

1 **Characterisation of the phase-variable autotransporter Lav reveals a role in host cell**  
2 **adherence and biofilm formation in Non-Typeable *Haemophilus influenzae***

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20

21 **Abstract**

22 Lav is an autotransporter protein found in pathogenic *Haemophilus* and *Neisseria* species.  
23 Lav in non-typeable *Haemophilus influenzae* (NTHi) is phase-variable: the gene reversibly  
24 switches ON-OFF via changes in length of a locus-located GCAA<sub>(n)</sub> simple DNA sequence  
25 repeat tract. The expression status of *lav* was examined in carriage and invasive collections of  
26 NTHi, where it was predominantly not expressed (OFF). Phenotypic study showed *lav*  
27 expression (ON) results in increased adherence to host cells, and denser biofilm formation. A  
28 survey of *Haemophilus* spp. genome sequences showed *lav* is present in ~60% of NTHi  
29 strains, but *lav* is not present in most typeable *H. influenzae*. Sequence analysis revealed a  
30 total of five distinct variants of the Lav passenger domain present in *Haemophilus* spp., with  
31 these five variants showing a distinct lineage distribution. Determining the role of Lav in  
32 NTHi will help understand the role of this protein during distinct pathologies.

33

## 34 **Introduction**

35 Non-typeable *Haemophilus influenzae* (NTHi) is a bacterial pathogen of global importance.  
36 NTHi colonizes the human nasopharynx, but is an important pathogen in middle ear infection  
37 (otitis media) in children (1), exacerbations in bacterial bronchitis, chronic obstructive  
38 pulmonary disease and bronchiectasis (2, 3), and community-acquired pneumonia, in adults  
39 (4). NTHi also causes invasive infections, and these are fatal in ~10% of children <1 year,  
40 and in ~25% of adults aged  $\geq 80$ -years (5-7). Frequency of disease caused by NTHi is  
41 increasing annually, exacerbated by both the absence of an NTHi vaccine, and by emerging  
42 antibiotic-resistance (8). Understanding pathobiology, and identifying the stably expressed  
43 antigenic repertoire, of NTHi is crucial for the rational design of a protein subunit vaccine,  
44 but is complicated by factors like variable gene expression and low sequence conservation.

45 Several host-adapted bacterial pathogens are able to randomly and reversibly switch gene  
46 expression, a process known as phase-variation (9-11). Many bacterial genes phase-vary by  
47 changes in length of locus-located simple DNA sequence repeat (SSR) tracts. When SSR  
48 tracts are located in the open reading frame of a gene, this variation in length results in ON-  
49 OFF switching of expression. Phase-variable genes typically encode surface proteins such as  
50 iron acquisition factors (11), lipooligosaccharide biosynthetic enzymes (12), and adhesins  
51 (13). Phase-variation of bacterial surface features generates sub-populations of phenotypic  
52 variants, some of which may be better adapted to a particular niche, or equipped to avoid an  
53 immune response. Many bacterial surface proteins are classified as autotransporters, and  
54 these contain a C-terminal  $\beta$ -barrel translocator domain in the outer membrane, and an  
55 extracellular passenger domain (14). Many virulence-associated autotransporters are phase-  
56 variably expressed, including UpaE in uropathogenic *Escherichia coli* (15), Hap (16) and Hia  
57 (17) in *Haemophilus influenzae*, and NalP (18, 19), AutA (20) and AutB (21) in *Neisseria*  
58 spp. A homologue of AutB, named Lav, has been described in multiple *Haemophilus* spp.

59 (21, 22). The *lav* gene has also been reported to be phase-variable, as a GCAA<sub>(n)</sub> SSR tract is  
60 present in the *lav* open reading frame (22). Investigation into AutB in *N. meningitidis* found  
61 the protein played a role in biofilm formation, and was phase-varied OFF in available  
62 genomes (21). Study of another Lav homologue, Las, in *H. influenzae* biogroup *aegyptius*,  
63 has suggested a role in inflammatory cytokine production (23), and increased expression  
64 associated with disease progression (24). The function of Lav in NTHi has not been studied  
65 in detail, although over multiple rounds of infection the *lav* gene was shown to phase-vary  
66 OFF (25), implying selection against Lav during chronic/recurrent infections. Therefore, we  
67 sought to undertake a phenotypic characterisation of the role of Lav in NTHi, and to  
68 determine the prevalence and diversity of this protein in *Haemophilus* spp.

69

## 70 **Materials & Methods**

71 ***Bacterial Isolate Collections.*** Nasal (carriage) control samples were taken from the ORChID  
72 collection, a prospective birth cohort study of infants in South East Queensland. As part of  
73 this collection, respiratory disease symptoms were recorded daily, and weekly nasal swabs  
74 were collected, from 158 infants during their first two years of life (2010-2012) (26). All  
75 samples used as carriage controls were randomly selected from infants demonstrating no  
76 overt symptoms of respiratory illnesses either 2 weeks before or after sampling (27). Invasive  
77 NTHi isolates used for this study were isolated from patients suffering from *H. influenzae*  
78 infections in SE Queensland over a 15-year period (2001-2015) (28). Information on age,  
79 sample site, and geographical location were collected, but not on comorbidities (28).

80 ***Bacterial growth and media.*** NTHi isolates were grown in brain heart infusion (BHI; Oxoid)  
81 supplemented (sBHI) with hemin (1%) and  $\beta$ -NAD (2  $\mu$ g/ml) at 37°C in an atmosphere  
82 containing 5% (v/v) CO<sub>2</sub>. *Escherichia coli* strains were grown in Luria-Bertani (LB) broth, or

83 on LB agar (LB broth +1.5% [w/v] bacteriological agar). LB was supplemented with  
84 ampicillin (100µg/mL) as required.

85 ***SSR tract PCR and fragment analysis.*** Bacterial genomic DNA from invasive isolates was  
86 prepared as described previously (29). Standard methods were used throughout for PCR  
87 using GoTaq Flexi DNA polymerase according to manufacturer's instructions (Promega),  
88 and fragment analysis was carried out as previously described (30). *lav* ON/OFF status was  
89 determined from the number of GCAA repeats in the SSR tract present in the gene (based on  
90 amplicon peak size), using Lav\_F (FAM-GCCCCATTTATTTTACTTGACAAAGG) and  
91 Lav\_R (GCTCATTGTTAATTTAGAAATTGTCATAAG) primers by sizing and quantifying  
92 using the GeneScan system (Applied Biosystems International) at the Australian Genome  
93 Research Facility (AGRF; Brisbane, Australia), and traces analysed using PeakScanner  
94 software 2.0 (Applied Biosystems International). Enriched ON and OFF variants in strain 86-  
95 028NP were generated by colony screening and enrichment for GCAA tract lengths in the *lav*  
96 SSR tract.

97 ***Cloning Lav protein fragment for generation of antisera.*** The Lav passenger domain and  
98 flanking region, comprising residues 250-540 of the full protein, was expressed by cloning  
99 the encoding DNA into the pET15b vector, in-frame with the N-terminal His-tag. The coding  
100 region was amplified from strain 86-028NP using primers Lav\_bind-F  
101 (AGTCAGCATATGCAAGATAACTCACACGTTATCG) and Lav\_bind-R  
102 (CTGACTGGATCCTTAGTGGCGGAAGCGTTGATATTG) with KOD HotStart  
103 proofreading DNA polymerase (Novagen) and cloned into the NdeI and BamHI sites of  
104 pET15b, to generate vector pET15b::Lav-bind. Expression was carried out using *E. coli*  
105 BL21 (DE3) containing the pET15b::Lav-bind vector in LB broth induced with 1mM  
106 Isopropyl β-d-1-thiogalactopyranoside (IPTG) at 37°C with shaking for 16 hours. Purification  
107 with TALON Metal Affinity Resin (Takara) was carried out from the insoluble fraction by

108 using multiple rounds of sonication and washes in PBS containing 0.1% Tween (v/v).  
109 Following purification, pure Lav-bind was dialysed at 4°C for 12 hours in PBS, twice.

110 **Western Blotting.** Protein lysates of whole NTHi were prepared by heating whole cell  
111 suspensions at 99°C for 40 mins. These were electrophoresed on 4-12% Bis-Tris  
112 polyacrylamide gels (Invitrogen) at 150V for 45mins in Bolt MOPS Running Buffer  
113 (Invitrogen). Samples were transferred to nitrocellulose membrane at 15V for 1h. Membranes  
114 were blocked with 5% (w/v) skim milk in Tris-buffered saline with 0.1% Tween-20 (TBS-T)  
115 by shaking overnight at 4°C. Primary mouse antibodies against the Lav-bind protein (anti-  
116 Lav antisera) were raised in BALB/c mice at the Institute for Glycomics Animal Facility.  
117 50µg of purified Lav-bind protein in alum was used per mouse. Primary antibody was used at  
118 1:1000 dilution in 5% (w/v) skim milk in TBS-T for 1h with shaking at room temperature.  
119 Membranes were washed multiple times in TBS-T for 1h before adding secondary antibody  
120 (goat anti-mouse alkaline phosphatase conjugate; Sigma) as above at 1:2500 dilution.  
121 Membranes were washed for 1h in TBS-T, before developing at room temperature with  
122 SigmaFAST BCIP/NBT prepared according to manufacturer's instructions (Sigma).

123 **Animal Ethics.** Animal work was approved by Griffith University Animal Ethics Committee  
124 Protocol Number GLY/16/19/AEC. Animals were cared for and handled in accordance with  
125 the guidelines of the Australian National Health and Medical Research Council (NHMRC).

126 **Adherence and invasion assays with host cells.** NTHi adherence and invasion was assessed  
127 as previously (31, 32). Approximately  $2.5 \times 10^5$  A549 cells were seeded into each well of a  
128 flat-bottomed 24 well plate (Greiner, Germany) and allowed to settle overnight (37°C) before  
129 inoculating with NTHi at an MOI of 30:1, or  $8 \times 10^6$  CFU in 250µL of RPMI media (Dubco)  
130 containing 10% (v/v) foetal calf serum (FCS). Plates were incubated for 4h at 37°C with 5%  
131 (v/v) CO<sub>2</sub>. Wells were washed of non-adherent NTHi via multiple, gentle, washes with 1mL

132 of phosphate buffered saline (PBS). Visual checks were performed to ensure A549s were  
133 intact, and planktonic NTHi were removed. Wells were then treated with 250 $\mu$ L of 0.25%  
134 Trypsin-EDTA to dislodge adherent bacteria (5min 37°C) before serial dilution and drop  
135 plating on columbia-blood agar (CBA) plates to enumerate bacterial loads. Results represent  
136 triplicate values of biological duplicates. The percentage adherence was calculated from the  
137 CFU in the inoculum.

138 Invasion assays were identical to the adherence assay with the following extra steps  
139 following removal of adhered bacteria: extracellular bacteria were killed via treatment with  
140 100 $\mu$ g/mL gentamicin in RPMI containing 10% (v/v) FCS for 1h at 37°C. Effectiveness of  
141 gentamicin treatment was assessed by plating supernatant following treatment, with no  
142 bacterial growth evident. Wells were then treated with 250 $\mu$ L 0.2% (v/v) Saponin to lyse  
143 A549s (releasing intracellular bacteria). Visual checks were made to confirm cell lysis.  
144 Surviving intracellular NTHi were enumerated via serial dilution and drop plating as per  
145 adherence assays. Results represent triplicate values of biological duplicates. The percentage  
146 invasion was calculated from the CFU in the inoculum.

147 ***Settling Assay.*** NTHi were grown in sBHI to an OD<sub>600</sub> of 1.0. 3mL of OD<sub>600</sub> 1.0 cells were  
148 resuspended in PBS, mixed thoroughly and then split into triplicate cuvettes per variant.  
149 Samples were monitored for 4 hours by measuring OD<sub>600</sub>. Values were expressed as % of  
150 initial reading.

#### 151 ***Adherence assays with ECM components***

152 Flat bottom 96-well tissue culture treated plates (Falcon) were coated with vitronectin,  
153 laminin or fibronectin (all Sigma-Aldrich), according to manufacturer protocols. Briefly,  
154 working solutions of vitronectin (15  $\mu$ g/ml) and laminin (6  $\mu$ g/ml) were prepared in 1X  
155 DPBS, whereas fibronectin was reconstituted in water (15  $\mu$ g/ml). From the working stock of

156 vitronectin, 100  $\mu$ l was added per well of 96 well plate and incubated at 37°C for 2 hours  
157 followed by overnight storage at 4°C. For laminin and fibronectin, 100  $\mu$ l of working  
158 solutions was added to each well on the day of the assay, followed by immediate removal of  
159 the solution. Wells were air dried for 45 min and washed twice with 1X DPBS prior to the  
160 assay. Bacterial inoculum was prepared from log phase cultures of NTHi grown in sBHI and  
161 added at a density of  $5 \times 10^6$  CFU/well prepared in 1X DPBS to wells coated with individual  
162 ECM component. After incubation at 37°C and 5% CO<sub>2</sub> for 1 hour, the supernatant was  
163 removed, and wells were washed 4 times with 1X DPBS to remove any non-adherent  
164 bacteria. Adherent bacteria were collected in 100  $\mu$ l 1X DPBS with vigorous pipetting and  
165 scraping of the wells. Dilutions of the collected sample as well as the inoculum were plated  
166 on chocolate agar. The percentage adherence was calculated from the CFU in the inoculum.

### 167 ***Biofilm imaging and analysis***

168 Biofilms were formed by NTHi cultured within chambers of eight-well-chambered  
169 coverglass slides (Thermo Scientific, Waltham, MA) as described previously (33). Briefly,  
170 biofilms were formed by NTHi cultured within chambers of eight-well-chambered coverglass  
171 slides (Thermo Scientific, Waltham, MA) using mid-log-phase NTHi cultures. Bacteria were  
172 inoculated at  $4 \times 10^4$  CFU in 200- $\mu$ l final volume per well and incubated at 37°C with 5%  
173 CO<sub>2</sub> for 24 h, with the growth medium replaced after 16 h. To visualize, biofilms were  
174 stained with LIVE/DEAD BacLight stain (Life Technologies) and fixed overnight in fixative  
175 (1.6% paraformaldehyde, 2.5% glutaraldehyde, and 4% acetic acid in 0.1 M phosphate buffer,  
176 pH 7.4). Fixative was replaced with saline before imaging with a Zeiss 980 Meta-laser  
177 scanning confocal microscope. Images were rendered with Zeiss Zen software. Z-stack  
178 images were analyzed by COMSTAT2 (34) to determine biomass ( $\mu\text{m}^3/\mu\text{m}^2$ ), average  
179 thickness ( $\mu\text{m}$ ), and roughness (Ra).



180 **Phylogenetic tree.** The 16s rRNA sequence of fully annotated *H. influenzae* genomes  
181 available in NCBI GenBank were aligned using CLUSTAL OMEGA (1.2.4).

182 **Supplementary Table 1** contains full details of strains, genes and data used.

183 **Statistical Analysis.** Graphs and statistics were generated via GraphPad Prism 5.0 (GraphPad  
184 Software, La Jolla, California). Error bars represent standard deviation from mean values. A  
185 one-way ANOVA was used to compare samples: p values of <0.05 (considered significant)  
186 represented by \*, p value of <0.001 indicated by \*\*, p value of <0.001 indicated by \*\*\*.  
187 Groups were considered not significantly different if  $p > 0.05$  (no \*).

188

## 189 **Results**

190 ***Lav* expression is phase-variable in NTHi due to changes in length an SSR tract in the**  
191 ***open-reading frame***

192 In order to study *Lav* function during colonisation and disease, we used prototype NTHi  
193 strain 86-028NP (35) that encoded *lav* (NTHI0585) and enriched populations of bacteria via  
194 single colony screening using fluorescent PCR (**Figure 1A**) for GCAA<sub>(n)</sub> SSR tract lengths  
195 corresponding to all three possible reading frames. This resulted in three isogenic populations  
196 enriched for tracts containing 21 GCAA repeats (21r), 22 GCAA repeats (22r), and 23 GCAA  
197 repeats (23r) (**Figure 1B**). Analysis of *lav* *in silico* using the genome annotation from strain  
198 86-028NP (Genbank accession number CP000057) determined that *lav* containing 21  
199 GCAA<sub>(n)</sub> repeats would be in-frame and ON (expressed), and those populations where the  
200 GCAA<sub>(n)</sub> tract was 22 or 23 repeats would be out-of-frame and OFF (not expressed), due to  
201 premature transcriptional termination at stop codons in these two alternate reading frames.  
202 We also cloned and over-expressed the predicted passenger domain of *Lav* from 86-028NP  
203 (*Lav*-bind protein), based on previous analysis (22) to raise antisera. Western blots using this

204 antisera and the three enriched populations confirmed our prediction that 21 repeats was ON  
205 (21r *lav* ON), and that 22 and 23 repeats were OFF (22r *lav* OFF and 23r *lav* OFF,  
206 respectively), as we could only detect the Lav protein in the 21r population (**Figure 1C**).

207 ***The *lav* gene is switched OFF in NTHi isolates during both colonisation and invasive***  
208 ***infection.***

209 We previously examined two collections of NTHi isolates for the expression of multiple  
210 lipooligosaccharide (LOS) biosynthetic enzymes (36), demonstrating that certain enzymes  
211 were selected for during invasive disease. We sought to further utilize these two collections  
212 to determine if phase variation of the *lav* gene occurred during colonisation and invasive  
213 disease. These two collections comprised carriage isolates, the ORChID collection (26, 27,  
214 37), and a collection of invasive NTHi isolates (28). Fluorescently labelled PCR of the  
215 GCAA<sub>(n)</sub> repeat tract of the *lav* gene (**Figure 1A**) was used to determine the ratio of each  
216 tract length present in the bacterial population, and calculate the percentage ON/OFF ratio of  
217 that population. Analysis of 16 isolates from our carriage collection showed that *lav* was  
218 present in all strains, and predominantly OFF in these isolates (14/16; 87.5%) (**Table 1**).  
219 Analysis of our invasive collection determined that the *lav* gene was present in ~69% of the  
220 strains (**Table 1**), and where present, was also predominantly OFF (present in 50/72 isolates;  
221 of the 50 isolates encoding a *lav* gene, the gene is OFF in 49/50; 98%). This indicates that  
222 expression of *lav* may not be required during either colonisation or invasive infection, or  
223 there is a direct selection against expression of the Lav protein during both phenotypic states.

224 ***Lav expression results in increased host cell adherence, but not invasion.***

225 In order to determine if Lav expression was required for an aspect of NTHi induced disease  
226 other than nasopharyngeal colonisation or invasive infection, we investigated the broad role  
227 of Lav during adherence to and invasion of the A549 human cell line, isolated from the lower

228 human airway, using our ON/OFF enriched populations. These assays demonstrated that the  
229 Lav protein has a role in adherence to host cells, as 21r *lav* ON showed a significantly greater  
230 percentage of adherence than both 22r and 23r *lav* OFF variants (**Figure 2A**,  $p < 0.05$ ).  
231 However, there was no significant difference in the ability of ON and OFF variants to invade  
232 these same cells (**Figure 2A**). The CFU/well and MOI values for both adherence and  
233 invasion assays are presented in **Supplementary Figure 2**. We also found that Lav  
234 expression is not required for inter-bacterial adherence, as there was no difference in the rate  
235 of settling as determined by using the optical density of a static culture of each of our  
236 enriched variants over 4 hours (**Figure 2B**).

#### 237 *Lav is not required for adherence to ECM components*

238 Epithelial cells of the human respiratory tract produce multiple extracellular matrix (ECM)  
239 components (38-40). Since *lav* ON/OFF status affected the ability of NTHi to adhere to lung  
240 epithelial cells (**Figure 2A**), we tested adherence of the *lav* variants to the ECM components  
241 laminin, fibronectin and vitronectin for 1 hour. There was no significant difference observed  
242 between the percent adherence of the variants to laminin, fibronectin or vitronectin (**Figure**  
243 **2C**), indicating that Lav is not involved in adherence of NTHi to these ECM components, but  
244 may instead be required to adhere specifically to receptor(s) only present on host cells.

#### 245 *Lav expression results in biofilms with greater biomass and thickness*

246 To determine if Lav phase variation resulted in differences in biofilm formation, a key feature  
247 of NTHi pathology, biofilms of our enriched 21r *lav* ON, 22r *lav* OFF, and 23r *lav* OFF  
248 variants were grown for 24 hours. Biofilms formed by the 21r *lav* ON variant exhibited  
249 significantly greater biomass and average thickness compared to variants that did not express  
250 Lav (22r and 23r *lav* OFF; **Figure 3A, 3B**). Biofilms formed by 22r *lav* OFF tended to have  
251 an architecture that was rougher in comparison to either of the other variants, likely due to the

252 more dispersed nature of these biofilms, but the roughness of all three variants was  
253 statistically similar (**Figure 3C**). Based on gross biofilm abundance and microscopic analysis  
254 (**Figure 3D**), NTHi that expressed Lav (21r *lav* ON) formed significantly larger biofilms  
255 overall.

#### 256 ***lav* distribution and conservation in *Haemophilus* spp.**

257 Previous studies demonstrated a broad distribution of *lav*, and multiple allelic variants of the  
258 Lav passenger domain in *Haemophilus* spp. (21, 22). However, there was no consistent  
259 naming of these variants in *Haemophilus* spp., nor a thorough analysis of the distribution or  
260 variability present. Therefore, we examined all fully annotated *Haemophilus* spp. genomes  
261 available in NCBI GenBank. There were 73 fully annotated *H. influenzae* genomes available  
262 at the time of this investigation. Of those 73, 47 were NTHi and the remainder were either  
263 typeable (serotypes a-f) or the serotype was undetermined. The *lav* gene was present in 29/47  
264 NTHi genomes (~62% gene presence), very similar to that observed in our invasive  
265 collection (69% presence). Interestingly, *lav* was absent in all strains annotated as serotype b-  
266 f, but was present in all strains (4) annotated as *H. influenzae* serotype a. A *lav* homologue,  
267 named *las*, was present in all 11 available genomes of *H. influenzae* biogroup *aegyptius* (7  
268 fully annotated, plus 4 available genomes) (**Figure 4**).

269 Further, we carried out detailed sequence analysis of all *lav* genes from these 73  
270 *Haemophilus* spp. genomes, as previous work in *Neisseria* spp. had identified a number of  
271 allelic variants (21). Passenger domain variants 1 and 2 (previously named AutB1 and AutB2  
272 (21)) were found exclusively in strains annotated as NTHi, with an approximate 60:40 split  
273 (59% and 41%, respectively). There appears to be a lineage distribution of these variants,  
274 with closely related strains containing the same passenger domain allele (**Figure 4**).  
275 Alignment of the sequences of the Lav passenger domain (**Figure 5**) showed that they were

276 more diverse than previously described (21). Analysis of variant 1 showed two sub-variants  
277 present, with only 73.06% identity, and which we propose to name variants 1.1 and 1.2  
278 (alignment in **Supplementary Figure 3**). With the exception of one *H. haemolyticus* strain,  
279 Lav passenger domain variant 3 (previously named AutB3 (21)) was found exclusively in *H.*  
280 *influenzae* biogroup *aegyptius* strains. Our sequence analysis of variant 3 also showed two  
281 distinct sub-variants, showing only 51.26% identity, and therefore we have also proposed  
282 delineating variant 3 into variants 3.1 and 3.2 (alignment in **Supplementary Figure 3**).

283

## 284 **Discussion**

285 Surface exposed NTHi phase-variable autotransporters are important virulence determinants  
286 (41). A Lav homologue, named AutB, was shown to be highly diverse, encoding multiple  
287 allelic variants of the functional passenger domain (21), with AutB important for biofilm  
288 formation in *N. meningitidis*. Previous work demonstrated that the *lav* gene in NTHi phase-  
289 varied OFF during repeated infection (22). Therefore, we aimed to determine the phenotypic  
290 role of Lav in NTHi, and to rationalise the prevalence and diversity of Lav in *Haemophilus*  
291 spp.

292 Analysis of our carriage and invasive NTHi collections (36) revealed *lav* to be present in  
293 ~69% (50/72) of strains in our invasive collection (**Table 1A**), but in every strain in our  
294 carriage collection (**Table 1B**). Therefore, it appears that the invasive collection is  
295 representative of all NTHi strains, with *lav* found in ~62% of fully annotated NTHi genomes  
296 (**Figure 4**). The high proportion of Lav observed in our carriage collection is likely an  
297 artefact of a small (16 isolates) sample size. Analysis of our invasive and carriage collections  
298 showed that *lav* is predominantly phase-varied OFF in NTHi colonising the nasopharynx  
299 (carriage collection; 87.5%) and during invasive infection (where present, *lav* is OFF in 49/50

300 isolates, equating to 98%), suggesting that Lav is either not required or directly selected  
301 against during both colonisation and invasive infection, or expressed in a distinct niche. Our  
302 invasive collection also represents just a ‘snap-shot’ of the exact phenotypic state at a  
303 particular time point during invasive infection, i.e., when treatment is required, which is  
304 likely to be during the later stages of disease. Previous work has shown that the *lav* gene  
305 switches OFF over subsequent episodes of infection (25), but can rapidly change expression  
306 over short periods (24). As we have found it to be OFF in the majority of both carriage and  
307 invasive isolates, it is possible that selection for the OFF state is due to negative selection  
308 from immune detection/pressure. Immune selection against multiple outer-membrane  
309 proteins has been reported in *N. meningitidis* (42), with gene expression phase-varying from  
310 ON to OFF during persistent carriage. Similar work with an additional phase-variable  
311 autotransporter in NTHi, Hia, showed Hia phase-varies OFF during opsonophagocytic killing  
312 (17). Our phenotypic findings regarding biofilm formation are also in agreement with  
313 previous work involving the Lav homologue AutB in *Neisseria meningitidis* (21). It therefore  
314 appears that Lav/AutB play a similar role in both species. The establishment of bacterial  
315 biofilms is critical during colonization and disease. Biofilms help bacteria adhere to the  
316 mucosal surfaces, and provide increased resistance to host defences and antimicrobials (43-  
317 45). Thus, expression of Lav might provide a selective advantage to NTHi during initial  
318 colonisation and establishment at the mucosal surface. Once established, factors such as  
319 immune pressures or microenvironmental conditions may select against the Lav expressing  
320 subpopulation as observed in the persistently colonized or invasive isolates assessed.

321 Our phenotypic analysis demonstrated that Lav has a role in adherence to, but not for  
322 invasion of, human A549 lung cells (**Figure 2A**), and that Lav expression does not play a role  
323 in adherence to ECM proteins (**Figure 2C**). This indicates that there is a state in which Lav  
324 expression is beneficial, perhaps during the early stages of colonisation or during progression

325 from the upper to the lower respiratory tract, or whilst establishing invasive/systemic  
326 infection. Another possibility is that Lav interacts with a specific receptor present on human  
327 cells, and does not directly bind to the ECM proteins fibronectin, laminin, and vitronectin.  
328 The NTHi autotransporter Hia has been shown to bind to human specific glycans rather than  
329 proteins as high affinity receptors (46).

330 Understanding the prevalence and conservation of Lav is key for determining its suitability  
331 for use in a rationally designed subunit vaccine against NTHi. Our analysis determined that  
332 the *lav* gene is found in ~62% of *Haemophilus* spp. Intriguingly, there was no *lav* gene, or  
333 close homologue, in any strain annotated as *H. influenzae* serotype b-f. The presence of Lav  
334 in *H. influenzae* serotype a only may suggest that this protein is an important virulence factor  
335 in these strains, although the small number of sequences publicly available for analysis means  
336 this hypothesis will require further investigation.

337 Our investigation of the diversity of the Lav passenger domains present in *Haemophilus* spp.  
338 showed that there are five different allelic variants of the Lav passenger domain (the  
339 functional extracellular region) present in *Haemophilus* spp. Our detailed sequence analysis  
340 showed that both variants 1 and 3 can be further divided into two separate allelic variants –  
341 1.1 and 1.2, and 3.1 and 3.2 (**Figure 5**). Variants 3.1 and 3.2, previously annotated as Las  
342 (22, 23), are found exclusively in *H. influenzae* biogroup *aegyptius* isolates.

343 *H. influenzae* biogroup *aegyptius* strains cause the invasive disease Brazilian purpuric fever  
344 (BPF), a meningitis-like disease with high fatality (47). The ubiquitous presence of Lav  
345 variants 3.1 and 3.2 in *H. influenzae* biogroup *aegyptius* isolates supports the idea that these  
346 particular variants contribute to the development of BPF, although it has previously been  
347 reported that no single factor is required for BPF (47). It has also previously been reported  
348 that *las* expression is highly variable during an animal model of BPF (24), with expression of

349 the gene shown to decrease (switch OFF) after 24 hours, then increase (switch ON) at the 48  
350 hour time point post infection, demonstrating complex regulation of Lav/Las occurs during  
351 disease. In summary, our analysis has shown that there are five unique variants of the Lav  
352 passenger domain encoded by *Haemophilus* spp., and there is a distinct distribution between  
353 serotype/species. Future investigation into the functional differences between passenger  
354 domain variants is needed to determine if these variants have different functions.

355 It is important to understand the role of bacterial surface factors like Lav in order to  
356 understand NTHi-mediated diseases, and to develop effective vaccines and treatments. Our  
357 work has determined that expression of a particular Lav variant (1.2 in strain 86-028NP)  
358 results in greater host cell adherence and biofilm formation, and demonstrated that the *lav*  
359 gene is present as five different allelic variants in *Haemophilus* spp. As Lav is present in  
360 ~60% of NTHi strains, understanding the role of all variants is key to understanding NTHi  
361 disease, and further work is required to assess if Lav can form part of a multi-subunit,  
362 rationally designed vaccine against NTHi.

363

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374

375

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525



526 **Table 1. Fragment Length Analysis of (A) Invasive and (B) Carriage Collections**  
527 **screened for *lav* SSR tract length using Lav\_F and Lav\_R.** The results shown indicate  
528 whether the *lav* gene was ON (>70% ON; green), OFF (>70% OFF; red), mixed ON and OFF  
529 (orange), or if there was no gene (Grey) as we could not amplify a PCR product.

<b>A) Invasive Collection</b>				
<b>OFF</b>	<b>ON</b>	<b>Mixed</b>	<b>No gene</b>	<b>Total</b>
49	1	0	22	<b>72</b>
68.06	1.39	0.00	30.56	
Gene presence: 69.4% (50/72)				
<b>B) Carriage Collection</b>				
<b>OFF</b>	<b>ON</b>	<b>Mixed</b>	<b>No gene</b>	<b>Total</b>
14	2	0	0	<b>16</b>
87.5%	12.5%	0%	0%	
Gene presence: 100% (16/16)				

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532

533

534 **Figure Legends**

535 **Figure 1. Phase variation of the *lav* gene.** (A). The 2.2kb *lav* gene, with 5`GCAA<sub>(n)</sub> simple  
536 sequence repeat (SSR) tract in grey. A fluorescently (FAM) labelled forward primer (Lav\_F;  
537 FAM indicated by green hexagon) binds upstream of the SSR tract. The reverse primer  
538 (Lav\_R) binds downstream of the SSR tract. (B) fragment analysis traces of enriched  
539 variants for three consecutive GCAA<sub>(n)</sub> repeat tract lengths (21r, 22r, 23r). (C). Western Blot  
540 using whole-cell lysates of 86-028NP isogenic strains enriched in (B) with the *lav* gene  
541 containing an SSR number of 21, 22 or 23 repeats. An SSR tract number of 21 (21r) puts the  
542 gene in-frame, and ON, indicated by presence of the Lav protein detected using anti-Lav  
543 antisera. The 22r and 23r populations have the *lav* gene out-of-frame and OFF, with no Lav  
544 detected in cell lysates of these strains.

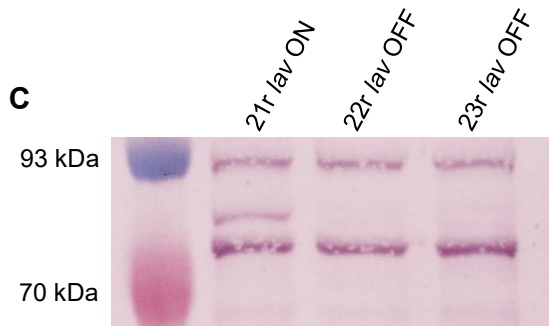
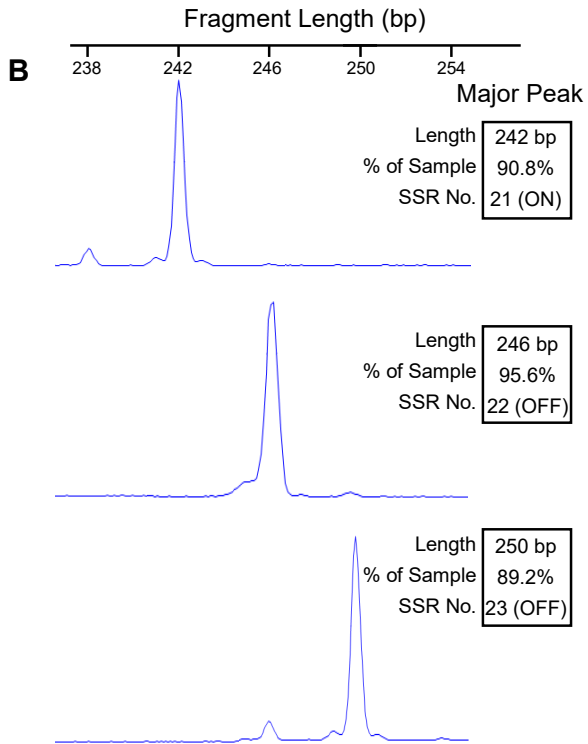
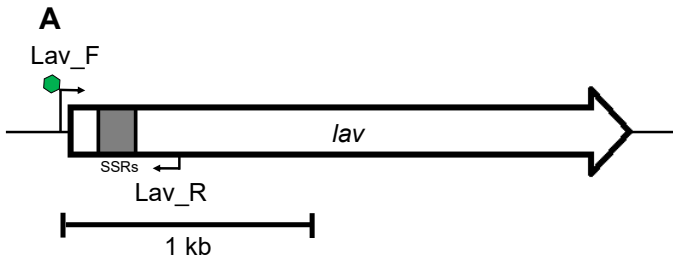
545 **Figure 2. Impact of Lav expression on adherence and invasion.** Impact of Lav expression  
546 on (A) adherence to and (B) invasion of the human A549 cell line was evaluated with Lav  
547 ON/OFF variants in NTHi strain 86-028NP, which expresses the Lav 1.2 variant. (C) Auto-  
548 aggregation was investigated by monitoring the OD<sub>600</sub> of static cultures of our ON/OFF  
549 variants. (D) Adherence of NTHi *lav* variants to ECM proteins Fibronectin, Laminin, and  
550 Vitronectin. Statistical analysis was carried out by one way ANOVA. Error bars represent  
551 standard deviation from mean values; p value of <0.05 represented by \*; p value of <0.001  
552 indicated by \*\*\*; NSD = no significant difference between any of strains.

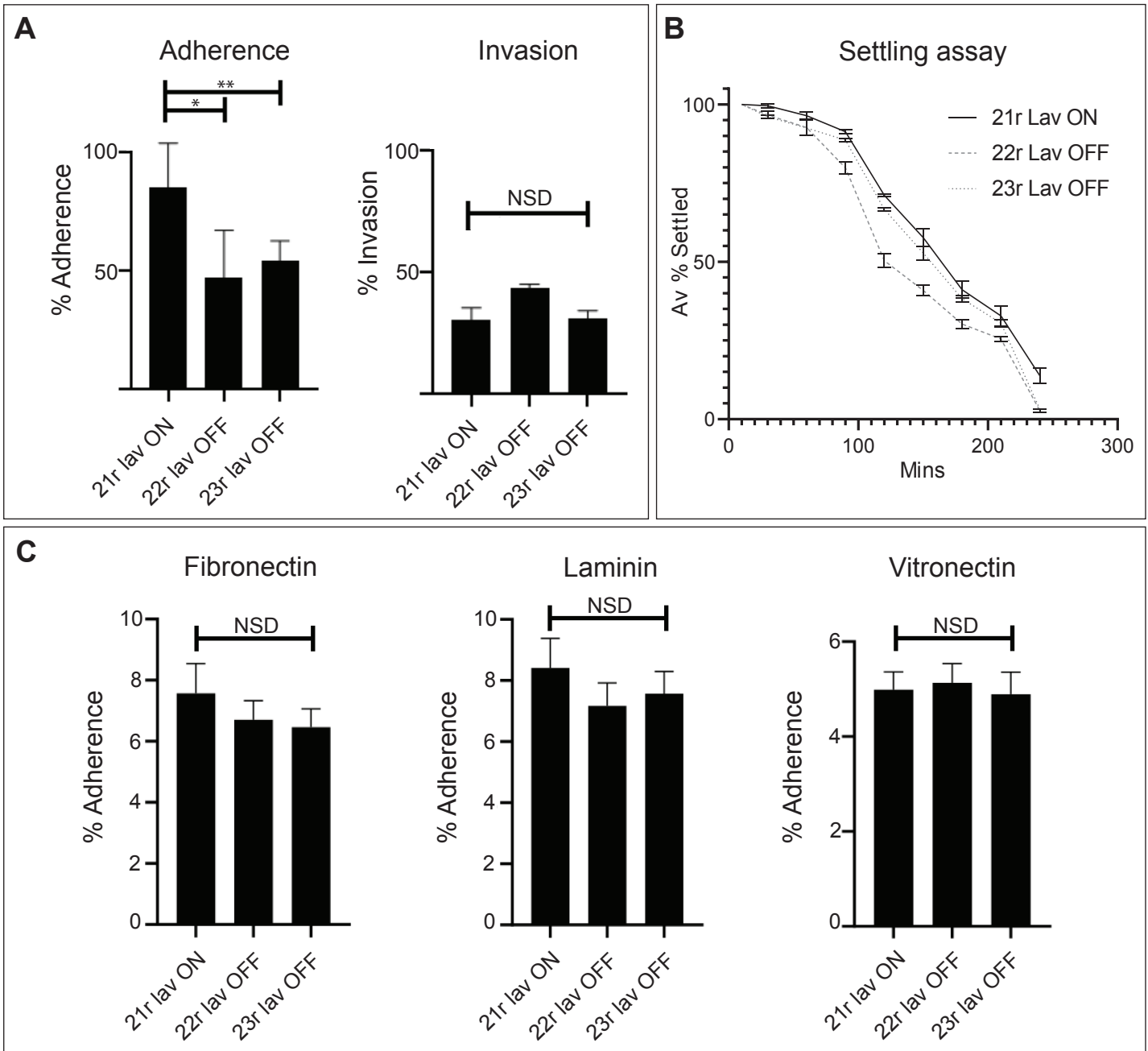
553 **Figure 3. Impact of Lav expression on biofilm formation.** (A) Biomass, (B) average  
554 thickness, and (C) roughness of biofilms grown for 24 h. Biofilms formed by the Lav  
555 expressing variant were of significantly greater biomass and thickness than those formed by  
556 the Lav non-expressing variants. Biofilms were analyzed by COMSTAT2, and values are  
557 shown as mean ± standard error of the mean. Statistical analysis was carried out by one way

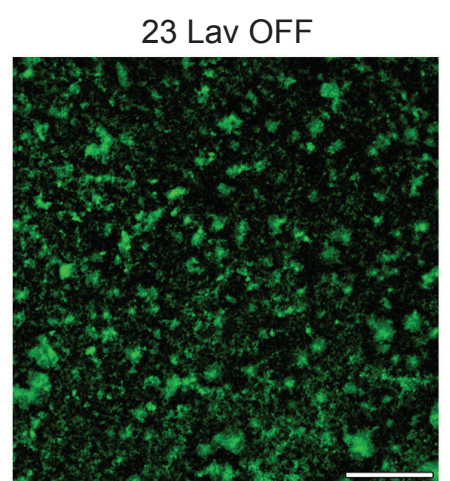
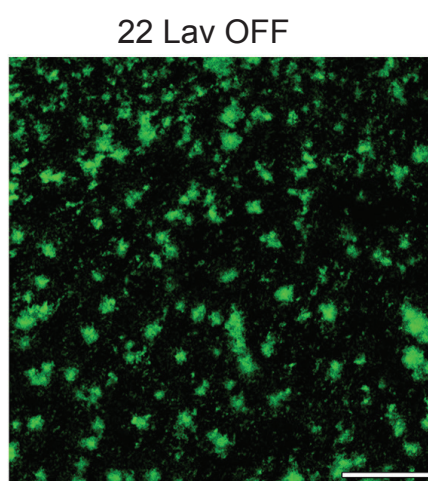
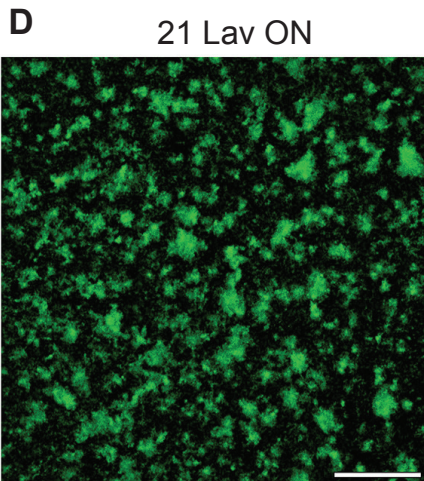
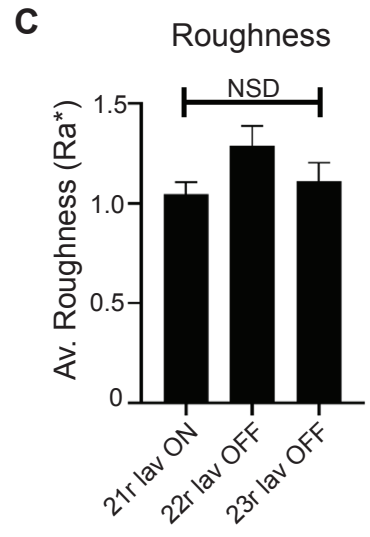
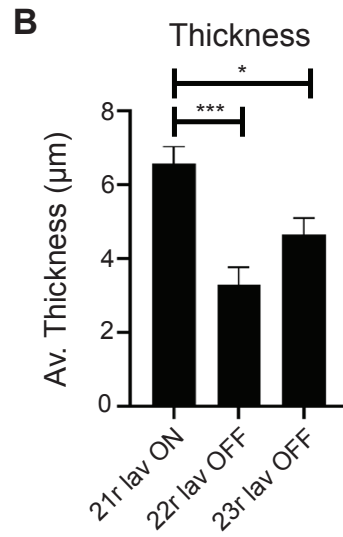
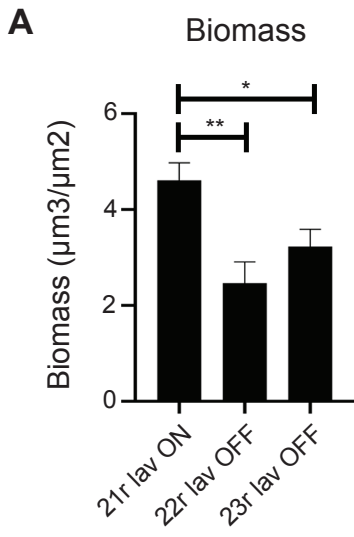
558 ANOVA. Error bars represent standard deviation from mean values; p value of <0.05  
559 (considered significant) represented by \*, p value of <0.01 indicated by \*\*; p value of <0.001  
560 indicated by \*\*\*; NSD = no significant difference between any of the strains. **(D)**  
561 Representative low-magnification images of biofilm density and distribution. Biofilms  
562 formed by 21r *lav* ON appeared denser with more and larger tower like structures compared  
563 to 22r *lav* OFF. 23r *lav* OFF formed biofilms with an intermediate distribution and smaller  
564 tower like structures. Bacteria are shown in green. Scale bar = 500  $\mu$ m.

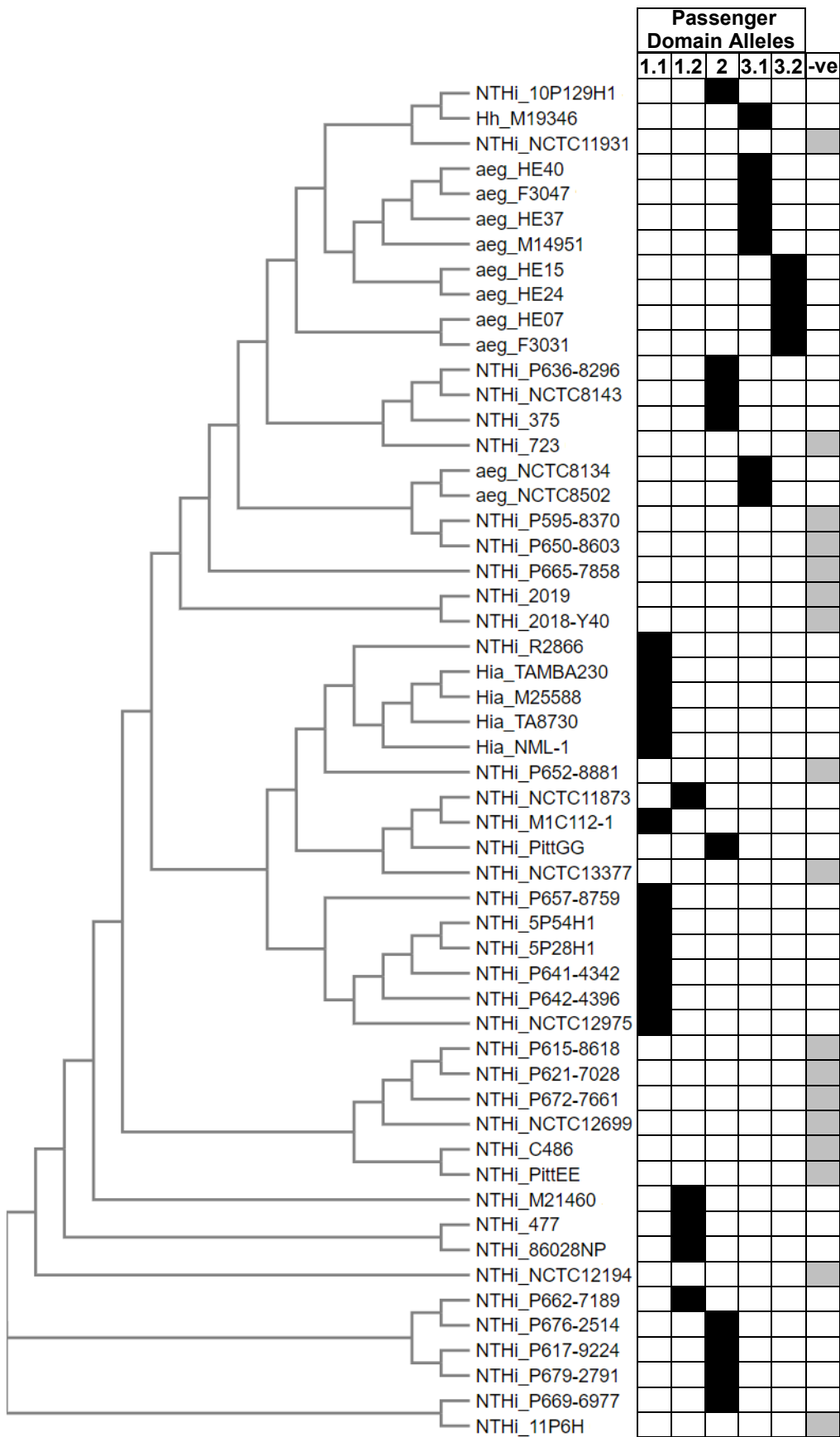
565 **Figure 4. Distribution of *lav* gene in *Haemophilus spp.*** Phylogenetic tree generated by  
566 CLUSTAL OMEGA (1.2.4) using 16s rRNA gene sequences from NTHi and *H. influenzae*  
567 serotype a GenBank entries. For sequences see **Supplementary Table 1**. Prefix describes  
568 species: NTHi – Non-Typeable *Haemophilus influenzae*; Hia – *Haemophilus influenzae*  
569 serotype a; aeg – *Haemophilus influenzae* biogroup *aegyptius*; Hh – *Haemophilus*  
570 *haemolyticus*. Suffix indicates strain name. i.e. “NTHi\_86028NP” is Non-Typeable  
571 *Haemophilus influenzae* strain 86-028NP. Included in the figure is the Lav passenger domain  
572 allele form (**1.1, 1.2, 2, 3.1, 3.2**) and a negative column (-ve) to show genomes that did not  
573 contain the *lav* gene.

574 **Figure 5. Alignment of the Lav Passenger Domain Alleles.** CLUSTAL OMEGA (1.2.4)  
575 was used to align the distinct Lav passenger domain allele forms using representative amino  
576 acid sequences from five strains – R2866 (1.1), 86-028NP (1.2), 375 (2), HE40 (3.1) and  
577 HE07 (3.2).









	Passenger	SSR Tract	
	Domain Allele	←	
NTHi_R2866	1.1	ASKQASKQASKQASKQASKQKFKKSFILSLLFSALYSSPLLA-V-DYVYDKTKLT-----	53
NTHi_86028NP	1.2	ASKQASKQASKQASKQASKQKFKKSFIIISLFFSILYTSPLLA-V-DYTYDNSKLT-----	54
NTHi_375	2	ASKQASKQASKQASKQASKQKFKKSFIVSLFFSILYTSPLLA-V-DYVYDKTKLT-----	53
aeg_HE40	3.1	ASKQASKQASKQASKQASKQKFSLIYSLIIAFLFIPYSV----SGEPKYVQEYFVKERGI	56
aeg_HE07	3.2	ASKQASKQASKQASKQASKQKFSLISILASTFLFISNTSFAAAGDVPAYITQYLTHEKKE *****. : * : : * :.	60
NTHi_R2866	1.1	-----DDEITRLKCLRDRDSEYWKEETYFIKSTPQSPNIPR-----LFP-KNS	96
NTHi_86028NP	1.2	-----NEQIERLKCLRDRNSEYWKKETYLKSPSNFPDIPV-----LFP-KDS	97
NTHi_375	2	-----DDEITRLKCLRDRNSEYWKDDLFRLDIPKETGLRHDIKGAATGNFSYPVIGS	105
aeg_HE40	3.1	QYFGEKSGASVFYSLKMLDRNSVAWSPVPKQII-----DYITNT-EACYFSHP----	104
aeg_HE07	3.2	Q-TGDYWHYYTYSLKSMQNPDSIVWKPVPQKII-----DGLIKGWKACQN----- **.: : :* * . :	105
NTHi_R2866	1.1	FDSFENINNSKEISFYDKE-FTEDYLVG-----F--AQGFGVAKRNGETEE- TVRQYFK	146
NTHi_86028NP	1.2	SVPFENIDNSKAISFYDQK-YTEDYLVG-----F--AQGFGVAKRNGDTEE-PVRKYFK	147
NTHi_375	2	YYNDKPIDPKKISFFDSP-YTPGYTAA-----F--VQGFGVKERNNGTEE-QAKQFID	155
aeg_HE40	3.1	-----DSPKDDCQLFGSNTYEKKWFL-----TDFSE-QKYGAEENGFVNEYAKHFVY	152
aeg_HE07	3.2	-----SNDSDDCFLIGAPIVLPAGIGLVGEDDFSDGDVIQPEKENGTDAPNAKHFIL . . . : . * : :.** :.:.:	159
NTHi_R2866	1.1	ECLN---TGKHSNDPTC-----KSYSTDAYNIKSDIFALN-----TIVKNSHINS	188
NTHi_86028NP	1.2	ECLN---TGNYNNDNCK-----ANPLASSVSIKSDIFTPR-----PTIKNSHINS	189
NTHi_375	2	EFRTRLKKGTYFHSLGFFYSDBGMPYTWLYEFYKNDIFNSY-----KVVKHSNINS	205
aeg_HE40	3.1	PFRED-----IPFYESEKLYKLPVLYQSYLYDNYETEKTSRPLNALINNRVYDF	202
aeg_HE07	3.2	PFQEK-----RNVTSNGKDV--PRTLQSYLYSPI---HKKRPKNALIDGKVYDV .: : : . : :	204
NTHi_R2866	1.1	EILAVGNYTKMLLSA-----QH-SSTWAEHLYSNAELSLTVQDNQSHVIGQTIDLGALILT	242
NTHi_86028NP	1.2	EILAVGNYTEMLLAA-----QPSASSWAEHLYSDPGLSLTVQDNQSHVIGQTIDLGFLQLT	244
NTHi_375	2	EILAVGNVADLYYKHYIRSPIPLYADWSIL--TLPLPRLKVSSENSHVIGQIIHLYRVDLE	263
aeg_HE40	3.1	NVLAIDNYRSKFPDE-----T-RGLTLTVKNQSEVRGATLQLLKMVLQ	244
aeg_HE07	3.2	DVLAIDNYRFKFPNE-----PLRTLTLTVQNRSEVRGATLQLWKMVLQ :***.* * . : * : *	247
NTHi_R2866	1.1	NSLWEPRWNSNIDYLATENADIRFNTKSESLLVKGNVYAGGARF	285
NTHi_86028NP	1.2	NSLWEPRWNSNIDYLVTENAEIRFNTKNESLLVEGYYAGGARF	287
NTHi_375	2	NSLWEPRWSDVSYLNLNNGHIRFNTKNSLTVGSRIRPTPD	306
aeg_HE40	3.1	DSLWEPRFNSDVHLETQANIRFNSTNRTLTVHENYQGDGSR	287
aeg_HE07	3.2	DSLWEPRFNSDVHLETQANIRFNSTNRTLTVHENYQGDGSR :*****:*. : * :*..****:.. * *	290

