1 Diversity of reptile sex chromosome evolution revealed by cytogenetic and linked-read 2 sequencing 3 Zexian Zhu^{1,#}, Kazumi Matsubara^{2,#†}, Foyez Shams², Jason Dobry², Erik Wapstra³, Tonv 4 Gamble⁴, Stephen D. Sarre², Arthur Georges², Jennifer A. Marshall Graves^{2,5}, Qi Zhou^{1,7,8,*}, 5 Tariq Ezaz^{2*} 6 7 8 ¹MOE Laboratory of Biosystems Homeostasis and Protection and Zhejiang Provincial Key 9 Laboratory for Cancer Molecular Cell Biology, Life Sciences Institute, Zhejiang University, 10 Hangzhou, 310058, China 11 ²Institute for Applied Ecology, University of Canberra, Canberra, 2601, Australian Capital 12 Territory, Australia 13 ³School of Biological Sciences, University of Tasmania, Hobart, Tasmania 7001, Australia 14 ⁴Department of Biological Sciences, Marquette University, Milwaukee, Wisconsin, 52322, 15 United States of America 16 ⁵School of Life Science, La Trobe University, Melbourne 3168 Australia 17 ⁶Department of Neuroscience and Developmental Biology, University of Vienna, Vienna, 18 Austria 19 ⁷Department of Neuroscience and Developmental Biology, University of Vienna, Vienna, 1030, 20 Austria 21 ⁸Center for Reproductive Medicine, The 2nd Affiliated Hospital, School of Medicine, Zhejiang 22 University, Hangzhou, China 23 24 [#]These authors contributed equally to the work 25 [†]Current affiliation: Department of Environmental Biology, College of Bioscience and 26 Biotechnology, Chubu University, 1200 Matsumoto-cho, Kasugai, Aichi 487-8501, Japan 27 28 *Correspondence should be address to Q. Z.: zhouqi1982@zju.edu.cn and T. E.: 29 Tariq.Ezaz@canberra.edu.au

30

31 Abstract

32 Reptile sex determination is attracting much attention because the great diversity of sex-33 determination and dosage compensation mechanisms permits us to approach fundamental 34 questions about sex chromosome turnover and evolution. However, reptile sex chromosome 35 variation remains largely uncharacterized and no reptile master sex determination genes have yet 36 been identified. Here we describe a powerful and cost-effective "chromosomics" approach, 37 combining probes generated from the microdissected sex chromosomes with transcriptome 38 sequencing to explore this diversity in non-model Australian reptiles with heteromorphic or 39 cryptic sex chromosomes. We tested the pipeline on a turtle, a gecko, and a worm-lizard, and we 40 also identified sequences located on sex chromosomes in a monitor lizard using linked-read 41 sequencing. Genes identified on sex chromosomes were compared to the chicken genome to 42 identify homologous regions among the four species. We identified candidate sex determining 43 genes within these regions, including conserved vertebrate sex-determining genes pdgfa, pdgfra 44 amh and wt1, and demonstrated their testis or ovary-specific expression. All four species showed 45 gene-by-gene rather than chromosome-wide dosage compensation. Our results imply that reptile 46 sex chromosomes originated by independent acquisition of sex-determining genes on different 47 autosomes, as well as translocations between different ancestral macro- and micro-chromosomes. 48 We discuss the evolutionary drivers of the slow differentiation, but rapid turnover, of reptile sex 49 chromosomes.

50 Introduction

51 Sex can be determined either by genes on specialized chromosomes (genetic sex determination,

- 52 GSD) or by environmental factors (environmental sex determination, ESD). Much of our
- 53 knowledge on sex chromosome evolution has come from studies of model organisms such as
- 54 *Drosophila*, chicken and mammals (principally humans and mice), in which species master sex
- 55 determining genes have been identified ¹. Their heteromorphic sex chromosomes can be easily
- 56 identified by cytogenetic observations because the male-specific Y chromosome, or the female-
- 57 specific W chromosome is morphologically different from the X or Z chromosome. Sex
- 58 chromosome differentiation occurs as the result of suppression of recombination, and is
- 59 manifested by massive accumulation of massive transposable elements and inactivation or loss of
- 60 genes ². The sex chromosomes of many model vertebrate species have been evolutionarily stable
- for more than 100 million years, judging from the homology of the pair of sex chromosomes

62 within their clade 3,4 .

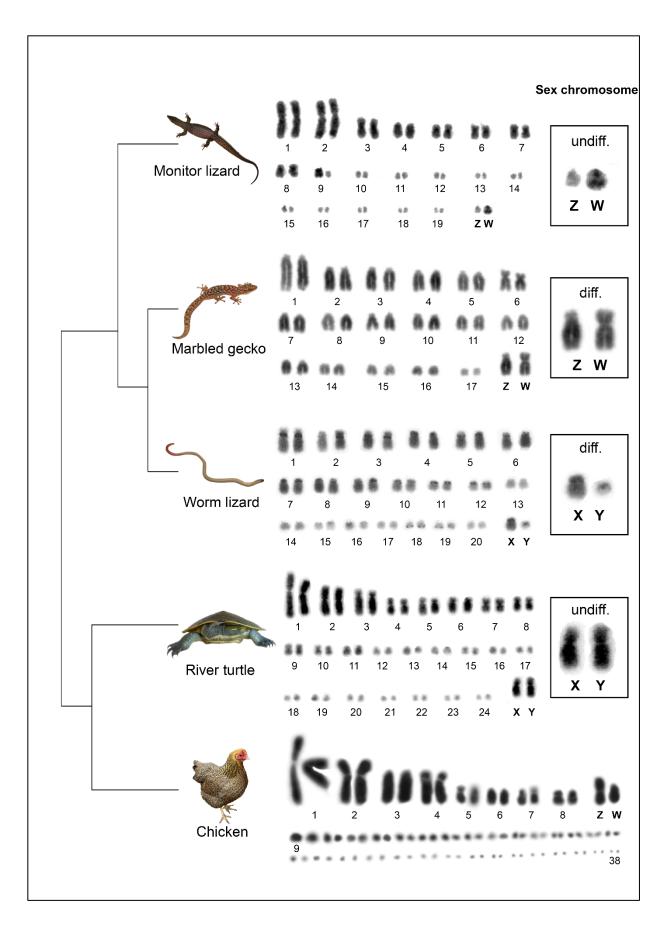
However, in many reptiles, amphibians and fish, there are frequent transitions between
 different sex determination mechanisms ^{5, 6, 7}. Reptiles represent an extraordinary variety of sex
 determining mechanisms, including GSD and TSD, XY and ZW systems with varying degrees of
 sex chromosome differentiation ⁸. However, we know little about reptile sex chromosomes and
 sex determining genes.

The evolutionary variety of vertebrate sex determining systems has long been recognized. Cytological observations and limited gene mapping data reveal that multiple transitions between ESD and GSD, and between XY and ZW sex chromosome systems, have occurred in reptiles ⁵, teleost fish ⁶ and anurans ⁷. However, despite this variety, extensive cytogenetic mapping of the reptile orthologues of genes that are located on sex chromosomes of model organisms (e.g., human and chicken) revealed a surprisingly frequent over-representation of particular ancestral autosomes or genomic regions ^{9, 10, 11}.

With the development of long-read sequencing and Hi-C technologies, many genomic consortia (e.g., Vertebrate Genome Project ¹² and the Earth Biogenome Project ¹³ aim to finish the complete genomes of most vertebrate species on earth in the next few years. However sequencing projects usually represent sex chromosomes poorly; either the homogametic sex (XX female or ZZ male) is sequenced, and the male-specific Y or female-specific W is ignored; or the heterogametic sex only is sequenced with poor representation of the X or Z, and there is great 81 difficulty in assembling the repeat-rich Y or $W^{14, 15}$.

Here, we develop a cost-effective method to identify genes borne on sex chromosomes, combining microdissection of sex chromosomes and high-throughput sequencing, followed by PCR validation and assessment as candidate sex determining genes. A similar method was pioneered to identify novel genes on the Y chromosome of marsupials ¹⁶. We applied the method to four reptile species, revealing the great diversity of sex chromosomes, and their independent evolutionary origins.

88 We chose four Australian reptile species, a turtle and three lizard species to represent the 89 variety of reptile sex determining systems (Figure 1). The Murray River turtle *Emydura macquarii*¹⁷ (referred as 'river turtle' hereafter) has a cryptic XX/XY sex chromosome system in 90 91 which minimally differentiated X and Y are macrochromosomes, whereas the pink-tailed worm 92 lizard Aprasia parapulchella¹⁸ (referred as 'worm lizard' hereafter) has a highly differentiated 93 XX/XY sex chromosome system in which the X and Y are microchromosomes. The marbled gecko Christinus marmoratus¹⁹ (referred as 'marbled gecko' hereafter) has a pair of ZZ/ZW sex 94 95 chromosomes, in which the Z and W heteromorphy involves pericentric inversion, whereas the 96 spiny-tailed monitor lizard Varanus acanthurus ²⁰ (referred as 'monitor lizard' hereafter) has 97 ZZ/ZW heteromorphic sex chromosomes in which Z and W chromosomes are minimally 98 differentiated microchromosomes (Figure 1).



100 Figure 1 The diversity of reptile sex chromosomes.

101 Cladogram and karyotypes of the studied reptile species river turtle (*Emydura macquarii*), worm

102 lizard (Aprasia parapulchella), Marbled gecko (Christinus marmoratus and monitor lizard

103 (Varanus acanthurus) with two types of sex chromosome systems. Species with differentiated

sex chromosomes are labelled with "diff.", otherwise with "undiff.". Photo credit: see

105 acknowledgements.

106

107 **Results**

108 Transcriptome and genome assemblies of sex chromosomes of the four reptile species

109 The four reptile species have cytologically distinguishable sex chromosome pairs (Figure 1,

110 Supplementary Fig. S1); these were morphologically differentiated in the worm lizard and the

111 monitor lizard, but subtle for the river turtle and the marbled gecko^{18, 19, 20}. For each species, we

112 microdissected each of their sex chromosomes, performed linear genome amplification and

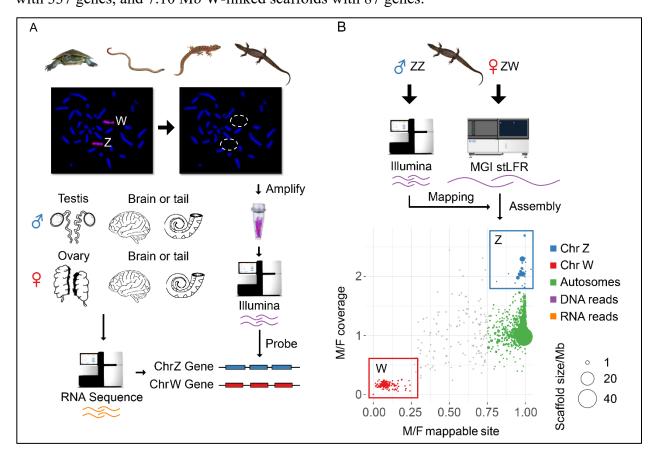
113 validated the sex chromosome specificity of the DNA products by chromosome painting (Figure

114 **2A, Supplementary Fig. S1**).

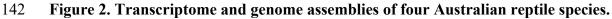
115 For each sex chromosome, we generated up to 2Gb clean paired-end (PE) Illumina reads 116 from the microdissected sex chromosome DNA (Supplementary Table S1). To identify genes 117 borne on sex chromosomes, we also produced 2Gb transcriptomes from the gonads and brain 118 tissues for males and females of monitor lizard, river turtle and marbled gecko and somatic 119 transcriptomes (tail tissue) from a male and female worm lizard (Figure 2B). Genomic reads 120 derived from each sex chromosome were then used to identify sex-linked genes from de novo 121 assembled transcript sequences of each species. We annotated a total of 11299, 15202 and 10507 122 non-redundant transcripts, respectively for the worm lizard, the marbled gecko, and the river 123 turtle, using chicken genes as a reference for each.

For the monitor lizard inferences based on transcripts and microdissected sex chromosome sequences were uncertain because its sex chromosomes were reported to have originated from translocations between fragments of multiple ancestral autosomes (also see below) ²¹, as well as the poor quality of sequences obtained from microdissected monitor lizard sex chromosomes. Therefore, we generated 200 Gb (135x genomic coverage) single-tube long fragment linked reads (stLFR)²² from a female individual, and 30 Gb Illumina PE reads from a male individual. We performed *de novo* genome assembly and produced a female draft genome

131 with the total length of 1.46Gb and the scaffold N50 length of 12.8Mb (Supplementary Table 132 S2). The high continuity of the draft genome was evident from 94 very large scaffolds that 133 accounted for 80% of the entire genome. Using protein sequences of human and chicken as 134 reference, we annotated a total of 14521 genes for the monitor lizard and identified its sex-linked 135 sequences based on the comparisons of mapped read patterns between sexes. The putative W-136 linked scaffolds showed female specificity in both their mapped read number and mapped sites, 137 whereas the Z-linked scaffolds showed a 2-fold increase of male mapped reads compared to that 138 of female mapped reads, but an equal number of mapped sites for putative autosomal scaffolds 139 between sexes (Figure 2B). Using this approach, we identified 10.81 Mb Z-linked scaffolds 140 with 337 genes, and 7.10 Mb W-linked scaffolds with 87 genes.







143 A. The pipeline of identifying sex-linked transcripts of river turtle, worm lizard and marbled

144 gecko using transcriptomes of sexed tissues, and amplified probes from the microdissected sex

145 chromosomes. The probes have been validated by chromosome painting, and Illumina reads

146 generated from the probes were used to identify the sex chromosome genes from the *de novo*

147 assembled transcripts. **B.** Identifying sex-linked sequences of monitor lizard based on the *de*

148 *novo* genome assembly generated from linked (stLFR) reads. For the assembled Z-linked

149 sequences (in blue), we found a 2-fold male vs. female (M/F) ratios of Illumina DNA sequencing

150 coverage, but an equal mappable site between sexes. While the W-linked sequences (in red)

151 exhibited a female-specific pattern of read coverage ratio and mappable sites. The size of each

- 152 dot is scaled to its scaffold length.
- 153

154 Identification of sex-linked genes

155 To identify the sex chromosome-borne genes in three species other than the monitor lizard, we

developed a pipeline to separately assemble transcripts of genes that are X- or Y-borne (or Z-

and W-borne) using the sexed transcriptomes (Figure 3A). In brief, we considered that

transcripts that were assembled using pooled RNA-seq reads of both sexes and could not be

aligned using the sex chromosome DNA probes were autosomal genes. Conversely, male RNA-

160 seq reads in XY species that could not be aligned to the female transcripts were assembled into

161 candidate Y- borne transcript sequences. Then by comparing the mapped read numbers of Y- or

162 X-borne probes for each candidate Y-borne transcript or each transcript assembled from female

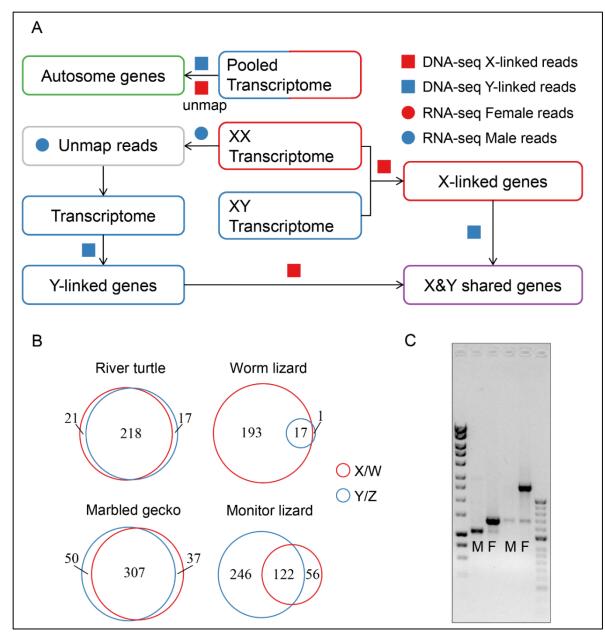
163 RNA-seq, we were able to categorize them into the genes that were specific to the X or to Y

164 chromosome, or were shared between X and Y. We also conducted the same process for the ZW

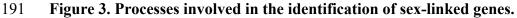
165 marbled gecko but in reverse.

166 Following our stringent filtering criteria (see Methods), we identified 193 X-borne genes, 167 1 Y-borne genes and 17 shared genes between X/Y chromosomes in the worm lizard, 21 X-borne 168 genes, 7 Y-borne genes and 218 shared genes in the river turtle and 50 Z-borne genes 37 W-169 borne genes and 307 shared genes in the gecko (Figure 3B, Supplementary Table S3). We 170 considered these numbers to be conservative estimates of the sex chromosome-borne genes in 171 these species because genes with low expression levels may not be well assembled in our 172 transcriptome data and there could also be a sampling bias in the sex chromosome probes 173 captured by microdissection. We further designed primers spanning regions of insertions or 174 deletions between the sex chromosomes and confirmed their length variations between sexes by 175 PCR for the sex chromosome-borne genes of the monitor lizard (Figure 3C). We found no indel 176 sequences within the coding regions of sex chromosome borne genes of the other three species, 177 hence did not design primers for validation.

178 The proportion of genes that are specific for one or other sex chromosome, and the 179 proportion that are shared, provide a good indication of the degree of genetic differentiation of 180 the sex chromosome pair, and correlate well with our cytogenetic observations (Supplementary 181 Fig. S1). The high numbers of genes shared between the X and Y chromosomes of the river 182 turtle suggest that its sex chromosome pair is not highly differentiated, which is consistent with 183 the subtle difference in size and morphology of the X and Y (Figure 1). Among the three lizard 184 species, the numbers of shared versus sex chromosome-specific genes also implied different 185 degrees of sex chromosome differentiation. Consistent with the cytogenetic data (Figure 1, Supplementary Fig. S1)¹⁸, the Z and W chromosomes of the marbled gecko also shared most 186 genes, whereas the monitor lizard showed an intermediate level of shared Z- and W-borne genes. 187 188 In contrast, the worm lizard had many X-specific but very few Y-specific genes, and only a few 189 X-Y shared genes, implying that the Y chromosome is highly degraded.



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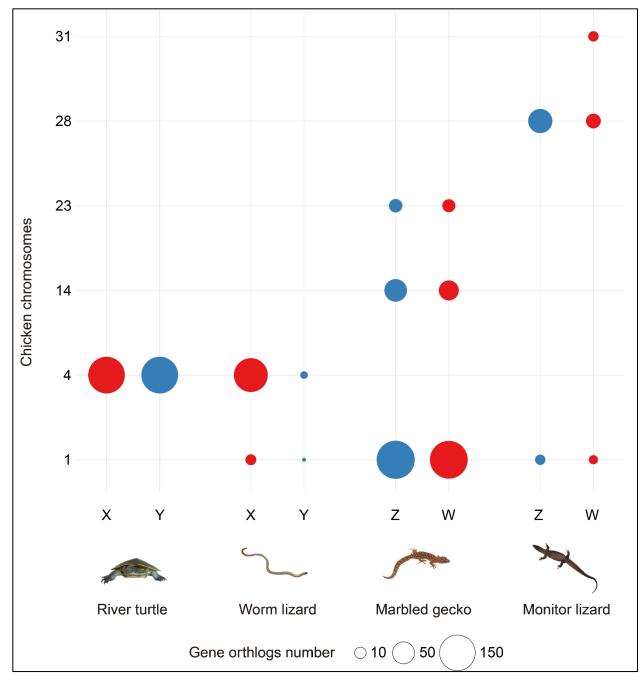
A. Pipeline to separately assemble the X-linked (red box), Y-linked (blue box) genes using the sexed transcriptomes (red: female; blue: male). Squares (DNA) and circles (RNA) refer to the reads from different resources. Shared genes, which can be aligned by probes from both sex chromosomes are labelled in purple colour. The autosomal genes, which cannot be aligned by the sex chromosome DNA probes are labelled in green; **B.** Numbers of sex-linked genes in the four reptile species. X-linked and W-linked genes are labelled in red colour, while Y-linked and Z-

198 linked genes are labelled in blue colour. The overlapping areas refer to the genes shared between

- 199 the two sex chromosomes; C. An example of PCR validation of sex-linked sequences of the
- 200 monitor lizard. M refers male individual and F refers female individual; outside lane size
- 201 standard 1kb (left) and 50bp (right) ladder.
- 202

203 Origins of sex chromosomes of the four reptile species

- 204 By mapping the orthologues of sex-linked genes of the four reptiles to the chicken genome
- 205 (GGA), we found evidence for both independent origin and convergent evolution of sex
- 206 chromosomes (Figure 4, Supplementary Fig. S2).







- 209 The independent origins of the sex chromosomes of four reptile species with chicken
- 210 chromosomes as reference. The bubble size is scaled to the number of orthologs of sex
- 211 chromosome borne genes of each reptile species within the chicken genome, and the colour
- 212 indicates the type of sex chromosomes (red for X and W, and blue for Y and Z).
- 213

214 In each species, genes borne on the sex chromosomes clustered together predominantly

215 on a single chicken chromosome, though in three of the species there were other minor clusters. 216 Sex chromosomes of the three lizards were homologous to quite different regions of the chicken 217 genome, on chromosomes GGA1, GGA4 and GGA28 respectively, implying independent origins. However, the sex chromosomes of the river turtle largely overlapped with those of the 218 219 worm lizard on GGA4q, the long arm of chicken chr4. This is unlikely to represent sex 220 chromosome identity by descent, since the turtles are more closely related to birds (in which this 221 region is autosomal) than they are to squamates, with divergence times of ~250 million years ago 222 (MYA) and 285 MYA respectively ²³. 223 Secondary sites of homology between our four reptile species and the chicken genome 224 represent fragments of sex chromosomes with a different evolutionary origin. For instance,

225 homologs of some river turtle sex chromosome-borne genes were found on chicken

226 microchromosome GGA32 (Supplementary Fig. 2). This supports the hypothesis that the sex

chromosomes of the river turtle originated by a recent translocation between an ancestral sex
 chromosome pair (GGA4) and a microchromosome pair ^{17, 24}.

The sex chromosomes of marbled gecko were mainly homologous to GGA1p, with strong secondary signals on GGA14 and GGA23 that contained very similar numbers of Z- and W-borne genes. Genes on the sex chromosomes of the monitor lizard were mainly homologous to GGA28, with strong secondary sites at GGA31, GGA33 and Z that contained similar numbers

233 of sex chromosome-borne genes (Figure 4, Supplementary Fig. 2).

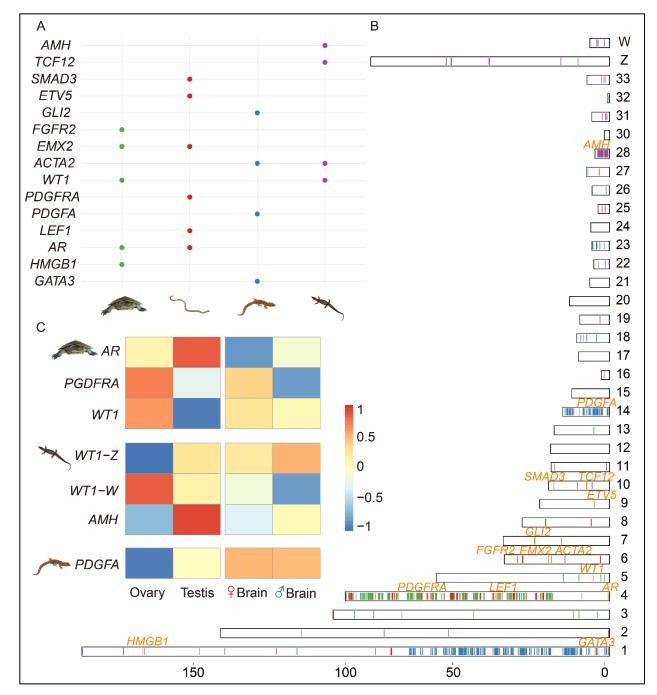
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235 Candidate sex-determining genes of the four reptile species

236 Novel sex chromosomes may arise when an autosomal gene acquires a sex determining function. Sex chromosome turnovers have occurred many times during reptile evolution ^{25, 26, 27}, possibly 237 238 by a novel sex determining gene usurping the established gene 28 (e.g., sdY in rainbow trout 29 , or 239 a change to environmental sex determination and the subsequent evolution of novel genetic 240 systems ³⁰. It would not, therefore, be unexpected to find different candidate sex determining 241 genes within the genomic regions that we have identified in the four reptiles (Figures 1 and 4). 242 To test this, we compiled a list of genes reported to be involved in the sex-determining 243 pathways of other vertebrates (Supplementary Table S4, Supplementary Figs. S3-4) and 244 looked for their orthologs among genes that were either identified as sex-linked or fell within the 245 identified sex-linked region in each studied species (Figure 5A-B, Supplementary Table S5-7).

246 Included in the region on GGA4q that overlaps the homologous regions of the river turtle 247 and the worm lizard X chromosomes, was one candidate male-determining gene pdgfra (platelet-248 derived growth factor receptor alpha). Another candidate sex determining gene AR (Androgen 249 receptor) located on GGA4p was also annotated as X-borne in these two species. This suggests 250 an independent acquisition of this gene because GGA4p is a microchromosome in all other birds 251 which was fused recently. The *pdgfa* (platelet-derived growth factor alpha polypeptide) gene and 252 its receptor *pdgfra* (platelet-derived growth factor receptor alpha) have been shown to be critical 253 for testis development, particularly Leydig (male steroidogenic) cell development in mammals 254 and turtles $^{31, 32}$, whereas AR is more likely to be involved in the downstream sexual 255 differentiation process after the gonad sex is determined ^{33, 34}. We confirmed *pdgfra* to be X-256 borne in the worm lizard using our transcriptome assembly and sex-linked probes from 257 microdissected sex chromosomes. In the river turtle, we could not annotate *pdgfra* as a sex 258 chromosome-borne gene because of a lack of mapped sex-chromosome borne probes, but it was 259 embedded among other sex chromosome-borne genes in, so that is likely to be sex chromosome-260 borne also in the river turtle (Figure 5B). 261 For the marbled gecko, a promising candidate sex-determining gene is the Z-borne pdgfa

(with a chicken orthologue on GGA14). For the monitor lizard, the most promising candidate
sex-determining gene was *amh* (anti Mullerian hormone), which (or the duplicated copy of
which) is located on GGA28 and plays a conserved role in testis development in multiple teleost
species ²⁸, birds ³⁵, turtles ³⁶ and even the platypus ³⁷. Intriguingly, the ortholog of *wt1* (Wilms
Tumour 1), an important regulator of *amh* and master male-determining gene *Sry* in human ³⁸,
was determined to be X and Y-borne in the river turtle, and Z and W-borne in the monitor lizard,
and was located on a secondary chicken site of GGA5 (Figure 5B, Supplementary Fig. 2).



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A. The distribution of orthologs of vertebrate sex-determining genes that were also identified as
on the sex chromosomes in this study. The coloured dots correspond to such genes within each
species, which were identified by blast search against the chicken genome. For figures B, C and
D, the river turtle is shown by green dots or bars, the monitor lizard by purple, the worm lizard
by red and the marbled gecko by blue. B. Shows the ortholog positions of the sex chromosome-

borne genes of these four reptile species on chicken chromosomes, with different colours of lines
for different species' orthologs. C. Gene expression patterns in the gonad and somatic tissues of
candidate sex-determining genes of the three reptile species. We did not show it for the worm
lizard due to unavailability of gonad tissues.

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281 The expression patterns of these candidate sex-determining genes within the three reptiles 282 for which we collected the gonad transcriptomes in this study further supported a function in the 283 sex-determination pathway of each species (Figure 5C, Supplementary Fig. S5). The Z-borne 284 *pdgfa* was specifically expressed in the testis of the marbled gecko; whereas its downstream 285 receptor pdgfra, which is X-borne in the river turtle, was strongly expressed in the ovary. The X-286 borne wtl of the river turtle, as well as the W-linked wtl of the monitor lizard, were both 287 expressed specifically in the ovary. The Z-borne wtl and amh of the monitor lizard were both 288 specifically expressed in the testis. In summary, turnover of sex determining genes between the 289 studied reptile species probably accounts for their sex chromosome turnovers.

290

Evolution of dosage compensation and sex-linked gene expression in the four reptile species Having identified the sex chromosome-borne genes of the four distantly related reptiles, we set out to examine their diversity of dosage compensation based on comparison of gene expression levels between sexes, and between the autosomes and the sex chromosomes. Since sex chromosomes may undergo meiotic sex inactivation in germ cells, and gonads are probably not appropriate for direct comparison between sexes ³⁹, we focused on comparing the expression levels between sexes in their somatic (brain, tail or blood) tissues.

298 Among the four species, the worm lizard (with an XY sex system) and the monitor lizard 299 (with a ZW sex system) have highly or moderately differentiated sex chromosomes. These two 300 species exhibited a significantly (P < 0.05, Wilcoxon test) different female vs. male expression 301 ratio between autosomes and sex chromosomes (Figure 6A, Supplementary Fig. S6). The X-302 borne genes were more female-biased in the worm lizard, and Z-linked genes were more male-303 biased in the monitor lizard, indicating incomplete dosage compensation in the two species. In 304 contrast, genes on the undifferentiated sex chromosomes, as well as autosomes, of marbled 305 gecko and river turtle showed no significant difference of their expression ratios between sexes. 306 This was because their Y- or W-linked genes have not degraded yet, so most genes on their X or

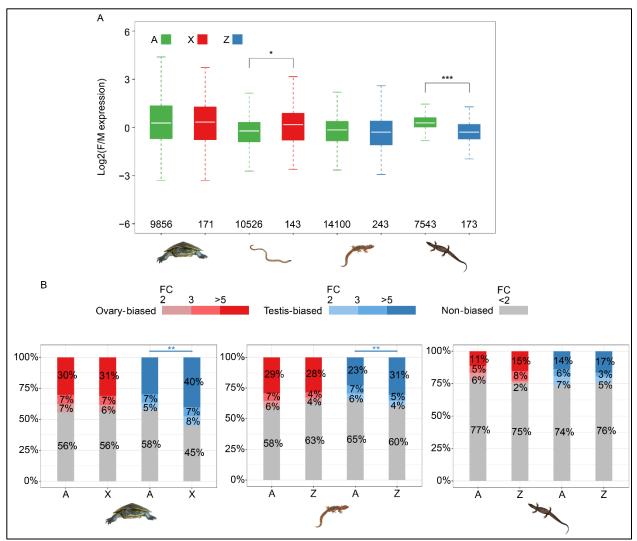
Z chromosomes still have active partners on the Y (W) and thus there is no dosage difference
 between the sexes that selects for dosage compensation.

309 For the three species with gonad transcriptomes (river turtle, marbled gecko and monitor 310 lizard), we compared gene expression levels between sex chromosome vs. autosomes in the 311 gonad, with the expectation that gonad-specific genes may have been preferentially selected to 312 be located or not located on the sex chromosomes due to sex chromosomes' sex-biased selective regimes. Previous studies in Drosophila⁴⁰ and other dipteran species⁴¹ found 313 314 underrepresentation of male-biased or testis-biased genes, and overrepresentation of female-315 biased or ovary-biased genes on the X chromosome, supporting such sex-biased selective 316 regime. We found that testis-biased genes were overrepresented (P<0.001, chi-square test), while 317 ovary-biased genes were underrepresented (P<0.005, chi-square test) on the Z chromosome of 318 the marbled gecko (Figure 6B). However, a similar masculinization and defeminization pattern 319 was not found on the undifferentiated Z chromosome of the monitor lizard, probably because 320 few Z-borne genes were hemizygous (Figure 4). 321 The river turtle with undifferentiated XY sex chromosomes, unexpectedly showed a 322 significant enrichment of testis-biased genes on the X chromosome relative to autosomal genes. 323 This was probably because of cross-mapping of the reads of Y-borne genes that were not highly 324 differentiated from those of X-borne genes. When we examined only the hemizygous X-linked

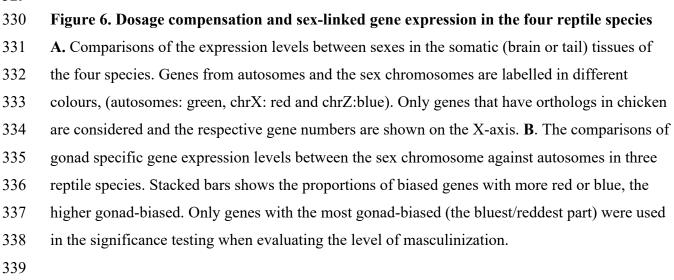
325 genes (those without a Y-linked homolog) there was no such enrichment pattern. This suggests

326 some Y-borne genes of the river turtle have undergone a masculinization process even though 327 they were still retained by the Y chromosome.

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340

341 **Discussion**

Given the large genomes of many reptile species (up to 5.3Gb), fully sequencing sex
chromosomes remains costly, despite the development of long-read sequencing and Hi-C
technologies. So far, in depth studies of the gene content and dosage compensation of sex
chromosomes have been carried out in a handful of lizards and snake species ^{8, 42, 43, 44, 45, 46}

although ZW chromosome have been sequenced and a candidate sex determining gene identified
 in the central bearded dragon ⁴⁷.

348 Here, we developed a cost-effective method to expand our knowledge of sex-linked 349 genes and sex chromosomes in a range of non-model reptiles and applied it to four distantly 350 related reptile species. We used it to map sex chromosome-borne genes from male and female 351 transcriptomes that were identified by screening with DNA probes from microdissected sex 352 chromosomes. We also applied the novel stLFR linked-read sequencing technology ⁴⁸ and 353 assembled the draft genome of monitor lizard, V. acanthurus, including the sex chromosome 354 sequences. The newly identified gene content of the sex chromosomes of these four distantly 355 related reptile species provided new insights into reptile sex chromosome evolution and dosage 356 compensation.

Mapping the chicken orthologues of sex chromosome-borne genes of the monitor lizard (*V. acanthurus*), worm lizard (*A. parapulchella*) and marbled gecko (*C. marmoratus*) onto the chicken genome revealed examples of recruitment of different ancestral autosomes. We found that the sex chromosomes of the monitor lizard (*V. acanthurus*), worm lizard (*A. parapulchella*) and marbled gecko (*C. marmoratus*) have homologues on different chicken autosomes. This implies that they evolved from different autosomes in a common reptilian ancestor.

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364 However, our finding that sex chromosomes of the distantly related pink-tailed worm 365 lizard and river turtle (E. macquarii) both have homology to GGA4q provides a striking example 366 of convergent recruitment of ancestral autosome regions. The long arm of the chicken 367 chromosome 4 (GGA4q) has also been previously reported to be recruited as sex chromosomes of pygopodid gecko 45 . This homology may signify that the same gene (likely to be *pdgfra*) has 368 369 independently acquired a role in sex determination in all these species. Convergent recruitment 370 of ancestral chromosome is a region orthologous to GGA23, which we identified to be part of the 371 sex chromosomes of marbled gecko, and the central bearded dragon (Pogona vitticeps)⁴⁶.

372 Several general patterns emerged from these comparative analyses of the location of the 373 chicken orthologues of genes on reptile sex chromosomes. Firstly, sex chromosomes seemed to 374 have frequently originated by fusion of ancestral micro- and macro-chromosomes, or between 375 micro-chromosomes ⁴⁹. In addition to homology to the chicken microchromosome GGA28 that was reported, and also confirmed in this work as the ancestral sex chromosome of Anguimorpha 376 377 species including spiny tailed monitor lizard ^{50, 51}, we found that other chicken 378 microchromosomes GGA31, 33 contained fragments homologous to genes on the sex 379 chromosomes of spiny tailed monitor lizard. Microchromosomes also seemed to have 380 contributed to the sex chromosomes of three other reptiles (Figures 3 and 4), as well as in the previously reported green anole lizard ⁵², bearded dragon lizard ⁴⁷, soft-shell turtles ^{53, 54}. The 381 382 short arm of chicken chromosome 4 (which is homologous to the conserved region of the X 383 chromosome of therian mammals, is a microchromosome in all species other than the 384 Galliformes. These observations of homologies with chicken microchromosomes are not 385 surprising given that half the chicken genes lie on microchromosomes.

386 A microchromosome origin might have contributed to the second feature of reptile sex 387 chromosomes, most of which are less differentiated than those of birds and mammals. 388 Homomorphic or partially differentiated sex chromosomes were found in three out of four reptiles we examined and are also described also in the giant musk turtle ⁵⁵, eyelid geckos ^{55, 56} 389 and some other gecko species ⁵⁷, and skinks ⁵⁸. The preponderance of poorly differentiated sex 390 391 chromosomes in reptiles could be the result either of slow differentiation, or rapid turnover, or 392 both. A potential cause for the generally slower rate of sex chromosome differentiation in 393 reptiles could be the high recombination rate and gene density of the ancestral microchromosomes ⁵⁹, which might prevent extensive recombination suppression and rapid 394 395 differentiation between sex chromosomes in these reptiles.

Alternatively, rapid turnover of reptile sex chromosomes could explain the "ever young" partially differentiated sex chromosomes that are so common in reptiles. We have previously demonstrated ⁵ rapid transitions between sex determination systems in agamid lizards, and our present results expand the variety and independent origins of reptile sex chromosomes. In addition, the ability to switch into an environmental sex determination mode, and then to evolve novel genetic sex determination systems, may greatly facilitate turnovers. GSD and TSD have been reported within and between closely related reptile species, e.g., in agamid lizards ⁶⁰, in viviparous skink ⁶¹, some turtles ⁶² and eye-lid geckos ⁶³. In the Australian bearded dragon, the
transition from GSD to TSD was observed both in the lab and in the field ⁶⁴, despite its
possession of a pair of highly differentiated sex microchromosomes ⁶⁵.

406 Our identification of genes on reptile sex chromosomes enabled us to assess their 407 transcription and assess dosage compensation. We found no evidence of global dosage 408 compensation, even in the worm lizard A. parapulchella with highly differentiated X and Y chromosomes. This is similar to the absence of global dosage compensation in birds⁶⁶ and other 409 reptiles ⁴⁵, but contrasts with the recently reported case of green anole lizard ^{67, 68}, in which the 410 single copy of the X chromosome is upregulated in XY males through an epigenetic mechanism 411 412 similar to that in Drosophila. The absence of global dosage compensation in A. parapulchella 413 could reflect dosage mitigation or tolerance at post-transcriptional levels, or it may be a 414 consequence of its dosage-dependent sex-determination mechanism, similar to that in chicken, in 415 contrast to a male-dominant XY system of the green anole.

In this work we combined cytogenetics and high-throughput sequencing to characterize the sex chromosomes of four reptile species. This greatly widened our knowledge of sex chromosome birth, death and dosage compensation in a vertebrate class that shows particular variety in modes and turnover of sex determining systems.

Thus, we used DNA from microdissected sex chromosomes to identify transcripts of genes located on the XY or ZW chromosome pairs in each species, and located their chicken orthologues on different chicken chromosomes. This revealed the diverse origins of sex chromosomes, but detected convergent evolution between distantly related reptiles (turtle and worm lizard). Our novel pipeline efficiently identified candidate sex determining genes, which differed from those of birds and mammals. We found that none of the four species showed transcription profiles expected of global chromosomal dosage compensation.

In summary, our molecular and cytogenetic characterisation of sex chromosomes in diverse taxa greatly expands our knowledge of reptile sex determination. By identifying reptile candidate sex genes and providing the means with which to identify more, we hope to realise the value of this particularly variable, but understudied, vertebrate class, the only one for which no master sex determining gene has yet been discovered.

The inexpensive and efficient method developed here can be applied to studying anyspecies of eukaryote with cytologically distinct sex chromosomes, providing the basis with

434 which to better understand the ecological and evolutionary drivers of sex chromosomes and sex

- 435 determination systems.
- 436

437 Materials and Methods

438 Chromosome preparations, sex chromosome microdissection, probe preparations and

- 439 FISH analysis
- 440 Animal collection, microdissection, preparation of sex chromosome specific probes and
- 441 validation of probes were described in our previous studies ^{18, 19, 20}. Briefly, we labelled sex
- 442 chromosome probes by nick translation incorporating SpectrumGreen-dUTP (Abbott, North
- 443 Chicago, Illinois, USA) or SpectrumOrange-dUTP (Abbott) and precipitated with 20 μg
- glycogen. After decantation, labeled probe pellets were resuspended in a 15 µl hybridization
- buffer. The resuspended probe mixture was hybridized with a drop of metaphase chromosome
- suspension fixed on a glass slide, covered with coverslips, and sealed with rubber cement. The
- slide was then denatured on a hot plate at 68.5°C for 5 min and was hybridized overnight in a
- 448 humid chamber at 37°C for two days. The slides were then washed first with 0.4×SSC, 0.3%
- 449 IGEPAL (Sigma-Aldrich) at 55°C for 2 min followed by 2×SSC, 0.1% IGEPAL for 1 min at
- 450 room temperature. The slides were dehydrated by ethanol series and air-dried and then mounted
- 451 with anti-fade medium Vectashield (Vector Laboratories, Burlingame, California, USA)
- 452 containing 20 μg/ml DAPI (4',6-diamidino-2-phenylindole.).
- 453

454 **Transcriptome assembly and annotation**

455 RNA-Seq data from gonads and brain tissues for males and females of monitor lizard (V.

456 *acanthurus*), river turtle (*E. macquarii*) and marbled gecko (*C. marmoratus*) and tail tissue from

457 a male and female worm lizard (*A. parapulchella*) were used to perform *de novo* assembly of

458 each species with Trinity v2.4.0 pipeline ⁶⁹. Then we used transcoder ⁶⁹ to do ORF prediction

- 459 and cd-hit (v4.7)⁷⁰ to remove the redundant sequences with the parameters -c 1.00 -b 5 -T 8. For
- 460 evaluating the quality of the assembly, we examine the number of transcripts that appear to be
- 461 full-length or nearly full-length by BLAST+ (v2.6.0) 71 with the e-value 1e-3. For worm lizard
- 462 and marbled gecko, the reference species is *G. japonicus* while for river turtle, the reference
- 463 species is *P. sinensis*, and transcripts with a minimum 30% coverage of reference were selected.
- 464 We used the Trinotate ⁶⁹ pipeline to annotate the transcriptome. First, we aligned the transcripts

to the reference library consisting of human and chicken using blastx and the protein file using blastp with the e-value 1e-3. Also, we used HMMER to do another annotation which aligned the transcripts to the Pfam protein library according to the hidden Markov algorithm with the default parameters. Later, the transcripts and the protein, along with the alignments from blast and HMMER were fed to Trinotate to annotate the transcriptome. The transcriptomes were evaluated by assessing the number of fully reconstructed coding transcripts with their reference species, which are *G. japonicus* for worm lizard and marbled gecko, and *P.sinensis* for river turtle.

472

473 Genome assembly and annotation

474 We used SOAPdenovo2 (v2.0.4) pipeline 72 to assemble the Illumina DNA reads from

475 microdissected sex chromosomes. In brief, we first tried several times with default parameters, to

476 find the best K-mer with the longest N50. Then, we adjusted the average insertion size according

477 to the best result and re-run the scaffold step. Afterwards, we used kgf(v1.16) with the

478 parameters -m 5 -t 6 and Gapcloser(v1.12) to fill the gaps 72 , which finally built a de novo draft

479 assembly for sex chromosomes of our species.

We constructed the genome assembly of monitor lizard (*V. acanthurus*) with the Supernova v2.1.1 pipeline ⁷³ with the default parameters, which is a package for de novo assembly based on 10X sequencing. Briefly, the approach is to first build an assembly using read kmers (default is 48), then resolve this assembly using read pairs (to K = 200), then use barcodes to effectively resolve this assembly to K \approx 100,000. The final step pulls apart homologous chromosomes into phase blocks, which create diploid assemblies of large genomes.

486 We annotated the genome of monitor lizard (V. acanthurus) with the Braker2 v2.1.5 pipeline ⁷⁴ which combined evidence of protein homology, transcriptome and *de novo* prediction. 487 488 First, we used RepeatMasker (v4.0.7)⁷⁵ with parameters: -xsmall -species squamata -pa 40 -e 489 ncbi, and the Repbase(v21.01) to annotate the repeat sequences. Then we aligned all available 490 RNA-seq reads to the reference genome by STAR(v2.5)⁷⁶ to construct transcriptome evidence. 491 Later we fed the masked genome, the alignment of RNA-seq, and the reference protein 492 sequences, which were human and chicken here, to Braker2 with parameter: --prg=exonerate, setting exonerate for protein homology prediction. Finally, the package outputs the GFF file 493 494 containing the gene models, along with protein sequences and CDS sequences. Additionally, we 495 also separately annotated the sex chromosome of monitor lizard. First, we aligned our sequences

to the reference protein sequences using tblastn with parameters: -F F -p tblastn -e 1e-5. The

497 results were then refined by GeneWise (v2.4.1) 77 , and for each candidate gene, we kept the one

498 with the best score. Within these genes, we filtered them if premature stop codons or frameshift

499 mutations reported by GeneWise or single-exon genes with a length shorter than 100bp, or multi-

500 exon genes with a length shorter than 150bp, or if the repeat content of the CDS sequence is

501 larger than 20% exists.

502

503 Sex-linked sequences identification

504 For worm lizard (*A. parapulchella*), river turtle (*E. macquarii*) and marbled gecko (*C.*

505 *marmoratus*), using an XY system as an example, we first assembled all the RNA-seq reads into

506 a pooled transcriptome, the female reads into a XX transcriptome, and the male reads into a XY

507 transcriptome. Then male RNA-seq reads were mapped to the XX transcriptome with bowtie2

508 (v2.2.9) ⁷⁸ with default parameters. Read depth was then calculated using SAMtools (v1.6) ⁷⁹,

and those reads unmapped were assembled into a transcriptome which was considered to be Xreads excluded.

511 The pooled transcriptomes were directly mapped by Illumina DNA reads from the X and 512 Y chromosomes, and those sequences not mapped by either X or Y reads were assigned as 513 autosomal genes. XX transcriptome and XY transcriptome were both mapped by DNA reads 514 from the X and Y chromosomes, the reads depth (coverage/mappable site) was calculated for 515 each genomic regions mapped, and sequences with a depth higher than 3 and a minimum 516 coverage of 10% with X reads, simultaneously with no alignments with Y reads were assigned as 517 X-linked. For the transcriptome with X reads excluded, the same steps were repeated and 518 sequences with a depth higher than 3 and a minimum coverage of 10% with Y reads and no 519 alignments with X reads were assigned as Y-linked. Afterwards, for sequences with both reads 520 depth (X reads and Y reads) higher than 3, along with a minimum 10% coverage with both X 521 and Y reads, we assigned them as shared genes.

To identify the sex-linked sequences in monitor lizard (*V. acanthurus*), Illumina reads from both sexes were aligned to the scaffold sequences using bowtie2 with default parameters. Read depth of each sex was then calculated using SAMtools in 10kb non-overlapping windows and normalized against the median value of depths per single base pair throughout the entire genome for the comparison between sexes. Those sequences with depth ratio of male-vs-female

- 527 (M/F) ranging from 1.75 to 3, along with a read coverage ratio of male-vs-female higher than 0.8
- 528 were assigned as Z-linked sequences. For the rest of the sequences, those with M/F ratio of depth
- and coverage both ranging from 0.0 to 0.25 are assigned as W-linked sequences, and the
- 530 remaining are assigned as autosomes.
- 531

532 Homology comparisons

- 533 To find the orthologs of our genes with chicken, we compared the sex-linked transcripts of worm
- 534 lizard (A. parapulchella), river turtle (E. macquarii) and marbled gecko (C. marmoratus), and
- the sex-linked genes annotated of the monitor lizard (*V. acanthurus*) 10X assembly to the
- 536 proteins of chicken (v6, Ensembl), respectively using blastx with the e-value 1e-5. The result
- 537 was filtered with the aligned AAs > 30% coverage of the reference chicken protein, along with a
- 538 minimum 50% identity, and returned the one-to-one best hits, with the duplications retained.
- 539 Then we merged the alignment sites from the four species and calculated the total number of
- 540 orthologs on the relative chicken chromosomes. With the same protocols, we found the orthologs
- of our sex-linked genes with chicken sex-determining genes, except for the threshold of identity
- 542 which were adjusted to 40%.
- 543

544 Gene expression analyses

To quantify gene expression, RNA-seq reads were mapped to the transcripts of worm lizard (*A. parapulchella*), *river turtle* (*E. macquarii*) and marbled gecko (*C. marmoratus*) and the CDS of monitor lizard (*V. acanthurus*) by bowtie2. The raw read counts were estimated by RSEM (v1.3.1) ⁸⁰, with both TPM expressions calculated. Those genes which have orthologs with chicken were filtered for dosage compensation analysis. Correlation within sexes for each species was tested using a Wilcoxon rank-sum test, where a significant differentiation within

samples was found, with a p-value smaller than 0.05.

552

- 553 Gonadal biased genes were identified by calculating the fold change of gonadal to somatic
- 554 expressions of the four species. For both ovary and testis, bias genes were classified into 4
- categories of TPM ratio, namely <2, 2 to 3, 3 to 5, >5. For those genes that have a ratio <2 are
- assigned as negative, for those >=2, are assigned as gonadal biased, and only those genes with a
- ratio higher than 5 were calculated in the correlation test for masculinization.

558

559 INDEL calling and PCR validation of sex specific markers

560 Using the identified 10.81 Mb Z-borne scaffolds and 7.10 Mb W-borne scaffolds of monitor 561 lizard (V. acanthurus), we first identified indels based on their alignment using LASTZ(v1.02)⁸¹ 562 with default parameters. On two homologous scaffolds (ChrZ scaf 189 and Chr W scaf 176), 563 we found three W-specific insertions with the lengths as 206 bp, 331 bp and 209 bp 564 (Supplementary Table S8). At the flanking regions of these insertions, we designed two PCR primers spanning a predicted length of 1312 bp (assay 1) and 2479 bp (assay 2) sequence 565 566 fragments for validating the sex chromosome specificity in monitor lizard (V. acanthurus). Both 567 primer sets were amplified under the following conditions: initial denaturing at 95°C for 5 568 minutes followed by 35 cycles of 95°C for 30 seconds, 57°C for 30 seconds and an extension step of 72°C for 1 minute, with final extension of 72°C for 10 minutes. These two markers were 569 570 validated on 60 individuals; 22 females and 38 males from 5 different localities distributed 571 across the species distribution.

572

573 Data Availability

574 The genomic and transcriptomic data of worm lizard (A. parapulchella), river turtle (E.

- 575 *macquarii*), marbled gecko (*C. marmoratus*) and monitor lizard (*V. acanthurus*) have been
- 576 deposited in GenBank under the BioProject accession code PRJNA737594. The draft genome
- 577 and annotation of monitor lizard (*V. acanthurus*) have been deposited in Genome Warehouse

578 (GWH) under the BioProject accession code PRJCA005583.

579

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- 591

592 Author contributions

- 593 TE, KM, JG conceived the idea. KM, FS, JD conducted lab works. ZZ and QZ conducted
- 594 bioinformatic analyses. QZ, ZZ and TE wrote the first draft. All co-authors contributed
- 595 intellectually to writing and editing the draft multiple times.
- 596

597 Ethics Statement

- 598 Animal care and experimental procedures were performed following the guidelines of the
- 599 Australian Capital Territory Animal Welfare Act 1992 (Section 40) and conducted under
- approval of the Committee for Ethics in Animal Experimentation at the University of Canberra
- 601 (Permit Number: CEAE 11/07 and CEAE 11/12).

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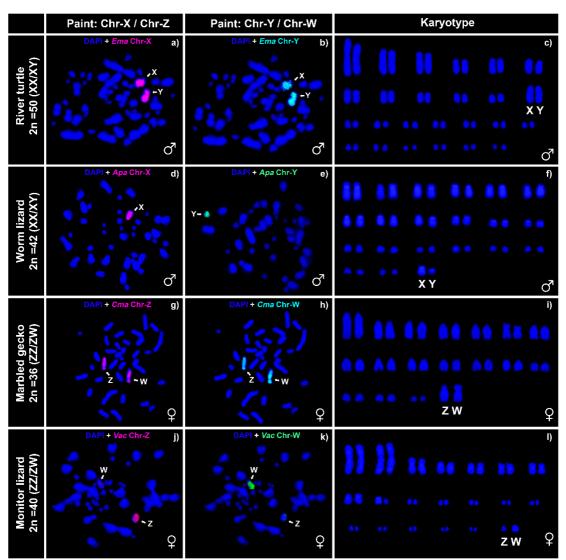
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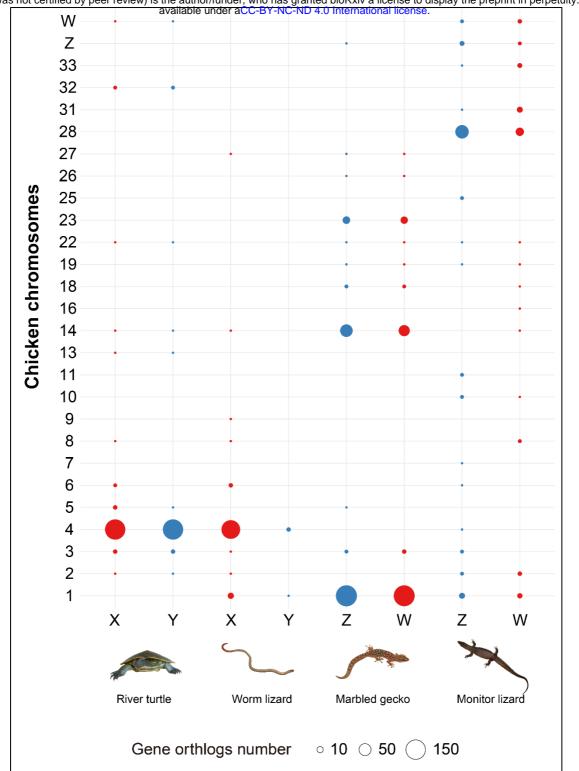
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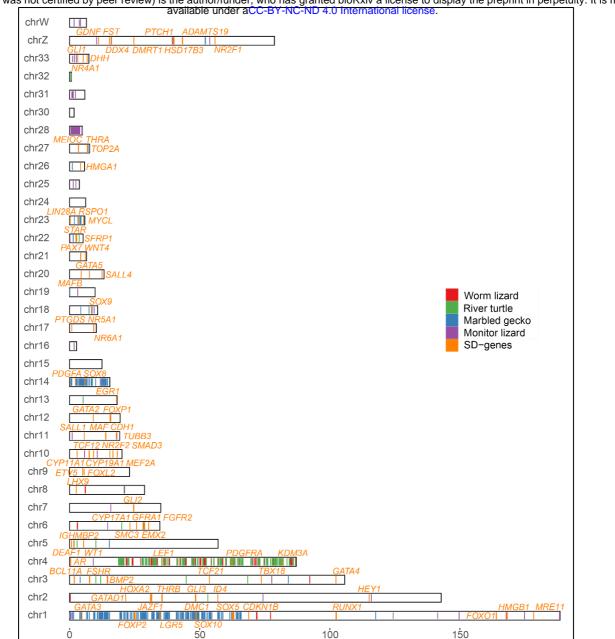
Supplementary Figure 1 | Florescence in situ hybridization (FISH) images of the four reptile species showing validation of microdissected chromosome probes.



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Supplementary Figure 2 | Bubbles showing orthologs of sex chromosomes of the four reptile species.

The figure shows the origins of the sex chromosomes(X-axis) of four reptile species against the chicken genome(Y-axis). Dot sizes refers to the number of orthologs, and the color indicates the sex chromosomes of the four reptiles, which is red by X and W, and blue by Y and Z.



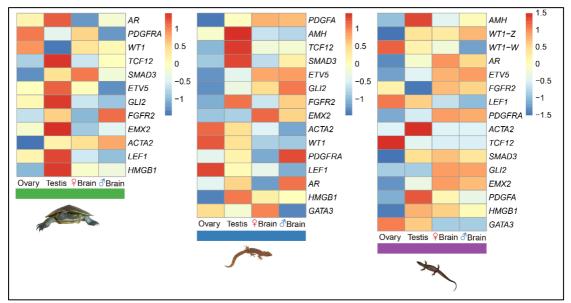
Supplementary Figure 3 | Location of orthologs of sex-determining genes on the homologous chicken chromosomes

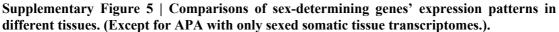
We labelled the ortholog of known sex-determining genes in the chicken (Gga6a) genome. And different colors refer to genes of different species, with red to Worm lizard, green to River turtle, blue to Marbled gecko and purple to Monitor lizard. Lines in orange refers to the orthologs of sex-determining genes that have been studied. SD-genes: Candidate Sex determining genes

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WT1	available			•
WNT4 TUBB3	•		1	•
TOP2A	•			
THRB				
THRA	•			
TCF21	•		•	•
TCF12	•	•	•	•
TBX18 STAR		•	•	•
SOX9				•
SOX8				
SOX5 —		•		•
SOX10	•		•	•
SMC3	•	•	•	•
SMAD3	•	•	•	•
SFRP1	•	•	•	•
SALL4				
SALL1				
RSP01 —				
PTGDS	•	•	•	
PTCH1	•	•	•	•
PDGFRA	•	•	•	•
PDGF-A		•		•
Pax-7 NR6A1		•		
NR5A1				
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NR2F2	•	•	•	•
NR2F1	•	•	•	
MYCL	•		•	•
MRE11	•	•	•	•
MEIOC				
MEF2A MAFB				
MAF —				Ţ
LIN28A —		•	•	•
LHX9 —	•		•	•
LGR5	•	•	•	•
Lef-1 —		•	•	•
KDM3A	•	•	•	•
JAZF1				
ID4				
HSD17B3	•	•		•
HOXA2 —	•	•		•
HMGB1 —	•	•	•	•
HMGA1 —				•
HEY1 GLI3	•			
GLI3 GLI2 —				
GLI1 —				•
GFRA1	•	•	•	•
GDNF		•		
GATAD1 —	•	•	•	•
GATA5			•	•
GATA4 GATA3	•			
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FST	•	•	•	↓ ●
FSHR	•		•	•
FOXP2	•	•	•	•
FOXP1		•	1	•
FOX01				
FOXL2				
ETV5	-		—	
EMX2 —		•	•	
EGR1	•	•	•	•
DMRT1	•		•	•
DMC1 —	•		•	
	1		1	1
DEAF1 DDX4				
CYP19A1				
CYP17A1 —			•	•
CYP11A1 —	•		•	•
CDKN1B	•	•	•	•
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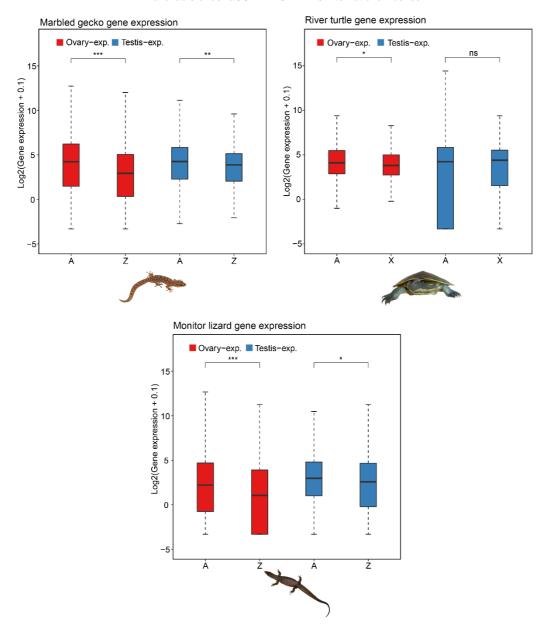
bioRxiv preprint doi: https://doi.org/10.1101/2021.10.13.462063; this version posted October 14, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made **Supplementary Figure 4 Orthology of Sex-determining genes ag. Gga6a in 4 species.**

The figure shows the presence (shown as a dot in the figure, otherwise no dot) of assembled orthologs of known sex-determining genes in the four species. And different colors refer to different species, which are red to Worm lizard, green to River turtle, blue to Marbled gecko and purple to Monitor lizard.





The figure shows the log2 values of expressions (TPM) of assembled orthologs of known sexdetermining genes in the three species. And different colors refer to different species, which are green to River turtle, blue to Marbled gecko and purple to Monitor lizard.



Supplementary Figure 6 | Gene expression of River turtle, Marbled gecko and Monitor lizard. Each box shows the log2 values of absolute gene expressions (TPM). A: autosomal genes; Z: Z-linked genes; X: X-linked genes. For XY species, to see masculinization, autosomal genes will generally have a higher testis expression. For ZW species, it is the opposite, and for ovary, it is all opposite.