1 Analysis of historical selection in winter wheat

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14 Abstract

- 15 Winter wheat is a major crop with a rich selection history in the modern era of crop breeding.
- 16 Genetic gains across economically important traits like yield have been well characterized and
- 17 are the major force driving its production. Winter wheat is also an excellent model for analyzing
- 18 historical genetic selection. As a proof of concept, we analyze two major collections of winter
- 19 wheat varieties that were bred in western Europe from 1916 to 2010, namely the Triticeae
- 20 Genome (TG) and WAGTAIL panels, which include 333 and 403 varieties respectively. We
- 21 develop and apply a selection mapping approach, Regression of Alleles on Years (RALLY), in
- these panels, as well as in simulated populations. RALLY maps loci under sustained historical
- selection by using a simple logistic model to regress allele counts on years of variety release.
 To control for drift-induced allele frequency change, we develop a hybrid approach of genomic
- control and delta control. Within the TG panel, we identify 22 significant RALLY guantitative
- selection loci (QSLs) and estimate the local heritabilities for 12 traits across these QSLs. By
- 27 correlating predicted marker effects with RALLY regression estimates, we show that alleles
- 28 whose frequencies have increased over time are heavily biased towards conferring positive
- 29 yield effect, but negative effects in flowering time, lodging, plant height and grain protein
- 30 content. Altogether, our results (1) demonstrate the use of RALLY to identify selected genomic
- regions while controlling for drift, and (2) reveal key patterns in the historical selection in winter
- 32 wheat and guide its future breeding.
- 33

34 Key Message

35 Modelling of the distribution of allele frequency over year of variety release identifies major loci

36 involved in historical breeding of winter wheat.

37 Keywords

- 38 RALLY, allele regression, genomic control, selection mapping, genetic gain
- 39

40 Introduction

41 Modern agriculture benefits from long standing breeding effort in creating new and 42 improved crop varieties over time. Genetic gain is often used as a measure of the success in 43 breeding for trait improvement. For example, in wheat, the genetic gains in yield and other agriculturally valuable traits have been well quantified (Mackay et al. 2011, Tadesse et al. 2019 44 and Shorinola et al. 2021). The introduction of genomic selection (GS) (Meuwissen et al. 2001) 45 in breeding program further shortens breeding cycles, improves selection accuracy and 46 47 intensity, and accelerates genetic gain (Voss-Fels et al. 2019). Lastly, genetic gain is further increased by the rise of knowledge exchange between plant and animal breeding through GS 48 49 (Hickey et al. 2017).

50 In recent years, there has been a growing interest in mapping quantitative selection loci 51 (QSLs) that are associated with genetic gain independently of any phenotype. The mapping 52 approach typically involves correlating continuous variables, such as year of variety release and 53 geographical parameters, to genomic markers in a historical variety dataset. Conceptually, it is 54 similar to selection mapping which tests for selection signatures among genomic markers using 55 population genetic models (Johnsson 2018). This approach has been variously named as Birth 56 Date Selection Mapping (Decker et al. 2012), Generation Proxy Selection Mapping (Rowan et 57 al. 2021) and EnvGWAS (Li et al. 2020, Sharma et al. 2021). Here, we will refer to it as 58 EnvGWAS because the underlying mixed linear model is no different from a conventional 59 genome-wide association study (GWAS).

60 Related to EnvGWAS, EigenGWAS uses eigenvectors (principal components) as the dependent variable in a mixed linear model (Li et al. 2020, Sharma et al. 2021). The 61 62 EigenGWAS approach may yield similar results to EnvGWAS if the dependent variables in EnvGWAS are correlated strongly with any eigenvector. Otherwise, EigenGWAS may identify 63 64 additional QSLs where it incorporates variables that have not been quantified directly. A key 65 confounding factor for determining whether a locus has been under sustained historical selection or drift is that varieties are linked by a complex historical pedigree and unequal 66 67 relatedness. By correcting for population structure using a mixed linear model (Yu et al. 2006), 68 year effects and principal components that are associated with drift can be controlled in EnvGWAS and EigenGWAS respectively. 69

70 Here, we introduce a new application of an old method by modelling allele frequency 71 change over years in a historical variety dataset. This method, termed Regression of Alleles on 72 Years (RALLY), fits a logistic regression to model the allele count as a dependent variable and the year of variety release as an independent variable. A logistic model is commonly used in 73 74 case-control studies where the dependent variables are binary traits of whether an individual is diseased and the independent variables are test factors (Prentice and Pyke 1979). A logistic 75 76 model is appropriate because changes in allele frequencies are small when the starting 77 frequencies are near the extrema, and large when they are intermediate. In addition, the model is bounded asymptotically by 0 and 1. The dependent and independent variables are switched 78 79 between RALLY and EnvGWAS. Instead of estimating the mean of years of release for each 80 allele in EnvGWAS, RALLY estimates the mean of allele counts for each year, which is 81 equivalent to the allele frequency for a given year. Recently, Looseley et al. (2020) applied a similar approach to RALLY on significant GWAS markers in a historical barley variety dataset. 82 83 RALLY is a genome-wide approach that employs parametric control (PC) as a correction to driftinduced allele frequency change. PC is a novel hybrid approach of genomic control (GC) (Devlin 84

and Roeder 1999) and delta control (DC) (Gorroochurn et al. 2006), which are two common
control approaches against population structure in human GWAS studies without the need for
mixed linear model.

Our analyses in the simulated and historical variety datasets demonstrate the usefulness 88 89 of RALLY in mapping QSLs. We begin the evaluation of RALLY in simulated populations where 90 the truth is known, both with and without selection, to quantify RALLY detection power and limit. 91 We use the simulations to calibrate PC, which is then applied to the two historical winter wheat datasets, namely the panels of Triticeae Genome (TG) (Bentley et al. 2014) and Wheat 92 93 Association Genetics for Trait Advancement and Improvement of Lineages (WAGTAIL) (Fradgley et al. 2019). The WAGTAIL panel is used only as a replicate RALLY analysis. Within 94 the TG panel, we identify 22 RALLY QSLs and compare them to the GWAS QTLs from Ladejobi 95 96 et al. (2019). Some notable QSLs include one in 2B which coincides with *Ppd-B1* (Mohler et al. 97 2004), Yr7/Yr5/YrSP (Marchal et al. 2018) and alien introgression from Triticum timopheevii (Tsilo et al. 2008, Martynov et al. 2018), as well as another in 6A that coincides with TaGW2 (Su 98 et al. 2011), Rht24 (Würschum et al. 2017) and Rht25 (Mo et al. 2018). To further support the 99 100 RALLY QSLs, we show that all 22 QSLs have non-zero local heritabilities for at least one trait. 101 Next, we find clear directional selection in traits like flowering time, lodging, yield, plant height 102 and grain protein content by comparing the signs of predicted marker allele effects with their 103 directions of allele frequency change as given by RALLY. By extending the results to pairs of 104 traits, we identify the selection priorities. For example, more ears with lighter grains have been 105 preferred over fewer ears with heavier grains. Finally, we employ the multivariate breeder's equation (Lande and Arnold 1983) to estimate selection parameters, although our results 106 107 suggest a limited use in modern crops, in contrast to its original application in evolutionary 108 studies. Overall, we have shown that many major genomic regions have been extensively used

- in winter wheat breeding and we suggest that future selection should emphasize on improving
- 110 other unexplored genomic regions.
- 111

112 Materials and Methods

113 **Population simulation with and without selection**

114 We initiated our population simulation in a fictitious species with 10 chromosomes 115 (Figure 1). The genetic lengths of the chromosomes were set from 100 to 280 centiMorgans (cM) with an increment of 20 cM in subsequent chromosomes. The populations spanned over 116 117 50 generations (years) with and without selection. All the simulations were performed using the "AlphaSimR" package (Gaynor et al. 2021) in R (R Core Team 2021). We first created 32 inbred 118 119 founders using the runMacs function and we placed one marker (segregating site) at every 0.1 120 cM. Two causal quantitative trait loci (QTLs) were chosen randomly from the markers at each frequency ranging from 1/32 to 16/32, which resulted in 32 QTLs. The QTL effects were drawn 121 122 from an approximately negative binomial distribution such that the rarer QTL alleles have larger 123 effects than the more common QTL alleles (Figure 1). We standardized the QTL effects such that the total variance of additive genetic or QTL effects is 1. Phenotypic values for each line 124 were set as a sum of QTL effects and residual effects drawn from a normal distribution of mean 125 0 and variance 1, which is equivalent to a heritability of 0.5. 126

We created selected (S) and unselected (U) populations from the 32 founders using a simplified model that mimics new variety breeding of major crops in Europe. All varieties were derived as F_6 recombinant inbred lines (RILs) from bi-parental crosses. This is equivalent to 4 generations of single seed descent (SSD) from an F_2 population. The first 10 generations were created by crossing the initial 32 founders at random. In the subsequent generations, we randomly sampled 32 parents from 6 to 10 generations ago and created 16 bi-parental

133 populations with each having 100 F_6 RILs. By keeping 2 RILs per bi-parental population, we 134 maintained 32 lines at each generation. The 2 RILs were chosen either from the two highest 135 phenotypic values (selected) or randomly (unselected). This step was repeated until the 136 population underwent 55 generations of phenotypic selection. The first 15 generations were 137 discarded as burn-in because none of the parents of the individuals from these 15 generations 138 have been selected, and hence there is no selection-induced allele frequency change. In our 139 simplistic modelling of the plant variety rights (PVRs) system where only a fraction of new lines 140 passing the PVR test, we randomly sampled and retained 8 lines per generation for a total of 141 400 lines that spanned over 50 generations. The simulated populations with and without selection were used in subsequent analyses. 142 143 144 **RALLY and GWAS in simulated populations** We compared the performances of Regression of Alleles on Years (RALLY) and 145 146 Genome Wide Association Study (GWAS) when applied to the selected and unselected 147 populations. The model for RALLY was fitted in a logistic regression using the *glm* function in R 148 (R Core Team 2021). The model for GWAS was fitted in a mixed linear model using the 149 GWASpoly function in the "GWASpoly" R package (Rosyara et al. 2016). 150 Briefly, the logistic regression model for RALLY can be shown as below: $z_{i} = \frac{1}{1 + e^{-(\mu_{RALLY} + \beta_{RALLY}, year^{X}year + \varepsilon_{RALLY})}}$ [Equation 1] 151 152 Or, alternatively, the logistic regression model can be rewritten in a linear form as: (z_i)

153
$$\ln\left(\frac{z_i}{1-z_i}\right) = \mu_{RALLY} + \beta_{RALLY,year} X_{year} + \varepsilon_{RALLY}$$
 [Equation 2]

154 Where the model terms are described as below:

- z_i is a binary variable indicating the absence (0) or presence (1) of an allele at marker *i* in *n*
- 156 lines.
- μ_{RALLY} is the mean allele frequency in the first year, or y-intercept.
- $\beta_{RALLY,year}$ is the fixed year effect, or regression coefficient of the year variable.
- X_{year} is the year variable.
- ε_{RALLY} is the residual effect with a distribution of $N(0, \sigma_{\varepsilon, RALLY}^2 I)$ and I is the identity matrix.
- 162 The GWAS model is written as below:
- $y = \mu_{GWAS} + \beta_{GWAS,year} X_{year} + g + \beta_i X_i + \varepsilon_{GWAS}$ [Equation 3]
- 164 Where the model terms are described as below:
- *y* is the trait values in n lines.
- μ_{GWAS} is the mean of trait value.
- $\beta_{GWAS,vear}$ is the fixed year effect.
- X_{year} is the year variable.
- 169 g is the random genetic background effect with a distribution of $N(0, \sigma_q^2 K)$ and K is the additive
- 170 genetic relationship matrix.
- β_i is the fixed allele effect at marker *i*.
- X_i is the number of alleles at marker *i*.
- ε_{GWAS} is the residual effect with a distribution of $N(0, \sigma_{\varepsilon, GWAS}^2 I)$ and I is the identity matrix.

In the given models, the terms of interest are $\beta_{RALLY,year}$ and β_i in RALLY and GWAS, respectively. The term significances are determined by their corresponding standard normal *Z*statistics at a Bonferroni corrected threshold of P = 0.05. Due to how the populations are simulated, some markers may not segregate in all the populations. These markers, along with the QTLs and other markers that are highly linked ($r^2 > 0.99$) to QTLs, were removed from the RALLY and GWAS analyses. The simulations were repeated for 100 iterations and the models were fitted for each simulated population separately.

182

183 Model correction by parametric control (PC)

184 In the previously described naïve RALLY model (Equation 1 and 2), the RALLY test 185 statistics may be inflated by population structure arising from consanguinity and population 186 stratification. These factors can prevent a proper separation of markers under selection or drift if 187 they are not addressed. To control for the inflation, we used a combined approach of genomic 188 control (GC) (Devlin and Roeder 1999) and delta control (DC) (Gorroochurn et al. 2006) which 189 we call parametric control (PC). In the absence of confounding factors, we expect the null test 190 statistics (Z-scores) to be distributed as N(0,1). However, in the presence of population structure, the distribution of null test statistics becomes $N(\delta, \sigma)$. As the terms imply, DC controls 191 192 the inflation in mean δ and GC controls the inflation in standard deviation σ . If we can estimate 193 δ and σ , we can adjust the test statistics as the following:

194
$$Z_{adj} = \frac{z-\delta}{\sigma}$$
 [Equation 4]

We used a maximum likelihood (ML) approach to estimate δ and σ . For any value of Z, both positive and negative signs are equally likely because the regression coefficient of one allele has the same magnitude but opposite sign of the other allele. Therefore, we can construct a composite likelihood function from two standard normal probability density functions that

account for positive and negative Z values. The likelihood function is shown in Equation 5 below. To simplify the calculation, we used the log likelihood function as described in Equation 6 below. We computed δ and σ for an *n*-vector of Z values by either maximizing the log likelihood function, or equivalently, minimizing the negative log likelihood function using the "nlm" package in R (R Core Team 2021).

204
$$f(Z|\delta,\sigma) = \prod_{i=1}^{n} \frac{1}{\sigma\sqrt{2\pi}} \cdot \frac{1}{2} \cdot \left(e^{\frac{-(Z_i-\delta)^2}{2\sigma^2}} + e^{\frac{-(-Z_i-\delta)^2}{2\sigma^2}} \right)$$
 [Equation 5]

205
$$l(\delta,\sigma) = \ln(f(Z|\delta,\sigma)) = n \cdot \ln\left(\frac{1}{\sigma\sqrt{2\pi}}\right) + \sum_{i=1}^{n} \ln\left[\frac{1}{2}\left(e^{\frac{-(Z_i-\delta)^2}{2\sigma^2}} + e^{\frac{-(-Z_i-\delta)^2}{2\sigma^2}}\right)\right] \quad [\text{Equation 6}]$$

206 An important factor in PC is the selection of null marker sets for calculating the inflation 207 factors for adjusting the test statistics. The most conservative approach is to use all markers as 208 the null, but this approach is unrealistic as it results in over-correction when the selection is strong and prevalent across the whole genome. Therefore, PC is best estimated from markers 209 210 that have not undergone selection, although it is paradoxical given that such markers are 211 unknown at this stage. As a compromise, we may assume that the allele frequency differences 212 between first and last years are larger for markers under selection than drift. This assumption is reasonable for a modern breeding population that has undergone intensive selection. We first 213 214 predicted the allele frequency change for each marker using the RALLY model and then 215 identified the null marker set from markers that fall below various thresholds of allele frequency 216 change. We tested the thresholds ranging from 0.05 to 0.50 at an increment of 0.01, in which 217 the thresholds of 0.05 and 0.50 correspond to 40% and 99% of the total markers respectively. Unfortunately, because the variance of allele frequency change, $\sigma_{\Delta p}^2 = p(1-p)/2N$, is largest 218 219 when the initial allele frequencies are intermediate (Falconer and Mackay 1996), a loss in 220 RALLY's power to distinguish between weak selection signal and drift at those markers is 221 unavoidable.

222

223 Detection limits of RALLY

224 We estimated the detection limits of RALLY using a simple example that is based on the 225 simulated populations as described previously. We considered a QTL marker and five other proximal markers that are 1, 2, 3, 4 and 5 cM away. The initial QTL frequencies were set to 1/32 226 227 to 16/32 with an increment of 1/32, and all possible marker-QTL haplotype frequencies were 228 considered. We modelled selection on the QTL by increasing QTL initial frequency to the final frequency of 31/32 over 50 generations according to either a logistic or linear distribution. 229 Consequently, the proximal markers experienced hitch-hiking effect due to the selection on 230 231 QTL. Assuming an infinite population size, recombination is the sole factor that is responsible 232 for the hitch-hiking effect, which allowed us to model the change in allele frequencies of the 233 proximal markers. Non-recombinants are inherited at a probability of 1 - r and recombinants 234 are inherited at a probability of r. From this, we derived the expected allele frequencies for the 235 proximal markers at each generation. Next, we randomly sampled 8 individuals per generation 236 using a binomial distribution with the expected frequencies as the sampling probabilities. This 237 step was repeated for 100 times for each tested marker-QTL haplotype frequencies. A more 238 detailed description of this is provided in Figure S1.

239

240 **RALLY in two wheat panels**

We first applied the RALLY approach in the Triticeae Genome (TG) panel (Bentley et al. 2014, Ladejobi et al. 2019) as a proof of concept. The TG panel has 344 winter wheat varieties from the UK, France and Germany that were released between 1948 and 2007 (Figure S2), which is ideal for analyzing selection over time in modern wheat breeding. We retained 333 varieties that were in common between the TG panel data derived from DArT markers (Bentley

et al. 2014) and genotype-by-sequencing (GBS) markers (Ladejobi et al. 2019). The DArT 246 247 marker data was only used in a later analysis for estimating multivariate selection parameters. 248 From the initial 41,861 GBS markers, we removed 3,009 markers that are in high linkage 249 disequilibrium (LD) ($r^2 > 0.2$) with markers from other chromosomes which left us with 38,852 250 markers. These markers were positioned according to the IWGSC RefSeg v1.0 genome 251 assembly. Here, we applied a similar model to Equation 2 with an additional fixed effect to 252 account for the country of origin. We identified the year regression coefficients, applied the 253 same level of PC as identified from the simulation to adjust the test statistics, and determined 254 the significance at a Bonferroni-corrected threshold of 0.05.

255 Next, we replicated the analysis in the WAGTAIL panel (Fradgley et al. 2019) to test 256 RALLY performance in a different sampling panel of modern wheat varieties. The WAGTAIL panel has 403 winter wheat varieties of mostly UK origin that were released between 1916 and 257 258 2010. Of the 403 varieties, 283 originated from the UK, 51 from France, 34 from Germany and 259 35 from other countries including Australia, Belgium, Canada, Denmark, the Netherlands, 260 Sweden, Switzerland, and United States. There were 99 overlapping varieties between the TG 261 and WAGTAIL panels. Since the WAGTAIL panel was genotyped using the wheat 90k array (Wang et al. 2014) and did not immediately have physical map positions for direct comparison 262 263 with the TG panel, we identified the physical map positions from the IWGSC RefSeq v1.0 264 annotation file. We retained 5,592 out of 26,015 markers that had matching chromosomes 265 between the original WAGTAIL genetic map and the physical map. We also removed 319 266 markers that are in high LD ($r^2 > 0.2$) with markers from other chromosomes which left us with 5.273 markers. We applied Equation 2 with an additional fixed country of origin effect to the 267 268 WAGTAIL panel and computed the year regression coefficients with the same PC and multiple 269 testing correction to the test significances.

270

271 Estimating local heritabilities from RALLY QSLs

272 We clustered the significant markers identified from RALLY into groups based on the 273 extent of LD surrounding the markers. Because genomic markers are not completely 274 independent, some significant markers may be tagging the same QSLs. Starting with the most significant (focal) markers within each chromosome, we assigned markers that have $r^2 > 0.2$ 275 276 with the focal marker to the same group. To avoid incorrectly mapped markers, we require the 277 groups to have a minimum of 10 markers in the TG panel and 5 markers in the WAGTAIL panel 278 due to lower marker density. As a trade-off, there may be bias against genomic regions with 279 sparse marker density such as the D-genome. We repeated the process for the next significant 280 marker that has not been assigned to any group until all significant markers have been 281 assigned. Lastly, we merged all overlapping groups.

We estimated the local heritabilities (h_i^2) for each QSL in the TG panel using the 282 genomic heritabilities partitioning method that was introduced by Schork (2001) and Visscher et 283 al. (2007). QSLs with non-zero h_i^2 would support the hypothesis of selection over drift for the 284 285 observed change in allele frequency. The TG panel includes 12 traits: Flowering Time (FT), 286 Lodging (LODG), Yield (YLD), Plant Height (HT), Grain Protein Content (PROT), Winter Kill (WK), Awns (AWNS), Specific Weight (SPWT), Total Grain Weight (TGW), Ears per m² (EM2), 287 288 Tiller Number (TILL) and Maturity (MAT) (Bentley et al. 2014, Ladejobi et al. 2019). We were not 289 able to estimate the h_l^2 in the WAGTAIL panel since we did not have multi-trait data for the WAGTAIL panel. For each trait and QSL combination, we estimated the h_i^2 from the following 290 291 mixed model fitted using the *mmer* function from the "sommer" package (Covarrubias-Pazaran 292 et al. 2016) in R (R Core Team 2021):

293 $y = \mu + X\beta + g_0 + g_i + \varepsilon$ [Equation 7]

294 Where the model terms are described as below.

295 *y* is a vector of phenotypic trait values for n varieties.

296 μ is the trait mean.

- 297 *X* is an $n \times 2$ matrix of incidence matrix for the fixed year and country of origin effects.
- β is a vector of length 2 of the fixed year and country of origin effects.
- g_0 is a vector of length *n* of the random genetic effect due to relationship among varieties
- 300 calculated from markers not in group *i*, and it follows a distribution of $N(0, \sigma_{g,0}^2 K_0)$.
- g_i is a vector of length *n* of the random genetic effect due to relationship among varieties
- 302 calculated from markers in group *i*, and it follows a distribution of $N(0, \sigma_{a,i}^2 K_i)$.
- 303 ε is a vector of length *n* of the random residual effect under a distribution of $N(0, \sigma_{\varepsilon}^2 I)$.

After each model was fitted, we calculated the h_l^2 as $\frac{\sigma_g^2}{\sigma_g^2 + \sigma_e^2}$. For any trait, we identified the non-zero h_l^2 groups ($h_l^2 > 0.001$) and refitted a new mixed model with all the non-zero h_l^2

306 groups. The model is shown as below with the similar terms as explained in Equation 7.

307
$$y = \mu + X\beta + g_0 + \sum g_i + \varepsilon$$
 [Equation 8]

From Equation 5, we estimated the new h_l^2 as $\frac{\sigma_{g,l}^2}{\sum \sigma_{g,l}^2 + \sigma_{\varepsilon}^2}$ and used these as the final estimated h_l^2 for each trait and group combination.

310

311 Associating marker effects with alleles that are increasing over time

We estimated the marker allele effects for each trait in the TG panel using ridge regression (RR) (Hoerl and Kennard 1970) and least absolute shrinkage and selection operator (LASSO) (Tibshirani 1996) approaches. For the RR approach, we used the *mixed.solve* function

from the "rrBLUP" package (Endelman 2011) in R (R Core Team 2021). For the LASSO
approach, we used the *cv.glmnet* function from the "glmnet" package (Friedman et al. 2010) in
R (R Core Team 2021). In both approaches, we fitted a multiple linear regression model as

318 shown below:

319 $y = \mu + Zu + \varepsilon$ [Equation 9]

320 Where the model terms are described as below.

321 y is a vector of phenotypic trait values for n varieties.

322 μ is the trait mean.

323 *Z* is a $n \times p$ matrix of numerical marker genotypes coded as -1, 0 and 1 for homozygous first

allele, heterozygous and homozygous second allele, respectively. The number of markers is *p*.

325 *u* is a vector of marker allele effects. In RR, *u* is estimated from minimizing the loss function of

326 $L_{RR}(u) = ||y - \mu - Zu||^2 + \lambda ||u||^2$ where $\lambda = \sigma_{\varepsilon}^2 / \sigma_u^2$ and $u \sim N(0, \sigma_u^2 I)$ (Endelman 2011). In

LASSO, *u* is estimated from minimizing the loss function of $L_{LASSO}(u) = ||y - \mu - Zu||^2 + \lambda ||u||$

where λ is determined from the default 10-fold cross validations in *cv.glmnet* (Friedman et al.

2010). In addition, the multivariate LASSO model in "glmnet" was used to ensure that the effects

330 for all traits are estimated from the same set of chosen markers.

331 ε is a vector of residual effects that follows a distribution of $N(0, \sigma_{\varepsilon}^2 I)$.

For each trait *j* and marker *k*, we identified $\tilde{d}_{j,k}$ which is the effect direction for the allele that is increasing in frequency over time, as follows: first, we determined $\tilde{u}_{j,k}$ which is the direction of marker allele effect estimated from either RR or LASSO using the *sign* function in R (R Core Team 2021). This resulted in $\tilde{u}_{j,k} = -1$ for negative effect, $\tilde{u}_{j,k} = 0$ for no effect and $\tilde{u}_{i,k} = 1$ for positive effect. Next, we determined $\tilde{\beta}_{i,k}$ which is the direction of year regression coefficient estimated from RALLY. This resulted in $\tilde{\beta}_{j,k} = -1$ for decreasing allele and $\tilde{\beta}_{j,k} = 1$ for increasing allele. Because the marker alleles were coded similarly in the RALLY and marker BLUP models, we could calculate $\tilde{d}_{j,k}$ as $\tilde{u}_{j,k} \times \tilde{\beta}_{j,k}$ directly. $\tilde{d}_{j,k} = 1$ suggests that the increasing allele has a positive effect and $\tilde{d}_{j,k} = -1$ suggests that the increasing allele has a negative effect. $\tilde{d}_{j,k} = 0$ is only possible in LASSO due to variable selection, which simply implies that there is no effect. For any trait, an excess of either $\tilde{d}_{j,k} = -1$ or $\tilde{d}_{j,k} = 1$ across all markers indicates a possible directional selection.

For a pair of traits *j*1 and *j*2, we calculated $\tilde{d}_{j1,j2,k} = \begin{bmatrix} \tilde{d}_{j1,k} & \tilde{d}_{j2,k} \end{bmatrix}$ which is the pairwise 344 effect direction for the increasing allele. $\tilde{d}_{j1,j2,k} = \begin{bmatrix} 1 & 1 \end{bmatrix}$ implies that the increasing allele has 345 positive effects on both traits, $\tilde{d}_{i_{1,j_{2,k}}} = \begin{bmatrix} 1 & -1 \end{bmatrix}$ or $\tilde{d}_{i_{1,j_{2,k}}} = \begin{bmatrix} -1 & 1 \end{bmatrix}$ implies that the increasing 346 allele has a positive and a negative effect on either trait, and $\tilde{d}_{i_1,i_2,k} = \begin{bmatrix} -1 & -1 \end{bmatrix}$ implies that the 347 increasing allele has negative effects on both traits. By forming a contingency table from the 348 counts of all four possible $\tilde{d}_{i_{1,i_{2,k}}}$ combinations, we tested for selection-related interaction 349 between the pairs of traits using a $\chi^2_{d_{f=1}}$ test in the results involving LASSO. We did not test the 350 351 results involving RR because the marker effects are not independent.

352

353 Estimating multivariate selection parameters

We estimated the multivariate selection parameters in the TG panel using the multivariate breeder's equation of $\Delta Z = G\beta_{sel}$ (Lande and Arnold 1983). We obtained the selection response (ΔZ), genetic variance-covariance matrix (*G*) and phenotypic variancecovariance matrix (*P*) from the trait and marker data. Next, we solved the multivariate breeder's equation for the selection gradient β_{sel} and the equations of $S = P\beta_{sel}$ and $i = S/\sqrt{diag(P)}$ for the selection differential (*S*) and selection intensity (*i*) (Falconer and Mackay 1996). Lastly, we

decomposed the multivariate selection parameters into direct and indirect partitions as a method to quantify the direct and indirect historical selection in the TG panel. As a check, we repeated the same process in a simulated example. Complete details on the methods on estimating multivariate selection parameters are provided in the Supplementary Methods.

364

365 Results

366 **RALLY and GWAS in simulated populations**

We tested RALLY's ability in identifying selection- or drift-induced marker allele 367 frequency changes in simulated populations with (S) and without (U) selection (Figure 1) by 368 varying the degree of parametric control (PC). Briefly, PC combines genomic control (GC) 369 370 (Devlin and Roeder 1999) and delta control (DC) (Gorroochurn et al. 2006) to correct for 371 inflation in test statistics due to population structure. Details on the PC approach and simulations are described in the Materials and Methods section. Across all tested allele 372 373 frequency change thresholds (t) for null marker set, setting t > 0.11 produced better control of 374 test statistics (significant markers in S < 1.867%, U < 0.109%) than without any correction (significant markers in S = 1.942%, U = 0.089%) (Figure 2A, Table S1). At t = 0.15, we found 375 little significance in the unselected population across all 100 simulations with some inevitable 376 loss of significance in the selected population (significant markers in S = 0.994%, U = 0.012%) 377 378 (Table S1). This result suggests that PC at this threshold can reasonably separate out the true 379 selection signals from drift in our simulation. To err on the cautious side, we used a higher 380 threshold of t = 0.20 in the simulation, TG and WAGTAIL panels.

We evaluated the QSL/QTL mapping performances of RALLY and GWAS in the simulated populations with selection (Figure 1) and found a higher mapping power in RALLY over GWAS (Figure 2). Across the 100 simulations, we found that the individual significant

384 markers are rarely shared between RALLY and GWAS (Figure 2B), and even less likely to be 385 found in GWAS but not RALLY (Figure 2D). Most of the significant markers are found in RALLY 386 but not GWAS (Figure 2C). The low number of significances in GWAS is likely because the 387 simulated QTLs have small effects and low heritabilities, which is common for quantitative traits. The heritabilities for the largest QTLs are approximately 0.030 and the smallest QTLs are 388 389 approximately 0.002. An additional intention of having low heritabilities is to reduce the fixation 390 rate of QTL due to selection and prevent pre-matured fixation of QTL in the simulated 391 population.

392 We repeated the RALLY and GWAS analyses in the unselected populations as a control 393 for the same analyses in the selected populations (Figure 2). On average across all 100 394 simulations, RALLY identifies 0.1 significant markers out of 19,000 total markers in the 395 unselected population compared to 104.5 significant markers in the selected population. This 396 result suggests that less than 0.1% of the significant markers in the selected population are likely caused by drift instead of selection. In the selected population, there are more significant 397 398 markers (means of 99.4 versus 5.1) that are close to the QTLs (\leq 5 cM) than far (> 5 cM) 399 (Figure 2B-C). Assuming that all 32 QTLs are selected and all markers within 5 cM of the QTLs 400 experience hitch-hiking effect, there should be a maximum of 3,200 significant markers in the 401 selected population. However, the number of significant markers is much lower in reality 402 because: (1) the selection force is proportional to the QTL effects (Figure S3), (2) the hitch-403 hiking effect depends on the initial marker-QTL haplotype distribution (Figure S1), and (3) the 404 hitch-hiking effect decreases as genetic distance increases. On the other hand, GWAS performance remains similar between the selected and unselected populations (Figure 2). 405

406

407 Detection limits of RALLY

Following from the previous simulation, we investigated the relationship between QTL 408 409 under selection and its proximal markers and the results suggested a detection limit of approximately 5 cM (Figure S4). Here, we considered 10 markers that are evenly spaced 410 between 1 to 10 cM away from a QTL and evaluated how these marker allele frequencies 411 412 change as a result of increasing QTL frequency. Because the markers are linked to the QTL, we 413 expect their frequencies to follow the QTL frequency in an inversely proportional way according to their genetic distances from the QTL. This process is commonly known as hitch-hiking, and it 414 415 is an important consideration for RALLY because hitch-hiking markers are more likely to be 416 genotyped than the true QTLs. Curiously, our results suggest that the ability of RALLY in 417 identifying significant hitch-hiking markers depends on the QTL-marker haplotypes, QTL initial frequency, and genetic distance between QTL and marker (Figure S4). With all factors 418 419 considered, RALLY rarely detects significance beyond 5 cM although our previous results 420 showed that some long-range significances may still be present (Figure 2B). A possible 421 explanation for this is when multiple QTLs co-localize into one major QTL haplotype, which may amplify the significances of surrounding markers. 422

423

424 **RALLY in two wheat panels**

We mapped 22 significant QSLs (Bonferroni corrected p < 0.05) across 14 chromosomes in the Triticeae Genome (TG) panel using RALLY (<u>Table 1</u>, <u>Figure 3</u>, Figure S5, File S1). Because the distances between significant markers and true QTLs are unknown, we used a linkage disequilibrium (LD) measure of $r^2 > 0.2$ as a method to identify the genomic boundaries that the significant markers tag. This method resulted in QSL intervals ranging from 1.46 Mb to 774.73 Mb with a mean of 148.74 Mb. Given the large blocks of genomic regions

and a previously approximated RALLY detection limit of 5 cM, many of the QSLs are likely to fall
within low recombination regions. QSLs in high recombination regions are harder to map due to
the lack of markers tagging the causative QTLs. Besides, sustained selection is more likely to
be observed on multiple weakly favorable alleles in low than high recombination regions.

Of the 22 QSLs, 12 co-localize with previously mapped QTLs using GWAS (Ladejobi et
al. 2019) in the TG panel (<u>Table 1</u>, <u>Figure 3</u>, <u>Figure 4</u>, Table S2). QSLs/QTLs found in both
RALLY and GWAS indicate that their effects are likely beneficial and have been selected during
the breeding process. QSLs unique to RALLY suggest that their effects might be too small for
GWAS to detect or the specific traits have not been analyzed for GWAS. QTLs unique to GWAS
suggest that they are still segregating in the population, which could be due to various reasons
like recent introduction into the breeding population and linkage drag.

442 A literature search showed that RALLY QSLs occur in both well-characterized and novel genomic regions in winter wheat (Table S3). The most significant RALLY QSL-6 mapped to a 443 large region in chromosome 2B: 11 - 230 Mb, which includes Ppd-B1 (Mohler et al. 2004) and 444 445 multiple resistance loci of Yr5, Yr7 and YrSP (Marchal et al. 2018). Another major QSL-16 mapped to a large region in chromosome 6A: 62 - 545 Mb, which contains TaGW2 (Su et al. 446 2011) and the GA-responsive dwarfing genes of Rht24 (Würschum et al. 2017) and Rht25 (Mo 447 et al. 2018). Interestingly, the durum wheat dwarfing gene Rht14/16/18 resides in the same 448 449 genomic region, although it remains to be tested whether it is allelic to Rht24 (Hague et al. 450 2011). A recent EnvGWAS in winter wheat by Sharma et al. (2021) also mapped to the same 451 genomic region (6A: 396 Mb) but without mention of any *Rht* candidate gene. On a broader 452 scale, 16 RALLY QSLs co-localize with the recently identified meta-QTLs on yield and yield-453 related traits in wheat (Yang et al. 2021). 9 RALLY QSLs overlap with the QTLs identified from a 454 Multi-parental Advanced Generation Inter-Cross (MAGIC) population of 16 diverse UK winter wheat varieties (Scott et al. 2021). 455

456 In addition, we found 11 RALLY QSLs that overlap with known alien and non-alien 457 introgressions in wheat (Cheng et al. 2019). These include major introgressions like the 2A: 0 -11 Mb from Aegilops ventricosa (Robert et al. 1999, Rhoné et al. 2007) and 2B: 90 – 749 Mb 458 459 from Triticum timopheevii (Tsilo et al. 2008, Martynov et al. 2018). These two introgressions 460 were shown to segregate among the UK winter wheat varieties by Scott et al. (2021). Because 461 alien introgressions tend suppress recombination (Gill et al. 2011), they can be easily mapped 462 using RALLY. Considering all overlaps in results between RALLY and the studies described 463 thus far, we found 19 RALLY QSLs that can be traced to at least one study.

464 In the WAGTAIL panel, we mapped 19 significant QSLs across 13 chromosomes using RALLY (Table S4, Figure S6, File S1). We used the same approach as we did with the TG 465 panel to identify the boundaries of these significant QSLs. With 99 varieties in common between 466 467 the TG and WAGTAIL panels, we expect a high number of overlapping QSLs. 10 out of 19 468 QSLs in the WAGTAIL panel matched with 10 out of 22 QSLs in the TG panel (Figure 4), which is approximately one-half overlap between them. Given that the TG panel was genotyped using 469 470 GBS (Elshire et al. 2011) while the WAGTAIL panel was genotyped using the 90k array (Wang et al. 2014), the genotyping and mapping quality of these two panels are likely different. This 471 472 may partially explain why the results from the TG and WAGTAIL panels did not fully overlap. 473 Another possible reason is that the distributions of countries of origins differ in the two panels in which the TG panel is more homogeneous than the WAGTAIL panel. 474

475

476 Local heritabilities in the RALLY QSLs

We calculated local heritabilities for the 22 RALLY QSLs as a support for possible
selection over drift at these QSLs (<u>Table 2</u>, <u>Figure 5</u>). We found that all 22 QSLs have non-zero
local heritabilities for at least one trait. We tested for non-zero in the local heritabilities using a

480 likelihood ratio test to compare between the mixed models with and without QSLs (Santantonio 481 et al. 2019). However, most of the tests were non-significant due to low power (Table S5). The 482 tests for QSLs collectively showed significance in 5 out of 12 traits, which comes at a cost of 483 losing the test on individual QSL in exchange for a slightly higher power. In an extreme example 484 with a total heritability of 0.379, QSL-16 at 6A: 89,355,276 is associated with 8 traits and found 485 to co-localized with all other previously mentioned results. While it is possible that the underlying 486 candidate genes TaGW2 (Su et al. 2011), Rht24 (Würschum et al. 2017) and Rht25 (Mo et al. 487 2018) have pleiotropic effects that are beneficial for wheat breeding, we cannot exclude the 488 possibility of additional genes that provide breeding advantages in the same haplotype block. Nonetheless, given that QSL-16 has already played a major role in wheat breeding, it is unlikely 489 490 to be useful for future breeding. The genomic region with the next largest total heritability of 491 0.226 is located in QSL-2 at 1A: 138,028,803. While no known gene has been mapped around 492 QSL-2, results from our analysis and others (Cadalen et al. 1998, Griffiths et al. 2012, Tiwari et 493 al. 2016) suggest that it may contain loci responsible for plant height and grain protein content.

494 Between the cumulative heritabilities explained by these 22 QSLs and the remaining genomic regions, HT and TGW are higher in the QSLs, AWNS is lower in the QSLs and the 495 496 other 9 traits are about equal (Table 2, Figure 5). This result highlights the narrow genetic 497 diversity that is often seen in modern varieties (Reif et al. 2005) due to the repeated use of identical favorable haplotypes in wheat breeding. Fortunately, the remaining "unselected" 498 499 genomic regions for important traits like yield, grain protein content and plant height are not fully 500 devoid of heritabilities. There is still room for varietal improvement without the introduction of 501 favorable exotic alleles in the short term, which suggests that it might be better to devote some 502 of the resources in pre-breeding on these genomic regions instead. For traits like TGW and 503 TILL, breeders may need to look for alternative genetic resources to compensate for the lack of 504 diversity.

505

506 Marker effects of alleles that are increasing over time

We evaluated the marker allele effects using the prediction models from Ridge Regression (RR) and Least Absolute Shrinkage and Selection Operator (LASSO). Across all 12 traits, RR resulted in higher prediction accuracy than LASSO although the differences were comparable in some traits (Figure S7 and S8). Despite that, we retained the results from both approaches because the variable selection step in LASSO is important for a follow-up test involving trait pairs.

513 We examined the marker allele effect directions for increasing alleles and found excesses in one over another direction across each of the 12 traits (Figure 6, Figure S9). We 514 515 first partitioned the markers based on their RALLY significance into three groups: (1) markers 516 with p-values lower than the Bonferroni corrected threshold of 0.05, (2) markers with p-values 517 between 0.05 and the Bonferroni corrected threshold of 0.05, and (3) markers with p-values 518 higher than 0.05. The results from using either RR (Figure 6) or LASSO (Figure S9) are similar 519 although the differences across the significance groups in LASSO are less pronounced, i.e. 520 there are more differences between group 1 and 2 in RR than LASSO results. This might be 521 due to LASSO selected markers having weak but small, non-significant changes in allele 522 frequencies over time. Within the RR results, the excesses in effect directions are strongest in 523 the significance group 1 and weakest in the significant group 3, which suggest that the excesses 524 can be related to the favored direction of selection. The lack of excesses in significance group 3 525 implies that favorable and unfavorable alleles are still segregating about equally in the 526 unselected genomic regions.

527 Across all 12 traits, the excesses agree with our expectation of traits that are important 528 in wheat breeding. The most extreme example is yield (YLD) where both the RR and LASSO

529 results show a near complete excess of positive effects in the increasing alleles in significance 530 group 1. As shown previously by Mackay et al. (2011), the genetic gain in the UK winter wheat 531 yield has been rising steadily over time. The next four traits with strong excesses are flowering 532 time (FT), lodging score (LODG), plant height (HT) and grain protein content (PROT). FT, 533 LODG and HT are favored for lower trait values, and thus the increasing alleles have excesses 534 in negative effects. On the contrary, higher PROT is valuable for bread making guality, which is 535 unfortunately going in the opposite direction due to a strong negative genetic correlation with 536 yield (Scott et al. 2021). This result suggests that the selection for higher yield is a lot stronger 537 than the selection for higher grain protein content. In the remaining traits, the excesses are 538 smaller and less obvious given the variations seen from RR and LASSO results, which suggests that directional selection is likely weak for these traits. 539

540 By comparing the effect directions for increasing alleles in pairs of traits, we identified 541 the priorities of traits under selection (Table 3, Table S6 and S7, Figure 7). Taking YLD and 542 PROT for example, there is a strong excess for alleles with positive YLD but negative PROT. 543 This result reiterates the priority of YLD over PROT in wheat breeding. Between TGW and EM2, 544 there is an excess for alleles with positive EM2 and negative TGW which suggests that more 545 ears with lighter grains are preferred over fewer ears with heavier grains. In a different 546 perspective, the results here also highlight the constraints imposed by genetic correlations 547 across traits. For example, there is a small proportion of alleles with the same effect directions 548 for YLD and PROT. These alleles could be used in breeding high YLD and PROT varieties, 549 although it is still important to consider the possibility that these alleles could be unfavorably 550 associated with other traits.

551

552 Multivariate selection parameters

In contrast to a genomic-centric approach that has been described thus far, the 553 554 multivariate selection parameters may provide an alternative, trait-focused perspective on the 555 historical selection of winter wheat represented by the TG panel. We found a strong 556 misalignment between the selection response (ΔZ) and gradient (β_{sel}) where the directions of the 557 vectors' elements are the opposite in 5 out of 12 traits (Table S8). If the selection parameters 558 are estimated accurately, such divergence may imply an inefficient selection process. In 559 addition, we partitioned the selection response (ΔZ), differential (S) and intensity (i) into direct 560 and indirect components to quantify the amount of each selection parameter that is directly due to the available variation within a trait or indirectly due to the covariation with other traits. In an 561 562 example with HT, we found positive direct effects in ΔZ , S and i, which contradicts the known 563 selection on dwarfing genes like Rht1, Rht2 and Rht24 (Pearce et al. 2011, Würschum et al. 564 2017). Given the uncertainties in the multivariate selection parameters, we have provided the 565 full results in the Supplementary Results and we advise to treat these estimates with caution.

566 Following the results, we investigated the possible causes of issues in estimating 567 multivariate selection parameters using a simulated example with a single generation of 568 selection involving three genetically correlated traits. First, we found that the genetic variances 569 and covariances (G) estimated from mixed linear model were close to the true simulated values 570 but with low precision (Table S9, Figure S10). Next, we computed the selection parameters (ΔZ , β_{sel} , S, i) from the simulation directly, true G and estimated G, which are referred to as true, 571 realized and estimated values, respectively. Given the imprecise estimates of G, we observed 572 573 lower correlations between the estimated and true values than between the realized and true 574 values (Table S9, Figure S11-S16). Despite using the true G, the realized values still failed to 575 match the true values perfectly, which indicates that the deviations in realized ΔZ are carried 576 over into the other selection parameters that are estimated downstream.

577

578 Discussion

579 Advantages and disadvantages of RALLY

RALLY has a major feature of being a trait-free method for mapping QSLs; however, this 580 feature is a double-edged sword. For any population, RALLY involves only a single, relatively 581 582 simple logistic regression analysis. In contrast, GWAS requires either multiple, simple mixed 583 model analyses for each trait or a single, yet computationally intensive multi-trait analysis. Unlike any other trait-based mapping methods, the QSLs identified through RALLY are not 584 585 restricted to only traits that are scored. While this makes RALLY a convenient method, the 586 results do not inform us which traits the QSLs are associated with. In this regard, we will need to 587 rely on other trait-based analyses like GWAS or genomic variance partitioning (Schork 2001, Visscher et al. 2007) to relate QSLs to traits. This additional step is not restricted to the same 588 589 population as the QSL-trait information can be drawn from other studies such as GWAS on 47 590 traits in the wheat MAGIC diverse population (Scott et al. 2021). Therefore, RALLY can function 591 as a replication of results from other studies.

As a kinship-free method, RALLY avoids any potential issues that may arise from the 592 593 use of genomic relationship matrix (GRM) in mixed linear models. Recently, kinship estimates 594 have been shown to be biased under complex population structure (Ochoa and Storey 2021). 595 which can arise due to selection and migration of materials across breeders and countries. 596 Besides, kinship estimates depend on the assumption that the alleles frequencies observed in 597 the study population are representative of the reference or base population. For a population 598 that has only experienced weak to no selection, the mean of genome-wide marker variance 599 might be a reasonable approximation to the reference population. But, in populations under 600 strong selection like modern crop varieties, the deviation between observed and true (reference) 601 distribution of allele frequencies may not be trivial. Jiang et al. (2021) showed that the kinship

estimates are biased when the observed distribution of allele frequencies fails to match the true
distribution. In addition, a similar study on populations of modern wheat and barley varieties
suggested that their kinship estimates may be biased due to long period of intensive selection
(Sharma et al. 2021). However, the bias impacts on mapping power in GWAS and accuracy of
variance component estimates remain to be evaluated.

607 Given that RALLY is designed specifically for mapping QSLs that have been selected over a time period, there may be limited utilities outside of its target scope. Our RALLY analyses 608 609 model the change in allele frequency under a logistic distribution, which requires both genomic 610 marker and year of variety release information. So, RALLY cannot be immediately applied to typical artificial mapping populations like bi-parental, nested association mapping (NAM) or 611 MAGIC populations. However, we can extend the use of RALLY by conceptualizing it in its 612 613 simplest form, which is a regression of marker allele on a variable of interest. For example, we 614 can regress the marker allele on a continuous geographical origin variable such as latitudes and 615 altitudes. The outcomes would directly define alleles that are relevant to local adaptation. 616 Furthermore, the hybrid approach of parametric control (PC) is independent of RALLY and can be used in any genome-wide mapping analyses as a replacement for GRM and mixed linear 617 618 model.

619

620 Selection history and future direction in winter wheat breeding

Given the largely incomplete overlap between RALLY and GWAS QSLs/QTLs in the TG panel, GWAS-specific QTLs may not have been directly useful in breeding. Several possible reasons include linkage drag between the QTLs, recent introduction of QTL alleles into the breeding pool, and ineffective selection at those QTLs. In the absence of genome editing to remove unfavorable alleles (Johnsson et al. 2019), linkage drag is unavoidable due the low 626 probability of creating favorable recombinant haplotypes. New QTL alleles are hard to map 627 under RALLY due to low power issue, but it can be improved by including more recent varieties. 628 Ineffective selection is a direct consequence of the selection tendency towards low-hanging 629 fruits. In an extreme example involving a cross between an elite variety and an exotic wild 630 relative, selection is bound to reconstitute the elite genome because of the higher probabilities 631 of favorable alleles in the elite over exotic genomes (Gorjanc et al. 2016). This phenomenon is 632 observed in a large-scale crossing program involving groups of one exotic and two elite parents, 633 in which the resulting lines lost approximately two-thirds of the expected exotic genome (Singh 634 et al. 2021). In this regard, the approach of Origin Specific Genomic Selection (OSGS) (Yang et al. 2020) can be used to specifically target genomic regions outside of RALLY QSLs for 635 selection. 636

637 The association between directions of allele frequency change and predicted marker 638 effects provides us with an overview of selection priorities (Figure 6 and 7). High yield, short plants, early flowering, reduced lodging and reduced grain protein content are clearly preferred 639 640 under directional selection. However, there is no obvious directional selection on spikes and grain related traits, which suggests that there is no specific morphology that provides advantage 641 642 in the breeding practice. The pairwise analysis further demonstrates the selection priorities and 643 genetic correlations between traits. The results can be used to formulate a future breeding 644 direction, for example, breeding for varieties with high yield and grain protein content by 645 focusing on increasing the frequencies of the favorable alleles on both traits. In line with the 646 global interest in shifting towards more sustainable agricultural practice (Hoad 2010), this approach can be extended to include traits relevant to sustainability and climate resilience to 647 648 better guide the breeding direction.

649

650 Limited practical use of multivariate breeder's equation

As shown in the results involving the TG panel, the multivariate breeder's equation has 651 652 limited practical use in estimating selection parameters (Table S8). An important component of 653 the equation is the genetic variance-covariance matrix (G). The assumption that G is constant is 654 likely violated because G should have been calculated from the base population (Walsh and Lynch 2018) rather than a population under selection over a time period. While this violation 655 656 likely contributes to the poor estimates of the selection parameters, it is not the only source of 657 issue. Variations across two tested genotyping methods (GBS and DArT) resulted in severely 658 different selection parameters (Table S8) even when the G were similar across the two methods 659 (Table S10).

660 Despite fulfilling the assumption of constant G and eliminating the genotyping 661 discrepancy in our simulated example, additional issues remain in estimating selection parameters from the multivariate breeder's equation. We found that the poor estimation of 662 663 multivariate selection parameters is caused by imprecise G estimated from mixed linear model. 664 However, the estimation of multivariate selection parameters cannot be completely recovered even when the true G is used. This is probably because the multivariate breeder's equation can 665 666 only capture the means but not the variances of the selection parameters (ΔZ , β_{sel} , S, i). Since the selection parameters are derived sequentially, repeated deviations from the means result in 667 668 poor estimates of the selection parameters. This issue can be remedied by increasing the 669 sample size, although there is a limit to the sample size due to practicality in breeding practice. 670 Furthermore, the deviation is amplified across multiple generations of selection. Given the multi-671 layered issues with estimating selection parameters using the multivariate breeder's equation, it 672 is best to limit its use to predict forward for a single generation as a rough guide to selection experiments involving crop varieties. 673

674

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677

678 Author Contributions

- 679 CJY and IM conceived the work, performed the analyses and wrote the manuscript. OL
- 680 provided data for the Triticeae Genome (TG) panel. RM and WP provided critical comments. All
- authors read, revised and approved the manuscript. No external funding was received for the
- 682 work in this manuscript.

683

684 Data Availability

- The GBS and phenotypic trait data for the TG panel (Ladejobi et al. 2019) were downloaded
- from doi.org/10.6084/m9.figshare.7350284. The TG panel DArT data (Bentley et al. 2014) and
- 687 WAGTAIL panel data (Fradgley et al. 2019) were downloaded from https://www.niab.com/
- research/agricultural-crop-research/resources. The IWGSC RefSeq v1.0 annotation file
- 689 containing the physical map positions for the 90k wheat array was downloaded from
- 690 https://urgi.versailles.inra.fr/download/iwgsc/IWGSC_RefSeq_Annotations/v1.0/iwgsc_refseqv1.
- 691 0_Marker_mapping_summary_2017Mar13.zip. Computational analyses were performed using
- 692 R version 4.1.0. All R scripts used in the analyses can be found at https://cjyang-
- 693 sruc.github.io/RALLY.

694

695 **Competing Interests**

696 The authors declare no conflict of interest.

697

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874 Tables and Figures

Table 1. Genomic positions of 22 RALLY QSLs in the TG panel.

The IWGSC RefSeq v1.0 physical positions of the peaks and LD boundaries are shown, along with the -log₁₀P scores associated with the peaks and overlapping QSLs/QTLs from RALLY in

WAGTAIL panel and GWAS in TG panel (Ladejobi et al. 2019).

QSL	Chr		Position (bp)			Overlapping QSLs/QTLs	
		Peak	Start	End	-10g ₁₀ r	WAGTAIL	GWAS
1	1A	40,535,368	36,797,100	42,151,448	6.964	2	1
2	1A	138,028,803	105,888,613	395,485,807	6.419	2	0
3	1B	274,240,580	52,771,404	572,972,254	8.726	3	0
4	2A	19,049,803	502,328	36,134,675	7.022	5	7
5	2A	56,159,824	56,159,824	115,431,692	7.951	6	0
6	2B	230,348,363	10,888,962	785,614,875	10.634	9,10	10
7	3A	544,972,180	488,305,885	574,586,196	6.965	13	19
8	3B	20,035,143	19,086,765	31,366,713	6.011	0	0
9	3B	829,382,536	813,333,316	829,954,621	9.170	0	0
10	4A	690,425,855	507,739,498	695,893,542	7.199	14	22
11	4B	570,537,081	507,170,910	593,797,914	5.934	0	26,27
12	5A	59,666,472	31,088,127	449,788,941	7.816	16	28
13	5B	703,651,326	681,349,598	703,858,824	5.923	0	0
14	5D	69,776,655	43,408,942	233,674,405	6.148	0	0
15	6A	2,160,664	684,328	5,113,555	7.259	0	32
16	6A	89,355,276	61,817,777	545,399,189	6.538	18	33 - 37
17	6A	609,106,971	596,590,923	617,255,792	6.468	0	38
18	7A	612,599,663	610,209,166	612,599,663	6.272	0	0
19	7A	681,696,004	669,820,116	695,003,193	6.632	0	0
20	7B	3,693,110	3,366,069	4,826,131	6.438	0	0
21	7B	43,221,041	40,293,564	58,886,832	6.503	0	48
22	7B	704,838,082	698,229,993	707,941,517	7.952	0	49

879

881 Table 2. Local heritabilities associated with 22 RALLY QSLs.

882 Local heritabilities less than 0.001 are not shown.

QSL	FT	LODG	YLD	HT	PROT	WK	AWNS	SPWT	TGW	EM2	TILL	MAT
1	-	-	-	0.054	0.048	0.051	-	-	-	0.005	-	-
2	-	-	0.007	0.068	0.105	-	-	-	0.003	0.043	-	-
3	0.084	-	0.006	-	0.014	-	-	-	-	0.002	0.000	0.060
4	-	-	-	-	-	-	0.001	-	-	-	0.016	-
5	-	0.009	-	0.002	-	-	-	-	-	-	-	-
6	-	-	0.009	-	-	0.003	-	-	0.009	-	-	0.037
7	-	-	-	-	-	-	0.014	-	0.055	-	0.009	-
8	0.005	-	-	-	-	-	-	0.020	-	-	-	-
9	-	-	-	-	0.002	-	0.004	-	-	-	0.006	-
10	0.010	0.000	-	-	-	0.002	0.003	0.008	0.090	-	0.012	0.019
11	-	0.040	0.036	-	0.012	0.002	0.011	0.005	0.005	-	-	-
12	-	-	0.003	-	-	0.005	0.011	0.075	-	0.003	-	-
13	-	-	0.006	-	-	0.049	-	-	0.002	-	-	-
14	0.069	-	0.019	-	0.018	0.000	-	-	-	-	-	0.006
15	0.011	-	-	-	-	0.004	0.018	-	0.005	0.014	-	-
16	-	0.086	0.045	0.127	0.071	-	-	0.013	0.012	0.021	-	0.006
17	0.072	-	0.001	-	0.001	0.016	-	-	0.002	-	0.013	0.069
18	-	-	0.006	-	-	-	-	-	0.003	-	-	-
19	-	0.020	0.005	-	-	-	0.011	-	0.028	0.001	-	-
20	-	-	0.008	0.089	0.004	0.000	-	-	-	0.065	-	-
21	-	0.039	-	0.041	-	-	-	0.009	0.025	-	-	-
22	0.010	-	0.001	0.021	-	-	-	-	0.016	-	0.013	-
Total	0.260	0.194	0.153	0.402	0.275	0.133	0.074	0.129	0.254	0.154	0.068	0.197
Others	0.284	0.123	0.160	0.223	0.205	0.220	0.583	0.156	0.050	0.216	0.051	0.179

883

Table 3. Counts of pairwise LASSO effects for alleles that are increasing over time.

885 For each allele with increasing frequency over time, it is classified into pairs of traits for which

the allele has an increasing effect on both traits (+/+), a decreasing effect on both traits (-/-) or

antagonistic effects (+/- and -/+). The distribution for each pair of traits is tested with a $\chi^2_{df=1}$

contingency table where the significant threshold is set to $-\log_{10}p = 3.121$ (equivalent to a

889 Bonferroni-corrected threshold of p = 0.05 for 66 possible pairs of traits). Only the significant

trait pairs are shown here, and the full results are available in Table S7.

trait pair	+/+	+/-	-/+	-/-	-log ₁₀ p
FT/YLD	270	43	145	59	4.435
FT/HT	112	201	22	182	9.352
FT/WK	135	178	135	69	6.326
FT/AWNS	139	174	140	64	6.959
FT/MAT	268	45	57	147	38.908
LODG/HT	75	56	59	327	20.083
YLD/PROT	74	341	62	40	17.486
YLD/MAT	280	135	45	57	4.687
HT/PROT	56	78	80	303	5.408
HT/WK	34	100	236	147	11.996
HT/AWNS	49	85	230	153	5.361
HT/MAT	102	32	223	160	3.474
PROT/SPWT	82	54	138	243	5.742
AWNS/MAT	145	134	180	58	7.317
SPWT/EM2	148	72	122	175	8.195
SPWT/MAT	119	101	206	91	3.269
TGW/EM2	85	131	185	116	5.962
EM2/MAT	149	121	176	71	3.643

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Figure 1. Population simulation and changes in allele frequency over time.

The simulated populations with and without selection are described in detail here. The first 15 generations were used as burn-ins and discarded. 8 varieties from each generation starting at 16 and ending at 65 were randomly chosen to create a population of 400 varieties that span over 50 generations. Examples of how allele frequency changes over time are shown, with the first two examples follows a logistic distribution and thus are more likely to be significant under RALLY than the other two examples.



903

904 Figure 2. RALLY and GWAS in simulated populations.

905 Selected (S) and unselected (U) populations are simulated for 100 times and mapped for QSLs/QTLs using RALLY and GWAS. [A] Significant proportions of total markers identified from 906 RALLY in S and U populations are calculated under various thresholds used in choosing null 907 markers for delta control (DC) and genomic control (GC). The red point is estimated without DC 908 909 and GC (uncorrected). Under the assumption that significant markers in U are due to drift alone and in S are due to both drift and selection, the X-axis is shown as the proportions in U while the 910 Y-axis is shown as the differences in proportions between S and U. [B - D] Counts of significant 911 912 markers identified from RALLY and GWAS are shown according to their distance from QTLs in 913 both S and U populations. Medians are shown in red points. [E] Manhattan plot for RALLY in one simulated S population. QTLs are highlighted in vertical bars according to their effect sizes. 914 915 [F] Manhattan plot for RALLY in one simulated U population. [G] Manhattan plot for GWAS in one simulated S population. [H] Manhattan plot for GWAS in one simulated U population. [I] 916 Histogram of RALLY p-values for the same simulated S population. [J] Histogram of RALLY p-917 values in the same simulated U population. 918

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921

922 Figure 3. Manhattan plot for RALLY results in the TG panel.

923 RALLY peaks and their extents of LD are shown in red points and horizontal bars, respectively.

924 GWAS peaks from Ladejobi et al. (2019) are shown in blue points. The dashed horizontal line

925 represents the Bonferroni threshold of 0.05.





928 Figure 4. QSL/QTL overlaps across different results.

929 The number of overlapping QTLs among RALLY in TG panel (AT), RALLY in WAGTAIL panel

930 (AW) and GWAS in TG panel (GT) are shown.



932

933 Figure 5. Local heritabilities in RALLY QSL groups.

934 [A] Local heritabilities for all 12 traits are shown as stacked bars for each RALLY QSL (defined

in <u>Table 1</u>). [**B**] Local heritabilities from 22 RALLY groups are summed and compared against

936 the heritabilities from other genomic markers.

937





940 Figure 6. Distributions of positive and negative RR effects in the increasing alleles.

941 [A] Markers with RALLY P-values of lower than the Bonferroni corrected threshold. [B] Markers

942 with RALLY P-values between 0.05 and the Bonferroni corrected threshold. [C] Markers with

943 RALLY P-values of higher than 0.05.



945

Figure 7. Distribution of pairwise effects for alleles with increasing frequency over time.

For each allele with increasing frequency over time, it is classified into pairs of traits for which the allele has an increasing effect on both traits (+/+), a decreasing effect on both traits (-/-) or antagonistic/opposite effects (+/- and -/+). The circle areas are scaled according to the marker counts. The bottom left triangle represents the RR effects and the top right triangle represents the LASSO effects. The distributions of the effect classes in each trait pair are tested using

in the LASSO effects and significant results (Bonferroni-corrected threshold of p = 0.05)
are highlighted in yellow. No test is performed in the RR effects because the marker effects in
RR are not independent.

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