

1 **Different adjuvanted pediatric HIV envelope vaccines**
2 **induced distinct plasma antibody responses despite similar B**
3 **cell receptor repertoires in infant rhesus macaques.**
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45

46 **Abstract**

47 Different HIV vaccine regimens elicit distinct plasma antibody responses in both human and
48 nonhuman primate models. Previous studies in human and non-human primate infants showed
49 that adjuvants influenced the quality of plasma antibody responses induced by pediatric HIV
50 envelope vaccine regimens. We recently reported that use of the 3M052-SE adjuvant and longer
51 intervals between vaccinations are associated with higher magnitude of antibody responses in
52 infant rhesus macaques. However, the impact of different adjuvants in HIV vaccine regimens on
53 the developing infant B cell receptor (BCR) repertoire has not been studied. This study evaluated
54 whether pediatric HIV envelope vaccine regimens with different adjuvants induced distinct
55 antigen-specific memory B cell repertoires and whether specific immunoglobulin (Ig)
56 immunogenetic characteristics are associated with higher magnitude of plasma antibody
57 responses in vaccinated infant rhesus macaques. We utilized archived preclinical pediatric HIV
58 vaccine studies PBMCs and tissue samples from 19 infant rhesus macaques immunized either
59 with (i) HIV Env protein with a squalene adjuvant, (ii) MVA-HIV and Env protein
60 coadministered using a 3-week interval, (iii) MVA-HIV prime/ protein boost with an extended 6-
61 week interval between immunizations, or (iv) with HIV Env administered with 3M-052-SE
62 adjuvant. Frequencies of vaccine-elicited HIV Env-specific memory B cells from PBMCs and
63 tissues were similar across vaccination groups (frequency range of 0.06-1.72%). There was no
64 association between vaccine-elicited antigen-specific memory B cell frequencies and plasma
65 antibody titer or avidity. Moreover, the epitope specificity and Ig immunogenetic features of
66 vaccine-elicited monoclonal antibodies did not differ between the different vaccine regimens.
67 These data suggest that pediatric HIV envelope vaccine candidates with different adjuvants that
68 previously induced higher magnitude and quality of plasma antibody responses in infant rhesus
69 macaques were not driven by distinct antigen-specific memory BCR repertoires.

70

71 **Introduction**

72 In 2019, 85% of the estimated 1.3 million pregnant women living with HIV-1 globally received
73 antiretroviral drugs to prevent transmission to their children (1). While the implementation of
74 antiretroviral prophylaxis has significantly decreased the global frequency of mother-to-child
75 transmission (MTCT) of HIV-1, issues of maternal adherence to antiretroviral therapy (ART) (2,
76 3), development of ART-resistant viruses (4), and insufficient coverage of ART in some of the
77 hardest-hit areas globally have limited the effectiveness of ART (5). Furthermore, women with
78 acute HIV-1 infection in late pregnancy or during the breastfeeding period are less likely to be
79 diagnosed and receive treatment to prevent MTCT (6). Thus, despite advancements in therapy,
80 breast milk transmission still accounts for approximately 50% of pediatric HIV infections (7, 8).
81 Additional prevention strategies, such as a pediatric HIV-1 vaccine, are therefore critically
82 needed to eradicate breast milk transmission of HIV-1.

83 Early efforts in HIV-1 vaccine development focused on the humoral arm of the immune
84 system as other vaccines that successfully prevent viral diseases relied on antibodies for
85 protection (9). However, early-phase HIV-1 vaccine studies using recombinant HIV-1 envelope
86 (Env) proteins showed no efficacy (10-12) with the exception of the RV144 trial that
87 demonstrated moderate vaccine efficacy of 61% during the first year and an overall efficacy of
88 31% at 3.5 years after vaccination (13, 14). Interestingly, non-neutralizing IgG that targeted the

89 HIV-1 Env variable loops 1 and 2 (V1V2) were identified as correlates of protection from the
90 RV144 study; meanwhile HIV-1 Env-specific IgA plasma antibodies were associated with lack
91 of protection (15). This finding reinvigorated the optimism that an effective HIV-1 vaccine is
92 attainable. A phase 2b/3 study (HVTN 702 or Uhambo) in South Africa, which used a pox vector
93 prime-protein boost vaccine regimen similar to RV144, albeit with distinct vaccine strains and a
94 different adjuvant, did not reproduce the results from the RV144 study and showed no efficacy
95 (16). Nevertheless, these studies demonstrated that HIV-1 vaccine immunogens could induce
96 robust levels of V1V2 IgG antibodies as well as polyfunctional CD4⁺ T cell responses as
97 surrogate of possible protection (15, 17).

98 To date, only a few previous pediatric HIV-1 vaccine trials have been conducted and
99 these trials demonstrated that immunization with recombinant subunit HIV gp120 vaccines
100 (PACTG 230) or with canarypox vectors expressing HIV antigens (PACTG 326, HPTN 027) are
101 safe and immunogenic (18-21). Importantly, HIV-1 infant vaccination with MF-59 adjuvanted
102 HIV gp120 was able to generate robust and durable Env-specific IgG responses including anti-
103 V1V2 IgG responses with low levels of Env-specific IgA responses (22). Similarly, neonatal
104 rhesus macaques are capable of developing robust virus-specific humoral and cellular immune
105 responses after SIV vaccination (23, 24). These clinical and preclinical studies demonstrate the
106 feasibility of initiating HIV-1 immunization within the first few days of life.

107 Our previous studies in human and non-human primate infants have indicated that several
108 factors can modulate the quality of the vaccine-elicited antibody response in infants (25, 26).
109 Notably, we observed that extending the interval between immunizations and the use of toll-like
110 receptor (TLR) agonist adjuvants can enhance the magnitude and breadth of the cellular and
111 humoral responses (26, 27). However, the influence of distinct HIV vaccine regimens and
112 adjuvants on the developing infant B cell repertoire has not been studied and the relationship
113 between the magnitude and quality of HIV-1 vaccine-elicited responses and immunogenetic
114 characteristics of the infant B cell repertoire remains unclear. Taking advantage of archived
115 plasma samples obtained from completed preclinical studies (25, 26), we assessed the antigen-
116 specific B cell repertoire in HIV vaccinated infant rhesus macaques. Our results indicated that
117 the magnitude and quality of plasma antibody responses induced by pediatric HIV vaccine
118 regimens with different adjuvants were not associated with distinct B cell repertoire profiles in
119 infant rhesus macaques.

120

121 **Methods**

122 **Animals**

123 A total of 19 Simian Immunodeficiency Virus (SIV)-negative and type D retrovirus-negative
124 newborn Indian-origin rhesus macaques (*Macaca mulatta*) were hand reared in the nursery of the
125 California National Primate Research Center (CNPRC, Davis, CA) as previously described (25).
126 Animals were reared in accordance with the American Association for Accreditation of
127 Laboratory Animal Care Standards, the guidelines of the Guide for the Care and Use of
128 Laboratory Animals of the Institute for Laboratory Research, National Research Council, and the
129 International Guiding Principles for Biomedical Research Involving Animals. All protocols were
130 assessed and approved by the University of California at Davis Institutional Animal Care and
131 Use Committee prior to beginning the study. Animals were randomly assigned to groups and
132 anesthetized for vaccinations and sample collection as previously reported (25, 26).

133

134 **Immunization regimens and study designs**

135 Immunization regimens and study designs for all 4 animal groups are summarized in Figure 1
136 and were previously described (25, 26). Briefly, infants in group 1 (protein only, n=5) were
137 vaccinated at 0, 3 and 6 weeks of age intramuscularly (IM) with 5×10^8 infectious units [IU] of
138 MVA/SIV gag/pol, with 15 μg of C.1086 gp120 administered IM in Span85-Tween 80-squalene
139 (STS) adjuvant, and with 200 μg of C.1086 gp120 administered intranasally (IN) in Toll-like
140 receptor 7 and 8 (TLR7/8) agonist, R848 adjuvant (25). Infants in group 2 (co-administration,
141 n=5) were vaccinated at 0, 3 and 6 weeks of age with similar regimen as group 1 with addition of
142 the MVA-HIV Env (5×10^8 IU, IM). Infants from these two groups were followed for 19 and 15
143 weeks, respectively, after which they were euthanized to analyze vaccine-induced tissue
144 responses. Infants in group 3 (extended interval, n=4) received the same vaccine regimen as the
145 co-administration group but were immunized at 0, 6 and 12 weeks and then boosted at 32 before
146 euthanasia at week 35 (25). Infants in group 4 (3M-052-SE, n=4) were immunized at 0, 2 and 6
147 weeks of age with a combination of HIV.C.1086C gp120 and TV1 gp120 (15 μg each, IM) in
148 TLR7/8-based adjuvant 3M-052 formulated in stable emulsion (3M-052-SE) (26).

149

150 **Collection and processing of blood and tissue specimens**

151 Whole blood, plasma, and peripheral blood mononuclear cells (PBMCs) were collected before
152 each immunization and thereafter biweekly throughout the study as previously described (25,
153 26). PBMCs reported in this study were collected at week 8 for the protein only,
154 coadministration, and extended interval groups. Spleen, lymph nodes (LNs; axillary, mesenteric,
155 submandibular, cervical, submental, and retropharyngeal), and intestinal tissues (colon and
156 ileum) were collected for preparation of mononuclear cell (MNC) suspensions at necropsies for
157 groups 1 to 3 (i.e., the protein only, coadministration, and extended interval groups). LN biopsies
158 were collected at week 10 while PBMCs were collected at week 15 in the 3M-052-SE group.

159

160 **Single-cell flow cytometry sorting of antigen-specific memory B cells**

161 PBMCs and tissue MNC suspensions were treated with 5 μM Chk2 inhibitor II or 2-[4-(4-
162 chlorophenoxy)phenyl]-1H-benzimidazole-5-carboxamide (Sigma) prepared in final volume of
163 1% bovine serum albumin (Sigma-Aldrich) in 1X phosphate buffered saline (PBS, Sigma).
164 PBMCs and MNC suspensions were blocked with 6.25 $\mu\text{g}/\text{ml}$ anti-human CD4 antibody (BD
165 Biosciences) at 4°C for 15 min followed by staining with a panel of fluorochrome-conjugated
166 antibodies to identify antigen-specific memory B cells as described by gating strategy
167 (Supplemental figure 2B). Briefly, lymphocytes were gated on singlets and live cells based on
168 Aqua vital dye (Invitrogen), exclusion of T cells (CD3-PerCP-Cy5.5, clone SP34-2, BD
169 Biosciences) and monocytes/macrophages (CD14-BV570, clone M5E2, BioLegend; CD16-
170 Phycoerythrin-Cy7, clone 3G8, BD Biosciences), followed by selection of memory B cells by
171 positive expression of CD20 and CD27 (CD20-FITC, clone 2H7; CD27-APC-Cy7, clone O323,
172 both BioLegend) but not immunoglobulin D (IgD-PE, Southern Biotech) with double specificity
173 to both HIV C.1086 Env hooks (BV421-gp120 C.1086 and AF647-gp120 C.1086, both
174 generated in-house). Percent of antigen-specific memory B cells from individual infants and
175 tissue types as well as representative flow cytometry analysis for sorting are listed in
176 Supplemental Figure 2A.

177

178 **Polymerase chain reaction (PCR) amplification of immunoglobulin** 179 **(Ig) V_H and V_L genes**

180 The sorted single-cell antigen specific memory B cells V_H and V_L genes were amplified by
181 nested PCR as previously described (28-30) followed by Sanger sequencing of the purified
182 nested PCR products. Sequences were analyzed using a custom bioinformatics pipeline and were
183 annotated with immunogenetic information using the Cloanalyst software package
184 (<https://www.bu.edu/computationalimmunology/research/software/>) (31). Identification of Ig
185 subtypes and functional Ig heavy and light chains were first performed using human Ig sequence
186 database as previously described (28) and recombinant monoclonal antibodies (mAbs) were
187 generated based on this analysis. Subsequently the immunogenetic characteristics of the
188 recombinant mAbs were reanalyzed using a rhesus Ig sequence database once it became
189 available.

190

191 **Results**

192 **Enhancement of pediatric HIV Env antibody responses by extended** 193 **vaccine interval and TLR agonist adjuvants despite similar antigen-** 194 **specific memory B cells in PBMC and tissues across vaccination** 195 **groups.**

196 We have evaluated different vaccine regimens and immunization schedules (Fig 1, see details in
197 methods) to optimize HIV Env-specific antibody responses in infant rhesus macaques (25, 26).
198 Previously, we observed that the magnitude and quality of vaccine-induced HIV Env-specific
199 responses can be enhanced by increasing the timing of vaccination interval from 3 to 6 weeks
200 (25) and by using the TLR7/8 agonist adjuvant 3M-052-SE when compared to alum or to the
201 TLR4 ligand glucopyranosyl lipid formulated in SE (GLA-SE) (26). Overall, infants vaccinated
202 with 3M-052-SE-adjuvanted vaccine developed the highest magnitude of HIV Env C.1086
203 gp120-specific plasma IgG antibody concentrations across all four groups at peak
204 immunogenicity (S1 Fig A). Additionally, at peak immunogenicity, infant plasma antibody from
205 all four groups developed varying levels of cross clade Env gp120 responses as well as broad
206 heterologous epitope specificities and breadth (S1 Fig B-C). Infant plasma IgG antibody from
207 group 4 (3M-052-SE) also demonstrated higher avidity strengths against the tested antigens (HIV
208 Env 1086d7gp120 K160N and gp70 ConC V3) compared to other immunization groups (S1 Fig
209 D).

210 **Fig 1. Animal study design and immunization schedule.** Infant rhesus macaques from four
211 immunization schedules were included. Protein only group was immunized with MVA-SIV
212 gag/pol and HIV Envelope (Env) 1086c adjuvanted with 15 µg STS, intramuscular + 200 µg
213 R848, intranasal. Coadministration group was immunized with MVA-SIV gag/pol, HIV Env
214 1086c adjuvanted with 15 µg STS, intramuscular + 200 µg R848, intranasal), and with MVA-
215 HIV Env. Extended interval group was immunized with similar immunogens as
216 Coadministration group with longer immunization intervals. 3M-052-SE group was immunized
217 with HIV Env 1086c/TV1 bivalent adjuvanted with 15 µg/15 µg+3M-052-SE, intramuscular.

218 Plus symbol (+) denoted necropsy, IM denoted intramuscular, IN denoted intranasal, and IU
 219 denoted infectious unit.

220

221 **Single-cell flow cytometry sorting of antigen-specific memory B cells indicated**
 222 **low level of frequencies across all vaccination groups.**

223 To determine whether the different vaccine regimens induced antibodies with distinct frequency
 224 of antigen-specific memory B cells, we characterized antigen-specific memory B cells from
 225 PBMC and tissues at selected time points (Fig 1). We were able to obtain Env-specific memory
 226 B cells (CD3-CD16-CD14-CD20+CD27+IgD-, double positive for HIV Env C.1086 gp120)
 227 from 3 of 5 infants in group 1 (protein only), 5 of 5 infants in group 2 (coadministration), 3 of 5
 228 infants in group 3 (extended interval), and from 4 of 4 infants in group 4 (3M-052-SE) (S2 Fig
 229 A-B). The frequency of Env-specific memory B cells were low across all vaccine groups (0.06-
 230 1.72%) with 0.07-1.72% range in group 1, 0.06-0.97% in group 2, 0.08-0.49% in group 3, and
 231 0.06-0.11% in group 4.

232 We were able to produce a total of 39 mAbs with functional heavy- and light-chain pairs
 233 based on our initial analysis using the human Ig sequence database (28), because rhesus Ig
 234 database was unavailable at the time. The 39 mAbs came from 13 specimens including 3
 235 specimens from group 1 (2 infants), 3 specimens from group 2 (2 infants), 4 specimens from
 236 group 3 (2 infants), and 3 specimens from group 4 (3 infants) for final B cell repertoire analyses
 237 (Table 1). No tissue specimen was available for group 4 as these infants were part of a challenge
 238 study. Overall, the frequencies of Env-specific memory B cells in PBMCs and tissues did not
 239 differ between the vaccine groups. The percent frequency of antigen-specific memory B cells
 240 ranged from 0.06 to 1.72%, with the highest frequency observed in the PBMCs of 2 infants in
 241 group 1 (protein only) (S2 Fig A). Notably, despite higher magnitude and quality of Env-specific
 242 plasma antibody responses in group 3 (extended interval) compared to group 2
 243 (coadministration) (25), the frequencies of Env-specific memory B cells did not differ between
 244 these groups. Similarly, despite higher binding magnitude and avidity strength of Env-specific
 245 plasma antibody responses in group 4 (3M-052-SE) when compared to others, the frequencies of
 246 Env-specific memory B cells at least in the PBMCs of vaccinated infants in this group did not
 247 differ to the other groups. Altogether, these data suggest that the size of HIV Env vaccine-
 248 elicited memory B cell pool is not directly related to magnitude or quality of plasma Env-specific
 249 antibody responses in infant rhesus macaques.

250

251 **Table 1. Immunogenetic characteristics of isolated envelope (Env)-reactive mAbs of Env-**
 252 **vaccinated infant monkeys based on human immunoglobulin database analysis.** A total of
 253 39 pairs of potentially Env-reactive mAbs were isolated from the four vaccination groups across
 254 several anatomic compartments. Frequency of gene usage, percent somatic hypermutation, and
 255 complementarity-region 3 (CDR3) length are displayed for the heavy and light chains for each
 256 mAb along with the isotype and epitope specificity.

257

Animal ID	Group	Tissue	IgH ID	V _H gene	D _H gene	J _H gene	HC % SHM	HC CDR3 length	Ig Isotype	IgL ID	V _L /V _κ gene	J _L /J _κ gene	Specificity
45521	Protein Only	Spleen	H020465	4~4*07	2~OF15*2/inv	3*01	5.31	23	IgG	K020382	1~33*01	2*03	Undetermined

45521	Protein Only	Retropharyngeal LN	H914640	4~4*07	3~3*01	3*01	6.14	23	IgA	K907482	1~33*01	2*03	Undetermined
45521	Protein Only	Retropharyngeal LN	H914648	4~4*07	3~3*01	3*01	6.65	23	IgG	K907485	1~33*01	2*03	Undetermined
45521	Protein Only	Retropharyngeal LN	H914649	4~4*07	5~12*01	3*01	5.88	23	IgG	K907486	1~33*01	2*03	Undetermined
45522	Protein Only	Spleen	H020414	4~61*03	6~13*01	4*02	10.03	13	IgG	L020264	1~51*02	3*02	Undetermined
45083	Coadministration	Spleen	H020400	4~59*01	6~19*01	4*02	6.17	13	IgM	L020253	1~40*01,02	2*01	V1V2
45083	Coadministration	Mediastinal LN	H020405	4~59*01,02	3~16*01,02	4*02	4.52	17	IgG	K020331	1D~16*01	2*03,04	V1V2
45083	Coadministration	Mediastinal LN	H020405	4~59*01,02	3~16*01,02	4*02	4.52	17	IgG	L020258	2~23*02	1*01	V3
45083	Coadministration	Mediastinal LN	H020407	4~b*02	5~12*01	4*02	8.41	13	IgG	L020260	2~8*01	1*01	V3
45083	Coadministration	Mediastinal LN	H020408	4~39*06	1~26*01	4*02	6.38	13	IgG	L020260	2~8*01	1*01	Undetermined
45091	Coadministration	Axillary LN	H020381	3~73*01,02	2~2*02/inv	6*02	10.15	14	IgG	L020241	11~55*01	2*01	Undetermined
45091	Coadministration	Axillary LN	H020387	4~59*01	4~4*01	4*02	4.74	17	IgG	K020324	1~39*01	4*01	V3
45435	Extended Interval	Mediastinal LN	H020422	4~59*01	3~3*01,02	3*01,02	6.19	18	IgA	K020341	1~13*02	4*01	V1V2
45435	Extended Interval	Mediastinal LN	H020425	4~59*01,02	3~OR15*3	5*01,02	7.63	16	IgG	K020344	1~33*01	2*03	CD4 binding site
45435	Extended Interval	Mediastinal LN	H020426	3~72*01	1~OR15*1	6*02	8.30	14	IgM	L020282	11~55*01	2*01	V3
45435	Extended Interval	Mediastinal LN	H020430	3~11*01	1~7*01/inv	5*01	10.61	17	IgG	K020348	1/OR2~0*01	2*03	V1V2
45435	Extended Interval	Mediastinal LN	H020431	3~64*02	4~17*01	5*01	9.40	12	IgG	K020349	3~11*01	1*01	V1V2
45435	Extended Interval	Mediastinal LN	H020445	4~4*02	4~4*01	4*02	6.53	16	IgG	K020359	1D~16*01	2*03	V3
45435	Extended Interval	Mediastinal LN	H020420	4~61*05	6~13*01	4*02	11.08	16	IgG	L020280	5~39*01	2*01	V3
45435	Extended Interval	Spleen	H020461	3~73*01,02	2~15*01	4*02	9.65	17	IgG	K020380	1~12*01,02	2*03	Undetermined
45441	Extended Interval	Spleen	H020449	3~21*01,02	1~IR1*01	1*01	6.05	29	IgG	K020361	1D~16*01	1*01	Undetermined
45441	Extended Interval	Spleen	H020452	4~59*01	3~3*01	4*02	7.18	18	IgG	L020292	1~51*02	7*01	CD4 binding site
45441	Extended Interval	Spleen	H020450	3~21*01,02	3~22*01	1*01	7.14	15	IgG	L020290	3~21*01	6*01	Undetermined
45441	Extended Interval	Submental LN	H914598	4~59*01	3~3*01	6*02	7.41	18	IgA	K907464	2~28*01	1*01	Undetermined

45838	3M-052-SE	PBMC	H020493	3~43*02	6~13*01	4*02	5.31	10	IgG	L020321	3~19*01	2*01	Undetermined
45840	3M-052-SE	PBMC	H020481	4~61*01,08	3~3*01,02	4*02	6.32	13	IgG	K020401	2~30*01	1*01	V3
45840	3M-052-SE	PBMC	H020485	4~39*06	3~3*01,02	4*02	6.12	13	IgG	K020402	2~40*01	1*01	V3
45840	3M-052-SE	PBMC	H020488	3~43*02	6~13*01	4*02	4.77	10	IgG	K020402	2~40*01	1*01	Undetermined
45851	3M-052-SE	PBMC	H020495	3~15*08	0~IR*01C	3*01	7.03	11	IgG	K020407	2~40*01	1*01	Undetermined
45851	3M-052-SE	PBMC	H020494	4~39*06	3~3*01,02	4*02	6.89	13	IgG	K020409	2~30*01	1*01	Undetermined
45851	3M-052-SE	PBMC	H020500	4~59*01	6~13*01	1*01	4.21	10	IgA	L020324	5~48*01	1*01	V3
45851	3M-052-SE	PBMC	H020498	5~51*01	3~10*01	5*01	4.15	13	IgG	L020327	1~50*01	2*01	V3
45851	3M-052-SE	PBMC	H020499	3~43*02	2~8*02	4*02	5.61	16	IgG	L020326	6~57*01	7*01	Undetermined
45851	3M-052-SE	PBMC	H020505	4~59*01	1~1*01	1*01	4.21	10	IgM	L020329	6~57*01	7*01	V3
45851	3M-052-SE	PBMC	H020501	4~39*06	3~3*01,02	4*02	6.63	13	IgG	L020328	5~48*01	1*01	V3
45851	3M-052-SE	PBMC	H020504	3~43*02	6~13*01	4*02	5.31	10	IgG	L020329	6~57*01	7*01	Undetermined
45851	3M-052-SE	PBMC	H020506	4~39*06	3~3*01,02	1*01	6.38	13	IgE	L020333	6~57*01	7*01	V3
45851	3M-052-SE	PBMC	H020496	3~43*02	2~8*02	4*02	5.57	16	IgG	K020407	2~40*01	1*01	Undetermined
45851	3M-052-SE	PBMC	H020497	4~59*01	1~1*01	1*01	3.95	10	IgG	K020409	2~30*01	1*01	V3

258

259 **Epitope specificities and immunogenetics of vaccine-elicited Env-**
 260 **specific memory BCR repertoires did not differ across vaccination**
 261 **groups.**

262 We next characterized the immunogenetics and epitope specificities of the HIV Env vaccine-
 263 elicited mAbs. In our initial analysis, the identification of functional heavy and light chains and
 264 their immunogenetic characteristics was conducted using a previously validated bioinformatic
 265 method for rhesus BCR repertoire characterization using the human Ig sequence database (28,
 266 30) (Table 1). Notably, the majority of identified functional heavy- and light-chain Ig pairs were
 267 of IgG isotype (31 functional pairs) with IgA and IgM isotypes represented in 4 and 3 functional
 268 pairs, respectively (Fig 2A and Table 1). Most mAbs were specific to the HIV Env V3 loop (14
 269 functional Ig pairs) followed by V1V2 loop-specific mAbs (5 functional pairs). Only one
 270 functional pair targeting the CD4 binding site was identified, and we were unable to determine
 271 the epitope specificities of 18 functional heavy and light chains pairs. These data are consistent
 272 with our prior observations which demonstrated that most of the polyclonal plasma antibody
 273 response in these vaccinated infant macaques was directed against the HIV Env V3 region (25,
 274 26).

275 Recent advances in genome sequencing and detailed characterization of rhesus Ig loci
 276 provided better understanding of allelic diversity in rhesus Ig genes (32-34). Moreover, the
 277 availability of rhesus Ig gene libraries (32) provided the opportunity to reanalyze the 39
 278 functional Ig pairs that we previously identified using the human Ig sequence database. This
 279 secondary analysis confirmed that 26 of 39 Ig pairs were indeed functional (Table 2) with 5 Ig
 280 pairs in group 1 (protein only), 7 Ig pairs in group 2 (coadministration), 8 Ig pairs in group 3

281 (extended interval), and 6 Ig pairs in group 4 (3M-052-SE) (Fig 2B). Similar to earlier analysis
 282 using the human Ig sequence database, the majority of identified functional heavy- and light-
 283 chain Ig pairs are of IgG isotype (21 functional pairs). Based on the rhesus Ig sequence database,
 284 the variable heavy chain (VH) gene usage was largely restricted to the VH3 and VH4 gene
 285 families, across all the vaccination groups, and there was no apparent difference in VH usage in
 286 the 3M-052-SE group as compared to the other vaccination groups (Table 2). The majority of the
 287 Ig pairs confirmed as functional in the secondary analysis were against undefined epitopes (12 Ig
 288 pairs), whereas 6 pairs were specific to the V3 loop and 5 pairs targeted the V1V2 loop. This
 289 suggests that an important proportion of the vaccine-elicited mAbs were against non-linear
 290 conformational epitopes on the HIV Env.

291
 292 **Figure 2. Epitope specificity and immunogenetic characteristics of infant vaccine-elicited**
 293 **envelope-specific functional heavy chain and light chain pairs.** (A) Initial analysis with
 294 human immunoglobulin (Ig) database indicated a total of 39 heavy and light chain pairs isolated
 295 from antigen-specific B cells from infant PBMCs and tissues were reactive to HIV envelope
 296 (Env-reactive). Epitope specificity, VH gene family usage and isotype distribution of identified
 297 functional heavy and light chains are displayed in concentric circles. The number of mAbs per
 298 group is displayed in the center. (B) Reanalysis using newly developed software based on rhesus
 299 macaque Ig sequences confirmed that 26 of 39 heavy chain and light chain pairs were functional.

300
 301 **Table 2. Immunogenetic characteristics of isolated envelope (Env)-reactive mAbs of Env-**
 302 **vaccinated infant monkeys based on rhesus macaque immunoglobulin database analysis.** A
 303 total of 26 pairs of potentially Env-reactive mAbs were isolated from the four vaccination groups
 304 across several anatomic compartments. Frequency of gene usage, percent somatic
 305 hypermutation, and complementarity-region 3 (CDR3) length are displayed for the heavy and
 306 light chains for each mAb along with the isotype and epitope specificity.

307

Anim al ID	Group	Tissue	IgH ID	V _H gene	D _H gene	J _H gene	HC % SHM	HC CDR3 length	Ig Isotype	IgL ID	V _L /V _K gene	J _L /J _K gene	Specificity
45521	Protein Only	Spleen	H691 207	IGHV 4-j*02	IGHD 3-9*01	IGHJ 5-2*01	3.82	23	IgG	K690 414	IGKV 1-n*01	IGKJ 2-1*01	Undetermined
45521	Protein Only	Retropharyngeal LN	H691 248	IGHV 4-j*02	IGHD 3-9*01	IGHJ 5-2*01	5.21	23	IgA	K690 428	IGKV 1-n*01	IGKJ 2-1*01	Undetermined
45521	Protein Only	Retropharyngeal LN	H691 255	IGHV 4-j*03	IGHD 3-9*01	IGHJ 5-2*01	4.51	23	IgG	K690 431	IGKV 1-n*01	IGKJ 2-1*01	Undetermined
45521	Protein Only	Retropharyngeal LN	H691 256	IGHV 4-j*02	IGHD 3-9*01	IGHJ 5-2*01	3.82	23	IgG	K690 432	IGKV 1-n*01	IGKJ 2-1*01	Undetermined
45522	Protein Only	Spleen	H691 308	IGHV 4-n*01	IGHD 6-24*01	IGHJ 4*01	7.90	13	IgG	L690 936	IGLV 1-e*01	IGLJ 3*01	Undetermined
45083	Coadministration	Spleen	H691 279	IGHV 4-f*02	IGHD 6-34*01	IGHJ 4*01	7.56	13	IgM	L690 918	IGLV 1-b*01	IGLJ 3*01	V1V2
45083	Coadministration	Mediastinal LN	H691 285	IGHV 4-g*02	IGHD 3-26*01	IGHJ 4*01	4.17	17	IgG	K690 448	IGKV 1-a*01	IGKJ 2-1*01	V1V2
45083	Coadministration	Mediastinal LN	H691 285	IGHV 4-g*02	IGHD 3-26*01	IGHJ 4*01	4.17	17	IgG	L690 922	IGLV 2-i*01	IGLJ 1*01	V3

45083	Coadministration	Mediastinal LN	H691289	IGHV4-f*03	IGHD5-5*02	IGHJ4*01	5.15	13	IgG	L690925	IGLV2-a*01	IGLJ1*01	Undetermined
45091	Coadministration	Axillary LN	H691299	IGHV3-g*03	IGHD4-4*02	IGHJ6*01	6.80	14	IgG	L690932	IGLV11-a*01	IGLJ2*03	Undetermined
45091	Coadministration	Axillary LN	H691306	IGHV4-j*02	IGHD4-22*01	IGHJ4*01	5.15	17	IgG	K690454	IGKV1-e*05	IGKJ4-1*01	V3
45091	Coadministration	PBMC	H691004	IGHV3-al*01	IGHD3-3*01	IGHJ4*01	7.99	19	IgG	L690726	IGLV3-c*02	IGLJ2*03	CD4 binding site
45435	Extended Interval	Mediastinal LN	H691315	IGHV4-f*03	IGHD3-21*01	IGHJ3*01	7.22	18	IgG	K690458	IGKV1-f*04	IGKJ4-1*01	V1V2
45435	Extended Interval	Mediastinal LN	H691312	IGHV4-j*02	IGHD1-39*01	IGHJ5-1*01	5.56	16	IgG	K690456	IGKV1-n*01	IGKJ2-1*01	CD4 binding site
45435	Extended Interval	Mediastinal LN	H691321	IGHV3-al*01	IGHD3-14*01	IGHJ6*01	2.43	17	IgG	K690463	IGKV1-r*01	IGKJ2-1*01	V1V2
45435	Extended Interval	Mediastinal LN	H691322	IGHV3-r*02	IGHD6-29*01	IGHJ6*01	2.43	12	IgG	K690464	IGKV3-c*01	IGKJ1-1*01	V1V2
45441	Extended Interval	Spleen	H691341	IGHV3-y*03	IGHD4-22*01	IGHJ6*01	4.86	0	IgG	K690472	IGKV1-a*01	IGKJ1-1*01	Undetermined
45441	Extended Interval	Spleen	H691346	IGHV4-j*02	IGHD3-21*01	IGHJ4*01	13.75	0	IgG	L690948	IGLV1-e*01	IGLJ7*01	CD4 binding site
45441	Extended Interval	Spleen	H691343	IGHV3-y*03	IGHD2-25*01	IGHJ1*01	5.21	15	IgG	L690946	IGLV2-j*16	IGLJ6*01	Undetermined
45441	Extended Interval	Submental LN	H691216	IGHV4-j*02	IGHD3-3*01	IGHJ6*01	1.39	18	IgA	K690417	IGKV2-d*03	IGKJ1-1*01	Undetermined
45851	3M-052-SE	PBMC	H680141	IGHV3-l*02	IGHD5-23*01	IGHJ5-2*01	0.00	11	IgG	K680049	IGKV2-r*01	IGKJ1-1*01	Undetermined
45851	3M-052-SE	PBMC	H680144	IGHV4-m*02	IGHD1-A*01	IGHJ4*01	2.38	13	IgG	K680048	IGKV2-g*01	IGKJ1-1*01	Undetermined
45851	3M-052-SE	PBMC	H680146	IGHV4-f*02	IGHD6-24*01	IGHJ4*01	4.47	10	IgD	L680105	IGLV5-b*01	IGLJ1*01	V3
45851	3M-052-SE	PBMC	H680147	IGHV5-b*02	IGHD7-A*01	IGHJ6*01	2.43	13	IgG	L680103	IGLV1-d*01	IGLJ2*03	V3
45851	3M-052-SE	PBMC	H680149	IGHV4-f*02	IGHD6-24*01	IGHJ4*01	5.15	10	IgE	L680109	IGLV6-c*01	IGLJ2*03	V3
45851	3M-052-SE	PBMC	H680150	IGHV4-m*02	IGHD1-A*01	IGHJ4*01	2.04	13	IgG	L680108	IGLV5-b*01	IGLJ1*01	V3

308

309 **Frequency of somatic hypermutation and heavy chain**
 310 **complementarity-determining region 3 (HCDR3) length in HIV**
 311 **Env-specific Ig pairs did not differ across vaccination groups.**

312 We also sought to define whether increased somatic hypermutation and affinity maturation in
 313 Env-specific Ig pairs could potentially contributed to distinct plasma antibody responses induced
 314 by different pediatric HIV vaccine regimens (25, 26). Based on the preliminary Ig sequence
 315 analysis using the human Ig gene database, the frequency of somatic hypermutation (SHM) was

316 comparable across the vaccination groups (Fig 3A) with range of SMH of 5.31-10.03% in group
317 1 (protein only), 4.52-10.15% in group 2 (coadministration), 6.05-11.08% in group 3 (extended
318 interval), and 4.15-7.03% in group 4 (3M-052-SE) (Table 1). Additionally, the HCDR3 of Env-
319 reactive functional pairs were comparable across all vaccination groups, with HCDR3 length
320 range of 10-23 aa. The highest median HCDR3 length was observed in the protein only group
321 (median length of 23 aa) and the lowest was observed in the 3M-052-SE group (median length of
322 13 aa). The HCDR3 median length in group 2 (coadministration) and 3 (extended interval) was
323 14 aa and 17 aa, respectively.

324

325 **Fig 3. Frequency of somatic hypermutation and heavy chain complementarity-determining**
326 **region 3 (HCDR3) length of vaccine-elicited envelope (Env)-reactive functional heavy and**
327 **light chains identified from infants.** (A) Analysis of percent somatic hypermutation frequency
328 and HCDR3 lengths for Env-reactive heavy and light chains pairs (39 mAb pairs) from infant
329 antigen-specific B cells based on human immunoglobulin (Ig) sequence database. (B) Analysis
330 of percent somatic hypermutation frequency and HCDR3 lengths for Env-reactive heavy and
331 light chains pairs (26 mAb pairs) from antigen-specific B cells based on rhesus macaque Ig
332 sequence database. Horizontal lines indicated median values of individual groups. Corresponding
333 functional heavy and light chains isolated from individual infants are denoted by symbols.

334

335 Comparable SHM frequency across the groups was confirmed with the secondary
336 analysis based on the rhesus Ig sequence database (Fig 3B and Table 2), albeit mutation rates
337 were slightly lower compared to the initial analysis using the human Ig sequence database. The
338 range of SHM was 3.82-7.9% in group 1 (protein only), 4.17-7.99% in the group 2
339 (coadministration), 1.39-13.75% in group 3 (extended interval), and 0-5.15% in group 4 (3M-
340 052-SE) (Table 2). The HCDR3 length of Env-reactive functional pairs was also comparable
341 across all vaccination groups. Similar to human Ig sequence, the highest median HCDR3 region
342 was observed in group 1 (protein only) and the lowest median HCDR3 region was observed in
343 group 4 (3M-052-SE). Interestingly, the median HCDR3 length in group 2 (coadministration)
344 was lower based on human Ig sequence database (median HCDR3 length of 14 aa) than the
345 rhesus Ig sequence (median HCDR3 length of 17 aa). Meanwhile, the median HCDR3 length for
346 group 3 (extended interval) was lower with the rhesus IgG sequence (median HCDR3 length of
347 15 aa) than in the human Ig sequence (median HCDR3 length of 17 aa). These findings highlight
348 the limitations of using human database to analyze the BCR repertoire in rhesus.

349

350 Altogether, our data suggest that the magnitude and quality of vaccine-elicited plasma
351 Env-specific antibody responses administered with different adjuvants are not related to the size
352 of the antigen-specific memory B cell pool or to the immunogenetics characteristics of the
353 vaccine-elicited Ig pairs.

353

354 Discussion

355 Based on previous observations that different pediatric HIV vaccine regimens induced distinct
356 plasma antibody responses (25, 26), the goal of this study was to investigate whether these
357 responses were driven by distinct memory BCR repertoire characteristics including distinct Ig
358 gene usage, rates of SHM and HCDR3 lengths. We utilized samples from 2 completed
359 immunization studies (25, 26), in which newborn infant rhesus macaques were immunized with
360 four distinct vaccine regimens (Figure 1). Our results indicate that although the different vaccine

361 regimens induced distinct plasma antibody responses, there were no significant differences in the
362 B cell repertoires.

363 The development of a safe and effective pediatric HIV-1 vaccine to eliminate postnatal
364 infant HIV-1 infections will probably require the use of novel adjuvants such as Toll-like
365 receptor (TLR) agonists. Indeed, recent studies have demonstrated that TLR7/8 adjuvantation
366 can enhance vaccine responses in early life (35-37). Notably, our group recently reported that an
367 HIV vaccine adjuvanted with 3M-052-SE induces superior plasma antibody levels than other
368 adjuvanted HIV regimens in infant rhesus macaques (26). We showed that antibody levels
369 against autologous and heterologous envelope proteins, and observed that overall, the magnitude
370 of the vaccine-elicited antibody response was higher in the 3M-052-SE group (Supplemental
371 figure 1A-C). However, the impact of these different pediatric HIV Env vaccine regimens on the
372 developing infant antigen-specific B cell BCR repertoires is still unclear.

373 Previous study investigating the BCR repertoire in HIV immunized adult monkeys have
374 reported a preferential usage of the VH4 and VH3 families (28). Our initial analysis of the BCR
375 repertoire in the vaccinated infant rhesus macaques was conducted using the same human Ig
376 sequence database and bioinformatics methods, in which we similarly observed that 69% of the
377 infant Env-specific functional heavy and light chains in this study used VH4 genes and 26% use
378 VH3 genes (Table 1). However, we found that the Env-specific functional heavy and light chains
379 in infant rhesus macaques were slightly shorter and had lower mutation rates than in adults. For
380 example, in adult rhesus macaques immunized with a pox prime/protein boost regimen the
381 median HCDR3 length after the fifth immunization was 16 aa and the SHM rate was 9.3%.
382 Meanwhile, in our study the overall median HCDR3 length across all vaccination groups was 14
383 aa and the SMH rate was 6.3%. The lower levels of SHM rate in infant rhesus macaques as
384 compared to adult rhesus macaques is in accordance with the observation that SHM rate
385 increases with age. Moreover, broadly neutralizing antibodies (bNAbs) isolated from HIV-
386 infected pediatric patients (1-year post-infection) also have lower levels of SHM rate than HIV-
387 infected adult bNAbs directed against the same epitopes (38).

388 Due to their close genetic similarity to humans, rhesus macaques are valuable animal
389 model for studies of infectious diseases including the understanding of vaccine-elicited immune
390 responses. However, despite their wide usage as a human surrogate model system, many aspects
391 of the rhesus macaque immune system are still under characterized and poorly annotated. Recent
392 advances in high-throughput NGS and specialized computational methods provide tools to the
393 scientific community to compare rhesus repertoires of heavy and light-chains to humans in order
394 to better understand how they may perform as a model system for B- and T-cell mediated
395 immunity in humans (39-41). Characterization of human and rhesus BCR repertoires showed
396 that the frequency of V- and J-gene segment usage and HCDR3 lengths between human and
397 rhesus were in concordance with one another (39, 42). However, comparative analyses of
398 different Ig subtypes (IgM, IgG, IgK and IgL) sequences revealed significant differences in the
399 overall BCR repertoires. Rhesus macaques have higher diversity of BCR repertoires with
400 different family gene usage and slight difference in the frequencies of HCDR3 lengths within the
401 IgM⁺ BCR repertoires, likely due to gene family usage in the class-switched (IgG) compartment
402 (39). However, importantly, the low abundance of long CDRH3s in rhesus IgM⁺ B cells did not
403 impede their expansion into the IgG⁺ B cells, and in rhesus IgG⁺ B cell frequency was
404 comparable to human IgG⁺ B cells. Thus, given the complexity of gene recombination, high
405 diversity in rhesus BCR repertoires, and the close genetic relationship between rhesus and

406 humans, it is likely that rhesus B-cell compartment recapitulates its human counterpart and is
407 poised to respond to antigen in similar manners.

408 Historically, a limitation of BCR repertoire analysis in the nonhuman primate (NHP)
409 model has been the lack of rhesus macaque Ig sequence database. Recent development of
410 bioinformatics tools that enable comparison of Ig sequences from immunized animals to a NHP
411 reference database allows for a more accurate characterization of the BCR repertoire in response
412 to vaccination or infection. We found some differences in BCR repertoire characteristics
413 including VH usage, SMH rate, and Ig subclass/isotypes when the same Ig pairs were analyzed
414 using human Ig and rhesus Ig sequence databases (Figure 1A-B, Table 1-2). Notably only 26 of
415 39 pairs identified as functional based on the human Ig sequence database were confirmed to be
416 functional using the rhesus Ig sequence database. This could be due to high sequence homology
417 using the rhesus Ig sequence database compared to human Ig sequence database. These findings
418 highlight the importance of species-specific database for comprehensive understanding of the
419 BCR repertoire and antibody maturation in response to vaccinations and/or infections using the
420 rhesus macaque model.

421 Interestingly, the observed SHM rate in infants across all vaccinations groups in this
422 study (4.7%) is only slightly higher than the observed SHM rate in human HIV vaccine trials
423 such as GSK PRO HIV-002 (3.8%) and the RV144 trial (2.4%). HIV-1-infected infants have
424 been shown to develop neutralization breadth earlier than HIV-infected adults. Plasma antibody
425 responses in HIV-infected infants neutralized a panel of diverse HIV-1 viruses, including more
426 difficult to neutralize cross clade variants (43). Additionally, these responses were observed as
427 early as 1 to 2 years post-infection. Furthermore, bNAbs isolated from pediatric HIV cases
428 appeared to have lower levels of SHM when compared to bNAbs isolated from HIV-infected
429 adults (38). Altogether, these data suggest that induction of HIV-1-specific plasma antibody
430 neutralization can be achieved in children without prolonged extensive SHM and affinity
431 maturation.

432

433 **Conclusion**

434 Our results suggest that the high plasma antibody magnitude and functionality achieved with
435 3M-052-SE adjuvantation is not accompanied by distinct B cell repertoire characteristics.
436 Nevertheless, our study is limited by the distinct vaccine regimens used and the low number of
437 functional heavy and light chain pairs identified from the different vaccination groups. Further
438 investigation of the mechanism by which the 3M-052-SE adjuvant leads to enhanced immune
439 responses in the setting of the developing early life immune system are warranted. Additionally,
440 it will be important to evaluate whether the enhanced immunogenicity of HIV vaccines with 3M-
441 052-SE adjuvantation in infants is associated with protection from oral virus exposure.

442

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454

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585

586 Supplemental Figures Legends

- 587 **S1 Fig. Characterization of vaccination-induced plasma envelope IgG responses in infant**
588 **rhesus macaques.** Envelope binding IgG responses against 1086d7gp120K160N by ELISA. (A)
589 Peak immunogenicity gp120 specific IgG responses by ELISA. (B) Variable and conserved
590 epitope specific IgG responses at peak immunogenicity by BAMA. (C) Avidity 1/k off (the
591 inverse of the dissociation rate) was plotted as a measure of the strength of binding and avidity
592 scores which take into consideration the magnitude are also shown. (D) Overall, the 3M-052
593 group had higher magnitude of binding antibody responses and higher avidity strength against
594 most of the tested antigens. * denoted significant p-values ($p < 0.05$) by Mann-Whitney U Test,
595 FDR-adjusted. Data published in Phillips et al., 2017 and Phillips et al., 2018.
- 596 **S2 Fig. Frequency of Env-specific memory B cells in sorted tissues and PBMCs of envelope-**
597 **vaccinated infant monkeys.** (A) Percentage of memory B cells (CD20+CD27+IgD-), which are
598 envelope-specific double positive cells for 1086.C gp120 (tagged with two colors-BV421 and
599 AF647) for single-cell sorting. (B) Representative gating strategy for single-cell HIV Env-
600 specific memory B cell sorting by flow cytometry.



Group 1: Protein only
MVA-SIV gag/pol (5×10^8 IU, IM) [●] +
HIV Env 1086c (15ug STS adjuvant, IM +
200ug R484 adjuvant, IN) [■]
(n = 5)



Group 2: Coadministration
MVA-SIV gag/pol (5×10^8 IU, IM) [●] +
HIV Env 1086c (15ug STS adjuvant, IM +
200ug R484 adjuvant, IN) [■]
MVA-HIV Env (5×10^8 IU, IM) [▼], (n = 5)



Group 3: Extended Interval
MVA-SIV gag/pol (5×10^8 IU, IM) [●] +
HIV Env 1086c (15ug STS adjuvant, IM +
200ug R484 adjuvant, IN) [■]
MVA-HIV Env (5×10^8 IU, IM) [▼], (n = 5)



Group 4: 3M-052-SE
HIV Env 1086c/Tv1 bivalent (IM) [◆] +
3M-052-SE [◆]
(n = 4)

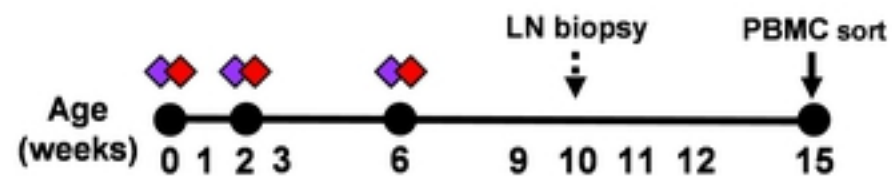
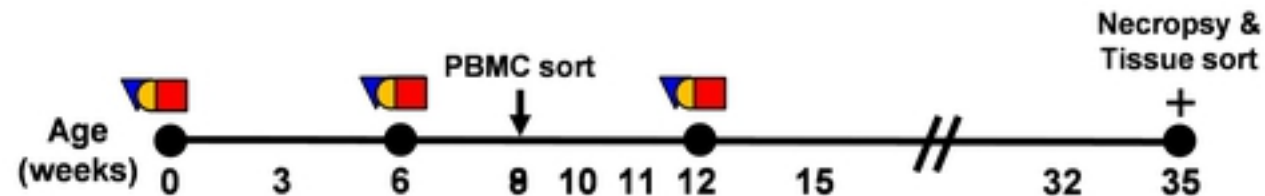
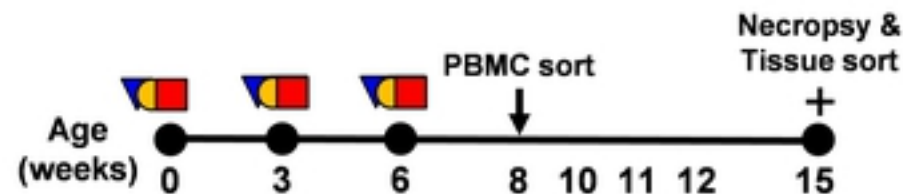
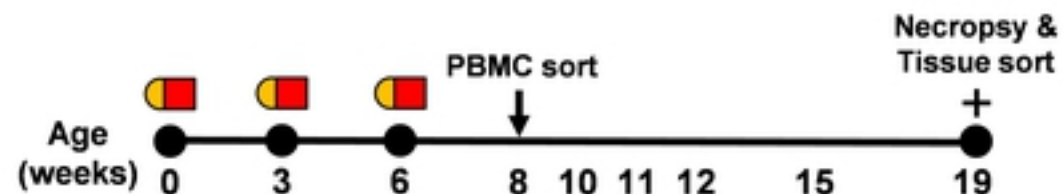


Figure 1

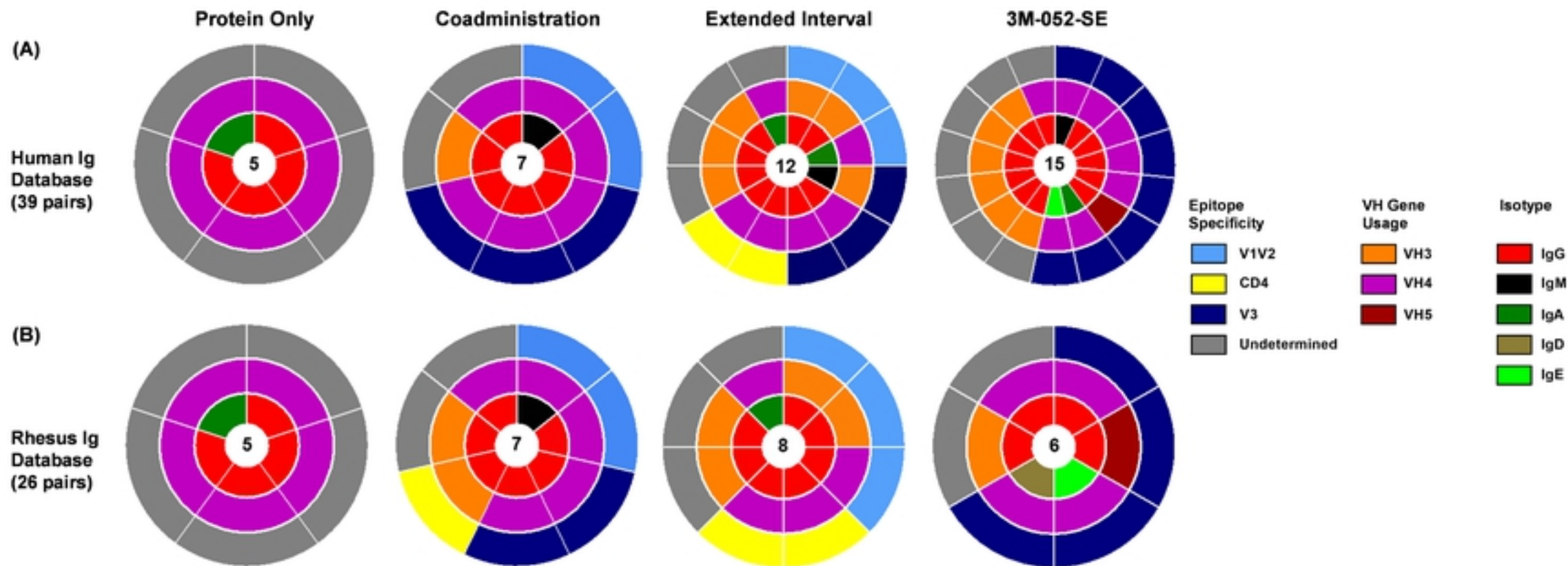


Figure 2

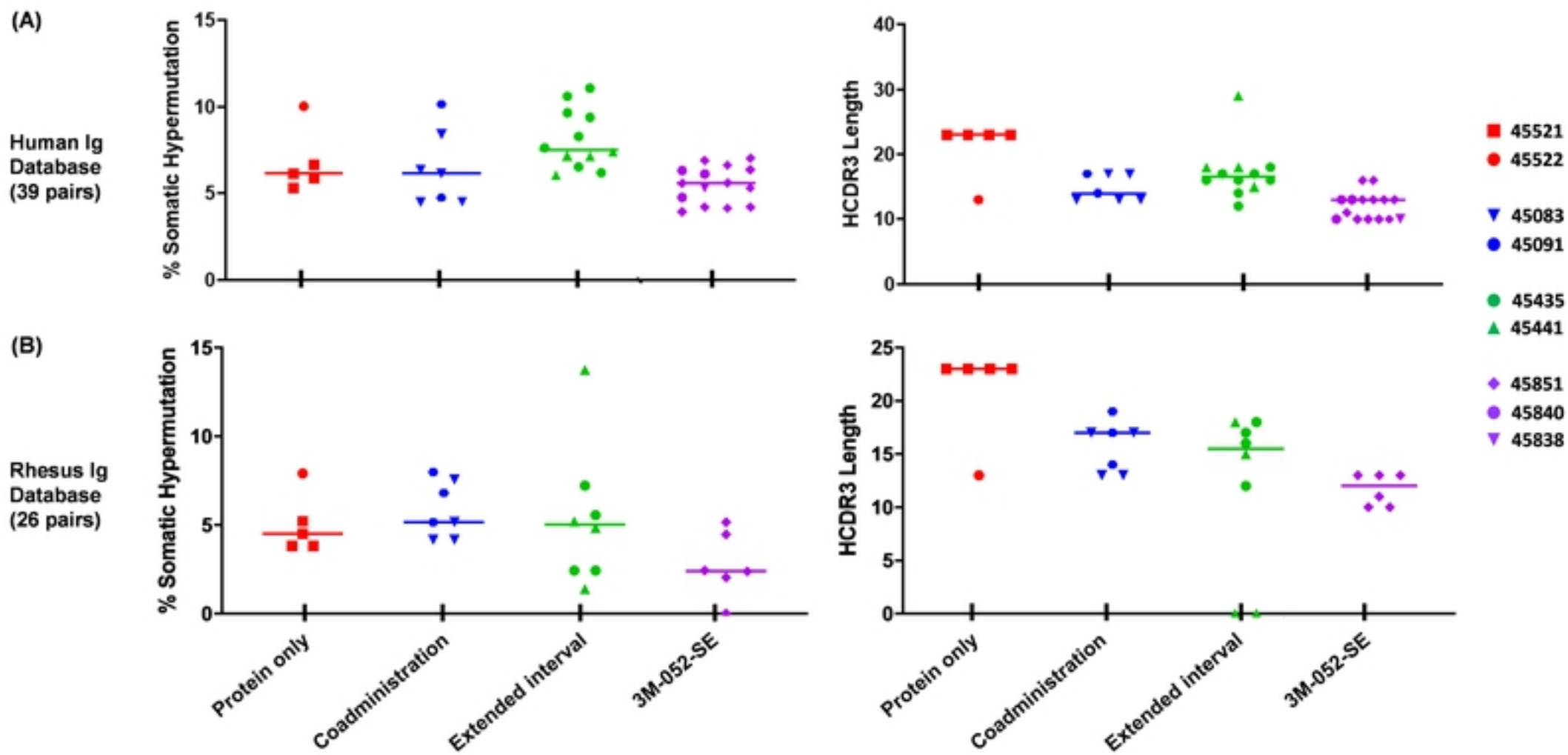


Figure 3