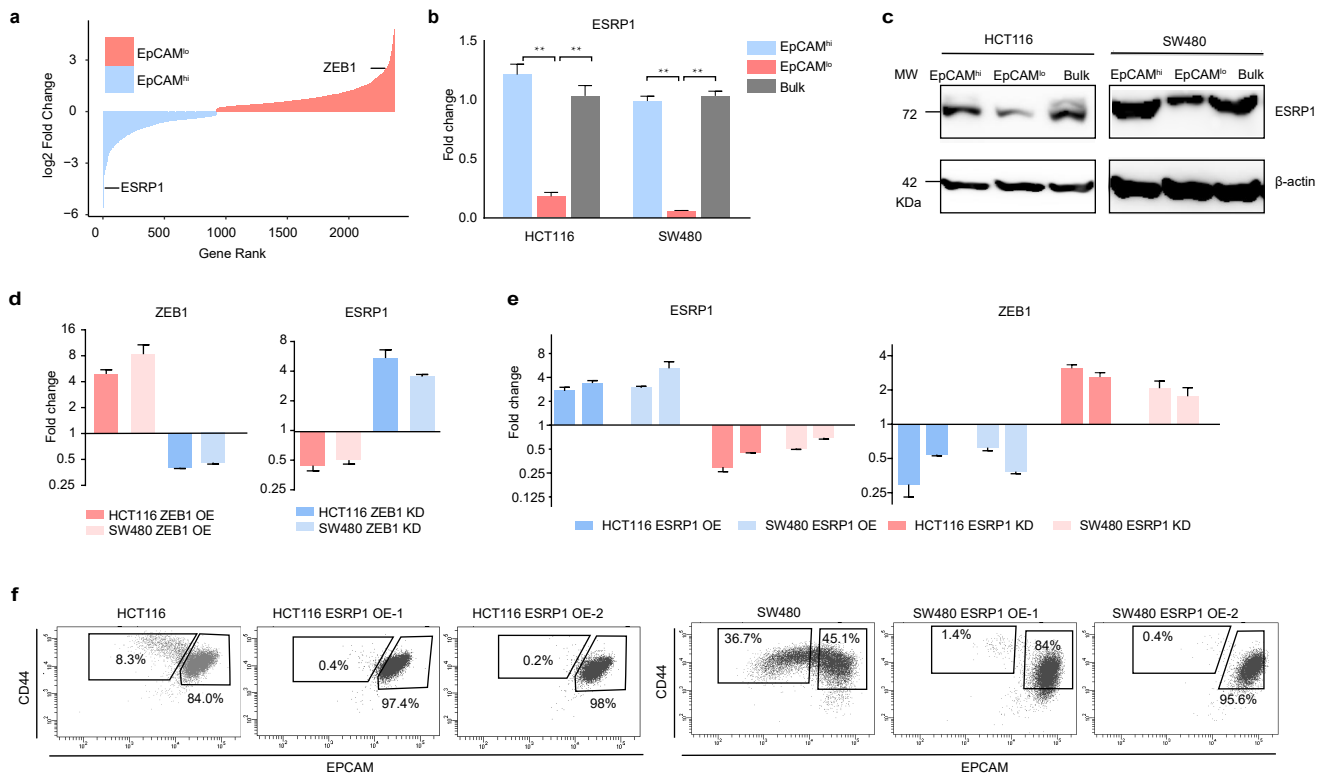
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**Fig 1**



**Fig 2**

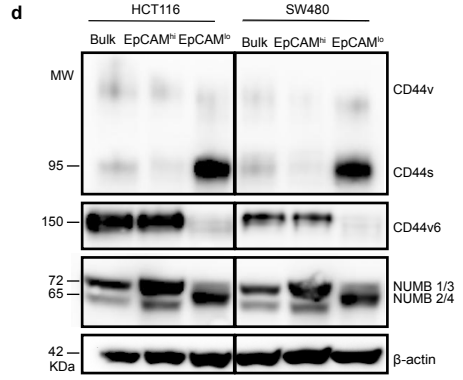
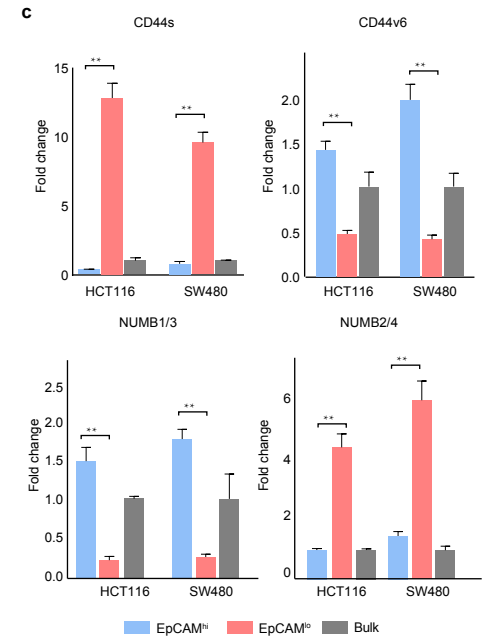
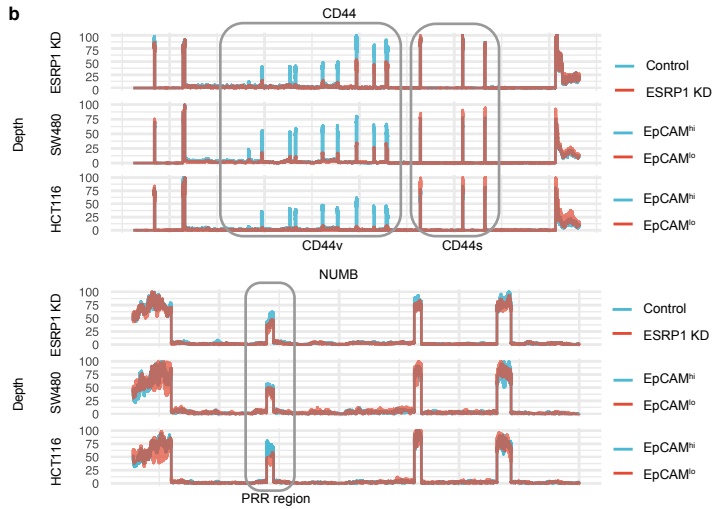
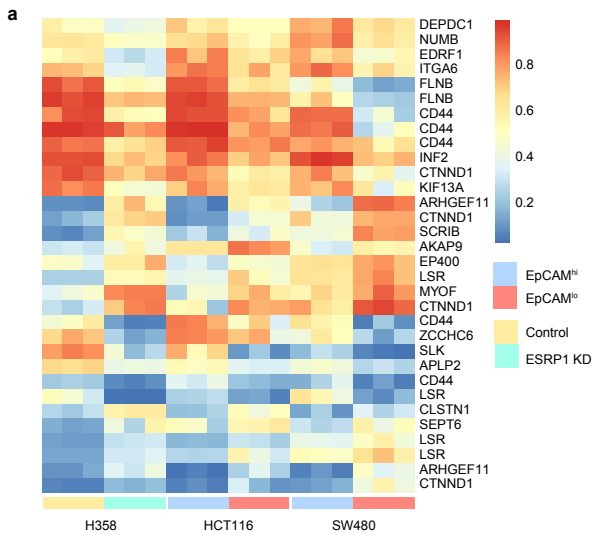


Fig 3

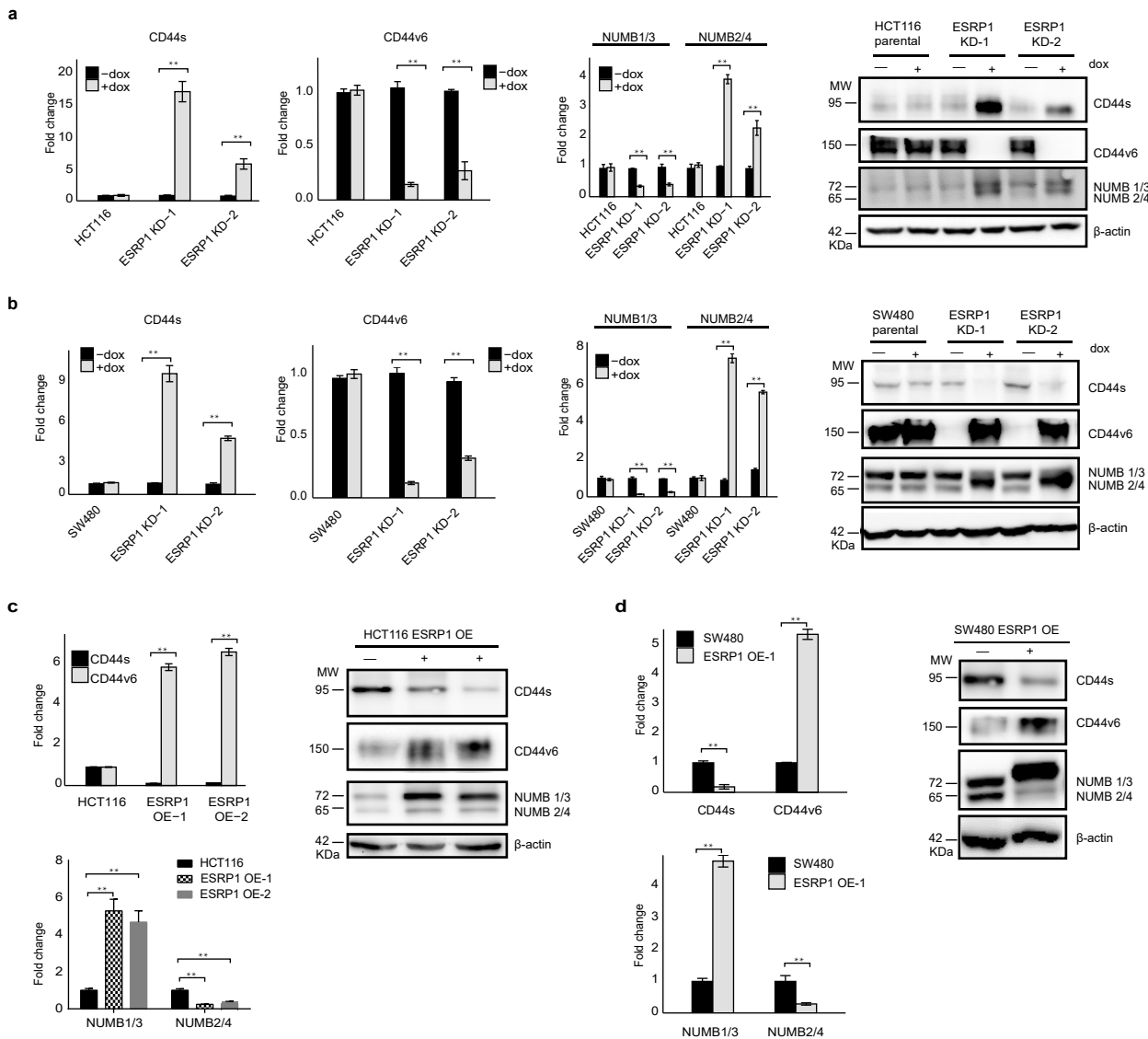


Fig 4

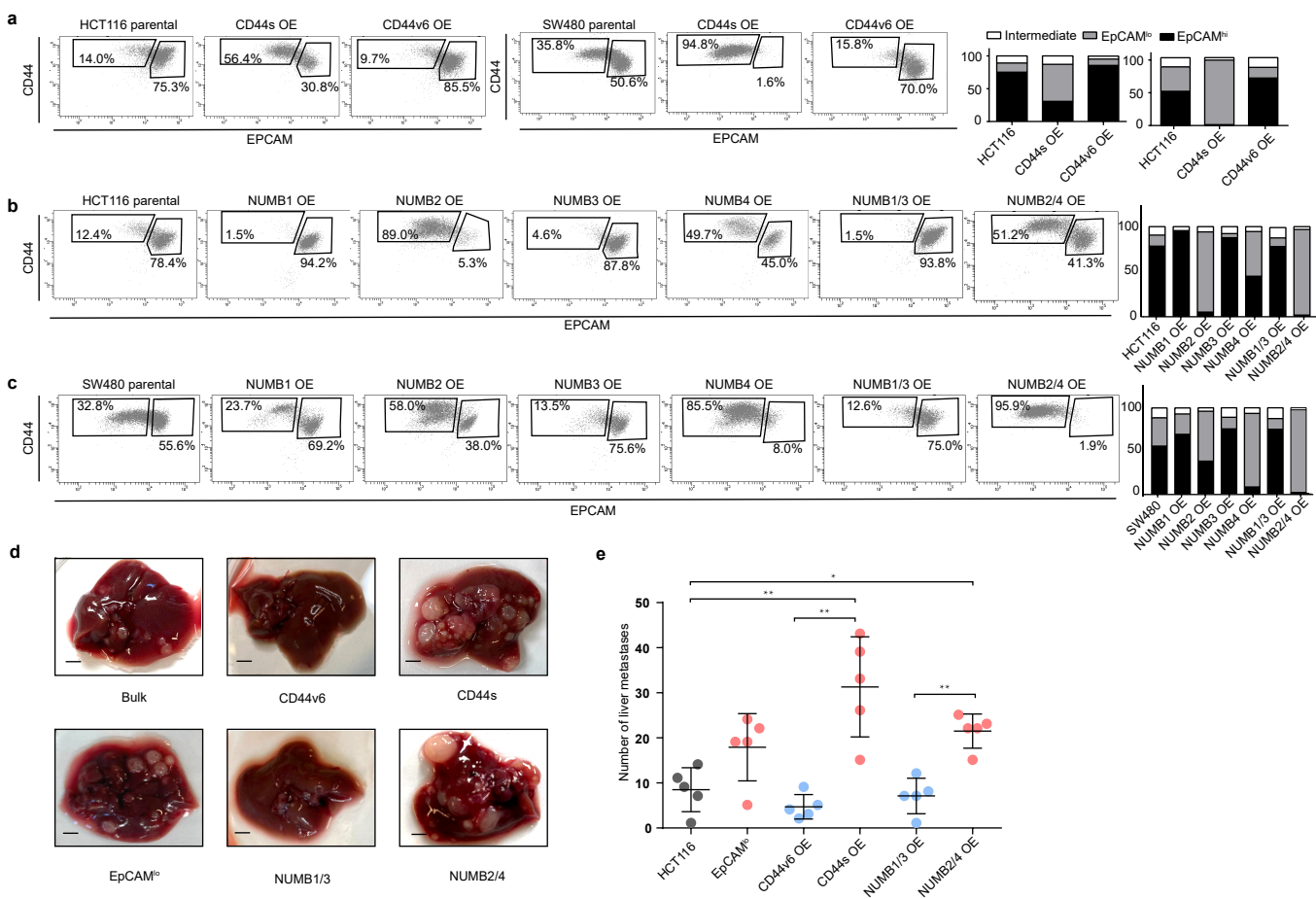


Fig 5

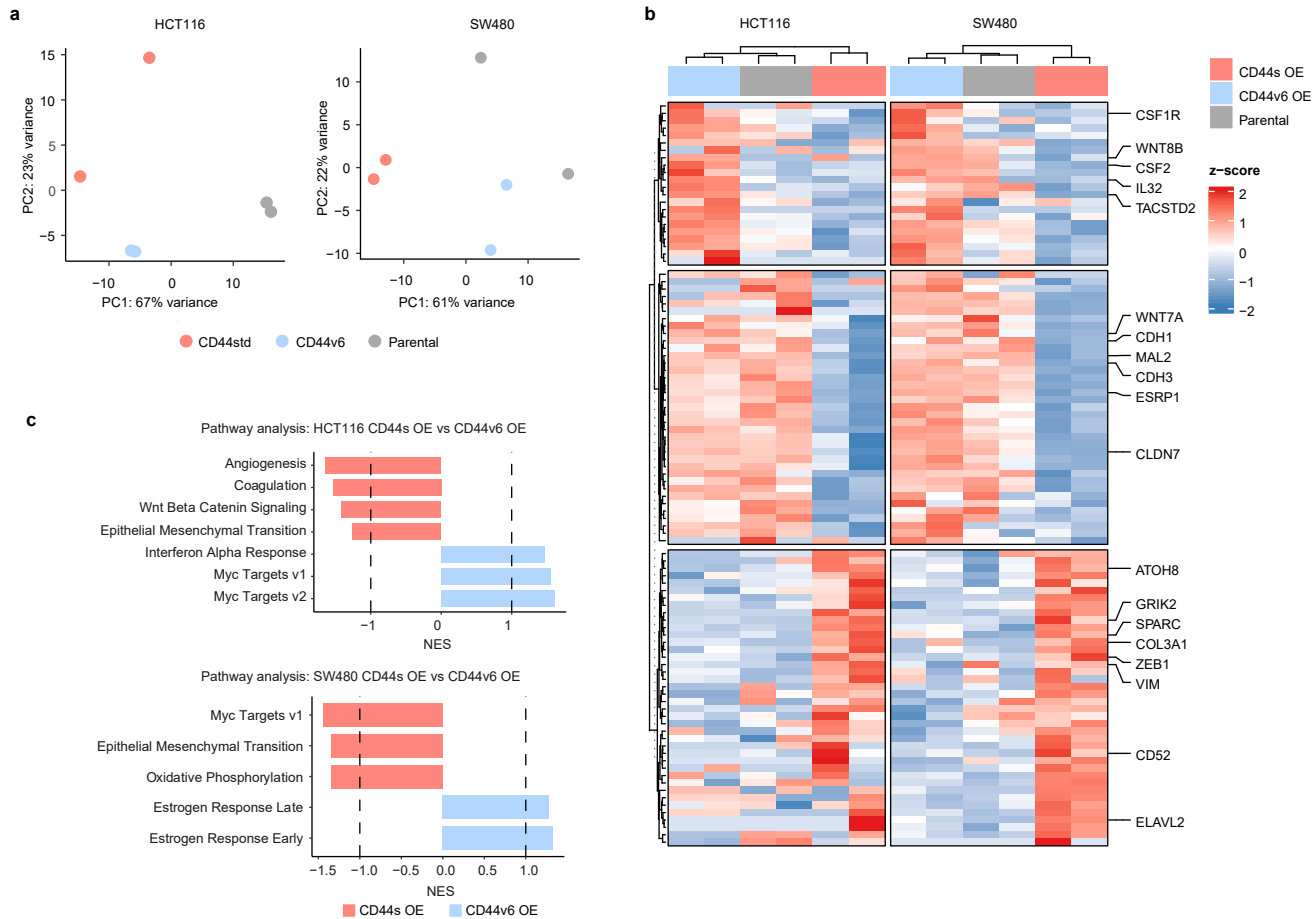
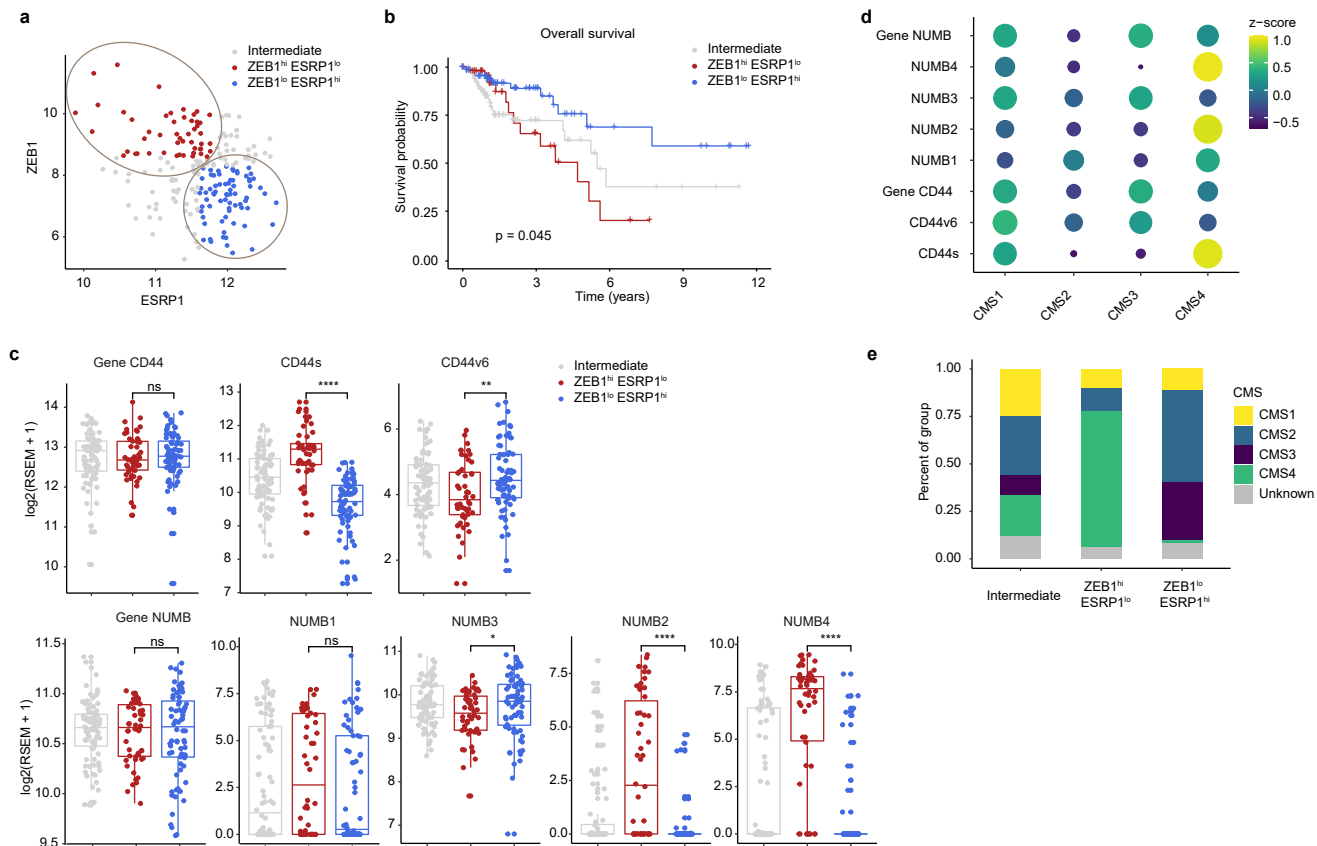


Fig 6





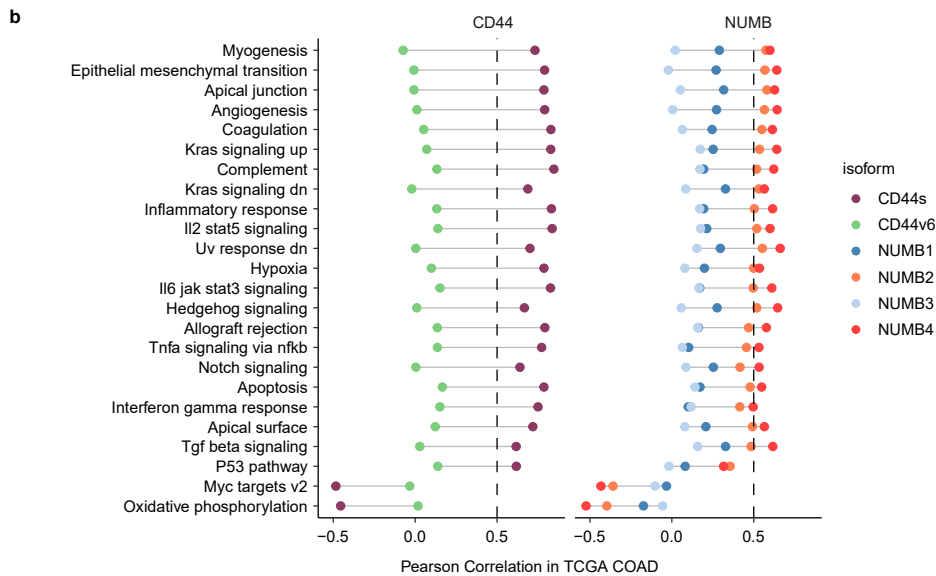
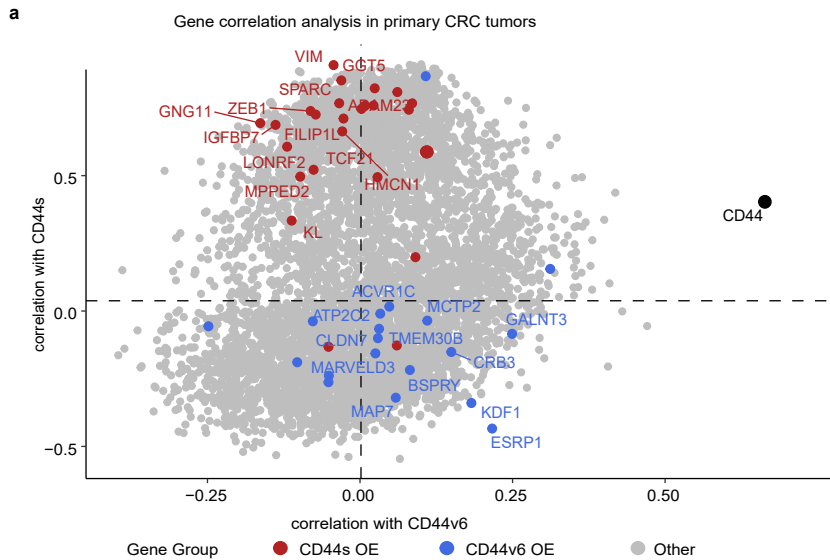
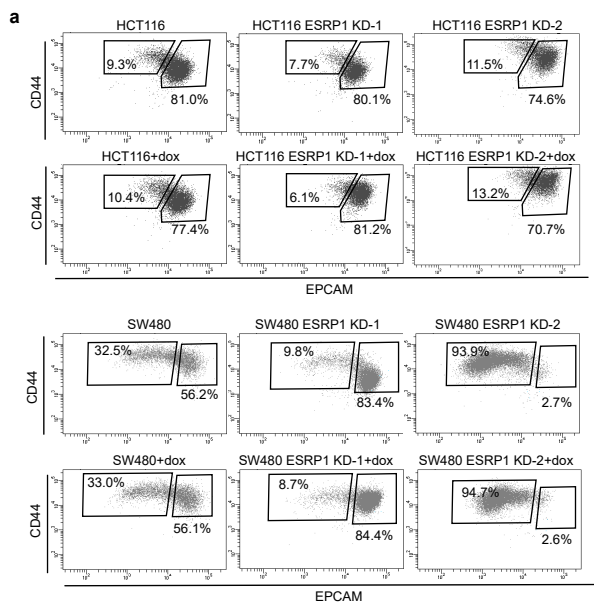


Fig. S1



**b**

Gene Name	log2FC_HCT116	log2FC_SW480
ESRP1	-2.22645	-6.89236
ESRP2	-0.896173	-1.89007
NOVA2	0.757111	2.2421
RBM47	-1.36442	-0.900345
MBNL2	0.784795	0.355627
MBNL3	-0.637449	-2.0392
RBM24	1.52564	NS
RBM19	-0.496814	NS
HNRNPAB	-0.452521	NS
HNRNPFP	-0.352169	NS
RBM43	0.490142	NS
U2AF2	-0.385724	NS
RBM14	NS	-0.458869
QKI	NS	0.643307
SRSF5	NS	0.412868
HNRNPB1	NS	0.244149

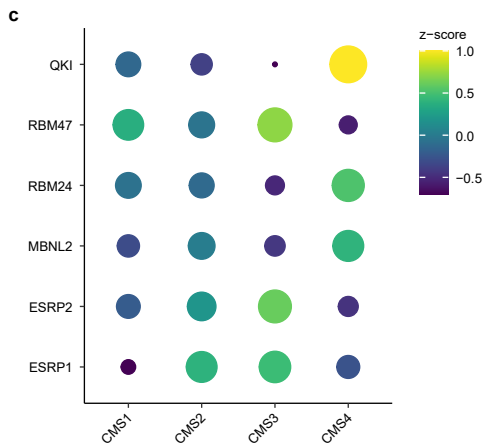


Fig. S2

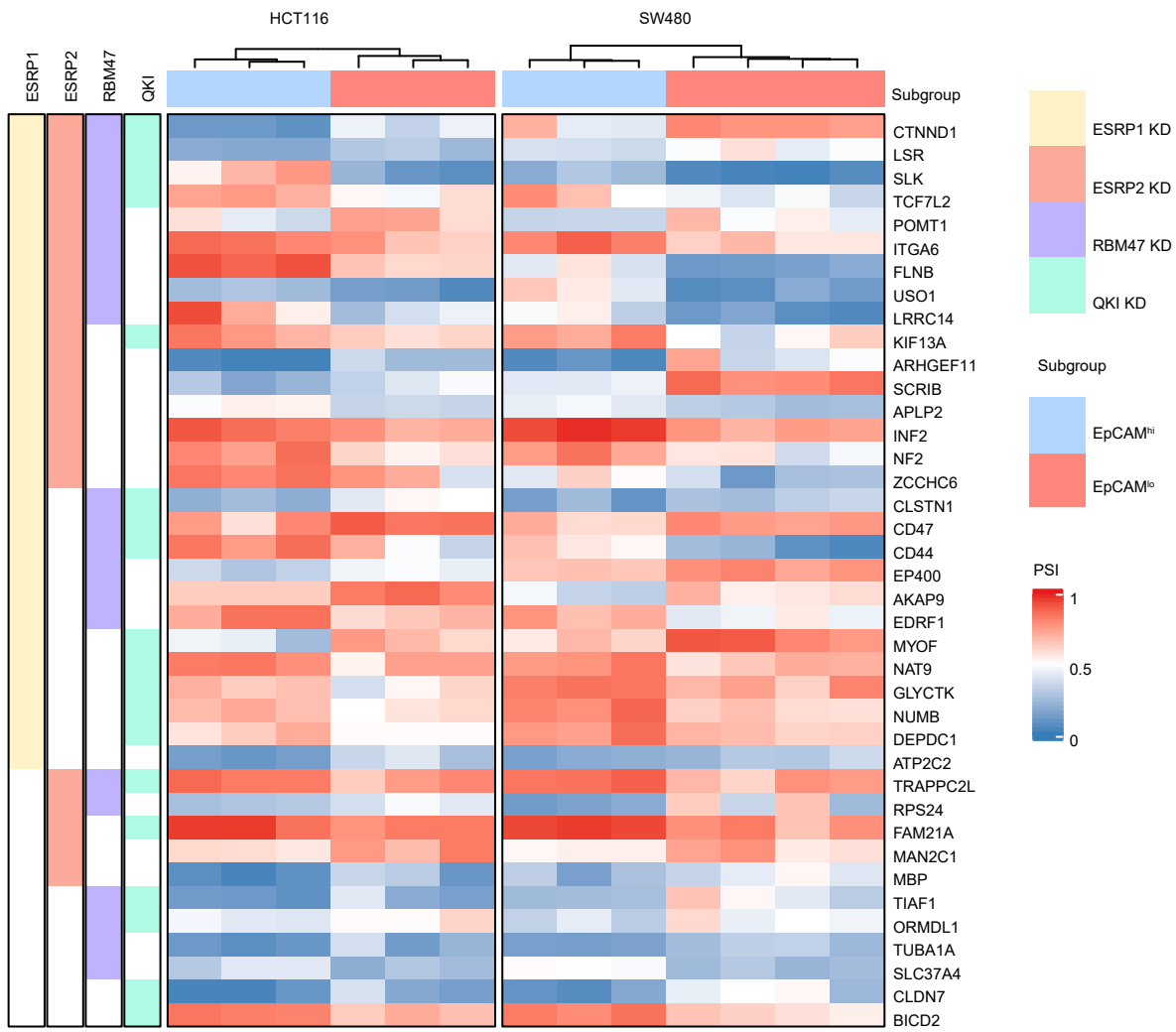
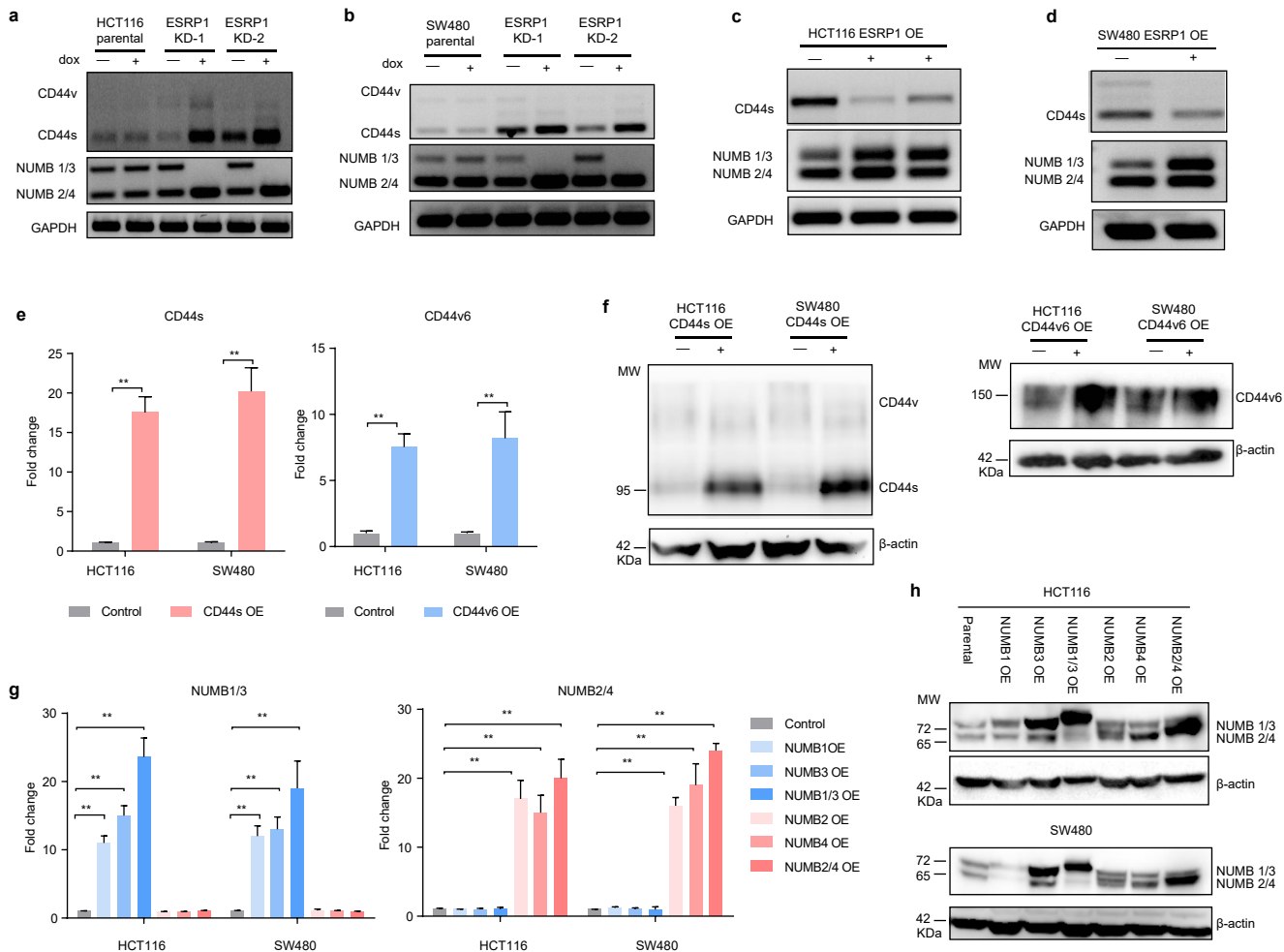


Fig. S3



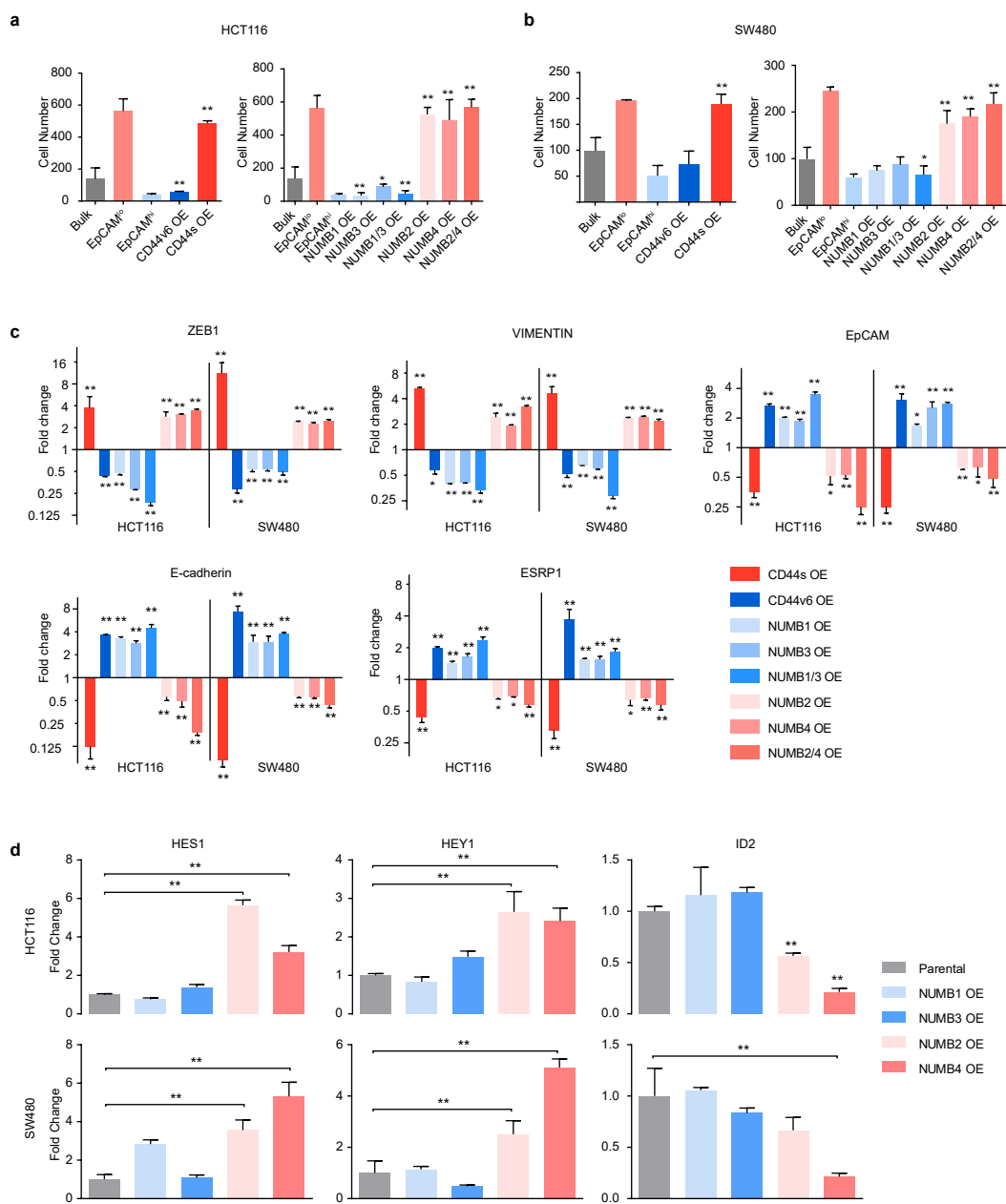


Fig. S5

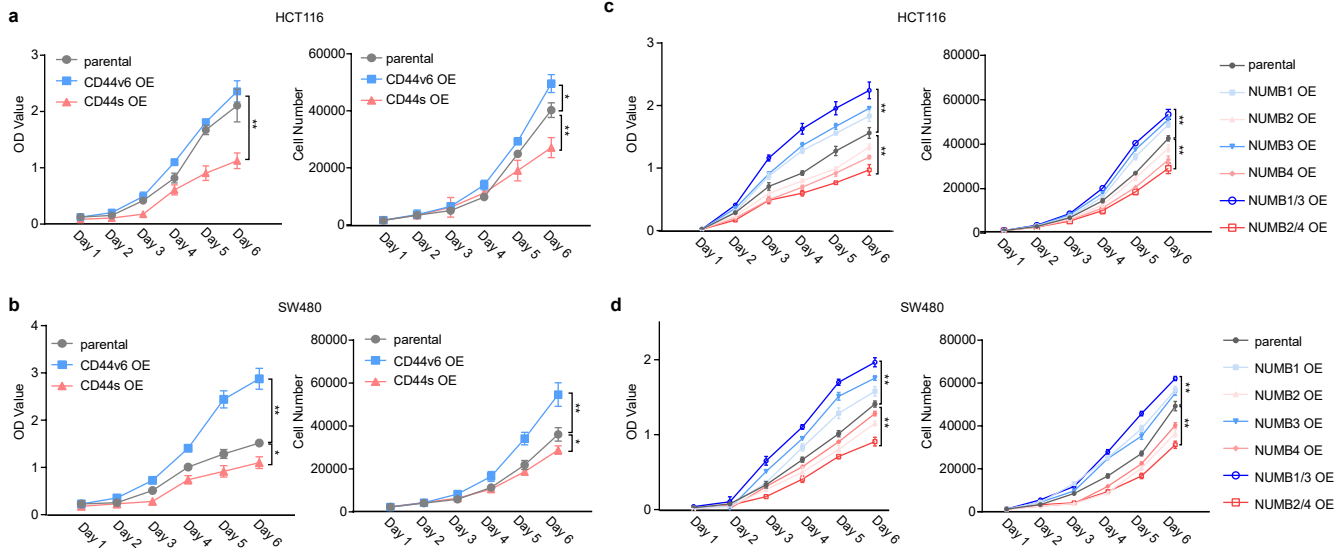


Fig. S6

