# Speech understanding oppositely affects acoustic and linguistic neural tracking in a speech rate manipulation paradigm.

Abbreviated title: Untangling acoustic and linguistic neural tracking

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# 1 ABSTRACT

When listening to continuous speech, the human brain can track features of the 2 presented speech signal. It has been shown that neural tracking of acoustic features 3 is a prerequisite for speech understanding and can predict speech understanding 4 in controlled circumstances. However, the brain also tracks linguistic features 5 of speech, which may be more directly related to speech understanding. We 6 investigated acoustic and linguistic speech processing as a function of varying 7 speech understanding by manipulating the speech rate. In this paradigm, acoustic 8 and linguistic speech processing are affected simultaneously but in opposite 9 10 directions: When the speech rate increases, more acoustic information per second is present. In contrast, linguistic information decreases as speech becomes less 11 intelligible at higher speech rates. We measured the EEG of 18 participants who 12 listened to speech at various speech rates. As expected and confirmed by the 13 behavioral results, speech understanding decreased with increasing speech rate. 14 Accordingly, linguistic neural tracking decreased with increasing speech rate, but 15 16 acoustic neural tracking increased. This indicates that neural tracking of linguistic representations can capture the gradual effect of decreasing speech understanding. 17 In addition, increased acoustic neural tracking does not necessarily imply better 18 speech understanding. This suggests that, although more challenging to measure 19 due to the low signal-to-noise ratio, linguistic neural tracking may be a more direct 20 predictor of speech understanding. 21

Keywords: neural coding, natural speech, speech rate, EEG, acoustic hearing, linguisticrepresentations

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25 Significance statement: An increasingly popular method to investigate neural speech 26 processing is to measure neural speech tracking. Although much research has been done 27 on how the brain tracks acoustic speech features, linguistic speech features have received

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less attention. In this study, we disentangled acoustic and linguistic characteristics of neural 28 speech tracking via manipulating the speech rate. A proper way of objectively measuring 29 auditory and language processing paves the way towards clinical applications: An objective 30 measure of speech understanding would allow for behavioral-free evaluation of speech 31 understanding, which allows to evaluate hearing loss and adjust hearing aids based on 32 brain responses. This objective measure would benefit populations from whom obtaining 33 behavioral measures may be complex, such as young children or people with cognitive 34 35 impairments.

# **1 INTRODUCTION**

36 Understanding speech relies on the integration of different acoustic and linguistic properties 37 of the speech signal. The acoustic properties are mainly related to sound perception, 38 while the linguistic properties are linked to the content and understanding of speech. When 39 listening to continuous speech, our brain can track both the acoustic and linguistic properties 40 of the presented speech signal.

Neural tracking of acoustic properties of natural speech has been the subject of many 41 studies. Particular emphasis has been placed on recovering the temporal envelope, i.e., the 42 slow modulations of the speech signal, from the brain responses, so-called neural envelope 43 tracking. The temporal envelope is essential for speech understanding (Shannon et al., 44 1995), and neural envelope tracking can be linked to speech intelligibility (e.g. Ding and 45 Simon, 2013; Vanthornhout et al., 2018; Lesenfants et al., 2019; Iotzov and Parra, 2019; 46 Verschueren et al., 2020). However, only taking acoustic speech properties into account to 47 investigate neural speech tracking would underestimate the complexity of the human brain, 48 where linguistic properties also play an essential part, as reviewed in detail by Brodbeck 49 50 and Simon (2020).

In addition to acoustic properties, there is growing interest in retrieving linguistic 51 52 properties from brain responses to speech. Broderick et al. (2018) used semantic dissimilarity to quantify the meaning carried by words based on their preceding context. 53 They report that the brain responds in a time-locked way to the semantic context of each 54 55 content word. Additionally, neural tracking is also observed to linguistic properties derived from the probability of a given word or phoneme, i.e., word or phoneme surprisal (Brodbeck 56 et al., 2018; Weissbart et al., 2019; Koskinen et al., 2020). Recently Gillis et al. (2021b) 57 combined several linguistic neural tracking measures and evaluated the potential of each 58 measure as a neural marker of speech intelligibility. After controlling for acoustic properties, 59 phoneme surprisal, cohort entropy, word surprisal, and word frequency were significantly 60 61 tracked. These results show the potential of linguistic representations as a neural marker of

62 speech intelligibility. In addition, this underlines the importance of controlling for acoustic

- 63 features when investigating linguistic neural processing, as acoustic and linguistic features
- 64 are often correlated (Brodbeck and Simon, 2020).

We investigated whether neural speech processing can capture the effect of gradually 65 decreasing speech understanding by manipulating the speech rate. In this study, we focused 66 on acoustic and linguistic speech processing. By changing the speech rate, we manipulate 67 acoustic and linguistic speech processing simultaneously but in opposite directions: When 68 69 increasing the speech rate, more phonemes, words, and sentences, and thus more acoustic information per second is present. In contrast, linguistic information decreases because it 70 becomes more challenging to identify the individual phonemes or words at high speech rates, 71 72 causing decreased speech understanding. We hypothesize that neural tracking of acoustic features will increase with increasing speech rate because more acoustic information will 73 be present. However, linguistic speech tracking will decrease with increasing speech rate 74 75 because of decreasing speech understanding. The effect of speech rate on neural responses to speech has already been investigated. However, all these studies only investigated brain 76 responses to the acoustic properties of the speech signal (Ahissar et al., 2001; Nourski 77 et al., 2009; Hertrich et al., 2012; Müller et al., 2019; Casas et al., 2021). No study, to 78 our knowledge, reported on how speech rate affects linguistic speech processing and the 79 potential interaction between both. In addition, no consensus has been reached on the effect 80 of speech rate on acoustic neural tracking. For example, Nourski et al. (2009) reported 81 that phase-locked responses decrease with increasing speech rate, similar to Ahissar et al. 82 (2001) and Hertrich et al. (2012). However, in the same data, Nourski et al. (2009) also 83 reported that time-locked responses to the envelope (70-250 Hz) could still be found at very 84 high speech rates where speech is no longer understood. 85

We investigated how linguistic and acoustic speech tracking are affected when speech understanding gradually decreases. Analyzing neural speech tracking to different

characteristics of the presented speech allows us to identify neural patterns associated withspeech understanding.

# 2 MATERIAL AND METHODS

# 90 2.1 Participants

Eighteen participants aged between 19 and 24 years (4 men and 14 women) took part in the experiment after having provided informed consent. Participants had Dutch as their mother tongue and were all normal-hearing, confirmed with pure tone audiometry (thresholds  $\leq$ 25 dB HL at all octave frequencies from 125 Hz to 8 kHz). The study was approved by the Medical Ethics Committee UZ Leuven / Research (KU Leuven) with reference S57102.

#### 96 2.2 Speech material

The story presented during the EEG measurement was 'A casual vacancy' by J.K. Rowling, 97 narrated in Dutch by Nelleke Noordervliet. The story was manually cut into 12 blocks 98 of varying length randomly selected from the following list: 4 min, 5 min, 8.5 min, 12.5 99 min, 18 min, and 23 min. After cutting the story, the story was time-compressed with 100 the Pitch Synchronous Overlap and Add algorithm (PSOLA) from PRAAT (Boursma 101 and Weenink, 2018) to manipulate the speech rate. Six different compression ratio's (CR) 102 were used: 1.4, 1.0, 0.6, 0.4, 0.28, 0.22 with corresponding speech rates varying from 103  $\approx$  2.6 syllables/second (CR=1.4) to  $\approx$  16.2 syllables/second (CR=0.22). The fastest CR 104 105 (CR=0.22) was applied to the longest part (23 min), the one but fastest CR (CR=0.28) to the one but longest part (18 min), and so on. This way, all story parts were compressed or 106 expanded to  $\approx$  5 minutes. These blocks had slightly different lengths because word and 107 sentence boundaries were taken into account while cutting the story, which is important for 108 the linguistic analysis. Every speech rate was presented twice to obtain 10 minutes of speech 109 at the same rate. The story was presented in chronological order. For each stimulus block, 110 111 we determined the number of syllables using the forced aligner of the speech alignment

component of the reading tutor (Duchateau et al., 2009) and CELEX database (Baayen
et al., 1996). The number of syllables uttered for each speech block was then divided by
the duration of the speech block in seconds to obtain the speech rate.

After each part of the story, content questions were asked to maximize the participants' attention and motivation. In addition, speech intelligibility was measured after each block by asking the participants to rate their speech understanding on a scale from 0 to 100% following the question 'Which percentage of the story did you understand?'. A short summary of the story was shown in the beginning of the experiment to enhance intelligibility as some participants started with more difficult speech rates.

# 121 2.3 Experimental setup

# 122 2.3.1 EEG recording

EEG was recorded with a 64-channel BioSemi ActiveTwo EEG recording system at a sample rate of 8192 Hz. Participants sat in a comfortable chair and were asked to move as little as possible during the EEG recordings. All stimuli were presented bilaterally using APEX 4 (Francart et al., 2008), an RME Multiface II sound card (Haimhausen, Germany), and Etymotic ER-3A insert phones (Illinois, USA). The setup was calibrated using a 2 cm<sup>3</sup> coupler of the artificial ear (Brüel & Kjær 4152, Denmark). Recordings were made in a soundproof and electromagnetically shielded room.

# 130 2.4 Signal processing

# 131 2.4.1 EEG processing

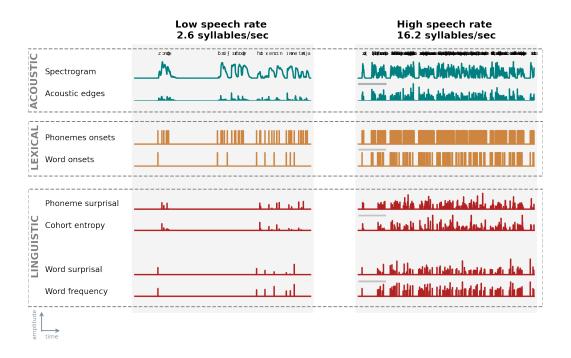
We processed the EEG in 5 consecutive steps. Firstly, we drift-corrected the EEG signals
by applying a first-order highpass Butterworth filter with a cutoff frequency of 0.5 Hz
in the forward and backward direction. Then, we reduced the sampling frequency of the

EEG from 8192 Hz to 256 Hz to reduce computation time. Artifacts related to eyeblinks
were removed with a multichannel Wiener filter (Somers et al., 2018). Subsequently, we
referenced the EEG signals to the common average signal. Lastly, we removed the power
line frequency of 50 Hz by using a second-order IRR notch filter at this frequency with a
quality factor of 35 to determine the filter's bandwidth at the -3dB.

# 140 2.4.2 Stimuli Representations

This study aims to investigate acoustic and linguistic neural tracking at different speech rates. To examine acoustic tracking, we estimated neural tracking based solely on acoustic representations of the stimulus, namely the spectrogram and acoustic edges. To investigate linguistic neural tracking, we created two models: a model to control speech acoustics, which consisted of acoustic and lexical segmentation representations, and a model that included linguistic representations on top of these acoustic and lexical segmentation representations. All speech representations used in the analysis are visualized in Figure 1.

The spectrogram representations were calculated based on the low-pass filtered speech 148 stimulus (zero-phase low-pass FIR filter with a hamming window of 159 samples). We 149 low-pass filtered the stimulus at a cut-off frequency of 4 kHz as the insert earphones also 150 low-pass filter at this frequency. Subsequently, we calculated the spectrogram representation 151 from this filtered stimulus using the Gammatone Filterbank Toolkit (Heeris, 2014, center 152 frequencies between 70 and 4000 Hz with 256 filter channels and an integration window of 153 154 0.01 second). By using a Gammatone Filterbank, the estimated filter outputs are closer to the human auditory response (Slaney, 1998). We combined the filter outputs by averaging 155 them into eight frequency bands with center frequencies of 124 Hz, 262 Hz, 455 Hz, 723 Hz, 156 1098 Hz, 1618 Hz, 2343 Hz, and 3352 Hz. To calculate the acoustic edges representations, 157 we took the derivative of the spectrogram's response in each frequency band and mapped all 158 its negative values to 0. Lastly, we reduced the sampling frequency of these representations 159 160 to the same sampling frequency as the EEG, namely 256 Hz.



**Figure 1. Speech representations at acoustic, lexical and linguistic level** We visualized the speech representations used in this study for all three levels: acoustic (averaged across frequency bands; top box; blue), lexical (middle box; orange), and linguistic (bottom box; red) for the lowest speech rate (SR = 2.6 syllables/sec, left) and highest speech rate (SR = 16.2 syllables/sec; right) for the first 10 seconds of the speech material.

161 To determine linguistic neural tracking, we carefully controlled for neural responses related to acoustic and lexical characteristics of the speech. As pointed out by Brodbeck and 162 Simon (2020); Gillis et al. (2021b), it is important to control for these characteristics 163 164 when investigating linguistic neural tracking, as otherwise spurious linguistic neural tracking can be observed due to the high correlation between linguistic and acoustic 165 representations. To evaluate linguistic neural tracking, we determined the added value of 166 linguistic representations by subtracting the performance of the model containing acoustic 167 and lexical segmentation characteristics of the speech from the performance of the model 168 that included the same representations together with the linguistic representations. We used 169 170 four linguistic representations: phoneme surprisal, cohort entropy, word surprisal, and word

171 frequency, which according to Gillis et al. (2021b), have an added value over and beyond172 acoustic representations.

All linguistic representations are one-dimensional arrays with impulses at the onsets of phonemes or words. The amplitude of an impulse represents the amount of linguistic information conveyed by the phoneme or word. To obtain the timing of the phonemes and words, we used the forced aligner of the speech alignment component of the reading tutor (Duchateau et al., 2009). Similar to linguistic representations, lexical segmentation representations are one-dimensional arrays. However, the impulses' amplitudes are one and thus independent of the amount of linguistic information.

180 Phoneme surprisal and cohort entropy are two linguistic representations that describe the 181 linguistic content of a phoneme. Phoneme surprisal is thought to be a measure of phoneme prediction error as it represents how surprising a phoneme is given the previously uttered 182 phonemes. It is calculated as the negative logarithm of the inverse conditional probability of 183 the phoneme given the preceding phonemes of the word. Another linguistic representation 184 at the phoneme level is cohort entropy derived from the cohort of words congruent with 185 the already uttered phonemes. More specifically, it is calculated as the Shannon entropy of 186 this active cohort of words, reflecting the degree of competition between them. To calculate 187 both representations, we used a custom pronunciation dictionary that maps a word to its 188 189 phoneme representation. This dictionary was created by manual and grapheme-to-phoneme conversion and contained the segmentation of 9157 words. The word probabilities were 190 derived from the SUBTLEX-NL database (Keuleers et al., 2010). The linguistic information 191 192 of the initial phoneme was not modeled in these representations. More details regarding phoneme surprisal and cohort entropy, as well as the mathematical determinations, can be 193 found in Brodbeck et al. (2018). 194

195 The linguistic information conveyed by a word is described by word surprisal and word 196 frequency. Similar to phoneme surprisal, word surprisal is thought to model a word's 197 prediction error. It reflects how surprising a word is given its preceding words. We used a 5-gram model to determine the negative logarithm of the conditional probability of the
word given the preceding words. Therefore, a word's surprisal is estimated given its four
preceding words. Word frequency was derived from the same 5-gram model but without
including previous words, describing the word's unigram probability.

#### 202 2.4.3 Determination of Neural Tracking

To determine neural tracking, we used a forward modeling approach, estimating how the brain responds to specific speech characteristics. The temporal response function (TRF) describes the relationship between the presented stimulus and measured EEG. It also allows us to predict the EEG responses associated with the speech stimulus. By correlating the predicted EEG responses with the measured EEG responses, we obtain a prediction accuracy per EEG channel. This prediction accuracy is a measure of neural tracking.

We used the boosting algorithm (David et al., 2007) implemented by the Eelbrain Toolbox 209 (Brodbeck, 2020) to estimate the TRF and obtain the prediction accuracy. We used an 210 integration window of -100 to 600 ms, i.e., the neural response is estimated ranging from 211 100 ms before activation of the stimulus characteristic to 600 ms after its activation. We 212 use a broad integration window to ensure that the model captures the brain responses to the 213 linguistic representations, which occur at longer latencies. As each speech rate condition 214 was presented twice, we estimated the TRF on the concatenation of these two blocks per 215 speech rate, i.e., ten minutes of data. Before the TRF estimation, the data is normalized by 216 217 dividing by the Euclidean norm per channel. We applied this normalization for the stimulus and EEG data individually. Then the boosting algorithm estimates the associated response 218 behavior using a fixed step size of 0.005. We derived the TRF and prediction accuracy per 219 channel using a cross-validation scheme: the TRF was estimated and validated on partitions 220 unseen during testing of the TRF to obtain the prediction accuracy. More specifically, we 221 used 10-fold cross-validation, implying the data was split into ten equally long folds, of 222 223 which eight folds are used for estimating the TRF, one fold for validation, and one fold for

testing. The obtained TRFs and prediction accuracies are then averaged across the different 224 225 folds. Note that the validation fold is required to determine the stopping criterion: we used an early stopping based on the  $\ell_2$ -norm, i.e., estimation of the TRF is stopped when the 226 Euclidian distance between the actual and predicted EEG data on the validation partition 227 228 stops decreasing. The resulting TRFs are sparse. Therefore, to account for the inter-subject variability and obtain a meaningful average TRF response across subjects, we smoothed 229 the TRFs across time by convolving the estimated response with a hamming window of 50 230 ms in the time dimension. 231

To determine the acoustic tracking of the speech, we purely used acoustic representations. 232 Therefore, we determined the prediction accuracy and TRFs based on the spectrogram 233 and acoustic edges. Regarding the linguistic tracking of speech, we investigated the added 234 235 value of these linguistic representations. To determine the added value, we subtracted the prediction accuracies of two different models. Firstly, we estimated a baseline model 236 consisting of acoustic and lexical segmentation representations. Secondly, we estimated 237 a combined model which included linguistic representations on top of the acoustic and 238 lexical segmentation representations. By subtracting the prediction accuracy obtained with 239 the baseline model from the prediction accuracy of the combined model, we can examine 240 the added value of the linguistic representations after controlling for the acoustic and lexical 241 segmentation representations. 242

We used two predetermined channel selections to investigate the effect of acoustic and linguistic tracking. The neural responses to acoustics are significantly different from those to linguistic content and therefore require a different channel selection. We used a frontal channel selection for acoustic neural tracking based on Lesenfants et al. (2019) and a central channel selection for linguistic neural tracking as reported by Gillis et al. (2021b).

These channel selections were used to visualize the TRFs and to determine associated peak latency and amplitudes. To determine the peak characteristics, we set a preset time window based on the TRF averaged across subjects (see Table 1). Within this time window, we

Speech representation	Time window(s)	Channel selection
Spectrogram Acoustic edges	0 to 90 ms, 110 to 200 ms	frontocentral
Phoneme surprisal Cohort entropy	200 to 300 ms	central
Word surprisal Word frequency	350 to 450 ms	central

 Table 1. Time windows selected per speech representation to determine the peak characteristics

normalized the TRF per channel by dividing the TRF by its  $\ell_2$ -norm over time to decrease across subject variability and averaged the TRF across the channel selection. Depending on a positive or negative peak, we determined the maximal or minimal amplitude and its corresponding latency to obtain the peak amplitude and latency. If the peak latency was the same as the beginning of the window, indicating the end of the previous peak, we discarded the peak from the analysis (see Table 2).

**Table 2.** Number of peaks detected per speech representation per speech rate with  $n_{max} = 18$  (= amount of participants).

	2.6 syll/sec	3.6 syll/sec	6.2 syll/sec	9.0 syll/sec	12.9 syll/sec	16.2 syll/sec
Spectrogram - peak 1 Spectrogram - peak 2	n = 15 n = 14	n = 17 n = 16	n = 18 n = 18	n = 18 n = 15	n = 18 n = 13	n = 18 n = 11
Acoustic edges - peak 1 Acoustic edges - peak 2	n = 17 n = 18	n = 17 n = 17	n = 18 n = 16	n = 18 n = 10	n = 18 n = 8	n = 18 n = 8
Phoneme surprisal	n = 17	n = 18	n = 17	n = 16	n = 15	n = 18
Cohort entropy	n = 17	n = 16	n = 16	n = 15	n = 18	n = 17
Word surprisal	n = 15	n = 16	n = 15	n = 18	n = 16	n = 17
Word frequency	n = 16	n = 15	n = 13	n = 14	n = 15	n = 17

# 257 2.5 Statistics

258 Statistical analysis was performed using MATLAB (version R2018a) and R (version 3.4.4) 259 software. The significance level was set at  $\alpha$ =0.05 unless otherwise stated.

To evaluate the subjectively rated speech understanding results we calculated the 260 correlation between speech rate and rated speech understanding using a Spearman rank 261 correlation. In addition, we fitted a sigmoid function on the data to address the relation 262 between rated speech understanding and speech rate using the minpack.lm package (Elzhov 263 264 et al., 2016) in R. For further statistical analysis, we selected speech rate (and not subjectively rated speech understanding) as a main predictor. We opted for this because a 265 subjective rating is very subject-dependent: some participants will give a higher estimate of 266 their speech understanding than others at the same level of speech understanding. Thirdly, 267 we investigated a homogeneous group of participants' neural responses: all normal-hearing 268 participants between 19 and 24 years old. Therefore we do not expect large differences in 269 270 speech understanding between participants at a particular speech rate.

To determine whether the topographies or the TRFs were significantly different from zero, 271 we performed non-parametric permutation tests (Maris and Oostenveld, 2007). For the 272 analysis of the acoustic TRFs, we limited the window of interest to the time region between 273 0 and 200 ms. As speech is more difficult to understand, the latency of the neural responses 274 275 to acoustic representation increases. These effects are most prominent in a time region of 0 to 200 ms (Verschueren et al., 2020; Mirkovic et al., 2019; Kraus et al., 2020), explaining 276 the rationale to limit the time window of interest. However, no time window of interest was 277 set to determine the significance of the linguistic TRFs. We are not aware of any studies that 278 assess the effect of linguistic tracking when speech comprehension becomes challenging. 279 Therefore we chose not to specify a time window of interest when investigating the neural 280 281 responses to linguistic representations. As observed in previous literature, linguistic TRFs

are associated with negative responses in central areas. Therefore, we applied this test in aone-sided fashion, i.e., we determined where the TRF was significantly negative.

To asses the relationship between speech rate, neural speech tracking and speech understanding, we created a linear mixed effect (LME) model using the LME4 package (Bates et al., 2015) in R with the following general formula:

# 287 $neuralMeasure \sim rate(+rate^2)(+understanding) + random = participant$

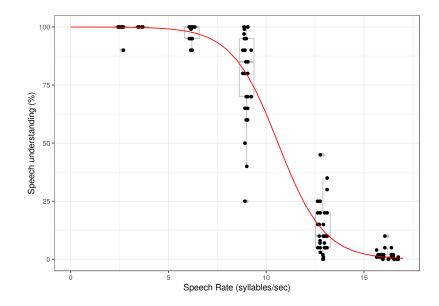
where "neuralMeasure" refers to neural speech tracking, TRF amplitude or TRF latency, 288 depending on the model being investigated and "rate" refers to the speech rate the speech 289 was presented at. Speech rate was also added as a quadratic effect, "rate<sup>2</sup>", as we do not 290 expect neural speech tracking will decrease or increase linearly indefinitely with increasing 291 speech rate. Lastly, "understanding", referring to rated speech understanding, was added to 292 the model to investigate whether speech understanding is able to explain additional variance 293 on top of speech rate. An additional random intercept per participant was included in the 294 model to account for the multiple observations per participant. "Rate<sup>2</sup>" and "understanding" 295 are added between brackets to the general formula because these factors were only included 296 if they benefited the model. We controlled this by calculating the Akaike Information 297 Criterion (AIC) for the model with and without "Rate<sup>2</sup>" and "understanding". The model 298 with the lowest AIC was selected and its residuals plot was analyzed to assess the normality 299 assumption of the LME residuals. Unstandardized regression coefficients (beta) with 95% 300 confidence intervals and p-value of the factors included in the model are reported in the 301 results section. 302

# 3 RESULTS

#### 303 3.1 Effect of speech rate on speech understanding

Figure 2 shows that when speech rate increases, rated speech understanding decreases (r=-0.91, p<0.001, Spearman rank correlation). To model the data, we fitted a sigmoid

306 function between speech understanding and speech rate. The function shows a plateau 307 until 6 syllables/sec (= $\pm$ 1.5 times the rate of normal speech). When the speech rate further 308 increases, speech understanding drops. Because speech understanding and speech rate are 309 highly correlated, we select speech rate for further analysis in function of neural speech 310 tracking. As mentioned above, speech rate is more reliable than the subjectively rated 311 speech understanding scores since it is objectively derived from the acoustic stimulus.



**Figure 2.** Rated speech understanding in function of speech rate. The dots show speech understanding per participant for every participant specific speech rate. The boxplots show the participants' results for the averaged speech rates (based on compression ratio). The red line is the sigmoid function fitted on the data over participant.

# 312 3.2 Effect of speech rate on neural processing of speech

313 To obtain the results in this section, we created two models: an acoustic model and a

314 linguistic model as explained in detail in section 2.4.3.

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#### 315 3.2.1 Acoustic neural tracking

First, we investigated the acoustic model containing acoustic edges and the spectrogram. 316 Figure 3.A shows how accurately the acoustic model can predict the speech signal for every 317 electrode used. To better quantify this result, we selected the frontocentral channels based 318 on Lesenfants et al. (2019) (channels are highlighted in red in the inset of figure 3.B) and 319 averaged them per subject. This resulted in one neural tracking value per speech rate per 320 subject. Neural tracking of this frontocentral channel selection increased with increasing 321 speech rate (p<0.001, b=7.61x10<sup>-3</sup>, CI(95%):  $\pm 1.59x10^{-3}$ , LME, table 3). However, 322 as visualized on the right in figure 3.A this increase is not monotonous, but quadratic 323  $(p<0.001, b=-3.28 \times 10^{-4}, CI(95\%): \pm 8.41 \times 10^{-5}, LME, table 3)$ . Finally, adding speech 324 understanding as an extra predictor to the model does not improve the model (AIC<sub>SR</sub> = 325 326 -623,  $AIC_{SR + understanding} = -605$ ).

Acoustic model		Rate			<b>Rate</b> <sup>2</sup>			
			$\beta$	CI(95%)	р	$\beta$	CI(95%)	р
Prediction accuarcy		$7.61 \times 10^{-3}$	$\pm 1.59 \mathrm{x} 10^{-3}$	< 0.001	$-3.28 \times 10^{-4}$	$\pm 8.41 \mathrm{x} 10^{-5}$	< 0.001	
Spectrogram	p1	ampl	$3.95 \text{x} 10^{-2}$	$\pm 8.00 \mathrm{x} 10^{-3}$	< 0.001	$-1.45 \times 10^{-3}$	$\pm 4.20 \mathrm{x} 10^{-4}$	< 0.001
		lat	$1.02 \mathrm{x} 10^{-3}$	$\pm 5.35 \mathrm{x} 10^{-4}$	< 0.001	does not improve AIC		
	p2	ampl	$-6.64 \times 10^{-3}$	$\pm 1.77 \mathrm{x} 10^{-3}$	< 0.001	does not improve AIC		
		lat	$-9.81 \times 10^{-5}$	$\pm 7.55 \mathrm{x} 10^{-4}$	NS	does not improve AIC		
Acoustic edges	p1	ampl	$2.33 \text{x} 10^{-2}$	$\pm 1.05 \mathrm{x} 10^{-2}$	< 0.001	$-1.33 \text{x} 10^{-3}$	$\pm 5.54 \mathrm{x} 10^{-4}$	< 0.001
		lat	$1.05 \mathrm{x} 10^{-3}$	$\pm 4.58 \mathrm{x} 10^{-4}$	< 0.001	does not improve AIC		
	p2	ampl	$-3.92 \times 10^{-3}$	$\pm 1.83 \mathrm{x} 10^{-3}$	< 0.001	does not improve AIC		
		lat	$2.37 \text{x} 10^{-3}$	$\pm 2.84 \mathrm{x} 10^{-3}$	NS	does not improve AIC		

**Table 3.** Linear Mixed Effect Model of prediction accuracies and amplitude and latency of the TRF peaks in function of speech rate for the acoustic model

Every line represents a different model. NS = not significant, ampl = TRF amplitude, lat = TRF latency, p1 = peak 1, p2 = peak 2

To better understand the obtained quadratic tendency of neural tracking as a function of speech rate, we analyzed the acoustic features separately using TRFs. Figure 3.B visualizes

the averaged TRF of the frontocentral channels for the spectrogram (left panel) and for the 329 330 acoustic edges (right panel). For both speech features, two significant positive peaks appear around 70 and 150 ms (horizontal bars show the TRF parts significantly different from zero). 331 The topographies of these peaks are shown underneath the TRFs. More detailed analysis on 332 both peaks is done by calculating the maximum value for every participant per speech rate 333 between 0 and 90 ms (= peak value 1) and between 110 and 200 ms (= peak value 2). We 334 investigated the amplitude and the latency of these peak values as a function of speech rate as 335 shown in Figure 4. The amplitude of peak 1 increases quadratically with increasing speech 336 rate for the spectrogram feature (SR: p < 0.001,  $b = 3.95 \times 10^{-2}$ , CI(95%):  $\pm 8.00 \times 10^{-3}$ ; SR<sup>2</sup>: 337 p < 0.001, b=-1.45 $x10^{-3}$ , CI(95%):  $\pm 4.20x10^{-4}$ ; LME; table 3) and acoustic edges (SR: 338 p<0.001,  $b=2.33x10^{-2}$ , CI(95%):  $\pm 1.05x10^{-2}$ ; SR<sup>2</sup>: p<0.001,  $b=-1.33x10^{-3}$ , CI(95%): 339  $\pm 5.54 \times 10^{-4}$ ; LME; table 3). In contrast, the amplitude of peak 2 decreases with increasing 340 speech rate (spectrogram: p<0.001, b=-6.64x10<sup>-3</sup>, CI(95%):  $\pm 1.77x10^{-3}$ ; acoustic edges: 341 p < 0.001, b=-3.92x10<sup>-3</sup>, CI(95%):  $\pm 1.83x10^{-3}$ ; LME; table 3). Interestingly, the second 342 peak for the acoustic edges even disappears when the speech rate is 9 syllables/sec or higher 343 and speech understanding drops below 80% (Figure 3.B, horizontal bars show the TRF 344 parts significantly different from zero). For the latency analysis of peak 2 for acoustic edges, 345 we thus only include the latency of the peaks in the 3 easiest speech rate conditions, as no 346 peaks (and latencies) can be found anymore at higher speech rates. The latency of peak 347 1, for both speech features, increases with increasing speech rate (spectrogram: p < 0.001, 348  $b=1.02x10^{-3}$ , CI(95%):  $\pm 5.35x10^{-4}$ ; acoustic edges: p<0.001,  $b=1.05x10^{-3}$ , CI(95%): 349  $\pm 4.58 \times 10^{-4}$ ; LME; table 3), while the latency of peak 2 shows no significant relation with 350 speech rate (spectrogram: p=0.80, b=-9.81x10<sup>-5</sup>, CI(95%):  $\pm 7.55x10^{-4}$ ; acoustic edges: 351 p=0.11,  $b=2.37x10^{-3}$ , CI(95%):  $\pm 2.84x10^{-3}$ ; LME; table 3). 352

353 3.2.2 Linguistic neural tracking

Next to acoustic neural tracking, we also investigated the effect of speech rate on linguistic neural tracking (see section 2.4.3 for more details). Figure 5.A (left panel) shows how

accurately the linguistic model can predict the speech signal over subjects per speech rate per 356 357 channel. The channels in the cluster which drives the topography from 0 are annotated with grey markers. The higher the speech rate, the fewer channels have significant neural tracking. 358 To quantify this, we averaged the prediction accuracy over a central channel selection based 359 on Gillis et al. (2021b) (channels are highlighted in red in the inset of figure 5.B), resulting 360 in one neural tracking value per speech rate per subject. As shown in figure 5.A (right), 361 neural tracking significantly drops monotonically with increasing speech rate (p=0.008, 362 b=-4.59x10<sup>-5</sup>, CI(95%): $\pm 3.31x10^{-5}$ , LME, table 4). Interestingly, this is the opposite 363 trend from the acoustic model in section 3.2.1. Finally, adding speech understanding as 364 a predictor does not improve the linguistic model (AIC<sub>SR</sub> = -1175, AIC<sub>SR + understanding</sub> = 365 -1151). 366

To thoroughly investigate the neural responses to the linguistic features, we examined the 367 TRFs of the central channel selection. Figure 5.B visualizes the averaged normalized TRF 368 in the central channel selection for the different linguistic features per speech rate. The grey 369 zone is where, based on Gillis et al. (2021b), we would expect a neural response. Significant 370 responses can be found in the lower speech rates when speech can still be understood for 371 all features. In the higher speech rates, where speech understanding is worse or absent, 372 the linguistic neural response also disappears (Figure 5.B, horizontal bars show the TRF 373 parts significantly different from zero). The topographies of these responses are shown 374 in Figure 5.B underneath the TRFs. Interestingly, the topographies switch from central 375 negativity when speech is understood to frontal negativity when speech understanding is 376 377 worse or absent. To investigate whether the amplitude or latency of these peaks is related to speech rate, we calculated the minimum value for every participant within the grey zone 378 (= peak value). For all linguistic features the peak amplitude shrinks significantly with 379 increasing speech rate as shown in Figure 6 (Phoneme surprisal: p < 0.001,  $b = 6.81 \times 10^{-3}$ , 380 CI(95%):  $\pm 2.82 \times 10^{-3}$ ; Cohort entropy: p=0.008, b=4.21x10^{-3}, CI(95%):  $\pm 3.07 \times 10^{-3}$ ; 381 Word surprisal: p < 0.001,  $b = 6.46 \times 10^{-3}$ , CI(95%):  $\pm 3.09 \times 10^{-3}$ ; Word Frequency: p < 0.001. 382 b=6.44x10<sup>-3</sup>, CI(95%):  $\pm 3.00x10^{-3}$ ; LME; table 4). In other words, when speech becomes 383

faster and more difficult to understand, the peak amplitude of the linguistic features 384 385 decreases until it finally disappears when the speech rate is 9.0 or 12.9 syllables/sec, or higher, and speech understanding is dropping below 90%. Similar to the analysis of the 386 second peak for acoustic features, we only include the latency of significant peaks. Phoneme 387 surprisal shows a significant increase of peak latency with increasing speech rate (p=0.015, 388 b=5.47x10<sup>-3</sup>, CI(95%):  $\pm 4.20x10^{-3}$ ; LME; table 4). Cohort entropy, word surprisal and 389 word frequency, on the other hand, reveal no significant effect of speech rate on peak latency 390 (Cohort entropy: p=0.18, b= $3.59 \times 10^{-3}$ , CI(95%):  $\pm 5.11 \times 10^{-3}$ ; Word surprisal: p=0.23, 391 b=- $3.33 \times 10^{-3}$ , CI(95%):  $\pm 5.38 \times 10^{-3}$ ; Word frequency: p=0.95, b=- $1.09 \times 10^{-4}$ , CI(95%): 392  $\pm 3.06 \times 10^{-3}$ ; LME; table 4). 393

**Table 4.** Linear Mixed Effect Model of prediction accuracy and amplitude and latency of the TRF peaks in function of speech rate for the linguistic model

Linguistic model		eta	rate CI(95%)	р	$\begin{array}{c} \mathbf{rate}^2\\ \beta  \mathbf{CI(95\%)}  \mathbf{p} \end{array}$
Prediction accuarcy	r	$-4.59 \mathrm{x} 10^{-5}$	$\pm 3.31 \mathrm{x} 10^{-5}$	0.0081	does not improve AIC
Phoneme surprisal	ampl lat	$\begin{array}{c} 6.81 \mathrm{x} 10^{-3} \\ 5.47 \mathrm{x} 10^{-3} \end{array}$	$\begin{array}{c} \pm 2.82 \mathrm{x} 10^{-3} \\ \pm 4.20 \mathrm{x} 10^{-3} \end{array}$	<0.001 0.015	does not improve AIC does not improve AIC
Cohort entropy	ampl lat	$\begin{array}{r} 4.21 x 10^{-3} \\ 3.59 x 10^{-3} \end{array}$	$\begin{array}{r} \pm 3.07 \mathrm{x} 10^{-3} \\ \pm 5.11 \mathrm{x} 10^{-3} \end{array}$	0.008 NS	does not improve AIC does not improve AIC
Word surprisal	ampl lat	$\begin{array}{c} 6.46 \mathrm{x} 10^{-3} \\ \textbf{-3.33} \mathrm{x} 10^{-3} \end{array}$	$\begin{array}{r} \pm 3.09 \mathrm{x} 10^{-3} \\ \pm 5.38 \mathrm{x} 10^{-3} \end{array}$	<0.001 NS	does not improve AIC does not improve AIC
Word frequency	ampl lat	$6.44 \text{x} 10^{-3}$ -1.09x10 <sup>-4</sup>	$\pm 3.00 \text{x} 10^{-3}$ $\pm 3.06 \text{x} 10^{-3}$	<0.001 NS	does not improve AIC does not improve AIC

Every line represents a different model. NS = not significant, ampl = TRF amplitude, lat = TRF latency

# 4 DISCUSSION

We aimed to investigate whether neural speech processing can capture the effect of graduallydecreasing speech understanding by manipulating the speech rate. With increasing speech

rate, we found that neural tracking of the acoustic features increased, while neural trackingof the linguistic features decreased.

# 398 4.1 Effect of speech rate on acoustic neural processing of speech

We found an increase of acoustic neural tracking with increasing speech rate and thus
decreasing speech understanding, confirming our hypothesis. When speech becomes faster,
the model is better at predicting acoustic speech features.

This increase of acoustic neural tracking with decreasing speech understanding seems 402 discrepant with previous research trying to link acoustic neural tracking to speech 403 understanding using, for example, speech-in-noise paradigms (Vanthornhout et al., 2018; 404 405 Verschueren et al., 2020; Ding and Simon, 2013; Iotzov and Parra, 2019; Etard and Reichenbach, 2019). The experimental paradigm could explain this discrepancy. Previous 406 studies used, for example, noise to manipulate speech understanding. In those cases, 407 408 decreased neural tracking was accompanied by a decrease in speech understanding and an acoustically degraded speech signal. Because speech understanding and signal-to-noise 409 ratio are highly correlated, it is challenging to unravel to what extent the decreased neural 410 tracking is driven by decreased speech understanding or the signal-to-noise ratio used to 411 vary speech understanding. In this study, we manipulated speech understanding by speeding 412 up the speech signal and preserving its signal-to-noise ratio, in contrast to the speech in 413 414 noise studies. We hypothesize that the brain mainly responds to acoustic boundaries, i.e. onsets of sounds, which are more prominent in the faster speech presented in this study, 415 explaining the increasing tendency. When presenting speech in noise, acoustic boundaries 416 can be masked and, therefore, more challenging to observe. Therefore, it is difficult to 417 attribute this decrease in acoustic neural tracking: a decrease in speech understanding or a 418 decrease in neural detection of acoustic boundaries, or a combination of both? 419

In addition, because the speech is sped up, the duration of the silences in between wordsor sentences inherently decreases, which increases the amount of speech data allowing

the model to improve its estimate of the TRF and obtain higher prediction accuracies. 422 423 However, this increase of acoustic neural tracking and speech rate is not linear but quadratic, saturating, and even decreasing at very high speech rates. This may be due to the stimulus 424 characteristics. When increasing the speech rate, the spectrogram and acoustic edges contain 425 more and more peaks. Possibly these peaks are occurring so fast after each other making it 426 difficult for the brain to perceive them still (see Figure 1). A different hypothesis is related 427 to the motor cortex. When participants listen to the speech, they tend to mimic the speech 428 in their brain, activating neural activity in motor areas (Casas et al., 2021). However, most 429 speakers cannot produce speech as fast as 16.2 syllables/sec. Hence, the corresponding 430 mouth movements are unnatural, which implies that the listener cannot mimic the speech 431 in their brain anymore, decreasing the related responses in the motor areas and thus brain 432 responses to the acoustic speech features in general. 433

To better understand the observed quadratic tendency of acoustic neural tracking with 434 increasing speech rate, we investigated the TRFs of the speech features separately. Two 435 significant peaks with opposite behavior could be observed for both acoustic features. The 436 first peak is the largest, and its amplitude increases quadratically with increasing speech 437 rate, similar to the previously discussed neural acoustic tracking results. On the other 438 hand, the second peak amplitude decreases with increasing speech rate. This discrepancy 439 is intriguing as it suggests that both peaks have different underlying brain processes as 440 confirmed by literature (Picton, 2011; Brodbeck and Simon, 2020). Peak 1 occurs relatively 441 fast, around 50 ms, and is probably mainly related to the acoustics of the incoming 442 443 speech and thus benefits from an increased speech rate. Peak 2, on the other hand, occurs somewhat later, around 150 ms, and could, in addition to the acoustics, be influenced by 444 top-down processing related to speech understanding and attention (Ding and Simon, 2012; 445 Vanthornhout et al., 2019). Besides the amplitude, we also investigated the latencies. The 446 latency of the first peak increases with increasing speech rate. Increased latencies are often 447 observed in more complex conditions with a higher task demand, like for example lower 448 449 stimulus intensity, vocoded speech or speech in noise (Mirkovic et al., 2019; Verschueren

et al., 2021; Kraus et al., 2020). The latency of the neural responses can also be related to neural processing efficiency (Bidelman et al., 2019; Gillis et al., 2021a). In more detail, a larger latency indicates that more processing time is required to process the same speech characteristics, showing reduced neural processing efficiency. More words and phonemes need to be processed as the speech rate increases, resulting in a more challenging condition to process the incoming speech.

#### 456 4.2 Effect of speech rate on linguistic neural processing of speech

457 When speech becomes faster, speech understanding drops. Interestingly, this same decrease can be observed in linguistic neural tracking (in contrast to acoustic neural tracking, section 458 4.1). To the best of our knowledge, this is the first study that evaluates linguistic neural 459 tracking when manipulating the level of speech understanding as a gradual effect. The 460 studies of Brodbeck et al. (2018) and Broderick et al. (2018) using a two-talker paradigm 461 are most comparable. They compared two conditions, i.e., intelligible and attended speech 462 versus unintelligible and ignored speech, but not the spectrum in between. Nevertheless, 463 their findings converge with our results and support our hypothesis of linguistic neural 464 tracking as a neural marker of speech understanding. When the speech is not understood or 465 466 ignored, the brain does not track the linguistic aspects of the speech, while for intelligible speech linguistic tracking is present. 467

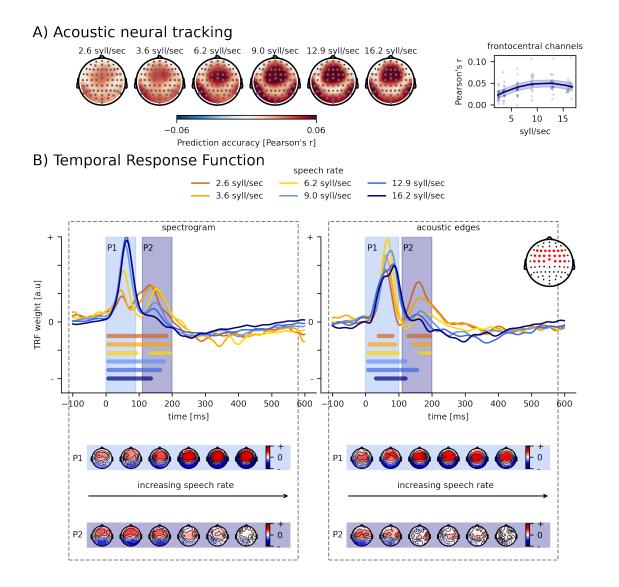
To better understand the observed decrease of linguistic neural tracking with increasing 468 469 speech rate, we investigated the TRFs of the speech representations separately. We observed a characteristic negative peak for each linguistic representation as observed in previous 470 literature (e.g. Brodbeck et al., 2018; Gillis et al., 2021b; Weissbart et al., 2019). For 471 the phoneme-related features, phoneme surprisal and cohort entropy, this peak occurs 472 around 250 ms. For the word-related features, word surprisal and word frequency, this peak 473 occurs somewhat later, around 350 ms. The difference in timescale between both feature 474 475 groups could be linked to the different speech processing stages (phonemes versus words)

476 they represent (Van Canneyt et al., 2021). Regarding the topographies of these peaks, the 477 understandable speech conditions are associated with a typical topography, similar to the 478 classical N400 responses characterized by central negative channels. As speech becomes 479 less understandable, i.e., the speech rate increases, the associated topography disappears.

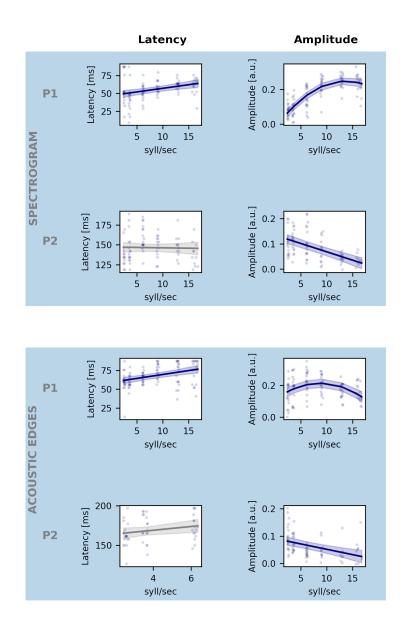
For all speech features, the amplitude of this negative peak decreases with increasing 480 speech rate until it disappears at speech rates as high as 9.0 tot 12.9 syllables/sec. Gillis 481 et al. (2021b) already showed that these linguistic representations have an added value 482 above and beyond acoustic and lexical representations. However, the authors did not 483 compare intelligible to unintelligible speech. Here, we elegantly showed that as the speech 484 becomes less understandable but remains audible and acoustically intact (in contrast to 485 speech in noise studies or vocoder studies), the characteristic negative peak decreases and 486 finally disappears. Altogether, our results suggest that these characteristic negative peaks to 487 linguistic representations could be neural correlates of speech understanding. 488

## 489 4.3 Conclusion

490 Using a speech rate paradigm, we map how the level of speech understanding affects acoustic and linguistic neural speech processing. When speech rate increases, acoustic 491 neural tracking increases, although speech understanding drops. However, the amplitude 492 493 of the later acoustic neural response decreases with increasing speech rate, suggesting influence of top-down processing related to speech understanding and attention. In contrast, 494 linguistic neural tracking decreases with increasing speech rate and even disappears when 495 496 speech is no longer understood. Altogether, this suggests that linguistic neural tracking could possibly be a more direct predictor of speech understanding compared to acoustic 497 neural tracking. 498

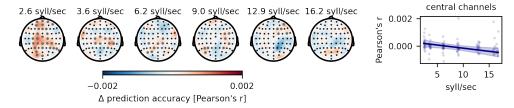


**Figure 3. Effect of speech rate on acoustic tracking** Panel A: visualization of the average prediction accuracy across participants for each speech rate. The annotated grey channels indicate the cluster which drives the significant difference from 0. How acoustic tracking, averaged across frontocentral channels, changes according to the speech rate is shown on the right. Panel B: Normalized TRFs of the spectrogram and acoustic edges. The bold horizontal lines indicate where the TRFs are significantly different from 0 (the same color as the TRF of the considered speech rate). The topographies below show the associated peak topographies in the TRF.

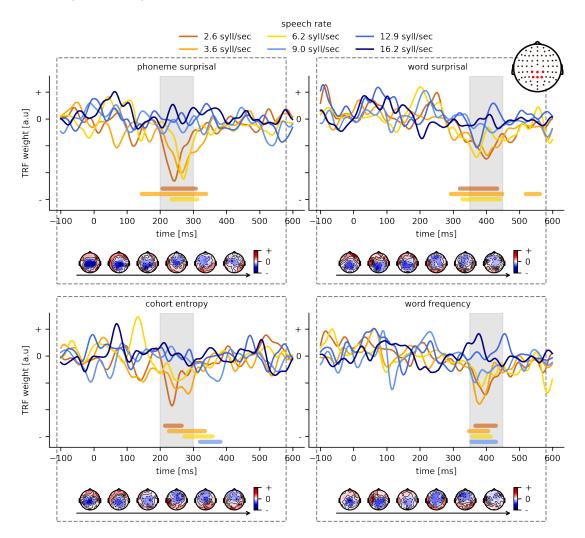


**Figure 4. Effect of speech rate on the amplitude and latency of acoustic representation** Each row shows the effect of speech rate on latency (left plot) and the amplitude (right plot) of the neural response to spectrogram (top row) and acoustic edges (bottom row) for respectively the first and second identified peak as indicated on Figure 3. The blue line shows the model's prediction for each speech rate; the shaded area indicates the confidence interval of the model's prediction. The non-significant models are shown in grey. Remark that we only include the latency of significant peaks for the latency analysis. 26

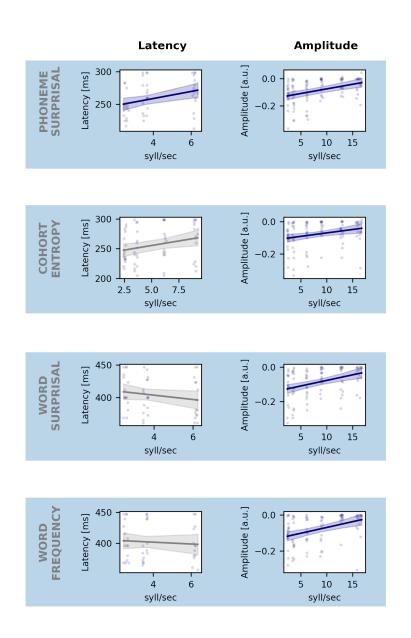
# A) Linguistic neural tracking



# B) Temporal Response Function



**Figure 5. Effect of speech rate on linguistic tracking** Panel A: visualization of the added value of linguistic representations across participants for each speech rate. The annotated grey channels indicate the cluster which drives the significant difference from 0. Have linguistic tracking, averaged across central channels, changes according to the speech rate is shown on the right. Panel B: The associated normalized TRFs for the linguistic representations. The bold horizontal lines indicate where the TRFs are significantly different from 0 (the same color as the TRF of the considered speech rate). The topographies below indicate the associated peak topographies to the TRF in the grey shaded area. The horizontal arrow underneath the topographies indicates the increasing speech rate.



**Figure 6. Effect of speech rate on the amplitude and latency of linguistic representation** Each row shows the effect of speech rate on latency (left plot) and the amplitude (right plot) of the neural response to phoneme surprisal (top row), cohort entropy (second row), word surprisal (third row) and word frequency (bottom row). The blue line shows the model's prediction for each speech rate; the shaded area indicates the confidence interval of the model's prediction. The non-significant models are shown in grey. Remark that we only include the latency of significant peaks for the latency analysis. 28

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