1 Title

- 2 Genomic analysis reveals geography rather than culture as the predominant factor shaping
- 3 genetic variation in northern Kenyan human populations

4 Running title

5 Genetics and culture of Kenyan pastoralists

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19 Abstract

20 **Objectives**: The aim of this study was to characterize the genetic relationships within and among 21 four neighboring populations in northern Kenya in light of cultural relationships to understand the 22 extent to which geography and culture shape patterns of genetic variation.

Materials and Methods: We collected DNA and demographic information pertaining to aspects of social identity and heritage from 572 individuals across the Turkana, Samburu, Waso Borana, and Rendille of northern Kenya. We sampled individuals across a total of nine clans from these four groups and, additionally, three territorial sections within the Turkana and successfully genotyped 376 individuals.

28 Results: Here we report that geography predominately shapes genetic variation within and 29 among human groups in northern Kenya. We observed a clinal pattern of genetic variation that 30 mirrors the overall geographic distribution of the individuals we sampled. We also found relatively 31 higher rates of intermarriage between the Rendille and Samburu and evidence of gene flow 32 between them that reflect these higher rates of intermarriage. Among the Turkana, we observed 33 strong recent genetic substructuring based on territorial section affiliation. Within ethnolinguistic 34 groups, we found that Y chromosome haplotypes do not consistently cluster by natal clan 35 affiliation. Finally, we found that sampled populations that are geographically closer have lower 36 genetic differentiation, and that cultural similarity does not predict genetic similarity as a whole 37 across these northern Kenyan populations.

38 Discussion: Overall, the results from this study highlight the importance of geography, even on
 39 a local geographic scale, in shaping observed patterns of genetic variation in human populations.

40 Keywords

41 Africa, Kenya, geography, culture, social organization, genetic structure, genetic F_{ST}, cultural F_{ST}

42 Introduction

43 Among human populations, both geography and culture contribute to modifying patterns 44 of genetic variation. Gene flow can be constrained by geographic distance (Wright 1943). In 45 humans, it is commonly observed that as geographic distance between populations increases, 46 genetic similarity decreases (e.g., (Manica, Prugnolle, & Balloux, 2005; Novembre et al., 2008; 47 Ramachandran et al., 2005). In addition to geography, genetic variation and population structuring 48 are also influenced by cultural factors, like language (e.g., (Hunley et al., 2008; Nettle & Harriss, 49 2003: Pagani et al., 2012: Sun et al., 2013: Xu et al., 2010)) or social organization (Bose, Platt. 50 Parida, Drineas, & Paschou, 2021; Chaix et al., 2007; Heyer et al., 2009; Marchi et al., 2017). 51 Like geographic distance, linguistic distance has been shown to correlate with genetic distance 52 (Cavalli-Sforza, Piazza, Menozzi, & Mountain, 1988; Nettle & Harriss, 2003).

53 Large scale research efforts have aimed to curate genetic variation across some African 54 populations to understand population history and human health and disease (e.g., (1000 55 Genomes Project Consortium et al., 2015; Choudhury et al., 2020; Gurdasani et al., 2015; 56 Mulindwa et al., 2020; Tishkoff et al., 2009)). However, with more than 2,000 ethnolinguistic 57 groups across the continent, there is still much to learn about the determinants of substructure 58 both among and within individual ethnolinguistic groups at smaller geographic scales. For 59 example, do cultural boundaries play an important role in shaping gene flow among neighboring 60 groups, or does geography primarily shape patterns of genetic variation, even on a local scale?

The Turkana, Samburu, Waso Borana, and Rendille are neighboring pastoral ethnolinguistic groups inhabiting the semi-arid northern region of Kenya. They herd cattle, camel, sheep and goat, and migrate over varied distances to access pasture and water. There is intense competition for scarce dry season grazing and water resources in this region, and armed livestock raiding occurs especially between communities belonging to different ethnolinguistic groups (Handley & Mathew, 2020). Marriage across ethnic boundaries particularly between the Rendille

and Samburu has been noted (Spencer, 2012). Nomadic pastoralism in all four populations
involves lots of movement of people over large distances, including into one another's territory.
While there are no cultural prescriptions against intermarriage between these groups, historical
and contemporary livestock raiding and resource competition often lead to hostile relationships
between these groups. It is currently unclear whether these tensions contribute to isolation among
these groups, or whether gene flow occurs despite the socio-political barriers.

73 All four groups have lineage-based divisions where individuals are organized into clans 74 and, for some groups, clans are further grouped into either moieties or phratries (Figure S1). The 75 Turkana, Samburu and Rendille are exogamous at the clan level while the Borana are exogamous 76 at the moiety level, so for these groups, individuals generally marry outside of their birth clan. In 77 addition to lineage-based divisions, the Turkana are unique from the other three groups in that 78 they also have territory-based divisions that cross-cut clan-level organization. There are no 79 marriage restrictions at the territorial level. This territorial division provides an opportunity to 80 investigate whether territory-based division impacts substructuring in the Turkana. Detailed 81 descriptions of the social organization of these populations can be found in (Handley & Mathew, 82 2020).

83 Each of these groups has a patrilineal descent system where an individual's natal clan 84 affiliation typically follows that of their father. There are, however, situations in which children do 85 not take on the clan identity of their biological father. When the biological father is not officially 86 married, i.e., has yet to pay a bride price to the family of the child's mother at the time of birth, the 87 child remains affiliated with the natal clan, which is the child's mother's and maternal grandfather's 88 clan. Households with few children or that have relatively higher material security may adopt a 89 child, in which case the child takes the clan identity of the adoptive father. Therefore it is unclear 90 how cultural systems of patrilineal descent shape patterns of male-specific genetic variation within 91 these groups.

92 In a previous study, two members of this research team sampled 750 individuals from nine 93 clans across these four ethnic groups, and in the Turkana additionally included three territorial 94 sections, to obtain data on cultural beliefs and norms, and to quantify levels of cultural 95 differentiation (cultural F_{ST}) among these groups (Handley & Mathew, 2020). Cultural F_{ST} is the 96 proportion of the total variation in cultural traits that lie between populations (Bell, Richerson, & 97 McElreath, 2009; Handley & Mathew, 2020) and can provide a quantitative measure of cultural 98 similarity between groups. For the current study, we sampled individuals from these same nine 99 clans and three Turkana territorial sections, which allows us to examine the relationship between 100 genetic and cultural differentiation.

101 To form a better understanding of the population structure among the Turkana, Samburu, 102 Rendille, and Waso Borana ethnolinguistic groups and how geography and culture contribute to 103 shaping genetic variation in northern Kenya, we worked with these local groups to obtain genetic 104 samples from 572 individuals across all four populations (**Table 1**). We were able to successfully 105 genotype 376 of the 572 individuals on Illumina's Multi-Ethnic Global Array (Table S1). For all 106 samples, we additionally collected culturally relevant demographic information that included natal 107 and post-marital affiliations and spoken languages for themselves, parents, and grandparents. 108 For married men, we additionally collected demographic information for their spouse(s) (e.g. 109 spouse's ethnic group and natal clan affiliation, etc.). We report here that geography 110 predominately shapes genetic variation within and among human groups in northern Kenya. 111 Specifically, we found a clinal pattern of genetic variation that mirrors the overall geographic 112 distribution of the individuals we sampled. We found evidence of gene flow and relatively higher 113 rates of intermarriage between the Samburu and Rendille than between any other pair of groups 114 in our sample. We further observed strong recent genetic substructuring among the Turkana, 115 based on territorial section affiliation, that did not affect the between-ethnolinguistic group 116 comparisons. Within ethnolinguistic groups, we found that male Y chromosome haplotypes do not 117 consistently cluster by natal clan affiliation. Finally, we found that ethnolinguistic groups that are

- 118 geographically closer have lower genetic differentiation, and that cultural similarity (estimated via
- 119 cultural F_{ST}) does not predict genetic similarity as a whole across these four northern Kenyan
- 120 populations. Overall, despite cultural and linguistic differences, our analysis suggests that
- 121 geography is the main driving force of genetic variation, even on a very local geographic scale.

Ethnolinguistic group	Language genus ^a	Spoken language	Social organization	Approx. population in Kenya ^b	Clans sampled (sample size) ^d
Borana	Lowland East Cushitic	Southern Oromo	2 exogamous moieties 17 clans	276,236°	Noonituu (40) Warrajidaa (40) Other (30)
Rendille	Lowland East Cushitic	Kirendille	2 phratries 9 exogamous clans	96,313	Ldupsai (43) Saale (45) Other (22)
Samburu	Nilotic	Northern Maa	2 phratries 8 exogamous clans	333,471	Lpisikishu (40) Lukumai (42) Other (27)
Turkana	Nilotic	Kiturkana	18 territorial sections (TS) 24 exogamous clans cross- cutting the TS	1,016,174	Kwatela TS: Ngisiger (24), Ngipongaa (21), Ngidoca (23) Ngiyapakuno TS: Ngisiger (24), Ngipongaa (26), Ngidoca (22) Ngibochoros TS: Ngisiger (21), Ngipongaa (22), Ngidoca (21) Other (38)

Table 1. Background information on study populations and collected samples. We sampled a total of 572 individuals in northern Kenya. Here, we describe general background information on the four study populations, including information on language, social organization, and population sizes in Kenya. This table was adapted from (Handley and Mathew 2020) but altered to reflect sample sizes collected in the current study. ^aLanguage information reported here is based on assignments from the *World Atlas of Languages (WALS) Online*. ^bPopulation sizes reported here were obtained from the 2019 census report of the *Kenya National Bureau of* Statistics. ^cBorana extend into Ethiopia so their total population exceeds the numbers living in Kenya. ^dSample numbers are based on natal affiliations. Since we opportunistically sampled in these regions, we also sequenced individuals beyond the targeted clans and territories and these samples are marked as "Other" here. One individual was from the Gabbra ethnic group and not included in this table.

134 Materials and Methods

135 Community engagement and ethics

Both SM and CH have worked in Northern Kenya for over a decade and have established and maintained a strong relationship with the local communities. Research with the Turkana, Borana, Rendille, and Samburu has expanded to include genetic analyses and great care has been taken to ensure ethical informed consent, data collection, outreach communication, and data sharing.

141 Subsequent to obtaining the appropriate research permitting through Kenya's National 142 Commission for Science, Technology and Innovation (NACOSTI), yet prior to commencing any 143 data collection, the field teams spent a considerable period of time with each local community and 144 its leaders to sensitize individuals to the purpose and process of collecting genetic samples for 145 this study. Other than SM and CH, field teams were composed solely of individuals from the 146 participant communities, many of whom had been working with SM and CH for several years. 147 Research assistants (RAs) and guides worked within their own ethnic groups, therefore having 148 one team per group, and all information was presented to participants using local languages. As 149 a key goal of any informed consent process, potential subjects must demonstrate sufficient 150 comprehension of the methods and underlying scientific principles on which to base their decision 151 to participate. With literacy rates for northeastern Kenya estimated below 10% of the adult 152 population (Kebathi, 2008) explaining fundamental concepts regarding DNA, genetic data sample 153 collection, and data sharing was of paramount importance. For each area surveyed, teams met 154 with the responsible county/deputy commissioners, local/assistant chiefs, and/or community 155 elders councils to explain the purpose of the study and to obtain permissions from the appropriate 156 bodies. As data collection began, RAs discussed the study, its purpose, methodologies, and 157 underlying scientific principles to each eligible participant and provided ample time for participants 158 to ask questions and address any concerns. At this time, there was an estimated drop-out rate of 159 20% of eligible participants. For those remaining, we transitioned to the formal consent process, 160 where the objectives, methods, and benefits of the study would be repeated before asking a 161 subject to sign or mark the consent form. At this stage, there was an additional estimated 15% 162 drop-out of eligible participants. Those who agreed to take part in the study were provided with 163 the contact information for both local and foreign research team members in the event that s/he 164 should choose to be removed from the study at any future point. Furthermore, despite the 165 common practice in many locations of husbands granting permission for themselves and for their wives, permissions were obtained explicitly and directly from all female participants. However, the 166 167 research teams made efforts to avoid households where directly soliciting female participation 168 could transgress cultural norms and inadvertently introduce additional domestic concerns.

169 Once obtaining consent for participation, research assistants demonstrated the cheek cell 170 swab collection procedure, using a clean swab on themselves to scrub the inside of their own 171 cheeks. Our initial intention was for RAs to swab the participants' mouths; however, we found that 172 participants felt more comfortable being in control of the process to swab their own mouths. This 173 required oversight from the RAs to ensure that the swab was oriented in the appropriate direction, 174 did not come into contact with foreign bodies, and that enough pressure and effort were applied 175 during the collection. Furthermore, we requested that participants rinse their mouths with water if 176 they recently had been chewing tobacco or other organic products. Satisfactory swabs were 177 handed to the RAs to seal within the collection tube and returned to CH for cool bag storage. After

178 sample collection, participants were asked to respond to a 10-15 minute survey, developed in 179 ONA and implemented in the field through Online Data Kit (ODK) using handheld Samsung 180 tablets. The survey requested permission to record the GPS locations of participants along with 181 questions regarding the biological and cultural kinship lineages of the participants with a resolution 182 to both maternal and paternal grandparents, along with languages spoken within each family 183 household.

184 In 2018, AMTO traveled to Turkana to present outreach materials and discuss initial 185 findings. We worked with VizLab graphic design studio at ASU to make a series of images to help 186 explain what DNA is, how to get DNA from a cell, what can be learned about people and human 187 history with DNA, and preliminary results from this genetic study (Figure S2). Along with the 188 images, we created a script to explain, in layman's terms, what the images mean; we also had 189 questions to ask at the end of the presentation to make sure participants were following and 190 understanding what we were demonstrating to them. We worked with the local field assistants to 191 translate the script into the local language and to present the script to the community. We 192 presented to a total of 6 settlement areas across 3 territorial sections in Turkana County, Kenya. 193 Overall, the presentations were well received by the communities, and people expressed interest 194 in the results. Some people also expressed their excitement about wanting to know what else we 195 would find from their DNA. Additional dissemination from our group will occur in the near future 196 as it becomes safer to travel.

197 The Turkana, Rendille, Samburu, and Borana are small-scale pastoral populations in 198 northern Kenya. We are therefore taking measures to ensure the protection of these groups by 199 providing the genetic data generated here as controlled access while maintaining appropriate 200 standards of data access. The genomic data generated here will be available through dbGap.

201 Sampling and sequencing

202 We collected DNA and demographic information from a total of 572 individuals from 203 Turkana, Samburu, Rendille, and Waso Borana and successfully genotyped 376 of these 204 individuals on Illumina's Multi-Ethnic Global Array (Table 1; Table S1). For each ethnolinguistic 205 group, we sampled individuals from at least two clans. Data collection occurred across northern 206 Kenya from October 2016 – October 2017. For each participant, a DNA sample was taken in the 207 form of saliva or cheek swab; the saliva was collected in an Oragene OG-500 DNA collection kit. 208 In addition to collecting a DNA sample, a questionnaire was administered to each participant to 209 acquire demographic information; this information included, for example, natal and post-marital 210 clan affiliation, and spoken languages. DNA was extracted using a phenol-chloroform extraction 211 method for the samples collected from cheek swabs. DNA for the samples collected with the 212 Oragene OG-500 DNA collection kit was extracted at Yale Center for Genomic Analysis. The 213 extracted DNA was then quantified on both a Qubit and Nanodrop. Each sample's extracted DNA 214 was then diluted to at least 35 ng/ul in a volume of 40 ul and sent to Langebio-Cinvestav 215 sequencing facility in Mexico for SNP genotyping on Illumina's Multi-Ethnic Global Array.

216 Quality control and filtering

217 We received the SNP genotype data in the form of a raw plink file. The coordinates were 218 mapped to the human reference genome hg19. Initially, there were a total of 1,779,819 markers 219 genotyped on the array. Sites with no valid mapping for the probe or with more than 1 best-scoring 220 mapping for the probe were removed from our analyses. Additionally, we removed any sites 221 marked as insertions or deletions. There were 27,089 duplicated variants in this file; duplicated 222 variants have the same chromosome number and position and can have the same or different 223 allele codes. Duplicated variants with the same chromosome, position, and allele codes were 224 merged, while duplicated variants with the same chromosome and positions, but different allele

codes were removed. We merged the duplicated sites using the '--merge-equal-pos' flag and the
default merge mode - which ignores missing calls and sets mismatching genotypes to missing using PLINK v1.9 (Chang et al., 2015). There were a total of 1,715,718 sites after filtering.

228 As an additional guality control measure, we inferred the sex chromosome complement of 229 each individual and compared this information with reported sex information. Two approaches 230 were used to infer the sex chromosome complement of each individual, one approach based on 231 the X chromosome inbreeding coefficient (F) and the other approach based on the number of Y 232 chromosome genotype counts. Since genetic males are expected to have one X chromosome, 233 they should not have any heterozygous sites on the X chromosome (minus the pseudoautosomal 234 regions - PARs) and therefore an inbreeding coefficient equal to 1. We used the "--check-sex 235 ycount" flag in PLINK v1.9 (Chang et al., 2015) to calculate the X chromosome inbreeding 236 coefficient and the number of Y chromosome genotype counts using. The PARs were excluded 237 from this calculation.

238 As per the PLINK documentation recommendations, individuals with an X chromosome 239 inbreeding coefficient greater than 0.8 were considered male, while individuals with an X 240 chromosome inbreeding coefficient less than 0.2 were considered female. The expectation for 241 genetic females is that they have no genotype calls on the Y chromosome - since genetic females 242 are expected to be XX - however, all the female individuals in our data set had genotype calls on 243 the Y chromosome. We, therefore, visualized the X chromosome inbreeding coefficient and non-244 missing Y chromosome genotype counts together to see the distribution of these values in males 245 and females and to identify any individuals that did not cluster with expected male and female 246 values. We removed individuals that had discrepancies between these two metrics (Figure S3). 247 A total of 10 individuals were removed.

Identity by descent (IBD) was calculated across the autosomes to identify and remove
related individuals. Prior to running the IBD analysis, we filtered sites with missing data across
samples greater than 5% (--geno 0.05 flag in PLINK), sites with Hardy-Weinberg equilibrium p-

251 value threshold less than 1x10⁻⁵⁰, and pruned sites for linkage disequilibrium (50 kb window size, 10 kb variant step size, 0.2 r² threshold). Filtering and IBD were calculated, using PLINK v1.9 252 253 (Chang et al., 2015), for all pairwise combinations of samples in our data set and output pairs of 254 individuals with more than 18% IBD. We removed two samples with 100% IBD that were not 255 replicate samples (the same sample sequenced twice), as these may reflect contamination. We 256 had two replicates in this data set and removed one sample from each replicated pair. In the 257 cases where there were clusters of individuals related, we removed the individuals who were 258 related to many other individuals, to minimize the number of individuals to remove. In cases where 259 just two individuals were related, we attempted to remove roughly equal numbers of males and 260 females when possible. A total of 67 samples were removed, leaving 301 individuals.

We next performed an initial principal components analysis (PCA) on the 301 samples using smartpca, a program within the EIGENSOFT v6.0.1 software package (Price et al., 2006). We identified a total of 4 outlier samples; these samples were removed from subsequent analyses (**Figure S4**).

265 After individual filtering, we performed site filtering on the autosomes, Y chromosome, and 266 mitochondrial DNA. For the autosomes, we removed sites with more than 5% missing data across 267 individuals at a given site ("--geno 0.05" flag in PLINK/ 95% call rate filter), removed sites that deviate from Hardy-Weinberg equilibrium (p-value threshold of 1x10⁻⁵⁰), and performed linkage 268 disequilibrium pruning (50 kb window size, 10 kb variant step size, and 0.2 r² threshold). For the 269 270 Y chromosome and mitochondrial DNA, we removed sites with heterozygous calls, removed sites 271 with more than 5% missing data across individuals at a given site, and removed sites that deviate 272 from Hardy-Weinberg equilibrium with a p-value threshold of 1×10^{-50} . After filtering, 516,821, 273 3.295, and 811 sites remained on the autosomes, Y chromosome, and mitochondrial DNA, 274 respectively.

275 Population genetic analyses

276 To explore the genetic structure within and among northern Kenyan populations, we ran 277 PCA using smartpca (Price et al. 2006) and ADMIXTURE (Alexander, Novembre, & Lange, 2009) 278 on the autosomes for all unrelated samples. We ran ADMIXTURE for K = 2 - 5 with a total of 10 279 replicates for each K value. For streamlined post analysis and visualization of the different 280 replication runs and K values from the ADMIXTURE analysis, we used pong (Behr, Liu, Liu-Fang, 281 Nakka, & Ramachandran, 2016), an algorithm for processing and visualizing membership 282 coefficient matrices. Pong finds the best alignment across all runs within and across the different 283 K values and identifies modes among all runs for each K. We used the best alignments across all 284 runs within and across the different K values for visualization in this manuscript.

To quantify genetic differentiation within and among northern Kenyan populations, we calculated Hudson's F_{ST} (Hudson, Slatkin, & Maddison, 1992) using the estimator derived in (Bhatia, Patterson, Sankararaman, & Price, 2013). F_{ST} was calculated on the autosomes for ethnolinguistic groups and Turkana territorial sections. Results were visualized in R (R. C. Team & Others, 2013; R. Team & Others, 2015), using the visualization package, ggplot2 (Wickham, 2011).

To test whether genetic F_{ST} was different between Ngibochoros and at least one of the ethnolinguistic groups and Kwatela and/or Ngiyapakuno and the same ethnolinguistic group, we performed a series of permutations. We randomly shuffled samples from two of the territorial sections, calculated F_{ST} between the territorial section and ethnolinguistic group, and then calculated the test statistic which was the absolute difference between F_{ST} for each territorial section. We repeated this 1,000 times and calculated the p-value. This was done for all combinations.

To visualize the relationships among haplotypes within each ethnolinguistic group, we generated haplotype networks for the Y chromosome and mitochondrial DNA. SNPs in our data

300 set were first set to the forward orientation. Sites on the opposite strand were identified using 301 snpflip (https://github.com/biocore-ntnu/snpflip) and flipped using PLINK v1.9 (Chang et al., 302 2015). Snpflip uses information from a reference genome fasta sequence and the bim PLINK file 303 to identify SNPs on the reverse strand. The GRCh37 GENCODE reference genome was used 304 (ftp://ftp.ebi.ac.uk/pub/databases/gencode/Gencode human/release 30/GRCh37 mapping/GR 305 Ch37.primary assembly.genome.fa.gz). Any sites unable to be flipped due to ambiguity in 306 whether the site was on the reverse strand were removed. A total of 2,807 and 806 sites remained 307 on the Y chromosome and mitochondrial DNA, respectively. PLINK files were then converted to 308 VCF format using PLINK v.1.9 (Chang et al. 2015). VCF files were converted to FASTA file format 309 using python and haplotype networks were constructed in R (R. C. Team & Others, 2013; R. Team & Others, 2015) using pegas (Paradis, 2010) and ape (Paradis & Schliep, 2019) packages. 310

311 Quantification of intermarriage

312 To quantify the amount of intermarriage in the Turkana, Samburu, Rendille, and Waso 313 Borana, we used questionnaire information collected here and from (Handley & Mathew, 2020). 314 The questionnaire information we collected in this study requested spouses' ethnolinguistic group 315 information only for the married men, while the questionnaire information from (Handley & 316 Mathew, 2020) had spouse information for both married men and women that were sampled. In 317 these groups, men may marry more than one wife, so rates of marriages were based on the total 318 number of marriages rather than the number of individuals. For each ethnolinguistic group, we 319 calculated the percentage of marriages both within the same ethnolinguistic group and from 320 different ethnolinguistic groups. This was calculated separately for men and women.

321 Correlations between genetic and cultural differentiation

322 To test whether measures of genetic similarity can predict cultural similarity, we performed 323 a series of Pearson's correlations between genetic F_{ST} , cultural F_{ST} , geographic distance, and 324 linguistic distance. We used cultural F_{ST} values and linguistic distances that were previously 325 calculated among these ethnolinguistic groups (Handley & Mathew, 2020). Briefly, cultural F_{ST} is 326 a measure of cultural similarity between two groups; a low cultural F_{st} indicates two groups are 327 more culturally similar while a higher cultural F_{ST} indicates two groups are less culturally similar 328 (Bell et al., 2009). For each group, language, genus, and family information were acquired from 329 The World Atlas of Language Structures (WALS) database ("WALS Online - Home," n.d.). Using 330 this information linguistic distances were categorized as follows: a score of 0 for groups that speak 331 the same language (same language, same genus, same family), a score of 1 for groups that 332 speak different languages from the same language genus (different language, same genus, same 333 family), a score of 2 for groups that speak different languages from different genus but within the same family (different language, different genus, same family), and finally, a score of 3 for groups 334 335 that speak different languages from different language families (different language, different 336 genus, different family). Further details can be found in (Handley & Mathew, 2020). To calculate 337 geographic distance between groups, we collected GPS coordinate information for the locations 338 in which genetic sampling occurred. If a household fell within one precision of one or more 339 households (within 20 meters), only one GPS measure was recorded. Using the latitude and 340 longitude for each measured household in each population, we calculated the average distance 341 between pairs of populations in kilometers (km). This involved calculating the distance between 342 all households from one population to another population and then averaging these distances. 343 This was computed for all pairs of populations using a custom python script. Pearson correlations 344 were performed in R (R. C. Team & Others, 2013; R. Team & Others, 2015) using package ppcor 345 (Kim, 2015) and visualized using ggplot2 (Wickham, 2011).

346 Data and code availability

The genotype data generated in this manuscript has been deposited on dbGap (dbGap accession number phs002654.v1.p1) and will be made available upon publication. All original code used in this manuscript can be found on GitHub: <u>https://github.com/SexChrLab/Kenya_Fst</u>.

350 **Results**

Intermarriage among ethnolinguistic groups contributes to the clinal pattern of genetic variation

353 We found a clinal pattern of genetic variation that mirrors the overall geographic 354 distribution of the individuals we sampled (Figure 1A, 1B). In the principal components analysis 355 (PCA), the Turkana samples separate from the other three groups along PC1, and along PC2 the 356 Borana samples separate from the Rendille and Samburu (Figure 1B). While the Borana samples 357 form a discrete cluster from the other ethnolinguistic groups, there is overlap between Samburu 358 and Rendille and some overlap between Samburu and Turkana (Figure 1B). Interestingly, many 359 of the overlapping Samburu and Rendille samples have a family history - a parent and/or 360 grandparent(s) in the Rendille and Samburu, respectively (Table S2). In contrast, the Samburu 361 sample that falls near Turkana and the Turkana sample that falls near Samburu have no reported cross-group family history through the grandparent level in these individuals (Table S2). We 362 363 additionally found high rates of intermarriage between some ethnolinguistic groups and nearly 364 non-existent intermarriage between others (Figure 1C). For Rendille, 5% of female marriages 365 and 16.4% of male marriages were with a Samburu individual (Figure 1C). For Samburu, we 366 observe almost the exact opposite pattern, with 11.4% of female marriages and 4.8% of male

marriages with a Rendille individual (Figure 1C). The Samburu also have low levels of
intermarriage with the Turkana; 3.2% of male Samburu marriages were with a Turkana individual
(Figure 1C). For the Borana, none of our sampled individuals report marriages with the Turkana,
Samburu, or Rendille, but did report varying levels of intermarriage between the Borana and

371 Sakuye, Gabra, Garri, and Somali (Figure 1C).

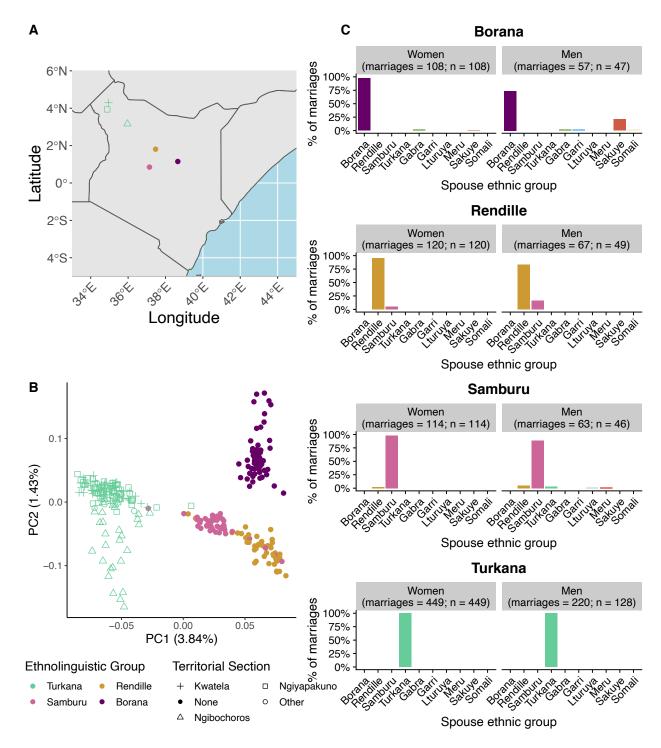




Figure 1. Intermarriage among ethnolinguistic groups contributes to the clinal pattern of genetic variation. Sampling regions, patterns of genetic variation, and rates of intermarriage across northern Kenya human populations. A) We sampled 376 individuals across four ethnolinguistic groups in northern Kenya and for the Turkana only, we additionally sampled across

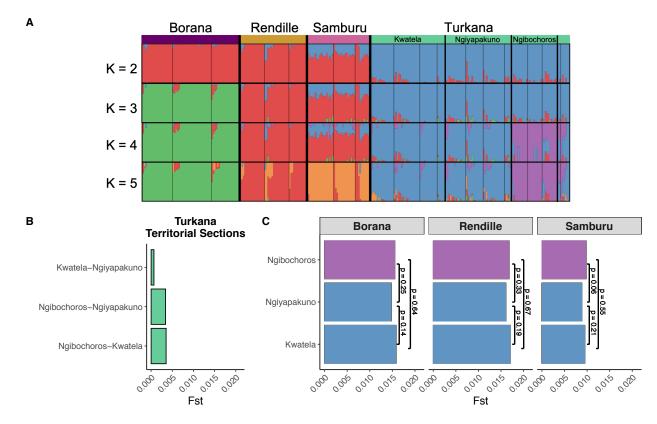
three territorial sections. B) Autosomal principal components analysis (PCA). C) Rate of intermarriage across each ethnolinguistic group. Points in A and B represent sampled ethnolinguistic groups and Turkana territorial sections. Colors represent ethnolinguistic group affiliation, and shapes represent Turkana territorial section affiliation. Each point in A represents the geographic location of each sampled group, while the points in B represent individuals.

382 The Turkana have additional variation and geography-based 383 substructuring

Just as these ethnolinguistic groups are geographically separated and similar to the PCA (**Figure 1B**), we observed clear genetic separation in ADMIXTURE analyses (**Figure 2A**). In the ADMIXTURE analyses, each of the ethnolinguistic groups have their own unique ancestry at K = 5 (**Figure 2A**). Interestingly, at K = 4 we observe possible admixture between Samburu and Rendille (**Figure 2A**).

389 In the Turkana, we additionally found geography-based genetic substructuring based on 390 territorial region (Figure 2A; Figure S5). In the ADMIXTURE analyses, we see substructure 391 within the Turkana before we see all four ethnolinguistic groups being identified separately. For 392 example, at K = 4, the Turkana are characterized by two different ancestries, with one of these 393 ancestries unique to individuals from the Ngibochoros territorial section (Figure 2A). Consistent 394 with this, in the PCA, we observe variation within the Turkana along PC2 (Figure 1B). The 395 individuals from the Ngibochoros territorial section separate from the other Turkana territorial 396 sections along PC2. We further calculated genetic differentiation, F_{ST} , among the three Turkana territorial sections. We found that F_{ST} between Ngibochoros and either Kwatela or Ngiyapakuno 397 398 are much higher than $F_{\rm ST}$ between Kwatela and Ngiyapakuno, which are territorial sections that 399 are both adjacent to each other and distant from the Ngibochoros (Figure 1A; Figure 2B).

400 The observed territorial section substructuring in the Turkana may be due to geographic 401 separation among the territorial sections. Alternatively, it is possible that individuals from 402 Ngibochoros - the territorial section that is closer geographically to the Borana, Samburu, and 403 Rendille than the other Turkana territorial sections - may have admixed with the other neighboring 404 groups, resulting in the higher genetic differentiation. To investigate these scenarios further, we 405 calculated genetic F_{ST} between each of the Turkana territorial sections and the other three 406 ethnolinguistic groups and performed permutation tests to investigate whether F_{ST} values were 407 significantly different between each territorial section and ethnolinguistic group. If gene flow was 408 occurring among Ngibochoros and the other three ethnolinguistic groups, we would expect F_{ST} to 409 be lower between Ngibochoros and at least one of the ethnolinguistic groups than between either 410 Kwatela or Ngiyapakuno and the same ethnolinguistic groups. What we find, however, is that F_{ST} 411 is not significantly different for each of the Turkana territorial sections when compared with each 412 of the other ethnolinguistic groups (Figure 2C).



413

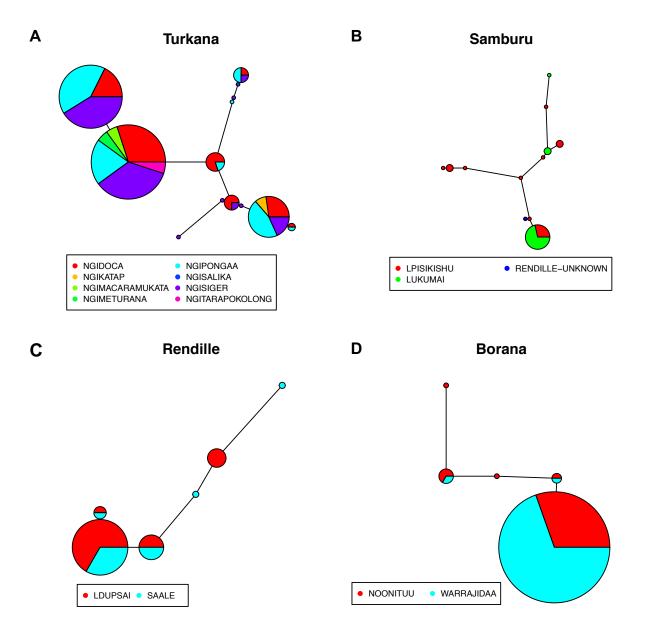
414 Figure 2. The Turkana have additional variation and geography-based substructuring. A)

415 ADMIXTURE analysis for 10 replicates of K = 2 - 5 for the autosomes. Each vertical bar represents 416 an individual, and the colors represent the proportion of ancestry corresponding to K. Samples 417 are organized by ethnolinguistic groups (separated by thick black vertical bars), then by Turkana 418 territorial sections (separated by medium black vertical bars), and lastly by natal clan affiliation 419 (separated by thin black vertical bars). We observe no substructure based on natal clan affiliation 420 but do observe geographic substructuring in the Turkana based on territorial section (purple and 421 blue clusters at K = 4 and 5). B) Autosomal genetic differentiation (F_{ST}) among Turkana territorial 422 sections. Individuals from Ngibochoros territorial section are more genetically different than individuals from the other sampled territories. C) Autosomal F_{ST} among each Turkana territorial 423 424 section and the other sampled ethnolinguistic groups. We performed a series of pairwise 425 permutations and found that there is no statistical difference in genetic differentiation among 426 Turkana territorial sections and ethnolinguistic groups. P-values from the permutation tests are 427 annotated on the plot.

Y chromosome haplotypes do not consistently cluster by natal clan affiliation

430 On the Y chromosome - where we expected Y haplotypes to be more similar for males 431 from the same clan in groups with patrilineal descent than in different clans - we found that 432 haplotypes do not consistently cluster by natal clan affiliation. For Turkana and Borana, there are 433 no haplotypes unique to a clan (Figure 3A, 3D). For the Samburu, most of the haplotypes cluster 434 by natal clan affiliation, with the exception of one haplotype that is shared among individuals from 435 both clans we sampled (Figure 3B). For the Rendille we observed one haplotype unique to 436 individuals from the Ldupsai clan, however, the rest of the haplotypes were shared among clans 437 (Figure 3C). We observe similar characteristics in the mitochondrial DNA haplotype networks

- 438 (Figure S6). Overall, within these patrilineal descent groups, Y chromosome haplotypes generally
- do not cluster by natal clan affiliation.



440

Figure 3. Y chromosome haplotypes do not consistently cluster by natal clan affiliation.
Haplotype networks constructed from Y chromosome SNP data from A) Turkana, B) Samburu,
C) Rendille and D) Borana male samples. The size of each node (circle) is proportional to the
number of samples in the node (larger nodes have more samples and smallest nodes have 1
sample). Colors within each node represent natal clan affiliation corresponding to the key in each

446 panel.

447 Genetic differentiation is driven by physical separation, not cultural

448 processes

449 Ethnolinguistic groups that are geographically closer typically had lower genetic F_{ST} 450 (**Figure 4**). The lowest genetic F_{ST} was found between the Samburu and Rendille yet they speak 451 languages from different language families; individuals from these ethnolinguistic groups are 452 closer geographically to each other than to any other ethnolinguistic group. The Turkana sampled 453 here are, on average, furthest geographically from the other ethnolinguistic groups - ranging from 454 286 km to 439 km away. The Turkana also have much higher genetic F_{ST} values with the Rendille, 455 Borana, and Samburu than F_{ST} measured between any two comparisons of the Rendille, Borana, 456 and Samburu (Figure 4).

For some groups, the pattern of genetic differentiation secondarily paralleled linguistic relationships. Among the Turkana genetic F_{ST} comparisons, genetic F_{ST} between Turkana and Samburu - both Nilo-Saharan speakers - is about two times lower than genetic F_{ST} between the Turkana and Rendille, even though the Rendille individuals sampled here are closer to Turkana by about 63 km (**Figure 4**).

We find that cultural differentiation does not predict genetic differentiation among neighboring groups in northern Kenya. Genetic F_{ST} and cultural F_{ST} are not significantly correlated with each other (R = 0.63, p-value = 0.18; **Figure 5; Figure S7**). However, we observe a significant positive correlation between genetic F_{ST} and geographic distance both at the ethnolinguistic group level and also Turkana territorial section level (ethnolinguistic group level: R = 0.82, p-value = 0.048; Turkana territorial section level: R = 0.992, p-value = <0.001; **Figure 5; Figure S7**).

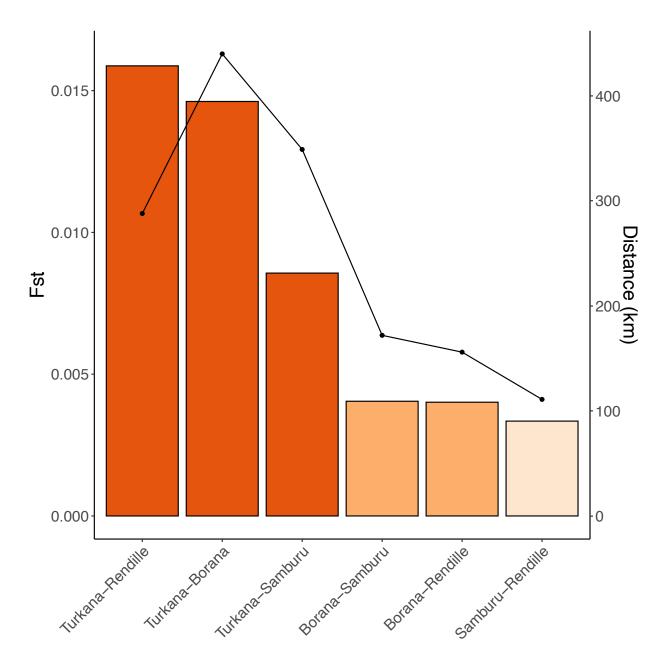
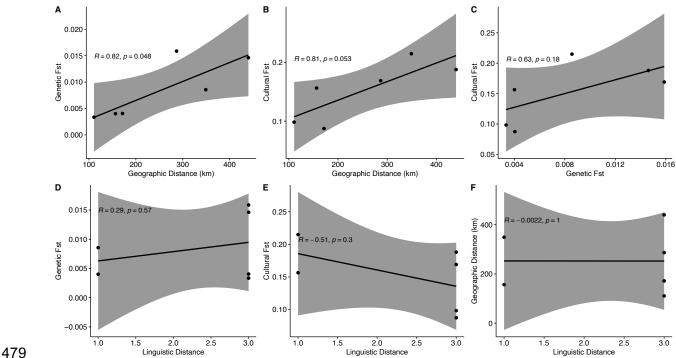


Figure 4. Geography primarily impacts patterns of genetic differentiation among ethnolinguistic groups but for some groups, the pattern of genetic differentiation secondarily parallels linguistic relationships. We calculated autosomal F_{ST} among ethnolinguistic groups. Bars are ordered by F_{ST} . Dark orange (left) are groups furthest geographically, while the lighter orange bars are groups closest geographically. The Samburu and Rendille (pale orange) are two neighboring groups that speak languages from different

476 language families, yet have the lowest genetic F_{ST} observed in our study. Line graph corresponds



477 to the geographic distance between each pair of ethnolinguistic groups.

478

Figure 5. Cultural differentiation does not predict genetic differentiation among human ethnolinguistic groups in northern Kenya. We performed a series of Pearson's correlations to explore whether cultural differentiation may impact genetic F_{ST} . Pearson correlations for A) genetic F_{ST} and geographic distance, B) cultural F_{ST} and geographic distance, C) genetic F_{ST} and cultural F_{ST} , D) genetic F_{ST} and linguistic distance, E) cultural F_{ST} and linguistic distance, and F) geographic distance and linguistic distance. R corresponds to the correlation coefficient; p corresponds to the p-value.

487 Discussion

In this study, we generated genome-wide SNP genotype data and investigated the extentto which geographic and cultural processes shape genetic variation within and among four

pastoral populations in northern Kenya. We sampled across multiple layers of social organization
- ethnolinguistic groups, clans, and territorial sections - finding that geography, rather than cultural
processes, predominantly shape patterns of genetic variation in northern Kenya.

493 Among ethnolinguistic groups, we observed a clinal pattern of variation with a lack of 494 discrete clustering, particularly between the Rendille and Samburu, and comparatively high levels 495 of intermarriage between them. These results suggest ongoing gene flow between the Rendille 496 and Samburu, the two most closely geographically located groups, but not the most culturally 497 similar. Previous literature has noted intermarriage between the Rendille and Samburu (Spencer, 498 2012) and relatively higher levels of cooperation (Handley & Mathew, 2020). Genetic clustering 499 of Cushitic and Nilo-Saharan speaking groups (of which the Rendille and Samburu are a part of, 500 respectively) has previously been observed, supporting evidence of gene flow between these 501 larger linguistic groups (Tishkoff et al., 2009). Our findings confirm previous genetic and cultural 502 observations and provide an example in humans where genes are shared between different 503 ethnolinguistic groups at a local geographic scale.

504 Though we found a clinal pattern of variation, the Waso Borana and Turkana formed fairly 505 discrete clusters of unique genetic variation. Strikingly, in the individuals we sampled, no 506 intermarriage was reported in the Turkana at all, and for Waso Borana, there was no intermarriage 507 with the other three ethnolinguistic groups. These results suggest isolation in Waso Borana and 508 Turkana from the ethnolinguistic groups sampled in this study. However, it is possible our 509 sampling locations may be driving part of these observations. The regions that the Waso Borana 510 inhabit border the other ethnolinguistic groups; however, we sampled individuals from the Merti 511 region, which is an interior region of the Waso Borana territory. Likewise, the Turkana individuals 512 we sampled were from the north and west regions of Turkana that do not directly border the other 513 groups. We speculate that although these are nomadic groups that can traverse large distances, 514 intermixing may occur in boundary regions rather than in interior regions. Future studies including 515 individuals from both interior and border regions may shed light on this.

516 Perhaps one of the most intriguing results in this study was the observed genetic 517 substructuring within Turkana based on territorial section affiliation. Individuals from the 518 Ngibochoros territorial section are further geographically from the individuals sampled from the 519 other territorial sections, and our results suggest that this geographic separation results in high 520 genetic differentiation between individuals from Ngibochoros with individuals from Kwatela and 521 Ngiyapakuno. Because there is no cultural barrier to Turkana individuals marrying individuals from 522 different territorial sections (i.e., clan level exogamy) and because there is extensive migration in 523 dry season across territorial section boundaries in these nomadic groups, we did not expect to 524 observe genetic substructuring within the Turkana. Rather, we were expecting the Turkana to be 525 largely homogenous, similar to what we observed within the other three ethnolinguistic groups we 526 sampled in this study. The Turkana are however the most populous of the four ethnic groups, 527 numbering approximately 1 million individuals, and having the largest geographic span. It is 528 possible that although there is a shared cultural identity over this larger area, interpersonal 529 interactions and co-mingling between distant Turkana territorial sections are limited. Genetic 530 substructuring has been observed across humans on larger geographic scales (i.e., (Alsmadi et 531 al., 2013; Bryc et al., 2010; Jakkula et al., 2008; Salmela et al., 2011; Tian et al., 2008; Tishkoff 532 et al., 2009; Xu et al., 2009)); however, due to a lack of dense sampling within individual 533 populations across Africa, genetic substructuring within a single ethnolinguistic group has not 534 been widely observed within the continent, nor indeed within as small of a region as we investigate 535 here. Because underlying genetic structure can have implications for case-control studies (Price, 536 Zaitlen, Reich, & Patterson, 2010), our results highlight the importance of accounting for fine-537 scale substructuring in future genomic studies, particularly with the Turkana, and emphasize the 538 continued importance of characterizing genetic structure across globally diverse human 539 populations.

540 Within ethnolinguistic groups, we found that Y chromosome haplotypes do not consistently 541 cluster by natal clan affiliation, suggesting that patrilineality may not have a strong impact on

542 patterns of male-specific genetic variation in northern Kenya pastoral populations. Previous 543 research has found that, in groups with patrilineal descent, like pastoralists in Central Asia (Chaix 544 et al., 2007) and the Bimoba in Ghana (Sanchez-Faddeev et al., 2013), males from the same clan 545 have identical or similar Y haplotypes. However, this is not always the case, as seen in tribal 546 Yemen, where Y haplotypes do not clearly cluster by clan (Raaum, Al-Meeri, & Mulligan, 2013). 547 A possible explanation for our finding is that cultural conception of fatherhood, and therefore clan 548 affiliation, does not always correspond with who one's biological father is. For example, in these 549 groups, offspring from unofficial marriages - unions in which the bride price has not been paid -550 take on their mother's clan. This would result in a mismatch in clan assignment for these offspring. 551 Adoption can also result in a mismatch in clan affiliation. Adoption is known to occur in Turkana 552 and an adopted child takes on the clan of their adopted father. Overall, these results highlight that 553 patrilineal descent groups do not always correspond with genetic patriline.

554 We found that genetic differentiation was highest between ethnolinguistic groups 555 separated by the largest geographic distances, suggesting that geography primarily impacts 556 patterns of genetic differentiation among northern Kenyan populations. Previous studies of 557 genetic structure among human populations in Africa have found correspondence between 558 genetic structure and linguistic affiliation and/or geography, with some studies reporting 559 correspondence of genetic structure predominantly with linguistic affiliations (Bryc et al., 2010; 560 Tishkoff et al., 2009), while others found that patterns of genetic structure predominantly mirror 561 geography and ecological barriers (Babiker, Schlebusch, Hassan, & Jakobsson, 2011; Uren et 562 al., 2016). For northern Kenyan human populations, our results suggest that geography primarily 563 shapes the observed patterns of genetic differentiation.

Though genetic differentiation primarily paralleled geographic distances, for some groups in our study, the pattern of genetic differentiation secondarily paralleled linguistic relationships. Specifically, we found that Turkana and Samburu had lower F_{ST} than the Turkana and Rendille, despite the former being geographically more distant from one another than Turkana and

568 Rendille. The close genetic relationship between Turkana and Samburu compared to Turkana 569 and Rendille could be due to shared Nilo-Saharan ancestry between the Turkana and Samburu 570 but could also be the result of sampling from areas not directly bordering each group. Although 571 not commonplace, the Turkana are known to intermarry with both the Rendille and Samburu, and 572 likely occurs in regions bordering each group. Given our sampling strategy, we were unable to 573 assess the extent of gene flow in regions directly bordering each group and if this differs from 574 interior regions. Therefore, though our results suggest that patterns of genetic differentiation may 575 be secondarily influenced by local linguistic affiliations for populations with similar geographic 576 distances, additional sampling across the entire region of each ethnolinguistic group may be 577 needed to validate this finding.

578 Lastly, we found that cultural differentiation does not predict genetic differentiation among 579 neighboring populations in northern Kenya. Previous studies have used and compared linguistic 580 or cultural distance to genetic distance to understand the extent to which genes and 581 culture/language travel among human populations, with examples in human history where genes 582 and culture/language have been shown to travel together (i.e., (Filippo, de Filippo, Bostoen, 583 Stoneking, & Pakendorf, 2012; Hewlett, De Silvestri, & Guglielmino, 2002; Hunley et al., 2008; 584 Karafet et al., 2016; Lansing et al., 2007)) and others where spoken language has been shown 585 to have no effect on genetic structure (Veeramah et al., 2010). Here, we used cultural F_{ST} to test 586 whether genes and culture travel together on a local geographic scale and find that cultural F_{ST} and genetic F_{ST} are not correlated with each other among northern Kenya pastoralists. We caution 587 588 against the overinterpretation of this result, however, due to the limited number of groups sampled 589 here. We anticipate this metric of cultural similarity will be of interest for future studies aimed at 590 assessing questions of the movement of genes and culture in humans on both larger and local 591 geographic scales. Taken together with the other results in this study, our findings suggest that 592 geographic proximity, not cultural similarity, may provide a better explanation for the observed 593 patterns of genetic variation among these groups.

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608 Declaration of Interests

609 The authors declare no competing interests.

610 Authors Contributions

AMTO, CH, ACS, SM, and MAW conceived the study. AMTO and MAW designed the methodology. SM and CH collected the samples and demographic data. AMTO and EKH extracted DNA and prepared samples for genotyping. SM, CH, and AMTO processed and cleaned the demographic data. AMTO performed all formal analyses and led the writing of the manuscript. 615 All authors contributed critically to writing and editing the drafts and gave final approval for 616 publication.

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