# Cross-species cell-type assignment of single-cell RNA-seq by a heterogeneous graph neural network

3 4

- Xingyan Liu<sup>1,2†</sup>, Qunlun Shen<sup>1,2†</sup> and Shihua Zhang<sup>1,2,3,4\*</sup>
- <sup>6</sup> <sup>1</sup>NCMIS, CEMS, RCSDS, Academy of Mathematics and Systems Science,
- 7 Chinese Academy of Sciences, Beijing 100190, China;
- <sup>8</sup> <sup>2</sup>School of Mathematical Sciences, University of Chinese Academy of Sciences,
- 9 Beijing 100049, China;
- <sup>10</sup> <sup>3</sup>Center for Excellence in Animal Evolution and Genetics, Chinese Academy of
- 11 Sciences, Kunming 650223, China;
- <sup>12</sup> <sup>4</sup>Key Laboratory of Systems Biology, Hangzhou Institute for Advanced Study,
- 13 University of Chinese Academy of Sciences, Chinese Academy of Sciences,
- 14 Hangzhou 310024, China.
- <sup>15</sup> <sup>†</sup>These authors contributed equally to this work.
- <sup>16</sup> \*To whom correspondence should be addressed. Tel/Fax: +86 01 82541360;
- 17 Email: zsh@amss.ac.cn.
- 18

#### 19 Abstract

Cross-species comparative analyses of single-cell RNA sequencing (scRNA-20 seq) data allow us to explore, at single-cell resolution, the origins of cellular 21 diversity and the evolutionary mechanisms that shape cellular form and function. 22 Here, we aimed to utilize a heterogeneous graph neural network to learn 23 aligned and interpretable cell and gene embeddings for cross-species cell type 24 assignment and gene module extraction (CAME) from scRNA-seq data. A 25 systematic evaluation study on 649 pairs of cross-species datasets showed that 26 CAME outperformed six benchmarking methods in terms of cell-type 27 assignment and model robustness to insufficiency and inconsistency of 28 sequencing depths. Comparative analyses of the major types of human and 29 mouse brains by CAME revealed shared cell type-specific functions in 30 homologous gene modules. Alignment of the trajectories of human and 31 macaque spermatogenesis by CAME revealed conservative gene expression 32 dynamics during spermatogenesis between humans and macaques. Owing to 33 the utilization of non-one-to-one homologous gene mappings, CAME made a 34 significant improvement on cell-type characterization cross zebrafish and other 35 species. Overall, CAME can not only make an effective cross-species 36 assignment of cell types on scRNA-seq data but also reveal evolutionary 37 conservative and divergent features between species. 38

39

#### 40 Key words

41 Cross-species; cell-type assignment; gene module extraction; single-cell RNA

42 sequencing; a heterogeneous graph neural network

#### 44 Introduction

Single-cell RNA sequencing (scRNA-seq) has rapidly emerged as a powerful
tool to characterize a large number of single-cell transcriptomes in different
tissues, organs, and species [2]. It not only deepens our knowledge of cells but
also provides novel insights into evolutionary and developmental biology [3].
Cross-species integration and comparison of scRNA-seq datasets allow us to
explore, at single-cell resolution, the origins of cellular diversity and the
evolutionary mechanisms that shape cellular form and function [3-11].

Cell-type assignment (or cell typing) and data integration are both vital steps 52 involved in these analyses. For the cell-type assignment, a traditional approach 53 includes three steps: clustering single-cells, performing differentially expression 54 analysis to find cluster-specific genes, and matching these genes with known 55 markers. However, this strategy fails when different cell types are clustered into 56 57 one group, and when analyzing many non-model species that lack prior knowledge of cell-type biomarkers. Several tools have been developed for this 58 task recently. Some existing approaches like CellAssign [12] and scCATCH [13] 59 require prior knowledge of cell type-specific markers. Some like SingleCellNet 60 [14] and SciBet [15] were designed based on a reference dataset and can 61 achieve the cell-type assignment without providing marker information. Besides, 62 several methods designed for data integration can also achieve cell-type 63 assignment by transferring labels from the reference dataset. Seurat-v3 [16] 64 combines canonical correlation analysis and mutual nearest neighbors to 65 perform data integration and label transfer based on 'anchors'. Cell BLAST [17] 66 and ItClust [18] make use of deep neural networks for both cell-type querying 67 and cell embedding. LIGER [19] and CSMF [20] extract the common and 68 private features of two datasets respectively by joint non-negative matrix 69 factorization to achieve cell alignment across datasets and omics. 70

Despite all the progress, a tool for effective and robust cross-species 71 72 integration and comparison is still immature and in demand. There are several computational challenges to be overcome. First, it is hard to determine cell 73 identities for non-model species that lack prior knowledge of cell-type 74 biomarkers, and most of the methods may fail when generalizing to cross-75 species label transfer. Second, many biological and technical factors, such as 76 transcriptome variation between species, different experimental protocols, and 77 inconsistent sequencing depths, can make cross-species data integration and 78 comparison even more difficult. Third, homologous cell-type alignment requires 79 quantifying the similarities of gene expression profiles, which usually vary 80 across distinct normalizations and gene selections [3]. Fourth, cross-species 81 cellular alignment is usually based on homologous genes and current 82 approaches are mostly restricted to one-to-one homologies shared by both 83 84 organisms [3, 5-11], where non-one-to-one homologous genes characterizing cell-type conservative features could be lost. Lastly, evolutionary divergences 85

are thought to be caused by transcriptional changes of groups of genes that
evolve in a modular fashion and are controlled by transcription factors [21].
Extraction and comparison of gene modules between species will provide deep
insights into evolutionary conservation and divergences [11, 22, 23].

To this end, we developed a heterogeneous graph neural network model to 90 achieve the aligned and interpretable cell and gene embeddings for cross-91 species cell-type assignment and gene module extraction (CAME). A 92 systematic evaluation study on 649 pairs of cross-species datasets showed that 93 CAME outperformed six benchmarking methods in terms of cell-type prediction, 94 and model robustness to insufficiency and inconsistency of sequencing depths. 95 Comparative analyses of the major types of human and mouse brains by CAME 96 revealed shared cell type-specific functions in homologous gene modules. An 97 alignment of the trajectories of human and macaque spermatogenesis by 98 99 CAME revealed the conservative gene expression dynamics during spermatogenesis between humans and macaques. Owing to the utilization of 100 non-one-to-one homologous gene mappings, CAME made a significant 101 improvement on cell-type characterization across long-distant species. Overall, 102 CAME can not only make an effective cross-species assignment of cell types 103 on scRNA-seq data but also reveal evolutionary conservative and divergent 104 105 features between species.

#### 106 **Results**

#### 107 **Overview of CAME**

CAME takes two scRNA-seg datasets from different species, along with their 108 homologous gene mappings as input. One dataset with cell-type labels is taken 109 as the reference and the other whose cell types need to be assigned is the 110 query (Figure 1A). CAME encodes these two expression matrices and the 111 mappings of homologous genes as a heterogeneous graph, where each node 112 acts as either a cell or a gene, while a cell-gene edge indicates a non-zero 113 expression of the gene in that cell, and an edge between a pair of genes 114 indicates the homology between each other. Note that one-to-many and many-115 to-many homologies are allowed as well. Besides, CAME adopts single-cell 116 networks pre-computed from reference and query datasets using the k-nearest-117 neighbor (KNN) method, respectively, where a cell-cell edge indicates this pair 118 of cells have similar transcriptomes with each other (Methods). 119

120 CAME adopts a heterogeneous graph neural network to embed each node 121 into a low-dimensional space (**Methods, Figure 1B**). For the initial cell 122 embeddings, CAME takes the expression profiles followed by linear 123 transformation with a non-linear activation function. While for the initial gene-124 embeddings, CAME aggregates the expression profiles (called "message") 125 from its neighbor cells which expressed it, and then treats them with linear 126 transformation and non-linear activation, as done for cells (**Methods**). Then the

initial embeddings are input to two parameter-sharing graph convolution layers 127 with heterogeneous edges and nodes. As a result, cells with more co-expressed 128 genes are more likely to exchange the embedding message with each other, 129 thus be encoded with similar embeddings; the same principle applies to genes. 130 CAME further employs a heterogeneous graph attention mechanism [25] to 131 classify cells with embeddings of their neighbor genes as input, where each cell 132 pays a distinct level of attention to each certain neighbor gene (Methods, 133 Figure 1B). High attention paid by a cell to a gene implies that the gene is of 134 relatively much importance for the cell to be characterized. 135

We note that a reference cell could be assigned with multiple labels in 136 different hierarchies, and a cell type in query species might correspond to 137 multiple ones in the reference. Thus, multi-label classification can be helpful to 138 depict the state of a cell. CAME calculates the cross-entropy between the 139 140 predicted cell-type probabilities and the true labels for the reference data to obtain both the multi-class and the multi-label loss, and sums them up as the 141 training loss. Finally, CAME minimizes it by the backpropagation algorithm 142 (Methods). The training process of CAME is semi-supervised in an end-to-end 143 manner. We found that the training process was quite stable, and the model 144 tended to be well trained before 200-300 epochs (Supplementary Figure S1A). 145 Besides, CAME introduces the adjusted mutual information (AMI) between the 146 predicted labels and pre-clustered ones of query cells to automatically 147 determine the model checkpoint for downstream analysis (Methods and 148 **Supplementary Figure S1A**). Ablation experiments demonstrated that six key 149 factors adopted by CAME play roles in improving the prediction performance 150 (Supplementary Figure S1B). 151

CAME outputs the quantitative cell-type assignment for each query cell, that 152 is, the probabilities of cell types that exist in the reference species, which 153 enables the identification of the unresolved cell states in the query data. For 154 most cells with homologous cell types in the reference, CAME assigns them 155 with a maximal probability approximating 1. While for those unobserved cell 156 types or states, CAME would assign them to their analogs with relatively low 157 confidences (Supplementary Figure S2). Besides, CAME gives the aligned 158 cell and gene embeddings across species, which facilitates low-dimensional 159 visualization and joint gene module extraction (Methods, Figure 1D). 160

#### 161 CAME showed superior accuracy and robustness for cell-type 162 assignment compared to benchmarking methods

We collected 54 scRNA-seq datasets from five tissues across seven different species including human, macaque, mouse, chick, turtle, lizard, and zebrafish (**Methods, Supplementary Figure S3A** and **Supplementary Table S1**) and found that more than a half of the homologous genes between zebrafish and other species are not one-to-one matched (**Supplementary Figure S3B**). Besides, the proportion of non-one-to-one homologies between highly informative gene (HIG) sets with one associated with zebrafish [26] was

significantly higher than that of other cross-species dataset pairs (60%-75%) 170 versus 15%-40%. Supplementary Figure S3C). And ablation study shows that. 171 when excluding non-one-to-one homologies, the cell-typing accuracy of CAME 172 suffered a significant drop (ranging from 1.5% to 8.7% for different species-173 pairs, 6.26% on average, with p-value = 7.8e-23) on the zebrafish-associated 174 dataset pairs (Supplementary Figure S3D and Figure S4). Therefore, we 175 divided these pairs into two scenarios: zebrafish-excluded (139 pairs) and 176 zebrafish-associated (510 pairs) (Methods). 177

We compared the cell-typing performance of CAME with six benchmarking 178 methods including two marker-based methods SciBet [15] and Scamp [44], two 179 deep-learning methods Cell BLAST [17] and ItClust [18], one expression-based 180 method SingleCellNet [14], and one integration-based method Seurat-v3 [16] 181 in these two scenarios in terms of accuracy, macro-F1 score and weighted F1 182 score (Methods). Results showed that, in both scenarios, CAME distinctly 183 outperformed the others in most cases with statistical significance p-values 184  $< 10^{-16}$  and  $10^{-54}$  using Wilcoxon signed-rank test for both zebrafish-185 excluded and zebrafish-associated scenarios, respectively (Figure 2A and B, 186 Supplementary Figures S5 and S6). 187

To evaluate the robustness of CAME in the cases when the reference and 188 query datasets have inconsistent and insufficient sequencing depths, we 189 performed down-sampling experiments (at various sampling rates 75%, 50%, 190 25%, 10%) for read counts on the reference, query, and both reference and 191 query datasets. Again, CAME achieved superior performance compared to all 192 six benchmarking methods (Figure 2C, Supplementary Figures S7 and S8). 193 By contrast, when the down-sampling rates are extremely unbalanced, some 194 benchmarking methods may fail. For example, at a down-sampling rate of 0.1 195 for guery datasets, Seurat detected too few anchors to abort integration for label 196 transfer and Scmap failed to find enough genes since the median expression 197 in the selected features is 0 in each cell cluster. All these results demonstrate 198 that CAME is robust to the insufficient and inconsistent sequencing depths 199 between reference and query pairs. 200

## CAME could robustly align homologous cell types across species and multiple references

In addition to the accurate cross-species cell-type assignment, CAME is also 203 capable of aligning homologous cell types from different species, even when 204 crossing distant species. For example, when aligning cell types between mouse 205 [29] and turtle [10], CAME successfully distinguished and aligned each major 206 type, like inhibitory and excitatory neurons, while the alignments by FastMNN, 207 Harmony, and Seurat were incapable. CAME also separated the neural 208 progenitor cells from excitatory neurons, while LIGER merged these two groups. 209 The visualization plots using Uniform Manifold Approximation and Projection 210 (UMAP) [31] of cell embeddings of Cell BLAST tend to lose some relations 211 212 between cell types, e.g., the inhibitory and excitatory neurons are not linearly

#### separable on the 2D plot (Figure 3A, and Supplementary Figure S9).

When handling multiple references and batch information is unavailable, 214 most integration methods will suffer from batch effects. In this situation, owing 215 to the semi-supervised manner, CAME can ignore the batch effects of reference 216 data. In contrast, other integration tools may suffer from diverse sources of 217 noises if the potential batch effects (such as noises from different individuals) 218 are not considered. For instance, when aligning human and mouse pancreas 219 cell types with human reference composed of eight batches, cells of the same 220 type but from different batches were still separated from each other. Besides, 221 the guery cells tended to be "attracted" by reference cells of the same protocol 222 (Figure 3B). Even when the batch labels are given, for some of the 223 benchmarking methods (e.g., LIGER [19] and Seurat-v3 [16]), the reference 224 batch effects still existed after data integration (Supplementary Figure S10). 225

## CAME could accurately assign cell types in mouse brains and reveal cell type-specific gene modules

We applied CAME to assign the major types of single cells from the primary 228 visual cortex and the anterior lateral motor cortex of mice [29], and used human 229 brain cells as the reference dataset [7], containing the cells from the hindbrain 230 that is not included in the mouse dataset. CAME achieved an accuracy of about 231 98%, so as Seurat and SciBet, superior to other benchmarking methods (94% 232 by ItClust, 93% by Cell BLAST, 92% by SingleCellNet, and only 55% by Scmap). 233 CAME also got a higher macro-F1 score (0.55) than that of Seurat (0.44) and 234 SciBet (0.46), indicating that CAME also accurately classified the small groups. 235 Specifically, those non-neuronal types accounting for a small proportion of 236 mouse cells were accurately assigned, including endothelial cells (accounting 237 for 0.6% of human cells and 0.85% of mouse cells) and its subclass, brain 238 pericytes (0.61% of human cells and 0.14% of mouse cells). The macrophages 239 (0.56% in mice) were classified as microglial cells (2.1% of human cells) that 240 are biologically similar to this type. Both oligodendrocyte precursor cells (OPC) 241 and oligodendrocytes in mice were originally assigned as oligodendrocytes 242 (0.75% of mouse cells) by the authors, but they were distinguished from each 243 other in the reference of the human data (Figure 4A). The identities of OPCs 244 were also verified by examining the expression of typical marker genes in each 245 cell type (Figure 4B). Besides, we found that the genes with top attentions from 246 each cell type showed high cell-type specificities, though these genes were 247 quite different across species (Supplementary Figure S11A). 248

Similar results were found when comparing four subtypes of the inhibitory neurons (VIP+, SST+, LAMP5+, PVALB+) between humans and mice. CAME still achieved a cell-typing accuracy of 98.3% and 95.5% for human-to-mouse and mouse-to-human label transfers, respectively, which are consistently higher than that of the benchmarking methods (93.4% and 92.0% for SciBet, 84.3% and 51.3% for SingleCellNet, 98.0% and 78.9% for Cell BLAST, 97.3% and 87.3% for ItClust, 69.5% and 78.9% for Scmap, 94.2% and 87.2% for Seurat) (Supplementary Figure S12A and B), although deferentially expressed genes (DEGs) for each homologous subtype seems not transferrable across species (Supplementary Figure S12C and D). The UMAP plots of cell embeddings showed that these major homologous cell-types were well aligned with each other. This suggested that the major types of brain cells in humans and mice are well conserved (Supplementary Figure S9).

262 CAME also gave interpretable gene embeddings and enabled us to explore both intra- and inter-species relationships between genes. The UMAP plots of 263 gene embeddings showed that the relative positions of human and mouse 264 homologous genes were very consistent (Figure 5C). We further demonstrated 265 the averaged gene expression profile on the UMAP plots of gene embeddings, 266 where each point represents a gene (Figure 4C and Supplementary Figure 267 **S11B**). It is worth noting that the neighbor genes tend to be co-expressed in the 268 269 same cell types, such as those in excitatory, inhibitory neurons, oligodendrocytes, and OPCs (Figure 4D). There were more cell type-specific 270 genes in human oligodendrocytes than in mice, indicating the evolutionary 271 divergence between humans and mice. A population of genes was only 272 detected in the human dataset, and most of them were associated with Purkinje 273 cells and cerebellum granule cells, which were not detected in the mouse 274 275 dataset due to their sources from different brain regions. These genes were arranged where there were few mouse genes around (Figure 4F, and 276 Supplementary Figure S11B). 277

The aligned gene embeddings across species can facilitate us to jointly 278 extract cell type-specific gene modules with different degrees of conservancies 279 between species, and each module corresponds to a cell type like OPCs, or 280 related cell types like endothelial cells and its subtypes (Figure 4E and 281 Methods). As expected, based on gene ontology (GO) [33, 34] enrichment 282 analysis, we found that the functions associated with most homologous gene 283 modules were generally consistent with each other (Supplementary Table S2). 284 For example, both the human and mouse genes in module 2 (which was 285 associated with inhibitory neurons) tended to relate functions like "forebrain 286 neuron differentiation" and "learning or memory". Both the human and mouse 287 genes in module 6 (corresponding human microglia and mouse macrophage) 288 were related to functions like "positive regulation of cytokine production", and 289 "leukocyte migration". By contrast, the function "ventral spinal cord 290 development" was only enriched in human module 3 but not in mice, 291 considering their gene members were guite different; though they were both 292 associated with the function "cell differentiation in hindbrain" and "cerebellar 293 cortex formation". 294

#### 295 CAME could reveal conservative expression dynamics during 296 spermatogenesis between human and macaque

297 Comparison of continuous biological processes between two species is of much 298 interest in evolutionary biology. We applied CAME to two scRNA-seq datasets

from human and macaque testicular single cells [9] with the former as the 299 reference one. CAME achieved a very distinct cell-typing accuracy of 95.0% 300 (86.0% for SciBet, 89.2% for SingleCellNet, 76.1% for Cell BLAST, 53.4% for 301 ItClust, 87.3% for Scmap, 89.1% for Seurat), and a precise alignment of the 302 homologous cell types of human and macague with each other (Figure 5A and 303 **B**). Besides, the labeled spermatogonia, spermatocyte, round spermatid, and 304 elongating cells are correctly merged along the underlying differentiation 305 trajectory. This suggested that CAME could well decipher the conserved four-306 stage spermatogenesis processes of humans and macagues. 307

Very interestingly, the continuously dynamic changing process of 308 spermatogenesis can also be revealed by the UMAP plot of gene embeddings 309 (Figure 5C). As illustrated, CAME extracted four sets of genes, including some 310 typical marker ones [32], that are highly co-expressed in the four main stages 311 312 of spermatogenesis and form well-organized expression dynamics, suggesting the order of critical gene activations during spermatogenesis (Figure 5C). By 313 joint extraction of gene modules, we found that the four stages of 314 spermatogenesis were quite conservative from the aspect of gene modules 315 (Figure 5D and E). For example, modules 3, 4, and 0 were highly expressed 316 in spermatogonia and spermatocyte respectively for both humans and 317 macaques. And round spermatids and elongating spermatids shared modules 318 319 2, 1, and 5 in different degrees. Typically, both human and macaque module 4 was associated with functions like "RNA splicing", and module 1 was associated 320 with "sperm motility" and "spermatid development/differentiation", which were 321 typical characteristics of elongating spermatids (Supplementary Table S3). 322

#### 323 Conclusions

Cross-species comparative and integrative analysis at single-cell resolution has deepened our understanding of the origin and evolutionary mechanisms of cellular states. Exploring the conservative and divergent characteristics of homologous cell states between human and other model and non-model species, for example, can help us to determine the animal model for studying human disease [5-7].

However, in addition to technical noises, the systematic shift of gene 330 expressions associated with distinct species and the uncertainty of the 331 orthologous genes make it much more difficult than within-species data 332 integration. Moreover, existing approaches for cross-species integration were 333 mainly based on one-to-one homologous genes. However, when it is needed 334 to align cell types across long distant species, especially when a large number 335 of gene duplications were involved during the evolution process [27,28], 336 considering only the one-to-one homologous genes will inevitably lose a lot of 337 important information. Even so, cells of homologous types are thought to have 338 339 similar expression patterns, that is, they may co-express a cell type-specific 340 combination of genes. These genes may not be easy to be identified as the marker genes with high expression levels but can act as "bridges" between cells
that co-expressed them. Besides, the gene-homology mappings can bridge the
gene nodes of two species, where the non-one-to-one homologies can also be
used.

Thus, we take the gene expression matrix as a bipartite graph with cell and 345 gene nodes and utilize the gene homologies to form a multipartite graph. Based 346 on this, we proposed CAME to utilize a cell-gene heterogeneous graph neural 347 network to facilitate the "message-passing" from one species to the other. 348 CAME can achieve the alignment of both cells and genes from different species. 349 As a result, CAME can not only achieve accurate and robust cell-type 350 assignment, but also reveal biological insights into the conservative and 351 divergent characteristics between species. When handling multiple references, 352 most integration approaches have to perform pairwise alignment for individual 353 354 batches, where the order of pairwise alignment can affect the results and the computational complexity rises quadratically with the number of batches. 355 Others like Harmony [30] and Cell BLAST [17] are capable to align multiple 356 datasets simultaneously. We demonstrated that CAME can remove batch 357 effects for multiple references even when batch labels are not provided. This is 358 an important characteristic for integrating various datasets and constructing a 359 unified cell-typing reference. 360

It should be noticed that the heterogeneous graph neural network structure 361 of CAME can also be applied to the scenario of within-species data integration, 362 or when we consider only the one-to-one homologous genes. The only 363 adjustment is to replace each gene-gene edge with a single gene node. 364 Moreover, this strategy can be applied for multi-omics label transfer and data 365 integration. In summary, we believe that CAME will serve as a powerful tool for 366 integrative and comparative analysis across species as well as multi-omics 367 integration. 368

#### 370 Methods

#### 371 Build a heterogeneous cell-gene graph

Let's denote a gene expression matrix with *N* cells and *M* genes as  $X = (X_1, X_2, ..., X_N)^T \in \mathbb{R}^{N \times M}$ , where each row  $X_i = (x_{i1}, x_{i2}, ..., x_{iM}) \in \mathbb{R}^M$  with an element  $x_{ij}$  representing the (normalized) expression value of a cell *i* in a gene *j*. We take  $X^{(R)} \in \mathbb{R}^{N_R \times M_R}$  and  $X^{(Q)} \in \mathbb{R}^{N_Q \times M_Q}$  as the reference and query datasets respectively,  $Y = (y_1, y_2, ..., y_{N_R}) \in \mathbb{R}^{N_R}$  as the cell-type labels

of the reference dataset and a set of gene pairs  $\{(g_i, g_j)\}_{ij}$  to indicate the

homology between two species. Note that  $M_R$  is not necessarily equal to  $M_Q$ .

The reference and query expression matrices and the homology together are 379 represented as a heterogeneous cell-gene graph with each node acting as a 380 cell or a gene (Figure 1A). A cell-gene edge in the graph indicates that this cell 381 has non-zero expression of the gene, a gene-gene edge indicates a homology 382 between each other, and a cell-cell edge indicates the expression profiles of 383 these two cells are similar to each other. In other words, in this graph, there are 384 two types of nodes, cell and gene, and six types of edges (relations) including 385 "a cell expresses a gene", "a gene is expressed by a cell", "cell-cell similarity", 386 "gene-gene homology", "cell self-loop" and "gene self-loop". 387

#### 388 Design a heterogeneous graph neural network

CAME adopts a heterogeneous graph neural network, which was motivated by a relational graph convolutional network [24] for a graph of homogeneous nodes but heterogeneous edges. We denote the convolution weights for these six edge types as  $W_{cg}$ ,  $W_{gc}$ ,  $W_{cc}$ ,  $W_{gg}$ ,  $W_c$  and  $W_g$ , respectively (**Figure 1B**). For each cell *i*, its initial embedding (the 0-th layer) is calculated as:

394 
$$h_{c_i}^{(0)} = \sigma \Big( W_c^{(0)} x_{c_i} + b_c^{(0)} \Big),$$

where  $\sigma$  is the ReLU activation function;  $x_{c_i}$  is the gene expressions in the cell *i* (one-to-one homologous genes are taken as the common input features) and  $b_c^{(0)} \in \mathbb{R}^{d^{(0)}}$  is the learnable bias vector. The genes, however, lack the initial embeddings in the 0-th layer and can be aggregated from their neighbor cells as follows:

400 
$$h_{g_j}^{(0)} = \sigma \left( \sum_{i \in \mathcal{N}_{g_j}^c} \frac{1}{z_{g_j,c}} W_{cg}^{(0)} x_{c_i} + b_g^{(0)} \right),$$

401 where  $\mathcal{N}_{g_j}^c$  is the set of cells that have expressed the gene *j*, and  $z_{g_j,c} = \left| \mathcal{N}_{g_j}^c \right|$ 

is the normalization factor. This approach keeps the number of model
parameters stay constant to the number of genes, which differs from the
commonly used initialization that assigns a learnable embedding for those
nodes without input features, where the increasing number of model
parameters might lead to an overfitted model. It can also allow inductive
learning for the genes not involved in the training process.

While in each hidden layer  $l \ge 1$ , the node features for the cell *i* and the gene *j* can be calculated as:

410 
$$h_{c_i}^{(l)} = \sigma \left( \sum_{j \in \mathcal{N}_{c_i}^g} \frac{1}{z_{c_i,g}} W_{gc}^{(l)} h_{g_j}^{(l-1)} + \sum_{k \in \mathcal{N}_{c_i}^c} \frac{1}{z_{c_i,c}} W_{cc}^{(l)} h_{c_k}^{(l-1)} + W_c^{(l)} h_{c_i}^{(l-1)} + b_c^{(l)} \right),$$

411 and

412 
$$h_{g_j}^{(l)} = \sigma \left( \sum_{i \in \mathcal{N}_{g_j}^c} \frac{1}{z_{g_j,c}} W_{cg}^{(l)} h_{c_i}^{(l-1)} + \sum_{k \in \mathcal{N}_{g_j}^g} \frac{1}{z_{g_j,g}} W_{gg}^{(l)} h_{g_k}^{(l-1)} + W_g^{(l)} h_{g_j}^{(l-1)} + b_g^{(l)} \right),$$

respectively. Note that we treat the edges between homologous genes and the self-loop on each gene identically, i.e.,  $W_{gg}^{(l)} = W_g^{(l)}$ . To boost the 'message' flow between reference and query nodes, we adopt a recurrent convolution, where the parameters are shared across the hidden layers, that is,  $W_{ac}^{(l)} =$ 

417 
$$W_{gc}, W_{cg}^{(l)} = W_{cg}, W_{gg}^{(l)} = W_{g}^{(l)} = W_{g}, W_{c}^{(l)} = W_{c}$$
 and  $b_{c}^{(l)} = b_{c}, b_{g}^{(l)} = b_{g}$  for  $1 \le l \le L$ , where *L* is the total number of the hidden layers. We recommend to set *L* as 2 or 3 in practice, and the default setting is 2. We also adopt the layer normalization for all the hidden states to facilitate fast training convergence and high performance (**Supplementary Figure S1**).

When it comes to the cell-type classifier, we adopt the attention mechanism for graph convolution [25], where each cell pays distinct attention to its neighbor genes. Specifically, for each cell *i*, the output states  $h_{c_i}^{out}$  for cell-type identification is aggregated from their neighbor genes:

426 
$$h_{c_i}^{out} = \sum_{j \in \mathcal{N}_{c_i}^g} \alpha_{ij} W_g^{out} h_{g_j}^{(L)} + b^{out}$$

427 where  $\alpha_{ij}$  is the attention that the cell *i* pays to the gene *j*, calculated as:

428 
$$\alpha_{ij} = \operatorname{softmax}(e_{ij}) = \frac{\exp(e_{ij})}{\sum_{k \in \mathcal{N}_{c_i}^g} \exp(e_{ik})}$$

429 with

430 
$$e_{ij} = leakyReLU\left(a^T\left[W_c^{out}h_{c_i}^{(L)} \parallel W_g^{out}h_{g_j}^{(L)}\right]\right)$$

In addition, we use multi-head attention to enhance the model capacity and
robustness, where there are several attention-heads with their own parameters,
and their outputs are merged by taking averages:

434 
$$h_{c_i}^{out} = \frac{1}{K} \sum_{k=1}^{K} h_{c_i}^{out,k} = \frac{1}{K} \sum_{k=1}^{K} \left( \sum_{j \in \mathcal{N}_{c_i}^g} \alpha_{ij} W_g^{out,k} h_{g_j}^{(L)} + b^{out,k} \right),$$

435 where K is the total number of attention-heads, set as 8 by default.

Finally, the output layer states for cell-type classification were normalized in two different ways: (1) the *softmax* function over cell types for multi-class classification:

439 
$$Y' = softmax(H^{out}), \quad H^{out} = \left(h_{c_1}^{out}, \dots, h_{c_N}^{out}\right)^T,$$

440 where  $Y' \in \mathbb{R}^{N \times T}$  and each row is the predicted probabilities over the *T* cell 441 types for a cell; (2) the sigmoid function for multi-label classification:

442 
$$Y'' = \operatorname{sigmoid}(H^{out}) = \frac{1}{1 + \exp(H^{out})},$$

443 where  $Y'' \in \mathbb{R}^{N \times T}$  and each element  $Y''_{it}$  is the predicted probability of the cell 444 type *t* for the cell *i*.

#### 445 The classification loss and label smoothing

The classification loss for cells in reference datasets is calculated by the weighted cross-entropy loss combined with  $L_2$  regularization as below:

448 
$$L_{c}(\boldsymbol{X}_{R}, Y_{R}) = \frac{1}{N_{R}} \sum_{i=1}^{N_{R}} \left[ \sum_{t=1}^{T} w_{t} Y_{it} \ln(Y_{it}') + \sum_{t=1}^{T} w_{t} Y_{it} \ln(Y_{it}'') \right] + \lambda \|\theta\|_{2}^{2}$$

449 
$$= \frac{1}{N_R} \sum_{i=1}^{N_R} \sum_{t=1}^{T} w_t Y_{it} \ln(Y'_{it} Y''_{it}) + \lambda \|\theta\|_2^2,$$

where  $w_t$  is the class-weight for cell-type t satisfying  $\sum_{t=1}^{T} w_t = 1$ . To avoid the model being dominated by the major populations and ignoring those rare types, we set  $w_t \propto \frac{1}{\sqrt{N_t}}$  and  $N_t$  is the number of cells of cell type t in the reference dataset.  $\theta$  represents all the learnable parameters and  $\lambda_1$  is the penalization coefficient that controls the power of  $L_2$  regularization, and the default value of  $\lambda_1$  is 0.01.

To prevent the model from being overconfident and improve the stability and generalization of the model, we utilize label smoothing [35]. We minimize the cross-entropy between the modified targets  $Y^{LS} \in \mathbb{R}^{N_R \times T}$  and the model outputs Y', where  $Y_{it}^{LS} = Y_{it}(1 - \alpha) + \alpha/K$ , and the final objective function is as below:

$$L_{sc} = (1 - \epsilon)L_c + \frac{\epsilon}{T} \sum_{t=1}^{T} \frac{1}{N_R} \sum_{i=1}^{N_R} \ln(Y_{it}'Y_{it}''),$$

where  $\epsilon$  controls the degree of smoothness, set as 0.1 by default. Finally, CAME adopts Adam optimizer [36] with a learning rate of 0.001 for training.

#### 464 Checkpoint selection

When training the heterogeneous graph neural network, we would like to 465 choose the epoch where the classification result of query datasets achieves the 466 highest accuracy. However, in practice, the exact type labels of the guery cells 467 are unknown, hindering us from choosing the best model. We put forward a 468 metric to approximate the accuracy. Specifically, we first cluster the query cells 469 to get the pseudo-labels Y<sup>cluster</sup> for the query cells and introduce adjusted 470 mutual information (AMI) [37] to account for the chance between the model-471 predicted cell-type labels and the pseudo-labels of the query cells to help 472 decide when to stop. AMI is defined as 473

474 
$$AMI(Y^{cluster}, Y') = \frac{MI(Y^{cluster}, Y') - E[MI(Y^{cluster}, Y')]}{mean\{H(Y^{cluster}), H(Y')\} - E[MI(Y^{cluster}, Y')]},$$

where H(X) is the entropy of X, MI(X,Y) is the mutual information between 475 variables X and Y.  $E[MI(Y^{cluster}, Y')]$  is the expected mutual information 476 based on a "permutation model" [38], in which cluster labels are generated 477 randomly subject to having a fixed number of clusters and points in each cluster. 478 We think that a well-trained model is expected to preserve the intrinsic data 479 structure so that the predicted labels should be highly consistent with the 480 pseudo-labels to some extent. We run the model with 400 epochs and choose 481 the checkpoint with the largest AMI. The clustering process will be described in 482 the section "pre-clustering of the guery cells" in detail. 483

#### 484 Training using the mini-batches on sub-graphs

When training CAME on the graphics processing unit (GPU), the size of a 485 dataset will be limited by the GPU memory. For example, training CAME on 486 100,000 cells could take about 13.75GB of memory, which exceeds the graphic 487 memory of most GPUs. To handle this issue, we utilized a mini-batch training 488 process by using the graph segmentation technique. Specifically, we first 489 randomly divided all the cells (including cells in reference and query) into 490 several groups, taken as mini-batches. For each mini-batch, we created a node-491 induced subgraph for a given group of cells, which contains all the cells in this 492 group and all the genes expressed by these cells. Then, we iterated all 493 subgraphs and feed the subgraphs to the graph neural network one by one. All 494 the parameters will be updated for each mini-batch training process. We 495 performed extensive experiments by using mini-batch training process and 496 found it is suitable to choose batch-size as 8192 or more, for that it achieved 497 498 the comparable accuracy compared with the whole graph training (Supplementary Figure S14A) and the cost of GPU memory stays constant
(2.4GB) for datasets at different scales (Supplementary Figure S14B). Such
low consumption of graphic memory means you can use CAME on almost all
graphics cards. It is worth noting that the runtime of the batch-training process
will be largely increased (Supplementary Figure S14B) since we cannot feed
forward the whole graph on a single epoch.

#### 505 **Preprocessing of the single-cell datasets**

506 For each scRNA-seq dataset, we first normalized the counts of each cell by its 507 library size with a scale factor multiplied (10,000 by default) and log-508 transformed with a pseudo-count added for the downstream analysis.

#### 509 Gene selection

510 Highly variable genes (HVGs) and deferentially expressed genes (DEGs) are generally thought to be highly informative and the latter is especially useful for 511 cell-type characterization. Therefore, we used both HVGs and DEGs and 512 extended them using homologous mappings to form the highly informative gene 513 (HIG) sets for constructing the heterogeneous graph. We adopted the same 514 approach as used in Seurat-v2 [39] with ScanPy [40] built-in function 515 highly variable genes() to identify HVGs, separately from both reference and 516 query data. Specifically speaking, it calculated the average expression and 517 dispersion (variance/mean) for each gene and placed these genes into several 518 bins based on the (log-transformed) average expression. The normalized 519 dispersions were then obtained by scaling with the mean and standard 520 deviation of the dispersions within each bin. We selected the top 2000 genes 521 with the highest dispersions as HVGs of that dataset. We computed the DEGs 522 separately for reference and query dataset by Student's t-test, which is done 523 through rank genes groups() function from the ScanPy package [40]. For 524 reference data, cells are grouped by their cell-type labels, while for the guery 525 data, cells are grouped by their pseudo-labels, i.e., the pre-clustering labels. 526

Genes used as the cell-node features should be shared between species (or datasets). For both reference and query datasets, we first took the top 50 DEGs for each cell group and retained genes with one-to-one homology in the other species. We then took the union of the resulting two sets of genes for input. The resulting number of genes used for defining cell-node features ranges from 240 to 400 for distant species pairs (human to zebrafish for example) and from 400 to 900 for the others.

We combined both HVGs and DEGs from reference and query data to decide the node genes used for training the graph neural network. Specifically, we first took the union of the HVGs and DEGs for each dataset, denoted as  $G_r$  and  $G_q$ for reference and query respectively. Then we extracted the genes that have homologies in  $G_r$  from the query data, and the homologous genes for  $G_q$  from the reference data denoted as  $G_r^{(homo)}$  and  $G_q^{(homo)}$  respectively. Finally, we

## determined $\mathcal{G}_r \cup \mathcal{G}_q^{(homo)}$ , the union of $\mathcal{G}_r$ and $\mathcal{G}_q^{(homo)}$ , as the node genes for

the reference species and  $\mathcal{G}_r^{(homo)} \cup \mathcal{G}_q$  as the node genes for the query

species. The tables containing gene homology information for each species pair
were downloaded from the BioMart web server
(http://www.ensembl.org/biomart/martview) [41].

#### 545 **Construction of the single-cell graphs based on KNNs**

The normalized expression matrices were centralized and scaled within each dataset, followed by principal component analysis (PCA) to reduce the dimensionality. We searched approximate KNNs for each cell based on the top 30 PCs with the highest explained variances. We adopted k = 5 neighbors for each cell to make the graph sparse enough for computational efficiency. These neighbor connections provided "cell-cell" edges as a part of the heterogeneous graph.

#### 553 **Pre-clustering of the query cells**

To facilitate model selection, we pre-clustered the query cells using a graphbased clustering method, that is, performing community detection using the Leiden algorithm [42] on the single-cell KNN graph. We constructed the KNN graph in almost the same way as described above, except that the number of neighbors k was set as 20 and the clustering resolution is set as 0.4 by default.

#### 559 Unifying cell-type labels across datasets

For data downloaded from the Cell BLAST web server [17], the cell-type labels
were already unified by Cell Ontology [43], a structured vocabulary for cell types.
While for unifying annotations from the other datasets, we directly referred to
Cell Ontology and manually adjusted the annotations. The annotations were
used as ground truth.

#### 565 **Gene module extraction**

To extract cell type-specific gene modules shared between species, we took all the gene embeddings (of both species) on the last hidden layer and performed KNN searching for each gene. Like clustering cells, we performed Leiden community detection on the KNN graph of genes. The clustering resolution was set as 0.8 by default.

#### 571 Calculating weights between gene modules

The weights  $S_{ij}$  between homologous gene modules  $Mod_i$  and  $Mod_j$  on the abstracted graph were calculated as follows:

574 
$$S_{ij} = \frac{\sum_{(g_1 \in Mod_i) \land (g_2 \in Mod_j)} sim(h_{g_1}, h_{g_2})}{\max(|Mod_i|, |Mod_j|)},$$

where  $h_g$  is the embedding vector of gene g and  $sim(\cdot, \cdot)$  is the similarity function, cosine similarity by default. |Mod| represents the number of genes in this module.

#### 578 Benchmarking cell-type assignment

For benchmarking cell-type assignment, we collected 54 scRNA-seg datasets 579 from five tissues across seven different species (Supplementary Figure 3A, 580 Supplementary Table 1), paired datasets of different species within the same 581 tissue, and filtered those pairs where more than 50% of query cells are 582 unresolved in the reference cell types, resulting 649 cross-species dataset pairs. 583 For each dataset, we removed the cell types of less than 10 cells. CAME was 584 compared with six benchmarking methods including Seurat V3 [16], ItClust [18], 585 Scmap [44], SingleCellNet [14], SciBet [15], and Cell BLAST [17]. For Seurat 586 V3, we input the raw data, used the default normalize process by 587 NormalizeData() function. extracted 2000 the top HVGs by its 588 FindVariableFeatures() function for reference and guery respectively, and 589 performed further annotation process as described in its documentation. For 590 ItClust, since it provides an automatic workflow including preprocessing and 591 annotation, we input the raw data. For Scmap, we log-transformed the raw 592 counts with pseudo-count 1 added and used its inherited function 593 selectFeaures() to select the top 2000 HVGs with a threshold=0.1 in function 594 scmapCluster() (which works better for the cross-species scenario than its 595 default value). For SingleCellNet, we also input raw data as it suggested, used 596 splitCommon function to split for training and assessment, employed expTMraw 597 function to transform training data, and then used scn predict to make 598 predictions for guery dataset. For SciBet, we used R to perform all the 599 operations. We first input the library-size-normalized data calculated by cpm() 600 function of package edgeR [45] and used SelectGene R() function from SciBet 601 package to select 2000 HVGs, and used SciBet R() function to annotate the 602 query data. For Cell BLAST, we used the raw data as input and used 603 find variable genes() to select HVGs with default parameters and took the 604 605 union of the HVGs between reference and query datasets. After that, the datasets were combined together to remove their batch effects by using 606 function fit DIRECTi() with lambad reg=0.001 as suggested by the original 607 authors to stabilize the training process. Cell BLAST also provides a supervised 608 training process that leverages the cell type labels of reference datasets to 609 perform label transfer. However, it led to a 4% decrease in the average 610 accuracy compared with their previous batch effects correction process. All 611 hyper-parameters not mentioned were set with default values in these six 612 packages. 613

To evaluate the performance of the cell-type assignment, we adopted three metrics: Accuracy, MarcoF1, and WeightedF1. Accuracy is the most common criterion and it directly measures how many of the predictions are the same as the actual ones:

618 
$$Acc = \frac{\#\{Y'==Y\}}{\#\{Y\}},$$

where # is the sign of cardinality. Specifically,  $\#\{Y_{true}\}\$  means the number of the total cells and  $\#\{Y' == Y\}\$  means the number of correctly predicted ones.

We also used MacroF1 and WeightedF1 which consider the  $F_1$ -score for each cell type. For a binary classification task, precision and recall are calculated as

$$624 \qquad \qquad precision = \frac{TP}{TP+FP},$$

625 and

respectively, where TP, FP, and FN represent the number of true positives, false positives, and false negatives, respectively.

The  $F_1$ -score is the harmonic mean of *precision* and *recall*:

$$F_{1} = \frac{2 \times precision \times recall}{precision + recall},$$

and the MacroF1 is defined as the average of class-wise  $F_1$ -scores,

632 
$$MacroF_1 = \frac{1}{T} \sum_{c=1}^{t} F_1^{(t)},$$

where  $F_1^{(t)}$  represents the  $F_1$ -score for cell type t. The *WeightedF*1 considers the proportion of each class,

$$Weighted F_1 = \sum_{t=1}^{T} \frac{N_t}{N} \times F_1^{(t)}$$

where  $N_t/N$  represents the proportion of type t in all cells.

#### 637 Benchmarking data integration

FastMNN [46], Harmony [30], and Seurat-v3 [16] were performed using the 638 corresponding R package through SeuratWrapper, following the online 639 documents with default settings. FastMNN, Harmony, and Seurat shared the 640 same normalization and the top 2000 HVGs by Seurat function NormalizeData 641 and FindVariableFeatures, respectively. Harmony was performed on the PCA-642 reduced embeddings. The number of reduced dimensions for these three 643 methods was set as 50 for all pairs of datasets. LIGER [19] took the raw count 644 data as input and run with the default pipeline. Cell BLAST [17] was performed 645 using its Python package, following the standard pipeline with the default 646 settings. 647

#### 649 Acknowledgments

This work has been supported by the National Key Research and Development 650 Program of China [2019YFA0709501]; the Strategic Priority Research Program of the 651 Chinese Academy of Sciences (CAS) [XDPB17], the Key-Area Research and 652 Development of Guangdong Province [2020B1111190001], the National Natural 653 Science Foundation of China [61621003]; the National Ten Thousand Talent Program 654 for Young Top-notch Talents, the CAS Frontier Science Research Key Project for Top 655 Young Scientist [QYZDB-SSW-SYS008], and the Shanghai Municipal Science and 656 Technology Major Project [2017SHZDZX01]. 657

658

#### 659 **References**

[1] E.Z. Macosko, A. Basu, R. Satija, J. Nemesh, K. Shekhar, M. Goldman, I. Tirosh,

- A.R. Bialas, N. Kamitaki, E.M. Martersteck, J.J. Trombetta, D.A. Weitz, J.R. Sanes,
- 662 A.K. Shalek, A. Regev, S.A. McCarroll, Highly Parallel Genome-wide Expression
- Profiling of Individual Cells Using Nanoliter Droplets, Cell 161(5) (2015) 1202-1214.
- [2] A.A. Kolodziejczyk, J.K. Kim, V. Svensson, J.C. Marioni, S.A. Teichmann, The
- technology and biology of single-cell RNA sequencing, Molecular cell 58(4) (2015)610-20.
- [3] J.C. Marioni, D. Arendt, How Single-Cell Genomics Is Changing Evolutionary and
  Developmental Biology, Annual Review of Cell and Developmental Biology 33(1)
  (2017) 537-553.
- [4] M.E.R. Shafer, Cross-Species Analysis of Single-Cell Transcriptomic Data, FrontCell Dev Biol 7 (2019) 175-175.
- [5] E. Drokhlyansky, C.S. Smillie, N. Van Wittenberghe, M. Ericsson, G.K. Griffin, G.
- Eraslan, D. Dionne, M.S. Cuoco, M.N. Goder-Reiser, T. Sharova, O. Kuksenko, A.J.
- Aguirre, G.M. Boland, D. Graham, O. Rozenblatt-Rosen, R.J. Xavier, A. Regev, The
- Human and Mouse Enteric Nervous System at Single-Cell Resolution, Cell 182(6)(2020) 1606-1622.e23.
- [6] L. Geirsdottir, E. David, H. Keren-Shaul, A. Weiner, S.C. Bohlen, J. Neuber, A.
- Balic, A. Giladi, F. Sheban, C.-A. Dutertre, C. Pfeifle, F. Peri, A. Raffo-Romero, J.
- Vizioli, K. Matiasek, C. Scheiwe, S. Meckel, K. Mätz-Rensing, F. van der Meer, F.R.
- Thormodsson, C. Stadelmann, N. Zilkha, T. Kimchi, F. Ginhoux, I. Ulitsky, D. Erny, I.
- Amit, M. Prinz, Cross-Species Single-Cell Analysis Reveals Divergence of the
   Primate Microglia Program, Cell 179(7) (2019) 1609-1622.e16.
- [7] R.D. Hodge, T.E. Bakken, J.A. Miller, K.A. Smith, E.R. Barkan, L.T. Graybuck, J.L.
- 684 Close, B. Long, N. Johansen, O. Penn, Z. Yao, J. Eggermont, T. Höllt, B.P. Levi, S.I.
- 685 Shehata, B. Aevermann, A. Beller, D. Bertagnolli, K. Brouner, T. Casper, C. Cobbs, R.
- 686 Dalley, N. Dee, S.L. Ding, R.G. Ellenbogen, O. Fong, E. Garren, J. Goldy, R.P.
- 687 Gwinn, D. Hirschstein, C.D. Keene, M. Keshk, A.L. Ko, K. Lathia, A. Mahfouz, Z.
- Maltzer, M. McGraw, T.N. Nguyen, J. Nyhus, J.G. Ojemann, A. Oldre, S. Parry, S.
- 689 Reynolds, C. Rimorin, N.V. Shapovalova, S. Somasundaram, A. Szafer, E.R.
- Thomsen, M. Tieu, G. Quon, R.H. Scheuermann, R. Yuste, S.M. Sunkin, B.

Lelieveldt, D. Feng, L. Ng, A. Bernard, M. Hawrylycz, J.W. Phillips, B. Tasic, H. Zeng,

A.R. Jones, C. Koch, E.S. Lein, Conserved cell types with divergent features in
 human versus mouse cortex, Nature 573(7772) (2019) 61-68.

[8] A. Sebé-Pedrós, E. Chomsky, K. Pang, D. Lara-Astiaso, F. Gaiti, Z. Mukamel, I.

695 Amit, A. Hejnol, B.M. Degnan, A. Tanay, Early metazoan cell type diversity and the

evolution of multicellular gene regulation, Nat Ecol Evol 2(7) (2018) 1176-1188.

[9] A.N. Shami, X. Zheng, S.K. Munyoki, Q. Ma, G.L. Manske, C.D. Green, M.

Sukhwani, K.E. Orwig, J.Z. Li, S.S. Hammoud, Single-Cell RNA Sequencing of

Human, Macaque, and Mouse Testes Uncovers Conserved and Divergent Features

of Mammalian Spermatogenesis, Developmental Cell (2020).

[10] M.A. Tosches, T.M. Yamawaki, R.K. Naumann, A.A. Jacobi, G. Tushev, G.

Laurent, Evolution of pallium, hippocampus, and cortical cell types revealed by

single-cell transcriptomics in reptiles, Science (New York, N.Y.) 360(6391) (2018)881-888.

[11] J. Wang, H. Sun, M. Jiang, J. Li, P. Zhang, H. Chen, Y. Mei, L. Fei, S. Lai, X.

Han, X. Song, S. Xu, M. Chen, H. Ouyang, D. Zhang, G.-C. Yuan, G. Guo, Tracing

cell-type evolution by cross-species comparison of cell atlases, Cell Reports 34(9)(2021) 108803.

[12] A.W. Zhang, C. O'Flanagan, E.A. Chavez, J.L.P. Lim, N. Ceglia, A. McPherson,

M. Wiens, P. Walters, T. Chan, B. Hewitson, D. Lai, A. Mottok, C. Sarkozy, L. Chong,

T. Aoki, X. Wang, A.P. Weng, J.N. McAlpine, S. Aparicio, C. Steidl, K.R. Campbell,

S.P. Shah, Probabilistic cell-type assignment of single-cell RNA-seq for tumor

microenvironment profiling, Nature Methods 16(10) (2019) 1007-1015.

[13] X. Shao, J. Liao, X. Lu, R. Xue, N. Ai, X. Fan, scCATCH: Automatic Annotation

on Cell Types of Clusters from Single-Cell RNA Sequencing Data, iScience 23(3)
(2020) 100882.

[14] Y. Tan, P. Cahan, SingleCellNet: A Computational Tool to Classify Single Cell

RNA-Seq Data Across Platforms and Across Species, Cell Systems 9(2) (2019) 207-213.e2.

[15] C. Li, B. Liu, B. Kang, Z. Liu, Y. Liu, C. Chen, X. Ren, Z. Zhang, SciBet as a

portable and fast single cell type identifier, Nature Communications 11(1) (2020)1818.

[16] T. Stuart, A. Butler, P. Hoffman, C. Hafemeister, E. Papalexi, W.M. Mauck, 3rd, Y.

Hao, M. Stoeckius, P. Smibert, R. Satija, Comprehensive Integration of Single-Cell Data, Cell 177(7) (2019) 1888-1902.e21.

[17] Z.-J. Cao, L. Wei, S. Lu, D.-C. Yang, G. Gao, Searching large-scale scRNA-seq

databases via unbiased cell embedding with Cell BLAST, Nature Communications11(1) (2020) 3458.

[18] J. Hu, X. Li, G. Hu, Y. Lyu, K. Susztak, M. Li, Iterative transfer learning with

neural network for clustering and cell type classification in single-cell RNA-seq
 analysis, Nature Machine Intelligence 2(10) (2020) 607-618.

[19] J.D. Welch, V. Kozareva, A. Ferreira, C. Vanderburg, C. Martin, E.Z. Macosko,

733 Single-Cell Multi-omic Integration Compares and Contrasts Features of Brain Cell

734 Identity, Cell 177(7) (2019) 1873-1887.e17.

- [20] L. Zhang, S. Zhang, Learning common and specific patterns from data of
- multiple interrelated biological scenarios with matrix factorization, Nucleic acidsresearch 47(13) (2019) 6606-6617.
- [21] D. Arendt, J.M. Musser, C.V.H. Baker, A. Bergman, C. Cepko, D.H. Erwin, M.
- 739 Pavlicev, G. Schlosser, S. Widder, M.D. Laubichler, G.P. Wagner, The origin and
- evolution of cell types, Nature reviews. Genetics 17(12) (2016) 744-757.
- [22] S. Aibar, C.B. González-Blas, T. Moerman, V.A. Huynh-Thu, H. Imrichova, G.
- Hulselmans, F. Rambow, J.C. Marine, P. Geurts, J. Aerts, J. van den Oord, Z.K. Atak,
- J. Wouters, S. Aerts, SCENIC: single-cell regulatory network inference and
- clustering, Nat Methods 14(11) (2017) 1083-1086.
- [23] M.C. Oldham, S. Horvath, D.H. Geschwind, Conservation and evolution of gene
- coexpression networks in human and chimpanzee brains, Proceedings of the
- 747 National Academy of Sciences of the United States of America 103(47) (2006)
- 748 17973-8.
- [24] M. Schlichtkrull, T.N. Kipf, P. Bloem, R. van den Berg, I. Titov, M. Welling,
- 750 Modeling Relational Data with Graph Convolutional Networks, in: A. Gangemi, R.
- 751 Navigli, M.-E. Vidal, P. Hitzler, R. Troncy, L. Hollink, A. Tordai, M. Alam (Eds.) The
- 752 Semantic Web, Springer International Publishing, Cham, 2018, pp. 593-607.
- [25] P. Veličković, G. Cucurull, A. Casanova, A. Romero, P. Liò, Y.J.a.e.-p. Bengio,
- Graph Attention Networks, 2017, p. arXiv:1710.10903.
- [26] T. Hoang, J. Wang, P. Boyd, F. Wang, C. Santiago, L. Jiang, S. Yoo, M. Lahne,
- L.J. Todd, M. Jia, C. Saez, C. Keuthan, I. Palazzo, N. Squires, W.A. Campbell, F.
- 757 Rajaii, T. Parayil, V. Trinh, D.W. Kim, G. Wang, L.J. Campbell, J. Ash, A.J. Fischer,
- D.R. Hyde, J. Qian, S. Blackshaw, Gene regulatory networks controlling vertebrate
   retinal regeneration, Science (New York, N.Y.) 370(6519) (2020).
- [27] V. Ravi, B. Venkatesh, The Divergent Genomes of Teleosts, Annual review ofanimal biosciences 6 (2018) 47-68.
- [28] S.M. Glasauer, S.C. Neuhauss, Whole-genome duplication in teleost fishes and
  its evolutionary consequences, Molecular genetics and genomics : MGG 289(6)
  (2014) 1045-60.
- [29] B. Tasic, Z. Yao, L.T. Graybuck, K.A. Smith, T.N. Nguyen, D. Bertagnolli, J.
- Goldy, E. Garren, M.N. Economo, S. Viswanathan, O. Penn, T. Bakken, V. Menon, J.
- 767 Miller, O. Fong, K.E. Hirokawa, K. Lathia, C. Rimorin, M. Tieu, R. Larsen, T. Casper,
- E. Barkan, M. Kroll, S. Parry, N.V. Shapovalova, D. Hirschstein, J. Pendergraft, H.A.
- Sullivan, T.K. Kim, A. Szafer, N. Dee, P. Groblewski, I. Wickersham, A. Cetin, J.A.
- Harris, B.P. Levi, S.M. Sunkin, L. Madisen, T.L. Daigle, L. Looger, A. Bernard, J.
- Phillips, E. Lein, M. Hawrylycz, K. Svoboda, A.R. Jones, C. Koch, H. Zeng, Shared
- and distinct transcriptomic cell types across neocortical areas, Nature 563(7729)
  (2018) 72-78.
- [30] I. Korsunsky, N. Millard, J. Fan, K. Slowikowski, F. Zhang, K. Wei, Y. Baglaenko,
- 775 M. Brenner, P.R. Loh, S. Raychaudhuri, Fast, sensitive and accurate integration of
- single-cell data with Harmony, Nat Methods 16(12) (2019) 1289-1296.
- [31] L. McInnes, J. Healy, J.J.a.e.-p. Melville, UMAP: Uniform Manifold Approximation
- and Projection for Dimension Reduction, 2018, p. arXiv:1802.03426.

- [32] X. Zhang, Y. Lan, J. Xu, F. Quan, E. Zhao, C. Deng, T. Luo, L. Xu, G. Liao, M.
- Yan, Y. Ping, F. Li, A. Shi, J. Bai, T. Zhao, X. Li, Y. Xiao, CellMarker: a manually
   curated resource of cell markers in human and mouse, Nucleic acids research
- 782 47(D1) (2019) D721-d728.
- [33] The Gene Ontology resource: enriching a GOld mine, Nucleic acids research49(D1) (2021) D325-d334.
- [34] M. Ashburner, C.A. Ball, J.A. Blake, D. Botstein, H. Butler, J.M. Cherry, A.P.
- 786 Davis, K. Dolinski, S.S. Dwight, J.T. Eppig, M.A. Harris, D.P. Hill, L. Issel-Tarver, A.
- 787 Kasarskis, S. Lewis, J.C. Matese, J.E. Richardson, M. Ringwald, G.M. Rubin, G.
- 788 Sherlock, Gene ontology: tool for the unification of biology. The Gene Ontology
- Consortium, Nature genetics 25(1) (2000) 25-9.
- [35] C. Szegedy, V. Vanhoucke, S. loffe, J. Shlens, Z. Wojna, Rethinking the
- Inception Architecture for Computer Vision, 2016 IEEE Conference on Computer
  Vision and Pattern Recognition (CVPR), 2016, pp. 2818-2826.
- [36] D.P. Kingma, J.J.C. Ba, Adam: A Method for Stochastic Optimization,
- 794 abs/1412.6980 (2015).
- [37] N.X. Vinh, J. Epps, J. Bailey, Information Theoretic Measures for Clusterings
- Comparison: Variants, Properties, Normalization and Correction for Chance, 11(2010) 2837–2854.
- [38] H. Ahrens, Lancaster, H. O.: The Chi-squared Distribution. Wiley & Sons, Inc.,
  New York 1969. X, 366 S., 140 s, 13(5) (1971) 363-364.
- [39] A. Butler, P. Hoffman, P. Smibert, E. Papalexi, R. Satija, Integrating single-cell
  transcriptomic data across different conditions, technologies, and species, Nature
  biotechnology 36(5) (2018) 411-420.
- [40] F.A. Wolf, P. Angerer, F.J. Theis, SCANPY: large-scale single-cell gene
- expression data analysis, Genome Biol 19(1) (2018) 15.
- [41] R.J. Kinsella, A. Kähäri, S. Haider, J. Zamora, G. Proctor, G. Spudich, J.
- Almeida-King, D. Staines, P. Derwent, A. Kerhornou, P. Kersey, P. Flicek, Ensembl
- 807 BioMarts: a hub for data retrieval across taxonomic space, Database : the journal of 808 biological databases and curation 2011 (2011) bar030.
- [42] V.A. Traag, L. Waltman, N.J. van Eck, From Louvain to Leiden: guaranteeing
- 810 well-connected communities, Scientific reports 9(1) (2019) 5233.
- [43] A.D. Diehl, T.F. Meehan, Y.M. Bradford, M.H. Brush, W.M. Dahdul, D.S. Dougall,
- Y. He, D. Osumi-Sutherland, A. Ruttenberg, S. Sarntivijai, C.E. Van Slyke, N.A.
- Vasilevsky, M.A. Haendel, J.A. Blake, C.J. Mungall, The Cell Ontology 2016:
- enhanced content, modularization, and ontology interoperability, Journal of
- biomedical semantics 7(1) (2016) 44.
- [44] V.Y. Kiselev, A. Yiu, M. Hemberg, scmap: projection of single-cell RNA-seq data
  across data sets, Nat Methods 15(5) (2018) 359-362.
- 818 [45] M.D. Robinson, D.J. McCarthy, G.K. Smyth, edgeR: a Bioconductor package for
- 819 differential expression analysis of digital gene expression data, Bioinformatics
- 820 (Oxford, England) 26(1) (2010) 139-40.
- [46] L. Haghverdi, A.T.L. Lun, M.D. Morgan, J.C. Marioni, Batch effects in single-cell
- 822 RNA-sequencing data are corrected by matching mutual nearest neighbors, Nature

biotechnology 36(5) (2018) 421-427.

824

825

Figure 1. Overview of CAME. (A) The architecture of the heterogeneous graph 827 neural network in CAME. The scRNA-seq data of both reference and query 828 species and their homology genes are encoded as a heterogeneous cell-gene 829 graph. The cell-gene edge indicates that the cell has non-zero expression of 830 the gene. The gene homologous mappings are represented by a gene-gene 831 832 bipartite graph with each edge indicating a gene homology. Note that the homologous gene mappings can be many-to-many homologies. To preserve 833 the intrinsic data structure, the within-species cell-cell edges are adopted where 834 an edge between a pair of cells indicates that one is the k nearest neighbor of 835 the other (k=5 by default). The heterogeneous graph and the gene expression 836 profiles are input to CAME, passing through the inductive embedding layer, the 837 recurrent relational graph neural network, and the graph classifier with attention 838 mechanisms. The model is trained by minimizing the cross-entropy loss 839 calculated between the model prediction and the given labels of the reference 840 cells in an end-to-end manner. (B) Graph spatial convolutions for six different 841 types of edges including "a cell expresses a gene", "a gene is expressed by a 842 cell", "cell-cell similarity", "gene-gene homology", "cell self-loop" and "gene self-843 loop" with the edge type-specific convolution weights. (C) Heterogeneous graph 844 attention classifier on the last layer, where each cell pays different attention to 845 its neighbor genes. The output cell-type probabilities are calculated by the 846 weighted sum of the neighbor-gene embeddings, followed by the softmax 847 normalization. The attention weights are calculated from the concatenated cell 848 and gene embeddings with a linear transformation, followed by activation and 849 the softmax normalization among the neighbor-genes of the cell. (D) The output 850 of CAME includes the probabilistic cell-type assignment of the guery species, 851 as well as low-dimensional embeddings of the cells and genes from both 852 species. The gene embeddings are used for joint module extraction that allows 853 inter-species comparison of conservative or divergent characteristics. 854

855

#### **Figure 2. Benchmarking cross-species cell-type assignment performance**

of CAME. (A and B) Performance comparison of CAME and the six 858 benchmarking approaches in terms of cell-typing accuracy on 139 pairs of 859 cross-species scRNA-seq datasets (A) and on 510 pairs of cross-species 860 scRNA-seq datasets that associated with zebrafish, where each point 861 represents a pair of cross-species datasets and is colored by tissue. The 862 notation "X-Y" indicates that X is the reference and Y is the query. H: Human, 863 M: Mouse, C: Chick, Z: Zebrafish. (C) Performance comparison of the 864 classification accuracies of CAME and the six benchmarking methods on 865 different down-sampling rates (0.75, 0.5, 0.25, 0.1) for read counts. 866

867

#### Figure 3. Alignment comparison of cell embeddings across datasets by

CAME and five benchmarking methods. (A) The UMAP plots of the cell
embeddings by CAME and five benchmarking integration methods on the
scRNA-seq data from turtle (reference) and mouse (query) brains. Cells are
colored by their dataset identities (the first row) or cell type (the second row).
(B) Similar settings to (A). Here the reference datasets are the human
pancreatic scRNA-seq data from eight batches by five different platforms and

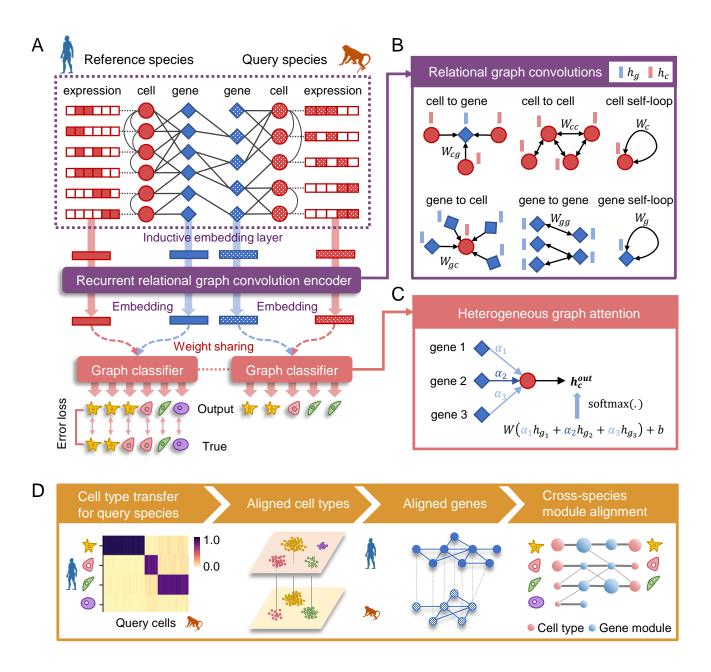
the query is from mouse pancreas cells. The UMAP plots of the third row showed the reference datasets, colored by batch identities.

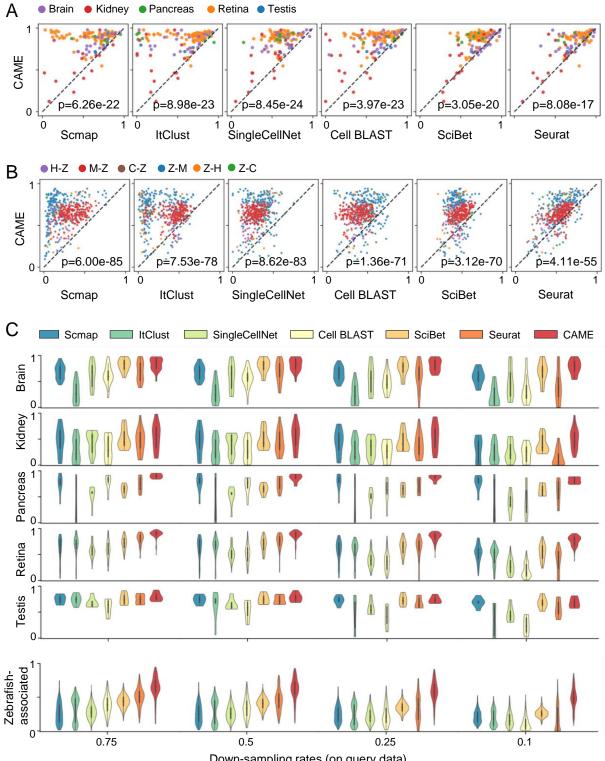
Figure 4. Application of CAME to human and mouse scRNA-seq data of 879 brain cells. (A) The predicted cell-type probabilities for each cell (each column) 880 in the mouse brain scRNA-seq data. The gene expressions of the human brain 881 were taken as the reference. Each row indicates a cell type in human data. OPC 882 is short for "oligodendrocyte precursor cells", SMC is short for "smooth muscle 883 cell", and VLMC is short for "vascular and leptomeningeal cell". (B) The top 884 homologous DEG expressions of oligodendrocytes and (predicted) OPCs in 885 human and mouse data, including several marker genes reported by previous 886 literature (collected from CellMarker, colored by red or blue), (C) Cross-species 887 alignment of the gene embeddings output by CAME, where each dot represents 888 a gene and each edge indicates the homology between a pair of genes. Genes 889 shared between species are colored by light-blue (human) or pink (mouse) 890 while the other genes are colored by dark-blue (human) or dark-red (mouse). 891 (D) The UMAP plots of gene embeddings showing the average expression 892 patterns (z-scored across cell-types for each gene) of four cell types (excitatory 893 neurons, inhibitory neurons, oligodendrocytes, OPCs) of human and mouse 894 brains, where the color of each dot is scaled by the expression level of that cell 895 type in the gene. (E) Abstracted graph of the heterogenous cell-gene graph, 896 each node represents a cell type (pink) or a gene module (light blue). The size 897 of a node is scaled by the number of single cells in that type or the number of 898 genes in that gene module. The width of an edge is scaled by either the 899 normalized mean expression levels of a cell type in the connected gene module 900 or the conservancy of inter-species gene modules based on the gene 901 embeddings learned by CAME. (F) Gene modules detected by joint module 902 extraction of genes from humans (above) and mice (below). 903

Figure 5. Application of CAME to human and macague scRNA-seq data 905 during spermatogenesis. (A) The predicted cell-type probabilities for each 906 macaque testicular cell (each column). The gene expressions of human testis 907 were taken as the reference. Each row indicates a cell type in the human data. 908 (B) The UMAP plots of cell embeddings output by CAME, colored by datasets 909 (left) or cell type (right). (C) 2D visualization of gene embeddings showing the 910 average expression patterns (z-scored across cell-types for each gene) of the 911 four stages across spermatogenesis, where each point represents a gene and 912 the color of each scatter is scaled by the expression level of that cell type in the 913 914 gene. (D) Abstracted graph of the heterogenous cell-gene graph. Each node represents a cell type (pink) or a gene module (light blue). The size of a node 915 is scaled by the number of single cells in that type or the number of genes in 916 that gene module. The width of an edge is scaled by either the normalized mean 917 expression levels of a cell type in the connected gene module or the 918 conservancy of inter-species gene modules based on the gene embeddings 919 learned by CAME. (E) Gene modules detected by joint module extraction of 920 genes from humans (above) and macagues (below). 921

922

Fig. 1





Down-sampling rates (on query data)

