

Hydraulic synchrony of spawning sites amongst Earth's riverine fishes

Antóin M. O'Sullivan^{*1,2}, Alexander M. Morgan^{1,3,4}, Robert Newbury¹, Tommi Linnansaari^{1,2,3},
Barret L. Kurylyk⁵, Jani Helminen⁶, Bernhard Wegscheider⁷, Robert C. Johns⁸, Kurt Samways^{1,9},
Kari I. Alex¹⁰, R. Allen Curry^{1,2,3}, and Richard A. Cunjak^{1,2,3}

¹ Canadian Rivers Institute, University of New Brunswick (New Brunswick, Canada)

² FOREM, University of New Brunswick (New Brunswick, Canada)

³ Biology, University of New Brunswick (New Brunswick, Canada)

⁴ Physics, University of New Brunswick (New Brunswick, Canada)

⁵ Civil and Resource Engineering, Dalhousie University (Nova Scotia, Canada)

⁶ Fish and Water Research Ltd. (Klaukkala, Finland)

⁷ Institute of Ecology and Evolution, Wyss Academy for Nature, University of Bern (Bern, Switzerland)

⁸ Atlantic Forestry Centre, Canadian Forest Service, Natural Resources Canada (New Brunswick, Canada)

⁹ Biology, University of New Brunswick (New Brunswick, Canada)

¹⁰ Okanagan Nation Alliance Fisheries Department (British Columbia, Canada)

ORCIDiS

- Antóin M. O'Sullivan - 0000-0003-0599-887X
- Tommi Linnansaari - 0000-0002-8594-3838
- Jani Helminen - [0000-0001-7358-8341](https://orcid.org/0000-0001-7358-8341)
- Rob C. Johns – 000-0002-8936-7735

27 *corresponding author: Antóin M. O’Sullivan (aosulliv@unb.ca)

28 **Abstract**

29 Earth’s riverine fishes utilize a suite of reproductive guilds, broadly following four guilds: nest
30 guarders, broadcast pelagic spawners, broadcast benthic spawners and nest non-guarders^{1,2}, and
31 these guilds utilize different mechanisms to aerate eggs^{3,4}. Globally, river fishes populations are
32 declining⁵, and spawning habitat rehabilitation has become a popular tool to counter these
33 declines⁶. However, there is a lack of understanding as to what classifies suitable spawning
34 habitats for riverine fishes, thereby limiting the efficacy of these efforts and thus the restoration
35 of the target species. Using data from $n = 220$ peer-reviewed papers and examining $n = 128$
36 unique species, we show the existence of a hydraulic pattern (defined by Froude number (Fr), a
37 non-dimensional hydraulic parameter) that characterizes the reproductive guilds of riverine
38 fishes. We found nest guarders, broadcast pelagic spawners, benthic spawners, and nest non-
39 guarders selected sites with mean $Fr = 0.05, 0.11, 0.22, \text{ and } 0.28$, respectively. Some of the
40 fishes in this study are living fossils, suggesting that that these hydraulic preference patterns may
41 be consistent across time. Our results suggest this hydraulic pattern can guide spawning habitat
42 rehabilitation for all riverine fish species globally in absence of specific spawning habitat
43 information for a species, where resource managers can establish the reproductive guild of the
44 species of interest, and then apply the specific hydraulic requirements (Fr range) of that
45 reproductive guild, as presented herein, in the rehabilitation of the target species.

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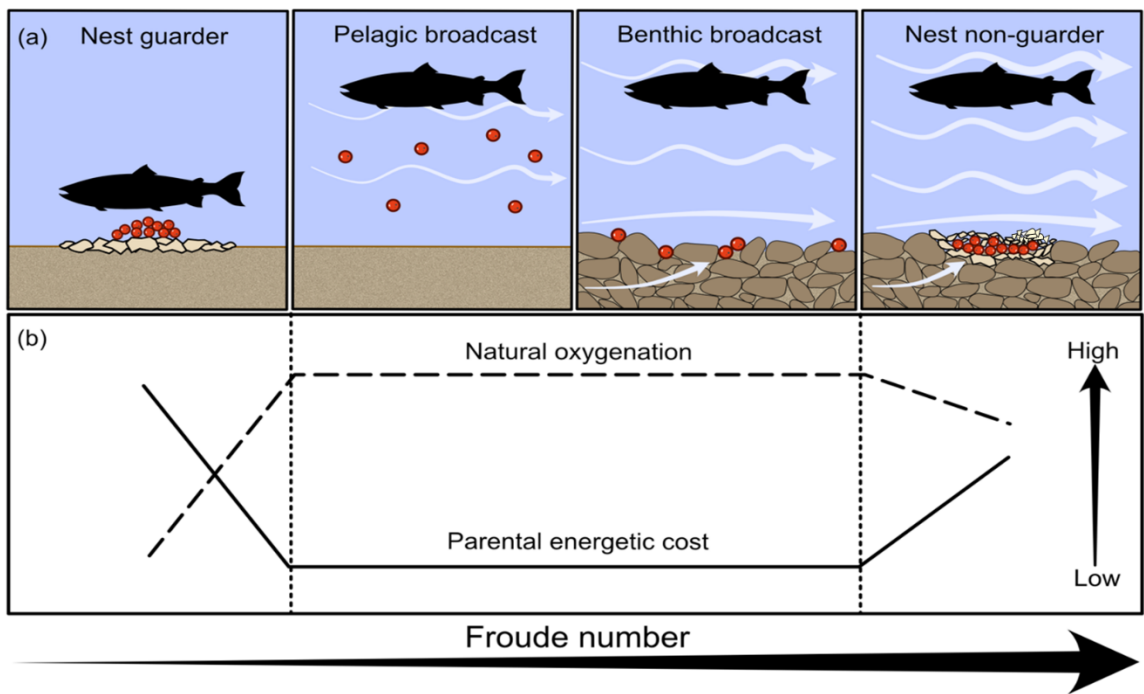
55 **Main**

56 Throughout the year Earth's rivers pulse as their fishes spawn to complete their life cycle, thus
57 ensuring the continued success of their respective species. Their selection of spawning sites is
58 based on reproductive guilds, and these broadly (but not exclusively) follow four guilds^{1,2}: (i)
59 nest builders that guard their nest (nest - guarder), *e.g.*, smallmouth bass (*Micropterus dolomieu*),
60 (ii) nest builders that do not guard their nest (nest non-guarder), *e.g.*, Dwarf Ayu (*Plecoglossus*
61 *altivelis*), (iii) broadcast pelagic spawners – fishes that spawn in the water column (broadcast -
62 pelagic), *e.g.*, Darling River hardyhead (*Craterocephalus amniculus*) and (iv) broadcast benthic
63 spawners – fishes that broadcast spawn onto substrates (broadcast - benthic)), *e.g.*, American
64 paddlefish (*Polyodon spathula*). Regardless of the guild, a key to maturation and hatching of
65 these eggs is adequate oxygenation^{3,4} – see Fig. 1. Nest builders, for instance, fan their nests to
66 aerate their eggs, whereas nest non-guarders will create a nest that modulates river flow to aerate
67 their eggs⁷.

68 Earth's riverine fishes are experiencing steep declines⁵. The reasons for this are
69 multifaceted and linked to habitat degradation, dams, overharvest, introduction of non-native
70 species, and climate change^{8,9}. With these declines, we are on the cusp of losing myriad species
71 and the critical ecosystem functions and services they provide. To counter these declines,
72 governmental and non-profit groups are turning to spawning habitat restoration^{6,10}. While this
73 is a laudable aim, there is a lack of understanding as to what classifies suitable spawning habitats
74 for riverine fishes, and it is infeasible to find this explicitly out for every riverine fish species.
75 This knowledge gap serves to limit the efficacy of these efforts and thus the restoration of the
76 target species. We hypothesize that a hydraulic pattern exists in the spawning habitats of Earth's
77 riverine fishes, and this pattern can guide spawning habitat rehabilitation in absence of species-
78 specific information. We select Froude number (Fr) as a non-dimensional hydraulic parameter
79 that is comparable across all spatial scales, and has shown promise in previous studies examining
80 detailed spawning characteristics (*e.g.*,^{10,11}). Fr is simply a ratio of inertial to gravity forces (see
81 methods), and describes the river's hydraulic energy regime. We postulate, *a priori*, that low Fr
82 values characterize the spawning habitats of nest guarders, where oxygenation of the eggs is
83 provided by the parent. This energetically costly guild motivates the selection of a hydraulic
84 regime that limits energetic expenditure associated with swimming (Fig. 1). Conceptually, as the
85 reproductive guild changes, the hydraulic regime required to aerate the eggs is also predicted to

86 change. For instance, broadcast pelagic spawners that spawn semi-buoyant eggs are
87 hypothesized to select different hydraulic regimes than broadcast benthic spawners that spawn
88 adhesive eggs (Fig. 1). Contrastingly, we hypothesize that nest-non guarders select relatively
89 higher Fr values to limit sedimentation accumulation in the nest that would reduce natural
90 oxygenation (Fig. 1). To test our hypotheses, we conducted a meta-analysis of $n = 220$ peer-
91 reviewed papers that describe depth and velocity conditions, and thereby Fr , at the spawning
92 sites of $n = 128$ unique riverine fishes across the planet (Fig. 2a and Tables S1 and S2). These
93 data include each of the four reproductive guilds detailed above (Fig. 1 and 2).

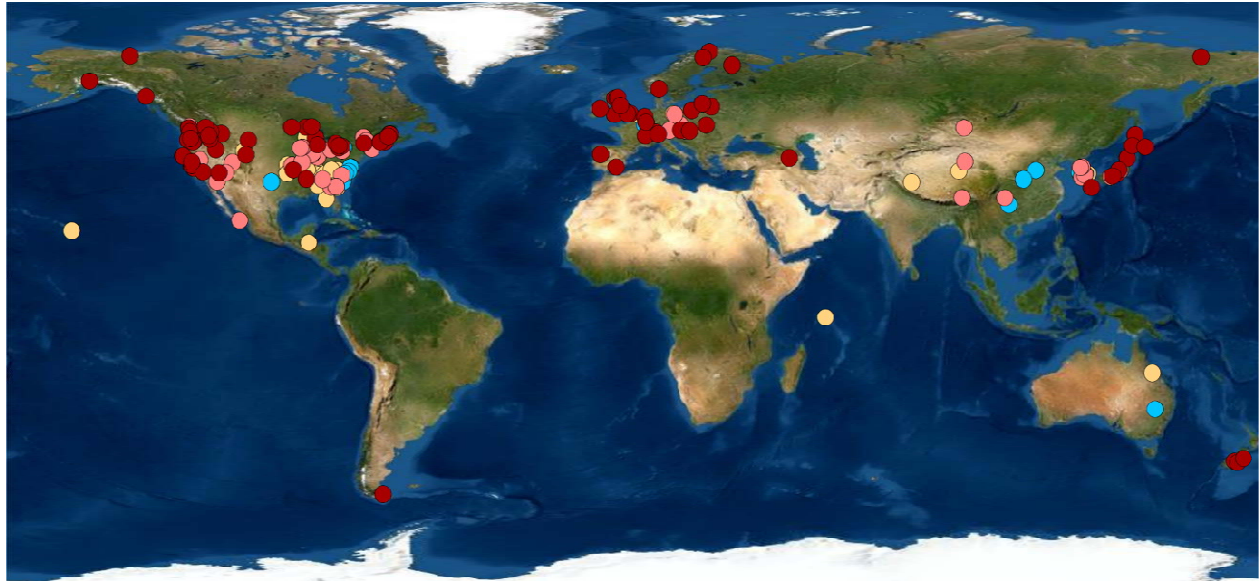
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96 **Fig. 1** The conceptual model illustrating our hypothesized relationship between global
97 reproductive guilds and Froude number. A schematic of global riverine reproductive guilds (a),
98 and their hypothesized relationship with oxygenation, and parental energetic investment in
99 incubation (b) as a function of Froude number.

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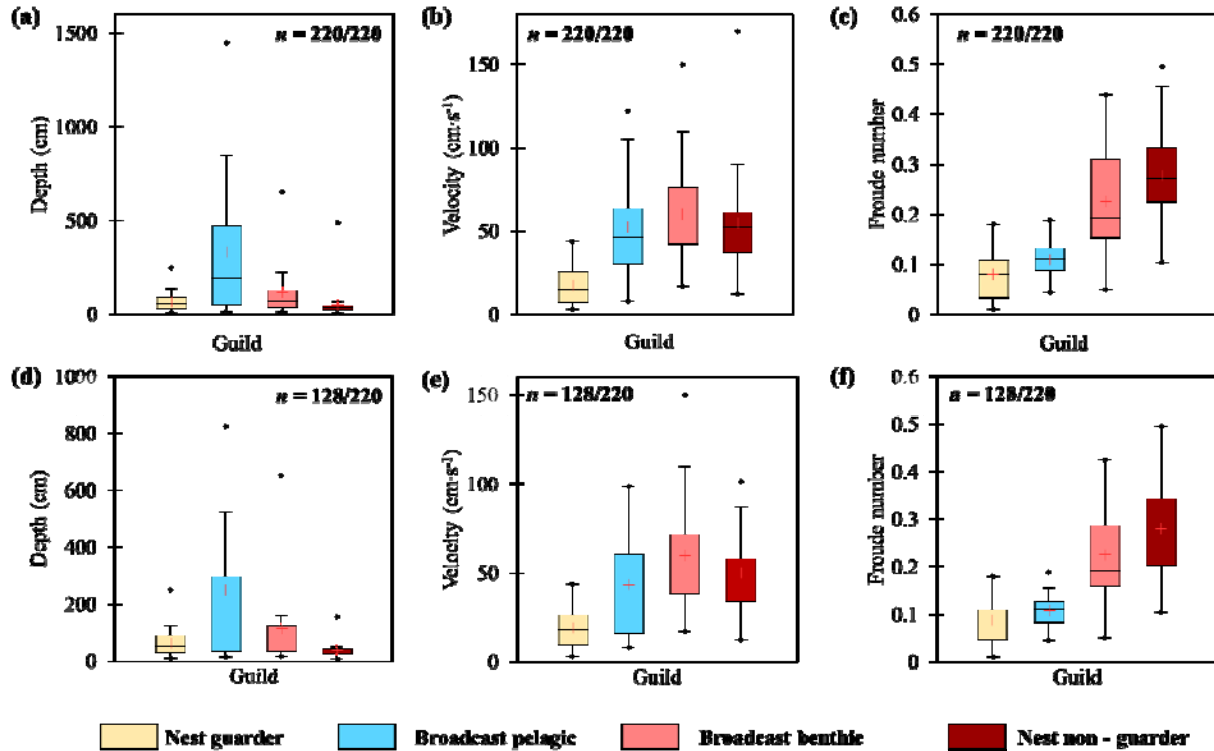


	Nest guarder 	Broadcast pelagic 	Broadcast benthic 	Nest non-guarder 	Total
No. of samples (n)	34	18	61	107	220
No. of species (n)	31	13	44	40	128

101
102 **Fig. 2** Spatial extent of the data underlying our analysis displaying reproductive guild
103 classification (color), sample size and number of unique species, and the total number of samples
104 for each reproductive guild.

105
106 **Results and Discussion**

107 Globally, Fr values indicate an association with reproductive guilds that was not evident in depth
108 or velocity (Fig. 3). Nest guarders, broadcast pelagic spawners, benthic spawners, and nest non-
109 guarders selected sites with mean Fr numbers of 0.05, 0.11, 0.22, and 0.28, respectively (Fig. 3).
110 We acknowledge that we observed between-population variability within species in the Fr at
111 their spawning location. This means that the Fr at selected spawning sites is not necessarily a
112 “crisp” number and some inherent variability is assumed. However, we tested the validity of the
113 observed patterns in Fr by examining species specific Fr estimates (*i.e.*, for multiple single
114 species observations, we reduced the parameter of interest to the mean of the total observations),
115 and the results remain the same (see methods and Fig. 3a-c and d-f). As such, our meta-analysis
116 supports the hypothesis, and conceptual model, that a hydraulic pattern, as represented by Fr , is
117 apparent from the reproductive guilds of Earth’s riverine fishes (Figs. 1 and 3.).



119

120 **Fig. 3** Descriptive statistics results illustrating the relationship between depth, velocity and
121 Froude number values for nest guarders, broadcast pelagic, broadcast benthic, and nest non-
122 guarding reproductive guilds stratified as per our conceptual model (Fig. 1) for the entire data set
123 ($n = 220$) (a-c), and unique species ($n = 128$) (d-f).

124

125 Nest guarders selected sites with the lowest Fr values observed across the $n = 128$ species
126 examined. Nest guarders, such as the eel tailed catfish (*Tandanus tandanus*), are uniquely
127 characterized in our dataset by a parent that protects and aerates the nest. It is well established
128 that providing parental care is energetically costly, with links to reduced parental growth¹².
129 Biotic interactions by predators can further exacerbate energetic costs for nest guarders (e.g.,
130 smallmouth bass (*Micropterus dolomieu*) and round goby (*Neogobius melanostomus*) – see¹³).
131 We investigated the role of Fr on energetic expenditure for nest guarders using an integrated
132 Froude number – Strouhal number model ($Fr-St$), where St is a dimensionless number that
133 describes oscillating flow mechanisms. Considering nest guarders fan their nest to remove fine
134 sediments and aerate their eggs, we assume $St = 0.3$ as this provides the greatest propulsion to
135 entrain particles and aerate eggs (e.g.,^{14,15}). Examining the relationship between Fr and tail-beat

136 frequency (f) reveals the energetic expenditure required to obtain optimal St – see methods. The
137 Fr - St model suggests Fr values selected by nest guarders are energetically more efficient than
138 the other reproductive guilds (see f values in Table 1). We thus propose the global occurrence of
139 low Fr values for nest guarders is linked to energetic conservation of the parent, facilitating
140 removal of fine sediments and aerating eggs in the most energetically efficient manner.

141

142 **Table 1** Integrated Froude number (Fr) and Strouhal number (St) model results for different Fr
143 scenarios associated with each reproductive guild quantifying how an increase in Fr while
144 retaining an optimal $St = 0.3$ ¹⁵, leads to an increase in tail beat frequency f (see SI for full model).

Reproductive guild				
	<i>Nest guarder</i>	<i>Broadcast pelagic</i>	<i>Broadcast benthic</i>	<i>Nest non- guarder</i>
Fr	0.08	0.11	0.22	0.28
St	0.3	0.3	0.3	0.3
f (s^{-1})	1.6	2.2	4.3	5.5

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146 The role of hydraulics for the remaining three reproductive guilds is markedly different
147 from nest guarders. When broadcast pelagic spawners spawn in the water column, their eggs can
148 either settle onto vegetation or drift, *e.g.*, common bream (*Abramis brama*) and black carp
149 (*Mylopharyngodon piceus*), respectively. For species whose eggs are deposited on vegetation,
150 Fr values of ~ 0.11 likely produce an environment that aerates the eggs, while the presence of the
151 vegetation limits shear stress and egg entrainment¹⁶. For species that spawn directly into the
152 water column, we hypothesize a different link between the egg and the hydraulics. Here, we use
153 striped bass (*Morone saxatilis*) as an example. Upon fertilization, the semi-buoyant striped bass
154 eggs drift in the water column, with eggs becoming denser as they grow^{17,18}. In still water, ergo
155 with little drag force, these denser eggs will sink, reducing survival¹⁹. As such, we suggest the
156 selection of $Fr \sim 0.11$ for this guild provides adequate flow to entrain the eggs, and as the egg
157 density increases, this hydraulic condition facilitates the egg staying buoyant, *i.e.*, prevent
158 complete sinking, and allows time for the egg to hatch (Fig. 1).

159

160 The hydraulic controls on nest building non-guarders' site selection have been well
161 studied^{20,21}. Salmonid redds, for instance, are understood to induce hyporheic flow paths (blue
162 arrows Fig. 1) that remove fine sediments and metabolic by-products, while also aerating eggs,
163 and creating a stable thermal environment²². These same mechanisms most likely transcend to
164 other nest building non-guarders, such as sea lamprey (*Petromyzon marinus*) – see Table S1.
165 However, less is understood about the role of hydraulics for broadcast benthic spawners, such as
166 the robust redhorse (*Moxostoma robustum*) and alligator gar (*Atractosteus spatula*). Given both
167 nest non-guarders and broadcast benthic spawners select gravel and cobble substrata, and the *Fr*
168 values for both are the highest across the reproductive guilds, we postulate a similar hydraulic
169 mechanism in both instances. Nonetheless, the difference in *Fr* for each guild does suggest
170 subtle mechanistic differences. Broadcast benthic spawners eggs are typically adhesive¹. The
171 eggs of Asp (*Leuciscus aspius*), for instance, are both negatively buoyant and adhesive²³. It is
172 also well documented that benthic spawner eggs drop into interstitial voids^{6,24}. For broadcast
173 benthic spawners (that spawn on complex bedforms with high hydraulic conductivity (*K*)
174 substrate), we hypothesize the following: (a) *Fr* values ~ 0.22 induce hyporheic flows in the
175 uppermost section of the substrate²⁵; and (b) the adhesive nature of the eggs offsets shear stress
176 and uplift from the bulk flow and hyporheic flow, respectively, thus defining a different guild to
177 exploit the hydraulics in comparison to nest non-guarders (Fig. 1). Similarly, nest non-guarders
178 typically select spawning habitats that are also characterized by complex bedforms with high *K*
179 sediments, and these induce hyporheic flow²⁶. However, nest building non-guarders are
180 suggested to select sites with higher *Fr* values (Fig. 3). Nest building non-guarders do not
181 typically have adhesive eggs and will bury their eggs post fertilization in a redd *e.g.*, brook trout
182 (*Salvelinus fontinalis*) and barbel (*Barbus barbus*). We hypothesize the following for the
183 suggested higher *Fr* values (a) higher *Fr* values will entrain fine sediments during nest
184 construction, and this winnowing is understood to change *K* and thereby down and upwelling
185 flux²², and (b) as the eggs of nest building non-guarders are buried, higher *Fr* values are likely
186 required to increase oxygen in the nest²⁷.

187

188 Some of the fishes in this study can be considered living fossils. The lamprey
189 (*Petromyzontiformes*) and the American paddlefish (*Polyodon spathula*) have existed since the
190 Early Cretaceous^{28,29}, as such we hypothesize that that these hydraulic preference patterns with

191 reproductive guilds may be consistent across time. This simple Fr model foregoes consideration
192 of many other important factors, including temperature and nutrients^{30,31}. For instance,
193 groundwater upwelling may provide both aeration and thermal stability for eggs, thus limiting
194 the requirement to select specific hydraulics, *e.g.*,³². However, the simple Fr model presented
195 herein for four reproductive guilds does provide compelling evidence that the hydraulics that
196 underpin the spawning habitats of the Earth's fishes are best characterized by Fr . The success of
197 utilizing Fr values to rehabilitating spawning habitat is already evident in some rivers. Sockeye
198 salmon (*Oncorhynchus nerka*), for instance, have been observed to select spawning habitats with
199 $Fr = 0.32 (\pm 0.1)^{10}$. Sockeye spawning habitat in the Okanagan River (British Columbia, Canada)
200 was severely degraded in the 1950's when > 90 % of the river was straightened and dyked³³.
201 Between 2014 - 2018, the channelized river was modified with spawning platforms designed to
202 meet $0.2 \leq Fr \leq 0.4$ during the spawning autumn period³⁴. These spawning platforms have been
203 utilized by sockeye salmon each year post-construction and have become a critical tool in
204 rehabilitating sockeye salmon. The main finding of the work presented herein is that while it is
205 unfeasible to describe in detail the spawning habitat requirements for all approximately 15 000
206 freshwater fish species³⁵, it may be sufficient to first establish the reproductive guild of the
207 species of interest, and then apply suitable target Fr range, as presented herein, in rehabilitation
208 to meet specific hydraulic requirements of the target species. Future conservation and/or
209 restoration projects that target spawning habitat would therefore benefit from utilizing the link
210 between reproductive guild and Fr range.

211

212 **Methods**

213 **Meta-analysis data search**

214 We conducted searches in multiple article databases using several different search terms to
215 acquire as many peer-reviewed papers as possible. The search terms and database developed for
216 this study are available in the supplementary information as an .xlsx file.

217 ***Froude number model***

218 Froude number (Fr) is a non-dimensional hydrodynamic parameter that is calculated by:

$$219 \quad Fr = \frac{V}{\sqrt{gD}} \quad (1)$$

220 where V is water velocity ($\text{m}\cdot\text{s}^{-1}$), g is the gravitational constant = $9.81 \text{ m}\cdot\text{s}^{-2}$ and D is depth (m).

221

222 ***Integrated Froude number – Strouhal number model***

223 A Strouhal number (St) is a non-dimensional hydrodynamic parameter that describes oscillating
224 flow mechanisms or vortex shedding in a fluid. Myriad experiments have shown that optimal St
225 values for swimming animals, defined by maximum propulsive efficiency, range from 0.25 to
226 0.35 (Taylor *et al.*, 2003). For fishes, St can be calculated by (Eloy, 2011):

$$227 \quad St = \frac{fA}{V} \quad (2)$$

228 where f is the tail-beat frequency (s^{-1}), A is the peak-to-peak tail amplitude (m), and V is water
229 velocity ($m \cdot s^{-1}$).

230 The f term can be isolated by rearranging equations (1) and (2), yielding the following form for
231 the integrated Froude number – Strouhal number mode ($Fr-St$):

$$232 \quad f = \frac{Fr \cdot St \cdot \sqrt{gD}}{A} \quad (3)$$

233 ***Descriptive statistics***

234 We used univariate box plots to examine the relationship each hydraulic parameter and our
235 reproductive guild conceptual model. To account for instances when we had multiple within-
236 species observations, we reduced the observations to one per species to retain equal weights for
237 each species. In such instances, the average of the hydraulic parameters associated with that
238 species were calculated and used for the unique species analysis.

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247 **Author contributions**

248 Conceptualization: AMO'S; RA Cunjak; Methodology: AMO'S; JH; BW; TL; Investigation:
249 AMO'S; AMM; Visualization: AMO'S; RJ; Funding acquisition: AMO'S, RA Curry, TL;

250 Project administration: AMO'S; Supervision: AMO'S; Writing – original draft: AMO'S; Writing
251 – review & editing: AMO'S; AMM, RN, RJ, TL, BLK, BW, JH, KL, RA Curry, KMS, RN, RA
252 Cunjak

253 **Competing interests**

254 The authors declare no competing interests.

255 **Materials & Correspondence**

256 Requests can be sent to Antóin M. O'Sullivan (aosulliv@unb.ca).

257 **Data availability**

258 The supporting data is available as supplementary information.

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