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2	A large-scale systematic survey of SARS-CoV-2 antibodies
3	reveals recurring molecular features
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24 ABSTRACT

25 In the past two years, the global research in combating COVID-19 pandemic has led to isolation 26 and characterization of numerous human antibodies to the SARS-CoV-2 spike. This enormous 27 collection of antibodies provides an unprecedented opportunity to study the antibody response to 28 a single antigen. From mining information derived from 88 research publications and 13 patents, 29 we have assembled a dataset of ~8.000 human antibodies to the SARS-CoV-2 spike from >200 30 donors. Analysis of antibody targeting of different domains of the spike protein reveals a number 31 of common (public) responses to SARS-CoV-2, exemplified via recurring IGHV/IGK(L)V pairs, 32 CDR H3 sequences, IGHD usage, and somatic hypermutation. We further present a proof-of-33 concept for prediction of antigen specificity using deep learning to differentiate sequences of 34 antibodies to SARS-CoV-2 spike and to influenza hemagglutinin. Overall, this study not only 35 provides an informative resource for antibody and vaccine research, but fundamentally advances 36 our molecular understanding of public antibody responses to a viral pathogen.

37 INTRODUCTION

38 From the beginning of COVID-19 pandemic, many research groups worldwide turned their 39 attention to SARS-CoV-2 and, in particular, to the immune response to infection and vaccination. 40 Over the past two years, thousands of human monoclonal antibodies to SARS-CoV-2 have been 41 isolated and characterized [1, 2]. The major surface antigen to which antibodies are elicited is the 42 SARS-CoV-2 spike (S) protein, which is a homotrimeric glycoprotein that facilitates virus entry by 43 first engaging the host receptor ACE2 and then mediating membrane fusion [3, 4]. The S protein 44 has three major domains, namely the N-terminal domain (NTD), receptor-binding domain (RBD), 45 and S2 domain [5, 6]. Most studies on SARS-CoV-2 antibodies have focused on the 46 immunodominant RBD [7], because neutralizing antibodies can be elicited to it with very high 47 potency [8, 9]. Antibodies to the NTD and the highly conserved S2 domain have also been 48 discovered, but usually exhibit lower neutralizing potency [10-16].

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50 A common or public antibody response describes antibodies to the same antigen in different 51 donors that share genetic elements that usually result in similar modes of antigen recognition. 52 Deciphering public responses to particular antigens is not only critical for uncovering the 53 molecular features of recurring antibodies within the diverse antibody repertoire at the population 54 level, but also important for development of effective vaccines [17, 18]. A conventional approach 55 to study public antibody responses is to identify public clonotypes, which are antibodies from 56 different donors that share the same immunoglobulin heavy variable (IGHV) gene and with similar 57 complementarity-determining region (CDR) H3 sequences [19-23]. While this definition of public 58 clonotypes has improved our understanding of public antibody response, it generally ignores the 59 contribution of the light chain. Moreover, our recent study has shown that a public antibody 60 response to influenza hemagglutinin is driven by an IGHD gene with minimal dependence on the 61 IGHV gene [24]. Therefore, the true extent and molecular characterization of public antibody 62 responses remain to be explored.

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Although information of many human clonal antibodies to SARS-CoV-2 is now publicly available, 64 65 it has been difficult to leverage all available information to investigate public antibody responses 66 to SARS-CoV-2. One major challenge is that the data from different studies are rarely in the same 67 format. This inconsistency imposes a huge barrier to data mining. The establishment of the 68 coronavirus antibody database (CoV-AbDab) has enabled researchers to deposit their antibody 69 data in a standardized format and has partially resolved the data formatting issue [2]. However, 70 not every SARS-CoV-2 antibody study has deposited their data to CoV-AbDab. Furthermore, 71 IGHD gene identities, nucleotide sequences, and donor IDs are not available in CoV-AbDab, 72 which makes it challenging to study public antibody responses using CoV-AbDab. Thus, 73 additional efforts must be made to fully synergize the information across many different SARS-74 CoV-2 antibody studies to investigate and decipher public antibody responses.

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In this study, we performed a systematic literature survey and assembled a large dataset of human SARS-CoV-2 monoclonal antibodies with donor information. We then analyzed this dataset and uncovered many previously unknown antibody sequence features that contribute to public antibody responses to SARS-CoV-2 S. For example, we identified a public antibody response to RBD that is largely independent of the IGHV gene, as well as involvement of a particular IGHD gene in a public antibody response to S2. Our analysis also revealed a number of recurring somatic hypermutations (SHMs) in different public clonotypes.

83

84 **RESULTS**

85 **Collection of SARS-CoV-2 antibody information**

Information for 8,048 human antibodies was collected from 88 research publications and 13
patents that described the discovery and characterization of antibodies to SARS-CoV-2 (Figure
S1, Data S1). Among these antibodies, which were isolated from 215 different donors, 7,997

(99.4%) react with SARS-CoV-2, and the remaining 51 react with SARS-CoV or seasonal coronaviruses. While 99.1% (7,923/7,997) SARS-CoV-2 antibodies in our dataset bind to S protein, 49 bind to N and 25 to ORF8. Epitope information was available for most SARS-CoV-2 S antibodies, with 5,002 to RBD, 513 to NTD, and 890 to S2. In addition, information on neutralization activity, germline gene usage, sequence, structure, bait for isolation (e.g. RBD, S), and donor status (e.g. infected patient, vaccinee, etc.), if available, was collected for individual antibodies.

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97 Epitope-dependent V gene usage bias in SARS-CoV-2 S antibodies

98 To identify the sequence features in RBD, NTD, and S2 antibodies, we first performed an analysis 99 on V gene usage. Our analysis identified several commonly used IGHV/IGK(L)V pairs among 100 RBD antibodies (Figure 1A), such as IGHV3-53/IGKV1-9 and IGHV3-53/IGKV3-20, which 101 represent two known public clonotypes [25-30]. We also observed substantial enrichment of 102 IGHV1-24 among NTD antibodies over the naïve baseline (Figure 1B), which was established by 103 published datasets of antibody repertoire sequencing from 26 healthy donors [31-33]. IGHV1-24 104 is in fact a known public antibody response that targets an antigenic supersite on NTD [10-13]. 105 These observations illustrate that the gene usage pattern in our dataset is consistent with previous 106 findings. Importantly, our dataset also enabled us to discover previously unknown patterns in gene 107 usage. For example, IGHV3-30 and IGHV3-30-3 were highly enriched among S2 antibodies over 108 baseline (Figure 1B). For our subsequent analyses, IGHV3-30-3 was also labeled as IGHV3-30, 109 since IGHV3-30 and IGHV3-30-3 have an identical amino acid sequence in the framework 110 regions, CDR H1 and CDR H2. V gene usage bias was also observed in the light chain. For 111 example, IGKV3-20 and IGKV3-11 were most used among S2 antibodies, whereas IGKV1-33 112 and IGKV1-39 were most used among RBD antibodies (Figure 1C). Overall, these results 113 demonstrated that RBD, NTD, and S2 antibodies have distinct patterns of V gene usage.

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115 CDR H3 analysis reveals public antibody response

116 Although heavy and light chain V genes together encode four of the six CDRs, most of the 117 antibody sequence diversity comes from the CDR H3 region due to V(D)J recombination. Since 118 CDR H3 is typically an important determinant for binding and may even dominate the paratope 119 [24, 34-37], characterization of CDR H3 sequences in S antibodies is essential for understanding 120 the antibody response to SARS-CoV-2. Here, we aimed to examine the convergence of CDR H3 121 sequences among S antibodies. Briefly, CDR H3 sequences with the same length were clustered 122 by an 80% sequence identity cutoff. Only those clusters that contained antibodies from at least 123 two different donors were subjected to further analysis. A total of 170 clusters were identified 124 (Figure 2A and Data S1). Interestingly, antibodies within the same cluster often share the same 125 binding region on the S protein (RBD, NTD, or S2), consistent with the notion that the CDR H3 126 sequence has a critical role in determining the epitope that is recognized.

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128 The largest cluster (cluster 1) consisted of 139 antibodies from 57 donors (Figure 2B). Most of 129 the antibodies in cluster 1 belonged to a well-characterized public clonotype to RBD that is 130 encoded by IGHV3-53/3-66 and IGKV1-9 [25-27, 29, 30]. IGHV3-53/3-66, which is frequently 131 used in RBD antibodies [28], was also enriched among antibodies in several other major CDR H3 132 clusters (e.g. clusters 2, 4, 8, and 14). Antibodies that bind to guaternary epitopes by bridging two 133 RBDs on the same spike are found in clusters 14 and 17 [38] (Figure S2). Notably, both clusters 134 3 and 5, which target the RBD, contained a conserved disulfide bond (Figure 2B). Cluster 3 135 represents another well-characterized public clonotype that is encoded by IGHV1-58/IGKV3-20 136 [8, 9, 39, 40]. On the other hand, antibodies in cluster 5, which are largely encoded by IGHV3-137 30/IGKV1-33, have not been extensively studied. Most antibodies within cluster 5 had relatively 138 weak neutralizing activity, if any, despite having reasonable binding affinity (Table S1). This result 139 suggests the existence of an RBD-targeting public clonotype that had minimal neutralizing activity.

Similar observation was made with RBD antibodies encoded by IGHV3-13/IGKV1-39, although
most of these antibodies did not share a similar CDR H3 (Figure S3 and Table S2).

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143 Furthermore, we also discovered several S2-specific CDR H3 clusters (clusters 6, 9, and 11) that 144 were predominantly encoded by IGHV3-30 with diverse IGK(L)V genes, suggesting a public 145 heavy chain response to S2 (Figure 2B). Clusters 10 and 15 were also of interest to us. Cluster 146 10 was featured by a very short CDR H3 (6 amino acids, IMGT numbering) and was encoded by 147 IGHV4-59/IGKV3-20, which was a frequent V gene pair among the S2 antibodies. Cluster 15 was 148 encoded by IGHV1-69/IGKV3-11, which was the most used V gene pair among the S2 antibodies. 149 Therefore, clusters 10 and 15 represented two major S2 public clonotypes, despite their minimal 150 neutralizing activity (Table S1). In contrast to RBD- and S2-specific clusters, all NTD-specific CDR 151 H3 clusters had a relatively small size (Figure 2A), suggesting that the paratopes for most NTD 152 antibodies are not dominated by CDR H3.

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154 A public antibody response dominated by the light chain and CDR H3

155 While most clusters have a dominant IGHV gene, diverse IGHV genes were observed in cluster 156 7 (Figure 2B-C). Most antibodies (42 out of 45) in cluster 7 used IGLV6-57, suggesting their 157 paratopes are mainly composed of CDR H3 and light chain. S2A4, which is encoded by IGHV3-158 7/IGLV6-57 [41], is an antibody in cluster 7. A previously determined structure of S2A4 in complex 159 with RBD indeed demonstrates that its CDR H3 contributes 38% of the buried surface area (BSA) 160 of the epitope, whereas the light chain contributes 53% (Figure 2D-E). Specifically, IGLV6-57 161 forms an extensive H-bond network with the RBD (Figure 2F), whereas a ⁹⁷WLRG¹⁰⁰ motif at the 162 tip of CDR H3 interacts with the RBD through H-bonds, π - π stacking, and hydrophobic 163 interactions (Figure 2G). Although G100 does not participate in binding, it exhibits backbone torsion angles ($\Phi = -94^\circ$, $\Psi = -160^\circ$) that are in the preferred region of Ramachandran plot for 164 glycine, but in the allowed region for non-glycine (Figure S4). Consistently, this ⁹⁷WLRG¹⁰⁰ motif 165

is highly conserved in cluster 7 (Figure 2B). These results illustrate that our CDR H3 clustering
 analysis not only captured existing knowledge about public SARS-CoV-2 antibody responses, but
 was able to uncover recurring sequence features among SARS-CoV-2 antibodies that were
 previously unknown.

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171 IGHV3-30/IGHD1-26 is a recurring feature in S2 antibodies

172 As a major contributor to CDR H3, the IGHD gene can also drive a public antibody response [24]. 173 Consequently, we aimed to understand if there are any signature IGHD genes in SARS-CoV-2 S 174 antibodies. While the frequency of most IGHD genes were within the baseline level, IGHD1-26 175 was highly enriched among S2 antibodies (Figure 3A). These IGHD1-26 S2 antibodies were 176 predominantly encoded by IGHV3-30 (Figure 3B), which is one of the most used IGHV genes 177 among S2 antibodies (Figure 1B). In contrast, the IGK(L)V gene usage was more diverse among 178 these IGHD1-26 S2 antibodies, although several were more frequently used than others (Figure 179 **3C**), implying that this public antibody response to S2 is mainly driven by the heavy chain. 180 Interestingly, 70% of these IGHD1-26 S2 antibodies had a CDR H3 of 14 amino acids, whereas 181 only <20% of other S antibodies had a CDRH3 of 14 amino acids (Figure 3D). In fact, most 182 members of clusters 6, 9, and 11 in our CDR H3 analysis above (Figure 2B) represented this 183 public antibody response to S2. While CDR H3 is also encoded by the IGHJ gene, the distribution 184 of IGHJ gene usage in these IGHD1-26 S2 antibodies did not show a strong deviation from that 185 of other S antibodies in our dataset (Figure 3E).

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In our dataset, there were 110 IGHD1-26 S2 antibodies from 17 donors with a CDR H3 length of 14 amino acids. Sequence logo analysis of these 110 antibodies revealed a conserved ⁹⁷[S/G]G[S/N]Y¹⁰⁰ motif in the middle of their CDR H3 sequences (**Figure 3F**). In-depth analysis of the CDR H3 sequences from three representative IGHD1-26 S2 antibodies, namely P008_088, G32M4, and ADI-56059, further indicated that the conserved ⁹⁷[S/G]G[S/N]Y¹⁰⁰ motif was within

the IGHD1-26-encoded region (**Figure 3G**). Of note, P008_088, G32M4, and ADI-56059 were isolated from three different donors by three independent research groups [42-44]. While P008_088 and G32M4 were from SARS-CoV-2 infected individuals, ADI-56059 was from a SARS-CoV survivor. Although 87 out of these 110 IGHD1-26 S2 antibodies can cross-react with SARS-CoV, they generally have minimal neutralization activity (**Table S3**). Together, these results show that IGHV3-30/IGHD1-26 represents a public antibody response to a highly conserved epitope in S2.

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200 Recurring somatic hypermutations in public antibody responses

201 Our recent study has shown that V_{H} Y58F is a recurring somatic hypermutation (SHM) among 202 IGHV3-53 antibodies to SARS-CoV-2 RBD [25]. Here, we aimed to identify additional recurring 203 SHMs in other public clonotypes to SARS-CoV-2 S. In this analysis, antibodies from at least two 204 donors that had the same IGHV/IGK(L)V genes and CDR H3s from the same CDR H3 cluster 205 were classified as a public clonotype (Figure 4A). SHM that occurred in at least two donors within 206 a public clonotypes was defined as a recurring SHM. Our analysis here only focused on major 207 public clonotypes with antibodies from at least nine donors. This analysis led to the identification 208 of several recurring SHMs in IGHV3-53/3-66-encoded public clonotypes that were previously 209 characterized, including V_H F27V, T28I, and Y58F [25, 45, 46] (Figure S5). We also identified 210 many other previously unknown recurring SHMs in both heavy and light chains (Figure 4A-B), 211 including V_L S29R in a IGHV1-58/IGKV3-20 public clonotype that belongs to cluster 3 of our CDR 212 H3 clustering analysis (Figure 2A-B). V_L S29R emerged in 8 out of 26 (31%) donors that carried 213 this IGHV1-58/IGKV3-20 public clonotype.

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Antibodies of this IGHV1-58/IGKV3-20 public clonotype bind to the ridge region of SARS-CoV-2 RBD (**Figure 5A**), and can be robustly elicited by infection with antigenically distinct variants of SARS-CoV-2 [39, 47] and by vaccination [48, 49]. These antibodies are also able to potently

218 neutralize multiple variants of concern (VOC) [9, 48, 50]. We compared two previously determined 219 structures of IGHV1-58/IGKV3-20 antibodies in complex with RBD [40, 51], where one has the 220 germline-encoded V₁ S29 (Figure 5B) and the other carries a somatically mutated V₁ R29 (Figure 221 **5C**). While neither V_{L} S29 nor V_{L} R29 directly interact with RBD, V_{L} R29 is able to form a cation-222 π interaction with V_L Y32, which in turn forms a T-shaped π - π stacking with RBD-F486 and H-223 bonds with RBD-C480 (Figure 5C). In the absence of SHM V_L S29R, the rotamer adopted by V_L 224 Y32 does not permit these interactions to be formed. During our structural analysis, we discovered 225 that V_L S29R forms a salt bridge with another SHM V_L G92D (**Figure 5C**), which can further 226 stabilize the interactions between V_L Y32 and with RBD. In fact, it is likely that V_L S29R promoted 227 the emergence of V_L G92D, since V_L G92D was found in four out of the 67 antibodies and all four 228 that carried V_L S29R (Figure 5D-E). This analysis substantiates the notion that recurring SHM 229 can be found among antibodies within a public clonotype and further suggests the existence of 230 common affinity maturation pathways that involve emergence of multiple SHMs in a defined order.

231

232 Antigen identification by deep learning

233 Since many sequence features of public antibody responses to the S protein can be observed in 234 our dataset, we postulated that the dataset is sufficiently large to train a deep learning model to 235 identify S antibodies. To provide a proof-of-concept, we aimed to train a deep learning model to 236 distinguish between antibodies to S and to influenza hemagglutinin (HA). Among different 237 antigens, HA was chosen here because there are a large number of HA antibodies with published 238 sequences, albeit still lower than the published SARS-CoV-2 S antibodies. Here, 4,736 unique 239 SARS-CoV-2 S antibodies and 2,204 unique influenza HA antibodies with complete information 240 for all six CDR sequences were used (Data S2). Sequences for HA antibodies were retrieved 241 from GenBank [52]. None of these antibodies have identical sequences in all six CDRs. These 242 antibodies to S and HA were divided into a training set (64%), a validation set (16%), and a test 243 set (20%), with no overlap between the three sets. The training set was used to train the deep

learning model. The validation set was used to evaluate the model performance during training.The test set was used to evaluate the performance of the final model.

246

247 Our deep learning model has a simple architecture, which consisted of one encoder per CDR 248 followed by three fully connected layers (Figure 6A). To evaluate the model performance on the 249 test set, the area under the curves of receiver operating characteristic (ROC AUC) and precision-250 recall (PR AUC) were used to measure the model's ability to avoid misclassification. While ROC 251 AUC is popular evaluation metric [53], PR AUC is shown to be more informative for evaluating 252 models that are trained with imbalanced datasets [54]. Model performance was the best when all 253 six CDRs (i.e. H1, H2, H3, L1, L2, and L3) were used to train the model, which resulted in an 254 ROC AUC and an PR AUC of 0.87 and 0.92, respectively (Figure 6B and Table S4). Interesting, 255 a similar performance was observed when the model was trained by the three heavy-chain CDRs 256 (i.e. H1, H2, and H3) (ROC AUC = 0.86, PR AUC = 0.91), indicating that the heavy chain 257 sequence captures most of the information to distinguish between HA antibodies and S 258 antibodies. A reasonable performance was also observed when the model was trained by the 259 three light-chain CDRs (i.e. L1, L2, and L3) (ROC AUC = 0.77, PR AUC = 0.86). For other types 260 of inputs that we have tested, including CDR H3 only, CDR L3 only, CDR H3+L3, CDR H1+H2, 261 and CDR L1+L2, the ROC AUCs were between 0.72 and 0.83 and the PR AUCs were between 262 0.82 and 0.90. These results imply that IGHV-encoded region (H1+H2), IGK(L)V-encoded region 263 (L1+L2), and the V(D)J junctions (CDR H3 and CDR L3) are all informative for predicting antigen 264 specificity. Overall, while our deep learning model had a relatively simple architecture, it was able 265 to discriminate between antibodies to two different antigens based on primary sequences.

266

A recent study reported 81 antibodies to SARS-CoV-2 RBD that were elicited by Beta variant infection [47]. While these 81 antibodies were not included in the dataset that we assembled (**Data S1**), they provided an opportunity to further evaluate the performance of our deep learning model.

Our deep learning model that was trained by all six CDRs (see above) successfully predicted that 72 of the 81 (89%) antibodies as SARS-CoV-2 S antibodies (**Figure 6C and Table S5**). This result further demonstrates the possibility of predicting antibody specificity solely based on the primary sequence.

274

275 **DISCUSSION**

276 Through a systematic survey of published information on SARS-CoV-2 antibodies, we identified 277 many molecular features of public antibody responses to SARS-CoV-2. The large amount of 278 published information has allowed us to explore distinct patterns of germline gene usages in 279 antibodies that target different domains on the S protein (i.e. RBD, NTD, and S2). Notably, the 280 types and nature of public antibody responses to different domains appear to be quite different. 281 For example, convergence of CDR H3 sequences can be readily identified in the public antibody 282 responses to RBD and S2. In contrast, the public antibody response to NTD seems to be largely 283 independent of the CDR H3 sequence. Furthermore, an IGHD-dependent public antibody 284 response was enriched against S2, but not RBD or NTD. Together, our study demonstrates the 285 diversity of sequence features that can constitute a public antibody response against a single 286 antigen.

287

288 The public antibody response to SARS-CoV-2 has also been examined by a recent data mining 289 study that focused on identifying public clonotypes [55]. This previous study defined public 290 clonotypes as antibodies with the same IGHV/IGHJ/IGK(L)V/IGK(L)V genes and high similarity of 291 CDR H3 [55]. While multiple public clonotypes were identified using this stringent definition [55], 292 the characterization of public antibody response is likely far from comprehensive. A public 293 antibody response may not always involve a defined pair of IGHV/IGK(L)V genes, especially when 294 either IGHV or IGK(L)V gene-encoded residues only make a minimal contribution to the paratope. 295 In fact, a well-characterized public antibody response to the highly conserved stem region of 296 influenza hemagglutinin has a paratope that is entirely attributed to the IGHV1-69 heavy chain 297 [56-59]. IGHV3-30/IGHD1-26 antibodies to S2 in our study may represent a similar type of 298 IGK(L)V-independent public antibody response, although it still needs to be confirmed by 299 structural analysis. On the other extreme, RBD antibodies that are encoded by IGLV6-57 with a ⁹⁷WLRG¹⁰⁰ motif in the CDR H3 represent a public response that is largely independent of IGHV 300 301 gene usage. Given the diverse types of public antibody responses to SARS-CoV-2 S, we need to 302 acknowledge the limitation of using the conventional strict definition of public clonotype to study 303 public antibody responses.

304

305 Public antibody response to different antigens can have very different sequence features. For 306 example, IGHV6-1 and IGHD3-9 are signatures of public antibody response to influenza virus [24, 307 60-62], whereas IGHV3-23 is frequently used in antibodies to Dengue and Zika viruses [63]. In 308 contrast, these germline genes are seldom used in the antibody response to SARS-CoV-2 as 309 compared to the naïve baseline (Figure 1B-C and Figure 3A). Since the binding specificity of an 310 antibody is determined by its structure, which in turn is determined by its amino acid sequence, 311 the antigen specificity of an antibody can theoretically be identified based on its sequence. This 312 study provides a proof-of-concept by training a deep learning model to distinguish between SARS-313 CoV-2 S antibodies and influenza HA antibodies, solely based on primary sequence information. 314 Technological advancements, such as the development of single-cell high-throughput screen 315 using the Berkeley Lights Beacon optofluidics device [64] and advances in paired B-cell receptor 316 sequencing [65], have been accelerating the speed of antibody discovery and characterization. 317 As more sequence information on antibodies to different antigens is accumulated, we may be 318 able in the future to construct a generalized sequence-based model to accurately predict the 319 antigen specificity of any antibody.

320

321 In summary, the amount of publicly available information on SARS-CoV-2 antibodies has provided 322 invaluable biological insights that have not been readily obtained for other pathogens. One reason 323 is that the COVID-19 pandemic has gathered scientists from many fields and around the globe to 324 work intensively on SARS-CoV-2. The parallel efforts by many different research groups have 325 enabled SARS-CoV-2 antibodies to be discovered in unprecedented speed and scale that have 326 not been possible for other pathogens. We anticipate that knowledge of the molecular features of 327 the antibody response to SARS-CoV-2 will keep accumulating as more antibodies are isolated 328 and characterized. Ultimately, the extensive characterization of antibodies to the SARS-CoV-2 S 329 protein may allow us to address some of the most fundamental questions about antigenicity and 330 immunogenicity, as well as how the human immune repertoire has evolved to respond to specific 331 classes of viral pathogens that have coexisted with humans for hundreds to thousands of years.

332

333 MATERIALS AND METHODS

334 Collection of antibody information

335 Information on the monoclonal antibodies is derived from the original papers (Supplementary 336 Table 1). Sequences of each monoclonal antibody are from the original papers and/or NCBI 337 GenBank database (www.ncbi.nlm.nih.gov/genbank) [52]. Putative germline genes were 338 identified by IgBLAST [66]. Some studies isolated antibodies from multiple donors, but the donor 339 identity for each antibody was not always clear. For example, some studies mixed B cells from 340 multiple donors before isolating individual B cell clones. Since the donor identity cannot be 341 distinguished among those antibodies, we considered them from the same donor with "mix" as 342 the suffix of the donor ID. In addition, the PBMCs of SARS-CoV survivors in three separate studies 343 were all from NIH/VRC [12, 44, 67]. Since it is unclear If they are the same SARS-CoV survivor, 344 the same donor ID "VRC SARS1" was assigned to them to avoid overestimation of public 345 antibody response. the neutralization activity of a given antibody was only measured at a single 346 concentration, 50% neutralization activity or below was classified as non-neutralizing. We also

downloaded the CoV-AbDab [2] in September 2021 to fill in any additional information. As of
September 2021, there were 2,582 human SARS-CoV-2 antibodies in CoV-AbDab. Information
in the finalized dataset was manually inspected by three different individuals. For antibodies that
were shown to bind to S1 but not RBD, they were classified as NTD antibodies. Due to having
identical nucleotide sequences, IGKV1D-39*01 was classified as IGKV1-39*01, IGHV1-68D*02
as IGHV1-68*02, IGHV1-69D*01 as IGHV1-69*19, IGHV3-23D*01 as IGHV3-23*01, and IGHV329*01 as IGHV3-30-42*01.

354

355 Analysis of germline gene usages

Non-functional germline genes were ignored in our germline gene usage analysis. Except for the analysis presented in **Figure 1**, IGHV3-30-3 was classified as IGHV3-30 since they have identical amino-acid sequence in the framework regions, CDR H1, and CDR H2. To establish the baseline germline usage frequency, published antibody repertoire sequencing datasets from 26 healthy donors [31, 32] were downloaded from cAb-Rep [33]. Putative germline genes for each antibody sequence in these repertoire sequencing datasets from healthy donors were identified by were identified by IgBLAST [66].

363

364 CDR H3 clustering analysis

365 Using a deterministic clustering approach, antibodies with CDR H3 sequences that had the same 366 length and at least 80% amino-acid sequence identity were assigned to the same cluster. As a 367 result, CDR H3 of every antibody in a cluster would have >20% difference in amino-acid sequence 368 identity with that of every antibody in another cluster. A cluster would be discarded if all of its 369 antibody members were from the same donor. The number of antibodies within a cluster was 370 defined as the cluster size. Sequence logos were generated by Logomaker in Python [68]. For 371 each cluster, epitope assignment was performed using the following scoring scheme. Briefly, 372 there were three scoring categories, namely "RBD", "NTD", and "S2".

1 point was added to category "RBD" for each antibody with an epitope label equals to
"S:RBD" or "S:S1".

1 point was added to category "NTD" for each antibody with an epitope label equals to
 "S:NTD", "S:S1", "S:non-RBD", or "S:S1 non-RBD".

1 point was added to category "S2" for each antibody with an epitope label equals to
 "S:S2", "S:S2 Stem Helix", "S:non-RBD".

379 The category with >50% of the total points would be classified as the epitope for a given cluster.

380 If no category had >50% of the total points, the epitope for the cluster would be classified as

381 "unknown".

382

383 Identification of recurring somatic hypermutation (SHM)

384 In this study, a public clonotype was classified as antibodies from at least two donors that had the 385 same IGHV/IGK(L)V genes and CDR H3s from the same CDR H3 cluster (see "CDR H3 clustering 386 analysis" above). For each antibody, ANARCI was used to number the position of each residue 387 according to Kabat numbering [69]. The amino-acid identity at each residue position of an 388 antibody was then compared to that of the putative germline gene. CDR H3, CDR L3, and 389 framework region 4 in both heavy and light chains were not included in this analysis. Insertions 390 and deletions were also ignored in this analysis. SHM that occurred in at least two donors within 391 a public clonotype was defined as a recurring SHM.

392

393 Deep learning model for antigen identification

394 Model construction

The deep learning model consisted of two networks, namely multi-encoder (ME) and a stack of multi-layered perceptrons (MLP). The CDR amino-acid sequences were taken as input and passed to ME. Specifically, each CDR amino-acid sequence was described by a 21-letter alphabet vector $\vec{x} = (x_1, x_2, ..., x_{L-1}, x_L), x \in \mathbb{R}^L$, where L represented the length of sequence, and

399 x represented the amino acid category. Each of the 20 canonical amino acids was one category. 400 whereas all the ambiguous amino acids were grouped as the 21st category. Before passing to 401 ME, inputs were tokenized at the amino-acid level and processed by zero padding, so that the 402 embedding layers represented the character-level tokens (i.e. amino acids) and the size of each 403 input was the same. Subsequently, the inputs were mapped to the embedding vectors with 404 additional dimension d. The sinusoidal positional encoding vectors were added to the embedding 405 vectors to encode the relative position of tokens (i.e. amino acids) in the sequence. Each 406 embedding vector, $\vec{x} \in \mathbb{R}^{L \times d}$, with size of $L \times d$, was passed into transformer encoder layer by 407 self-attention mechanism to learn the sequence feature [70]. All learned sequence features were 408 then concatenated together and passed to multi-layered perceptron (MLP). Each MLP layer 409 contained leaky rectified linear unit (ReLU) activations to avoid the vanishing gradient. Dropout 410 layers were placed after each MLP block to avoid model overfitting [71]. The final output layer 411 was followed by a sigmoid activation function to predict the probability of different classes. The 412 prediction losses were calculated by binary cross-entropy loss.

413

414 <u>Training detail</u>

415 SARS-CoV-2 S antibodies and influenza HA antibodies with complete information for all six CDR 416 sequences were identified. Sequences of each antibody were from the original papers (Data S2) 417 or NCBI GenBank database (www.ncbi.nlm.nih.gov/genbank) [52]. If all six CDR sequences were 418 the same between two or more antibodies, only one of these antibodies would be retained. After 419 filtering duplicates, there were 4,736 antibodies to SARS-CoV-2 and 2,204 to influenza HA. The 420 CDR sequences were identified by IgBLAST and PyIR [66, 72]. This dataset was randomly split 421 into a training set (64%), a validation set (16%), and a test set (20%). The training set was used 422 to train the deep learning model. The validation set was used to evaluate the model performance 423 during training. The test set was used to evaluate the performance of the final model. There was 424 no overlap of antibody sequences among the training set, validation set, and test set. The Adam

- 425 algorithm was used to optimize the model. The following hyper-parameters were used for model
- 426 training:
- CDR embedding size: 256
- The number of attention heads for self-attention on CDR feature learning: 4
- The number of encoder layer for CDR encoder: 4
- Size of stacking MLP layers: 512, 128, and 64
- 431 Learning rate: 0.0001
- Batch size: 256
- 433
- 434 Using the same training set, validation set and test set, the model performance of using the
- 435 following inputs was compared:
- 436 1. CDR H1 + H2
- 437 2. CDR L1 + L2
- 438 3. CDR H3
- 439 4. CDR L3
- 440 5. CDR H3 + L3
- 441 6. CDR H1 + H2 + H3
- 442 7. CDR L1 + L2 + L3
- 443 8. CDR H1 + H2 + H3 + L1 + L2 + L3
- 444
- 445 <u>Performance Metrics</u>
- 446 For evaluating model performance, S antibodies and HA antibodies were considered "positive"
- 447 and "negative", respectively. False positives (FP) and false negatives (FN) were samples that
- 448 were misclassified by the model while true negatives (TN) and true positives (TP) were correctly
- 449 classified one. The following metrics were computed to evaluate model performance:

(2)

450
$$accuarcy = \frac{TP + TN}{TP + FN + FP + TN}$$
(1)

451
$$precision = \frac{TP}{TP + FP}$$

$$452 \qquad recall = \frac{TP}{TP + FN} \tag{3}$$

In addition, we also used the receiver operating characteristic (ROC) curve and precision-recall
(PR) curve to measure the model's ability to avoid misclassification [53, 54]. Area under the curves
of ROC (i.e. ROC AUC) and PR (i.e. PR AUC) were computed using the "keras.metrics" module
in TensorFlow [73].

457

458 DATA AVAILABILITY

- 459 The assembled SARS-CoV-2 antibody dataset is in **Data S1**. The dataset for constructing and
- 460 testing the deep learning model is in **Data S2**.
- 461

462 CODE AVAILABILITY

463 Custom python scripts for all analyses have been deposited to https://github.com/nicwulab/SARS-

464 <u>CoV-2_Abs</u>.

465

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470

471 AUTHOR CONTRIBUTIONS

- 472 All authors conceived and designed the study. Y.W, M.Y. and N.C.W. assembled the dataset and
- 473 performed data analysis. J.P. provided technical expertise in deep learning, Y.W., M.Y. I.A.W,
- 474 and N.C.W. wrote the paper and all authors reviewed and/or edited the paper.
- 475

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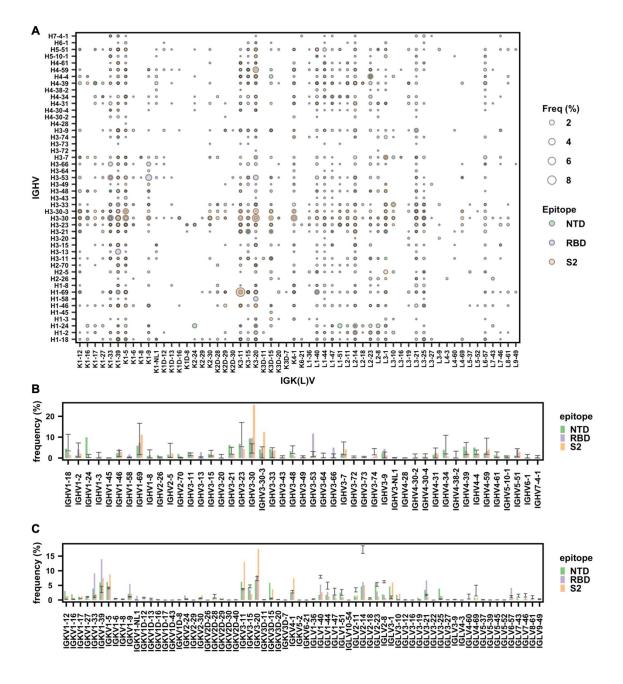
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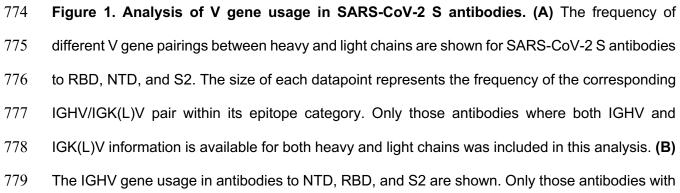
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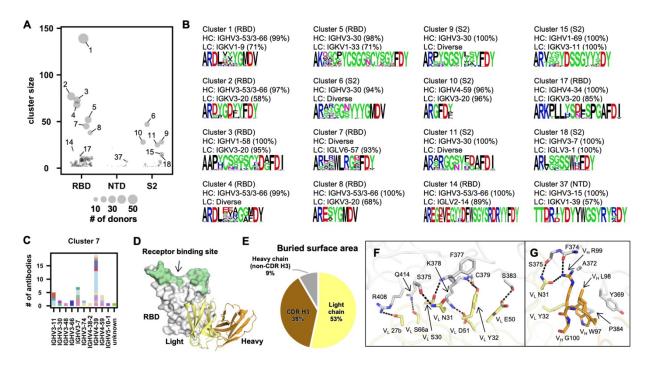
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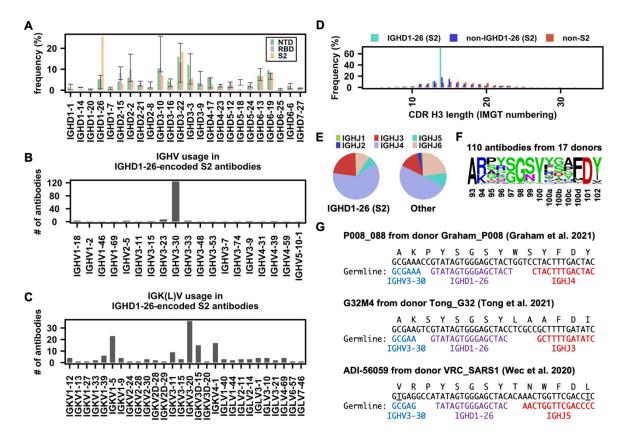
- 780 IGHV information available were included in this analysis. (C) The IGK(L)V gene usage in
- antibodies to NTD, RBD, and S2 are shown. Only those antibodies with IGK(L)V information
- available were included in this analysis. (B-C) Error bars represent the frequency range among
- 783 26 healthy donors [31-33].



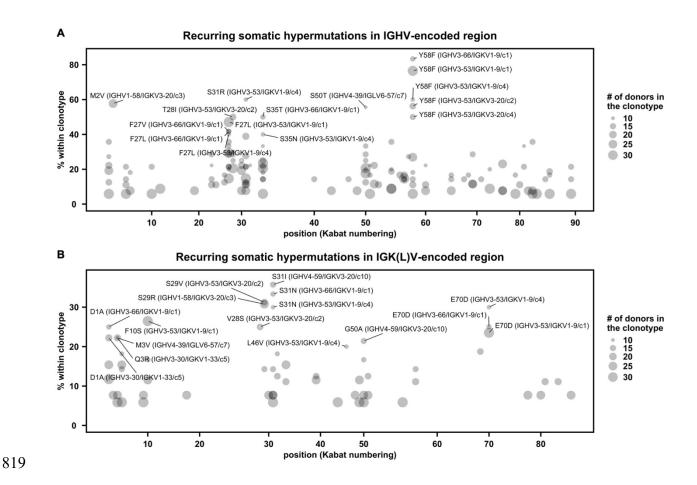
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785 Figure 2. Convergent CDR H3 sequences among SARS-CoV-2 S antibodies. (A) CDR H3 786 sequences from individual antibodies were clustered using a 20% cutoff (see Materials and 787 Methods). The epitope of each CDR H3 cluster is classified based on that of its antibody 788 members. Cluster size represents the number of antibodies within the cluster. (B) The V gene 789 usage and CDR H3 sequence are shown for each of the 16 CDR H3 clusters of interest. For each 790 of the CDR H3 cluster of interest, the CDR H3 sequences are shown as a sequence logo, where 791 the height of each letter represents the frequency of the corresponding amino-acid variant (single-792 letter amino-acid code) at the indicated position. The dominant germline V genes (>50% usage 793 among all antibodies within a given CDR H3 cluster) are listed. Diverse: no germline V genes had 794 >50% frequency among all antibodies within a given CDR H3 cluster. HC: heavy chain. LC: light 795 chain. (C) IGHV usage in cluster 7 is shown. Different colors represent different donors. Unknown: 796 IGHV information is not available. (D) An overall view of SARS-CoV-2 RBD in complex with 797 IGLV6-57 antibody S2A4 (PDB 7JVA) [41], which belongs to cluster 7, is shown. The RBD is in 798 white with the receptor binding site highlighted in green. The heavy and light chains of S2A4 are 799 in orange and yellow, respectively. (E) Percentages of the S2A4 epitope that are buried by the

- 800 light chain, heavy chain (without CDR H3), and CDR H3 are shown as a pie chart. Buried surface
- 801 area (BSA) was calculated by PISA (Proteins, Interfaces, Structures and Assemblies) at the
- 802 European Bioinformatics Institute (https://www.ebi.ac.uk/pdbe/prot_int/pistart.html) [74]. (F-G)
- 803 Detailed interactions between the (F) light and (G) heavy chains of S2A4 and SARS-CoV-2 RBD.
- 804 Hydrogen bonds and salt bridges are represented by black dashed lines. The color coding is the
- same as panel D.

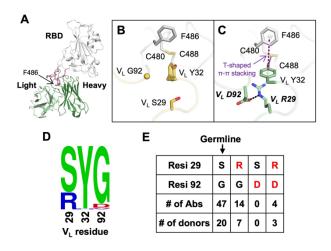


807 Figure 3. Enrichment of IGHD1-26 in SARS-CoV-2 S2 antibodies. (A) The IGHD gene usage 808 in NTD, RBD, S2 antibodies is shown. Error bars represent the frequency range among 26 healthy 809 donors. (B) IGHV gene usage and (C) IGK(L)V gene usage among IGHD1-26 S2 antibodies is 810 shown (n = 157). (D) The distribution of CDR H3 length (IMGT numbering) in IGHD1-26 S2 811 antibodies (n = 157), non-IGHD1-26 S2 antibodies (n = 533), and other non-S2 S antibodies that 812 do not target S2 (n = 5,090), are shown. (E) The IGHJ gene usage among IGHD1-26 S2 813 antibodies (n = 157) and other S antibodies with well-defined epitopes (n = 5,623) is shown. (F) 814 The CDR H3 sequences for IGHD1-26 S2 antibodies (n = 110) are shown as a sequence logo. 815 (G) Amino acid and nucleotide sequences of the V-D-J junction are shown for three IGHD1-26 816 S2 antibodies [42-44]. Putative germline sequences and segments were identified by IgBlast [66] 817 and are indicated. Somatically mutated nucleotides are underlined. Intervening spaces at the V-818 D and D-J junctions are N-nucleotide additions.



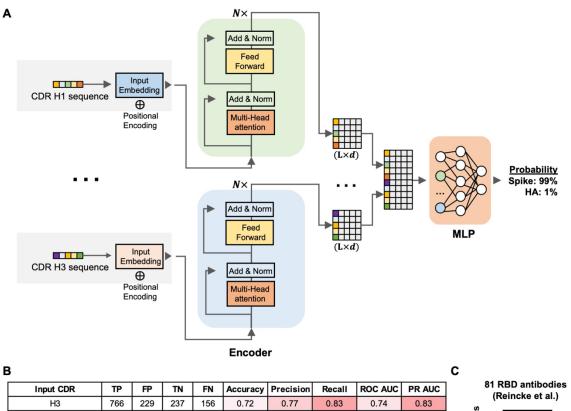
820 Figure 4. Recurring somatic hypermutations (SHMs) in SARS-CoV-2 S antibodies. (A-B) For 821 each public clonotype, if the exact same SHM emerged in at least two donors, such SHM is 822 classified as a recurring SHM. Only those public clonotypes that can be observed in at least nine 823 donors are shown. (A) Recurring SHMs in heavy chain V genes. (B) Recurring SHMs in light 824 chain V genes. X-axis represents the position on the V gene (Kabat numbering). Y-axis represents 825 the percentage of donors who carry a given recurring SHM among those who carry the public 826 clonotype of interest. For example, V_L S29R emerged in 8 donors out of 26 donors that carry an 827 public clonotype that is encoded by IGHV1-58/IGKV3-20. As a result, V_L S29R (IGHV1-58/IGKV3-828 20) is 31% (8/26) within the corresponding clonotype. Of note, since each public clonotype is also 829 defined by the similarity of CDR H3 (see Materials and Methods), there could be multiple 830 clonotypes with the same heavy and light chain V genes (e.g. IGHV3-53/IGKV1-9). The CDR H3 831 cluster ID for each clonotype is indicated with a prefix "c", following the information of the V genes.

- 832 For heavy chain, SHMs that emerged in at least 40% of the donors of the corresponding clonotype
- 833 are labeled. For light chain, SHMs that emerged in at least 20% of the donors of the corresponding
- 834 clonotype are labeled.





836 Figure 5. Structural analysis of a recurring SHM in the IGHV1-58/IGKV3-20 public 837 clonotype. (A) An overall view of SARS-CoV-2 RBD in complex with the IGHV1-58/IGKV3-20 838 antibody PDI 222 (PDB 7RR0) [51]. The RBD is shown in white, while the heavy and light chains 839 of the antibody are in dark and light green, respectively. The ridge region (residues 471-491) is 840 shown in pink, with F486 highlighted as sticks. (B-C) Structural comparison between two IGHV1-841 58/IGKV3-20 antibodies that either (B) carry germline residues V_L S29/G92 (COVOX-253, PDB 842 7BEN) [40] and (C) somatically hypermutated residues V_L R29/D92 (PDI 222, PDB 7RR0) [51]. 843 SARS-CoV-2 RBD is in white, while antibodies are in yellow (COVOX-253) and green (PDI 222). 844 Somatically mutated residues are labeled with bold and italic letters. The T-shaped π - π stacking 845 between RBD-F486 and V₁ Y32 is indicated by a purple dashed line. Hydrogen bond and salt 846 bridge are represented by black dashed lines. (D) Sequence logo of V_L residues 29, 32, and 92 847 among 67 IGHV1-58/IGKV3-20 RBD antibodies are shown. (E) Numbers of antibodies in the 848 IGHV1-58/IGKV3-20 public clonotype carrying the germline-encoded variant at V_L residues 29 849 and 92 (S29, G92), as well as V_L SHM S29R and G92D (red) are listed. Of note, one antibody in 850 this IGHV1-58/IGKV3-20 public clonotype carries S29/N92 and another carries S29/V92. 851 However, they are not listed in the table here.



H3	766	229	237	156	0.72	0.77	0.83	0.74	0.83	
L3	796	287	179	126	0.70	0.73	0.86	0.72	0.82	
H3+L3	795	212	254	127	0.76	0.79	0.86	0.79	0.87	eg 40 −
H1+H2	786	173	293	136	0.78	0.82	0.85	0.83	0.90	
H1+H2+H3	804	152	314	118	0.81	0.84	0.87	0.86	0.91	
L1+L2	774	222	244	148	0.73	0.78	0.84	0.76	0.84	# 0 <u></u>
L1+L2+L3	766	226	240	156	0.72	0.77	0.83	0.77	0.86	⊥ ⁰⁷ Predicted
H1+H2+H3+L1+L2+L3	778	124	342	144	0.81	0.86	0.84	0.87	0.92	specificity

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853 Figure 6. Antigen identification by deep learning. (A) A schematic overview of the deep 854 learning model architecture. (B) For evaluating model performance, S antibodies and HA 855 antibodies were considered "positive" and "negative", respectively. Model performance on the 856 test set was compared when different input types were used. Of note, the test set has no 857 overlap with the training set and the validation set, both of which were used to construct the 858 deep learning model. True positive (TP) represents the number of S antibodies being correctly 859 classified as S antibodies. False positive (FP) represents the number of HA antibodies being 860 misclassified as S antibodies. True negative (TN) represents the number of HA antibodies being 861 correctly classified as HA antibodies. False negative (FN) represents the number of S

- 862 antibodies being misclassified as HA antibodies. See Materials and Methods for the calculations
- 863 of accuracy, precision, recall, ROC AUC, and PR AUC for the training and test sets. (C) The
- antigen specificity of 81 RBD antibodies from Reincke et al. [47] were predicted by a deep
- 865 learning model that was trained to distinguish between S antibodies and HA antibodies.