1 TITLE

- 2 Interspecies transfer of syntenic RAMOSA1 orthologs and promoter cis sequences impacts maize
- 3 inflorescence architecture
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26 ABSTRACT

27 Grass inflorescences support floral structures that each bear a single grain, where variation in 28 branch architecture directly impacts yield. The maize RAMOSA1 (ZmRA1) transcription factor 29 acts as a key regulator of inflorescence development by imposing branch meristem determinacy. 30 Here, we show RA1 transcripts accumulate in boundary domains adjacent to spikelet meristems 31 in Sorghum bicolor (Sb) and Setaria viridis (Sv) inflorescences similar as in the developing 32 maize tassel and ear. To evaluate functional conservation of syntenic RA1 orthologs and 33 promoter *cis* sequences in maize, sorghum and setaria, we utilized interspecies gene transfer and 34 assayed genetic complementation in a common inbred background by quantifying recovery of 35 normal branching in highly ramified ral-R mutants. A ZmRA1 transgene that includes 36 endogenous upstream and downstream flanking sequences recovered normal tassel and ear 37 branching in ral-R. Interspecies expression of two transgene variants of the SbRA1 locus, 38 modeled as the entire endogenous tandem duplication or just the non-frameshifted downstream 39 copy, complemented *ra1-R* branching defects and induced novel fasciation and branch patterns. 40 The SvRA1 locus lacks conserved, upstream noncoding cis sequences found in maize and 41 sorghum; interspecies expression of an SvRA1 transgene did not or only partially recovered 42 normal inflorescence forms. Driving expression of the SvRA1 coding region by the ZmRA1 43 upstream region, however, recovered normal inflorescence morphology in ral-R. These data 44 leveraging interspecies gene transfer suggest that cis-encoded temporal regulation of RA1 45 expression is a key factor in modulating branch meristem determinacy that ultimately impacts 46 grass inflorescence architecture.

47 INTRODUCTION

Understanding the genetic basis of morphological diversity between and within species is a key 48 49 objective in biology (Carroll, 2008). Grass (Poaceae) inflorescences display tremendous intra-50 and interspecific variation (ref. Kellogg, 2015) and are an effective model for studying genetic 51 mechanisms that underly evolutionary change in morphology. Inflorescence diversity is well-52 documented in the cereal crops rice (*Oryza* spp.) (Yamaki et al., 2010; Crowell et al., 2016), 53 millet (Setaria spp.) (Doust and Kellogg, 2002; Doust et al., 2005; Huang and Feldman, 2017), 54 sorghum (Sorghum spp.) (Harlan and de Wet, 1972; Brown et al., 2006; Zhou et al., 2019; Li et 55 al., 2020) and maize (Zea mays sspp.) (Upadyayula et al., 2006a; Upadyayula et al., 2006b; 56 Brown et al., 2011; Wu et al., 2016; Xu et al., 2017). As inflorescences in the Poaceae ultimately 57 support reproduction and the floral structures that bear a single grain, variation in inflorescence morphology directly impacts yield in cereal crops and weedy grass species. Despite such 58 59 agronomical and ecological significance, the genes that underlie diverse inflorescence forms in 60 the grasses have not been fully elucidated, and tests of functional conservation of syntenic 61 orthologous genes are limited.

62 Mature inflorescence traits are patterned early in development through variation in size, identity, and the timing and duration of maturation schedules of active pluripotent stem cell 63 64 tissues called meristems. These variations impact the number, arrangement and elaboration of 65 lateral organs that arise from meristems (Doust and Kellogg, 2002; Vollbrecht et al., 2005; 66 Prusinkiewicz et al., 2007; Whipple et al., 2010; Kellogg et al., 2013; Lemmon et al., 2016; Zhu et al., 2018; Leiboff and Hake, 2019). A general framework for ontogeny of grass inflorescences 67 68 (Kellogg et al., 2013) follows: When internal and external cues signal the reproductive transition, 69 inflorescence development ensues as a vegetative shoot apical meristem, which elaborates leaf 70 primordia at its flanks, converts to a reproductive inflorescence meristem (IM) that elaborates 71 lateral meristems at its flanks. The IM is indeterminate, i.e. capable of producing an unspecified 72 number of lateral primordia, and the lateral meristems can be either relatively indeterminate in 73 which case they may also initiate additional lateral meristems, or relatively determinate 74 (producing a specified number of lateral primordia). Indeterminate grass inflorescence meristems 75 are called branch meristems (BMs) and show diverse indeterminacy across and even within grass species, while all grass inflorescences ultimately produce determinate meristems called spikelet 76 77 meristems (SMs). Thus, in the general framework IMs initiate BMs, and both IMs and BMs

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initiate SMs at their flanks. A SM gives rise to two glume (bract) primordia, followed by one or
multiple florets which altogether comprise the spikelet, the central unit of a grass inflorescence
(Clifford, 1987). SMs in some grass species are more determinate in that they terminate by
converting to a floral meristem that is consumed in the production of floral organs, whereas in
other species SMs are somewhat indeterminate and produce multiple floral meristems, and
therefore multiple florets, before terminating. Diverse morphological complexity among grass
inflorescences arises though variation in type, activity and determinacy of IMs, BMs and SMs.

The family Poaceae consists of over 11500 species (Kellogg, 2015) distributed about 85 86 equally among two major lineages known as the PACMAD and BOP clades. In the PACMAD 87 clade, the largest subfamily Panicoideae has over 3300 species that include global staple cereal 88 crops maize (Zea mays ssp mays), sorghum (Sorghum bicolor [L.] Moench), and foxtail millet 89 (Setaria italica) (Kellogg, 2015). Maize and sorghum are among the ~1200 species in tribe 90 Andropogoneae; Setaria is in the tribe Paniceae (Kellogg, 2015). Unlike most of the Panicoideae 91 where spikelets are unpaired, the Andropogoneae are distinguished by producing their spikelets 92 in pairs; specialized, determinate BMs called spikelet pair meristems (SPMs) each produce two 93 SMs. Thus, spikelet pairs (SPs) and long branches (LBs), which commonly coexist in the same 94 inflorescence, are branches that differ by length (short vs. long, respectively) and meristem 95 determinacy at origin (SPMs vs. BMs, respectively). By contrast, within the tribe Paniceae or the 96 'bristle clade' are a few hundred grass species including the foxtail millet progenitor Setaria 97 viridis where adjacent meristems differentiate into either single spikelets or sterile branches 98 called bristles (Doust and Kellogg, 2002, Hodge and Doust, 2017). Developmental and 99 morphological studies in *Setaria* lend support to the ontogenetic pairing of a single spikelet with 100 a bristle, but spikelets are not paired (Doust and Kellogg, 2002).

101 Maize and sorghum are estimated to have diverged from a common ancestor 102 approximately 12 million years ago (MYA) (Swigonová et al., 2014); Setaria diverged from 103 maize and sorghum approximately 26-27 MYA (Bennetzen et al., 2012; Zhang et al., 2012). 104 Sorghum and Setaria genomes show extensive synteny (Bennetzen et al., 2012; Zhang et al., 105 2012). Likewise, approximately 60% of annotated genes are syntenically conserved between 106 maize and sorghum, and this gene set accounts for 90% of all genes characterized by forward 107 genetics in maize (Schnable and Freeling, 2011; Schnable, 2015). Syntenic orthologs are more 108 likely to retain consistent patterns of gene regulation and expression across related species

109 (Davidson et al., 2012), and may be more likely to retain ancestral functional roles than non-

syntenic gene copies (Dewey, 2011). However, to date, functional conservation between syntenicorthologs in related grass species remains widely untested.

112 The maize *RAMOSA1* (*ZmRA1*) locus is a key regulator of tassel and ear development 113 and morphology (Vollbrecht et al., 2005). ZmRA1 was a target of selection during maize 114 domestication (Sigmon and Vollbrecht, 2010), co-localizes with nucleotide polymorphisms for 115 inflorescence branching traits in genome wide association studies of diverse maize breeding lines 116 (Brown et al., 2011; Wu et al., 2016; Xu et al., 2017) and is a candidate quantitative trait locus 117 for tassel branch number in the Mexican highland maize landrace Palomero Toluqueño (Perez-118 Limón et al., 2021). Strong maize *ra1* mutants were recognized over a century ago as resembling 119 inflorescences of other grasses (Collins, 1917), and more recently, comparisons to the complexly 120 branched sorghum panicle have been drawn at developmental and molecular levels (Vollbrecht et al., 2005; Leiboff and Hake, 2019). Whereas normal inflorescence branching in maize 121 122 produces only SPs or LBs bearing SPs, mutations in ZmRA1 relax the determinacy normally 123 imposed on SPMs such that SPs are replaced by LBs bearing several unpaired, single spikelets 124 ("spikelet multimers"), or by LBs bearing a mix of single and/or paired spikelets (Vollbrecht et 125 al., 2005). The graded, multiple orders of inflorescence branching in ra1 mutants reveal a general 126 determinacy function of ZmRA1 in addition to or that includes a specific role for ZmRA1 activity 127 in producing the canonical SP. RA1 encodes a C₂H₂ zinc-finger transcription factor with EAR 128 repression motifs (Vollbrecht et al., 2005). Mutations in the maize C₂H₂ zinc-finger domain or 129 C-terminal EAR motif result in severe *ral* mutants that display highly ramified tassels and ears 130 (Vollbrecht et al., 2005; Gallavotti et al., 2010). One mechanism by which RA1 imposes SPM 131 determinacy in maize is through genetic and physical interactions with the orthologous 132 TOPLESS co-repressor encoded by RA1 ENHANCER LOCUS2 (REL2) (Gallavotti et al., 2010). 133 ZmRA1 transcripts and ZmRA1 protein accumulate in a boundary domain between the 134 inflorescence or branch axis and the determinate meristems it regulates (Vollbrecht et al., 2005; 135 Eveland et al., 2014). The non-cell-autonomous nature of ZmRA1 suggests that it regulates a 136 trafficable signal for meristem determinacy, or its gene product is capable of trafficking to the 137 adjacent meristem (Vollbrecht et al., 2005). Genetic and molecular data support that RA1 138 expression in maize impacts branch complexity through regulating SPM determinacy (Vollbrecht 139 et al., 2005). Variation in timing of *RA1* expression, presumably imposed by variation in

140 promoter *cis* sequences, in *Miscanthus* (Vollbrecht et al., 2005), sorghum (Vollbrecht et al., 141 2005; Leiboff and Hake, 2019) and S. viridis (Zhu et al., 2018) correlates with degree of branch 142 activity and distinct inflorescence morphologies. Thus, heterochronic RA1 expression and 143 regulation of RA1 activity are hypothesized to impact inflorescence branching directly by 144 modulating meristem determinacy. To date, ral mutants have not been reported outside of 145 maize, leaving open the question of *RA1* function in the Panicoideae with respect to 146 evolutionarily and agronomically important characters such as meristem determinacy, branch 147 length and pairing of spikelets.

148 Here, we report on genetic tests for functional conservation of syntenic orthologous RA1 149 genes in maize, sorghum and setaria. We show that *RA1* expression marks boundary domains 150 adjacent to meristems in sorghum and setaria inflorescences in concordance with RA1 transcript 151 accumulation in maize. We generated RA1 transgenes from maize (Zm), sorghum (Sb) and setaria (Sv) loci and utilized the strong maize ral-R mutant to investigate the impact of 152 153 expressing ZmRA1, SbRA1 and SvRA1 transgenes on the regulation of branching in maize tassels 154 and ears. Expression as a transgene of ZmRA1 including flanking upstream and downstream 155 sequences recovered normal inflorescence morphologies in *ral-R* mutants. Interspecies 156 expression of two transgene variants of the SbRA1 locus, one modeled as the entire endogenous 157 tandem duplication and the other as only the non-frameshifted downstream gene copy, yielded a 158 range of *ral-R* inflorescence architectures, showing partial recovery with or without novel 159 branch patterns and fasciation. We found that interspecies expression of an SvRA1 transgene, 160 which lacks *cis*-promoter sequences conserved in maize, sorghum and other Andropogoneae 161 species, either not at all or only partially recovered normal inflorescence forms in ral-R mutants, 162 whereas fusing the SvRA1 coding region to the ZmRA1 upstream region recovered normal 163 inflorescence morphology in ral-R mutants. Our functional tests of RA1 sufficiency indicate that 164 heterochronic modulation of meristem determinacy that results from *cis*-regulatory differences 165 impacts ear and tassel morphology, and is a likely driver of inflorescence diversity throughout 166 the grasses.

167

168 RESULTS AND DISCUSSION

169 Inflorescence architectures and *RA1* alleles in PACMAD and Panicoid grasses

170 Mature maize inflorescences are spatially and morphologically distinct and produce dimorphic, 171 unisexual florets: a terminal tassel bearing staminate florets and a lateral ear with pistillate florets 172 (Fig. 1A, B). Mutations in the ZmRA1 gene, typified by the strong ral-R allele (Vollbrecht et al., 173 2005), result in multiple orders of branching in the tassel and the ear (Fig. 1C, D) that resemble 174 complexly branched inflorescences of other grasses, such as terminal panicles of sorghum (Fig. 175 **1E**) and setaria (**Fig. 1F**) which have unimorphic, bisexual florets. The conspicuous diversity of 176 mature inflorescence morphologies in maize, sorghum and setaria, largely attributed to variation 177 in degree of branching, manifests early in development (Fig. S1). Maize, sorghum and setaria 178 belong to the subfamily Panicoideae, and within this large clade of grasses, maize and sorghum 179 are members of tribe Andropogoneae, whereas setaria is a member of tribe Paniceae (ref. 180 Kellogg, 2015). Maize and sorghum inflorescences produce a multitude of spikelets in pairs as is 181 characteristic of related species in the Andropogoneae, whereas the setaria inflorescence is dense 182 with single spikelets that each develop in close association with a bristle (Doust and Kellogg, 2002; Kellogg, 2015). 183

184 Comparative genomic data indicate the *RA1* locus is specific to the PACMAD clade, 185 whose largest subfamilies are the Panicoideae and Chloridoideae, where the intronless structure 186 and unique QGLGGH motif within the C₂H₂ zinc finger present in maize (Vollbrecht et al., 187 2005) appear conserved. For example, a syntenic copy of *RA1* is absent from the genomes of 188 BOP clade members rice (Oryza sativa), Brachypodium distachyon and wheat (Triticum 189 aestivum) (Fig. S2A) (Vollbrecht et al., 2005; Sigmon, 2010), but is present in the genome 190 assemblies of Chloridoideae species teff (*Eragrostis tef*) and *Oropetium thomaeum* (Schnable, 191 2019), and of finger millet (*Eleusine coracana*). Within the Panicoideae RA1 resides as a single 192 copy gene in maize and setaria and as a single-locus tandem duplication in sorghum (SbRA1^{TAN} 193 comprised of SbRA1 upstream [SbRA1^{US}] and SbRA1 downstream [SbRA1^{DS}] copies); however, a frameshift mutation in SbRA1^{US} introduces a stop codon after the C₂H₂ zinc finger domain, 194 195 rendering it presumably nonfunctional (Fig. 1G) (Vollbrecht et al., 2005; Sigmon, 2010). Previously published RT-PCR and transcript profiling data indicate that SbRA1^{US} is not 196 197 expressed in inflorescences of sorghum BTx623, while SbRA1^{DS} is (Vollbrecht et al., 2005; 198 Wang et al., 2018; Leiboff and Hake, 2019). Broad sampling of diverse cultivated and wild 199 sorghums found that, in all cultivated accessions, 1) SbRA1^{US} contains the same frameshift and that the SbRA1^{DS} open reading frame (ORF) encodes a predicted full length RA1 protein; 2) the 200

201 SbRA1 tandem duplication likely originated relatively recently with the Sorghum genus and may 202 not be present in other grass species (Sigmon, 2010). Two RA1 loci are present in miscanthus 203 (Fig. 1H), but these are segmental duplicates in this paleotetraploid species (Sigmon, 2010; Mitros et al., 2020). The encoded SbRA1^{DS} protein of cultivated sorghums, hereafter referred to 204 205 as SbRA1, is ~69% identical to the ZmRA1 protein and ~56% identical to the SvRA1 protein. 206 ZmRA1 and SvRA1 proteins are ~65% identical. ZmRA1, SbRA1 and SvRA1 proteins share a 207 highly conserved C₂H₂ zinc-finger domain and a conserved C-terminal EAR motif (Figs. 1G and 208 **S2B**). Biochemical experiments have demonstrated the C_2H_2 zinc-finger domain binds DNA 209 (Dathan et al., 2002), and the EAR motif acts as a potent transcriptional repressor (Hiratsu et al., 210 2004; Tiwari et al., 2004). The motifs and their positioning are highly conserved between 211 ZmRA1, SbRA1 and SvRA1 proteins. The C₂H₂ zinc-finger domain between ZmRA1 and SbRA1 212 differs by one conservative amino acid variant (I67V, position relative to ZmRA1) that is 213 identical (V) between SbRA1 and SvRA1. Relative to ZmRA1 and SbRA1, the SvRA1 zinc-214 finger domain differs at three positions, none of them among invariant core C₂H₂ residues 215 (Vollbrecht et al., 2005). The C-terminal EAR motif is conserved between ZmRA1 and SbRA1 216 and varies by one residue (Q169E) in SvRA1. A second EAR motif adjacent to the C₂H₂ zinc-217 finger domain (Sigmon 2010, Gallavotti et al., 2010) is highly conserved between ZmRA1 and 218 SbRA1 but absent from SvRA1 (Figs. 1G and S2B). Physical interaction between ZmRA1 and 219 REL2 involves both EAR motifs (Gallavotti et al., 2010); however, functional sufficiency of the 220 maize C-terminal EAR motif has not been demonstrated.

221 By mining two kilobases of the *RA1* promoter region from eight Panicoideae taxa across 222 the Chasmanthieae, Paniceae, Paspaleae and Andropogoneae tribes, we identified several blocks 223 of highly conserved, noncoding *cis* sequence restricted to the Andropogoneae, where spikelets 224 are paired (Figs. 1H and S3). These conserved cis sequences located in the promoter region of ZmRA1 and SbRA1^{DS} (Sigmon, 2010), were absent from the ~0.7 kb promoter region included in 225 our SbRA1^{US} transgene construct and were largely absent or not well conserved outside the 226 227 Andropogoneae, including in *SvRA1* (Figs. 1H and S3A, S3B). Within the four Andropogoneae 228 tribe taxa, where there are six promoter regions due to gene duplications, the conserved non-229 coding *cis* sequences harbored 48 putative transcription factor binding sites present among at 230 least five of six sequences queried (Supplemental dataset). In maize, some of the conserved cis 231 sequence overlaps with accessible chromatin profiled from developing ears but not accessible in

232 leaves (Fig. S3C; Ricci et al., 2019). Indeed, coinciding with the region of accessible chromatin 233 we found that DNA affinity purification (DAP) sequencing of maize AUXIN RESPONSE 234 FACTOR (ARF) transcription factors identified binding peaks (Fig. S3C; Galli et al., 2018) 235 centered on a putative ARF binding motif, providing a possible additional link between auxin 236 signaling and response and branch development (Gallavotti et al., 2008; Eveland et al., 2014). 237 Also within the region of accessible chromatin and within a conserved non-coding *cis* sequence 238 we identified a putative LEAFY (LFY) transcription factor binding motif (Winter et al., 2011) in 239 all six Andropogoneae sequences queried (Figs. 1H, S3B, S3C and Supplemental dataset). 240 LFY is bifunctional as an activator and repressor in Arabidopsis (William et al., 2004; Winter et 241 al., 2011). Within the Andropogoneae the protein-coding regions of the LFY-like genes are 242 highly conserved suggesting purifying selection and constraint on amino acid sequence 243 (Bomblies and Doebley, 2005). Interestingly, in maize, transcripts of the LFY homologs Zea 244 FLORICAULA/LEAFY1 (ZFL1) and ZFL2 (Bomblies et al., 2003) accumulate in SPMs in a 245 pattern that would likely border ZmRA1 transcript accumulation (Vollbrecht et al., 2005). Tassel 246 branch number is decreased in zfl1; zfl2 double mutants, and positively correlates with ZFL2 247 copy number (Bomblies et al., 2003; Bomblies and Doebley, 2006). These ZFL data are 248 consistent with negative regulation of ZmRA1 activity by ZFL gene activity, making it tempting 249 to speculate that ZFL could repress ZmRA1 where their expression domains abut in boundary 250 cells at the margin of SPMs.

251

252 *RA1* marks boundary domains adjacent to meristems in sorghum and setaria panicles

253 To determine the accumulation of *RA1* transcripts in sorghum and setaria inflorescences, we

254 performed RNA *in situ* hybridization with an antisense probe for *ZmRA1*, along with the

255 meristem marker gene *KNOTTED1* (*KN1*; Jackson et al., 1994). In sorghum, *RA1* transcripts

accumulated in a boundary domain directly adjacent to the SPM, as marked by accumulation of

257 *KN1* transcripts (**Figs. 2A, B** and **S4A, B**). *RA1* transcripts were not detected in early-staged

setaria inflorescences initiating branch meristems, as shown by accumulation of *KN1* (Fig. 2C,

- **D**), consistent with transcriptomic profiling of setaria inflorescence development (Zhu et al.,
- 260 2018). In later-staged setaria inflorescences marked by SMs and bristles, we detected *RA1*
- transcripts in accordance with transcriptomic data (Zhu et al., 2018), which showed boundary
- domain accumulation adjacent to the SM (Figs. 2E, F and S4C-F). We consistently did not

263 detect *RA1* transcript accumulation in or adjacent to bristles, further distinguishing them from the 264 spikelets they are paired with. In maize, RA1 transcripts accumulate between recently-initiated 265 SPMs and the inflorescence or branch axis (Vollbrecht et al., 2005). These results demonstrate 1) 266 a conserved spatial pattern of RA1 transcript accumulation that marks boundary domains 267 adjacent to spikelet-associated short branch meristems in sorghum, setaria and maize 268 inflorescences, whether SMs (setaria) or SPMs (maize and sorghum), 2) a conserved lack of 269 expression associated with BMs and LBs and other branch types (i.e. the bristle in setaria) and 3) 270 distinct temporal patterns consistent with discrete branching ontogenies.

271

272 Expression of a *ZmRA1* transgene largely recovers normal inflorescence architectures in 273 *ra1-R* mutants

274 To study the function of promoter *cis* and coding sequence diversity of *RA1* loci in shaping the 275 inflorescences of maize, sorghum and setaria, we generated a suite of transgenic experiments 276 using interspecies gene transfer (Nikolov and Tsiantis, 2015). Maize, sorghum and setaria RA1 277 genes and one chimeric maize-setaria RA1 gene were introduced into maize and backcrossed into 278 the B73 inbred genetic background containing the *ral-R* mutant allele. During backcrosses the 279 events were scored for evidence of a heritable, single-locus, herbicide resistance phenotype as an 280 indicator of stable expression of the 35S::BAR component of the transgene cassette. In total 17 281 independent transgenic events satisfied these genetic segregation criteria (Table S2) and these 282 were also scored qualitatively for their capacity to complement the *ra1-R* mutant phenotype; 283 from among them, we selected nine events for detailed analysis (**Table S1 and Methods**).

284 To examine maize RA1 gene function, we first asked if normal tassel and ear 285 morphologies could be recovered in severe ral-R mutants expressing a reintroduced ZmRA1 286 genomic fragment containing 2.95 kb of the promoter region including the conserved cis 287 sequences as well as 2.35 kb of sequence downstream of the CDS. We refer to this transgenic 288 cassette as '198' (Fig. 3A; Table S1). Five independent, stable, single-locus transgene events 289 were generated for 198. Four of them showed similar effects on the ra1-R phenotype and 290 minimal pleiotropy while the fifth was markedly pleiotropic (Supplemental Table 1), conferring 291 a dwarfed plant stature and severely reduced tassels and ears. We studied the effects of 198 in a 292 single, non-pleiotropic insertion event (Table S2). Gross tassel and ear morphology of ral-R 293 mutants expressing 198 appeared normal relative to non-transgenic ral-R siblings (cf. Figs. 3B-

E to 1A). Notably, $ral \cdot R$ ears expressing 198 were fully unbranched, and kernels were in straight parallel rows along the ear axis; in contrast, kernel rowing was crooked in highly ramified $ral \cdot R$ ears (**Fig. 3D, E**) (Vollbrecht et al., 2005).

We quantified degree of branching, including branch type, lengths and spikelet pair 297 298 density (Fig. S5) among inflorescences of segregating normal, ral-R mutants expressing 198 and 299 non-transgenic ral-R siblings to evaluate the degree of normal phenotype recovery. Along the 300 primary axis of the tassel, normal maize produces LBs at the base with an immediate shift to 301 short branches of SPs on the central spike (Fig. 1A). ral-R mutants produce LBs at the tassel 302 base, then a variable number of transformed, mixed-fate branches bearing both SPs and single 303 spikelets, followed by transformed branches ("spikelet multimers") with multiple, single 304 spikelets and finally an abbreviated central spike predominantly of short branches of SPs (Fig. 305 **3B**) (Vollbrecht et al., 2005). The length of the central spike (CS) between normal and ral-Rexpressing 198 were nearly equivalent (mean difference +0.95 cm); CS was significantly longer 306 307 in *ral-R* with the transgene compared to non-transgenic *ral-R* siblings (mean difference -17.68) 308 cm) (Fig. 3F). The length of the long branch zone (LBZ) was slightly shorter in ral-R expressing 309 198 relative to normal (mean difference -1.68 cm), whereas LBZ was significantly shorter in 310 transgene positive ral-R compared to non-transgenic ral-R siblings (mean difference -11.84 cm) 311 (Fig. 3G). Normal tassels produced on average 4.9 more LBs compared with ral-R tassels 312 expressing 198, whereas non-transgenic ral-R siblings produced on average 17.4 more LBs than 313 ral-R expressing 198 (Fig. 3H). We observed a negligible difference in spikelet multimers 314 (referred to as 'multimers' throughout) between normal and *ra1-R* transgene-expressing tassels, 315 but non-transgenic ral-R siblings produced on average 14 more multimers than ral-R expressing 316 198 (Fig. 3I). Spikelet pair density (SPD) taken from a circumference of 1 cm at the CS 317 midpoint was lower in ral-R transgene positive plants compared with both normal and non-318 transgenic ral-R siblings (-3.2 and -2.67 SPs, respectively) (Fig. S6B). The three most-basal 319 tassel LBs were longer in ral-R expressing 198 compared with both normal and non-transgenic 320 ral-R siblings (Fig. S6C). Collectively, these results indicate that the ZmRA1 transgene is 321 sufficient to recover normal inflorescence architectures in the *ra1-R* mutant background. 322

Interspecies expression of a tandem duplicated *SbRA1* modeled transgene produces novel
 ra1-R inflorescence architectures

325	We next asked if interspecies expression of the canonical tandem duplicated SbRA1 locus
326	could recover normal tassel and ear morphologies in ral-R mutants. We modeled the tandem
327	duplicated SbRA1 transgenic cassette SbRA1 ^{TAN} as a 6 kb genomic DNA fragment that includes
328	~0.7 kb promoter region of $SbRA1^{US}$, the $SbRA1^{US}$ paralogous coding region followed by the
329	contiguous 2.03 kb (including the conserved <i>cis</i> sequences) between the <i>SbRA1^{US}</i> paralogous
330	stop codon and the beginning of the SbRA1 ^{DS} predicted ORF, the predicted ORF and 2.17 kb
331	downstream of the SbRA1 ^{DS} stop codon. We refer to this construct as '195' (Fig. 4A; Table S1).
332	Three independent, stable, single-locus transgene events were generated for 195 and backcrossed
333	into the B73 background; we studied its effects on the <i>ra1-R</i> mutant in all three (Table S2).
334	Overall, tassels of ra1-R mutants that expressed 195 were much less branched and ranged
335	from normal (events 195.8.3 and 195.20.4) to compact (event 195.46.1) relative to highly
336	branched non-transgenic ral-R siblings (Fig. 4B-E). Similarly, 195-expressing ral-R ears
337	displayed a range in gross phenotype (Fig. 4F-I, N), but were overall much less branched than
338	ral-R sibling ears. For event 195.8.3, ear branching was reminiscent of weak ral mutant alleles
339	(Fig. 4G) (Vollbrecht et al., 2005; Gallavotti et al., 2010). Ears from event 195.20.4 and
340	195.46.1 were occasionally fasciated and branched, and frequently had crooked kernel rows (Fig.
341	4H, I, N). Ears from event 195.46.1 were consistently short and compact (Fig. 4I).
342	To understand the impact of 195 on ral-R inflorescences, we quantified branch
343	phenotypes for the three events. When compared to non-transgenic <i>ral-R</i> siblings, mean CS
344	lengths were significantly longer (range of differences from +5.61 to +12.15 cm) and mean LBZ
345	lengths were significantly shorter in ral-R carrying the 195 transgene (range of differences from
346	-12.13 to -18.36 cm) (Fig. 4J, K). Non-transgenic <i>ral-R</i> siblings produced on average 29.11 LBs
347	and 18 multimers, which was significantly more compared to the mean range of 5.17 to 10.73
348	LBs and 2.33 to 7.09 multimers in 195 expressing ral-R siblings (Fig. 4L, M). SPD had a mean
349	range of differences from -0.25 to +5.5 SPs between $ra1-R$ expressing the 195 transgene and
350	non-transgenic <i>ral-R</i> siblings (Fig. S7B). The three most basal LBs were significantly shorter in
351	ral-R tassels that expressed the 195 transgene compared with non-transgenic ral-R siblings
352	(Fig. S7C).
353	Long branches are completely suppressed in normal ears (Fig. 1B); LBs are de-repressed
354	by mutations in ZmRA1 (Fig. 1D) (Vollbrecht et al., 2005). Ears of strong ra1 mutant alleles,

such as *ra1-RSd*, produce over 200 branches (Weeks, 2013). *ra1-R* ears expressing the *195*

transgene were significantly less branched compared to highly branched ears of non-transgenic *ra1-R* siblings (Fig. 4N). Event 195.8.3 had a mean ear branch number of 9.3, similar to
previously reported mean ear branch totals for weak alleles, *ra1-63.3359* (11.2 branches) or *ra1- RS* (12.1 branches) (Weeks, 2013). Events 195.20.4 and 195.46.1 had a mean of <1 branch (Fig.
4N). Transcripts of the *195* transgene accumulated in developing tassels beyond the stages when
the endogenous *ZmRA1* transcript accumulation are highest (Fig. S8A), supporting heterochronic
expression of the transgene in the tassel.

363 Taken together, expression of the 195 transgene reduced the order of branching in ral-R364 mutant inflorescences, but curiously also produced novel ral-R phenotypes that included 365 compact tassels and ears, and ear fasciation (Fig. 4D, E, H, I). Pleiotropic fasciation and 366 stubbiness in the main axis suggest effects on the main inflorescence meristem, where ral 367 expression was not detected in normal maize or sorghum. Strong, likely null, maize ral alleles have genetic lesions in the C₂H₂ zinc finger domain (Vollbrecht et al., 2005), a putative DNA 368 369 binding domain (Dathan et al., 2002). Indeed, ZmRA1 is suggested to bind and modulate the 370 expression of hundreds of genes during tassel and ear development, which includes the putative 371 direct targeting and repression of COMPACT PLANT2 (CT2; Bommert et al., 2013; Eveland et al., 2014). Loss-of-function ct2 mutants have compact inflorescences and fasciated ears 372 373 (Bommert et al., 2013), similar to what was observed to be conditioned by the 195 transgene 374 (Fig. 4B-I). To explain the novel *ral-R* phenotypes, we hypothesize that the 195 transgene may 375 function ectopically and affect expression of target genes like CT2 outside of the spatio-376 temporally normal expression domain for RA1. Misregulation of RA1 could occur if the 377 upstream copy competes with the downstream copy for binding of regulatory factors, or if the 378 gene duplication itself alters regulation, for example, by changing the distance between *cis*-379 regulatory elements or by creating novel ones. Another potential mechanism for the novel 380 phenotypes could be at the level of the gene product. For example, given that the truncated 381 upstream RA1 copy encodes a C₂H₂ zinc finger domain (Figs. 1G and 4A), expression from both copies could lead to binding interference between SbRA^{US} (truncated) and SbRA^{DS} (complete) 382 383 proteins, where *Sb*RA^{DS} is required at sufficient levels to impose meristem determinacy. Similar 384 interference mechanisms for dominant negative alleles have been reported to influence flowering in Arabidopsis (Ahn et al., 2006) and sunflower (Blackman et al., 2010). Although SbRA^{US} 385

expression is barely detectable in sorghum inflorescences (Vollbrecht et al., 2005), we did not

assay its expression in the transgenic lines.

388

389 Interspecies expression of the downstream *SbRA1* modeled transgene partially recovers

390 normal inflorescence architectures in *ra1-R* mutants

391 Because 195 conditioned novel phenotypic changes in addition to complementation, we asked if

392 normal tassel and ear morphologies in *ra1-R* mutants could be recovered by interspecies

expression of only the downstream *SbRA1* locus, which does not contain frameshifts or apparent

deleterious mutations. The downstream *SbRA1* transgenic cassette *SbRA1*^{DS} was modeled to

include its predicted ORF and 1.68 kb upstream including the conserved *cis* sequences plus 2.17

kb downstream of the stop codon, and we refer to this construct as '196' (Fig. 5A; Table S1).

Three independent, stable, single-locus transgene events were generated for 196 and backcrossed to the *ral-R* mutant in B73, and we studied its effects in all three (**Table S2**).

Overall, tassels from *ra1-R* mutants that expressed *196* were less branched and ranged from normal (events 196.19.2 and 196.7.3) to moderately compact (event 196.14.5) architectures relative to highly ramified architecture of non-transgenic *ra1-R* siblings (**Fig. 5B-E**). Similarly, *ra1-R* ears expressing the *196* transgene displayed a range in gross phenotype (**Fig. 5F-I, N**). Events 196.19.2 and 196.7.3 produced unbranched ears with straight rows of kernels along the ear axis (**Fig. 5G, H**), whereas event 196.14.5 showed ear branching reminiscent of weak *ra1* mutant alleles (**Fig. 5I**) (Vollbrecht et al., 2005; Gallavotti et al., 2010).

406 To characterize the impact of *196* on *ra1-R* inflorescences in detail, we quantified tassel

407 branch phenotypes for the three events. When compared to non-transgenic *ral-R* siblings, mean

408 CS lengths were significantly longer (range of differences from +2.75 to +8.95 cm) and mean

409 LBZ lengths were significantly shorter in *ral-R* that carried the *196* transgene (range of

410 differences from -12.71 to -14.15 cm) (**Fig. 5J, K**). Non-transgenic *ral-R* siblings produced on

411 average 26.8 LBs and 17 multimers, which was significantly more compared to the mean range

412 of 4.58 to 8 LBs and 2.83 to 4.2 multimers in *ral-R* expressing the *196* transgene (**Fig. 5L, M**).

413 SPD had a mean range of differences from +1.53 to +7.33 SPs between *ra1-R* with the *196*

414 transgene and transgene-free *ral-R* siblings (**Fig. S9B**). The three most basal LBs were

415 significantly shorter in ral-R tassels with the 196 transgene compared with non-transgenic ral-R

416 siblings (Fig. S9C). Interspecies expression of 196 was sufficient to impose SPM determinacy in

ra1-R ears for events 196.19.2 and 196.7.3, where branch suppression was fully penetrant. Event
196.14.5 had on average 2 branches (Fig. 5N), which was significantly less than average ear
branch number for weak *ra1* alleles (Weeks, 2013). Transcripts of the *196* transgene
accumulated in developing tassels beyond the stages when the endogenous *ZmRA1* transcript
accumulation are highest (Fig. S8B), supporting heterochronic expression of the transgene in the
tassel.

423 Collectively, interspecies expression of 196 restored more normal ear inflorescences with 424 less branching and straighter rows, and less pleiotropy with respect to fasciation and shortened 425 axes, relative to the 195 cassette. Furthermore, both the 195 and 196 constructs substantially remediated *ra1-R* tassel branching. Given that the 196 transgene eliminates the SbRA1^{US} locus 426 427 present in the 195 construct, these results suggest functional *cis*-regulatory element(s) that reside in the 1.68 kb sequence promoter region of the SbRA1^{DS} locus are affected by their proximity to 428 SbRA1^{US} in the tandem duplication, especially in the maize ear. Our data on ra1-R mutants 429 430 expressing either 195 or 196 cassettes are consistent with a hypothesis raised previously 431 (Vollbrecht et al., 2005): variation in inflorescence architecture, and thus degrees of determinacy, 432 is attributed to the developmental timing of RA1 expression and its activity, as reflected in the 433 range of branch types observed among maize mutant alleles, transgene versions, or genetic 434 diversity of RA1 in maize and other grasses. Furthermore, these results suggest that 435 developmental context of *RA1* activity in the tassel and ear is crucial in regulating determinacy 436 (cf., ear and tassel phenotypes in Figs. 4 and 5). Indeed, quantification of ear and tassel branch 437 number in the F_1 hybrid generation of B73 x Mo17 introgressions homozygous for the weak 438 allele ra1-63.3359 showed additive effects on ear branching and over-dominance effects on 439 tassel branching (Weeks, 2013).

440

441 Interspecies expression of *SvRA1* only recovers near normal inflorescence branching in 442 *ra1-R* mutants when chimeric with the *ZmRA1* promoter region

Given the complex genetic nature of the *SbRA1* locus, we sought to explore the impact of the
single copy *SvRA1* on inflorescence morphology. We were also interested in testing the impact
of the *cis* sequences found in promoter regions of *ZmRA1* and *SbRA1^{DS}* and conserved among
Andropogoneae grasses, as well as sufficiency of the single EAR motif in *Sv*RA1. We therefore
compared and contrasted interspecies expression of the *SvRA1* coding region with its endogenous

448 promoter region that largely lacks the conserved *cis* sequences with expression of the SvRA1 449 gene body in *cis* with the maize promoter region (*pZmRA1*). We modeled the *SvRA1* transgene 450 cassette to include 1.53 kb of the predicted SvRA1 promoter region, the coding region and 1.97 451 kb downstream of the stop codon and we refer to it as '162' hereafter (Fig. 6A; Table S1). 452 Additionally, we generated a chimeric gene cassette termed *pZmRA1::SvRA1* where 2.95 kb of 453 ZmRA1 promoter region and five-prime untranslated region was fused upstream of the SvRA1 454 coding sequence and 1.97 kb of downstream SvRA1 sequence and we refer to the construct as 455 '175' hereafter (Fig. 6B; Table S1). Four independent, stably herbicide-resistant and single-456 locus transgene events were identified for 162 during backcrossing to the B73 tester line (Table 457 S2). Of those events, three were unique among all stable, herbicide-resistant transgenics we 458 propagated in this study, across all five constructs, in that they showed no notable effect on the 459 strong ral-R mutant phenotype or any other plant phenotypes examined. Thus, quantitative 460 phenotyping was not performed for these three events, which strongly suggests the SvRA1 461 transgene has little or no functional activity in maize. The fourth event for 162 showed some 462 reduction of vegetative shoot stature and effects on inflorescence branching and was therefore 463 examined for ear and tassel phenotype, although we consider it an outlier or novel event among 464 the four 162 transgenic lines. One stable, single-locus transgene event was generated for 175 465 and backcrossed to B73 and it affected inflorescences but was non-pleiotropic for vegetative 466 plant characteristics. Thus, we studied the effects of 162 and 175 in single-locus events 467 backcrossed in the *ra1-R* mutant background (Table S2).

Overall, tassels from *ra1-R* mutants that expressed the novel *162* event or expressed the *175* transgene were less branched and had normal architectures relative to the highly branched
architecture of non-transgenic *ra1-R* siblings (Fig. 6C-E). Similarly, *ra1-R* ears expressing *162*displayed a range in gross phenotype from unbranched ears with straight rows of kernels along
the ear axis and no branches to those with crooked rows and a low degree of branching (Fig. 6F,
G, M). In contrast, *ra1-R* ears expressing *175* were fully unbranched with kernels in straight
parallel rows along the ear axis (Fig. 6H, M).

To understand the impact of the novel *162* event or of *175* on *ra1-R* tassels and ears, we quantified branch phenotypes. When compared to non-transgenic *ra1-R* siblings, mean CS lengths were significantly longer (difference +13.67 cm for both *162* and *175*) and mean LBZ lengths were significantly shorter in *ra1-R* tassels expressing either *162* or *175* transgenes 479 (difference -11 cm for 162 and -12.1 cm for 175) (Fig. 6I, J). Relative to normal tassels, mean 480 CS lengths were shorter (difference -5.0 cm for both 162 and 175) and mean LBZ lengths were 481 marginally longer in ral-R tassels with either 162 or 175 (difference +1.27 cm for 162 and +0.17 482 cm for 175) (cf. Figs. 3F, G to 6I, J). Non-transgenic ral-R sibling tassels produced on average 483 35.1 LBs and 25.1 multimers, which were significantly more compared to averages of 12.7 LBs 484 and 3.1 multimers for 162, and 7.5 LBs and 1.7 multimers for 175 expressing ral-R siblings 485 (Fig. 6K, L). Compared to a mean of 9.6 LBs and 2 multimers for normal tassels, 162 expressing 486 ral-R tassels produced on average 3.1 more LBs and 0.9 more multimers, whereas 175 487 expressing ral-R tassels had 2.1 fewer LBs and 0.3 fewer multimers (cf. Figs. 3H, I to 6K, L). 488 For SPD, ral-R tassels with 162 had on average 3.2 more SPs along the CS compared to non-489 transgenic ral-R siblings, and similarly, ral-R tassels with 175 had 2.2 more SPs (Fig. S10B). 490 Relative to SPD for normal tassels, ral-R expressing 162 had on average 0.7 fewer SPs and ral-491 R expressing 175 had 1.7 fewer SPs along the CS (cf. Figs. S6B to S10B). The three most basal 492 LBs were consistently shorter in ral-R tassels that carried the 162 transgene compared with non-493 transgenic ra1-R siblings; LBs were of similar length between ra1-R expressing the 175 494 transgene and non-transgenic ral-R siblings (Fig. S10C). Compared to normal tassels, the three 495 most basal LBs of *ral-R* tassels expressing either 162 or 175 were shorter (cf. Figs. S6C to 496 S10C).

497 Establishment of SPM determinacy during ear development differed conspicuously
498 between *ra1-R* expressing the *175* transgene and expressing the novel *162* event. *ra1-R* with the
499 *162* transgene produced an average of 4 branches, whereas *ra1-R* ears carrying the *175* transgene
500 were unbranched (**Fig. 6M**). Overall, the *175* transgene behaved most similarly to the *198*501 endogenous maize construct.

502 Collectively, the transgene constructs containing SvRA1 conferred degrees of 503 complementation from non- to partial to nearly complete, all without inducing the novel 504 inflorescence phenotypes of sorghum transgenes. Whereas in most SvRA1 (162) lines the intact 505 SvRA1 gene did not complement the ra1-R mutant phenotype, we saw some effects in one line. 506 Similarly, the ZmRa1 and SbRa1 events were not all identical in their phenotypic effects, as is not unusual among transgene events integrated into different chromosomal regions. We speculate 507 508 that the novel SvRA1 (162) event may be integrated in a genomic context that results in 509 effectively ectopic expression, and therefore suggesting a lack of appropriate *cis* regulatory

components in the *SvRA1* promoter region while revealing some functional potential of theSvRA1 gene product.

512 In the encoded polypeptides, ZmRA1 and SvRA1 C₂H₂ zinc-finger domains vary by three 513 amino acid residues, and the C-terminal EAR motif in SvRA1 differs by one residue. However, a 514 conserved EAR motif adjacent to the C_2H_2 zinc-finger domain in ZmRA1 is absent in SvRA1 515 (Figs. 1G and S2B). In maize, RA1 physically interacts with REL2 via EAR motifs in a large 516 transcriptional repressor complex to impose SPM determinacy (Gallavotti et al., 2010; Liu et al., 517 2019). Functional importance of the EAR motif adjacent to the C_2H_2 zinc-finger domain has not 518 been tested genetically. Our data from the 175 chimeric gene cassette suggest the C₂H₂ -proximal 519 EAR motif, which is by definition dispensable for RA1 function in setaria, is likewise to a 520 significant degree nonessential in maize. Whereas complementation was only partial for novel 521 162 event, it was more complete for the chimeric 175 construct. The promoter region swap data 522 clearly indicate that *cis*-encoded regulation of *RA1* expression is a key functional component in 523 promoting SPM determinacy, especially during ear development. In an evolutionary context it is 524 interesting to note that while spikelets are normally unpaired in setaria and the SvRA1 gene is 525 insufficient to complement the maize *ral-R* mutant with its many unpaired spikelets, under the 526 proper expression conditions the SvRA1 gene product does confer sufficient determinacy 527 activity to restore SPs to ral-R maize. These results suggest that within the Panicoideae 528 subfamily of the PACMAD grasses RA1 has an evolutionarily conserved determinacy function 529 that contributes to specifying short branch meristems: SMs in setaria and SPMs in maize and 530 sorghum. Our data are all consistent with a hypothesis wherein within the paired-spikelet 531 Andropogoneae tribe, *RA1* has been adopted a key role in producing the SP by imposing 532 determinacy in the proper developmental context rather than by specifying any strict SPM 533 identity. It would be interesting to test whether the RA1 genes from other Panicoid species as 534 well as from Chloridoid subfamily and/or other PACMAD grasses show similar functions.

The developmental context in which genes and networks operate within meristems and flanking organ boundary domains is critical in determining inflorescence form. Elegant genetic studies on the spatiotemporal regulation and function of transcription factors have shed important light on the mechanisms governing inflorescence branching patterns. Genetic variation in distal regulatory elements (Clark et al., 2006; Studer et al., 2011), proximal or intronic *cis* regulatory elements (Arnaud et al., 2011; Wills et al., 2013; Kusters et al., 2015), coding sequences that

541 alter protein function (Wang et al., 2005; Whipple et al., 2010), protein-protein interactions 542 (Bartlett et al., 2016; Abraham-Juarez et al., 2020) or protein-DNA interactions (Maizel et al., 543 2005; Sayou et al., 2014) are critical drivers of inflorescence branching. Our data leveraging 544 interspecies gene transfer and chimeric transgene expression suggest that *cis*-encoded regulation 545 of *RA1* expression is a key factor in modulating meristem determinacy that ultimately impacts 546 grass inflorescence architecture. With the ability to map hundreds of regulatory regions and 547 transcription factor binding sites across diverse plant genomes (Lu et al., 2019; Galli et al., 548 2020), it will be important to understand the regulatory context of the conserved *cis* sequences 549 that reside in *RA1* promoters.

550 Branch determinacy in the grasses is controlled by gene networks that function in 551 boundary domains adjacent to the meristem they positionally regulate. Since their discovery, 552 such 'signaling centers' have emerged as a major theme in regulating meristem determinacy, not meristem identity, and are key drivers of complex branching patterns seen in grass inflorescences 553 554 (Whipple, 2017). Maize RAMOSA genes—RA1 (Vollbrecht et al., 2005), RA2 that encodes a 555 LATERAL ORGAN BOUNDARY domain transcription factor (Bortiri et al., 2006) and the 556 TREHALOSE PHOSPHATE PHOSPHATASE-encoding RA3 (Satoh-Nagasawa et al., 2006)— 557 constitute a 'signaling center' as these genes are co-expressed in overlapping boundary domains 558 (Vollbrecht and Schmidt, 2009) and likely regulate a mobile signal that promotes determinacy of 559 adjacent BMs. Similarly, BM determinacy is controlled by the GATA domain zinc-finger and 560 SQUAMOSA PROMOTER BINDING PROTEIN transcription factors encoded by TASSEL 561 SHEATH1 (TSH1) and TSH4 (Whipple et al., 2010; Chuck et al., 2010), and SM identity and 562 determinacy are regulated by boundary expression of BRANCHED SILKLESS1 and 563 INDETERMINANT SPIKELET1 that encode APETALA2 domain transcription factors (Chuck et 564 al., 1998; 2007). BMs, SPMs and SMs are not meristem types found in eudicot inflorescences, 565 where variation and complexity are largely governed by shifts in meristem identity 566 (Prusinkiewicz et al., 2007; Lemmon et al., 2016). Given that RA1 transcripts accumulate in 567 meristem boundary regions during development of sorghum and setaria inflorescences, it will be 568 interesting to test the functional consequences of mutating RA1 in these grasses. Meristem 569 identity genes in eudicots are expressed in meristems; genes that regulate inflorescence variation 570 and complexity in the grasses are expressed in adjacent boundary domains to regulate meristem 571 determinacy. Our work on the expression and functional conservation of syntenic RA1 orthologs

- 572 provides comparative insight into the genetic basis of grass inflorescence diversity, and opens the
- 573 door for future reverse engineering of grass inflorescence evolution for crop improvement.

574 MATERIALS AND METHODS

575 Genetic Stocks

576 This study utilized the *ra1-R* allele (Vollbrecht et al., 2005) backcrossed seven generations to the

- 577 B73 background to generate the "recurrent B73 parent;" either *ral-R* homozygotes or *ral-R/ral-*
- 578 *B73* heterozygotes were used in crossing schemes.
- 579

580 Generation of *RA1* transgenes

The 35SBAR fragment from pTF101.1 was modified by PCR to introduce a *Hin*dIII site at the 3' end of the terminator. This allowed a 2.0 kb *Hin*dIII restriction fragment containing 35SBARterminator to be isolated, treated with DNA polymerase I (Klenow) and dNTPs to generate blunt ends, and ligated into the *Sma*I site of pSB11 (Komari et al., 2006), creating a vector called pSB11_BAR. This vector, which contains the 35SBAR gene adjacent to and transcribed towards the T-DNA left border, was the precursor to all of the complementation vectors containing the genomic regions described below.

588 For construct 198, ZmRA1 and flanking regulatory regions were PCR amplified from Z. 589 mays B73 genomic DNA and ligated with pSB11_BAR at HindIII. To distinguish the 198_RA1 590 allele from endogenous allele in subsequent generations after plant transformation, we 591 introduced an AccI restriction site in the RA1 coding DNA sequence. This synonymous SNP 592 (B73_v5 7: 114959005 C>T) is a natural, low frequency variant found in the maize inbred P39 593 haplotype (Vollbrecht et al., 2005). For the Sorghum construct 196, a 6.0 kb XbaI fragment 594 obtained by screening a BTx623-derived BAC library with a ZmRA1 probe was cloned into 595 pBluescript II KS (Agilent) and the *Hind*III site in the polylinker was used for ligation into pSB11_BAR. Sorghum construct 195 was generated from 196 following introduction of a 596 597 HindIII site at the 3' end of the upstream SbRA1 frameshift copy (2: 58699332; Table S1) 598 thereby removing a 1.6 kb fragment containing the upstream copy. For the Setaria viridis 599 construct 162, in-fusion cloning methods (Clontech/Takara) were employed to PCR-amplify and 600 clone from *S.viridis* A10 genomic DNA a 4 kb fragment containing the *SvRA1* transcribed region 601 and regulatory sequences into pSB11-BAR as a HindIII-BamHI insertion. The maize/setaria 602 chimeric construct 175 was generated as a translational fusion at the start codon by replacing the 603 Setaria promoter-containing fragment in 162 with the 2.9 kb maize fragment. The reference

genome coordinates of the *RA1* genes and regulatory regions are listed in **Table S1**, and all
primers used for vector construction are listed in **Table S3**.

606 Constructs except for 175 were recombined into the pSB1 superbinary vector in 607 *Agrobacterium tumefaciens* LBA4404 via triparental mating (Komari et al., 2006). These strains 608 were used for Agrobacterium-mediated maize transformation of Hi-II embryos by the Iowa State 609 University Plant Transformation Facility. Transgenic maize plants containing the *175* cassette 610 were generated in Erik Vollbrecht's lab at Iowa State University using particle bombardment of 611 immature Hi-II embryos with the SB11_BAR-derived vector directly (Frame et al., 2000).

612

613 Tests for recovery in *ra1-R*

614 T0 transgenic plants were crossed three times (construct 198) or four times (constructs 195, 196, 615 162 and 175) to the recurrent B73 parent line before phenotyping. During the introgression generations, plants were treated with a 2.5% Liberty solution applied to a single leaf to assay for 616 617 35SBAR gene-mediated resistance to Liberty herbicide (source, BASF). We also used transgene-618 specific genotype analyses to track integration events and determine transgene locus number by 619 segregation analysis. DNA was made from leaf punches as previously described (Strable et al., 620 2017) and PCR-based genotype assays were performed using standard conditions with the 621 primers described (Table S3). To genotype alleles at the endogenous ZmRA1 locus in the 622 presence of all but the 198 transgene, a CAPS assay was utilized to detect a SNP within with the 623 *ral-R* allele which results in the introduction of an *AccI* restriction site. The 765 bp amplicon 624 generated by primers RA8 and RA11 is digested by AccI in ral-R to generate two fragments, 625 334 bp and 431 bp. The 198 transgene contains the same AccI SNP as ral-R. Thus, in crosses 626 with the 198 transgene, an additional MscI dCAPS assay that detects the lesion in the ral-R627 mutant allele was employed to distinguish the 198-derived amplicons (i.e., without MscI site to 628 yield 190 bp) from the ral-R derived amplicons (with the MscI site to yield 155 bp and 35 bp 629 following digestion).

Transgene events that segregated as single locus integrations and showed a stable
herbicide-resistance phenotype were selected for qualitative or quantitative phenotyping analysis.
To produce the segregating populations used for phenotyping *198*, *195* and *196*, plants
heterozygous *ra1-R*/+ and hemizygous for the transgene of interest were crossed as females by *ra1-R/ra1-R* pollen of the recurrent B73 parent. To produce the *162* and *175* material for

22

635 phenotyping we crossed females homozygous $ral \cdot R/ral \cdot R$ and hemizygous for the transgene of 636 interest by $ral \cdot R/ral \cdot R$ pollen.

637

638 Phenotypic analysis

639 All maize plant phenotyping was performed on field-grown plants in the summers of 2014 640 (constructs 195, 196 and 198) and 2018 (constructs 162 and 175), at the same location on the 641 Woodruff Farm in Ames, Iowa. Tassel phenotype characters are summarized in Fig. S5 and 642 described here. Long branch zone was measured from the basal-most to the apical-most long 643 branches. Central spike length was taken from the apical-most long branch to the tip of the tassel 644 and comprised spikelet pairs. A long branch was defined as the typical basal long branches in 645 maize, i.e. bearing only spikelet pairs, or as bearing a mix of spikelet pairs and single spikelets. 646 Spikelet multimers were any branches bearing three or more single spikelets. Spikelet pair

- 647 density was taken from a 1 cm band in circumference at the central spike midpoint.
- 648

649 RNA in situ hybridization and expression analysis

650 Field-grown S. bicolor and growth chamber-grown S. viridis panicles were fixed overnight at 4° C in FAA. Samples were dehydrated through a graded ethanol series (50%, 70, 85, 95, 100) each 651 652 one hour, with three changes in 100% ethanol. Samples were then passed through a graded 653 Histo-Clear (National Diagnostics) series (3:1, 1:1, 1:3 ethanol: Histo-Clear) with 3 changes in 654 100% Histo-Clear; all changes were one hour each at room temperature. Samples were then 655 embedded in Paraplast®Plus (McCormick Scientific), sectioned, and hybridized as described 656 previously (Strable and Vollbrecht, 2019). Hybridizations were performed using antisense 657 digoxygenin-labeled RNA probes to ZmRA1 (Table S3) and ZmKN1 (Jackson et al., 1994).

658 Field-grown, developmentally staged maize tassels were dissected away from leaf 659 primordia and placed individually in 100 µL Trizol (Thermo-Fisher) and stored at -80°C in a 1.5 660 mL Eppendorf tube until processing. To process, 400 µL Trizol was added and tassel tissue was 661 thawed and ground in the presence of Trizol using a plastic drill mount pestle. Total RNA was 662 extracted as per the Trizol manufacturer and treated with RQ1 DNase (Promega) following the 663 protocol outlined by the manufacturer, and converted to cDNA using RNA to cDNA EcoDry[™] 664 Premix (Double Primed) reagents (Takara Bio USA). The cDNA was diluted 1:1 with water, 665 and 1.0 µL was used for PCR. PCR followed standard conditions using GoTaq®Green Master

666 Mix (Promega corp.), Ta= 58° C, 1 min. extension at 72°C for 33 cycles. Primers are listed in

- 667 **Table S3**.
- 668

669 Conservation analysis of promoter *cis* sequences

- 670 For mVISTA analysis, genomic sequences (0.5 kb) upstream of the predicted 5'UTR regions of
- 671 *RA1* in *Zea mays*, *Sorghum bicolor* and *Setaria viridis* were downloaded from
- 672 <u>https://ensembl.gramene.org</u> and aligned using mVISTA LAGAN alignment
- 673 (https://genome.lbl.gov/vista/mvista/submit.shtml). The plots depict 100 bp alignment windows
- at a similarity threshold 70% shaded in red.
- To identify conserved non-coding sequences and binding motifs, the coding sequence of
- 676 Zea mays RA1 (Zm00001eb312340 B73-REFERENCE-NAM-5.0) was used to find likely
- 677 orthologs in other Panicoideae grasses. Sequences from *Chasmanthium laxum*
- 678 (Chala.06G030500 v1.1), *Miscanthus sinensis* (Misin03G169300 & MisinT268200 v7.1),
- 679 Panicum halli (Pahal.2G260300 v3.2), Paspalum vaginatum (Pavag06G030400 v.3.1),
- 680 *Setaria viridis* (Sevir.2G209800 v2.1) and *Sorghum bicolor* (Sobic.002G197700 and
- 681 Sobic.002G197800 v3.1.1) were identified using the BLAST tool in Phytozome v13. Sequence
- from *Coix lacryma-jobi* (Adlay0592-017T1) was selected from its own genome site.
- 683 (http://phyzen.iptime.org/adlay/index.php). From all accessions, we took 2 kb upstream of the
- translation initiation site. First, conserved non-coding sequences from *RA1* promoter region

685 sequence from Andropogoneae was determined using MEME (Bailey and Elkan, 1994). Then,

- the resulting motifs were searched in the other Panicoideae non-Andropogoneae grasses using
- 687 FIMO (Grant et al., 2011). All sequences were compared against the non-redundant JASPAR
- 688 CORE (2018) database from plants while using SEA to observe any possible well-known
- binding sites present internally (Bailey and Grant, 2021). The position of motifs from JASPAR
- 690 were compared with the position of conserved non-coding sequences to check for overlap.
- 691 Motifs from Clade 'A' ARFs were searched on the different sequences by using FIMO (Galli et
- al, 2018). Finally, these binding sites from the SEA analysis were used to search again in the
- 693 Andropogoneae grasses using FIMO to obtain the relative coordinates in the *ZmRA1* promoter
- 694 region (Grant et al., 2011).
- 695

696 Accession numbers

- 697 *ZmRA1*, Zm00001eb312340; *ZmKN1*, Zm00001eb055920; *SbRA1*^{DS}, Sobic.002G197700;
- 698 SbRA1^{US}, Sobic.002G197800; SvRA1, Sevir.2G209800; ClRA1, Chala.06G030500; MsRA1,
- 699 Misin03G169300 & MisinT268200; *PhRA1*, Pahal.2G260300; *PvRA1*, Pavag06G030400; *Cl-j*,
- 700 Adlay0592-017T1, Eleusine coracana RA1 ELECO.r07.6AG0534810.1
- 701

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713 AUTHOR CONTRIBUTIONS

- J.S., E.U.-W. and E.V. designed research; J.S., E.U.-W., S.B., and E.V. performed experiments;
- 715 J.S., E.U.-W., A.A.R. and E.V. analyzed data; J.S., E.U.-W. and E.V. wrote the paper.

716 FIGURE LEGENDS

717

718 Figure 1. Architecture of maize, maize *ra1-R* mutant, sorghum and *S. viridis* inflorescences 719 and genomic relationship of RA1. Normal inbred B73 maize tassel (A) and ear (B). Maize ra1-720 R mutant tassel (C) and ear (D). (E) S. bicolor panicle. (F) S. viridis panicle. (A-F) 721 Inflorescences not to scale. (G) Annotated gene structure for RA1 homologs. Tandem duplication 722 of SbRA1 locus is shown with indicated frameshift mutation (fs) in upstream copy of SbRA1. 723 Predicted promoter regions are indicated by color lines. Gray box, conserved non-coding *cis* 724 sequences (see 1H). Open box, UTR sequences. Magenta box, encoded C_2H_2 zinc finger domain. 725 Blue box, encoded EAR motif. (H) Conserved non-coding cis sequences in the RA1 promoters of 726 Panicoid grasses. Among species in the tribe Andropogoneae, the promoter regions of RA1 727 display different motifs conserved in sequence and arrangement (correspondingly colored boxes 728 are conserved; Fig. S3C) compared to other tribes in the Panicoideae family. Upstream (US) and 729 downstream (DS) tandem duplicate SbRA1 copies and duplicate MsRA1 copies A and B are 730 indicated. Dashed lines underscore promoter regions incorporated into transgene cassettes. Some 731 conserved sequences contained binding motifs for well-known transcriptional regulators, such as LEAFY and Clade A ARFs (Fig. S3B). Solid squares, *P*-values $\leq 1^{-20}$; cross-hatched squares, *P*-732 values $\leq 1^{-05}$; arrowhead – LEAFY-binding motifs; asterisks – Clade A ARF-binding motifs. 733 Character state of spikelets (paired, single or with a bristle) is indicated on the phylogeny. 734 735 736 Figure 2. RNA in situ hybridization in sorghum and S. viridis inflorescences. Antisense RNA 737 probes to ZmRA1 (A, C, E) or ZmKN1 (B, D, F) were hybridized to longitudinal sections of 738 developing inflorescences from sorghum, Sb (A, B) or S. viridis, Sv (C-F). Arrowheads denote 739 RA1 transcript accumulation in boundary domains. Scale bars, 100 µm. 740

741 Figure 3. Expression of the *ZmRA1* locus as a transgene in *ra1-R* mutant background. (A)

742 198 cassette for expression of ZmRA1 containing 2.9 kb of upstream sequence including

743 conserved non-coding *cis* regions. (B) *ral-R* tassel. (C) *ral-R* tassel expressing 198.7.3. (D) *ral-*

744 *R* ear. (E) *ral-R* ear expressing 198.7.3. Scale bars, 2 cm. (F) Central spike length. (G) Branch

zone length. (H) Number of long branches. (I) Number of spikelet multimers. For all box and

whisker plots, the bottom and top boxes represent the first and third quartile, respectively, the

middle line is the median, and the whiskers represent the minimum and maximum values, outlier

data points are displayed as individual dots. Two-tailed Student's t test for transgene vs. ral-R

749 ****P*<0.001; normal, n = 20; *ra1-R*, n = 10; 198.7.3, n = 8.

750

751 Figure 4. Interspecies expression of the tandem duplicated SbRA1 modeled transgene in the 752 ral-R mutant background. (A) 195 cassette for interspecies expression of the tandem 753 duplicated SbRA1 locus. (B) ra1-R tassel. (C-E) ra1-R tassels expressing 195.8.3 (C), 195.20.4 754 (D) and 195.46.1 (E) transgenes. (F) *ra1-R* ear. (G-I) *ra1-R* ears expressing 195.8.3 (G), 755 195.20.4 (H) and 195.46.1 (I) transgenes. Scale bars, 2 cm. (J) Central spike length. (K) Branch 756 zone length. (L) Number of long branches. (M) Number of spikelet multimers. (N) Number of 757 ear branches. For all box and whisker plots, the bottom and top boxes represent the first and third quartile, respectively, the middle line is the median, and the whiskers represent the minimum and 758 759 maximum values, outlier data points are displayed as individual dots. Two-tailed Student's t test 760 for transgene vs. *ral-R* ****P*<0.001; *ral-R*, n = 18; 195.8.3, n = 11; 195.20.4, n = 12; 195.46.1, 761 n = 11. 762

Figure 5. Interspecies expression of the downstream *SbRA1* modeled transgene in the *ra1-R*

764 **mutant background.** (A) *196* cassette for interspecies expression of the downstream *SbRA1*

765 locus. (B) *ra1-R* tassel. (C-E) *ra1-R* tassels expressing 196.19.2 (C), 196.7.3 (D) and 196.14.5

766 (E) transgenes. (F) *ral-R* ear. (G-I) *ral-R* ears expressing 196.19.2 (G), 196.7.3 (H) and

767 196.14.5 (I) transgenes. Scale bars, 2 cm. (J) Central spike length. (K) Branch zone length. (L)

768 Number of long branches. (M) Number of spikelet multimers. (N) Number of ear branches. For

all box and whisker plots, the bottom and top boxes represent the first and third quartile,

respectively, the middle line is the median, and the whiskers represent the minimum and

771 maximum values, outlier data points are displayed as individual dots. Two-tailed Student's *t* test

for transgene vs. *ral-R* ****P*<0.001, **P*<0.05; *ral-R*, n = 15; 196.19.2, n = 10; 196.7.3, n = 12;
196.14.5, n = 10.

774

Figure 6. Interspecies expression of *SvRA1* or chimeric *SvRA1* as a transgene in the *ra1-R*

776 mutant background. (A) 162 cassette for interspecies expression of the SvRA1 locus. (B) 175

cassette for expression of the *SvRA1* coding region fused to the 2.9 kb Zm upstream region

- including conserved non-coding *cis* sequences. (C) *ral-R* tassel. (D, E) *ral-R* tassels expressing
- 779 162.26.1 (D) and 175.7 (E) transgenes. (F) *ral-R* ear. (G, H) *ral-R* ears expressing 162.26.1 (G)
- and 175.7 (H) transgenes. Scale bars, 2 cm. (I) Central spike length. (J) Branch zone length. (K)
- 781 Number of long branches. (L) Number of spikelet multimers. (M) Number of ear branches. For
- all box and whisker plots, the bottom and top boxes represent the first and third quartile,
- respectively, the middle line is the median, and the whiskers represent the minimum and
- 784 maximum values, outlier data points are displayed as individual dots. Two-tailed Student's *t* test
- for transgene vs. *ra1-R* ****P*<0.001; *ra1-R*, n = 15; 162.26.1, n = 12; 175.7, n = 9.

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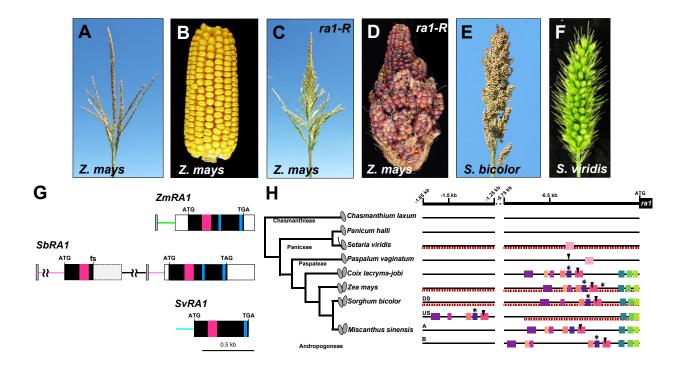


Figure 1. Architecture of maize, maize ral-R mutant, sorghum and S. viridis inflorescences and genomic relationship of *RA1*. Normal inbred B73 maize tassel (A) and ear (B). Maize *ra1*-R mutant tassel (C) and ear (D). (E) S. bicolor panicle. (F) S. viridis panicle. (A-F) Inflorescences not to scale. (G) Annotated gene structure for RA1 homologs. Tandem duplication of SbRA1 locus is shown with indicated frameshift mutation (fs) in upstream copy of SbRA1. Predicted promoter regions are indicated by color lines. Gray box, conserved non-coding cis sequences (see 1H). Open box, UTR sequences. Magenta box, encoded C₂H₂ zinc finger domain. Blue box, encoded EAR motif. (H) Conserved non-coding cis sequences in the RA1 promoters of Panicoid grasses. Among species in the tribe Andropogoneae, the promoter regions of RA1 display different motifs conserved in sequence and arrangement (correspondingly colored boxes are conserved; Fig. S3C) compared to other tribes in the Panicoideae family. Upstream (US) and downstream (DS) tandem duplicate SbRA1 copies and duplicate MsRA1 copies A and B are indicated. Dashed lines underscore promoter regions incorporated into transgene cassettes. Some conserved sequences contained binding motifs for well-known transcriptional regulators, such as LEAFY and Clade A ARFs (Fig. S3B). Solid squares, P-values $\leq 1^{-20}$; cross-hatched squares, Pvalues $\leq 1^{-05}$; arrowhead – LEAFY-binding motifs; asterisks – Clade A ARF-binding motifs. Character state of spikelets (paired, single or with a bristle) is indicated on the phylogeny.

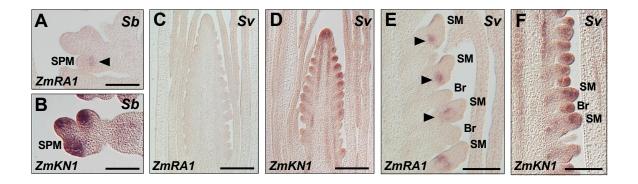


Figure 2. RNA *in situ* hybridization in sorghum and *S. viridis* inflorescences. Antisense RNA probes to *ZmRA1* (A, C, E) or *ZmKN1* (B, D, F) were hybridized to longitudinal sections of developing inflorescences from sorghum, *Sb* (A, B) or *S. viridis, Sv* (C-F). Arrowheads denote *RA1* transcript accumulation in boundary domains. Scale bars, 100 µm.

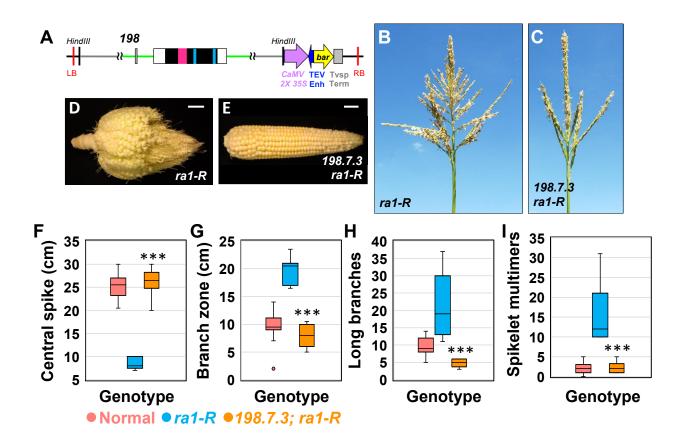


Figure 3. Expression of the *ZmRA1* **locus as a transgene in** *ra1-R* **mutant background.** (A) *198* cassette for expression of *ZmRA1* containing 2.9 kb of upstream sequence including conserved non-coding *cis* regions. (B) *ra1-R* tassel. (C) *ra1-R* tassel expressing 198.7.3. (D) *ra1-R* ear. (E) *ra1-R* ear expressing 198.7.3. Scale bars, 2 cm. (F) Central spike length. (G) Branch zone length. (H) Number of long branches. (I) Number of spikelet multimers. For all box and whisker plots, the bottom and top boxes represent the first and third quartile, respectively, the middle line is the median, and the whiskers represent the minimum and maximum values, outlier data points are displayed as individual dots. Two-tailed Student's *t* test for transgene vs. *ra1-R* ****P*<0.001; normal, n = 20; *ra1-R*, n = 10; 198.7.3, n = 8.

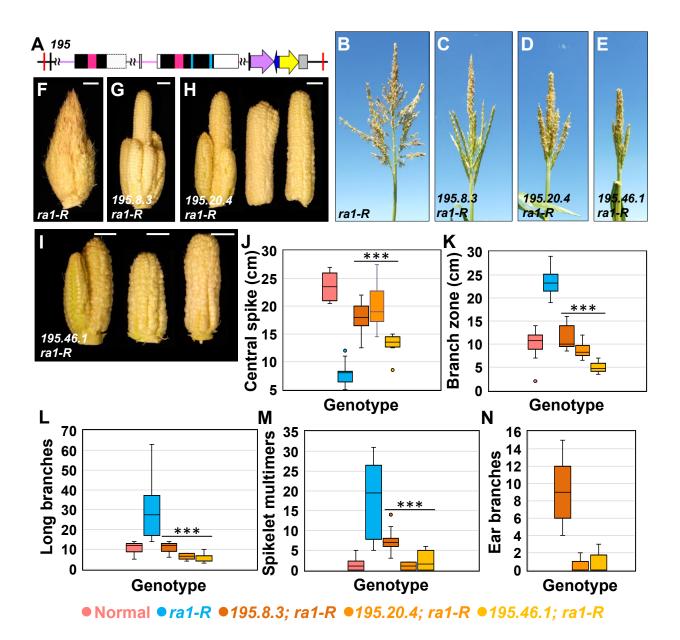


Figure 4. Interspecies expression of the tandem duplicated *SbRA1* modeled transgene in the *ra1-R* mutant background. (A) *195* cassette for interspecies expression of the tandem duplicated *SbRA1* locus. (B) *ra1-R* tassel. (C-E) *ra1-R* tassels expressing 195.8.3 (C), 195.20.4 (D) and 195.46.1 (E) transgenes. (F) *ra1-R* ear. (G-I) *ra1-R* ears expressing 195.8.3 (G), 195.20.4 (H) and 195.46.1 (I) transgenes. Scale bars, 2 cm. (J) Central spike length. (K) Branch zone length. (L) Number of long branches. (M) Number of spikelet multimers. (N) Number of ear branches. For all box and whisker plots, the bottom and top boxes represent the first and third quartile, respectively, the middle line is the median, and the whiskers represent the minimum and maximum values, outlier data points are displayed as individual dots. Two-tailed Student's *t* test for transgene vs. *ra1-R* ****P*<0.001; *ra1-R*, n = 18; 195.8.3, n = 11; 195.20.4, n = 12; 195.46.1, n = 11.

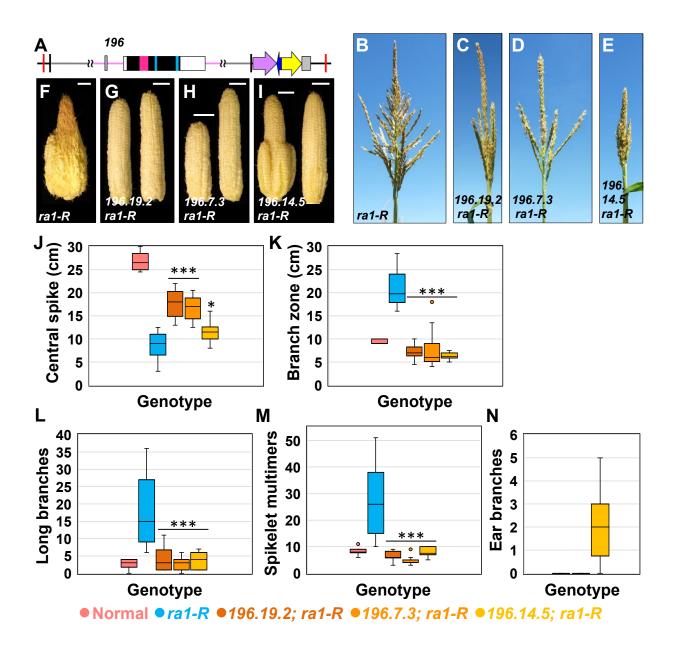


Figure 5. Interspecies expression of the downstream *SbRA1* modeled transgene in the *ra1-R* mutant background. (A) *196* cassette for interspecies expression of the downstream *SbRA1* locus. (B) *ra1-R* tassel. (C-E) *ra1-R* tassels expressing 196.19.2 (C), 196.7.3 (D) and 196.14.5 (E) transgenes. (F) *ra1-R* ear. (G-I) *ra1-R* ears expressing 196.19.2 (G), 196.7.3 (H) and 196.14.5 (I) transgenes. Scale bars, 2 cm. (J) Central spike length. (K) Branch zone length. (L) Number of long branches. (M) Number of spikelet multimers. (N) Number of ear branches. For all box and whisker plots, the bottom and top boxes represent the first and third quartile, respectively, the middle line is the median, and the whiskers represent the minimum and maximum values, outlier data points are displayed as individual dots. Two-tailed Student's *t* test for transgene vs. *ra1-R* ****P*<0.001, **P*<0.05; *ra1-R*, n = 15; 196.19.2, n = 10; 196.7.3, n = 12; 196.14.5, n = 10.

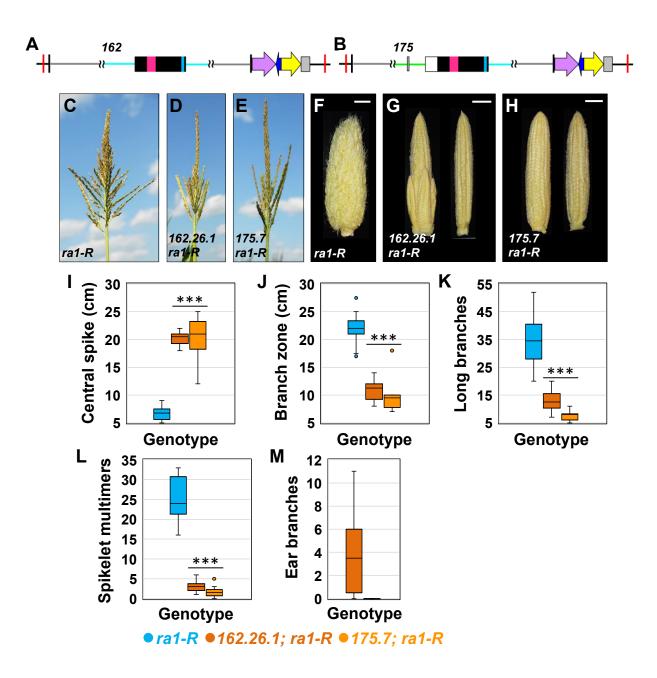


Figure 6. Interspecies expression of *SvRA1* or chimeric *SvRA1* as a transgene in the *ra1-R* mutant background. (A) *162* cassette for interspecies expression of the *SvRA1* locus. (B) *175* cassette for expression of the *SvRA1* coding region fused to the 2.9 kb *Zm* upstream region including conserved non-coding *cis* sequences. (C) *ra1-R* tassel. (D, E) *ra1-R* tassels expressing 162.26.1 (D) and 175.7 (E) transgenes. (F) *ra1-R* ear. (G, H) *ra1-R* ears expressing 162.26.1 (G) and 175.7 (H) transgenes. Scale bars, 2 cm. (I) Central spike length. (J) Branch zone length. (K) Number of long branches. (L) Number of spikelet multimers. (M) Number of ear branches. For all box and whisker plots, the bottom and top boxes represent the first and third quartile, respectively, the middle line is the median, and the whiskers represent the minimum and maximum values, outlier data points are displayed as individual dots. Two-tailed Student's *t* test for transgene vs. *ra1-R* ***P<0.001; *ra1-R*, n = 15; 162.26.1, n = 12; 175.7, n = 9.

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