Limited variation between SARS-CoV-2-infected individuals in domain specificity and relative potency of the antibody response against the spike glycoprotein

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32 ABSTRACT

The spike protein of SARS-CoV-2 is arranged as a trimer on the virus surface, 33 composed of three S1 and three S2 subunits. Infected and vaccinated individuals generate 34 35 antibodies against spike, which can neutralize the virus. Most antibodies target the receptor-36 binding domain (RBD) and N-terminal domain (NTD) of S1; however, antibodies against other 37 regions of spike have also been isolated. The variation between infected individuals in domain specificity of the antibodies and in their relative neutralization efficacy is still poorly 38 39 characterized. To this end, we tested serum and plasma samples from 85 COVID-19 convalescent subjects using 7 immunoassays that employ different domains, subunits and 40 oligomeric forms of spike to capture the antibodies. Samples were also tested for their 41 neutralization of pseudovirus containing SARS-CoV-2 spike and of replication-competent 42 43 SARS-CoV-2. We observed strong correlations between the levels of NTD- and RBD-specific 44 antibodies, with a fixed ratio of each type to all anti-spike antibodies. The relative potency of the response (defined as the measured neutralization efficacy relative to the total level of spike-45 targeting antibodies) also exhibited limited variation between subjects, and was not associated 46 with the overall amount of anti-spike antibodies produced. Accordingly, the ability of 47 48 immunoassays that use RBD, NTD and different forms of S1 or S1/S2 as capture antigens to estimate the neutralizing efficacy of convalescent samples was largely similar. These studies 49 suggest that host-to-host variation in the polyclonal response elicited against SARS-CoV-2 50 spike is primarily limited to the quantity of antibodies generated rather than their domain 51 52 specificity or relative neutralization potency.

53 **IMPORTANCE**

Infection by SARS-CoV-2 elicits antibodies against various domains of the spike protein, 54 including the RBD, NTD and S2. Different infected individuals generate vastly different amounts 55 of anti-spike antibodies. By contrast, as we show here, there is a remarkable similarity in the 56 57 properties of the antibodies produced. Different individuals generate the same proportions of 58 antibodies against each domain of the spike protein. Furthermore, the relationship between the amount of anti-spike antibodies produced and their neutralization efficacy of SARS-CoV-2 is 59 highly conserved. Therefore, the observed variation in the neutralizing activity of the antibody 60 response in COVID-19 convalescent subjects is caused by differences in the amounts of 61 antibodies rather than their recognition properties or relative antiviral activity. These findings 62 63 suggest that COVID-19 vaccine strategies that focus on enhancing the overall level of the 64 antibodies will likely elicit a more uniformly efficacious protective response.

65 INTRODUCTION

The spike protein on the surface of SARS-CoV-2 mediates fusion with target cells (1, 2). 66 Spike is generated as a precursor that is cleaved by furin in the producer cells to generate S1 67 and S2 subunits (3). These subunits are non-covalently associated on the virus surface, where 68 69 they form a trimer of heterodimers (4). Furin cleavage primes spike for further processing by the 70 serine protease TMPRSS2 on the plasma membrane or the cysteine protease cathepsin L within the endosome (3, 5, 6). Spike is highly immunogenic in humans and, in infected and 71 72 vaccinated individuals, readily elicits antibodies that play a critical role in protection (7, 8). Most 73 neutralizing antibodies isolated to date target the receptor-binding domain (RBD) on the S1 subunit (9-15). In addition, multiple neutralizing antibodies that target the N-terminal domain 74 75 (NTD) of S1 have been isolated (16-18). By contrast, neutralizing antibodies against the C-76 terminal domain (CTD) of S1 or against the S2 subunit are relatively rare (19, 20). The variation 77 between individuals in the domain specificity of the anti-spike response and in the relative neutralization efficacy of the antibodies produced remains poorly explored. 78

79 To address this question, we quantified the binding specificity of anti-spike antibodies in 85 convalescent COVID-19 serum and plasma samples using capture antigens that represent 80 different domains, subunits, and oligomeric forms of spike. A panel of 7 in-house and 81 82 commercial immunoassays that quantify anti-spike antibodies was tested, as well as a nucleocapsid-based assay. Antibody content in the samples measured by these assays was 83 84 compared with their neutralization efficacy of SARS-CoV-2. We observed that different subjects 85 exhibit remarkably similar ratios of anti-RBD and anti-NTD antibodies relative to the total anti-86 spike antibodies. Interestingly, the relative potency of the convalescent samples (defined as the 87 ratio between neutralization efficacy and the amount of anti-spike antibodies measured) was also similar in different individuals, and was not associated with the robustness of the response 88 against spike. Our results demonstrate limited host-to-host variation in both spike domain 89

90 specificity and in the relative potency of the antibody response elicited after SARS-CoV-2 91 infection. Variation between hosts in the polyclonal response generated is primarily limited to 92 the quantity of the antibodies rather than the domains targeted or the efficacy of their 93 neutralizing activity.

94

95 RESULTS

96 Strong correlations between results of immunoassays that apply different spike 97 components as the capture antigen

To determine the target specificity of the SARS-CoV-2 antibody response, we analyzed 98 99 serum and plasma samples collected from individuals who had recovered from COVID-19 (see 100 all donor information in Supp. Table S1). Serum samples were obtained from individuals willing to donate convalescent plasma for the treatment of COVID-19 patients. In addition, we analyzed 101 102 plasma samples from obstetric patients who had serologic evidence of COVID-19 infection; 103 samples were collected during their hospitalization for delivery (21). All samples were collected 104 between March 2020 and January 2021. None of the donors required hospitalization for COVID-19-related symptoms. For 68% of donors, the precise date of positivity for SARS-CoV-2 (by 105 106 PCR analysis of a nasopharyngeal swab) was known; among these subjects, 82% of the serum or plasma samples were collected within 60 days of the positive PCR result (Supp. Fig. S1A). 107 108 For each serum or plasma sample, we quantified the levels of SARS-CoV-2-specific antibodies using commercially-available and in-house-developed immunoassays that apply different 109 domains, subunits or oligomeric forms of the spike protein as the capture antigen (Table 1 and 110 111 Fig. 1A). To mimic the native spike trimer on the virus surface, we used a cell-based enzyme 112 linked immunosorbent assay (cbELISA) that measures antibodies against the full-length membrane-bound form of spike (22-24). For this purpose, we used human osteosarcoma (HOS) 113

114 cells that express on their surface fusion-competent spike trimers by transfection with an 115 expression plasmid that encodes the full-length protein. Samples were also tested by ELISAs, in 116 which recombinant soluble dimeric forms of the RBD, NTD or the complete ectodomain of S1/S2 (designated Ecto) were used as the capture antigens. The Ecto protein was generated by 117 118 abrogating the furin cleavage site at spike positions 682-685 (3). Binding of antibodies in serum or plasma to these antigens was measured using a secondary antibody specific for the human 119 120 kappa light chain, which detects isotypes IgG, IgM and IgA. In addition, we tested the samples 121 with commercial immunoassays that detect IgG against the S1 subunit (Ortho Vitros), S1/S2 122 subunits (DiaSorin Liaison IgG) and a trimeric soluble form of S1/S2 (DiaSorin TrimericS IgG). To quantify non-spike-targeting antibodies elicited against SARS-CoV-2, we used the Roche 123 assay that measures total antibodies against the nucleocapsid protein of SARS-CoV-2. Given 124 that our study focused on quantitative relationships between antibody levels and neutralization 125 126 efficacies, we excluded from the analyses all samples that were negative for SARS-CoV-2 antibodies in at least 5 of the 8 immunoassays. Our final test set was composed of 85 samples 127 (57 serum and 28 plasma). The Ortho test was only performed with the 57 serum samples due 128 129 to assay incompatibility with plasma.

130 The RBD, NTD and Ecto ELISAs, as well as cbELISA showed a normal distribution of their log₁₀-transformed values (see Fig. 1B and results of a Shapiro-Wilk test in Supp. Fig. 131 **S1B**). The log₁₀-transformed values of the Liaison and TrimericS tests were also normally 132 distributed, whereas the Roche test showed no evidence for normality (Supp. Fig. S1B and 133 134 **S1C**). We compared values measured in the different assays using the non-parametric 135 Spearman rank test. Strong correlations were observed between values measured in the assays that apply different spike components as the capture antigens, whereas correlations with 136 the nucleocapsid-based Roche assay were less strong (Fig. 1C and Supp. Fig. S2). 137

Interestingly, a strong association was observed between the content of antibodies against thenon-overlapping NTD and RBD of spike (Fig. 1D).

Previous studies have suggested that the majority of spike-targeting antibodies elicited 140 after infection or vaccination target the RBD and NTD, whereas antibodies that target the CTD 141 142 and S2 are less common (25, 26). We used our in-house ELISA assays to determine the 143 relative amounts of antibodies against the RBD and NTD of spike. Since equimolar concentrations of the NTD, RBD and Ecto proteins were used for capture in our ELISAs, we first 144 145 compared for each sample the sum of the ELISA values measured in the RBD and NTD assays with the value measured for Ecto. We observed that for each sample, the sum of the NTD and 146 RBD values was comparable to that of Ecto, indicating that antibodies against the NTD and 147 148 RBD account for the vast majority of all S1/S2-targeting antibodies (Fig. 1E). We further 149 compared the level of antibodies that target the RBD and NTD by calculating for each patient 150 the ratio between the values in these assays. Greater binding activity to the RBD than NTD was observed, with a mean RBD-to-NTD ratio of 1.8 and standard deviation of 0.99 (Fig. 1F). Thus, 151 152 the ratio of RBD-to-NTD antibodies was relatively constant in different subjects, ranging between 1 and 3 in 78% of cases. Only 2% of the samples showed two-fold or higher binding to 153 154 the NTD, and only 8% of the samples showed more than 3-fold higher binding to the RBD. The proportion of RBD- or NTD-targeting antibodies (relative to all spike-targeting antibodies) did not 155 vary with the interval between infection and the time of sample collection (Supp. Fig. S3). 156

157 Therefore, the levels of antibodies elicited against the RBD and NTD are highly 158 correlated. Antibodies targeting the two domains exhibit a relatively constant relationship and 159 account for the vast majority of all anti-spike antibodies elicited.

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161 Different domains, subunits and oligomeric forms of spike show similar abilities to 162 estimate the neutralization efficacy of COVID-19 convalescent samples

Neutralizing antibodies mainly target the RBD and NTD of spike (9, 16, 17). Previous 163 studies have shown that the levels of antibodies against different forms of spike (including S1, 164 165 S1/S2 and RBD) correlate well with the neutralization capacity of the samples (27-31). However, 166 the relationship between the neutralization activity of the polyclonal response in each individual and its domain specificity, as well as the variation in this relationship between different hosts are 167 168 still poorly characterized. To address these questions, we compared the neutralization efficacy of the convalescent samples and their binding to the capture antigens that represent different 169 domains and forms of spike. To quantify neutralization, we first used a replication-defective 170 pseudovirus that contains the spike protein of SARS-CoV-2. For this purpose, we generated 171 172 vesicular stomatitis virus (VSV) pseudovirions that encode the firefly luciferase gene in place of 173 the native VSV-G glycoprotein gene and are pseudotyped with SARS-CoV-2 spike (VSV-SARS2-S) (5, 32). Residual infectivity of the pseudovirus in the presence of sera was measured 174 175 using Vero-E6 target cells. The calculated dilution of sera at which virus infectivity was reduced two-fold is reported as the IC_{50} value. The log_{10} -transformed IC_{50} values were consistent with a 176 177 normal distribution (*P* value = 0.329 in a Shapiro-Wilk test), with a median IC₅₀ corresponding to a dilution of 1:914 (Fig. 2A). Immunoassay values from each of the 8 tests were compared with 178 the measured IC₅₀ values (Fig. 2B). As expected, strong correlations were observed for all 179 180 spike-based assays, as determined by the Spearman rank correlation coefficient, with P values 181 lower than 0.000002 for all assays other than the nucleocapsid-based Roche test (Fig. 2C). These findings correspond with previous studies, which showed that spike-based 182 immunoassays exhibit better correlations with IC₅₀ values than the nucleocapsid-based Roche 183 assay (33-35). 184

185 While there is a clear relationship between immunoassay values and neutralization 186 efficacies in all comparisons, our primary question concerned the relative balance of these two 187 factors in each assay, requiring a different metric. To better assess the relative abilities of the different capture antigens to estimate neutralization efficacies of the donor samples we used the 188 189 precision metric. Precision was calculated by the ratio between the number of samples that are 190 positive for neutralization at the selected threshold and the number of samples that are positive 191 for SARS-CoV-2 antibodies by their immunoassay values. The level of precision was 192 determined for subsets of the samples with progressively higher immunoassay thresholds for inclusion; from the 0th percentile (all samples are included in the test) to the 98th percentile (only 193 samples with the top 2% of immunoassay values are included). Specific thresholds for 194 neutralization were tested first, whereby a sample was considered neutralization-positive if the 195 196 IC₅₀ value was greater than a dilution of 1:500 or 1:2,000 (Fig. 3A). As expected, the use of 197 samples from higher immunoassay percentiles resulted in higher precision. Differences between the immunoassays were more pronounced when the high neutralization threshold (1:2,000) was 198 set. At this threshold, precision of the nucleocapsid-based Roche assay was low. Surprisingly, 199 200 the cbELISA, which measures binding of antibodies to the native membrane-associated form of 201 spike, also exhibited lower precision than other spike-based assays.

202 Given that performance of the assays can vary at each neutralization threshold, we sought to generate a metric that would describe performance across a range of neutralization 203 204 thresholds. To this end, we first calculated for each threshold (from 1:500 to 1:2,500) the 205 minimal immunoassay percentile required to achieve a precision level of 0.9 (see boundary line for Ecto ELISA as example in Fig. 3B and all assays in Supp. Fig. S4). The area above the 206 boundary line indicates the percentile-threshold combinations that yield a precision of 0.9 or 207 higher, which allows us to compare overall performance characteristics between 208 209 immunoassays; the greater the area, the higher the ability of the assay to predict neutralization

210 across all IC₅₀ thresholds. The highest performance was observed for the Ortho, Liaison, Ecto 211 and RBD assays, followed by NTD, TrimericS and cbELISA (Fig. 3C). Since the Roche assay 212 did not achieve a precision of 0.9, the areas above the curve could not be computed. We then calculated the area above the curve when the required precision was set at levels ranging 213 214 between 0.75 and 0.95. For most precision requirement levels in this range, the lowest performance was observed for the Roche assay, followed by cELISA, with modestly better 215 216 performance for the TrimericS and NTD (Fig. 3D). All other assays exhibited similar 217 performance across the different precision requirements. To determine statistical significance of the differences between performance of any two assays, we performed a permutation-based 218 test (see Materials and Methods section). Briefly, for each pair of assays compared, we 219 measured the area above the curve and calculated the difference. We then permuted for each 220 221 patient sample the immunoassay identifiers, the area above the curve was recalculated for both 222 immunoassays and the difference determined. The fraction of the times the difference was greater using the permuted values relative to the unpermuted values was calculated as the P 223 224 value. Significant differences for a one-sided test (P values lower than 0.05) were observed 225 between the cbELISA and all other spike-based assays. The NTD and TrimericS assays 226 showed moderate differences from other assays; however, they were not significant at the 95% confidence level (Fig. 3E). Therefore, the ability of cbELISA (i.e., the full-length membrane-227 bound form of spike) to predict neutralization was significantly lower than that of all other assays 228 229 that apply isolated domains of the protein as capture antigens.

To independently validate the above findings, we also measured neutralization titers for 24 of the serum samples using infectious SARS-CoV-2 under BSL-3 conditions, and correlated those findings with immunoassays values. Virus-induced cytopathology was used to detect infection. The dilution of serum at which cytopathic effects were observed in fewer than 50% of the wells was determined, and data were fit to a regression model to calculate the precise IC₅₀

235 value. For three of the samples, the IC_{50} was not achieved at the lowest dilution of the serum 236 used (1:40); the remainder showed a range of IC_{50} values, with a median dilution of 1:212 (**Fig.** 237 **4A**). A strong correlation was observed between the neutralization titers of the sera measured using the replicative SARS-CoV-2 and the VSV-based pseudovirus that contains the spike 238 239 protein (**Fig. 4B**). As expected, IC_{50} values in the pseudovirus assay were higher than those 240 measured using infectious virus, since the former measures the dilution at which 50% of virus infectivity is reduced whereas the latter assay measures the dilution at which more than 50% of 241 242 wells show complete neutralization of all input virus.

243 We compared immunoassay values of the samples with their neutralization efficacies of replicative SARS-CoV-2 (Fig. 4C). Strong correlations were observed for all spike-based assays 244 245 (**Supp. Fig. S5**). Precision analyses using an IC_{50} threshold of 1:400 demonstrated 246 considerable differences between performance of the assays (Fig. 4D). Comparison of the 247 overall performance of the immunoassays across neutralization thresholds of 1:50 to 1:500 (using the area above the curve metric with a required precision of 0.9) showed a similar pattern 248 to the pseudovirus-based measurements (compare Fig. 4E and Fig. 3C); the poorest 249 performance was observed for the Roche assay, followed by NTD and cbELISA. All other 250 251 assays performed similarly well. Comparison of assay performance at precision levels of 0.75-0.95 showed modest differences between cbELISA or NTD and all other spike-based assays 252 (Fig. 4F); however, these differences did not reach a significance level of 0.05 (Fig. 4G) 253

Taken together, these results demonstrate that performance of immunoassays based on RBD, S1, or monomeric and dimeric forms of S1/S2 to estimate the neutralization efficacy of each sample was similar. Modestly lower predictive capacities are observed when NTD and the full-length form of spike (as measured by cbELISA) are used as the capture antigens. Further, comparison of the precision of the immunoassays to predict neutralization using pseudovirions containing SARS-CoV-2 spike or replication-competent viruses yield roughly similar findings.

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261 COVID-19 convalescent samples exhibit a similar level of relative neutralization potency

262 The above results show that different forms of spike used as capture antigens (NTD, RBD, S1 or Ecto) can estimate neutralization with similar precision. Furthermore, the 263 relationship between the levels of NTD and RBD antibodies is relatively conserved in different 264 265 individuals; these antibodies compose the vast majority of the antibodies generated against 266 spike. We asked whether the neutralization efficacy increases with higher proportions of RBD-267 or NTD-targeting antibodies (relative to all spike-targeting antibodies). Comparison of the RBD-268 to-Ecto or NTD-to-Ecto ratios with the neutralization efficacy of the samples showed no 269 evidence for a relationship between these variables (Fig. 5A and 5B). Similarly, the RBD-to-NTD ratio was not associated with the neutralization efficiency of the samples (Fig. 5C). These 270 271 findings indicate that convalescent samples with high neutralizing activity do not contain a higher proportion of antibodies that target the RBD or NTD. 272

273 A large proportion of spike-targeting antibodies elicited by infection are non-neutralizing (36, 37). A recent study has shown that infected and immunized hosts with high levels of spike-274 specific antibodies generate a significantly higher proportion of non-neutralizing antibodies than 275 276 individuals with lower levels of anti-spike antibodies (38). To explore this relationship in our 277 samples, we implemented a model to examine evidence for a variable ratio between immunoassay values and neutralization efficacy. Two computational approaches were used; the 278 279 first looks for non-log-linearity in the relationship between neutralization and immunoassay tests, whereas the second considers their rank-ratios and examines evidence for a systematic change 280 281 over the ranks of the immunoassay results.

To compare the variables and avoid a bias related to the dynamic ranges of the values, we corrected the log_{10} -transformed immunoassay and neutralization IC₅₀ values to the same

284 scale by adjustment to a range from 0.1 to 1. For each sample we calculated the ratio between 285 the immunoassay value and the IC_{50} value (see analysis of the Ecto ELISA data in **Fig. 5D**). 286 This ratio was compared between the 20 samples with the lowest immunoassay values and the 20 samples with the highest immunoassay values. Evaluation of these results did not find 287 288 significantly different ratios in the two groups (see P value for an unpaired T test in Fig. 5D). A 289 similar lack of a significant difference was observed when the RBD and NTD were used as 290 capture antigens (Supp. Fig. S6). However, the cbELISA results suggested a higher ratio (i.e., 291 a lower relative neutralization efficacy) for the samples with high antibody levels.

292 To further explore whether the immunoassay-to-neutralization ratio shows any indication of dependence on the immunoassay value, we examined the variability in this ratio by looking 293 294 for non-linearity in their log-relationship using all 85 samples. The null hypothesis tested was 295 that the log-scale relationship between these variables should be linear, which was tested by 296 considering a quadratic term for immunoassay results in a multiple linear regression (MLR) model. While the data appeared well modelled directly on a log-10 scale, to eliminate concerns 297 about distributional assumptions, the regression coefficient was bootstrapped, and the 298 299 corresponding 95% confidence interval determined. We first analyzed the results of the Ecto 300 assay. As shown in Fig. 5E, an MLR slope value of 0 (i.e., lack of a quadratic effect, leaving a 301 linear increase in neutralization activity for a given increase in binding) lies within the 95% confidence interval, so we fail to reject the null hypothesis that the variables follow a ratio 302 relationship. Similar analyses of the data from the NTD, RBD and cbELISA tests also failed to 303 304 show evidence at the 95% level to support a non-linear relationship between immunoassay values and neutralization (Fig. 5F). 305

We also applied a rank-based approach, whereby immunoassay and neutralization values were transformed to their ranks (from 1 to 85). A simple linear regression (SLR) coefficient was then fitted to the relationship between the immunoassay rank value and

immunoassay-to-neutralization rank-ratio, and bootstrapping was applied once more to produce 95% confidence intervals. The null hypothesis tested was that a slope of zero exists for this relationship. Again, no evidence was observed to support the notion that the ratio between Ecto values and neutralization varies across different levels of S1/S2-targeting antibodies (**Fig. 5G**). A similar bootstrapping analysis of the rank values for the RBD, NTD and cbELISA failed to demonstrate a non-zero slope that would indicate a linear relationship between the two variables (**Fig. 5H**).

316 Given the sample size (n=85), the presence of a strong relationship between 317 neutralization fraction and antibody binding activity seems unlikely. Nevertheless, we do observe negative non-significant coefficients for the guadratic effect of log-binding activity on 318 neutralization levels, and positive non-significant coefficients of for the linear relationship 319 320 between binding activity and the rank ratio of binding to neutralization (Fig. 5, G and H). Both of 321 these results indicate the plausibility of a weak relationship between the neutralization ratio and binding activity measures, in which higher binding activity could be associated with lower 322 323 proportional neutralization activity, but the magnitude of such an effect is likely to be limited.

324

325 **DISCUSSION**

Over the course of the COVID-19 pandemic, our understanding of the antibody response against SARS-CoV-2 has evolved. Initial investigations suggested that most neutralizing antibodies elicited by infection or vaccination target the RBD (9, 39). More recent studies have shown a co-dominance of antibodies that target the RBD and NTD (25, 26). Proteomic deconvolution studies of the IgG repertoire in COVID-19 convalescent patients suggested that the bulk of the neutralizing response targets epitopes outside the RBD (40). To better understand the target specificity of the response in different individuals, we analyzed the relative

333 level of antibodies against different domains, subunits and oligomeric forms of spike in COVID-19 convalescent samples. Our findings suggest the model shown in Fig. 6. A polyclonal 334 antibody response is elicited in each infected individual against multiple domains of spike. High 335 variation is observed between individuals in the amounts of antibodies generated; however, 336 337 there is limited variation in the proportion of antibodies against the RBD and NTD (relative to all anti-spike antibodies). Similarly, limited variation is observed in the relationship between the 338 339 amounts of antibodies against the RBD and NTD, with a ratio ranging between 1 and 3 in 78% 340 of subjects. Importantly, the relative potency of the response (i.e., the level of neutralizing 341 activity relative to the level of antibodies generated) is also constant in different individuals. Thus, the domain specificity and relative inhibitory activity of the response is conserved among 342 individuals, with the main variation being the total amount of the antibodies produced. 343

344 Multiple commercial immunoassays have been developed that apply different 345 components of the spike protein as the capture antigen (35, 41-44). Spike-based assays have been shown to estimate well the neutralization efficacy of convalescent serum and plasma 346 samples (27-30). They are thus applied as qualitative measures of immunization status and can 347 potentially serve as indirect measures of the efficacy of the anti-SARS-CoV-2 humoral 348 349 response. Our studies suggest that any soluble form of spike that contains the RBD or NTD can serve as a capture antigen to accurately determine the immunization status of the individual and 350 potentially the efficacy of the anti-SARS-CoV-2 humoral response. The constant proportion of 351 352 antibodies against different spike domains also explains the ability of immunoassays that use 353 various forms of the protein as capture antigens to predict neutralization. Indeed, our findings suggest that determinations of neutralizing titers based on serological tests do not require native 354 355 forms of the protein as the capture antigen; RBD exhibits a similar predictive capacity to that of S1 or S1/S2, with only modestly lower performance for NTD. Thus, inclusion of S2 or 356 357 trimerization of the protein to mimic the native form of spike does not improve the ability to

estimate the amount of neutralizing antibodies. In fact, the poorest performance was observed for the full-length, membrane-bound form of the protein measured by cell-based ELISA. The lower predictive capacity of the cbELISA may result from detection of non-neutralizing antibodies that may recognize the native form of spike (37). Alternatively, differential posttranslational processing of spike in the HOS cells (relative to the human embryonic kidney 293T cells used to produce the recombinant proteins for these assays) may affect antigenicity of this protein (45).

365 We were surprised to discover that subjects with different amounts of spike-specific antibodies contained a constant level of relative potency. Such results contrast with a recent 366 study by Amanat et al., which suggested that convalescent samples that contain high amounts 367 of spike antibodies (as measured by the Mount Sinai Laboratory COVID-19 ELISA IgG Antibody 368 369 Test) contain a higher proportion of non-neutralizing antibodies that target the full-length 370 ectodomain of spike (38). It should be noted that in their calculations, the authors analyzed the 371 immunoassay-to-IC₅₀ ratios using the raw values obtained in these tests. Unfortunately, such an 372 approach can introduce a bias if the dynamic ranges of the two variables differ, which may impact the results of the analysis. To address this potential bias, we performed our calculations 373 374 using ranks and values that were corrected to the same scale. Both approaches showed similar results, whereby the relative potency is constant in different samples, regardless of the amount 375 of anti-spike antibodies generated. Future studies will reveal whether the target specificity of 376 377 antibodies with neutralizing activity is also constant in different individuals and independent of 378 the robustness of the response. Such studies are of particular importance in vaccinated individuals, to accurately quantify and characterize specificity of the antibody fractions that can 379 380 protect from infection.

381

382 MATERIALS AND METHODS

383 Collection of plasma and serum from donors and patients

All blood donors were screened following the FDA guidance instructions under an 384 institutional review board approved protocol (IRB #202003554). The consent signed by all 385 386 donors allowed the use of blood samples for research purposes. Donors were identified and screened following FDA guidelines at the time they enrolled. Two study groups were assessed. 387 388 The first is composed of 57 convalescent serum samples from subjects that had either been confirmed by reverse transcription polymerase chain reaction (RT-PCR) to be SARS-CoV-2 389 390 positive from a nasopharyngeal swab (n=51) or had signs or symptoms of COVID-19 and were 391 found to be positive by serological testing (n=6). All donors except one had relatively mild COVID-19 symptoms; this donor was hospitalized for one day due to palpitations. Donor 392 393 screening was performed at least 10 days after resolution of symptoms. At the time of plasma 394 collection, serum samples were collected in serum separator tubes and allowed to clot for at least 30 minutes. Serum was then isolated, aliquoted and stored at -80°C until use. The second 395 study group is composed of convalescent plasma collected from women hospitalized for 396 delivery, who had previously been infected by SARS-CoV-2, as confirmed by a SARS-CoV-2-397 398 positive PCR (n=7) or positive serology test (n=21). Samples were collected in EDTA-containing 399 tubes, aliquoted and frozen until use at -80°C.

400

401 Cells lines

Vero-E6 cells, human embryonic kidney (HEK) 293T cells and human osteosarcoma (HOS) cells were obtained from the American Type Culture Collection (ATCC). Cells were cultured in Dulbecco's modified Eagle medium (DMEM) supplemented with 2-10% fetal calf serum (FCS) and 1% penicillin/streptomycin. All cells were maintained in a humidified incubator

406 at 37°C and 5% CO₂.

407

408 **Recombinant proteins and their production**

Capture antigens that contain different spike protein components were generated. The 409 410 NTD, RBD or ectodomain of S1/S2 (Ecto) antigens were fused to the Fc region of human IgG1, 411 rendering them dimeric. NTD and RBD contain amino acids 1-309 and 310-529 of spike, 412 respectively. The Ecto protein contains the entire ectodomain of spike (amino acids 1-1274). To 413 abrogate the furin cleavage site in Ecto, we substituted the Arg-Arg-Ala-Arg motif at position 683-686 with Ser-Ser-Ala-Ser. All proteins were produced by transient transfection of 293T cells 414 415 using polyethyleneimine (PEI), as previously described (46). Proteins were harvested in 293S ProCDM and purified using Protein A beads. Eluted products were dialyzed against phosphate 416 417 buffered saline (pH 7.4). All proteins were analyzed by SDS-PAGE and visualized by silver staining to verify their molecular weight and purity. 418

419

420 ELISA using RBD, NTD and S1/S2 as capture antigens

The RBD, NTD and Ecto recombinant proteins were used as capture antigens in an 421 422 enzyme-linked immunosorbent assay (ELISA). Briefly, proteins were suspended in PBS at a concentration of 25 nM (2 µg/mL of NTD, 1.37 µg/mL of RBD and 5 µg/mL of Ecto) and 423 424 incubated overnight in protein-binding 96-well plates (PerkinElmer). The next day, wells were washed once with blocking buffer, composed of 140 mM NaCl, 1.8 mM CaCl₂, 1 mM MgCl₂, 25 425 426 mM Tris pH 7.5, 20 mg/ml BSA and 1.1% nonfat dry milk. Serum or plasma samples were 427 diluted 1:500 (vol:vol) in blocking buffer, added to the wells and incubated for 45 min at room 428 temperature. Samples were then washed four times with blocking buffer and a horseradish peroxidase (HRP)-conjugated secondary antibody that targets the kappa light chain of human 429

IgG1 was added (diluted 1:1200 in blocking buffer). After incubation for one hour at room temperature, samples were washed 5 times with blocking buffer and 5 times with washing buffer (140 mM NaCl, 1.8 mM CaCl₂, 1 mM MgCl₂ and 25 mM Tris pH 7.5). HRP enzyme activity was measured by light emission using Supersignal West Pico Plus chemiluminescence detection reagents with a Synergy H1 microplate reader.

435

436 Cell-based ELISA measurements of antibodies against SARS-CoV-2 spike

Binding of serum antibodies to SARS-CoV-2 spike expressed on HOS cells was 437 measured using a previously-described cell-based ELISA system (22, 24). Briefly, HOS cells 438 439 were seeded in white opaque 96-well plates $(1.4 \times 10^4 \text{ cells per well})$ and transfected the next day with 80 ng per well of pCG1-SARS-2-S plasmid expressing SARS-CoV-2 spike using 440 441 JetPrime transfection reagent. To quantify background binding of the antibodies to the cells, a similar number of wells was transfected using a negative control plasmid (ΔKS) that does not 442 encode for a viral protein product (23). Three days after transfection, cells were washed with 443 blocking buffer, and serum samples diluted 1,000-fold in blocking buffer were incubated with the 444 cells for one hour at room temperature. Cells were then washed 5 times with blocking buffer and 445 446 incubated for 45 minutes at room temperature with an HRP-conjugated goat anti-human kappa 447 chain preparation that was diluted 1:1,200 in blocking buffer. Cells were then washed 5 times with blocking buffer and 5 times with washing buffer. HRP enzyme activity was measured by 448 chemiluminescence with a Synergy H1 microplate reader. 449

450

451 **Commercial immunoassays to measure antibodies that target SARS-CoV-2 proteins**

452 The DiaSorin Liaison SARS-CoV-2 S1/S2 IgG chemiluminescence assay detects IgG 453 against spike subunits S1 and S2. Samples were analyzed according to the manufacturer's

454 guidelines on a DiaSorin Liaison XL automated chemiluminescence analyzer (DiaSorin. 455 Saluggia, Italy). A signal of 15 arbitrary units (AU) per mL or higher is defined by the 456 manufacturer as a positive result. The DiaSorin TrimericS IgG assay applies the soluble trimeric form of the S1/S2 subunits. Samples were analyzed on a DiaSorin Liaison XL automated 457 458 chemiluminescence analyzer. A signal of 13 AU/mL is defined as a positive result. The Roche electrochemiluminescence immunoassay measures total immunoglobulins that target the 459 460 SARS-CoV-2 nucleocapsid (N) protein. Samples were analyzed according to the manufacturer's guidelines using the Elecsys Anti-SARS-CoV-2 assay on the Roche cobas e602 platform 461 (Roche Diagnostics). A cut-off index (COI) of 1.0 or higher is defined by the manufacturer as a 462 positive result. The Ortho COVID-19 IgG antibody test was performed on Ortho's VITROS® 463 system. The signal at cutoff (S/C) value as defined by the manufacturer is 1 unit or greater for a 464 reactive sample. For simplicity, all values of the commercial immunoassays are reported in 465 466 arbitrary units (AUs).

467

468 Infection and neutralization of replication-competent SARS-CoV-2

SARS-CoV-2 strain USA-WA1_2020 was obtained from BEI Resources and maintained 469 470 under biosafety level 3 (BSL-3) conditions. To propagate virus, Vero-E6 cells cultured in 471 DMEM/FCS 2% were infected at a multiplicity of infection (MOI) of 0.001. Forty-eight hours after infection, supernatants were harvested, filtered through 0.45 µm pore-sized membranes, and 472 frozen at -80°C until use. To quantify the amount of infectious virus, Vero-E6 cells were seeded 473 in 96-well plates (1.5×10^4 cells per well). The next day, serial dilutions of the virus were added 474 475 to 8 replicate wells for each dilution and cytopathic effects were evaluated over the next 5 days. The median tissue culture infectious dose (TCID₅₀) was used to quantify virus titer, which 476 477 describes the dilution of the virus at which fewer than half of the replicate wells show cytopathic 478 effects.

479 To measure neutralization, serial two-fold dilutions of the serum samples (ranging from 480 1:40 to 1:2,560) were prepared in DMEM/FCS 2%. Virus was added to the diluted serum at a final concentration of 25 TCID₅₀ per well. Samples were incubated at room temperature for one 481 hour and added to Vero-E6 cells seeded the day before in 96-well plates $(1.5 \times 10^4 \text{ cells per})$ 482 well). Six replicate wells were used for each dilution. Cells were then cultured for 4 days at 37°C 483 until infectivity was evaluated. The number of wells in which intact monolayers were present 484 485 was assessed using an inverted light microscope. The 50% neutralizing titer (IC_{50}) was calculated by the serum dilution at which 50% or more of the wells showed no cytopathic 486 effects. To determine the precise IC_{50} value, the number of wells in which cytopathic effects 487 were observed at each serum dilution was recorded. These values, along with the log-488 489 transformed dilution values were fit to a non-linear regression model using GraphPad Prism 8 to 490 calculate the IC_{50} value.

491

492 Production and neutralization of vesicular stomatitis virus pseudotyped by the SARS-

493 CoV-2 spike protein

494 Vesicular stomatitis virus (VSV) pseudovirions bearing the SARS-CoV-2 spike protein (VSV-SARS2-S) were generated as previously described (5). Briefly, 293T cells were seeded in 495 496 100 mm plates (2.2×10^6 cells per plate) and transfected 24 hours later by 16 µg of pCG1-SARS-2-S plasmid (a kind gift from Dr. Stefan Pöhlmann) using PEI transfection protocol (46). 497 Twenty-four hours after transfection, cells were infected with a stock of VSV pseudovirus that 498 499 encodes the firefly luciferase gene in place of the native VSV-G glycoprotein gene and contains the glycoprotein of Lassa virus (5). Six hours later, infected cultures were washed twice with 500 501 phosphate buffered saline (PBS, pH 7.4) to remove input pseudovirions, and fresh DMEM/FCS 2% was added. Media was collected at 24- and 48-hours after infection, the supernatants were 502 filtered through 0.45 μ m pore-sized membranes and centrifuged at 5,380 \times g for 16 hours at 503

4°C. The pellet was resuspended in PBS and centrifuged through a 20% sucrose cushion at 134,000 \times g for 2 hours at 10°C. Pellets containing the pseudoviruses were resuspended in PBS and stored at -80°C until use.

507 For neutralization assays, two-fold serial dilutions of the serum samples were prepared in DMEM/5% FCS, ranging between 1:40 and 1:2,560. Viruses were added to the diluted serum 508 at a concentration calculated to yield between 100,000 and 200,000 relative light units (RLUs) of 509 510 luciferase activity per well. These values were determined to be within the linear range of virus 511 input versus luciferase activity measured. Vero-E6 target cells were seeded the day before infection in 96-well white opaque flat-bottomed plates (1.5×10^4 cells per well). The virus-serum 512 or virus-plasma mixture was incubated for one hour at 37°C and added to the wells. Six 513 replicate wells were used for each condition. Samples were then incubated for 24 h at 37°C, 514 515 after which the media were removed and 35 µl of Passive Lysis buffer (Promega) was added to 516 each well. Luciferase activity was recorded as a measure of viral infection, as previously 517 described (24). Briefly, 100 µl of luciferin buffer containing 15 mM MgSO₄, 15 mM KPO₄ (pH 518 7.8), 1 mM ATP, and 1 mM dithiothreitol was added to each well, followed by 50 µl of 1 mM d-519 luciferin potassium salt (Syd Laboratories). Luminescence was detected using a Synergy H1 Hybrid reader (BioTek Instruments). 520

521

522 Permutation test to compare precision of immunoassays

For each immunoassay, we obtained the curve that describes the required percentile of samples for each neutralization threshold to yield a precision of 0.9. The area above the curve was then determined, which describes all percentile-neutralization threshold combinations that yield a precision level higher than the minimum precision of interest (here, 0.9). This metric thus captures the precision of each assay across multiple neutralization thresholds. To test for

significant difference between the area above the curve for any two immunoassays, we used a
permutation test. The null and alternative hypotheses for a one-sided test can be stated as:

530
$$H_0: A_i = A_i$$

531
$$H_1: A_i > A_i$$
 $i \neq j \& i, j = 1, 2, ..., M$

where A_i and A_j describe the area above the curve for immunoassays *i*, and *j*, respectively, and *M* is the total number of immunoassays tested. To test the above hypothesis, we first logtransformed immunoassay values and standardized them to a scale of 0 to 1:

$$X_{new}^{i} = \frac{X^{i} - \min(X^{i})}{\max(X^{i}) - \min(X^{i})}$$

535 where, X^i is the vector of values for immunoassay *i*. The difference between the area above the 536 curve for i and j was then calculated, denoted as D_{ij} . We then performed a permutation test whereby we permuted for each patient sample the immunoassay identifiers and the area above 537 538 the curve was recalculated for each immunoassay. This process was repeated 1,000 times (k =539 1, 2, ..., 1000). The difference between the areas above the curves for each iteration of the permutation test was defined as d_{ii}^k . The instances that the permuted value of d_{ii}^k was greater 540 541 than or equal to the non-permuted D_{ii} was calculated and expressed as a fraction of the number of iterations performed, which was defined as the *P* value for testing the null hypothesis. 542

543

544 Multiple linear Regression and rank-based simple linear regression

In the absence of a universal gold standard, log_{10} -transformations appeared reasonable to capture immunoassay values and neutralization activity. Under our null hypothesis, a change in binding activity, $log_{10}(X)$, should be associated with a linear increase in neutralization, $log_{10}(Y)$. This relationship can be expressed as a linear regression on the log-scale:

 $\log(y) = \beta_0 + \beta_1 \log(x) + \epsilon$. A simple way of detecting departures from this model is to look for 549 curvature in the effect of $\log(x)$: $\log(y) = \beta_0 + \beta_1 \log(x) + \beta_2 \log(x)^2 + \epsilon$. Any evidence that β_2 550 is nonzero will show departure from the hypothesized relationship; for example, if higher values 551 of binding activity produce a diminished change in neutralization efficacy, we would expect β_2 to 552 be negative. We therefore fit a multiple linear regression with the outcome variable of log-IC₅₀ 553 554 and each of the log-scale immunoassay variables in turn as X. To avoid any problematic 555 assumptions about the distribution of the error term ϵ , the MLR was fit under a bootstrapping procedure, in which 50,000 repeated samples were taken to produce a bootstrap distribution of 556 557 the parameter estimates. This was used to compute non-parametric 95% confidence intervals for the β_2 quadratic effects. 558

In addition to this MLR approach using log-transformed assay values, we conducted a 559 560 series of rank-based analyses. Rather than focusing on the ratio-relationship directly, we 561 hypothesized that high neutralization values (relative to the sampling distribution) should correspond to high binding values (relative to the sampling distribution), in such a way that the 562 rank-ratios, $\frac{X_r}{Y_r}$, should follow a distribution with mean not depending on the binding rank, X_r . 563 564 This was investigated via a bootstrapped simple linear regression with the rank-ratio of binding 565 to neutralization as the outcome, and the binding rank as the single explanatory variable. Under the null hypothesis, the slope parameter for the binding rank, β_1 , should be equal to zero. We 566 again performed 50,000 repeated samples to produce bootstrap distributions and corresponding 567 non-parametric confidence intervals for β_1 . 568

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Assay	Samples tested (serum, plasma)	Capture antigen used in assay	Assay type	lg isotype detected ^a
NTD (ELISA)	85 (57, 28)	NTD	In-house ELISA	IgG, IgM, IgA
RBD (ELISA)	85 (57, 28)	RBD	In-house ELISA	IgG, IgM, IgA
S1/S2 (ELISA)	85 (57, 28)	S1/S2 ectodomain	In-house ELISA	IgG, IgM, IgA
Cell-based ELISA	85 (57, 28)	Full-length S1/S2 trimers	In-house ELISA	IgG, IgM, IgA
TrimericS (DiaSorin)	85 (57, 28)	Trimeric S1/S2 ectodomain	Commercial assay	IgG
Liaison (DiaSorin)	85 (57, 28)	S1/S2 ectodomain	Commercial assay	lgG
Vitros (Ortho)	60 (57, -)	S1	Commercial assay	lgG
Roche	71 (43, 28)	Nucleocapsid	Commercial assay	IgG, IgM, IgA

Table 1. Immunoassays and capture antigens used in this study.

^a The secondary antibody used in the NTD, RBD and Ecto ELISAs and the cell-based ELISA targets the human kappa light chain.

583 FIGURE LEGENDS

Figure 1. Comparison of immunoassays that apply different components of the SARS-CoV-2 584 spike protein as capture antigens. (A) Top, domains of the spike protein. NTD, N-terminal 585 domain; RBD, receptor-binding domain; CTD, C-terminal domain; TM, transmembrane domain; 586 587 CT, cytoplasmic tail. Bottom, schematic of the constructs used as capture antigens in this study. 588 (B) SARS-CoV-2 antibody levels in 85 convalescent serum and plasma samples were tested using the RBD, NTD and Ecto ELISAs, as well as the cell-based ELISA. Distributions of the 589 590 log₁₀-transformed values are shown (see similar plots for commercial assays in Supp. Fig. S1C). (C) Spearman rank correlation coefficients between values measured in the eight 591 immunoassays. Cells are colored by the P values determined in a two-tailed test. (D) 592 593 Correlation between values in ELISAs that apply the NTD and RBD as capture antigens. RLU, 594 relative light units. (E) Correlation between the sum of the values measured in the ELISAs that 595 apply RBD and NTD, and values measured in the ELISA that applies Ecto as the capture antigen. The dashed red line describes a 1:1 relationship. (F) Distribution among the 85 596 convalescent samples of the ratio between log-transformed values measured in the RBD and 597 NTD assays. 598

599

Figure 2. Relationships between immunoassay values of COVID-19 convalescent samples and their neutralization of spike-containing pseudovirus. **(A)** Neutralization titers of the serum or plasma samples were measured using replication-defective pseudovirus that contains the spike protein of SARS-CoV-2. Data describe the distribution of the log₁₀-transformed IC₅₀ values. **(B)** Comparison of neutralization and immunoassay values. All 85 samples are ordered by their neutralization titers (color-coded in shades of pink, with low values in lighter shades). Values measured in immunoassays are color-coded in shades of green. **(C)** Correlations between

immunoassay values and neutralization titers. r_S, Spearman correlation coefficient. *P* value, two tailed test.

609

610 Figure 3. Precision of immunoassays to estimate the neutralization efficacy of COVID-19 611 convalescent serum and plasma. (A) Calculations of precision across different immunoassay 612 percentiles. Precision was calculated as the number of samples with an IC₅₀ greater than the defined threshold relative to the number of samples in the immunoassay percentile tested. Each 613 data point describes precision of an immunoassay to predict neutralization at the indicated IC₅₀ 614 threshold using the indicated percentile of samples. (B) The area above the curve metric. The 615 border between the shaded and unshaded areas describes the percentiles of Ecto values 616 617 required to predict neutralization at the indicated thresholds with a precision of 0.9. For 618 example, the intersection between the red lines indicates that, to predict with a precision of 0.9 619 for a threshold IC₅₀ of 1:1,000, samples with Ecto values in the 85th percentile should be used. 620 The shaded area describes all neutralization threshold-percentile combinations that yield a 621 precision of 0.9 or higher. (C) The area above the curve shown in panel B calculated for all immunoassays, based on a required precision of 0.9. (D) Calculations of the area above the 622 623 curve for required precision levels of 0.75 to 0.95. (E) Statistical significance of the differences between predictive capacity of the immunoassays. The area above the curve was calculated for 624 all immunoassays for a precision of 0.9. Significance of the difference between predictive 625 capacity of any two assays was determined by a permutation test. P values of the one-sided 626 627 test are shown. Cells are color coded by the *P* values calculated.

628

Figure 4. Immunoassay-based estimations of replicative SARS-CoV-2 neutralization. **(A)** Twenty-four serum samples were tested for their neutralization of replicative SARS-CoV-2. The distribution of IC_{50} values is shown. For three samples, the IC_{50} was not achieved at the lowest

632 dilution (1:40) of the serum (columns colored in red). (B) Correlation between IC_{50} values of the 633 24 serum samples, as measured using replication-competent SARS-CoV-2 and the VSV-SARS-S pseudovirus. (C) Comparison of neutralization and immunoassay values. Samples are 634 ordered by their neutralization efficacy of replicative SARS-CoV-2 (color-coded in shades of 635 636 pink, with low values in lighter shades). Values measured in immunoassays are color-coded in shades of green. (D) Precision of immunoassays to estimate SARS-CoV-2 neutralization at an 637 638 IC₅₀ threshold of 1:400 using different percentiles of the samples based on their immunoassay 639 values. (E) Area above the curve calculated for a required precision of 0.9. (F) Performance of 640 immunoassays to estimate neutralization at precision levels of 0.75 to 0.95. (G) Statistical significance of the differences between predictive capacities of the immunoassays, as 641 determined by a permutation test. Calculations apply the area above the curve computed for a 642 required precision of 0.9. P values of the one-sided test are shown. Cells are color coded by the 643 644 P values.

645

646 Figure 5. Relationship between the relative neutralizing potency of convalescent samples and 647 their content of spike-specific antibodies. (A,B) Comparison of the relative RBD or NTD binding values (expressed as a fraction of the Ecto value) and neutralization efficacy of the samples. 648 (C) Comparison of the ratio between RBD and NTD values and neutralization efficacy. (D) IC_{50} 649 650 values of convalescent samples and their immunoassay values were log₁₀-transformed and adjusted to a scale of 0.1 to 1. The ratio between the Ecto ELISA value and the IC₅₀ of each 651 sample was calculated for all samples. Patient samples are arranged by increasing Ecto values, 652 from left to right. The ratios calculated for the 20 samples with the lowest and the 20 samples 653 654 with the highest Ecto values were compared using an unpaired T test; the P value for a two-655 tailed test is indicated. (E) Bootstrap distribution for quadratic term in MLR describing the relationship between log-Ecto values and log-IC₅₀ values, evaluating evidence for a non-656

657 constant ratio relationship. A 95% bootsrap confidence interval was determined from bootstrap 658 sample quantiles. (F) The quadratic term was calculated by 50,000 iterations of bootstrap 659 resampling for NTD, RBD, Ecto and cbELISA data. The boxed area shows the second and third quartiles. Whiskers describe the range for two standard deviations. (G) Bootstrapped rank 660 661 regression. The rank order of Ecto values for all 85 samples was determined as well as the ratio 662 between the ranks of the Ecto value and IC₅₀. A simple linear regression model was fit to the 663 relationship between the two variables. A bootstrapping procedure was performed to estimate 664 the slope coefficient. The bootstrap distribution and corresponding 95% confidence interval are 665 shown. (H) The rank regression coefficient was calculated by bootstrap resampling using NTD, RBD, Ecto or cbELISA data. 666

667

Figure 6. Model of the polyclonal antibody response elicited against the SARS-CoV-2 spike protein. SARS-CoV-2-infected individuals generate different amounts of spike-targeting antibodies (represented by the size of the blue rectangles). The fraction of antibodies that target the RBD or NTD is constant in different individuals, with a mean RBD-to-NTD ratio of 1.8. The relative neutralization potency (represented by the green-shaded area) is also similar in different individuals. Question marks indicate the yet unknown domain distribution of the fraction of antibodies that contains neutralizing activity (i.e., positioning of the green-shaded area).

675 SUPPLEMENTAL FILES

Supplemental Figure S1. Measurements of spike-targeting antibodies in 85 convalescent 676 samples using immunoassays that apply different components of SARS-CoV-2 as capture 677 antigens. (A) Distribution of the time interval between the PCR test indicating infection by 678 679 SARS-CoV-2 and collection time of the samples used for this study. (B) Normality tests of 680 immunoassay values. The Shapiro-Wilk test was performed for the immunoassay values and for the log₁₀-transformed immunoassay values. The null hypothesis for this test is that the data are 681 682 normally distributed. P values lower than 0.05 indicate that the null hypothesis is rejected. (C) Distribution of immunoassay values measured for 85 convalescent using commercial tests. 683

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Supplemental Figure S2. Comparison of the log₁₀-transformed values measured in eight immunoassays for 85 convalescent serum and plasma samples. Values in the commercial assays are expressed in arbitrary units (AUs) whereas values in ELISAs are expressed in relative light units (RLUs). Values in the x and y axes are shown in log₁₀ scale. Correlation coefficients are shown in **Fig. 1C**.

690

Supplemental Figure S3. Relationship between timing of sample collection and target specificity of the antibody response. The interval (in days) between the PCR-positive test indicating SARS-CoV-2 infection and the time of plasma or serum collection for these studies was determined. (A-C) Immunoassay values are compared between samples with an interval of 10-45 days (n=43), and samples with an interval of 103-277 days (n=9). (D,E) Comparison of the RBD/Ecto or NTD/Ecto ratios for samples collected after the indicated intervals from detection of infection by PCR. *P* Value, two-tailed test.

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Supplemental Figure S4. Immunoassay percentiles required to predict neutralization at the indicated thresholds with a precision of 0.9. The shaded area describes the combination between neutralization thresholds and sample immunoassay percentiles that allow prediction with a precision of 0.9 or higher. The Roche test did not achieve a precision of 0.9, and thus a value could not be computed for this assay.

704

Supplemental Figure S5. Relationships between immunoassay values of COVID-19
 convalescent samples and their neutralization of replicative SARS-CoV-2. r_s, Spearman
 correlation coefficient. *P* value, two-tailed test.

708

709 Supplemental Figure S6. Relationship between the level of spike-specific antibodies in 710 convalescent samples and their relative neutralization potency. IC_{50} values of convalescent 711 samples and their immunoassay values were log-transformed and adjusted to a scale of 0.1 to 1. For each sample, the ratio between the immunoassay value and the IC_{50} value was 712 713 calculated and shown. Samples are ordered by increasing immunoassay values from left to right. The ratios calculated for the 20 samples with the lowest immunoassay values and the 20 714 715 samples with the highest immunoassay values were compared using an unpaired T test; the P values for a two-tailed test are indicated. 716

717

718 **Supplemental Table S1.** Sample donor information. n.a., indicated data not available.

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Log₁₀ (RBD/NTD)











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В		<i>P</i> value	<i>P</i> value for log ₁₀ -transformed data
	NTD	<0.0001	0.11
	RBD	<0.0001	0.08
	Ecto	<0.0001	0.29
	Liaison	<0.0001	0.56
	Ortho	0.82	<0.0001
	TrimericS	<0.0001	0.67
	Roche	<0.0001	<0.0001
	cbELISA	< 0.0001	0.76



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Neutralization threshold (1/IC₅₀)



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