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2	Adeno-associated virus type 2 (AAV2) uncoating is a
3	stepwise process and is linked to structural
4	reorganization of the nucleolus (full title)
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6	AAV2 uncoating occurs stepwise and depends on
7	nucleolar reorganization (short title)
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# 23 Abstract

Nucleoli are membrane-less structures located within the nucleus and are known to be 24 25 involved in many cellular functions, including stress response and cell cycle regulation. 26 Besides, many viruses can employ the nucleolus or nucleolar proteins to promote 27 different steps of their life cycle such as replication, transcription and assembly. While 28 adeno-associated virus type 2 (AAV2) capsids have previously been reported to enter 29 the host cell nucleus and accumulate in the nucleolus, both the role of the nucleolus in 30 AAV2 infection, and the viral uncoating mechanism remain elusive. In all prior studies on AAV uncoating, viral capsids and viral genomes were not directly correlated on the 31 32 single cell level, at least not in absence of a helper virus. To elucidate the properties 33 of the nucleolus during AAV2 infection and to assess viral uncoating on a single cell level, we combined immunofluorescence analysis for detection of intact AAV2 capsids 34 35 and capsid proteins with fluorescence in situ hybridization for detection of AAV2 36 genomes. The results of our experiments provide evidence that uncoating of AAV2 particles occurs in a stepwise process that is completed in the nucleolus and supported 37 38 by alteration of the nucleolar structure.

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# 40 Author Summary

Adeno-associated virus (AAV) capsids have been reported to enter the host cell nucleus and accumulate in the nucleolus. However, both the role of the nucleolus in AAV2 infection as well as the viral uncoating mechanism remain unknown. Here, we provide evidence that uncoating of the AAV2 particle is a stepwise process that is completed in the nucleolus and supported by alteration of the nucleolar morphology.

# 47 Introduction

48 Adeno-associated virus type 2 (AAV2) is a small, non-pathogenic, helper virus-49 dependent parvovirus with a single-stranded (ss) DNA genome of approximately 4.7 50 kb. In absence of a helper virus, AAV2 can integrate its genome site-preferentially into 51 the adeno-associated virus integration site (AAVS1) on human chromosome 19 or 52 persist in an episomal form in the nucleus [1,2]. Co-infection with a helper virus, such 53 as herpes simplex virus type 1 (HSV-1), leads to a lytic replication cycle including the 54 production of progeny virus particles [3]. The AAV2 genome consists of two large open reading frames (ORFs) flanked by 145 nt long inverted terminal repeats (ITRs) located 55 56 on either side. The rep gene encodes the four non-structural Rep proteins, two of which 57 are transcribed from the p5 and the p19 promoter, respectively. An alternative splice 58 site regulates expression of the alternative transcripts, whereby the unspliced RNAs 59 encode Rep78 and Rep52, whereas Rep68 and Rep40 are encoded by their 60 corresponding spliced variant [4,5]. The promoter activity is regulated by the Rep 61 binding site (RBS), therefore allowing the Rep protein to act either as a trans-activator 62 or repressor [6]. In the absence of a helper virus, only little expression of Rep takes 63 place, which nonetheless is sufficient to repress any further transcription.

The three structural proteins VP1, VP2 and VP3, constituting the icosahedral capsid, are encoded by the *cap* gene. Furthermore, the *cap* gene encodes the assemblyactivating protein (AAP) and the membrane-associated accessory protein (MAAP) by means of nested alternative ORFs [7,8].

Adeno-associated viruses exhibit a broad cellular tropism [9]. Referring to AAV2, the cellular receptors facilitating cell attachment and entry, include heparan sulfate proteoglycan, human fibroblast growth factor receptor 1,  $\alpha_V\beta_5$  integrin,  $\alpha_5\beta_1$  integrin (reviewed in [10]) and the host factor KIAA0319L (synonymous AAVR) [11]. Different

72 entry pathways were proposed for AAV2, including clathrin- and dynamin-dependent 73 endocytosis or internalization supported by the Ras-related C3 botulinum toxin 74 substrate 1 (Rac1), a small GTPase and a major effector of macropinocytosis 75 (reviewed in [10]). However, internalization through clathrin-independent carriers 76 (CLICs) and GPI-enriched endocytic compartments (GEECs) was suggested to be 77 part of the major endocytic infection route [12]. It was shown that acidification in endocytic compartments and the activity of proteases trigger conformational changes 78 79 of the AAV2 capsid, leading to the exposure of the N-terminal domain of the VP1 protein, known as VP1 unique region (VP1<sub>u</sub>). VP1<sub>u</sub> containing a phospholipase A2 80 81 domain (PLA<sub>2</sub>) as well as a nuclear localization signal enables the endosomal 82 escape of AAV2 and nuclear entry [13]. After nuclear entry, AAV2 capsids were 83 shown to accumulate in nucleoli mediated by nucleolar associated proteins [14,15]. 84 Nucleoli are membrane-less structures located within the nucleus and are organized 85 in three distinct compartments. The fibrillary center is surrounded by the dense 86 fibrillary compartment and further embedded in the granular compartment. The 87 structural (re-)organization of the nucleolus is strongly linked to its function in 88 transcription and pre-rRNA processing. Besides, the nucleolus is known to be 89 involved in many other cellular functions, including stress response, cell cycle 90 regulation and apoptosis (reviewed in [16–19]). Additionally, many different viruses 91 such as HSV-1, human immunodeficiency virus type 1 (HIV-1) or adenovirus (AdV) 92 can harness the nucleolus or specific nucleolar proteins in order to promote different 93 steps of their life cycle including replication, transcription and assembly [20,21]. While 94 AAV2 capsids have previously been reported to enter the host cell nucleus and 95 accumulate in the nucleolus in a nucleolin- and nucleophosmin-dependent manner [14,15], both the role of the nucleolus in AAV2 infection, and the viral uncoating 96 97 mechanism remain elusive. The current paradigm proposes a model where AAV2

98 capsids accumulate in the nucleolus upon nuclear entry and then translocate to the 99 nucleoplasm for uncoating [22]. This model is based on the observation that treating 100 cells with either proteasome inhibitors or hydroxyurea, both known to enhance AAV2 101 transduction, improve nucleolar accumulation and mobilization of virions into the 102 nucleoplasm, respectively. Besides, the post-transcriptional silencing of 103 nucleophosmin, a highly expressed multifunctional nucleolar phosphoprotein. 104 enhanced nucleolar accumulation and increased transduction similar to the treatment 105 with proteasome inhibitors, while silencing of nucleolin, an abundant non-ribosomal 106 protein of the nucleolus [23], mobilized capsids to the nucleoplasm and enhanced 107 transduction similar to the treatment with hydroxyurea. Other studies concluded that 108 uncoating occurs before or during nuclear entry [24–26]. These conclusions were 109 mainly based on the fact that only AAV2 genomes were detected in the nucleus and 110 that perinuclear genomes did not always co-localize with AAV2 capsids. In all these 111 prior studies on AAV uncoating, however, viral capsids and viral genomes were not 112 directly correlated on the single cell level, at least not in absence of a helper virus 113 [27], but rather quantified by quantitative (q)PCR, Western or slot blot analysis, single 114 AAV capsid specific immunostainings or fluorescently labelled AAV virions 115 [22,24,26–31].

To elucidate the sink-like properties of the nucleolus during AAV2 infection and to assess viral uncoating on a single cell level, we combined immunofluorescence (IF) analysis to detect intact AAV2 capsids as well as capsid proteins with fluorescence *in situ* hybridization (FISH) to visualize AAV2 genomes. The results of our experiments support the hypothesis that AAV2 uncoating takes place in the nucleolus in a cell cycledependent manner.

# 122 **Results**

# 123 AAV2 capsids and AAV2 genomes accumulate in the

# 124 nucleoli of infected cells

Previous studies have shown that nucleoli act as a sink for incoming AAV2 capsids. However, it is not known whether the nucleolar localization is merely a result of the interaction of the AAV2 capsids with specific nucleolar proteins or a pre-requisite for an early step of the viral replication cycle such as uncoating or second strandsynthesis. While prior reports suggested that AAV2 capsids translocate from the nucleoli to the nucleoplasm for uncoating, these studies have not simultaneously

131 tracked capsids and genomes on the single cell level [22,32].

132 Here, we investigated the spatial and temporal distribution of both AAV2 capsids and

133 AAV2 genomes in single cells. For this, normal human fibroblast (NHF) cells were

134 either mock-infected or infected with wild-type (wt) AAV2 at a multiplicity of infection

135 (MOI) of 20'000 genome containing particles (gcp) per cell (herein referred to as

136 MOI). The cells were fixed at different time points post infection and processed for

137 combined multicolor immunofluorescence (IF) analysis to detect AAV2 particles using

an antibody that detects a conformational capsid epitope and fluorescence *in situ* 

139 hybridization (FISH) to detect AAV2 genomes (hereinafter referred to as IF-FISH).

140 The results showed both AAV2 capsids and AAV2 DNA accumulated in the nucleoli

141 of wtAAV2 infected cells over time (Fig 1, A and B). Neither AAV2 capsids nor

142 genomes were detected in the nucleoli upon infection of cells with mutant AAV2

<sup>76</sup>HD/AN (S1 Fig) which contains two mutated residues in the catalytic center of the

144 phospholipase A2 (PLA<sub>2</sub>) domain and is therefore deficient for endosomal escape

145 [33].

146 Interestingly, we did not only observe capsid-positive, genome-negative (AAV2 147 capsid+DNA-) signals in the cytoplasm, as it would be expected when capsids are 148 intact and therefore do not allow binding of the FISH probe to the virus genome, but 149 frequently also capsid-positive, genome-positive signals (AAV2 capsid+DNA+; see 150 insets Fig 1A, 0 h, 3 h, 10 h). This indicates that either the virus stocks contained 151 improperly encapsidated AAV2 DNA or that the AAV2 genome is accessible to the 152 FISH probe within the cytoplasm (herein referred to as genome accessibility). While 153 the main focus of this study was on simultaneously tracking AAV2 capsids and AAV2 154 genomes in the nucleus, it was important to first investigate the origin of the AAV2 155 capsid-positive and AAV2 genome-positive signals in the cytoplasm. 156 Fig 1. Spatial distribution of AAV2 capsids and DNA over time. NHF cells were 157 158 infected with wtAAV2 (MOI 20`000). At various time points post infection, the cells 159 were fixed and processed for multicolor IF analysis combined with FISH and confocal 160 laser scanning microscopy (CLSM). Nucleoli (Nuc) were visualized using an antibody 161 against fibrillarin (yellow). Intact capsids were stained using an antibody that detects 162 a conformational capsid epitope (green). AAV2 DNA (magenta) was detected with an 163 Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that binds to the AAV2 164 genome. (A) Spatial and temporal distribution of AAV2 capsids and DNA. The white 165 line represents the edge of the nucleoli (fibrillarin staining). (B) Orthogonal 166 projections of a z-stack at 24 hpi. The white line represents the edge of the nucleus 167 (4`,6-diamidino-2-phenylindole (DAPI) staining). (C) Image-based quantification of 168 the uncoating rate of 50 individual cells per time point in the nucleolus and (D) in the 169 cytoplasm. p-values were calculated using an unpaired Student's t-test (\* -  $p \le 0.05$ , \*\* -  $p \le 0.01$ , \*\*\* -  $p \le 0.001$ , \*\*\*\* -  $p \le 0.0001$ ). 170

### 172 **Co-detection of AAV2 capsids and AAV2 genomes in the**

# 173 cytoplasm is supported by AAV2 genome accessibility and

# 174 requires acidification

175 To address the question whether wtAAV2 stocks contained improperly encapsidated 176 AAV2 genomes, wtAAV2 particles were directly applied to fibronectin coated 177 coverslips and processed for IF-FISH (Fig 2A). While in the untreated wtAAV2 178 samples all capsid-positive signals (green) were genome-negative, only genome-179 positive signals (red) but no capsids were observed upon incubation for 5 min at 180 75°C, which is known to destabilize AAV2 capsids [34]. In the heat-treated samples, the AAV2 capsids were indeed disintegrated as confirmed by electron microscopy 181 182 (Fig 2B), and the AAV2 genome signals disappeared upon DNase I treatment (Fig 183 2A). These experiments demonstrate that the virus stocks were not contaminated 184 with improperly encapsidated AAV2 DNA. To address the hypothesis that co-185 detection of AAV2 capsids and AAV2 genomes in the cytoplasm is enabled by 186 genome accessibility, we determined the ratios of AAV2 capsid+DNA+/AAV2 187 capsid+DNA- signals at different timepoints after infection using CellProfiler. As 188 shown in Fig 1C and 1D, these ratios significantly increased with time of infection, 189 both in the cytoplasm and the nucleoli, indicating AAV2 capsid+DNA- signals 190 decrease over time.

As acidification has been shown to lead to conformational changes in the AAV2 capsid and to be important for AAV2 infection, endosomal escape and nuclear entry in particular [25], we examined whether acidification leads to co-detection of AAV2 capsid- and AAV2 genome signals in the cytoplasm. To this end, NHF cells were treated with bafilomycine A1 (50 or 200 nM) 1 h prior to infection with wtAAV2 (MOI 20`000). At 3 hours post infection (hpi), the samples were processed for IF-FISH and

197 CLSM. Treating cells with bafilomycine A1, a vacuolar H+-ATPase inhibitor which 198 blocks endosomal acidification, significantly reduced the import of AAV2 capsids into 199 the nucleus (Fig 3C), as demonstrated previously [25], and also the AAV2 200 capsid+DNA+/AAV2 capsid+DNA- signal ratios in the cytoplasm (Fig 3, A and B; see 201 also insets in Fig 3A). Collectively, these experiments confirm the specificity of the IF-202 FISH assay and support the hypothesis that the co-localization of AAV2 capsids and 203 AAV2 DNA in the cytoplasm is due to genome accessibility and is enhanced by 204 acidification.

205

Fig 2. AAV2 particles on fibronectin coated coverslips. (A) wtAAV2 particles were directly applied to fibronectin coated coverslips and processed for IF analysis combined with FISH and CLSM. Intact capsids were stained using an antibody that detects a conformational capsid epitope (green). AAV2 DNA (red) was detected with an Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that binds to the AAV2 genome. (B) Electron photomicrographs show complete disintegration of the AAV2 capsids at 75°C.

213

214 Fig 3. Acidification enhances genome accessibility of AAV2. NHF cells were 215 treated with bafilomycine A1 (50 or 200 nM) or DMSO 1 h prior to infection with 216 wtAAV2 (MOI 20`000). At 3 hpi, the cells were fixed and processed for multicolor IF 217 analysis combined with FISH and CLSM. Nucleoli (Nuc) were visualized using an 218 antibody against fibrillarin (yellow). Intact capsids were stained using an antibody 219 that detects a conformational capsid epitope (green). AAV2 DNA (magenta) was 220 detected with an Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that 221 binds to the AAV2 genome. (A) Genome accessibility of AAV2 capsids after 222 inhibition of the endosome-lysosome system acidification. The white line represents

the edge of the nucleoli (fibrillarin staining). (B) Image-based quantification of the genome accessibility (ratio of AAV2 capsid+DNA+/AAV2 capsid+DNA- signal) of 50 individual cells per sample in the cytoplasm and (C) nuclear AAV2 capsid counts. p-values were calculated using an unpaired Student's t-test (\* - p  $\leq$  0.05, \*\* - p  $\leq$  0.01, \*\*\* - p  $\leq$  0.001, \*\*\*\* - p  $\leq$  0.0001).

228

# 229 Detection of AAV2 capsids negatively correlates with

230 detection of AAV2 capsid proteins

231 After establishing that the co-detection of AAV2 capsids and AAV2 genomes by 232 combined IF-FISH and CLSM is not because of improperly encapsidated virus 233 particles, we continued to analyze the distribution of AAV2 capsids and genomes in 234 the nuclei of individual cells. For this, NHF cells were mock-infected or infected with 235 wtAAV2 (MOI 20`000) and 24 h later processed for combined IF-FISH and CLSM to 236 detect AAV2 capsids and genomes. Interestingly, we observed three distinct 237 patterns of nucleolar AAV2 genome and AAV2 capsid staining: (I) nucleoli with 238 robust AAV2 genome and AAV2 capsid signal, (II) nucleoli with robust AAV2 DNA signal but weak AAV2 capsid signal, and (III) nucleoli in which only the viral DNA 239 240 was detected in absence of capsids (Fig 4, A and B, see also S1 movie). The pattern, 241 in particular the observation of AAV2 DNA in the nucleoli in absence of AAV2 242 capsids, led us to the hypothesis that complete AAV2 uncoating takes place in the 243 nucleolus.

If the absence of AAV2 capsid staining in the nucleoli with positive AAV2 genome signal was indeed due to complete viral uncoating, we would expect the presence of disassembled AAV2 capsid proteins in those nucleoli. To assess this hypothesis, NHF cells were mock-infected or infected with wtAAV2 (MOI 20`000) and 24 h later

248 processed for combined IF-FISH and CLSM to detect AAV2 capsids (conformational 249 epitope), AAV2 capsid proteins (linear epitope) and AAV2 DNA (Fig 5, S2 Fig and Fig 9). The results show a negative correlation of AAV2 capsids and AAV2 capsid 250 251 proteins in the nucleolus, supporting the hypothesis that AAV2 uncoating indeed 252 takes place in the nucleoli. For technical reasons, co-staining of AAV2 capsids, AAV2 253 capsid proteins VP1/2/3 and AAV2 DNA did not allow to directly visualize nucleoli. 254 However, the DAPI staining in Fig 5 indirectly reveals the position of the nucleoli. 255 Moreover, individual staining of either AAV2 capsids or AAV2 capsid proteins 256 together with AAV2 DNA and a nucleolar marker demonstrated that both intact AAV2 257 capsids (e.g., Fig 1) and AAV2 capsid proteins VP1/2 (Fig 5B) accumulated with 258 AAV2 DNA in nucleoli.

259 Intriguingly, we noticed a distinct difference in the nucleolar structure when

260 comparing AAV2 DNA-positive nucleoli that were positive also for intact AAV2

261 capsids with AAV2 DNA-positive nucleoli that were negative for intact AAV2 capsids

or positive for AAV2 capsid proteins. Specifically, the nucleoli appeared dense when

263 positive for both AAV2 DNA and AAV2 capsids and dispersed when positive for

AAV2 DNA and negative for AAV2 capsids or positive for AAV2 DNA and AAV2

capsid proteins (Fig 4A, Fig 5B). Image-based quantification of the mean integrated

266 intensity of AAV2 capsid signals relative to the nucleolar structure revealed a higher

267 capsid signal intensity in dense nucleoli than in dispersed nucleoli (Fig 4C). Overall,

these experiments imply that complete AAV2 uncoating takes place in the nucleoli

and coincides with changes in the nucleolar structure.

As the ratios of dense to dispersed nucleoli was comparable in mock-infected and wtAAV2 infected cells (S3 Fig), we hypothesized that not virus infection *per se* but cellular processes such as apoptosis, stress response, or cell cycle regulation are responsible for the different structures of AAV2 capsid-positive and AAV2 DNA-

positive versus AAV2 capsid-negative and AAV2 DNA-positive nucleoli and may
thereby control AAV2 uncoating.

276

#### 277 Fig 4. Absence of intact AAV2 capsids in AAV2 genome positive nucleoli

278 points towards complete viral uncoating. NHF cells were infected with wtAAV2 279 (MOI 20`000). At 24 hpi, the cells were fixed and processed for multicolor IF analysis 280 combined with FISH and CLSM. Nucleoli (Nuc) were visualized using an antibody 281 against fibrillarin (yellow). Intact capsids were stained using an antibody that detects a conformational capsid epitope (green). AAV2 DNA (magenta) was detected with an 282 283 Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that binds to the AAV2 284 genome. Nuclei were counterstained with DAPI and illustrated as white lines. (A) 285 Distinct pattern (I - III) of AAV2 capsid signal in cells with AAV2 genome positive 286 nucleoli. (B) Quantification of 50 individual cells with distinct AAV2 capsid signal. (C) 287 Image-based quantification of the integrated intensity of AAV2 capsid signals in 288 dense or dispersed nucleoli of 70 individual cells. p-values were calculated using an 289 unpaired Student's t-test (\* -  $p \le 0.05$ , \*\* -  $p \le 0.01$ , \*\*\* -  $p \le 0.001$ , \*\*\*\* -  $p \le 0.0001$ ). 290

291 Fig 5. Co-detection of AAV2 DNA with AAV2 capsids and AAV2 capsid 292 proteins. NHF cells were infected with wtAAV2 (MOI 20`000). At 24 hpi, the cells 293 were fixed and processed for multicolor IF analysis combined with FISH and CLSM. 294 (A) Intact capsids (green) or capsid proteins (yellow) were detected using either an 295 antibody against intact AAV2 capsids (conformational capsid epitope) or an 296 antibody (linear epitope) against VP1, VP2 and VP3. AAV2 DNA (red) was detected 297 with an Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that binds to the 298 AAV2 genome. Nuclei were counterstained with DAPI (blue). (B) AAV2 capsid 299 proteins (green) were detected using an antibody (linear epitope) against VP1 and

- 300 VP2. AAV2 DNA (magenta) was detected by linking the amine-modified DNA to
- 301 AF647. Nucleoli (Nuc) were visualized using an antibody against fibrillarin (yellow).
- 302 Nuclei were counterstained with DAPI and illustrated as white lines.
- 303

### 304 Changes in nucleolar morphology correlate with cell cycle

#### 305 progression

306 To address the guestion whether the changes in nucleolar structure are linked to cell 307 cycle progression, we performed image-based cell cycle analysis using DAPI and 308 fibrillarin staining. To this end, a DAPI integrated intensity protocol was adapted from 309 Ruokos et al. [35] and validated in NHF cells by correlating cyclin A, which is only 310 expressed in late S and G2 cell cycle phases, with the integrated intensity of DAPI 311 (S4 Fig; see also materials and methods). As a first step, the background of each 312 image was subtracted (step 1). Next, nuclei as well as the cyclin A staining were 313 identified as primary objects using CellProfiler (step 2). In step 3 and 4, nuclei and 314 cyclin A were related to each other and the DAPI integrated intensity of each cell 315 was measured. The measured properties of each individual cell were subsequently 316 exported and read into Matlab, where histograms were plotted. Visual thresholds 317 were set (red dotted lines) to distinguish the distribution of the histogram into G1, S 318 and G2 (step 5). The images were then analyzed with a second CellProfiler pipeline 319 using the visual thresholds of the integrated intensity of DAPI to classify cells into 320 G1: 54.85%, S: 9.67% and G2: 35.48% (step 6). Lastly, overlay images, showing the 321 cell cycle stage of each cell, were saved to allow the tracking of individual cells for 322 further analysis (step 7).

323 To further validate the adapted protocol, NHF cells were synchronized using a 324 double thymidine block. After the release, the cells were either mock-treated or

325 treated with nocodazole (200 nM) for 24 hours to induce a G2 cell cycle arrest. For 326 flow cytometry, the cells were harvested, fixed and stained with DAPI. For CLSM, the coverslips were embedded in ProLong Anti-Fade mountant containing DAPI. 327 328 Images were analyzed as described in the section cell cycle analysis based on 4,6-329 diamidino-2-phenylindole (DAPI) staining. Both methods, flow cytometry and CLSM, 330 showed a significant decrease of the number of cells within G1 cell cycle phase and 331 a significant increase of cells in G2 cell cycle phase upon nocodazole treatment (S5 332 Fig), indicating that the adapted protocol is suitable for image-based cell cycle staging. Next, the fibrillarin staining was correlated to the cell cycle profile of the 333 334 mock-infected and wtAAV2 infected NHF cells. Figure 6 shows that the ratios of 335 dense to dispersed nucleoli decrease during cell cycle progression in both mock-336 infected and AAV2 infected cells. Overall, the data imply that the observed 337 morphological changes of the nucleoli indeed coincide with cell cycle progression 338 and were not due to AAV2-induced stress response (see also S3 Fig).

339

Fig 6. Nucleolar reorganization during cell cycle progression. Image-based analysis of the ratios of dense to dispersed nucleoli of 100 individual mock- or AAV2 infected cells in different cell cycle phases (G1, S and G2). p-values were calculated using an unpaired Student's t-test (\* - p  $\leq$  0.05, \*\* - p  $\leq$  0.01, \*\*\* - p  $\leq$  0.001, \*\*\*\* - p  $\leq$  0.0001).

345

# 346 G1 cell cycle phase obstructs complete AAV2 uncoating

347 Since we observed a decrease in the ratio of dense versus dispersed nucleolar 348 structures (Fig 6 and S6 Fig) during cell cycle progression and a stronger intensity 349 of AAV2 capsid signals in dense nucleoli than in dispersed nucleoli (Fig 4C), we next 350 addressed the question whether cell cycle progression is important for complete

351 AAV2 uncoating. For this, NHF cells were arrested in the G1 phase by a double 352 thymidine treatment before and during infection with wtAAV2 (MOI 20`000). As control, the cells were released 8 h prior to infection by washing out the thymidine. 353 354 At 24 h after infection, there was a robust difference in the cell cycle profile between 355 G1-arrested cells (approx. 83 % in G1) and released cells (approx. 47% in G1), 356 confirming the efficient G1 arrest (Fig 7A). Image-based cell cycle analysis showed 357 that the rate of complete uncoating (AAV2 capsid-DNA+/AAV2 capsid+DNA+) was 358 approximately 4-fold lower in the G1-arrested cells compared to the released cells 359 (Fig 7B). The double thymidine block did not *per se* influence the rate of complete 360 uncoating, as the ratios of AAV2 capsid-DNA+/AAV2 capsid+DNA+ signals in G1 361 cells were comparable in presence or absence of thymidine (Fig 7C). Moreover, 362 neither the blocking with nor the release from thymidine influenced the total area of 363 the nucleoli during cell cycle progression (Fig 7D).

364

365 Fig 7. G1 cell cycle arrest obstructs complete uncoating. NHF cells were arrested 366 in G1 cell cycle phase by a double thymidine block before and during infection with 367 wtAAV2 (MOI 20`000). As control, the cells were released 8 h prior to infection by 368 washing out the thymidine. At 24 hpi, the cells were fixed and processed for multicolor 369 IF analysis combined with FISH, CLSM and image-based analysis of (A) the cell cycle 370 profile after continuous thymidine block or release, respectively. (B) Quantification of the total uncoating rate. (C) Image-based quantification of the uncoating rate in G1 cell 371 372 cycle phase. (D) Image-based quantification of the nucleolar area after continuous 373 thymidine block or release, respectively. p-values were calculated using an unpaired Student's t-test (\* -  $p \le 0.05$ , \*\* -  $p \le 0.01$ , \*\*\* -  $p \le 0.001$ , \*\*\*\* -  $p \le 0.0001$ ). 374

375

#### 376 Induction of nucleolar disruption overcomes thymidine-

# 377 mediated obstruction of AAV2 uncoating

378 NHF cells were arrested in the G1 phase of the cell cycle by a double thymidine 379 block before and during infection with wtAAV2 (MOI 20`000). At 24 hpi, the cells 380 were treated with actinomycin D (50 nM) for 1 h in order to induce nucleolar 381 disruption (reviewed in [36]), fixed and processed for multicolor IF-FISH and CLSM 382 (Fig 8A). Analysis of the cell cycle profile confirmed the cell cycle arrest upon 383 thymidine and actinomycin D treatment (60% of cells in G1). The actinomycin D 384 mediated nucleolar disruption in thymidine-treated cells led to a considerable 385 decrease of capsid signals in the nucleoli and nucleoplasm and an increase of AAV2 386 genome signals in the nucleoplasm (Fig 8, A and B). This shows that complete 387 uncoating can be induced by changes in the nucleolar structure (disruption) even 388 when cells are in G1 phase where normally no efficient complete uncoating is 389 observed (Fig 7).

390

391 Fig 8. Actinomycin D treatment overcomes thymidine-mediated obstruction of AAV2 uncoating. NHF cells were arrested in G1 cell cycle phase by a double 392 393 thymidine block before and during infection with wtAAV2 (MOI 20`000). At 24 hpi, the 394 cells were treated with actinomycin D for 1 h, fixed and processed for multicolor IF 395 analysis combined with FISH and CLSM. (A) Nucleoli (Nuc) were visualized using an 396 antibody against fibrillarin (yellow). Intact capsids were stained using an antibody that 397 detects a conformational capsid epitope (green). AAV2 DNA (red) was detected with 398 an Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that binds to the AAV2 399 genome. (B) Image-based quantification of 50 individual cells per condition for

400 nucleolar capsid, total nuclear capsid or total nuclear AAV2 genome signals. p-values 401 were calculated using an unpaired Student's t-test (\* - p  $\leq$  0.05, \*\* - p  $\leq$  0.01, 402 \*\*\* - p  $\leq$  0.001, \*\*\*\* - p  $\leq$  0.0001).

403

# 404 Capsid disassembly coincides with cell cycle progression

405 To further assess whether capsid disassembly overlaps with cell cycle progression, 406 NHF cells were either mock-infected or infected with wtAAV2 (MOI 20`000). 24 h 407 later, the cells were fixed and processed for IF-FISH, CLSM and image-based cell 408 cycle analysis and quantification. Specifically, we used the DAPI integrated intensity 409 protocol to determine the cell cycle phase and the IF-FISH protocol to detect intact 410 AAV2 capsids, the disassembled AAV2 VP1/2/3 capsid proteins, and the AAV2 DNA 411 (Fig 9). In 55% of the cells in G1 cell cycle phase but only in 29% of the cells in S/G2 we observed the accumulation of AAV2 DNA together with AAV2 capsids (genome 412 413 accessibility). In contrast, the number of cells in which the AAV2 DNA did not 414 accumulate together with AAV2 capsids but rather with AAV2 capsid proteins VP1, 415 VP2 and VP3 (complete uncoating) increased from 50% in G1 to 80% in S/G2. The 416 same observation held true for neonatal human dermal fibroblasts (HDFn) cells 417 infected with wtAAV2 (S7 Fig). Overall, our data strongly indicate that capsid 418 disassembly coincides with cell cycle progression and nucleolar alterations.

419

Fig 9. Capsid disassembly coincides with cell cycle progression. NHF cells were infected with wtAAV2 (MOI 20`000). At 24 hpi, the cells were fixed and processed for multicolor IF analysis combined with FISH and CLSM. (A) Intact capsids (green) or capsid proteins (yellow) were detected using either an antibody against intact AAV2 capsids (conformational capsid epitope) or an antibody (linear epitope) against VP1, VP2 and VP3. AAV2 DNA (magenta) was detected with an

Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that binds to the AAV2
genome. Nuclei were counterstained with DAPI and illustrated as white lines. (B)
Quantification of at least 70 nuclei positive for intact AAV2 capsids or capsid
proteins during cell cycle progression.

430

# 431 **Discussion**

432 Nucleoli are membrane-less and dynamic subnuclear structures, which were mainly 433 known for their role in ribosome biosynthesis. However, nucleoli have a function in 434 numerous other cellular processes as well, such as cell cycle regulation, stress 435 response and apoptosis (reviewed in [16–19]). Proteomic approaches led to the 436 identification of roughly 4`500 nucleolar associated proteins of which only a third is 437 linked to ribosome biogenesis [37,38].

438 Many different viruses can exploit the nucleolus or nucleolar proteins to drive different 439 steps of their life cycle including replication, transcription, and assembly (reviewed in 440 [20,21,39,40]). For example, HSV-1 induces the redistribution of nucleolin from the 441 nucleolus into HSV-1 replication compartments in a ICP4-dependent manner, thereby 442 leading to enhanced HSV-1 replication and disruption of the nucleolar structure [41]. 443 Similarly, nucleolar upstream binding factor (UBF) and nucleophosmin (B23.1) are 444 recruited to adenovirus replication compartments to promote viral DNA replication [42-445 44]. The autonomous parvovirus minute virus of mice has been shown to replicate its 446 DNA in the nucleoli of mouse fibroblasts [45,46]. Additionally, borna disease virus 447 transcription and replication take place in the nucleoli as well [47]. Moreover, specific 448 mRNAs and proteins of many different viruses, including HIV-1, Japanese encephalitis 449 virus, and Semliki Forest virus traffic through the nucleolus for processing, and the 450 inhibition of such trafficking affects virus replication [48-50].

451 Helper virus-supported AAV2 DNA replication occurs in nuclear replication 452 compartments that are distinctly separate from nucleoli (S8 Fig). However, AAV2 453 interacts with nucleoli at both early and late stages of the replication cycle, cell entry 454 and assembly. Upon nuclear entry AAV2 capsids have been shown to accumulate in 455 the nucleoli [14,15]. Later in infection, intact AAV2 capsids were detected also in the 456 nucleoplasm. Treatment of cells with hydroxyurea or proteasome inhibitors, both of which are known to improve AAV2 transduction efficiency, increased either nucleolar 457 458 accumulation of AAV2 capsids or their relocalization into the nucleoplasm. Moreover, 459 the post-transcriptional silencing of nucleophosmin enhanced nucleolar accumulation 460 and increased transduction comparable to the proteasome inhibitor treatment, while 461 the siRNA-mediated silencing of nucleolin mobilized capsids to the nucleoplasm and 462 enhanced transduction similar to the treatment with hydroxyurea. These observations 463 led to the hypothesis that AAV2 uncoating takes place in the nucleoplasm [22]. 464 However, in the afore mentioned study and all other studies, viral capsids and viral 465 genomes were not directly correlated on the single cell level but rather analyzed by 466 quantitative (q)PCR, Western blot and immunofluorescence [22,24-27,29-31]. 467 By employing combined immunofluorescence analysis with fluorescence in situ 468 hybridization (IF-FISH) and confocal laser scanning microscopy, we monitored the 469 spatial and temporal distribution of AAV2 capsids and genomes on the single cell 470 level and observed that AAV2 DNA accumulates together with AAV2 capsids in the 471 nucleoli of AAV2 infected cells, thereby confirming previous findings that the 472 nucleolus acts as a sink for incoming AAV2 particles. In addition, our IF-FISH assay 473 provides evidence for the stepwise uncoating of the AAV2 particle. Step 1 occurs in 474 the cytoplasm and leads to AAV2 genome accessibility where the viral capsid is still 475 recognized by an antibody that binds to a conformational capsid epitope and co-476 localizes with AAV2 DNA. Step 2 takes place within the nucleoli and results in the

477 complete disassembly of the AAV2 capsids and the accumulation of AAV2 DNA and478 AAV2 capsid proteins.

479 The exact mechanism that drives step 1 of the AAV2 uncoating process remains to 480 be investigated. However, our data show that it is enhanced by acidification, as co-481 detection of AAV2 capsids and AAV2 DNA in the cytoplasm was reduced in cells 482 treated with bafilomycin A1, a vacuolar H+-ATPase inhibitor. The interaction of 483 importin  $\beta$  and the N-terminal end of VP1 [24] as well as the pH-dependent structural 484 reorganization of the AAV2 capsid leading to the extrusion of the nuclear localization 485 signals located in VP1<sub>u</sub> and VP1/VP2 N-termini [13,51,52] have been shown to be 486 relevant for efficient nuclear entry of the AAV2 capsid. Whether or not the 487 accessibility of the AAV2 genome for the AAV2 DNA specific FISH probe in AAV2 488 capsids that are still recognized by a conformational capsid antibody is due to pH-489 dependent structural rearrangements of the capsid or rather due to the protrusion of 490 the AAV2 DNA from an almost intact AAV2 capsid, as it has been shown for 491 thermally induced AAV2 genome release [53], requires further investigation. The 492 accessibility of the AAV2 genome, however, might provide further evidence for the 493 Toll-like receptor 9 (TLR9) mediated antiviral activation state in AAV2 infected 494 untransformed cells [54].

Our image-based analysis of the nucleolar structure as well as AAV2 DNA, AAV2 capsids, and AAV2 capsid proteins, relative to the cell cycle profile provides strong evidence that step 2 of the uncoating process, the complete disassembly of the capsid, occurs in the nucleolus. The data also support the hypothesis that the complete disassembly of AAV2 capsids is induced by the structural reorganization of the nucleolus in a cell cycle-dependent manner.

501 While it is common for viruses to take advantage of the cell cycle or to undermine it 502 in order to drive different stages of their life cycle (reviewed in [55]), little is known

503 about viruses availing the cell cycle to drive their uncoating process. A recent study 504 demonstrated that the HIV-1 is unable to uncoat its core in guiescent CD4+ 505 lymphocytes and that the uncoating activity requires transition from G0/G1<sub>a</sub> to G1<sub>b</sub> 506 stage, arguing for the demand of cell cycle-dependent specific factors for HIV-1 507 uncoating [56]. For foamy virus (FV), capsid uncoating and the formation of the 508 preintegration complex starts with the onset of mitosis. As the microtubule organizing 509 center and the associated centrosomes, both being relevant for the life cycle of the 510 virus, are highly linked to cell cycle regulation, it is likely that cell cycle regulatory 511 proteins might contribute to FV capsid uncoating [57].

512 Nucleolar proteins such as nucleolin can bind to AAV2 capsids and seem to play a 513 major role in the AAV2 replication cycle. Several studies demonstrated that nucleolin 514 is barely detectable in resting cells; in contrast, nucleolin represents the major 515 nucleolar protein in cycling eukaryotic cells [23,58]. This observation provides 516 evidence for a link between AAV2 capsids, cell cycle progression and nucleolar 517 proteins.

518 The interaction of some virus proteins with the nucleoli has been shown to be regulated 519 by the cell cycle as well. For example, the human cytomegalovirus UL83 protein and 520 the coronavirus nucleocapsid protein have been shown to localize to the nucleolus 521 preferentially in the G1 and the G2 phase of the cell cycle, respectively. Most 522 interestingly, we have previously reported that AAV2 gene expression and DNA 523 replication occur primarily in the G2 phase of the cell cycle [59]. This cell cycle-524 dependence was not due to inefficient second-strand synthesis in cells in G1 nor to 525 cell cycle-dependent DNA damage responses, as gene expression from a double-526 stranded self-complementary AAV2 vector was also reliant on cells in the G2 phase of 527 the cell cycle and the inhibition of specific kinases in DNA damage signaling did not

528 result in a shift of gene expression to cells in G1. Moreover, AAV2 transduction 529 efficiency was shown to be lower in guiescent cells compared to proliferating cells [60] 530 Based on our new finding that the accumulation of AAV2 DNA together with 531 disassembled AAV2 capsid proteins in dispersed nucleoli coincides with the G2 phase 532 of the cell cycle, it is tempting to speculate that cell cycle-dependent AAV2 gene 533 expression and DNA replication is controlled by cell cycle-dependent reorganization of 534 the nucleolar structure that enables AAV2 uncoating. This hypothesis is further 535 supported by the observation that perturbations that lead to changes in the nucleolar 536 architecture such as actinomycin D treatment (this study), helper virus infection, or 537 post-transcriptional silencing of nucleolin, enhance AAV2 transduction. However, the 538 exact mechanism of the disassembly of the AAV2 capsid by nucleolar reorganization 539 during cell cycle progression remains to be further investigated.

540

# 541 Materials and methods

#### 542 Cells and viruses

543 Normal human fibroblast (NHF) cells were kindly provided by X.O. Breakefield

544 (Massachusetts General Hospital, Charlestown, MA, USA). NHF cells, neonatal

545 human dermal fibroblast cell line HDFn (ATCC PCS-201-010, American Type Culture

546 Collection, Rockville, Md, USA) and African green monkey kidney cells (Vero cells,

547 ATCC, American Type Culture Collection, Rockville, Md, USA) were maintained in

- 548 growth medium containing Dulbecco's modified Eagle medium (DMEM)
- supplemented with 10% fetal bovine serum (FBS), 100 U/ml penicillin G, 100 µg/ml
- 550 streptomycin, and 0.25 μg/ml amphotericin B (1% AB) at 37°C in a 95% air-5% CO<sub>2</sub>
- 551 atmosphere. Wild-type (wt) AAV2 was produced by H. Buening (University of
- 552 Hannover, Hannover, Germany) and the Viral Vector Facility (VVF) of the

- 553 Neuroscience Center Zurich (ZNZ). The VP1 AAV2 mutant (<sup>76</sup>HD/AN) was
- 554 constructed according to Girod et al. [33] and produced by the VVF.
- 555 Briefly, the <sup>76</sup>HD/AN mutant construct was generated by mutating two key residues
- <sup>76</sup>HD to <sup>76</sup>AN using K-<sup>76</sup>HD/AN (5`GCGGCCCTCGAGGCCAACAAGCCTACGACCGG
- 3<sup>°</sup>), L-<sup>76</sup>HD/AN (5<sup>°</sup> CCGGTCGTAGGCTTTGTTGGCCTCGAGGGCCGC 3<sup>°</sup>), psub-201
- [61] containing the full-length AAV2 genome as template and the QuikChange Site-
- 559 Directed Mutagenesis Kit (Agilent Technologies).

### 560 Antibodies

561 The following primary antibodies were used: anti-AAV2 intact particle (A20, ProGen; 562 dilution for Immunofluorescence [IF] 1:50), anti-AAV VP1/VP2/VP3 (VP51, ProGen, dilution for IF 1:10), anti-AAV VP1/VP2 (A69, ProGen, dilution for IF 1:10), anti-AAV2 563 564 Rep (Fitzgerald Industries, 10R-A111A, dilution for IF 1:10), anti-fibrillarin (Abcam 565 ab5821; dilution for IF 1:200), anti-cyclin A (Santa Cruz sc-751, dilution for IF 1:500). 566 The following secondary antibodies were used: Alexa Fluor 594 goat anti-rabbit IgG 567 (Life Technologies A11037, dilution for IF 1:500), Alexa Fluor 488 goat anti-mouse IgG 568 (Invitrogen A11001, dilution for IF 1:500).

### 569 Viral infection and treatments

- 570 NHF, HDFn or Vero cells were seeded onto coverslips (12-mm diameter;
- 571 Glaswarenfabrik Karl Hecht GmbH & Co. KG, Sondheim, Germany) in 24-well tissue
- 572 culture plates at a density of 3x10<sup>4</sup> cells per well. The next day the cells were washed
- 573 with PBS and either mock-infected or infected with wtAAV2 at a multiplicity of
- 574 infection (MOI) of 20`000 genome containing particles (gcp) per cell in 250 µl of
- 575 DMEM (0% FBS, 1% AB) pre-cooled to 4°C. The plates were first incubated for
- 576 30 min at 4°C to synchronize viral uptake and then incubated at 37°C in a humidified
- 577 95% air-5% CO<sub>2</sub> incubator for the indicated time period. For acidification experiments

578 NHF cells were treated with bafilomycine A1 (50 or 200 nM) or DMSO in DMEM 579 (10% FBS, 1% AB) 1 h prior to infection with wtAAV2. G1 cell cycle phase arrest prior to infection was induced by a double thymidine block. For this, cells were 580 581 seeded in 10 cm tissue culture dishes (5x10<sup>5</sup> cells per dish) and 12 h later the growth 582 medium was replaced with medium (DMEM, 10% FBS, 1% AB) containing 3 mM 583 thymidine. After 12 h of incubation, the cells were washed with PBS, trypsinized and 584 split at a density of 6x10<sup>4</sup> cells per well into 6-well tissue culture plates containing 585 coverslips. In order to complete the double thymidine block, the growth medium was replaced 12 hours later by medium containing 3 mM thymidine. After 12 hours, the 586 587 cells were either released from the block by washing out the thymidine with PBS or 588 directly infected with wtAAV2 in the presence of thymidine. Nucleolar disruption was 589 induced with actinomycin D (50 nM) in DMEM (2% FBS, 1% AB) for 1 h after 24 h of 590 infection in presence of thymidine.

#### 591 Cell cycle analysis based on 4`,6-diamidino-2-phenylindole

# 592 (DAPI) staining

593 The workflow described is closely related and adapted from the protocol published by 594 Roukos et al., 2015 ([62]). Briefly, NHF cells were seeded onto coverslips (12-mm 595 diameter; Glaswarenfabrik Karl Hecht GmbH & Co. KG, Sondheim, Germany) in 24-596 well tissue culture plates (3x10<sup>4</sup> cells per well). The next day, the cells were washed 597 with PBS, processed as indicated in the results and the figure legends, counterstained 598 with DAPI and imaged by confocal laser scanning microscopy (Leica SP8; Leica 599 Microsystems, Wetzlar, Germany). An automated CellProfiler (V.2.2.0-V.4.0.7) 600 pipeline measured the integrated intensity of DAPI. Next, the histograms of DAPI, 601 corresponding to the DNA content, were plotted and visual thresholds for each cell 602 cycle phase were selected. These thresholds were finally read back into a secondary

603 CellProfiler pipeline, which lastly allowed tracking of individual cells and 604 measurements.

# 605 **Comparison of cell cycle classification using flow**

### 606 cytometry and the DAPI integrated intensity protocol

607 To validate the DAPI integrated intensity protocol, NHF cells were synchronized using 608 a double thymidine block (as described above). After the release, the cells were either 609 mock-treated or treated with nocodazole (200 nM) for 24 hours. For flow cytometry, 610 the cells were harvested by exposing them to 0.05 % Trypsin-EDTA solution for 10 611 min, centrifuged and washed with PBS, fixed in 2.5 ml ice-cold 100% ethanol, 612 centrifuged, washed once again with PBS and stained with a freshly made solution 613 containing 1 µg/mL DAPI, 0.05% Triton X-100 and 0.1 mg/mL ribonuclease A (RNase 614 A) in PBS. All samples were incubated for 45 min at 37°C in the dark. After incubation, 615 the cells were washed twice with PBS and then resuspended in 200 µl PBS prior to 616 analysis (SONY SP6800 Spectral Analyzer). For confocal laser scanning microscopy. 617 the coverslips were embedded in ProLong Anti-Fade mountant with DAPI (Molecular 618 Probes, Eugene, OR, USA) and imaged using a 63x oil immersion objective (Leica 619 SP8; Leica Microsystems, Wetzlar, Germany). Images were analyzed as described in 620 the section cell cycle analysis based on 4`,6-diamidino-2-phenylindole (DAPI) staining.

# 621 Combined multicolor immunofluorescence analysis and

### 622 fluorescence in situ hybridization (FISH)

623 FISH was performed essentially as described previously by Lux et al. [27]. Briefly, a 624 3.9-kb DNA fragment containing the AAV2 genome without the inverted terminal 625 by PCR from plasmid pDG using forward repeats was amplified (5`-CGGGGTTTTACGAGATTGTG-3') and reverse (5'-GGCTCTGAATACACGCCATT-626 627 3) primers and the following conditions: 30 s at 95°C; 35 cycles of 10 s at 98°C, 15 s 628 at 58°C, and 75 s at 72°C; and 10 min at 72°C. The PCR sample was then digested 629 with DpnI to cut the residual template DNA and purified with the Pure Link PCR 630 purification kit (Qiagen, Hilden, Germany). The DNA fragment was labeled with 5-(3-631 aminoallyl)dUTP by nick translation according to the manufacturer's protocol (Ares 632 DNA labeling kit, Molecular Probes, Eugene, OR, USA), and the incorporated dUTPs 633 were labeled with amino-reactive Alexa Fluor 647 dye by using the same Ares DNA 634 labeling kit. NHF cells were plated onto glass coverslips in 24-well tissue culture plates 635 at a density of 3x10<sup>4</sup> cells per well and 24 h later mock-infected or infected with wtAAV2 636 (MOI of 20`000). 24 hours after infection, the cells were washed with PBS, fixed for 30 637 min at room temperature (RT) with 2% PFA (in PBS), and washed again with PBS. The cells were then guenched for 10 min with 50 mM NH<sub>4</sub>Cl (in PBS), washed with 638 639 PBS, permeabilized for 10 min with 0.2% Triton X-100 (in PBS), blocked for 10 min 640 with 0.2% gelatin (in PBS) followed by two washing steps with PBS before blocking for 641 30 min in PBST (0.05% Tween 20 in PBS) supplemented with 3% BSA at 4°C. After 642 antibody staining in PBST-BSA (3%, 25 µl/coverslip) for 1h at RT in the dark in a 643 humidified chamber, the cells were washed three times for 5 min with PBST (0.1%), 644 post-fixed with 2% PFA and blocked with 50 mM glycine in PBS for 5 min at RT.

645 Hybridization solution (20  $\mu$ l per coverslip) containing 1 ng/ $\mu$ l of the labeled DNA probe, 50% formamide, 7.3% (w/v) dextran sulfate, 15 ng/µl salmon sperm DNA, and 0.74x 646 647 SSC (1x SSC is 0.15 M NaCl and 0.015 M sodium citrate) was denatured for 3 min at 648 95°C and shock-cooled on ice. The coverslips with the fixed and permeabilized cells 649 facing down were placed onto a drop (20 µl) of the denatured hybridization solution 650 and incubated overnight at 37°C in a humidified chamber (note that the cells were not 651 denatured, as the AAV2 genome is present as ssDNA). The next day, the coverslips were washed three times with 2x SSC at 37°C, three times with 0.1x SSC at 60°C, and 652 653 twice with PBS at RT. To confirm the FISH signal, some samples (as stated in the

results) were treated with DNase I (1U/ $\mu$ I) for 1 h at 37°C followed by inactivation in 30% formamide, 0,1% Triton-X 100 and 2x SSC for 10 min at RT.

The cells were then embedded in ProLong Anti-Fade mountant with or without DAPI (Molecular Probes, Eugene, OR, USA) and imaged by confocal laser scanning microscopy (Leica SP8; Leica Microsystems, Wetzlar, Germany). To prevent cross talk between the channels for the different fluorochromes, all channels were recorded separately, and fluorochromes with longer wavelengths were recorded first. The resulting images were processed using Imaris V.7.7.2-V.9.6.0 (Bitplane, Oxford Instruments, Biplane AG, Zurich, Switzerland)

#### 663 Negative contrast stain

For the examination of AAV2 capsid disintegration a negative contrast staining was 664 665 performed. For this, 10 µl of the wtAAV2 stock were placed onto a parafilm strip and 666 adsorbed to carbon coated parlodion films mounted on 300 mesh/inch copper grids by 667 placing upside-down on the drop and incubated for 10min at RT. Washing was done 668 by transferring the grid to a H<sub>2</sub>O drop. Subsequently the grid was placed onto a drop 669 of phosphotungstic acid (PTA, pH 7.0) for 60 seconds. Remaining liquid was removed 670 by tipping the edge of the grid on a filter paper. The samples were analyzed in a Philips 671 CM 12 transmission electron microscope (Eindhoven, the Netherlands) equipped with 672 a charge-coupled device (CCD) camera (Ultrascan 1000, Gatan, Pleasanton, CA, 673 USA) at an acceleration voltage of 100 kV.

# 674 Image-based quantification and data analysis

For image-based quantification and data analysis, at least 50 individual cells per sample or condition were recorded and analyzed using different CellProfiler (V.2.2.0-V.4.0.7) pipelines. The output csv-files were further analyzed using Matlab (R2017a) and GraphPad Prism 6 to 9. Depending on distribution frequency and standard deviation (SD), statistical analysis of individual cells was either performed by unpaired
Student's t-test or an unpaired t-test with Welch's correction (not assuming equal
SDs). If not stated otherwise, each graph illustrates one representative experiment.

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### 874 Supporting information

S1 Fig. Endosomal escape is relevant for nucleolar accumulation. NHF cells were either mock-infected or infected with wtAAV2 or a VP1 AAV2 mutant (<sup>76</sup>HD/AN) at a MOI of 20`000. At 5 hpi, the samples were processed for IF-FISH and CLSM. Intact capsids were stained using an antibody that detects a conformational capsid epitope (green). AAV2 DNA (red) was detected with an Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that binds to the AAV2 genome. Nuclei were counterstained with DAPI (blue).

882

883 S2 Fig. Co-detection of AAV2 DNA with AAV2 capsids and AAV2 capsid 884 proteins in Vero cells. Vero cells were infected with wtAAV2 (MOI 20`000). At 24 885 hpi, the cells were fixed and processed for multicolor IF analysis combined with 886 FISH and CLSM. Intact capsids (green) or capsid proteins (yellow) were detected 887 using either an antibody against intact AAV2 capsids (conformational capsid epitope) or an antibody (linear epitope) against VP1, VP2 and VP3. AAV2 DNA (red) 888 889 was detected with an Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that 890 binds to the AAV2 genome. Nuclei were counterstained with DAPI (blue).

891

#### 892 S3 Fig. AAV2 infection does not alter the ratio of dense to dispersed nucleoli.

893 NHF cells were mock-infected or infected with wtAAV2 (MOI 20`000) and 24 h later 894 processed for combined IF-FISH, CLSM and quantification of the nucleolar structure 895 of 100 individual nuclei in mock- or AAV2 infected cells. p-values were calculated 896 using an unpaired Student's t-test (\* - p  $\leq$  0.05, \*\* - p  $\leq$  0.01, \*\*\* - p  $\leq$  0.001, 897 \*\*\*\* - p  $\leq$  0.0001).

898

37

#### 899 S4 Fig. Schematic representation of the cell cycle staging by cyclin A staining

900 and the integrated intensity of DAPI. (1) The background of each image was 901 subtracted. (2) Nuclei and cyclin A stainings were identified as primary objects in 902 CellProfiler. (3) The stainings were related to each other and (4) the DAPI integrated 903 intensity of each nucleus was measured. (5) Histograms of the DAPI integrated 904 intensities were plotted using an automated script in Matlab and visual thresholds 905 were set. (6) Cells were classified in CellProfiler using the visual thresholds obtained 906 in step 5. (7) The classification of each nucleus into G1, S or G2 was overlayed on 907 the original DAPI image to track individual cells.

908

909 S5 Fig. Cell cycle staging of DAPI stained cells using flow cytometry analysis 910 and confocal laser scanning microscopy. NHF cells were synchronized using a 911 double thymidine (3 mM) block. After the release, the cells were either mock-treated 912 or treated with nocodazole (200 nM) for 24 hours to induce a G2 cell cycle phase 913 arrest. Flow cytometry shows the mean value of three experiments, each replicate 914 contains at least 5`000 scored events. CLSM analysis shows the mean value of three 915 experiments, each replicate contains at least 100 individual analyzed cells. p-values 916 were calculated using an unpaired Student's t-test (\* -  $p \le 0.05$ , \*\* -  $p \le 0.01$ , 917 \*\*\* -  $p \le 0.001$ . \*\*\*\* -  $p \le 0.0001$ ).

918

919 **S6 Fig. Nucleolar reorganization during cell cycle progression.** Image-based 920 analysis of the ratios of dense to dispersed nucleoli of 150 individual cells in different 921 cell cycle phases (G1, S and G2). Statistical analysis was performed on three 922 independent experiments and p-values were calculated using an unpaired Student's 923 t-test (\* - p  $\leq$  0.05, \*\* - p  $\leq$  0.01, \*\*\* - p  $\leq$  0.001, \*\*\*\* - p  $\leq$  0.0001).

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38

#### 925 S7 Fig. Capsid disassembly coincides with cell cycle progression in HDFn

926 cells. HDFn cells were infected with wtAAV2 (MOI 20`000). At 24 hpi, the cells were 927 fixed and processed for multicolor IF analysis combined with FISH, CLSM. (A) Intact 928 capsids (green) or capsid proteins (yellow) were detected using either an antibody 929 against intact AAV2 capsids (conformational capsid epitope) or an antibody (linear 930 epitope) against VP1, VP2 and VP3. AAV2 DNA (magenta) was detected with an 931 Alexa Fluor (AF) 647 labeled, amine-modified DNA probe that binds to the AAV2 932 genome. Nuclei were counterstained with DAPI and illustrated as white lines. (B) 933 Quantification of at least 50 nuclei positive for intact AAV2 capsids or capsid proteins 934 during cell cycle progression. 935 936 S8 Fig. Helper virus-supported AAV2 DNA replication occurs in nuclear 937 replication compartments that are distinctly separate from nucleoli. NHF cells 938 were mock-infected or infected with wtAAV2 (MOI 10`000), HSV-1 (MOI 0.5) or co-939 infected with wtAAV2 (MOI 5'000) and HSV-1 (MOI 0.5). At 12 hpi, the cells were 940 fixed and processed for multicolor IF analysis combined with FISH and CLSM. 941 Nucleoli (Nuc) were visualized using an antibody against fibrillarin (yellow). wtAAV2 942 replication compartments were stained using a primary antibody specific for the 943 AAV2 Rep proteins (green). AAV2 DNA (red) was detected with an Alexa Fluor (AF)

647 labeled, amine-modified DNA probe that binds to the AAV2 genome. Nuclei
were counterstained with DAPI and illustrated as blue lines.

946

947 S1 Movie. Maximum intensity projection of AAV2 genome positive nucleoli.

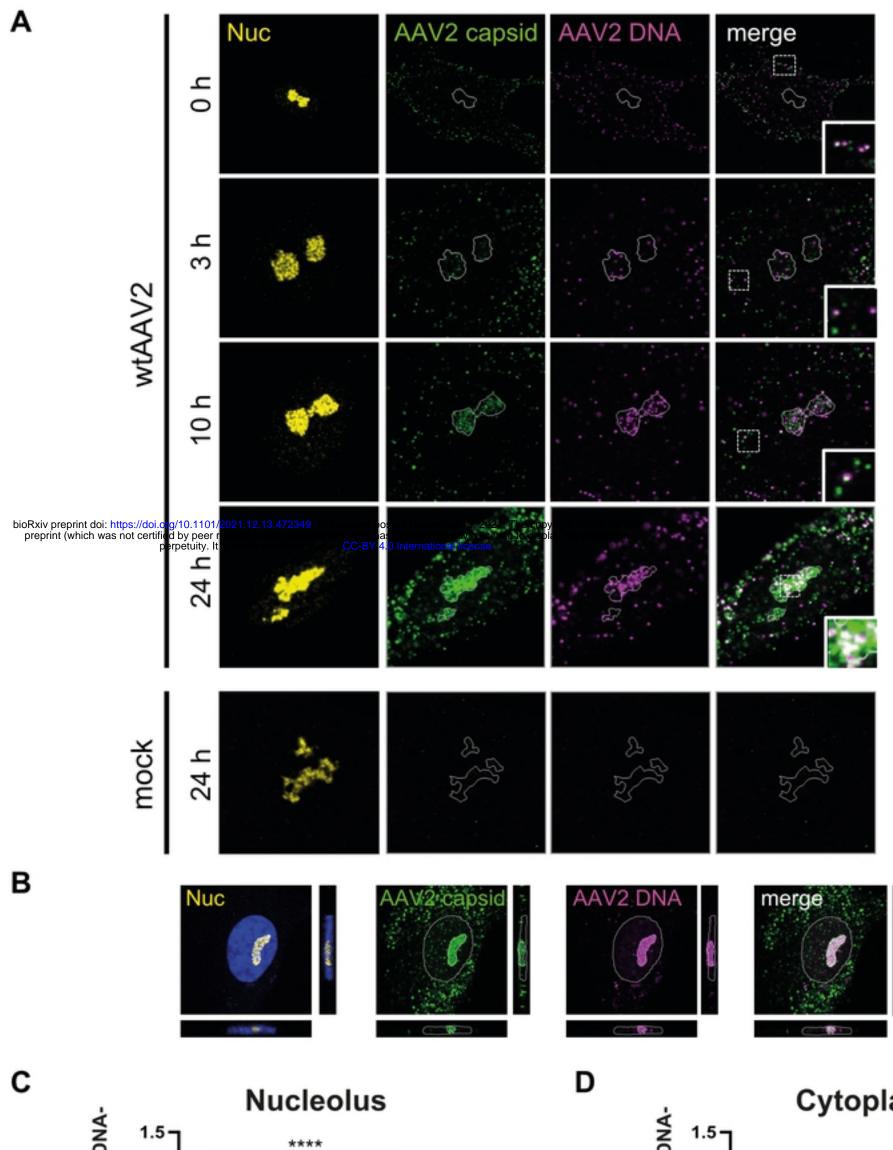
948 NHF cells were infected with wtAAV2 (MOI 20`000). At 24 hpi, the cells were fixed

and processed for multicolor IF analysis combined with FISH and CLSM. Nucleoli

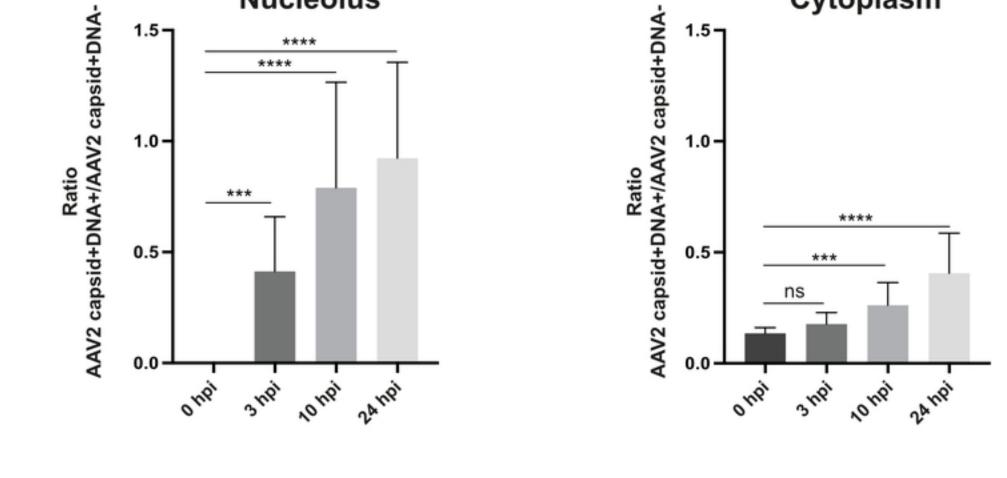
950 were visualized using an antibody against fibrillarin (yellow). Intact capsids were

39

- 951 stained using an antibody that detects a conformational capsid epitope (green).
- 952 AAV2 DNA (red) was detected with an Alexa Fluor (AF) 647 labeled, amine-modified
- 953 DNA probe that binds to the AAV2 genome. Reconstructions were generated using
- 954 Imaris V.9.6.



Cytoplasm

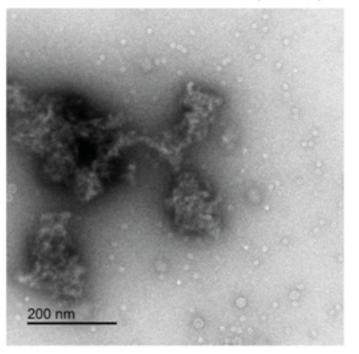


AAV2 capsid AV2 DNA merge Non denatured Fibronectin - DNase I - Probe Non denatured - DNase I - Probe wtAAV2 Non denatured - DNase I wtAAV2 + Probe Denatured (75°C) - DNase I + Probe wtAAV2 Denatured (75°C) + DNase I wtAAV2 + Probe

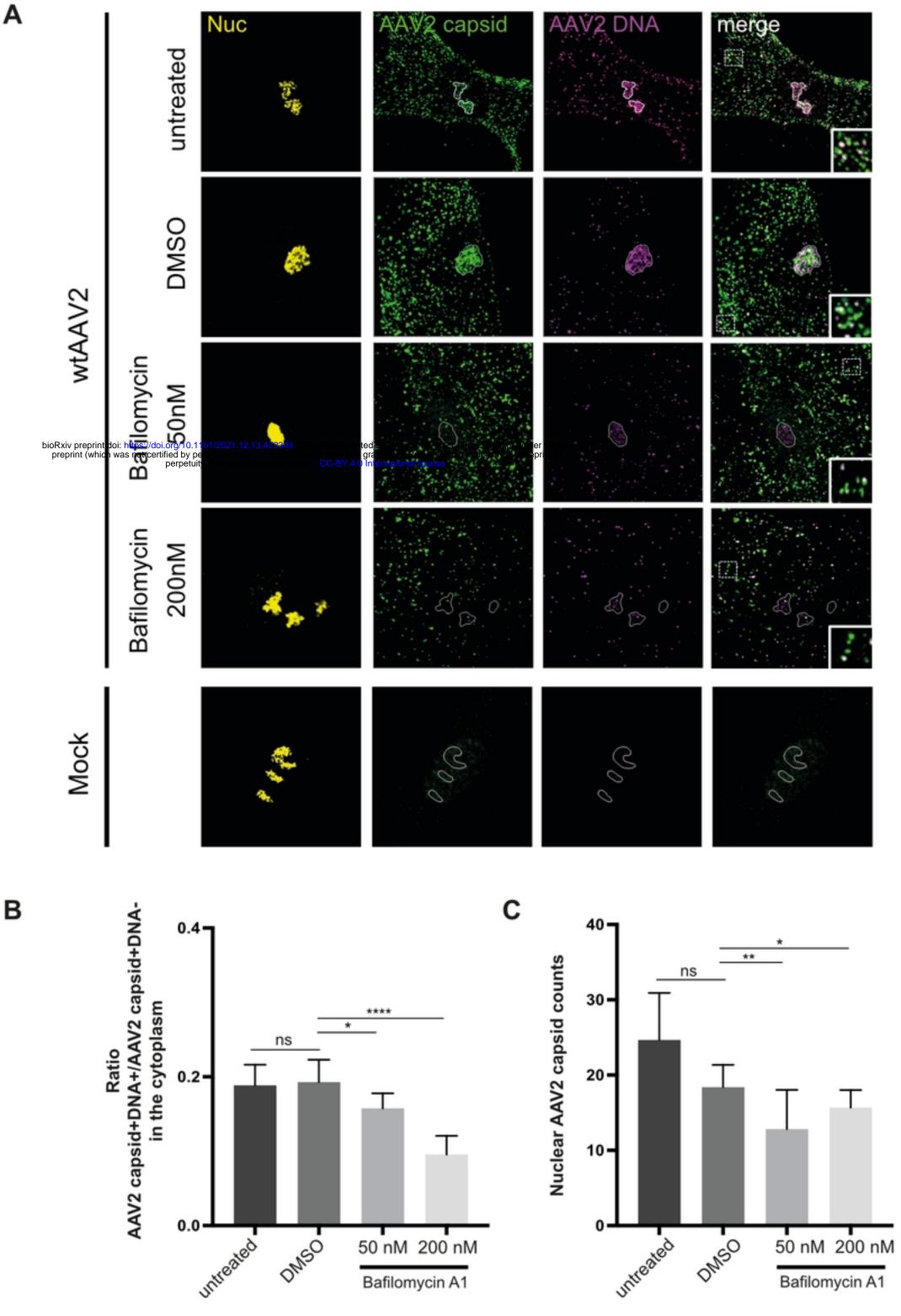
Fig2

wtAAV2

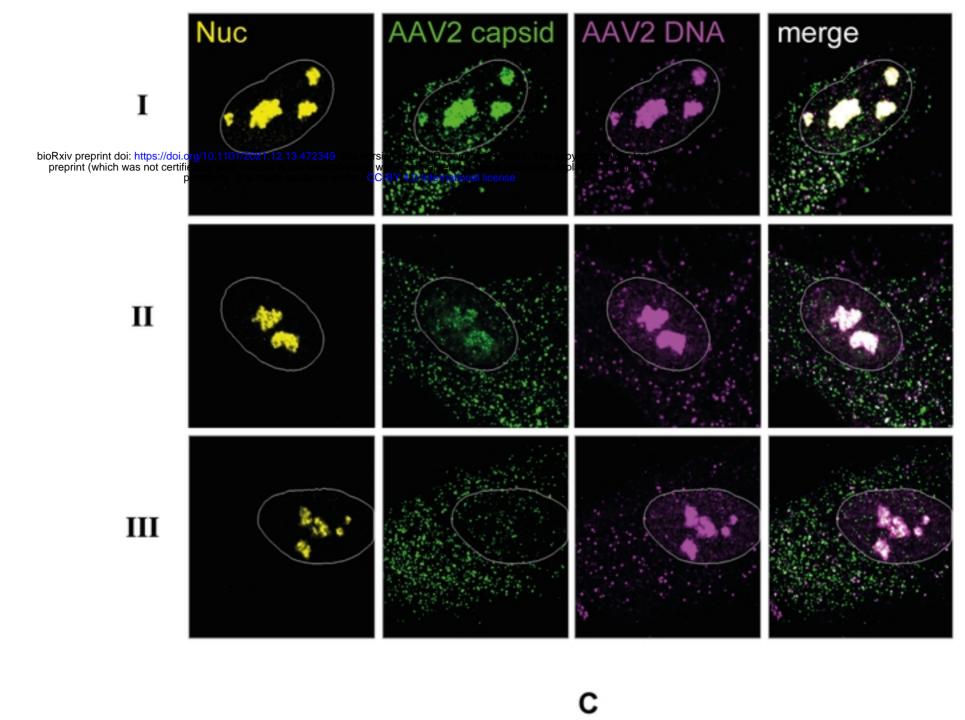
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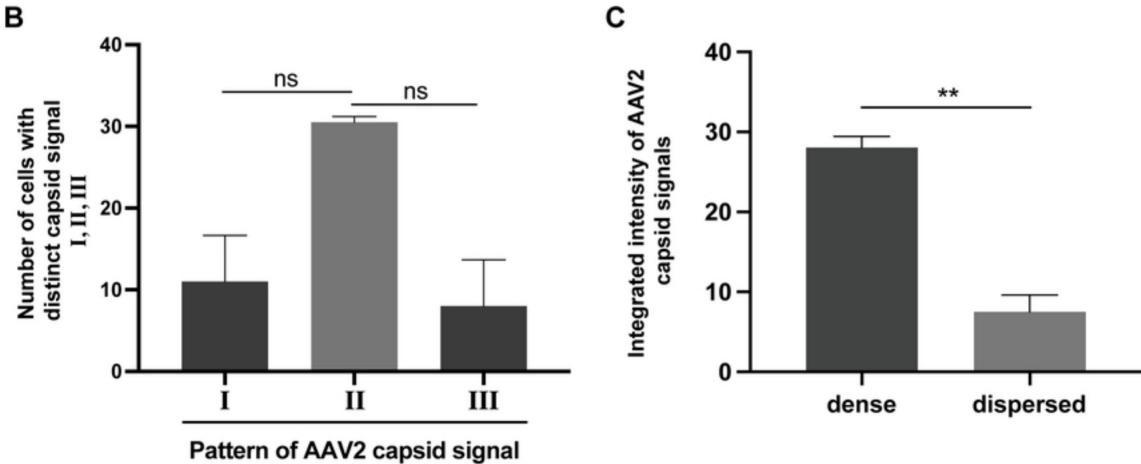


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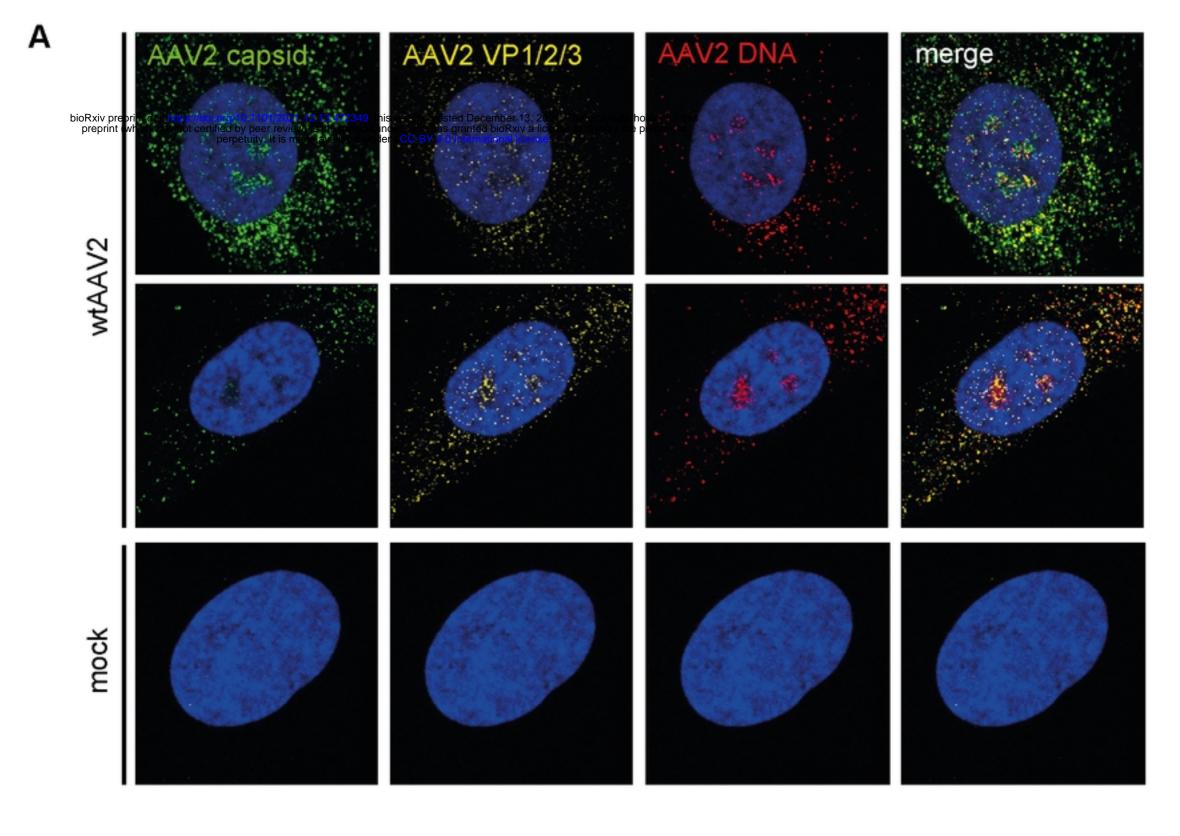


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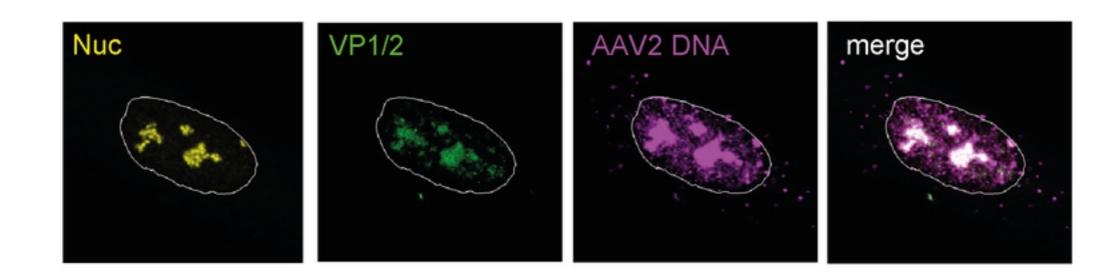


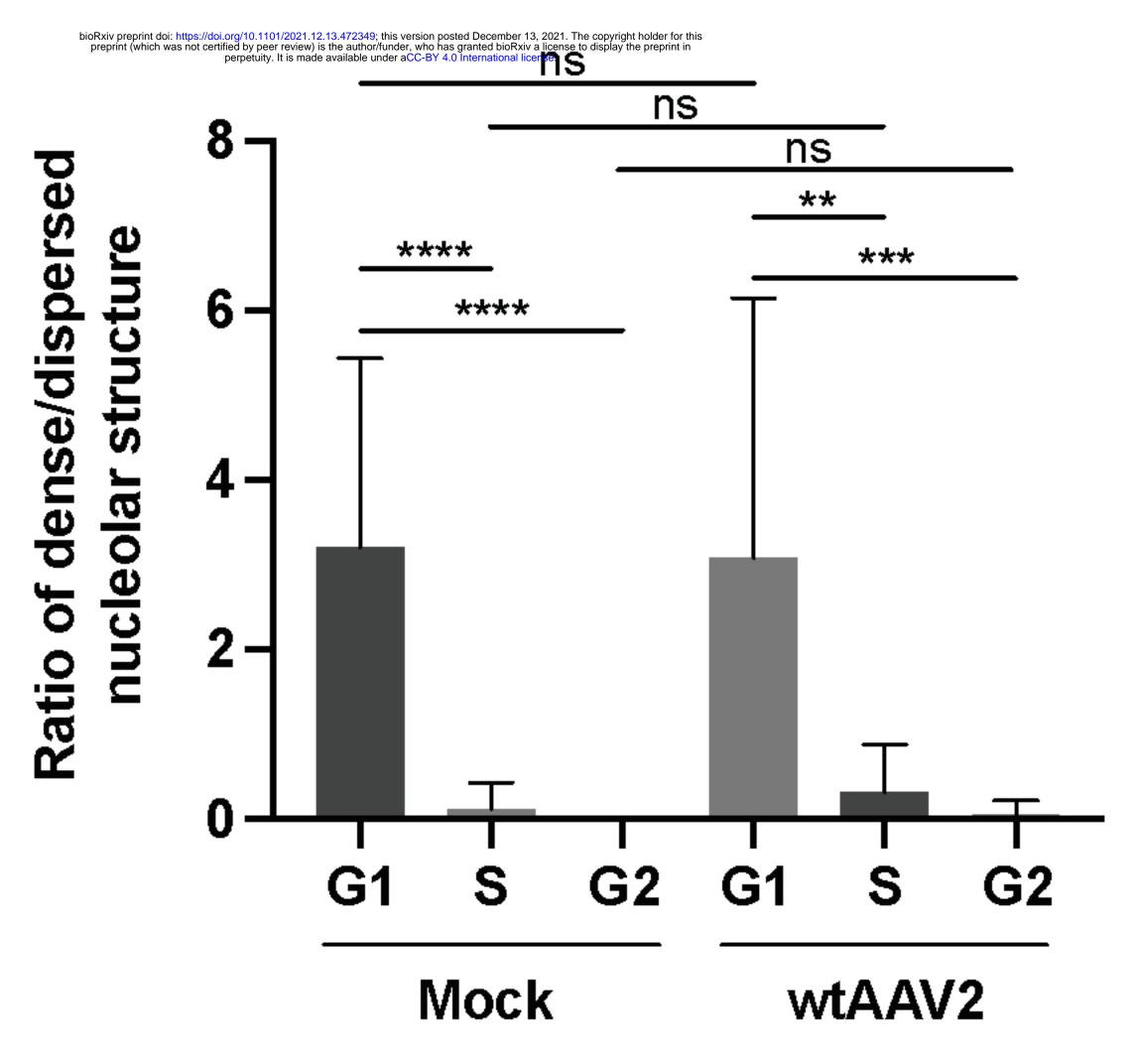


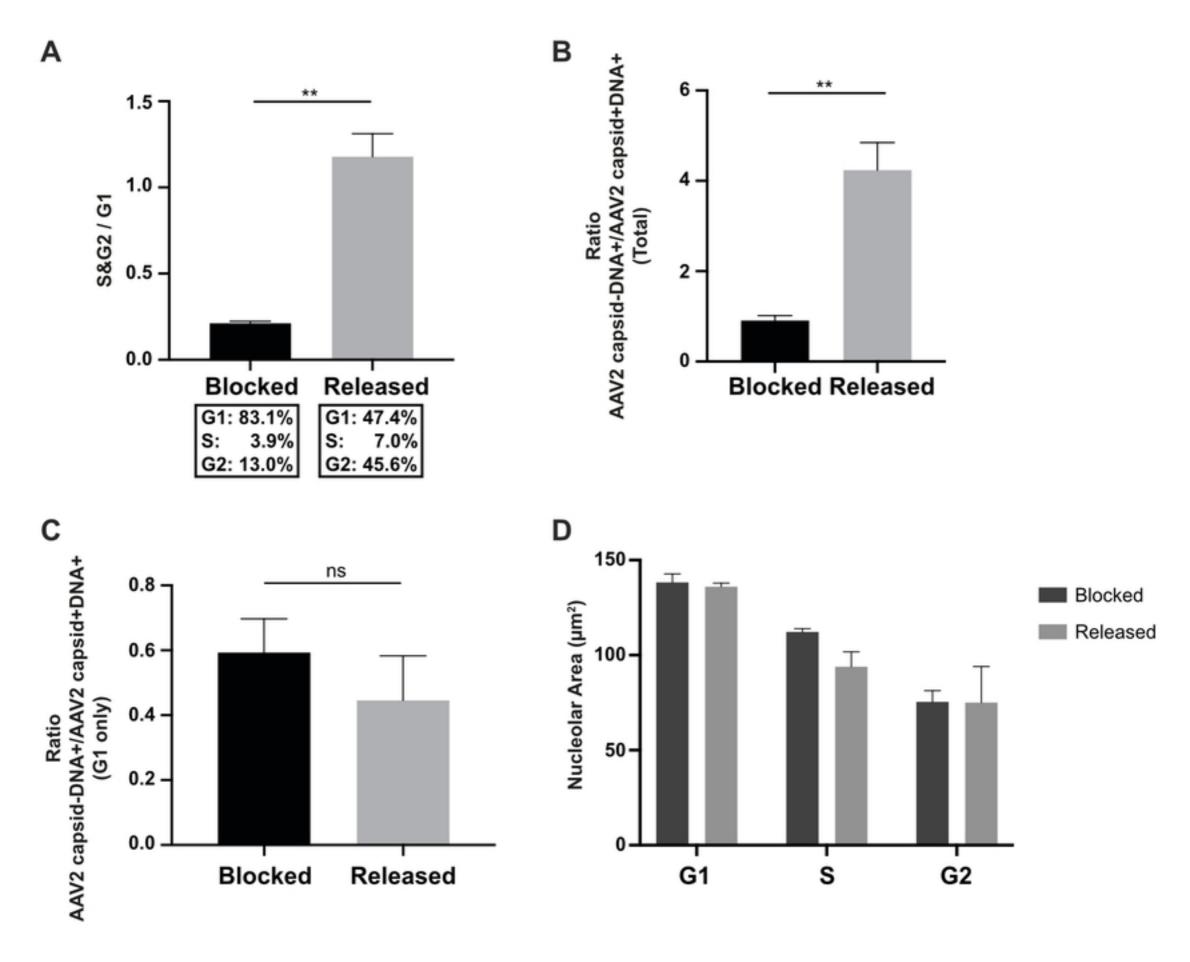
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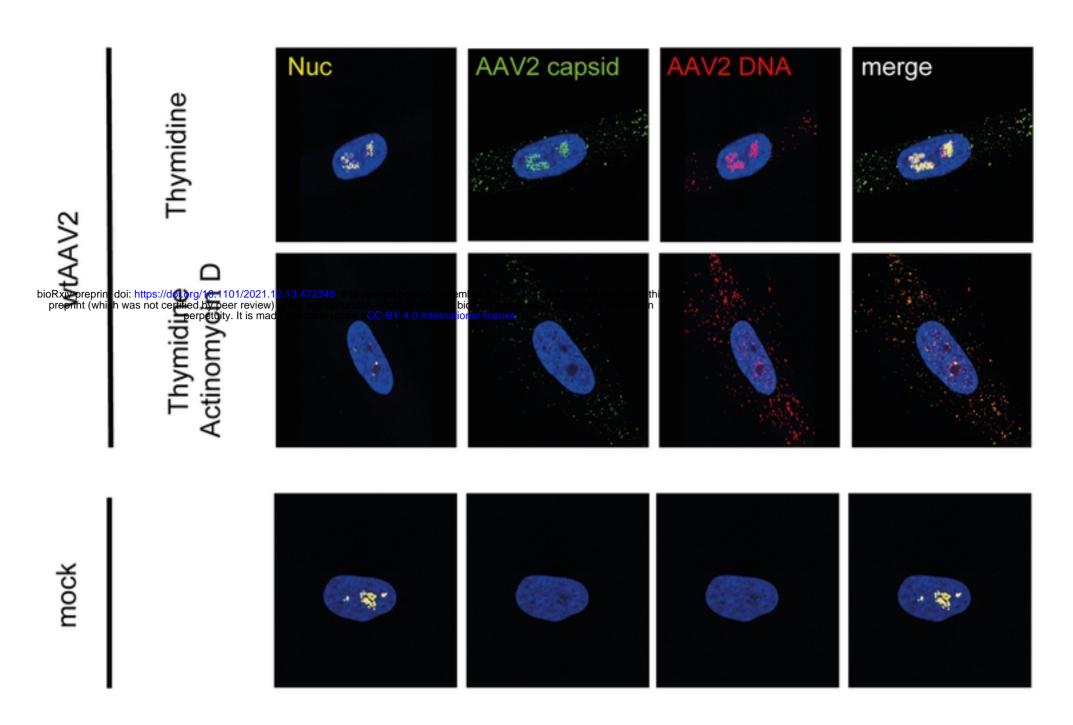
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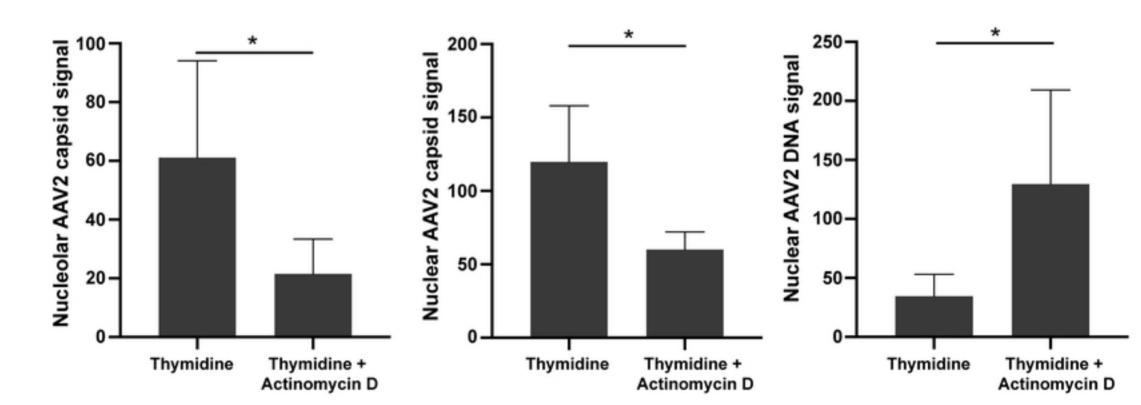




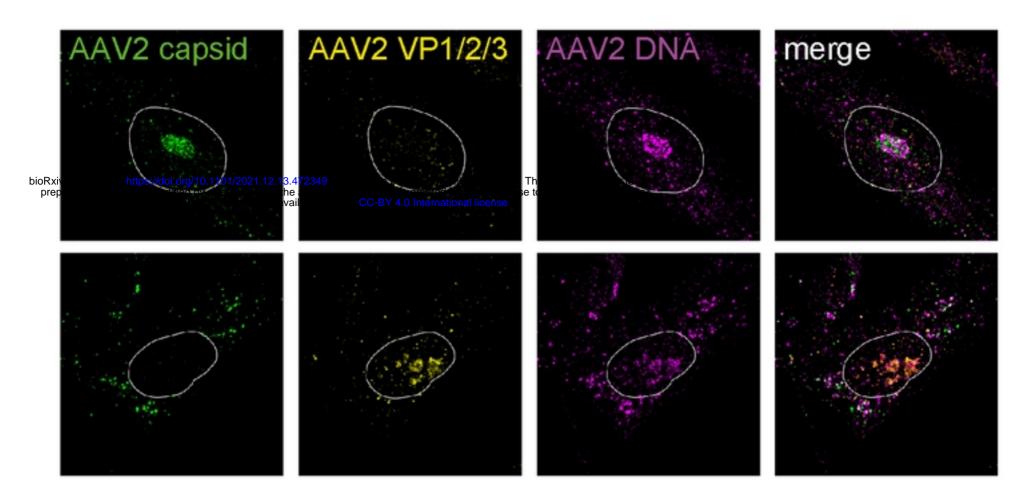








в



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