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3	Defining the Characteristics of Type I Interferon Stimulated Genes: Insight from
4	Expression Data and Machine Learning
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16 Abstract

17 A virus-infected cell triggers a signalling cascade resulting in the secretion of interferons (IFNs), which 18 in turn induce the up-regulation of IFN-stimulated genes (ISGs) that play an important role in the 19 inhibition of the viral infection and the return to cellular homeostasis. Here, we conduct detailed 20 analyses on 7443 features relating to evolutionary conservation, nucleotide composition, gene 21 expression, amino acid composition, and network properties to elucidate factors associated with the 22 stimulation of genes in response to type I IFNs. Our results show that ISGs are less evolutionary conserved than genes that are not significantly stimulated in IFN experiments (non-ISGs). ISGs show 23 24 significant depletion of GC-content in the coding region of their canonical transcripts, which leads to 25 under-representation in the nucleotide compositions. Differences between ISGs and non-ISGs are also 26 reflected in the properties of their coded amino acid sequence compositions. Network analyses show 27 that ISG products tend to be involved in key paths but are away from hubs or bottlenecks of the human 28 protein-protein interaction (PPI) network. Our analyses also show that interferon-repressed human 29 genes (IRGs), which are down-regulated in the presence of IFNs, can have similar properties to ISGs, 30 thus leading to false positives in ISG predictions. Based on these analyses, we design a machine 31 learning framework integrating the usage of support vector machine (SVM) and feature selection algorithms. The ISG prediction achieves an area under the receiver operating characteristic curve 32 (AUC) of 0.7455 and demonstrates the similarity between ISGs triggered by type I and III IFNs. Our 33 34 machine learning model predicts a number of genes as potential ISGs that so far have shown no significant differential expression when stimulated with IFN in the cell types and tissue types compiled 35 36 in the available IFN-related databases. A webserver implementing our method is accessible at 37 http://isgpre.cvr.gla.ac.uk/.

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40 Author summary

41 Interferons (IFNs) are signalling proteins secreted from host cells. IFN-triggered signalling activates the host immune system in response to intra-cellular infection. It results in the stimulation of many 42 43 genes that have anti-pathogen roles in host defenses. Interferon-stimulated genes (ISGs) have unique 44 properties that make them different from those not significantly up-regulated in response to IFNs (non-45 ISGs). We find the down-regulated interferon-repressed genes (IRGs) have some shared properties 46 with ISGs. This increases the difficulty of distinguishing ISGs from non-ISGs. The use of machine 47 learning is a sensible strategy to provide high throughput classifications of putative ISGs, for 48 investigation with in vivo or in vitro experiments. Machine learning can also be applied to human genes

49 for which there are insufficient expression levels before and after IFN treatment in various experiments.

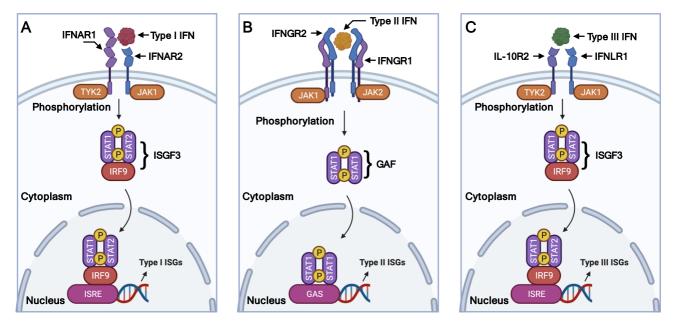
50 Additionally, the interferon type has some impact on ISG predictability. We expect that our study will

51 provide new insight into better understanding the inherent characteristics of human genes that are

- 52 related to response in the presence of IFNs.
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54 Introduction

55 Interferons (IFNs) are a family of cytokines originally defined for their capacity to interfere with viral replication. They are secreted from host cells after an infection by pathogens such as bacteria or viruses 56 to trigger the innate immune response with the aim of inhibiting viral spread by 'warning' uninfected 57 58 cells [1]. The response induced by IFNs is usually fast and feedforward, especially to synthesize new 59 IFNs, which guarantees a full response even if the initial activation is limited [2]. In humans, seven IFNs have been discovered and grouped into three types based on distinct receptors on the cell surface, 60 i.e., IFN- α receptor (IFNAR), IFN- γ receptor (IFNGR), and IFN- λ receptor 1 (IFNLR1)/interleukin-61 10 receptor 2 (IL-10R2) in the signalling cascade (Fig 1) [3]. Type I and III IFNs both help to regulate 62 and activate host immune response, but the function of the latter group is less intense than the former 63 [4-6]. Type II IFNs are also anti-pathogen, immunomodulatory, and proinflammatory but focus on 64 establishing cell immunity [5, 7, 8]. All three types of IFNs are capable of activating the Janus 65 kinase/signal transducer and activator of transcription (JAK-STAT) pathway and inducing the 66 67 transcriptional up-regulation of approximately 10% of human genes that prime cells for stronger pathogen detections and defenses [9-11]. Henceforth, these up-regulated human genes are referred to 68 69 as IFN-stimulated genes (ISGs). They play an important role in the establishment of cellular antiviral 70 state, the inhibition of viral infection and the return to cellular homeostasis [8-10, 12]. For example, the ectopic expression of ISG: heparinase (HPSE) can inhibits the attachment of multiple viruses [13, 71 72 14]; interferon induced transmembrane proteins (IFITM) can impair the entry of multiple viruses and traffic viral particles to degradative lysosomes [15, 16]; MX dynamin like GTPase proteins (MX) can 73 74 effectively block early steps of multiple viral replication cycles [17]. The qualitative nature of ISGs is 75 determined by the balance between the activation of signal transducers and activators of transcription 76 (STAT) and IFN-stimulated gene factor 3 complex (ISGF3)/IFN- γ activation factor (GAF) (Fig 1) [18]. Abnormality in the IFN-signalling cascade, e.g., the absence of signal transducer and activator 77 78 of transcription 1 (STAT1) will lead to the failure of activating ISGs, making the host cell highly 79 susceptible to virus infections [19].



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Fig 1. Illustration of signalling cascade triggered by different IFNs. In (A), type I IFN signals 82 through IFNAR, Janus kinase 1 (JAK1), tyrosine kinase 2 (TYK2), STAT, and IFN-regulatory factor 83 9 (IRF9) to form ISGF3, and then bind to IFN-stimulated response elements (ISRE) to induce the 84 85 expression of type I ISGs. In (B), type II IFN signals through IFNGR, JAK1 and JAK2 to form GAF 86 and then bind to gamma-activated sequence promoter elements (GAS) to induce the expression of type 87 II ISGs. In (C), type III IFN signals through IFNLR1, IL-10R2, JAK1, TYK2, STAT, and IRF9 to form ISGF3, and then bind to ISRE to induce the expression of type III ISGs. Figure created using the 88 BioRender (https://biorender.com/). Abbreviations: IFNs, interferons; IFNAR, IFN-α receptor; ISGF3, 89 90 IFN-stimulated gene factor 3 complex; ISGs, interferon-stimulated human genes; IFNGR, IFN-y 91 receptor; GAF, IFN- γ activation factor; IFNLR1, IFN- λ receptor 1; IL-10R2, interleukin-10 receptor 2; STAT, signal transducers and activators of transcription. 92

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94 Most research on ISGs has focused on elucidating the role of ISGs in antiviral activities or 95 discovering new ISGs within or across species [8-10, 15, 20, 21]. The identification of ISGs can be 96 achieved via various approaches. Associating gene expression with suppression of viral infection is a 97 good strategy to identify ISGs with obvious antiviral performance, exemplified by the influenza inhibitor, MX dynamin like GTPase 1 (MX1), and the human immunodeficiency virus 1 inhibitor, MX 98 99 dynamin like GTPase 2 (MX2) [17]. CRISPR screening is a loss-of-function experimental approach 100 to identify ISGs required for IFN-mediated inhibition to viruses, e.g., it enabled the discovery of 101 tripartite motif containing 5 (TRIM5), MX2 and bone marrow stromal cell antigen 2 (BST2) [22]. 102 Monitoring the ectopic expression of ISGs is an instrumental way to find some ISGs that are 103 individually sufficient for viral suppression, e.g. interferon stimulated exonuclease gene 20 (ISG20) 104 and ISG15 ubiquitin like modifier (ISG15) [23]. Using fold change-based criterion to measure whether 105 a target human gene is induced by IFN signalling now has become a well-accepted idea, but the 106 upregulation cut-off may vary in different studies [21, 24, 25]. The online database, Interferome 107 (http://www.interferome.org), provides an excellent resource by compiling *in vivo* and *in vitro* gene expression profiles in the context of IFN stimulation [21]. The Orthologous Clusters of Interferon-108 109 stimulated Genes (OCISG, http://isg.data.cvr.ac.uk) provides an evolutionary comparative approach 110 of genes differentially expressed in the type I IFN system for ten different species [8]. The later study employed a standardised experimental protocol of fibroblast cells stimulated by type I IFN. 111

112 Although these studies contribute to a better understanding and detection of ISGs, the 113 knowledge they compiled was limited to a specific IFN type in specific organs, tissues or cells [2]. Despite some well-investigated ISGs, the majority of classified ISGs have limitedly expression 114 115 following IFN stimulations [8, 21], which means the difference between ISGs and those human genes not significantly up-regulated in the presence of IFNs (non-ISGs) may not be obvious especially when 116 117 being assessed more generally. It should also be noted that, within non-ISGs, there are a group of genes 118 down-regulated during IFN stimulations. Here, we refer to them as interferon-repressed human genes (IRGs) and they constitute another major part of the IFN regulation system [8, 26]. Collectively, the 119 120 complex nature of the IFN-stimulated system results in knowledge that is far from comprehensive.

121 Hence, we seek to characterise the properties of ISGs and to determine whether genes can be 122 identified as ISGs using an *in-silico* machine learning approach. We choose experimental data from 123 human fibroblast cells as the baseline and focus on human genes stimulated by the type I IFNs. We construct a refined high-confidence dataset consisting of 620 ISGs and 874 non-ISGs by cross-124 125 checking the genes across multiple databases including the OCISG [8], Interferome [21], and Reference Sequence (RefSeq) [27]. The analyses are conducted primarily on our refined data using 126 127 genome- and proteome-based features that are likely to influence the expression of human genes in the 128 presence of type I IFNs. Then based on the calculated features, we design a machine learning 129 framework with an optimised feature selection strategy for the prediction of putative ISGs in different 130 IFN systems. Finally, we also develop an online webserver that implements our machine learning method at http://isgpre.cvr.gla.ac.uk/. 131

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134 Methods

135 Dataset preparation

136 In this study, we retrieve 2054 ISGs (Log₂(Fold Change)>2), 12379 non-ISGs (Log₂(Fold Change) <1), and 3944 human genes with low expression levels in IFN experiments (ELGs, expression-limited 137 138 genes with less than 1 count per million reads mapping across the three biological replicates [28, 29]) 139 from the OCISG (http://isg.data.cvr.ac.uk/) [8]. Gene clusters in the OCISG are built by using the 140 Ensembl Compara database [30], which provides a thorough account of gene orthology based on whole genomes available in the Ensembl database [31]. Labels of these human genes are defined based on 141 142 the fold change (before and after IFN treatments) and a false discovery rate following IFN treatments 143 in human fibroblast cells. We search the collected 18377 entries against the RefSeq database 144 (https://www.ncbi.nlm.nih.gov/refseq/) [27] to decipher features based on appropriate transcripts 145 (canonical) [32] coding for the main functional isoforms of these human genes, obtaining 1315, 7304, 146 and 2217 results for ISGs, non-ISGs and ELGs, respectively. These 10836 human genes are well-147 annotated by multiple online databases and are used as the background set (i.e., dataset S1) in the 148 analyses.

149 For the purpose of generating a set of human genes with high confidence of being interferon-150 up-regulated and non-up-regulated in response to the type I IFNs, we search labelled human genes 151 against the Interferome database (http://www.interferome.org/) [21]. We filter out ISGs without high 152 up-regulation (Log_2 (Fold Change) > 1.0) or with obvious down-regulation (Log_2 (Fold Change) < -1.0) 153 in the presence of type I IFNs. This procedure guarantees a refined ISGs dataset with strong levels of 154 stimulation induced by type I IFNs and reduces biases driven by IRGs for the analyses and predictions. 155 We filter out non-ISGs showing enhanced expression after type I IFN treatments (Log₂(Fold Change) > 0). The exclusion of these non-ISGs can effectively reduce the risk of involving false negatives in 156 157 analyses and producing false positives in predictions. As a result, the refined dataset S2 contains 620 158 ISGs and 874 non-ISGs with relatively high confidence.

159 The training procedure in the machine learning framework is conducted on a balanced dataset: 160 S2' consisted of 992 randomly selected ISGs and non-ISGs from dataset S2. The remaining human 161 genes in S2 are used for independent testing. Additionally, we also construct another six testing 162 datasets for the purpose of review and assessment. Dataset S3 contains 695 ISGs with low confidence 163 compared to those ISGs in dataset S2. Some of them could be IRGs in the type I IFN system. Dataset 164 S4 contains 1006 IRGs from the human fibroblast cell experiments. Dataset S5, S6, and S7 are 165 constructed based on records for experiments in type I, II, and III IFN systems from the Interferome 166 database [21]. The criterion for an ISG in the latter three datasets is a high level of up-regulation

- 167 $(Log_2(Fold Change) > 1.0)$ while that for non-ISGs is no up-regulation after IFN treatments $(Log_2(Fold Change) > 1.0)$
- 168 Change) < 0). The last testing dataset S8 is derived from our background dataset S1, containing 2217
- 169 ELGs. A breakdown of the aforementioned eight datasets is shown in **Table 1**. Detailed information
- 170 of the human genes used in this study is provided in S1 Data.
- 171

172 Table 1. A breakdown of datasets used in this study.

Dataset	Brief description	IFN system	ISGs	Non-ISGs	ELGs
S 1	Well-annotated human genes	Type I in fibroblast cells	1315	7304	2217
S2	Refined dataset with high confidence	Type I in fibroblast cells	620	874	0
S2'	Training subset of S2	Type I in fibroblast cells	496	496	0
S2''	Testing subset of S2	Type I in fibroblast cells	124	378	0
S3	ISGs with low confidence in S1	Type I in fibroblast cells	695	0	0
S4	IRGs divided from S1	Type I in fibroblast cells	0	1006	0
S5	ISGs from the Interferome database [21]	Type I in all cells	1259	872	0
S6	ISGs from the Interferome database [21]	Type II in all cells	2229	755	0
S7	ISGs from the Interferome database [21]	Type III in all cells	33	1683	0
S8	ELGs divided from S1	Type I in fibroblast cells	0	0	2217

173 Abbreviations: ISGs, interferon-stimulated human genes; non-ISGs, human genes not significantly up-regulated by interferons; IRGs,

- 174 interferon-repressed human genes, ELGs, human genes with limited expression in interferon experiments.
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176 Generation of parametric features

177 We encode 397 parametric features from aspects of evolution, nucleotide composition, transcription, 178 amino acid composition, and network preference. From the perspective of evolution, we use the 179 number of transcripts and open reading frames (ORFs) to reflect alternative splicing diversity and gene 180 polymorphism respectively. Genes with more transcripts and ORFs have higher alternative splicing 181 diversity and polymorphism to produce proteins with similar or different biological functions [33, 34]. We use the number of protein-coding exons in the canonical transcripts to reflect the complexity of 182 183 the alternative splicing [35]. Genes with more protein-coding exons in their canonical transcripts are considered to produce more complex alternative splicing products [36]. Here, duplication and mutation 184 features are measured by the number of within species paralogues and substitutions [37, 38]. These 185 data are collected from the BioMart [31] to assess the selection on protein sequences and mutational 186 187 processes affecting the human genome [39].

From the perspective of nucleotide composition, we calculate the percent of adenine, thymine, cytosine, guanine, and their four-category combinations in the coding region of the canonical transcript. The first category measures the proportion of two different nitrogenous bases out of the implied four 191 bases, e.g., GC-content. The second category also focuses on the combination of two nucleotides but 192 involves the impact of phosphodiester bonds along the 5' to 3' direction, e.g., CpG-content [40]. The third category calculates the occurrence frequency of 4-mers, e.g., 'CGCG' composition to involve 193 194 some positional resolution [41]. The last category considers the co-occurrence of some short linear 195 motifs (SLims) in the complementary DNA (SLim DNAs). From the perspective of transcription, we calculate the usage of 61 coding codons and three stop codons in the coding region of the canonical 196 197 transcripts. Codon usage biases are observed when there are multiple codons available for coding one 198 specific amino acid. They can affect the dynamics of translation thus regulate the efficiency of 199 translation and even the folding of the proteins [42, 43].

200 From the perspective of amino acid composition, we calculate the percentage of 20 standard 201 amino acids and their combinations based on their physicochemical properties [44]. Patterns in the 202 amino acid level are considered to have a direct impact on the establishment of biological functions or 203 to reflect the result of strong purifying selection [45]. Based on the chemical properties of the side chain, we group amino acids into seven classes including aliphatic, aromatic, sulfur, hydroxyl, acidic, 204 205 amide, and basic amino acids. We also group amino acids based on geometric volume, hydropathy, 206 charge status, and polarity, but find some overlaps among these features. For instance, amino acids 207 with basic side chains are all positively charged. Aromatic amino acids all have large geometric 208 volumes (volume > 180 cubic angstroms). Likewise, we also consider the co-occurrence of some 209 SLims at the protein level. These co-occurring SLims in the protein sequence (SLim AAs) may relate 210 to potential mechanisms regulating the expression of ISGs [46].

When trying to measure the network preference for the gene products, we construct a human 211 212 protein-protein interaction (PPI) network based on 332,698 experimentally verified interactions 213 (confidence score > 0.63) from the Human Integrated Protein-Protein Interaction rEference database 214 (HIPPIE, http://cbdm-01.zdv.uni-mainz.de/~mschaefer/hippie/) [47]. Nodes and edges of this network 215 are provided at http://isgpre.cvr.gla.ac.uk/. Eight network-based features including the average shortest 216 path, closeness, betweenness, stress, degree, neighbourhood connectivity, clustering coefficient, and 217 topological coefficient are calculated from this network. Isolated nodes or proteins are not included in our network and are assigned zero value for all these eight features. The shortest path measures the 218 219 average length of the shortest path between a focused node and others in the network. Closeness of a node is defined as the reciprocal of the length of the average shortest path. Proteins with a low value 220 221 of the shortest paths or closeness are close to the centre of the network. Betweenness reflects the degree 222 of control that one node exerted over the interactions of other nodes in the network [48]. Stress of a 223 node measures the number of shortest paths passing through it. Proteins with a high value of 224 betweenness or stress are close to the bottleneck of the network. Degree of a node counts the number

of edges linked to it while neighbourhood connectivity reflected the average degree of its neighbours. Proteins with high degree or neighbourhood connectivity are close to the hub of the network. They are considered to play an important role in the establishment of the stable structure of the human interactome [49]. Clustering and topological coefficient measure the possibility of a node to form clusters or topological structures with shared neighbours. The former coefficient can be used to identify the modular organisation of metabolic networks [50] while the latter one may be helpful to find out virus mimicry targets [51].

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233 Generation of non-parametric features

234 In this study, non-parametric features are used to check the occurrence of SLims in the genome and 235 proteome. The SLim DNAs we constructed in this study contain three to five random nucleotides, 236 producing 708,540 alternative choices. SLim DNAs with no restrictions on their first or last position 237 are not taken into consideration as their patterns can be expressed in a more concise way. A SLim DNA will be picked out to encode a binary feature when its occurrence level in the coding 238 region of the canonical ISG transcripts is significantly higher than that for non-ISGs (Pearson's chi-239 squared test: p < 0.05). SLim AAs are constructed with three to four fixed amino acids separated by 240 241 putative gaps. The gap can be occupied by at most one random amino acid, producing 1,312,000 242 alternative choices. Likewise, binary features are prepared for SLim AAs showing significant 243 enrichment in ISGs products than in non-ISG products (Pearson's chi-squared test: p < 0.05). Since 244 there are lots of results rejecting the null-hypothesis, we adopt the Benjamini-Hochberg correction procedure to avoid type I error [52]. Additionally, we also encode two features to check the co-245 246 occurrence or absence of multiple SLim DNAs and SLim AAs. This co-occurrence status may be a 247 better representation of functional sites composed of short stretches of adjacent nucleobases or amino acids surrounding SLim DNAs or SLim AAs[45]. 248

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250 Assessment of associations between feature representation and IFN-triggered stimulations

In this study, we obtain 8619 human genes with expression data from the OCISG [8]. 4111 of them are annotated with a positive Log_2 (Fold Change) ranging from 0 to 12.6, which means they are upregulated after IFN treatments. In order to measure the average level of feature representation (AREP) for genes with similar expression during IFN stimulations, we introduce a 0.1-length sliding-window to divide the data into 126 bins with different Log_2 (Fold Change). Here, Pearson's correlation coefficient (PCC) is introduced to test the association between the representation of parametric features and IFN-triggered stimulation (Log_2 (Fold Change) > 0). It can be formulated as:

$$PCC(f) = \frac{1}{n-1} \sum_{i=1}^{n} \left(\frac{LFC_i - M_0}{SD_0}\right) \times \left(\frac{AREP_i - M_f}{SD_f}\right)$$
(1)

where *n* is the number of divided parts that equals to 126 in this study; LFC_i and $AREP_i$ are the value of Log₂(Fold Change) and AREP in the *i*-th part; M_0 and SD_0 are the mean and standard deviation of Log₂(Fold Change), which is set as 6.4 and 3.7 respectively in this study; M_f and SD_f are the mean and standard deviation of 126 AREP that reflect the representation of the considered feature. To make fair comparisons among features with different scales, we normalise them based on the major value of their representations:

$$Norm(f) = \begin{cases} 1, f > UB(f) \\ f - LB(f) \\ UB(f) - LB(f), \\ 0, f < LB(f) \end{cases} LB(f) < f < UB(f)$$
(2)

where LB(f) and UB(f) are the lower and upper bound representing the 5th and 95th percentile within representation values for the target feature. The representation of feature is considered to have a stronger positive/negative association with IFN-triggered stimulations if the PCC calculated from the normalised features is closer to 1.0/-1.0 and the p value calculated by the Student t-test is lower than 0.05.

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270 Machine learning and optimisation

271 In this study, we introduce a machine learning framework for the prediction of ISGs. Firstly, all 272 features are encoded and normalised based on their major representations (Equation 2). Then we use 273 an under-sampling procedure to generate a balanced dataset from the main dataset for training and 274 modelling. Support vector machine (SVM) with radial basis function (RBF) [53] is used as the basic 275 classifier, and it maps the normalised feature space to a higher dimension to generate a space plane to 276 better classify the majority of positive and negative samples. Since there are usually lots of noisy data 277 distributed in the feature space, it is necessary to remove disruptive features. This will effectively reduce the dimensionality of the feature space and make it easier for the SVM model to generate a 278 279 more appropriate classification plane that involved fewer false positives and false negatives. Here, we 280 develop a subtractive iteration algorithm driven by the change of area under the receiver operating 281 characteristic curve (AUC) to filter out disruptive features (Fig 2). In each iteration, we traverse the 282 features and remove those that do not improve the AUC of the prediction results. Theoretically, this 283 algorithm can greatly optimise the feature space and remove all disruptive features after multiple 284 iterations. In the testing procedure, we encode the optimum features for testing samples and place them 285 in the optimised feature space. Samples with longer distance to the optimised classification plane

- indicate a stronger signal of being ISGs or non-ISGs. They are more likely to get higher probability
- scores (close to 0 or 1) from the SVM model.

288

BEGIN

Initialisation: Balanced dataset $S_0 = \{(1, v_1^0), \dots, (1, v_n^0), (0, v_{n+1}^0), \dots, (0, v_{2n}^0)\}$, dimension of the feature vector D_0 , machine learning algorithm A, number of disruptive feature $d_0 = D_0$, and iteration round i = 0. **While** $d_0 > 0$ (i^{th} iteration):

- 1) Use five-fold cross validation on dataset S_i , prediction $P_i = A(S_i)$;
- 2) Evaluate the P_i with the criterion of AUC;
- 3) Remove one feature from feature vector v^i and generate a temporary dataset T_i ;
- 4) Use five-fold cross validation on dataset T_i , prediction $P'_i = A(T_i)$;
- 5) Evaluate the P'_i with the criterion of AUC;
- 6) Repeat 4) and 5) for the traversal of D_i features;
- 7) Traverse v^i and remove *m* features helpful to improve AUC of P'_i , $d_i = m$;
- 8) Update dataset $S_{i+1} = \{(1, v_1^{i+1}), \dots, (1, v_n^{i+1}), (0, v_{n+1}^{i+1}), \dots, (0, v_{2n}^{i+1})\}, D_{i+1} = D_i m.$

End

END

Output: dataset S_{i-1} encoded by D_{i-1} features.

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Fig 2. The pseudo-code of the AUC-driven subtractive iteration algorithm. Abbreviations: AUC,
 area under the receiver operating characteristic curve.

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293 **Performance evaluation**

294 In this study, the prediction results are evaluated with three threshold-dependent criteria, i.e., 295 sensitivity (SN), specificity (SP), and Matthews correlation coefficient (MCC) [54] and two threshold-296 independent criteria: SN n and AUC. SN and SP are used to assess the quality of the machine learning model in recognising ISGs and non-ISGs respectively while MCC provides a comprehensive 297 298 evaluation for both positives and negatives. The number of 'n' in the SN n criterion is determined 299 based on the number of ISGs used for testing. It is used to measure the upper limit of the prediction model as well as to check the existence of important false positives close to the class of ISGs from the 300 301 perspective of data expression. Finally, AUC is a widely used criterion to evaluate the prediction ability of a binary classifier system. The group of interest is almost unpredictable in a specific binary classifier 302 303 system if the AUC of the classifier is close to 0.5.

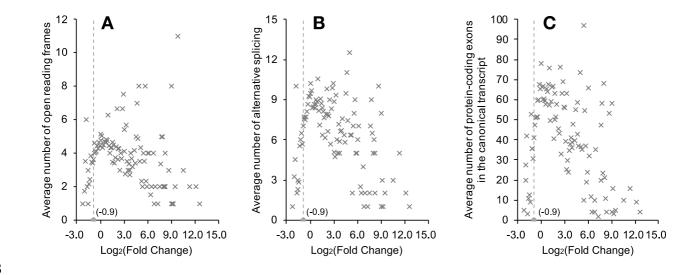
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306 **Results**

307 Evolutionary characteristics of ISGs

In this study, we construct a dataset consisting of 620 ISGs and 874 non-ISGs (dataset S2) from 10836
 well-annotated human genes (dataset S1). Human genes in the S1 dataset have higher confidence based

310 on their records in both the OCISG [8] and Interferome [21] databases. Human genes in both dataset S1 and S2 are evolutionarily unrelated as they are retrieved from the OCISG [8] that compiles clusters 311 312 of orthologous genes based on whole-genome alignments. However, they may still have inherent characteristics that have resulted in their different expressions in response to the type I IFNs. Here, we 313 314 explore features relating to polymorphism [34], alternative splicing [35], duplication [37] and mutation [38]. We use the number of ORFs in a human gene to measure its polymorphism. By calculating the 315 316 average number of ORFs with respect to different Log₂(Fold Change) levels of expression (window size = 0.1) in the presence of IFNs, we find that human genes with higher Log_2 (Fold Change) tend to 317 318 have lower levels of polymorphism (Fig 3A). Although low polymorphism seems to be associated with obvious IFN up-regulation, it is not a necessary condition. Compared to the background human 319 320 genes we include in dataset S1, we find that ISGs tend to have more ORFs, but these differences are 321 not statistically significant (Mann-Whitney U test: p > 0.05). We use the number of transcripts to 322 represent the diversity of alternative splicing for a human gene and use the number of protein-coding exons in the canonical transcript to reflect the complexity of the alternative splicing. For these two 323 features, similar negative relationships are observed when Log₂(Fold Change) increases (Fig 3B & 324 **3C**). These results illustrate that the simpler the alternative splicing is, the higher the IFN upregulation. 325 326 Particularly, as the lowest value of Log₂(Fold Change) for human genes not differentially expressed 327 only reaches around -0.9. Points placed left of the boundary (x = -0.9) are IRGs. They are generally placed below those with Log₂(Fold Change) around zero, suggesting the three features (number of 328 329 ORFs, transcripts and exons) are all differentially represented in some IRGs compared to the remaining 330 non-ISGs. This distribution also indicates that some IRGs have similar feature patterns to ISGs, 331 especially to those highly up-regulated after IFN treatments (right part of the scatter plots in Fig 3). 332



334 Fig 3. The average representation of features associated with type I IFN stimulations in human fibroblast cells. (A) The number of ORFs as a proxy for gene polymorphism. (B) The number of 335 336 transcripts as a feature of alternative splicing diversity. (C) The number of protein-coding exons in the 337 canonical transcript as a measurement of the alternative splicing complexity. These three plots are 338 drawn based on the expression data of 8619 human genes with valid fold change in the IFN experiment 339 (S1 Data). ELGs are excluded as they have insufficient read coverage to determine a fold change in 340 the IFN experiments. Points in the scatter plot are located based on the average feature representation of genes with similar expression performance in IFN experiments. Abbreviations: IFN, interferon; 341 342 ORFs, open reading frames; ELGs, human genes with limited expression in interferon experiments.

343

To determine whether ISGs tend to originate from duplications, we count the number of within 344 345 human paralogs of each gene (Fig 4A). The results show that there are around 22% of singletons in 346 our main dataset, whilst ISGs have 15% and non-ISGs have 26%. The result of a Mann-Whitney U 347 test [55] indicates that the number of paralogs is significantly under-represented in ISGs compared to the background human genes in dataset S1 ($M_1 = 10.5$, $M_2 = 11.5$, p = 8.8E-03). We hypothesize that 348 349 such a difference is mainly caused by the imbalanced distribution of singletons in ISGs and non-ISGs. The differences become smaller when singletons are excluded from the test ($M_1 = 12.4, M_2 = 14.6, p > 1$ 350 351 0.05). Next, we use the number of non-synonymous substitutions per non-synonymous site (dN) and 352 synonymous substitutions per synonymous site (dS) within human paralogues as a measurement of 353 differences in mutational signatures between different classes [56]. As shown in Fig 4B, non-354 synonymous substitutions are more frequently observed in ISGs than in background human genes (M_1 355 = 0.62, M_2 = 0.55, p = 4.0E-03). On the other hand, ISGs also have a higher frequency of synonymous substitutions than background human genes ($M_1 = 37.7$, $M_2 = 34.6$, p = 1.1E-02) (Fig 4C) but the 356 357 difference is not as obvious as for non-synonymous substitutions. The distribution of dN/dS ratios 358 within human paralogues (Fig 4D) indicates that most human genes are constrained by natural selection but ISGs, in general, tend to be less conserved ($M_1 = 0.036$, $M_2 = 0.045$, p = 8.3E-03). When 359 360 eliminating the influence of duplication events, ISGs are still less conserved than non-ISGs but the difference in the dN/dS ratio is not significant ($M_1 = 0.053$, $M_2 = 0.031$, p > 0.05). 361

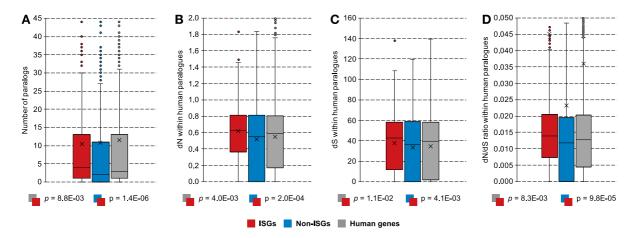




Fig 4. Differences in the evolutionary constraints of human genes. (A) Paralogues within Homo 363 sapiens. (B) Non-synonymous substitutions within human paralogues. (C) Synonymous substitutions 364 within human paralogues. (D) dN/dS ratios within human paralogues. Here, ISGs and non-ISGs are 365 taken from dataset S2 while the background human genes are from dataset S1. Mann-Whitney U tests 366 367 are applied for the hypothesis testing between the feature distribution of different classes. Boxes in the 368 plot represent the major distribution of values (from the first to the third quartile); outliers are added 369 for values higher than two-fold of the third quartile; cross symbol marks the position of the average 370 value including the outliers; upper and lower whiskers show the maximum and minimum values 371 excluding the outliers. Abbreviations: ISGs, interferon upregulated genes; non-ISGs, human genes not significantly up-regulated by interferons; dN, non-synonymous substitutions per non-synonymous site; 372 373 dS, synonymous substitutions per synonymous site.

374

375 Differences in the coding region of the canonical transcripts

376 Compared to general profile features (e.g., number of ORFs), the sequences themselves provide more 377 direct mapping to the protein function and structure [57]. Here, we encoded 344 parametric features and 7026 non-parametric features from complementary DNA (cDNA) of the canonical transcript to 378 explore features specific to ISGs. We divide the parametric features into four categories and compare 379 their representations among different classes of human genes, i.e., ISGs, non-ISGs, and the background 380 381 human genes (Fig 5). Firstly, guanine and cytosine are both more depleted in ISGs than non-ISGs, leading to an under-representation of GC-content in ISGs (Mann-Whitney U test: $M_1 = 52\%$, $M_2 =$ 382 55%, p = 2.3E-11). This attribute is antithetical to the GC-biased gene conversion (gBGC), making 383 384 ISGs less stable with weak evolutionary conservation (Fig 4) [58]. Additionally, the under-385 representation of GC-content also influences the representation of other dinucleotide features. Among all dinucleotide depletions in ISGs, CpG composition is ranked the first followed by GpG and GpC 386 composition (p = 2.9E-14, 4.9E-13 and 1.2E-10, respectively). In turn, adenine and thymine-related 387

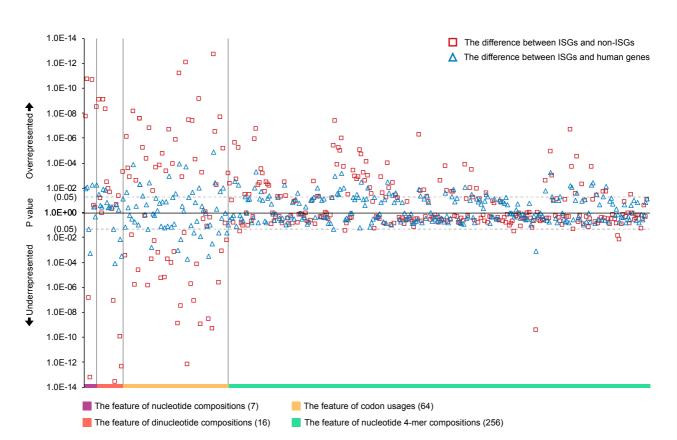
dinucleotide compositions, exemplified by ApT and TpA are more enriched in ISGs than non-ISGs (p = 8.0E-10 and 8.5E-10, respectively).

390 Next, we compare the usage of 64 different codons in the third category as their frequencies 391 influence transcription efficiency [43]. Differences between ISGs and background human genes are 392 observed in codons for 11 amino acids including leucine (L), isoleucine (I), valine (V), serine (S), 393 threonine (T), alanine (A), glutamine (Q), lysine (K), glutamic acid (E), arginine (R), and glycine (G). 394 The most significant difference was observed in the usage of codon 'AGA'. Among all arginine-395 targeted alternative codons, codon 'AGA' is usually favoured, and its usage reaches an estimated 25% 396 in ISGs, but reduces to 22% in the background human genes and is even significantly lower in non-397 ISGs, at 18% (p = 1.4E-05 and 1.9E-13, respectively). On the other hand, compared to background human genes, the codon 'CAG' coding for amino acid 'Q' is the most under-represented in ISGs. It is 398 399 less favoured by ISGs than non-ISGs ($M_1 = 72\%$, $M_2 = 78\%$, p = 7.3E-13) although it dominates in 400 coding patterns. As for the three stop codons, comparing with background human genes, the usage of 401 the ochre stop codon, i.e., 'TAA' is over-represented in ISGs ($M_1 = 28\%$, $M_2 = 33\%$, p = 9.7E-03). In this category of codon usage, the features that have different frequencies between ISGs and 402 403 background human genes became more discriminating when comparing ISGs with non-ISGs. 404 Significant differences in codon usages between ISGs and non-ISGs are widely observed except for 405 methionine (M) and tryptophan (W). Hence, although we find a limited number of codon usage 406 features showing significant differences between ISGs and the background human genes, the codon 407 usage features are useful for discriminating ISGs from non-ISGs.

408 In the last category, we calculate the occurrence frequency of 256 nucleotide 4-mers to add 409 some positional resolution for finding and comparing interesting organisational structures [41]. Among the 256 4-mers, we find 46 of them are differentially represented between ISGs and background human 410 411 genes (S2 Data). Most of these 4-mers are over-represented by ISGs except two with the pattern 412 'TAAA' and 'CGCG'. Interestingly, the feature of 'TAAA' composition becomes a positive factor when comparing ISGs and non-ISGs ($M_1 = 4.1\%$, $M_2 = 3.7\%$, p = 4.1E-06), suggesting it may be a 413 414 good feature to ascertain potential or incorrectly labelled ISGs. We find six nucleotide 4-mers: 415 'ACCC', 'AGTC', 'AGTG', 'TGCT', 'GACC', and 'GTGC' are over-represented in ISGs when compared to background human genes but are not differentially represented when comparing ISGs 416 417 with non-ISGs. Thus, these six features may be inherently biased for some unknown reasons and are 418 not powerful enough to distinguish ISGs from non-ISGs. In addition to the aforementioned 40 features 419 that are over-represented in ISGs compared to background human genes, we find a further 39 features 420 nucleotide 4-mers differentially represented between ISGs and non-ISGs (S2 Data).

421 To check the effect of these aforementioned 343 features on the level of stimulation in the IFN system $(Log_2(Fold Change) > 0)$, we calculate the PCC for the normalised features (Equation 2) and 422 423 find 106 features are positively related to the increase of fold change, and 34 features are suppressed when human gene are more up-regulated (Student t-test: p < 0.05) (S3 Data). ApA composition shows 424 425 the most obvious positive correlation with stimulation level (PCC = 0.464, p = 8.8E-06) while negative association between the representation of 4-mer 'CGCG' and IFN-induced up-regulation is the most 426 427 significant (PCC = -0.593, p = 3.2E-09). Human genes with higher up-regulation in the presence of IFNs contain more codons 'CAA' rather than 'CAG' for coding amino acid 'Q'. The depletion of GC-428 429 content, especially cytosine content, promotes the suppression of many nucleotide compositions in the cDNA, e.g. CpG composition. 430

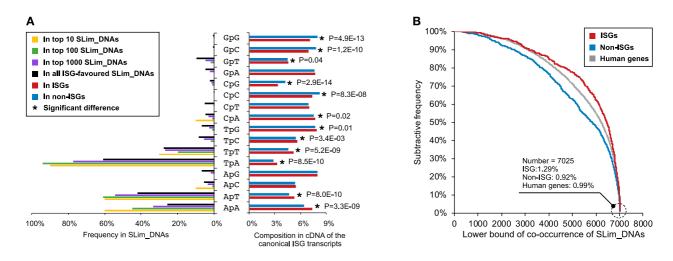




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Fig 5. Differences in the representation of parametric features encoded from coding regions of
the canonical transcript. Mann-Whitney U tests are applied for hypothesis testing and the results
were provided in the S2 Data. Here, ISGs and non-ISGs are taken from dataset S2 while the
background human genes are from dataset S1. Abbreviations: ISGs, interferon upregulated genes; nonISGs, human genes not significantly up-regulated by interferons.

439 To find conserved sequence patterns related to gene regulations [59], we check the existence 440 of 2940, 44100 and 661500 short linear nucleotide motifs (SLim DNAs) consisting of three to five 441 consecutive nucleobases in the group of ISGs and non-ISGs. By using a positive 5% difference in the 442 occurrence frequency as cut-off threshold, we find 7884 SLim DNAs with a maximum difference in 443 representation around 15%. After using Pearson's chi-squared tests and Benjamini-Hochberg 444 correction to avoid type I error in multiple hypotheses [52], 7025 SLim DNAs remain with an adjusted 445 p-value lower than 0.01 (S4 Data), hereon referred to as flagged SLim DNAs. Here, the differentially 446 represented 7025 SLim DNAs are ranked according to the adjusted p-value. As shown in Fig 6A, 447 dinucleotide 'TpA' dominates in the top 10, top 100, top 1000, and all differentially represented 448 SLim DNAs even if TpA representation is suppressed in the cDNA of genes' canonical transcripts compared to other dinucleotides. Dinucleotide 'ApT' and 'ApA' are also frequently observed in the 449 450 flagged SLim DNAs but their occurrences do not show significant difference in the top 100 451 SLim DNAs (Pearson's chi-squared test: p > 0.05). GC-related dinucleotides, e.g., 'CpC', 'GpC' and 452 'GpG' are rarely observed in the flagged SLim DNAs especially in the top 10 or top 100. In view of these, we hypothesize that the differential representation of nucleotide compositions influences and 453 454 reflects on the pattern of SLim DNAs in ISGs. By checking the co-occurrence status of the flagged 455 SLim DNAs, we find these sequence patterns have a cumulative effect in distinguishing ISGs from 456 non-ISGs especially when the number of cooccurring SLim DNAs reaches around 5320 (Pearson's 457 chi-squared test: p = 7.9E-13, Fig 6B). There are eight (~1.3%) ISGs in the refined set, i.e., dataset S2 458 containing all the flagged 7025 SLim DNAs. Their up-regulation after IFN treatment are generally low with a fold change fluctuating around 2.2. Although some genes such as desmoplakin (DSP) are 459 460 clearly highly up-regulated in endothelial cells isolated from human umbilical cord veins after both IFN- α (fold change = 11.1) and IFN- β (fold change = 13.7) treatments. We also find some non-ISGs 461 and ELGs containing the flagged SLim DNAs, e.g., hemicentin 1 (HMCN1) and tudor domain 462 463 containing 6 (TDRD6), but their frequencies are lower than that in ISGs. Although there is an obvious 464 imbalance between the number of ISGs and non-ISGs in the human genome [9-11], the curve for the 465 background human genes in Fig 6B is still closer to that for ISGs rather than that for non-ISGs. It 466 suggests that some genetic patterns are widely represented in the coding region of human genes, 467 making them potentially up-regulated in the IFN system.





470 Fig 6. The pattern of SLim DNAs in the coding region of the canonical transcripts. (A) Influence of dinucleotide compositions on the flagged SLim DNAs. (B) The co-occurrence status of 471 472 SLim DNAs in different human genes. Ranks in (A) are generated based on the adjust p value given by Pearson's chi-squared tests after Benjamini-Hochberg correction procedure. Detailed results of the 473 hypothesis tests are provided in S4 Data. Here, ISGs and non-ISGs are taken from dataset S2 while 474 the background human genes are from dataset S1. Abbreviations: ISGs, interferon-stimulated genes; 475 476 non-ISGs, human genes not significantly up-regulated by interferons; SLim DNAs, short linear nucleotide motifs; cDNA, complementary DNA. 477

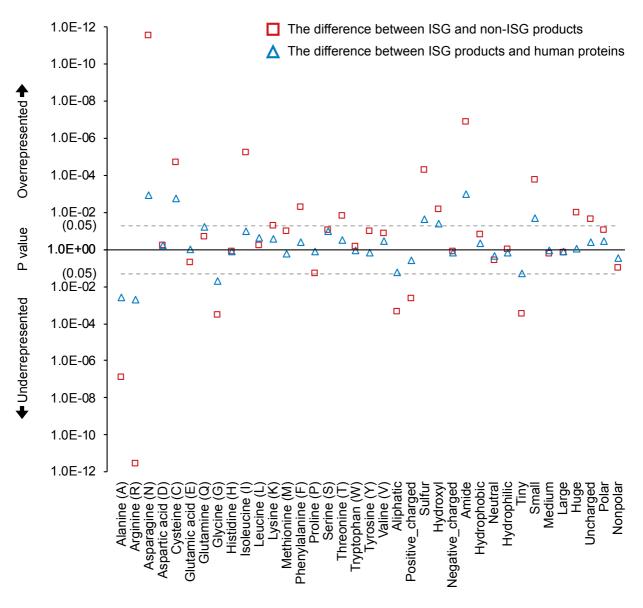
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479 Differences in the protein sequence

We use the protein sequences generated by the canonical transcript to extract features at the proteomic 480 level. In addition to the basic composition of 20 standard amino acids, we consider 17 additional 481 482 features related to physicochemical (e.g., hydropathy and polarity) or geometric properties (e.g., volume) [60, 61]. We find several amino acids that are either enriched or depleted in ISG products 483 484 compared to background human proteins, which are produced by genes in dataset S1 (Fig 7). The differences are even more marked between protein products of ISGs and non-ISGs, highlighting some 485 486 differences that are not observed when comparing ISG products to the background human proteins (e.g., isoleucine composition). The differences observed in the amino acid compositions are at least in 487 part associated with the patterns previously observed in features encoded from genetic coding regions. 488 For example, asparagine (N) shows significant over-representation in ISG products compared to non-489 ISG products or background human proteins (Mann-Whitney U test: p = 2.8E-12 and 1.2E-03, 490 491 respectively). This is expected as there are only two codons, i.e., 'AAT' and 'AAC' coding for amino 492 acid 'N', and dinucleotide 'ApA' shows a remarkable enrichment in the coding region of ISGs. A 493 similar explanation can be given for the relationship between the deficiency of GpG content and amino

494 acid 'G'. The translation of amino acid 'K' is also influenced by ApA composition but is not significant 495 due to the mild representation of dinucleotide 'ApG' in the genetic coding region. Additionally, as 496 previously mentioned, ISGs show a significant depletion in the CpG content, and consequently, the 497 amino acid 'A' and 'R' in ISG products are significantly under-represented. Cysteine (C) is not 498 frequently observed in human proteins but still shows a relatively significant enrichment in ISG 499 products ($M_1 = 2.3\%$, $M_2 = 2.5\%$, p = 1.8E-03).

500 When focusing on the composition of amino acids grouped by physicochemical or geometric properties, we also find some features differentially represented between ISG products and background 501 502 human proteins. The result shows that hydroxyl (amino acid 'S' and 'T'), amide (amino acid 'N' and 'Q'), or sulfur amino acids (amino acid 'C' and 'M') are more abundant in ISG products compared to 503 the background human proteins (Mann-Whitney U test: p = 0.04, 1.0E-03 and 0.02, respectively). 504 Small amino acids (amino acid 'N', 'C', 'T', aspartic acid (D) and proline (P), the volume ranges from 505 506 108.5 to 116.1 cubic angstroms) are more frequently observed in ISG products than in background 507 human proteins ($M_1 = 22.1\%$, $M_2 = 21.7\%$, p = 0.02). The differences become more marked when comparing the representation of these features between ISG and non-ISG products. For example, 508 509 features relating to chemical properties of the side chain (e.g., aliphatic), charge status and geometric 510 volume show differences between proteins produced by ISGs and non-ISGs. Some features, e.g., neutral amino acids that include amino acid 'G', 'P', 'S', 'T', histidine (H) and tyrosine (Y) are not 511 differentially represented between ISG and non-ISG products, but they show obvious association with 512 513 the change of IFN-triggered stimulations (PCC = -0.556, p = 4.1E-08) (S3 Data).



515

Fig 7. Differences in the representation of parametric features encoded from protein sequences. 516 Mann-Whitney U tests are applied for hypothesis testing and the results were provided in the S2 Data. 517 518 Here, ISGs and non-ISGs are taken from dataset S2 while the background human genes are from dataset S1. Aliphatic group: amino acid 'A', 'G', 'I', 'L', 'P' and 'V'; aromatic/huge group: amino 519 520 acid 'F', 'W' and 'Y' (volume > 180 cubic angstroms); sulfur group: amino acid 'C' and 'M'; hydroxyl group: amino acid 'S' and 'T'; acidic/negative charged group: amino acid 'D' and 'E'; amide group: 521 522 amino acid 'N' and 'Q'; positive charged group: amino acid 'R', 'H' and 'K'; hydrophobic group: amino acid 'A', 'C', 'I', 'L', 'M', 'F', 'V', and 'W' that participates to the hydrophobic core of the 523 structural domains [44]; neutral group: amino acid 'G', 'H', 'P', 'S', 'T' and 'Y'; hydrophilic group: 524 amino acid 'R', 'N', 'D', 'Q', 'E' and 'K'; Tiny group: amino acid 'G', 'A' and 'S' (volume < 90 525 cubic angstroms); small group: amino acid 'N', 'D', 'C', 'P' and 'T' (volume ranged from 109 to 116 526 cubic angstroms); medium group: amino acid 'Q', 'E', 'H' and 'V' (volume ranged within 138 to 153 527

cubic angstroms); large group: amino acid 'R', 'I', 'L', 'K' and 'M' (volume ranged within 163 to 173
cubic angstroms); uncharged group: the remaining 15 amino acids except electrically charged ones;
polar group: amino acid 'R', 'H', 'K', 'D', 'E', 'N', 'Q', 'S', 'T' and 'Y'; nonpolar group: the
remaining 10 amino acids except polar ones. Abbreviations: ISG, interferon upregulated genes; nonISG, human genes not significantly up-regulated by interferons.

533

534 We then search the sequence of ISG products against that of non-ISG products to find conserved short linear amino acid motifs (SLim AAs), which may have resulted from strong purifying 535 536 selection [45]. As opposed to the analysis on the genetic sequence, we only obtain 19 enriched 537 sequence patterns with a Pearson's chi-squared p value ranging from 1.5E-04 to 0.02 (Table 2). These SLim AAs are greatly influenced by four polar amino acids: 'K', 'N', 'E' and 'S', and one nonpolar 538 amino acid: 'L'. Some of these SLim AAs, e.g., SLim 'NVT' and 'S-N-E', are clearly over-539 540 represented in ISG products compared to background human proteins and can be used as features to 541 differentiate ISGs from background human genes. The third column in **Table 2** also indicates a number of patterns, e.g., SLim 'S-N-T', that are lacking in non-ISG products and hence may be the reason for 542 543 the lack of up-regulation in the presence of IFNs. Particularly, we noticed that SLim 'KEN' is a 544 destruction motif that can be recognised or targeted by anaphase promoting complex (APC) for 545 polyubiquitination and proteasome-mediated degradation [62, 63]. Results shown in Fig 8A illustrate 546 that the co-occurrence of differentially represented SLim AAs has a cumulative effect in 547 distinguishing ISGs from non-ISGs. This cumulative effect can be achieved with only two random SLim AAs (Pearson's chi-squared test: p = 4.6E-10). The bias in the co-occurring SLim AAs in the 548 549 background human proteins towards a pattern similar to non-ISG products further proves the importance of these 19 SLim AAs. However, their co-occurrence is not associated with the level of 550 IFN-triggered stimulations (PCC = 0.015, p > 0.05) (Fig 8B) 551

552 Regions that lacked stable structures under normal physiological conditions within proteins are 553 termed intrinsically disordered regions (IDRs). They play an important role in cell signalling [64]. 554 Compared with ordered regions, IDRs are usually more accessible and have multiple binding motifs, 555 which can potentially bind to multiple partners [65]. According to the results calculated by IUPred 556 [66], we find 6721, 10510, and 119071 IDRs (IUpred score no less than 0.5) in proteins produced by 557 ISGs, non-ISGs and background human genes respectively. We hypothesize that enriched SLims widely detected in IDRs may be important for human protein-protein interactions or potentially virus 558 559 mimicry [51]. For instance, in ISG products, 29 out of 71 SLim 'S-N-T' are observed in IDRs (~40.8%), 14.9% higher than that in non-ISG products (Table 2). This difference reflects the importance of SLim 560 'S-N-T' for target specificity of IFN-induced protein-protein interactions [9] even if it is not 561

statistically significant. By contrast, the conditional frequency of SLim 'S-N-E' is discovered in IDRs 562 of ISG and non-ISG products are almost the same, indicating that SLim 'S-N-E' may have an 563 564 association with some inherent attributes of ISGs but is less likely to be involved in IFN-induced protein-protein interactions. SLim 'KEN' in IDRs also shows some interesting differences: in non-565 566 ISG products, 41.9% of SLim 'KEN' are observed in IDRs, 14.6% higher than that in ISG products, which provides an effective approach to distinguish ISGs from non-ISGs. When SLim 'KEN' is 567 568 discovered in the ordered region of a protein sequence, statistically, the protein is more likely to be produced by an ISG, but this assumption is reversed if the SLim is located in an IDR (Pearson's chi-569 570 squared tests: p = 0.03). Despite the relatively low conditional frequency of SLim 'KEN' in the IDRs of ISG products, these SLim AAs in the IDR are more likely to be functionally active than those 571 572 falling within ordered globular regions [67].

573

574 Table 2. Representation of SLims in protein sequences and their IDRs.

SLims ^a	Frequency in ISG/non-ISG products ^b	Bias based on frequency in human proteins	P value ^c	Conditional frequency in IDRs of ISG/non-ISG products/background human proteins ^{c,d}	P value ^e
S-N-E	15.2%/8.8%	+47.6%/-14.2%	1.5E-04	39.4%/40.3%/33.4%	0.90
ENE	15.0%/8.8%	+20.9%/-29.0%	2.1E-04	37.6%/42.9%/40.9%	0.49
S-N-T	11.5%/6.2%	+21.9%/-34.2%	2.9E-04	40.8%/25.9%/27.3%	0.08
SVI	15.2%/9.2%	+37.6%/-16.9%	3.6E-04	18.1%/11.3%/15.2%	0.21
L-NL	23.7%/16.4%	+13.2%/-21.9%	4.0E-04	10.2%/11.9%/9.4%	0.65
L-KL	30.8%/22.8%	+18.0%/-12.8%	4.9E-04	12.6%/10.1%/8.7%	0.43
NVT	13.7%/8.5%	+52.1%/-6.1%	1.2E-03	18.8%/21.6%/15.4%	0.66
ISS	20.5%/14.3%	+20.7%/-15.7%	1.7E-03	29.9%/25.6%/23.8%	0.44
LK-K	24.4%/17.7%	+24.5%/-9.3%	1.8E-03	14.6%/20.6%/20.0%	0.16
IK-E	14.2%/9.0%	+34.2%/-14.5%	1.8E-03	26.1%/16.5%/25.8%	0.13
EK-I	15.8%/10.4%	+31.0%/-13.7%	2.0E-03	15.3%/20.9%/16.0%	0.32
K-E-S	16.9%/11.4%	+21.9%/-17.7%	2.4E-03	36.2%/36.0%/39.2%	0.98
LNS	17.7%/12.1%	+21.2%/-17.1%	2.4E-03	20.0%/25.5%/20.5%	0.34
KEN	16.0%/10.6%	+33.5%/-11.0%	2.4E-03	27.3%/41.9%/34.8%	0.03
L-N-L	22.6%/17.5%	+14.3%/-11.4%	1.5E-02	10.7%/11.8%/9.5%	0.78
K-E-L	25.8%/20.5%	+25.7%/-0.3%	1.5E-02	18.8%/17.9%/18.7%	0.84
KLL	27.1%/21.9%	+9.9%/-11.4%	1.9E-02	11.3%/8.4%/9.9%	0.35
LKE	29.8%/24.5%	+18.2%/-3.0%	2.1E-02	19.5%/24.8%/20.1%	0.20
LK-L	33.2%/27.7%	+15.0%/-4.2%	2.1E-02	7.8%/12.4%/10.0%	0.11

^athe dash symbol in SLims indicates one position occupied by a standard amino acid; ^bhere, ISGs and non-ISGs are taken from dataset

576 *S2* while the background human genes use samples in dataset S1; ^cp values in this column use Pearson's chi-squared tests to measure

577 the difference of SLim occurrences in ISG and non-ISG products; ^dfrequencies in this column are calculated based on a condition that

- 578 corresponding SLims are observed in the protein sequence; ^ep values in this column use Pearson's chi-squared tests to measure the
- 579 *difference of SLim occurrences in IDRs of ISG and non-ISG products.*
- 580 Abbreviations: SLims, short linear motifs; ISGs, interferon-stimulated human genes; non-ISGs, human genes not significantly up-
- 581 regulated by interferons; IDRs, intrinsically disordered regions.
- 582

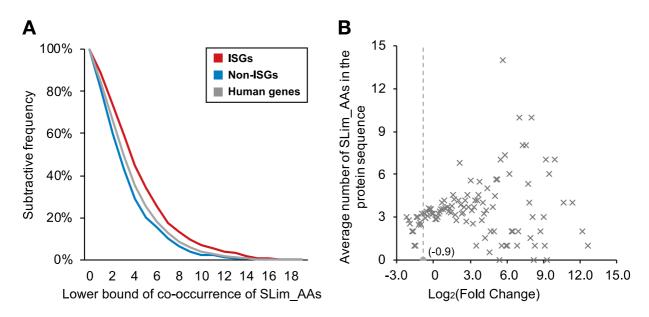


Fig 8. Representation of co-occurred SLim_AAs in our main dataset. (A) The co-occurrence status of SLim_AAs in different classes. (B) Relationship between co-occurrence of the marked SLim_AAs and Log₂(Fold Change) after IFN treatments. Here, ISGs and non-ISGs are taken from dataset S2 while the background human genes are from dataset S1. Points in (B) are located based on the average feature representation of genes with similar expression performance in IFN experiments. Abbreviations: IFN, interferon; ISGs, interferon-stimulated genes; non-ISGs, human genes not significantly up-regulated by interferons; SLim_AAs, short linear amino acid motifs.

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583

592 Differences in network profiles

593 We construct a network with 332,698 experimentally verified interactions among 17603 human 594 proteins (confidence score > 0.63) from the HIPPIE database [47]. 10169 out of 10836 human proteins from our background dataset S1 are included in it. Nodes and edges of this network can be downloaded 595 596 from our webserver at http://isgpre.cvr.gla.ac.uk/. Based on this network, we calculate eight features 597 including the average shortest path, closeness, betweenness, stress, degree, neighbourhood 598 connectivity, clustering coefficient, and topological coefficient. As illustrated in Fig 9, ISG products 599 tend to have higher values of betweenness and stress than background human proteins (Mann-Whitney 600 U test: p = 0.01, and 0.03, respectively), which means they are more likely to locate at key paths 601 connecting different nodes of the PPI network. Some ISG products with high values of betweenness

602 and stress, e.g., tripartite motif containing 25 (TRIM25), can be considered as the shortcut or 603 bottleneck of the network and play important roles in many PPIs including those related to the IFN-604 triggered immune activities [68, 69]. However, the over-representation of betweenness does not mean 605 ISG products are more likely to be or even be close to bottlenecks in the network compared to 606 background human proteins. Some examples shown in Table 3 indicate that ISG products are less-607 connected by top-ranked bottlenecks and hubs in the network than non-ISGs or background human 608 proteins. This conclusion is not influenced by hub/bottleneck protein's performance in the IFN 609 experiments. Comparing proteins produced by ISGs and non-ISGs, we find the former tends to have 610 lower values of clustering coefficient and neighbourhood connectivity (Mann-Whitney U test: p = 0.04, and 7.9E-03, respectively), which means that ISG products and the majority of their interacting 611 612 proteins are less likely to be targeted by lots of proteins. It also supports the finding that ISG products 613 are involved in many shortest paths for nodes but are away from hubs or bottlenecks in the network. 614 To some extents, this location also increases the length of the average shortest paths through ISG products in the network. 615

616 When investigating the association between IFN-induced gene stimulation and network 617 attributes of gene products, we only find the feature of neighbourhood connectivity is under-618 represented as the level of differential expression in the presence of IFN increases (PCC = -0.392, p =619 2.2E-04). This suggests that proteins produced by genes that are highly up-regulated in response to 620 IFNs are further away from hubs in the PPI networks.

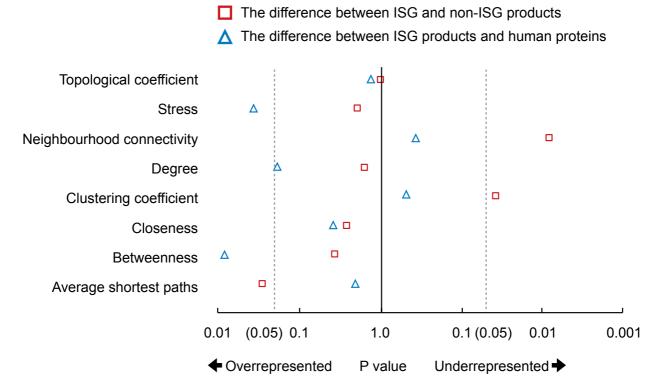




Fig 9. Differential network preferences of proteins coded by different human genes. MannWhitney U tests are applied for hypothesis testing and the results were provided in the S2 Data. Here,
ISGs and non-ISGs are taken from dataset S2 while the background human genes use samples in
dataset S1. Abbreviations: ISGs, interferon-stimulated genes; non-ISGs, human genes not significantly
up-regulated by interferons.

628

Table 3. Interaction profiles of human proteins connecting top hubs/bottlenecks of the HIPPIE network.

Human protein	TRIM25	ELAVL1	ESR2	NTRK1	HNRNPL
Gene class	ISG	IRG	No	ot included in	S1 ^a
Degree (hub rank)	2295 (2nd)	1787 (4th)	2500 (1st)	1976 (3rd)	1681 (5th)
Betweenness (bottleneck rank)	0.067 (1st)	0.048 (4th)	0.051 (3rd)	0.026 (5th)	0.052 (2nd)
Difference in interacting partners	Depleted	P > 0.05	Depleted	Depleted	P > 0.05
(ISG products versus non-ISG) ^b	P = 0.01	1 - 0.03	P = 1.1E-4	P = 5.5E-3	r ~ 0.03
Difference in interacting partners			Depleted	Depleted	
(ISG products versus background	P > 0.05	P > 0.05	•	-	P > 0.05
human proteins) ^b			P = 8.1E-3	P = 0.03	

631 ^aESR2 and NTRK1 are not included in dataset S1 as their expression data were not compiled in the OCISG, HNRNPL is not included

632 in dataset S1 as its canonical isoform was uncertain when the dataset was constructed; ^bdifferences here are measured via Pearson's

633 *chi-squared tests on human proteins interacting with the corresponding hub/bottleneck protein.*

Abbreviations: HIPPIE, Human Integrated Protein-Protein Interaction rEference database; TRIM25, tripartite motif containing 25;
 ELAVL1, embryonic lethal, abnormal vision like RNA binding protein 1; ESR2, estrogen receptor 2; NTRK1, neurotrophic receptor
 tyrosine kinase 1; HNRNPL, heterogeneous nuclear ribonucleoprotein L; ISGs, interferon-stimulated human genes; non-ISGs, human
 genes not significantly stimulated by interferons.

638

639 Features highly associated with the level of IFN stimulations

In this study, we encode a total of 397 parametric and 7046 non-parametric features covering the 640 641 aspects of evolutionary conservation, nucleotide composition, transcription, amino acid composition, and network profiles. In order to find out some key factors that may enhance or suppress the 642 643 stimulation of human genes in the IFN system, we compare the representation of parametric features 644 of human genes with different Log₂(Fold Change) in experiments on human fibroblast cells stimulated with IFNs ($Log_2(Fold Change) > 0$). Two features on the co-occurrence of SLims are not taken into 645 646 consideration here as they are more subjective than the other parametric features and are greatly 647 influenced by the number of focused SLims. Upon the calculation of PCC and the result of hypothesis 648 tests, we find 168 features highly associated with the level of IFN-triggered stimulations (Student ttests: p < 0.05) (S3 Data). Among them, 118 features show a positive correlation (Fig 10) while the 649 remaining 50 features show a negative correlation (Fig 11) with the change of up-regulation in IFN 650 651 experiments. Three features including the number of ORF, alternative splicing results, and exons in 652 the canonical transcripts are encoded from characteristics of the gene. Two features, i.e., average 653 dN/dS and average dS within human paralogues are encoded based on the sequence alignment results 654 from the Ensembl [31]. 140 and 22 features are encoded from the genetic sequence and proteomic sequence respectively. The last one, i.e., neighbourhood connectivity is obtained from the network 655 656 profile of a human interactome constructed based on experimentally verified data in the HIPPIE [47].

657 In the positive group, the feature of 'large' amino acid compositions that includes the 658 composition of five amino acids with geometric volume ranged from 163 to 173 cubic angstroms is 659 ranked the first for having the highest PCC at 0.593 (Student t-test: p = 2.8E-09). This feature was not 660 highlighted previously as it did not have a strong signal for discriminating ISGs from non-ISGs (Mann-661 Whitney U test: p > 0.05). Similar phenomena can be found on 87 features (64 positive correlations and 23 negative correlations) such as AG-content, ApG content and previously mentioned neutral 662 663 amino acid composition. The strongest negative correlation between feature representation and IFNtriggered stimulations is found on the feature of 4-mer 'CGCG' (PCC = -0.593, p = 3.2E-09). This 664 665 feature also shows a differential distribution between ISGs and non-ISGs, which provides useful 666 information to distinguish ISGs from non-ISGs. Similar phenomena can be found on 81 features (54 667 positive correlations and 27 negative correlations) such as previously mentioned GC-content, CpG content and the usage of codon 'GCG' coding for amino acid 'A'. Collectively, the biased effect on 668

the basic composition of nucleotides influences the correlation between the representation of sequencebased features and IFN-triggered stimulations. Human genes that show over-representation in more features listed in **Fig 10** are expected to be more up-regulated after type-I IFN treatments at least in human fibroblast cells. Meanwhile, the under-representation of features listed in **Fig 11** will also contribute to the level of up-regulation in the IFN experiments.



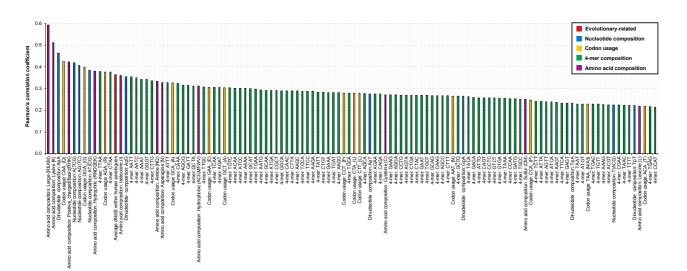




Fig 10. 118 features positively associated with higher up-regulation after IFN treatments in
human fibroblast cells (Student t-tests: p < 0.05). Detailed results about PCC and hypothesis tests
are provided in S3 Data. Abbreviations: IFNs, interferons; PCC, Pearson's correlation coefficient; dN,
non-synonymous substitutions per non-synonymous site; dS synonymous substitutions per
synonymous site.

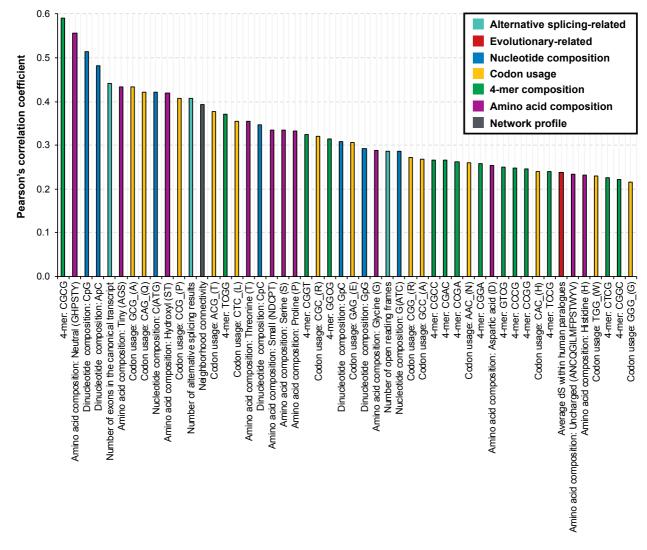


Fig 11. 50 features negatively associated with higher up-regulation after IFN treatments in
human fibroblast cells (Student t-tests: p < 0.05). Detailed results about PCC and hypothesis tests
are provided in S3 Data. Abbreviations: IFNs, interferons; PCC, Pearson's correlation coefficient; dS,
synonymous substitutions per synonymous site.

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682

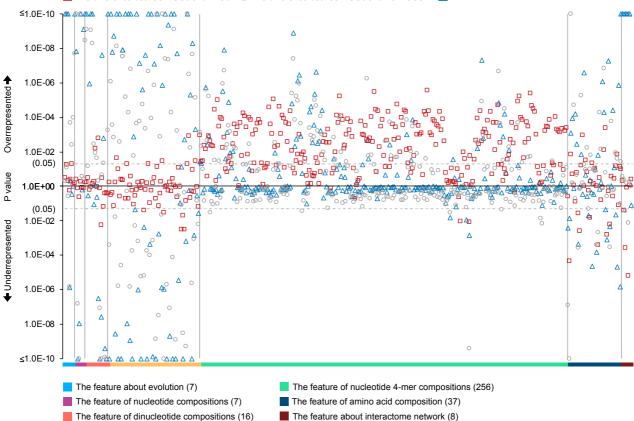
Difference in feature representation of interferon-repressed genes and genes with low levels of expression

We group human genes into two classes based on their response to the type I IFNs in human fibroblast cells. Genes significantly up-regulated in the IFN experiments are included in the ISG class, while those that do not are put into the non-ISG class. However, there is also another group of genes downregulated in the presence of IFNs, i.e., IRGs. They are labelled as non-ISGs, but contain unique patterns that constitute an important aspect of the IFN response [8]. Some of these IRGs are not upregulated in any known type I IFN systems, thus have been placed in a refined non-ISGs class for analyses and predictions. Additionally, there are a number of genes that have insufficient levels of

expression in the experiments to determine a fold change. Here, we use the previously defined featuresto compare ISG with IRGs and ELGs.

699 As shown in **Fig 12**, IRGs are differentially represented to a lower extent in the majority of 700 nucleotide 4-mer compositions than ISGs, which indicates the deficiency of some nucleotide sequence 701 patterns in the coding region of IRGs. Note that, many nucleotide 4-mer composition features are more 702 suppressed in ISGs than non-ISGs although the differences are small. The biased representation of 703 these features in IRGs suggests that IRGs have characteristics similar to ISGs rather than non-ISGs. 704 Additionally, there are a very limited number of features relating to evolutionary conservation, 705 nucleotide compositions or codon usages showing obvious differences between ISGs and IRGs, but 706 many of them are differentially represented when comparing ISGs with non-ISGs. Therefore, 707 involving IRGs in the class of non-ISGs will increase the risk for machine learning models to produce 708 more false positives. However, there are some informative features differentiating IRGs from ISGs. 709 For example, comparing with ISGs, IRGs are more enriched in CpGs (Mann-Whitney U test: p = 5.6E-03), which is also mentioned in [70]. IRGs tend to have higher closeness centrality and neighbourhood 710 connectivity than ISGs (Mann-Whitney U test: p = 0.04 and 6.4E-06 respectively), suggesting IRGs 711 712 tend to be closer to the centre of the human PPI network and connected to key proteins with many 713 interaction partners. Differences in some amino acid composition features between ISGs and IRGs can 714 also be observed. Therefore, good predictability is still expected when using features extracted from 715 proteins sequences.

716 **Fig 12** illustrates 161 features showing significant differences (Mann-Whitney U tests: p < 0.05) in the representation of ISGs and ELGs. An estimated 82% of these features are also differentially 717 718 represented between ISGs and non-ISGs. 79% of these significant features show similar over-719 representation or under-representation in two comparisons, i.e., ISGs versus ELGs and ISGs versus 720 non-ISGs. These ratios indicate that the majority of ELGs are less likely to be ISGs based on their 721 feature profile as well as their low expression levels in cells induced with IFNs. Network analyses 722 show that ELG products tend to have lower values of all calculated network features with the exception 723 of topological coefficient than ISG products, suggesting that they are less connected by other human 724 proteins in the human PPI network. Particularly, their abnormal representation on the feature of 725 average shortest paths indicating that some ELGs may still have high connectivity in the human PPI 726 network, e.g., vascular cell adhesion molecule 1 (VCAM1) and ubiquitin D (UBD).



The difference between ISGs and IRGs O The difference between ISGs and non-ISGs 🛆 The difference between ISGs and ELGs

728

Fig 12. Differential expressions of parametric features between different genes and their coded proteins. Mann-Whitney U tests are applied for hypothesis testing and the results were provided in the S2 Data. Here, ISGs and non-ISGs are taken from dataset S2; IRGs and ELGs are taken from dataset S1; the background human genes are from dataset S1. Abbreviations: ISGs, interferonupregulated genes; IRGs, interferon-repressed genes; non-ISGs, human genes not significantly upregulated by interferons; ELGs, expression-limited human genes in IFN experiments.

735

736 Implementation with machine learning framework

The feature of codon usages (64)

737 In this study, we encode 397 parametric and 7046 non-parametric features for the analyses. As an excess of features will greatly increase the dimension of feature spaces and complicate the 738 739 classification task for SVM [53], we limit the number of SLim DNAs to the top 100 based on the 740 adjusted p-value and we expect these to be sufficient to provide a picture of SLim patterns in the coding region of the canonical transcript. Accordingly, features measuring the co-occurrence status of 741 742 multiple SLim DNAs are recalculated based on the selected 100 SLim DNAs. To reduce the impact 743 of noisy data toward classifications, we only use the refined ISGs and non-ISGs, i.e., dataset S2 in 744 machine learning.

745 Measured by SN, SP, MCC and AUC, the initial prediction results shown in Table 4 indicate 746 that proteome-based features, including those deciphered from protein sequences and the human 747 interactome, perform much better than genome-based features presumably due to overfitting of the 748 model [71]. Using parametric features that take advantage of both genetic and proteomic aspects shows 749 a good improvement in tests. The non-parametric features used in this study give a binary statement 750 for the occurrence of SLims in genetic and proteomic sequences but seem not to perform well and 751 disrupt the model when they are combined with parametric features. The results shown in the previous 752 analyses also indicate that there are a considerable number of disruptive features hidden in the set (Fig. 753 5, Fig 7, and Fig 9). The similar attributes of ISGs and IRGs (Fig. 12) lead to lots of noisy data biasing 754 the classifiers. This situation is not ameliorated and becomes more difficult when using other machine 755 learning algorithms such as k-nearest neighbors (KNN), decision tree (DT), random forest (RF) (Table 756 4) [72, 73]. As some genes respond to IFNs in a cell-specific manner [2], it is hard to produce 757 predictions unless we detect key discriminating features, which are robust to the change of biological 758 environment.

759 Considering these drawbacks, we design an AUC-driven subtractive iteration algorithm (ASI) 760 (Fig 2) to remove as many disruptive features as possible (Fig 13A). Pre-processing using the ASI 761 algorithm shows that there are at least 28% of bad features disrupting the prediction model. They 762 include 34% of features on codon usages and 50% of SLim features, thus, explaining the poor 763 performance of the model trained with non-parametric features (Table 4). However, the loss of some 764 of the individual nucleotide 4-mer feature seems not to influence the performance of the classifier at 765 this stage, but the similarities between IRGs and ISGs (Fig 12) particularly in these 4-mer features is 766 a cause for concern when the model is used to predict new data especially unknown IRGs. When using 767 the ASI algorithm, the number of disrupting features does not stabilise and until the algorithm reaches 768 the 11-th iterations when the number of disrupting features becomes zero. The remaining 74 features 769 constitute our optimum feature set for the prediction of ISGs (Table 5). Among them, 14 and 9 features 770 have positive and negative correlations with the level of up-regulation in IFN experiments. During the 771 procedure, the AUC keeps increasing and reaches 0.7479 after 11 iterations. The MCC also shows an overall improvement although it fluctuates slightly during the last few iterations. By degressively 772 773 ranking the probability calculated by the prediction model, we found 68.1% of the 496 genes (equal to 774 the number of ISGs in the training dataset) are successfully predicted as ISGs. Fig 13B illustrates the distribution of probability scores generated by the ASI-optimised model for human genes with 775 776 different expressions in IFN experiments. Human genes with higher up-regulation in IFN experiments 777 tend to obtain higher probability score from our optimised machine learning model (PCC = 0.243, p =4.2E-10). However, there are also some ISGs incorrectly predicted by our model even though they are 778

779 highly up-regulated, e.g., basic leucine zipper ATF-like transcription factor 2 (BATF2, probability score = 0.34). The model produces 33 ISGs with a probability score higher than 0.8 but such figure 780 781 for non-ISGs reduces to six, including one IRG, i.e., tripartite motif containing 59 (TRIM59). The highest probability score within non-ISGs was found on ubiquitin conjugating enzyme E2 R2 782 (UBE2R2, probability score = 0.88). It contains many features similar to ISGs but is not differentially 783 expressed in the presence of IFN in fibroblast cells [8]. The lowest probability score within ISGs is 784 785 found on cap methyltransferase 1 (CMTR1, probability score = 0.12) due to the weak signal from its 786 features. For example, CMTR1 protein does not contain any ISG-favoured SLim AA listed in Table 787 2. The influence of IRGs on the prediction is reflected in the training dataset but is not significant. Compared with human genes not differentially expressed in the IFN experiments, i.e., non-ISGs but 788 789 not IRGs, there are slightly more IRGs unsuccessfully classified when using a threshold of 0.549 790 (Pearson's chi-squared tests: $M_1 = 27\%$, $M_2 = 24\%$, p > 0.05).

791

Table 4. The performance of different feature combinations on the training dataset S2' via fivefold cross validation.

Mathad	Features	Number	Threshold-dependent			Threshold-independent			
Method			Score range	Threshold ^a	SN	SP	MCC	SN_496 ^b	AUC
SVM	Genetic	452	0.359~0.623	0.402	0.769	0.355	0.169	0.579	0.6058
SVM	Proteomic	66	0.261~0.730	0.560	0.425	0.778	0.218	0.605	0.6360
SVM	Parametric	397	0.305~0.760	0.529	0.595	0.665	0.261	0.621	0.6573
SVM	Non-parametric	121	0.368~0.605	0.487	0.653	0.504	0.159	0.573	0.5736
SVM	All	518	0.328~0.743	0.542	0.567	0.681	0.250	0.615	0.6509
KNN℃	All	518	0.100~0.900	0.500~0.550	0.593	0.621	0.214	0.607 ± 0.014	0.6305
DT	Partial	182 ^d	0 or 1	N/A	0.546	0.548	0.095	0.546	N/A
RF ^e	Random	Random	0.080~0.900	0.380~0.579	0.590±0.168	0.617±0.183	0.219±0.019	0.600 ± 0.007	0.6413±0.008
SVM	Optimum	74	0.098~0.918	0.549	0.623	0.750	0.376	0.681	0.7479

^athis threshold is provided by maximum the value of MCC; ^bthis sensitivity is measured among tested genes with the top 496 prediction

795 probabilities; ck-value here is set as the square root of the size of the training samples in five-fold cross validation, i.e., k = 20 [74];

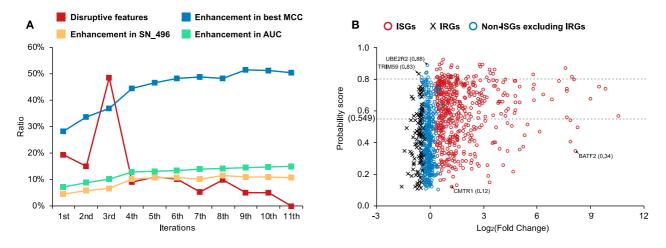
^d182 out of the 518 features (S5 Data) are used for decisions during this modelling procedure as the rest ones are not helpful to better

797 split the dataset for lower system entropy [75]; "this random forest algorithm uses 50 random grown trees and the modelling and

798 *validation procedures are repeated for 10 times.*

799 Abbreviations: SVM, support vector machine; KNN, k-nearest neighbors; DT, decision tree; RF, random forest; SN, sensitivity; SP,

specificity; MCC, Matthews correlation coefficient; AUC, area under the receiver operating characteristic curve.



802

803 Fig 13. The optimisation on the machine learning model with the ASI algorithm. (A) shows the change of the prediction models based on the one generated with all 518 features (disruptive feature 804 vector = 144, best MCC = 0.250, SN 496 = 0.615, and AUC = 0.6509). (B) shows the distribution of 805 probability scores generated by the ASI-optimised model for human genes with different expression 806 807 levels in the IFN system. ISGs and non-ISGs shown in (B) are randomly selected with an undersampling strategy on dataset S2. The list of gene names can be found in S1 Data. Abbreviations: 808 809 SN, sensitivity; SN 496, sensitivity of predicted genes with the top 496 probability scores, MCC, Matthews correlation coefficient; AUC, area under the receiver operating characteristic curve; ASI, 810 811 AUC-driven subtractive iteration algorithm; IFN, interferon, ISGs, interferons-stimulated genes; IRGs, interferon-repressed genes; non-ISGs, interferons-non-up-regulated genes; UBE2R2, ubiquitin 812 conjugating enzyme E2 R2; TRIM59, tripartite motif containing 59; CMTR1, cap methyltransferase 813 1; BATF2, basic leucine zipper ATF-like transcription factor 2. 814

815

816 Table 5. The optimum 74 features contributing to the prediction of ISGs.

e dS within human paralogues ^p Codon usage: ATT (I) ^p	Codon usage: TAT (Y) ^p
2	c
2	c
$C = 1 + \cdots + C \wedge C (\mathbf{II})^{\mathbf{P}}$	
Codon usage: CAC (H) ¹⁻	Codon usage: TGC $(C)^{P}$
Codon usage: CGA (R) ^p	Codon usage: CGG (R) ^{p-}
Dinucleotide CpT composition ^P	DNA 4-mer CGCG composition ^{P-}
DNA 4-mer TCGT composition ^P	DNA 4-mer GATG composition ^{P+}
DNA 4-mer TGAG composition ^{P+}	DNA 4-mer GACC composition ^P
DNA 4-mer TGTA composition ^P	DNA 4-mer GACG composition ^P
	Dinucleotide CpT composition ^P DNA 4-mer TCGT composition ^P DNA 4-mer TGAG composition ^{P+}

DNA 4-mer ATGT composition ^{P+}	DNA 4-mer CACG composition ^P	DNA 4-mer GAGT composition ^{P+}					
DNA 4-mer ACAC composition ^P	DNA 4-mer CTCC composition ^P	DNA 4-mer GTAC composition ^P					
DNA 4-mer ACTA composition ^P	DNA 4-mer CCAC composition ^P	DNA 4-mer GTGT composition ^P					
DNA 4-mer ACTC composition ^P	DNA 4-mer CCTA composition ^P	DNA 4-mer GTGC composition ^P					
DNA 4-mer ACCG composition ^P	DNA 4-mer CCTC composition ^{P+}	DNA 4-mer GTGG composition ^p					
DNA 4-mer TATG composition ^P	DNA 4-mer CCGT composition ^P	DNA 4-mer GCAA composition ^{P+}					
DNA 4-mer TTCT composition ^P	DNA 4-mer CGAG composition ^p	DNA 4-mer GCTC composition ^P					
DNA 4-mer TTCG composition ^P	DNA 4-mer CGTG composition ^P	DNA 4-mer GCCT composition ^P					
DNA 4-mer TTGA composition ^P	DNA 4-mer CGCA composition ^P	DNA 4-mer GGGG composition ^P					
DNA 4-mer TCAT composition ^P							
Proteomic composition features (9)							
Arginine composition ^P , cysteine composition ^{P+} , methionine composition ^P ;							
Basic amino acid composition (R/H/K) ^{P+} Sulfur amino acid composition (C&M) ^{P+}							
Hydroxyl amino acid composition (S&	T) ^p - Small amino acid	composition (N/D/C/P/T) ^{P-}					
Large amino acid composition (R/I/L/k	$(M)^{P+}$						
Uncharged amino acid composition (A/N/C/Q/G/I/L/M/F/P/S/T/W/Y/V) ^{P-}							
Features about human interactome network (3)							
Shortest paths ^{P+} , betweenness ^P , neighborhood connectivity ^{P-} .							
Motif features (8)							
SLim_DNA ATA[AG][TG] ^N	SLim_DNA TAT[AT]T ^N	SLim_DNA T[AT]AAA ^N					
SLim_DNA [ATG]TGTA ^N	SLim_AA S[A-Z]N[A-Z]E ^N	SLim_AA ENE ^N					
SLim_AA SVI ^N	Co-occurence of SLim_AAs ^P						

817 $\overline{}^{p}$ parametric features; $\overline{}^{n}$ non-parametric features; $\overline{}^{+}$ means features are positively associated with the level of up-regulation in IFN 818 experiments (p < 0.05); $\overline{}^{-}$ means features are negatively associated with the level of up-regulation in IFN experiments (p < 0.05).

819 Abbreviations: dS, synonymous substitutions per synonymous site; SLim_DNAs, short linear nucleotide motifs; SLim_AAs, short

- 820 linear amino acid motifs.
- 821

822 Review of different testing datasets

In this study, we train and optimise a SVM model from our training dataset, i.e., S2', and prepare seven testing datasets to assess the generalisation capability of our model under different conditions. The S2'' testing dataset is a subset of dataset S2. The prediction performance on this testing dataset is close to that in the training stage with an AUC of 0.7455 (**Fig. 14**). The best MCC value is achieved when setting the judgement threshold to 0.438, which means that the prediction model is sensitive to signals related to ISGs. In this case, it produces predictions with high sensitivity but inevitably produces many false positives, especially within the IRG class.

In the S3 testing dataset, we use 695 ISGs with low confidence. The overall accuracy only reaches 44.0% when using a judgement threshold of 0.549, about 18% lower than SN under the same threshold in the training dataset S2' (**Table 4**). This is expected as they have some inherent attributes

that make them slightly up-regulated, silent or even repressed (e.g., become non-ISGs in other IFN
systems) in response to some IFN-triggered signalling. On the other hand, on the S3 testing dataset,
our machine learning model produces 38 (5.5%) ISGs with a probability score higher than 0.8. This
number is also lower than on the training dataset S2', which further indicates the relatively low
confidence for ISGs included in testing dataset S3.

838 The S4 testing dataset is constructed to illustrate our hypothesis that there are some patterns 839 shared among ISGs and IRGs at least in the type I IFN system in human fibroblast cells. On this testing 840 dataset, the prediction accuracy is 60.2% under the judgement threshold of 0.549, about 15% lower 841 than the SP under the same threshold in the training dataset S2' (Table 4). Leucine rich repeat 842 containing 2 (LRRC2), carbohydrate sulfotransferase 10 (CHST10) and eukaryotic translation 843 elongation factor 1 epsilon 1 (EEF1E1) show strong signals of being ISGs (probability score > 0.9). 844 In total, there are 56 (5.6%) IRGs being incorrectly predicted as ISGs with probability scores higher 845 than 0.8. This high score is found in an estimated 8.1% of ISGs but is only observed in 1.2% of human genes not differentially expressed in the IFN experiments (Fig 13B). This result indicates that there is 846 847 a considerable number of IRGs incorrectly predicted as ISGs in S4 testing dataset due to close distance to the ISGs in the high-dimensional feature space and this may be the case for any of the datasets. It 848 849 also supports our hypothesis about the shared patterns from the machine learning aspect and is 850 consistent with the results shown in Fig 12.

851 The next three testing datasets, i.e., S5, S6, and S7 are collected from the Interferome database 852 [21] to test the applicability of the machine learning model across different IFN types. The ISGs in 853 these testing datasets are all highly up-regulated ($Log_2(Fold Change) > 1.0$) in the corresponding IFN 854 systems while all the non-ISGs are not up-regulated after corresponding IFN treatments (Log₂(Fold 855 Change) < 0). The results shown in **Fig 14** reveals that ISGs triggered by type I or III IFN signalling 856 can still be predicted by our machine learning model, but the performance is limited (AUC = 0.6677857 and 0.6754 respectively). However, it is almost impossible to make normal predictions with the current 858 feature space for human genes up-regulated by type II IFNs (AUC = 0.5532).

859 The S8 testing dataset consists of 2217 human genes that are insufficiently expressed in the experiments in human fibroblast cells [8]. The results show that there are around 41.2% ELGs being 860 861 predicted as ISGs when using a judgement threshold of 0.549. This is approximately 21% lower than 862 the SN under the same threshold in the training dataset S2' (**Table 4**). This suggests that there are more 863 non-ISGs than ISGs in this dataset, which is consistent with the results of Fig 12. We find 10 ELGs 864 with probability scores higher than 0.900: CD48 molecule, CD53 molecule, lipocalin 2 (LCN2), 865 uncoupling protein 1 (UCP1), coiled-coil domain containing 68 (CCDC68), potassium calciumactivated channel subfamily M regulatory beta subunit 2 (KCNMB2), potassium voltage-gated channel 866

867 interacting protein 4 (KCNIP4), zinc finger HIT-type containing 3 (ZNHIT3), serpin family B member 4 (SERPINB4), and fibrinogen silencer binding protein (FSBP). By retrieving data from the Genotype-868 869 Tissue Expression project [76], we find the expression of these ELGs are generally limited with the exception of CD53 and ZNHIT3 (Fig 15). The expression data of CD53 is not included in the OCISG 870 871 database [8] and are also limited in the Interferome database [21]. It only shows slight up-regulation after type I treatments in blood, liver, and brain but there is currently no record of its expression level 872 873 in the presence of type I IFNs in human fibroblast cells. ZNHIT3 is another well-expressed gene lacking information in the OCISG. In the Interferome databases, we find that ZNHIT3 can be up-874 875 regulated after IFN treatments in some fibroblast cells on skin. As for the remaining eight ELGs, despite their limited expression in human fibroblast cells, their features suggest that they are very likely 876 877 to be IFN-stimulated in a currently untested cell type.

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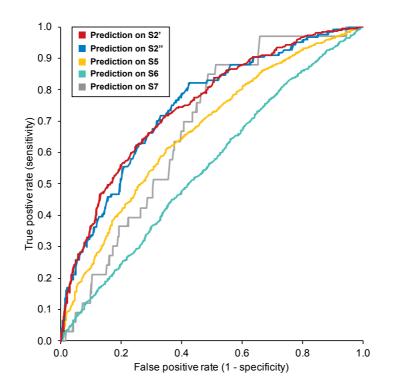


Fig 14. The performance of our optimised model on different datasets. S2' is the training dataset used in this study. It randomly includes 496 ISGs and an equal number of non-ISGs from dataset S2 that contains ISGs/non-ISGs with high confidence (**Table 1**). Evaluation on this dataset in (A) is processed via five-fold cross validation. S2'' is the testing dataset constructed with the remaining human genes in dataset S2. S5, S6, and S7 are collected from the Interferome database [21], including human genes with different responses to the type I, II and III IFNs, respectively. The label and usage of these human genes are provided in **S1 Data**. Abbreviations: AUC, area under the receiver operating

- 887 characteristic curve; ISGs, interferon-stimulated genes; non-ISGs, human genes not significantly up-
- regulated by interferons.
- 889

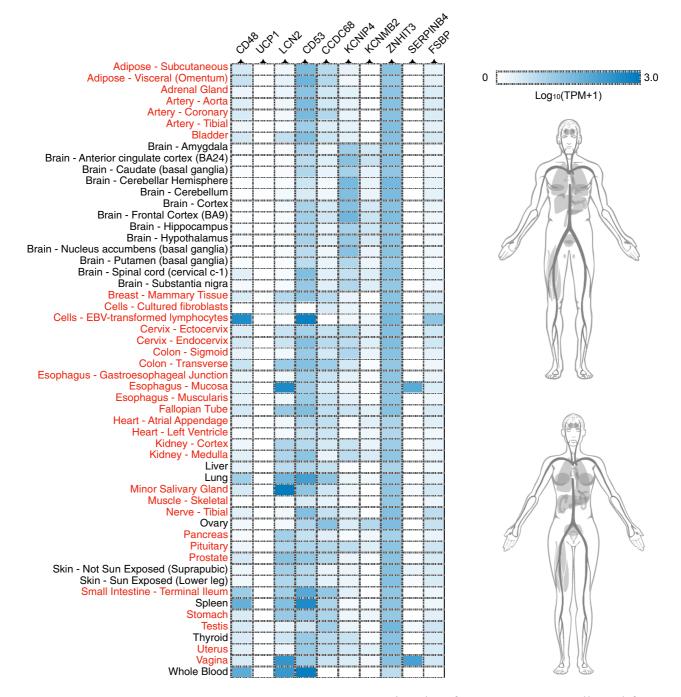




Fig 15. Expression of ELGs in different tissues. Expression data for ten ELGs are collected from the Genotype-Tissue Expression project (<u>https://gtexportal.org/</u>) [76]. The tissues in red are not included in the Interferome database [21]. White boxes in the heatmap indicate that there is no data available for genes in the corresponding tissues. The overall expression level of these ten ELGs are reflected via human perspective photo retrieved from Expression Atlas (https://www.ebi.ac.uk/gxa) [77]. Abbreviations: ELGs, human genes with limited expression in interferon experiments; TPM,

transcripts per million; BA, Brodmann area; EBV, Epstein-Barr virus; UCP1, uncoupling protein 1;
LCN2, lipocalin 2; CCDC68, coiled-coil domain containing 68; KCNIP4, potassium voltage-gated
channel interacting protein 4; KCNMB2, potassium calcium-activated channel subfamily M regulatory
beta subunit 2; ZNHIT3, zinc finger HIT-type containing 3; SERPINB4, serpin family B member 4;

- 901 FSBP, fibrinogen silencer binding protein.
- 902

903 904 **Discussion**

905 In this study, we investigate the characteristics that influence the expression of human genes in type I 906 IFN experiments. We compare ISGs and non-ISGs through multiple procedures to guarantee strong 907 signals for ISGs and to avoid cell-specific influences that result in the lack of ISGs expression in 908 certain cell types [2]. Even some highly up-regulated ISGs can become down-regulated when the 909 biological conditions change, exemplified by the performance of C-X-C motif chemokine ligand 10 910 (CXCL10) on liver biopsies after IFN- α treatment. This refinement is necessary as the representation 911 of features between ISGs and the background human genes show that many non-ISGs especially IRGs 912 have similar feature patterns to ISGs (Fig 4-7, Fig 12).

Generally, ISGs are less evolutionarily conserved with more human paralogues than non-ISGs. 913 914 They have specific nucleotide patterns exemplified by the depletion of GC-content and have a unique 915 codon usage preference in coding proteins. There are a number of SLim DNAs widely observed in 916 the cDNA of ISGs which are relatively rare in non-ISGs (S4 Data). Likewise, there are also many 917 SLim AAs highlighted in the sequences of ISG products that are absent or rare in non-ISGs (Table 918 2). In the human PPI network, ISG products tend to have higher betweenness than background human 919 protein, indicating their more frequent interruption of the shortest path (geodesic distance) between 920 different nodes. Abnormal expression or knockout of these proteins will increase the diameter of the 921 network and may lead to some lethal consequences that are not tolerated in signalling pathways [78-922 80]. These ISG specific patterns may be the result of the evolution of the innate immune system in 923 vertebrates and could be adaptations to the cellular environment induced by interferon following a 924 pathogenic infection [81]. It is also possible that some of the particular SLim DNAs and SLim AAs 925 may be important functionally as the cell changes from non-infected to infected. Experimental 926 evidence will be necessary to investigate this.

927 Some inherent properties of ISGs facilitate or elevate their expression after IFN treatments but 928 may also be used by viruses to escape from IFN-mediated antiviral response [19]. For instance, the 929 representation of dN shows a more significant difference than that of dS within human paralogues

930 Higher dN/dS ratio positively correlated with gene up-regulation following IFN treatments, but this 931 means the gene is less conserved with more non-synonymous or nonsense mutations, which can often 932 be associated to inherited diseases and cancer [82]. It will also facilitate the virus to interfere with IFN 933 signalling through the JAK-STAT pathway and inactivate downstream cellular factors involved in IFN 934 signal transductions [19]. Arginine is under-represented in ISG products compared to non-ISG products As arginine is essential for the normal proliferation and maturation of human T cells [83], 935 936 such depletion in ISG products may leave a risk of inhibiting T-cell function and potentially increased 937 susceptibility to infections [84]. On the other hand, the special pattern of ISGs also promotes the 938 representation of some features even if they are not well represented in nature, exemplified by the 939 higher cysteine composition in ISGs. We hypothesize that it may be helpful to activate T-cell to 940 regulate protein synthesis, proliferation and secretion of immunoregulatory cytokines [85, 86]. For 941 example, there are also some features, e.g., methionine composition, not differentially represented 942 between ISGs and non-ISGs that play important roles in IFN-mediated immune responses. There is evidence for the methionine content playing a role in the biosynthesis of S-Adenosylmethionine 943 944 (SAM), which can improve interferon signalling in cell culture [87, 88].

945 As previously mentioned, there are similar patterns between the feature representation of ISGs 946 and IRGs, which leads to the unclear boundary for ISGs and non-ISGs in the feature space. We find 947 significant differences on the representation of features on evolutionary conservation (Fig 4) between 948 ISGs and non-ISGs, but these become non-significant when comparing ISGs with IRGs. Similar 949 phenomena are observed on many features deciphered from the canonical transcript, e.g., dinucleotide 950 composition and codon usage features. We suggest that IRGs can be viewed as additional ISGs as they 951 also regulate the activity of human genes in response to IFNs, only negatively. On the other hand, 952 despite so many similarities between ISGs and IRGs, the separate classification of these genes is still 953 possible. 4-mer compositions can be considered as the key features as most of them are differentially 954 represented between ISGs and IRGs (Fig 12). Using proteomic features can also help to differentiate 955 ISGs from IRGs but is not as good as using 4-mer features.

956 In the machine learning framework, we develop the ASI algorithm to remove disruptive 957 features but keep features not influencing the prediction performance when being removed 958 individually during iterations. Features may have synergistic effects thus the elimination of each 959 feature leaves a different impact on the remaining ones even if these are individually useless for the 960 improvement of the classifier. In this case, keeping as many useful features as possible seems to be a 961 good option but will greatly increase the dimension of the feature space and the risk of overfitting [71]. 962 By contrast, our ASI algorithm avoids such a risk and keeps the synergistic effect of different features 963 through iterations.

964 In the prediction task, we find some previously labelled non-ISGs with very high probability 965 scores, suggesting that they have many inherent properties enabling them to be stimulated after IFN 966 treatments. Some of them, for example UBE2R2 has been shown to be significantly up-regulated after 967 IFN- α treatment [89]. The non-ISG label was assigned because the relevant expression data in the presence of IFNs are not included in the OCISG [8] and the Interferome databases [21]. We also find 968 969 ten ELGs with very high probability scores (> 0.9). Literature searches on these genes indicate that 970 they are likely to be involved in the innate immune response and that their responses may be limited 971 to certain tissues or cell types for which there is limited expression data in the Interferome database 972 [21]. For example, LCN2 has been shown to mediate an innate immune response to bacterial infections 973 by sequestering iron [90] and is induced in the central nervous system of mice infected with West Nile 974 virus encephalitis [91]. CD48 was shown to increase in levels as a result of human IFN- α/β and human 975 IFN- γ and these upregulate the expression of CD48 proteins at the surface of various cultured human 976 cell lines [92]. Interestingly, CD48 is also the target of immune evasion by viruses [93] and has been 977 captured in the genome of cytomegalovirus and undergone duplication [94]. Evidence for other ELGs is harder to assess, particularly those for which expression is absent in a range of tissues (e.g., UCP1 978 979 in Fig 15). UCP1 is a mitochondrial carrier protein expressed in brown adipose tissue (BAT) 980 responsible for non-shivering thermogenesis [95]. It is possible that UCP1 is stimulated directly or 981 indirectly by IFN in BAT resulting in the defended elevation of body temperature in response to 982 infection. In this in silico study, we provide predictions for genes that show no basal expression in 983 human fibroblasts but their stimulation by IFN and their role in immune defense requires testing 984 experimentally.

The model developed in this study based on experimental data from human fibroblast cells stimulated by IFN can be generalised to type III systems, presumably because activations of type I and III ISGs are both controlled by ISRE [9] and aim to regulate host immune response [4-6]. However, our model cannot be applied to the prediction of type II ISGs (AUC = 0.5532), not only because of their different control elements, but because of their different roles in human immune activities (**Fig 1**) [10].

In summary, our analyses highlight some key sequence-based features that are helpful to distinguish ISGs from non-ISGs or IRGs. Our machine learning model is able to produce a list of putative ISGs to support IFN-related research. As knowledge of ISG functions continue to be elucidated by experimentalists, the *in-silico* approach applied here could in future be extended to classify the different functions of ISGs.

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998	Supporting information
999	S1 Data. Basic information about human genes used in this study.
1000	(TXT)
1001	S2 Data. The result of Mann-Whitney U tests for parametric features.
1002	(TXT)
1003	S3 Data. Association between feature representations and IFN stimulations.
1004	(TXT)
1005	S4 Data. The result of Pearson's chi-squared tests for sequence motifs.
1006	(TXT)
1007	S5 Data. Decision trees generated during five-cross validation on the training dataset S2'.
1008	(TXT)
1009	
1010	
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1015	
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1018	Data curation: Haiting Chai.
1019	Formal analysis: Haiting Chai.
1020	Funding acquisition: Haiting Chai, David L. Robertson.
1021	Webserver: Haiting Chai.
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1023	Writing-original draft: Haiting Chai.
1024	Writing-review & editing: David L. Robertson, Joseph Hughes, Quan Gu, Haiting Chai.
1025	
1026	
1027	Data availability statement
1028	The implemented web server and data were freely accessible at http://isgpre.cvr.gla.ac.uk/.
1029	
1030	

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1036 Competing interests

- 1037 The authors have declared that no competing interests exist.
- 1038
- 1039

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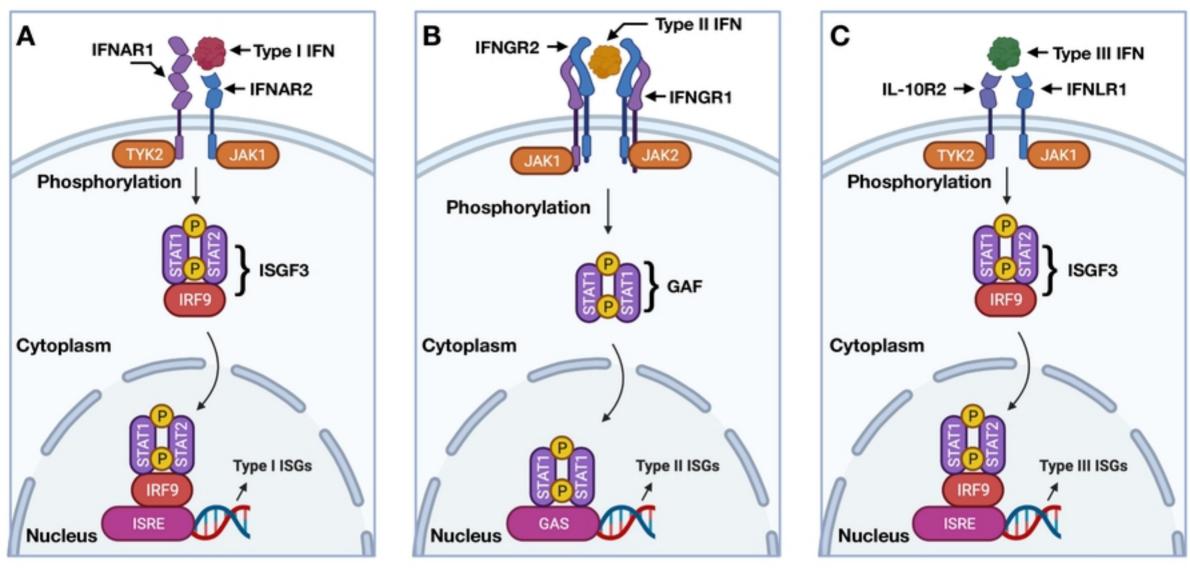
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BEGIN

Initialisation: Balanced dataset $S_0 = \{(1, v_1^0), \dots, (1, v_n^0), (0, v_{n+1}^0), \dots, (0, v_{2n}^0)\}$, dimension of the feature vector D_0 , machine learning algorithm A, number of disruptive feature $d_0 = D_0$, and iteration round i = 0.

While $d_0 > 0$ (*i*th iteration):

1) Use five-fold cross validation on dataset S_i , prediction $P_i = A(S_i)$;

Evaluate the P_i with the criterion of AUC;

3) Remove one feature from feature vector v^i and generate a temporary dataset T_i ;

4) Use five-fold cross validation on dataset T_i , prediction $P'_i = A(T_i)$;

5) Evaluate the P'_i with the criterion of AUC;

6) Repeat 4) and 5) for the traversal of D_i features;

7) Traverse v^i and remove *m* features helpful to improve AUC of P'_i , $d_i = m$;

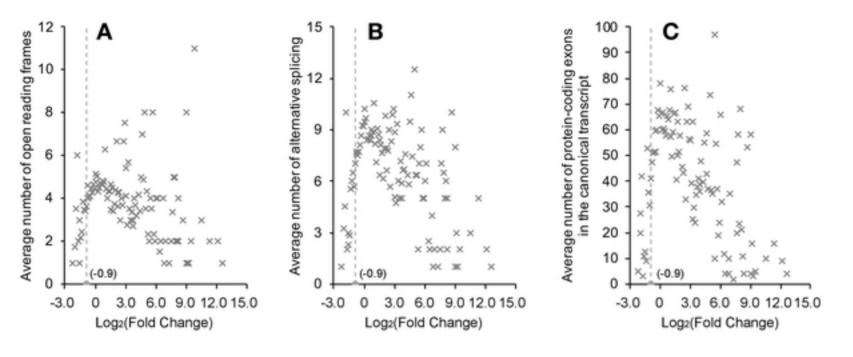
8) Update dataset $S_{i+1} = \{(1, v_1^{i+1}), \dots, (1, v_n^{i+1}), (0, v_{n+1}^{i+1}), \dots, (0, v_{2n}^{i+1})\}, D_{i+1} = D_i - m$.

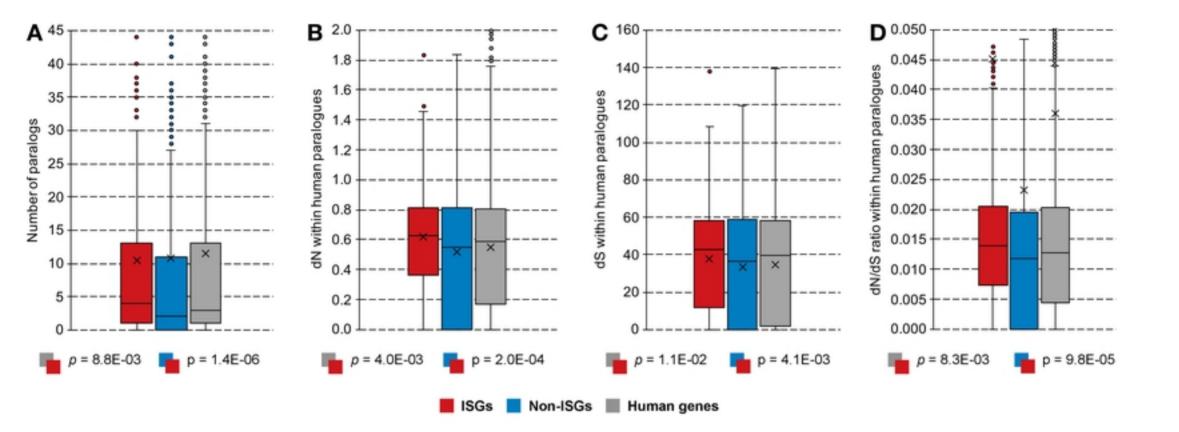
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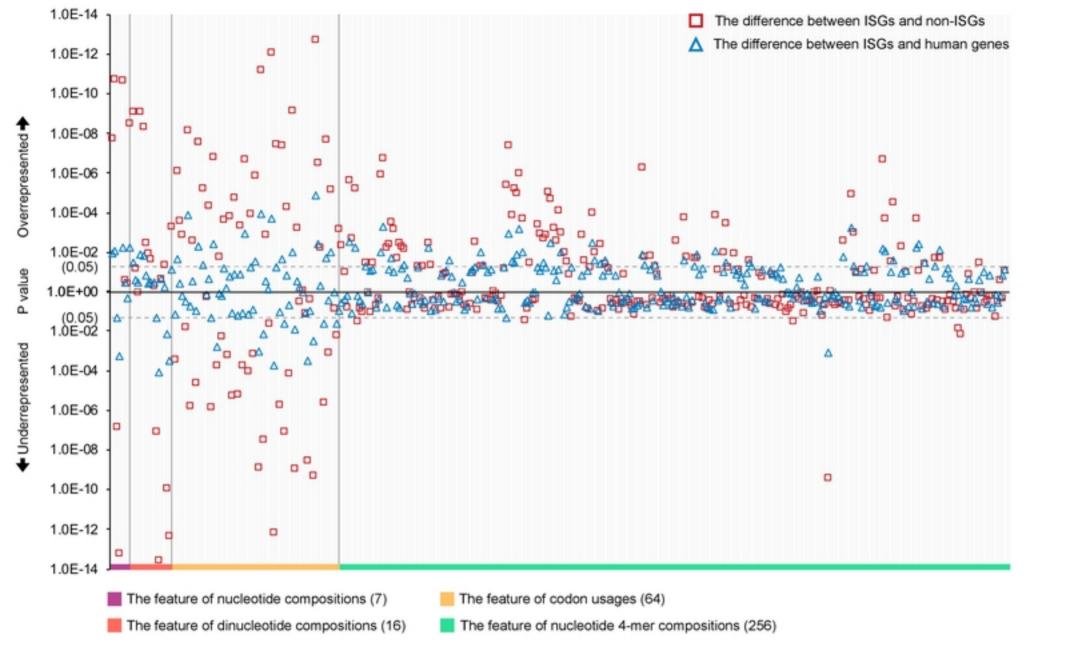
Output: dataset S_{i-1} encoded by D_{i-1} features.

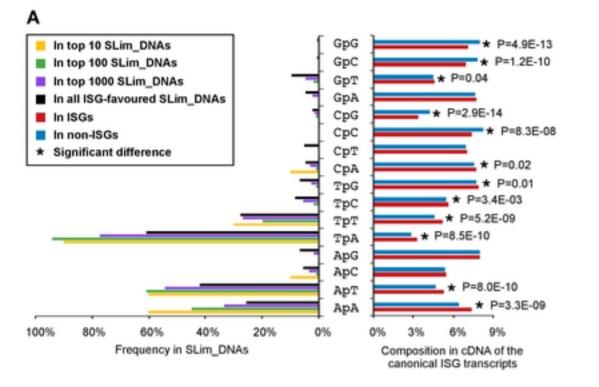
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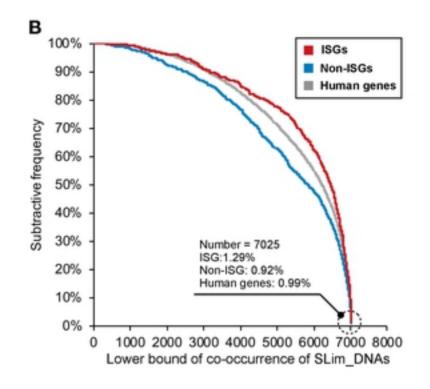
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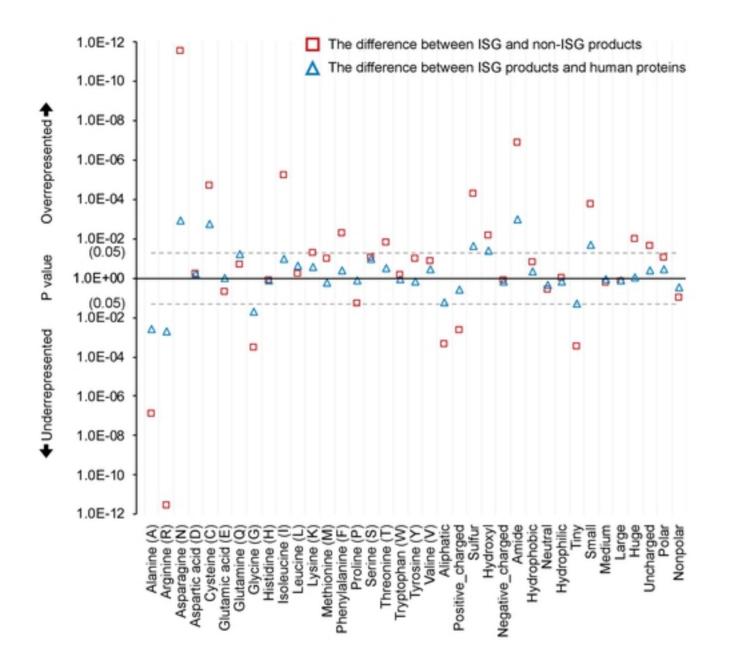


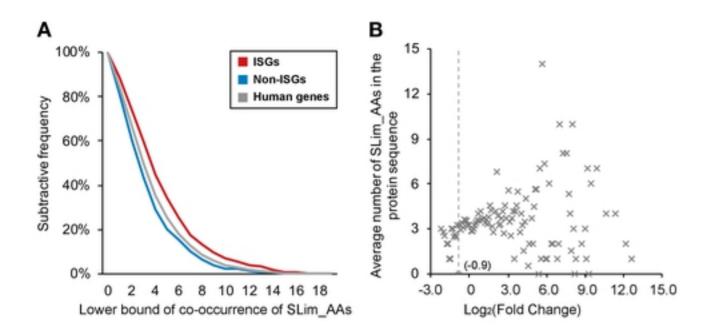






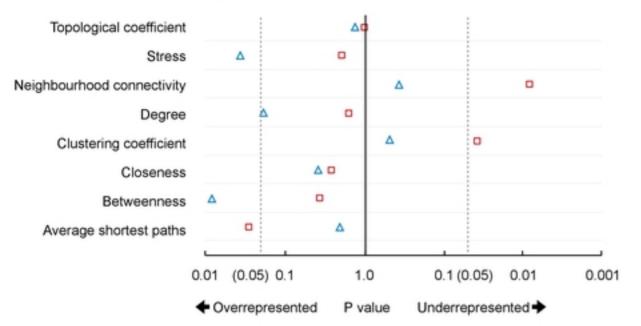


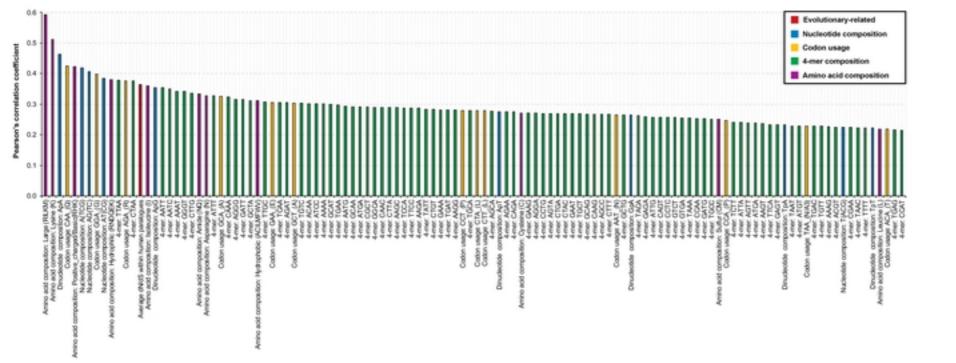


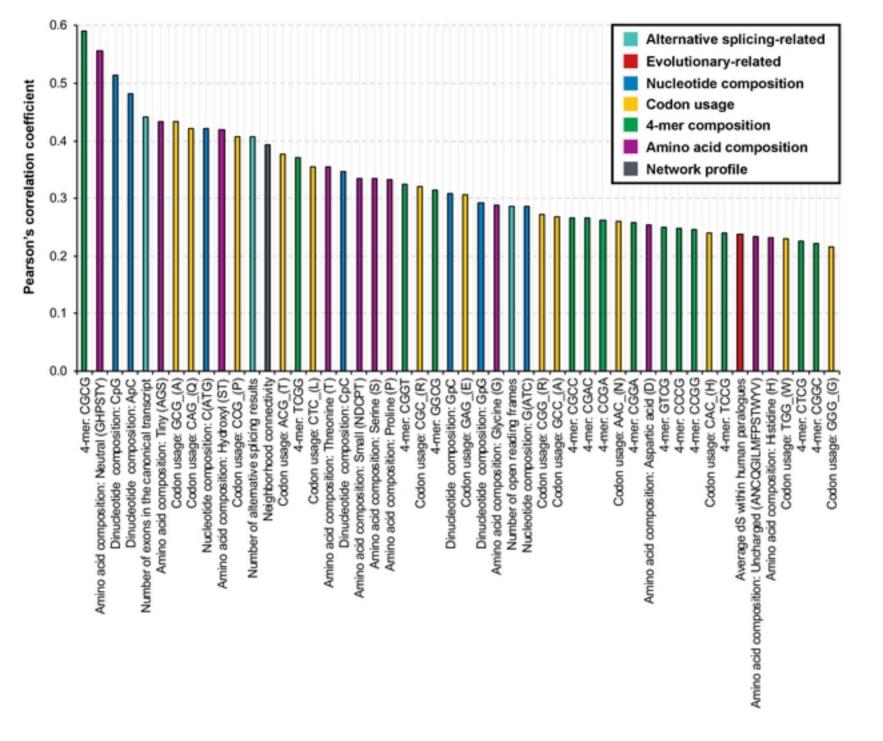


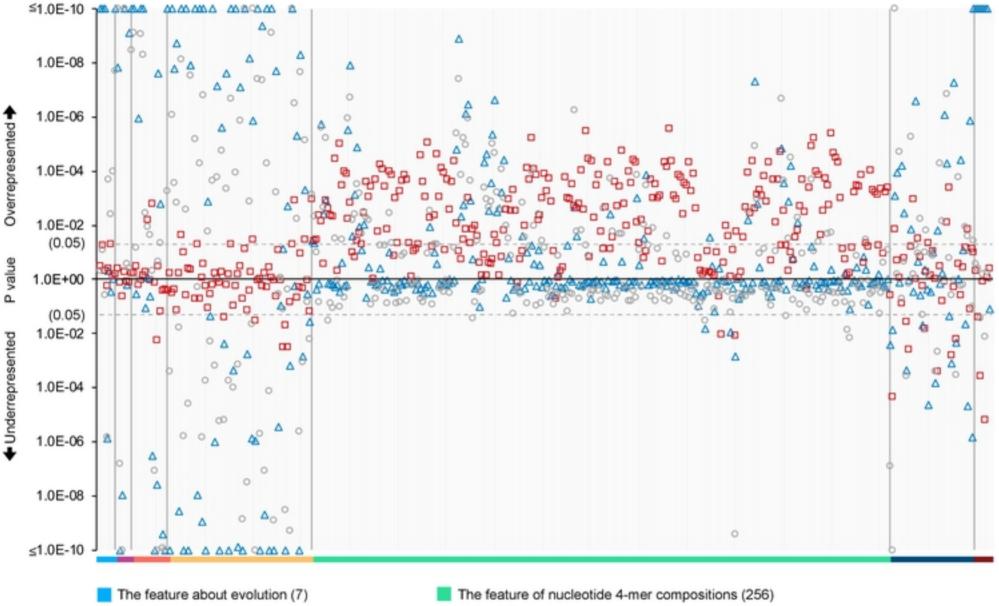
The difference between ISG and non-ISG products

▲ The difference between ISG products and human proteins



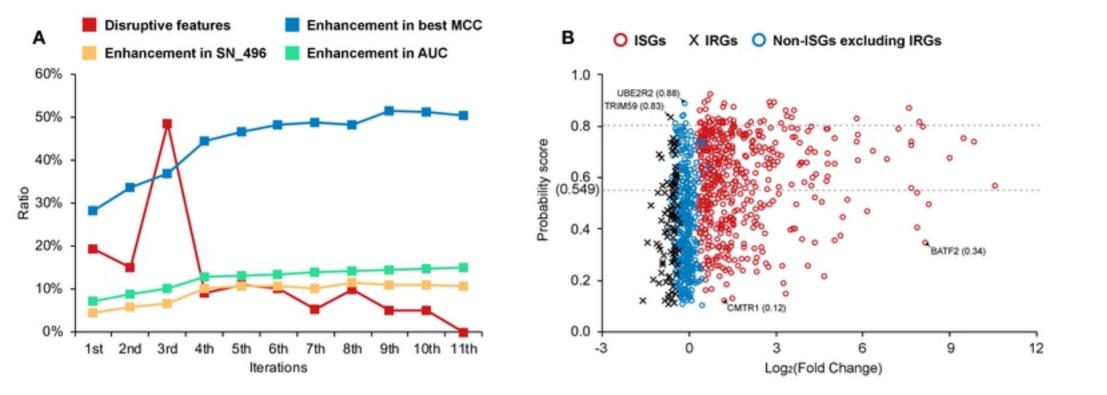


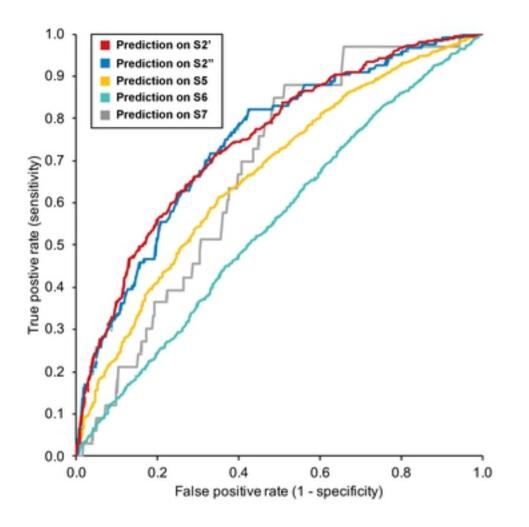


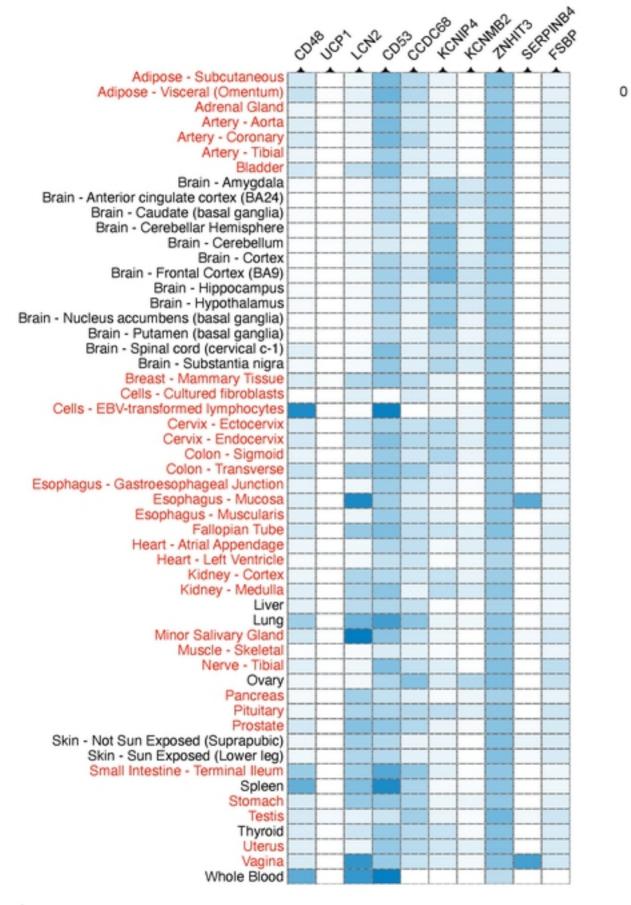


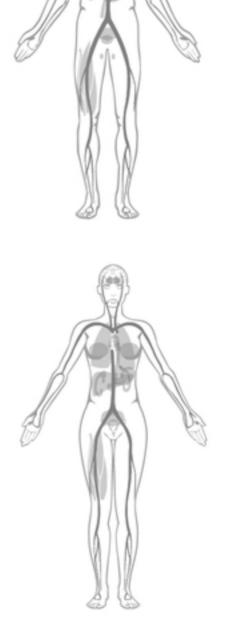
- The feature of nucleotide compositions (7)
- The feature of dinucleotide compositions (16)
- The feature of codon usages (64)

- The feature of amino acid composition (37)
- The feature about interactome network (8)









Log10(TPM+1)

3.0