

1 **Sex- and age- dependent effect of pre-gestational chronic stress and mirtazapine treatment on neurobehavioral**  
2 **development of offspring**

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16

17 **ABSTRACT**

18 Hormonal fluctuations, such as the perinatal period, may increase susceptibility of women to depression, which in  
19 turn exert a negative impact on child's neurodevelopment, becoming a risk factor in development of  
20 neuropsychiatric disorders. Moreover, the use of antidepressants during this critical period presents a serious  
21 health concern for both the mother and the child, due to the consequences of treatment in terms of the reliability  
22 and safety for the proper neurodevelopment of the organism being not well known. Atypical antidepressants, such  
23 as mirtazapine, that targets both serotonergic and noradrenergic systems in the central nervous system (CNS),

24 represent a novel focus of research due to its unique pharmacological profile. The aim of this work was to study  
25 the effects of maternal depression and/or perinatal antidepressant mirtazapine treatment on the neurobehavioral  
26 development of the offspring. Pre-gestationally chronically stressed or non-stressed Wistar rat dams were treated  
27 with either mirtazapine (10 mg/kg/day) or vehicle during pregnancy and lactation followed by analysis of  
28 offspring's behavior at juvenile and adolescent age. We found mirtazapine induced alterations of nursing behavior.  
29 In offspring, pregestational stress (PS) had an anxiogenic effect on adolescent males and increased their active  
30 behavior in forced swim test. Interaction between pregestational stress and mirtazapine treatment variously  
31 induced anxiolytic changes of juvenile and adolescent females and impairment of spatial memory in adolescent  
32 females as well. Hippocampal density of synaptophysin, pre-synaptic protein marker, was decreased mainly by  
33 mirtazapine treatment. In conclusion, our results show mirtazapine induced alterations in maternal behavior and  
34 several sex- and age-dependent changes in neurobehavioral development of offspring caused by both prenatal  
35 mirtazapine treatment and/or chronic pregestational stress.

36 **Key words:** pre-gestational chronic stress, depression, mirtazapine, neurodevelopment, anxiety, spatial learning,  
37 synaptophysin

38

## 39 INTRODUCTION

40 An estimation of 17% men and 25% women experience an episode of major depressive disorder (MDD) at least once  
41 in their life (1). Higher susceptibility of women to depression may arise from the increased vulnerability caused by  
42 periods of hormonal fluctuation, such as the perinatal period (2,3). Perinatal depression has been reported to exert  
43 a negative impact in children neurodevelopment, becoming a risk factor in developing neuropsychiatric disorders  
44 (4–6).

45 Second generation antidepressants (SGA), such as selective serotonin reuptake inhibitors (SSRIs) (fluoxetine,  
46 sertraline) or serotonin-norepinephrine reuptake inhibitors (SNRIs) (venlafaxine) introduced in Europe in the 1980s,  
47 are recorded as the first line antidepressant treatment during pregnancy by most medication guides (7,8). The World  
48 Health Organization (WHO) estimates that depression is a leading cause of disability worldwide (9). However, the

49 main concern for specialists is the impact of the treatment on the developing fetus/child, namely risk of potential  
50 congenital malformations, neonatal withdrawal symptoms or poor neonatal adaptation syndrome as well as long-  
51 term neurodevelopmental consequences (10–13). In addition, the delay in treatment efficacy and the presence of  
52 many side effects lead researchers to investigate alternative antidepressants with better efficacy, faster onset of  
53 action and lesser counterproductive reactions.

54 Mirtazapine (MIR) (Fig 1) has a tetra-cyclic chemical structure with molecular weight of 265.36 and belongs to the  
55 piperazino-azepine group of compounds. It is a new generation antidepressant that has a different mechanism of  
56 action than SGAs, targeting both the serotonergic and noradrenergic systems in the CNS. It is a noradrenaline (NE)  
57 and specific serotonin (5-HT) antidepressant (NaSSA) that acts as an antagonist at central  $\alpha$ 2-adrenergic inhibitory  
58 autoreceptors and heteroreceptors, as well as at the 5-HT<sub>2</sub> and 5-HT<sub>3</sub> receptors. MIR enhances the release and  
59 availability of NE by blocking presynaptic inhibitory  $\alpha$ 2-autoreceptors and enhances the 5-HT release by antagonism  
60 of the  $\alpha$ 2-heteroreceptors in the serotonergic nerve terminals and simultaneously blockade of postsynaptic 5-HT<sub>2</sub>  
61 and 5-HT<sub>3</sub> receptors. Thanks to this double activity, MIR is suggested to induce earlier onset of antidepressant effects  
62 avoiding the serotonergic related side effects such as high body temperature, agitation, increased reflexes, tremor,  
63 sweating, dilated pupils, and diarrhea (14). However, it may induce an enhanced body weight gain and sleepiness  
64 (15,16). Mirtazapine has low *in vitro* affinity for central and peripheral dopaminergic, cholinergic, and muscarinic  
65 receptors, but high affinity for central and peripheral histamine H1 receptors. However, it appears that the  
66 antihistaminergic effects of the drug are counteracted by noradrenergic transmission when the drug is commenced  
67 at dosages  $\geq$ 15 mg/day, i.e. within the recommended dosage range (17). Initial dose of MIR is 15 mg/day orally once  
68 a day at bedtime and maintenance dose represents 15 to 45 mg orally once a day (18). Even though MIR seems to  
69 represent a plausible alternative of antidepressant medication during gestation and there is no evidence that use of  
70 mirtazapine in pregnancy causes birth defects, preterm birth, or low infant birth weight. While the evidence for  
71 other pregnancy outcomes is also reassuring, only small numbers of women have been studied and number of  
72 animal studies is not sufficient (19).

73 Despite lacking efficient translatability, several animal models, including chronic unpredictable stress, have been  
74 investigated for decades to evaluate depression-like behavioral changes and their potential mechanisms of action.

75 Studies in rodents show that chronic pre-gestational and prenatal maternal stress, which disrupt the maternal  
76 endocrine, nervous and immune systems, can induce long-term alterations in the synaptic structure and so impact  
77 the behavioral outcomes in the offspring (6,20). Prior to regulatory roles of serotonin, norepinephrine and dopamine  
78 neurotransmitters in adult brain, monoamines play an important role in the fetal maturation of the brain, such as -  
79 during neuronal proliferation, migration and differentiation, myelination and synaptogenesis (21). The exposure  
80 to stressful situations early in life may disable the optimal structural and functional development of hippocampus,  
81 due to the damaging action of excessive corticosterone concentration and dysregulation of monoamines (11,22).  
82 Persisting high levels of stress are thought to result in loss of synapses in circuits underlying affective and cognitive  
83 processes. These reductions are presumed to contribute to the symptoms of depression associated with major  
84 depressive disorder (23). Synaptophysin is a synaptic vesicle glycoprotein, which immunoreactivity is present in a  
85 punctate pattern in the hippocampus and has been used as a presynaptic marker for quantification of synapses (24).  
86 The hippocampal formation consists of several histologically distinguishable modules, such as Cornu Ammonis (CA)  
87 regions (CA1, CA2, CA3, CA4), dentate gyrus (DG), presubiculum, and subiculum. These regions of the hippocampus  
88 are associated with different functions (e.g. memory encoding and retrieval) and may be specifically disrupted in  
89 various diseases (25). Granule cells, the main output cells of the DG, send axons (mossy fibers) through CA4 to CA3  
90 and innervate a small number of pyramidal cells and a disproportionately large number of interneurons CA3 pyramidal  
91 cells then form recurrent excitatory network and send axons to CA1. Theoretical work, as well as anatomical,  
92 physiological, and behavioral experiments support the idea that the DG-CA3 system performs the pattern separation  
93 and the pattern completion of the inputs to the hippocampus, operations needed for memory encoding and retrieval  
94 (26). Purpose of the hippocampus happens to be severely affected by early life stress, predisposing the individual to  
95 an impaired reactivity when exposed to adverse environmental stimuli (27). In our previous study, we have shown  
96 that pre-gestational maternal stress may affect hippocampus at the time of birth by increasing the resting membrane  
97 potential, suppressing depolarization-activated action potential firing, and increasing the spontaneous activity of  
98 hippocampal cells from newborn rat offspring (28), but pre-gestational stress induced changes in different  
99 subregions of hippocampus are not thoroughly known. However, antidepressant treatment may facilitate the  
100 challenged neurogenesis by, upregulating the hippocampal concentration of glucocorticoid receptors, which help to  
101 attenuate the hyperactivity of the HPA axis and inducing morphological changes in the neuronal network (11,27,29).

102 Some studies suggest that developmental fluoxetine (SSRI) exposure of prenatally stressed male and female  
103 offspring reversed the effect of stress on the number of immature neurons in the dentate gyrus (DG), with effects  
104 being more prevalent in adult male offspring (30,31). However, knowledge of mirtazapine treatment's impact on  
105 pregnancy and lactation hippocampal neurogenesis of juvenile, adolescent and adult offspring during last days of  
106 pregnancy and for following 2 weeks postpartum (PP), is very limited.

107 The aim of the present study was to determine the possible implications that pre-gestational chronic stress have on  
108 the behavioral and neurodevelopmental outputs in the offspring of both sexes during juvenile and adolescent age  
109 and to investigate the consequences of administration of the new generation antidepressant mirtazapine on these  
110 variables aiming our focus on functional brain developments that starts day 10 PP (32–35).

111

## 112 **MATERIALS AND METHODS**

### 113 **Animal breeding**

114 Female nulliparous Wistar rats (initial weight 200–220 g, age 2–3 months, n=44) used in this study were obtained  
115 from the Department of Toxicology and Laboratory Animal Breeding Station of the Institute of Experimental  
116 Pharmacology and Toxicology, Centre of Experimental Medicine of the Slovak Academy of Sciences, Dobra Voda,  
117 Slovak Republic. After 7 days of acclimatization, females were randomly assigned to stress or non-stress groups.  
118 Animals in the stress group were exposed to unpredictable stressors of mild intensity for a total of 3 weeks. One  
119 week after the end of the stress procedure, females were mated with males in the ratio 3:1. The presence of  
120 spermatozoa in vaginal smears was considered day 0 of gestation. On day 15 of gestation, the females were  
121 separated and housed individually. The animals had *ad libitum* access to food pellets and water and were kept in a  
122 temperature and humidity-controlled room (20–24°C and relative humidity 50–60%) with 12/12 hours of light/dark  
123 cycle. The experiments were conducted in compliance with the Principles of Laboratory Animal Care issued by the  
124 Ethical Committee of the Institute of Experimental Pharmacology and Toxicology, Slovak Academy of Sciences,  
125 Bratislava, and the experimental design was approved by the State Veterinary and Food Administration of the Slovak  
126 Republic.

127 One day after birth, litters were culled to four males and four females (with eight offspring per cage). Reproductive  
128 variables were recorded right after the birth. The offspring were weaned on post-partum day 21 and housed in litter  
129 groups of same-sex four animals per cage. No more than two pups from the same mother per group (n= 6-8  
130 animals/group) were used for behavioral testing.

### 131 **Chronic unpredictable stress schedule**

132 In order to not expose females to stressors during pregnancy but rather study ongoing effects of chronic stress,  
133 females were assigned to stress or non-stress groups prior to breeding (Fig 2). The animals were exposed to 1-2  
134 stressors per day. The list of stressors included: 1) Overcrowding - 6 rats housed together (24h); 2) Exposure to damp  
135 bedding (12h); 3) Food deprivation (24h); 4) Predator stress – cloth with cat odor (10h); 5) Water deprivation (12h);  
136 6) Cage decline at 45-degree angle (6h); 7) Strobe light- flicker lights (12h).

### 137 **Mirtazapine treatment**

138 Mirtazapine (Mikrochem Trade spol. s r.o.) with a molecular weight of 265.36 was diluted in citric acid and  
139 administered orally via the wafer biscuit (size of 1 cm<sup>3</sup>) to pregnant rats from day 10 of gestation until sacrifice at  
140 the clinically relevant dose of 10 mg/kg once per day. Pups were receiving mirtazapine via mother until weaning on  
141 day 21 *post-partum* (PP). This dose was chosen based on a body surface area normalization (BSA) conversion used  
142 to determine the starting human dose extrapolated from animal studies. The Km factor, which is the ratio of body  
143 weight (kg) to body surface area (m<sup>2</sup>), is used to convert doses expressed in mg/kg to units of mg/m<sup>2</sup> (36). Dose 10  
144 mg/kg a day was used to simulate low dose end in humans. The dams from control groups received 1 cm<sup>3</sup> of wafer  
145 biscuit once a day filled with vehicle (water). Feeding was completed under investigator's supervision to ensure the  
146 dam consumed the entire biscuit. Pregnant dams were randomly divided into 4 groups: non-stress + VEHIC (vehicle),  
147 non-stress + MIR, stress + VEHIC, stress + MIR.

### 148 **Rat Grimace Scale**

149 Mothers were placed in individual cages for 10 min and each minute 4 pictures were taken from each rat from front  
150 with a Nikon professional camera. Animals were tested 5 weeks after CUS. Each picture was evaluated by a score  
151 from 0 to 2 according to the following four action units (37):

152 1. Orbital tightening: rats in pain display narrowing of the orbital area which manifests as partial or complete eye  
153 closure or squeezing.

154 2. Nose/cheek flattening: less bulging of nose and cheek and absence of crease between cheek and whisker pads.

155 3. Ear changes: ears in pain tend to fold, curl and angle forwards or outwards, and the space between ears is wider.

156 4. Whisker change: whiskers move forward (away from face) and tend to bunch.

#### 157 **Behavioral tests**

#### 158 **Maternal behavior**

159 Mothers were observed for 5 consecutive days from PD2 until PD6 two times per day for 5 min based on previous  
160 literature (20). Observations took place in the morning (between 8:30 a.m. and 10.00 a.m.) and the afternoon  
161 (between 2:30 p.m. and 3:30 p.m.). Time and number of bouts in the following maternal behaviors were recorded:  
162 licking; licking/nursing; nursing (arched-back nursing, blanket nursing, and/or passive nursing); nest building and  
163 time off the pups. Differences between groups in maternal behaviors were assessed using total time spent in these  
164 maternal behaviors.

#### 165 **Forced swim test (FST)**

166 The FST consists of a container filled with water where the animal is placed and cannot escape. The apparatus  
167 consists of a vertical cylindrical glass container (height 45 cm, diameter 25cm) filled with tap water at  $23\pm 1^\circ\text{C}$ . The  
168 water volume is enough to ensure that the animals can not touch the bottom of the container with their hind paws.  
169 The forced swim test was conducted over two days. On the first day, rats were introduced to the cylindrical glass  
170 tank filled with water for 15 min (not videotaped), towel-dried and returned to their home cage. Twenty-four hours  
171 later, the animals were exposed to the same experimental conditions for 5 min, dried and returned to their home  
172 cage. Sessions were videotaped and scored using the software ANYMAZE™ (Stoelting Europa, Co., Ireland). All tests  
173 were carried out between 8:00 a.m. to 12:00 p.m. The behavior scored in the forced swim test concerns: (1)  
174 immobility- floating with the absence of any movement, (2) latency to be immobile- time duration, (3) swimming,  
175 (4) climbing. Offspring was tested at the age of 48 days.

#### 176 **Elevated plus maze (EPM)**

177 All parts of the apparatus are made of dark polyvinyl plastic. The open and the closed arms of the maze are 50cm  
178 above the floor, 50cm long and 10cm wide. Two tests were running simultaneously. The movements of the rats were

179 tracked with digital camera and the individual sessions were analyzed by computer software ANYMAZETM (Stoelting  
180 Europe, Ireland). Mild light was provided by a lamp attached above the open arms of the maze. Each session lasted  
181 5 minutes and was started by placement of the rat in the central area facing the open arms of the maze. After each  
182 individual trial, the maze was wiped with a mild detergent. The testing was invariably carried out between 8:00 a.m.  
183 and 12:00 p.m. The behaviors scored were: (1) distance traveled in the open arms of the elevated plus maze, and (2)  
184 time spent in the open arms of the elevated plus maze. The animals were tested at the age of 27 and 47 days.

### 185 **Y-Maze**

186 The Y-Maze Test is widely used to assess exploratory behaviors, learning, and memory function in rodents and short-  
187 term memory (38). The apparatus was made from black Plexiglas (50x16x32 cm) in which the arms were  
188 symmetrically separated at 120°. No visual cues were placed inside the maze, but different extra-maze cues were  
189 visible from all three arms to enable spatial orientation. During the first trial, each animal could freely explore two  
190 arms of the maze for 15 min. and its behavior was recorded with a camera. Subsequently, animals were returned to  
191 the home cage for one minute and the maze was cleaned with 70% ethanol. The second trial lasted 5 minutes with  
192 animal having the access to all three arms. Sessions were videotaped and scored using the software ANYMAZE™  
193 (Stoelting Europa, Co., Ireland). All tests were carried out between 8:00 a.m. to 12:00 p.m. under dim light condition.  
194 The animals were tested at the age of 43 days. Spontaneous alternation behavior (the alternation percentage is  
195 calculated by dividing the number of alternations by number of possible triads x 100) is considered to reflect spatial  
196 working memory, while the total number of arm entries was considered to reflect spontaneous locomotor activity  
197 (39,40).

### 198 **Immunohistochemistry assay**

199 Collection of tissue from offspring was executed at different ages of the animals: in juvenile age (PP31) and in  
200 adolescent age (PP50). The animals were killed by cervical dislocation. Brains were extracted (not perfused) and  
201 post-fixed with 4% paraformaldehyde for 24 h, cryoprotected in 30% sucrose/phosphate-buffered saline solution for  
202 up to 1 week, rapid frozen with liquid nitrogen and kept at -80 °C. Before immunohistochemistry assay, brain tissue  
203 was sliced in 40 µm sections on a cryostat (Leica). Tissue sections were stored in antifreeze solution at -15°C. The  
204 Ca3, Ca4 and DG of the dorsal hippocampus were assessed for the presynaptic marker synaptophysin (Monoclonal  
205 Anti-Synaptophysin, Sigma) as described before (20). Tissue was first treated with 0.6 % H<sub>2</sub>O<sub>2</sub> for 30 minutes at room



206 temperature and then incubated with 5% Normal Goat Serum (NGS) (Lampire Biological Laboratories) in Tris-  
207 Buffered Saline Tween at room temperature for 30 min to decrease probability of non-specific antibody binding,  
208 followed by overnight incubation at 4°C in mouse anti-synaptophysin (1:200, Sigma Aldrich). Sections were then  
209 incubated at room temperature for 2 h in biotinylated goat anti-mouse (1:200, Vector Laboratories). Brain sections  
210 were further processed using the Avidin-Biotin Complex (ABC Elite kit; 1:1000; Vector laboratories) and DAB kit  
211 (Vector laboratories). Sections were mounted on Starfrost Advanced Adhesive for IHC (Bamed) dried, dehydrated  
212 and coverslipped with Permount (Fisher Scientific).

### 213 **Quantification**

214 Sections of the dorsal hippocampus were analyzed for optical densities of synaptophysin. Immunoreactivity for all  
215 sections was examined under 40x objective using Leica DM4000M. Photomicrographs were taken for three areas  
216 within each analyzed hippocampal region, i.e., CA3, CA4 and DG. The software ImageJ64 (Wayne Rasband, NIH,  
217 Bethesda MD, USA) was used for assessment of optical densities for all immunoreactive cells. The relative optical  
218 density was defined as the difference in optical density (grey level) after calibration between the area of interest  
219 and the background, which was an equivalent area adjacent to the area of interest with minimal staining.

### 220 **Data analysis**

221 Normality of data was analyzed by Shapiro-Wilks test. Data without normal distribution were analyzed using Kruskal-  
222 Wallis test (STATISTICA 10). Analysis of variance (factorial ANOVA) was used to evaluate differences in the individual  
223 variables of tests with normally distributed data (STATISTICA 10). Post-hoc comparisons utilized the Tukey HSD test  
224 ( $p \leq 0.05$ ). The data were expressed as mean  $\pm$  standard error mean (S.E.M). The changes with values of  $p \leq 0.05$  were  
225 considered statistically significant. All analysis was done in a blind manner.

226

## 227 **RESULTS**

### 228 **MOTHERS**

#### 229 **Grimace test**

230 Rat grimace test scores has been used to evaluate spontaneous pain (41). We observed significant main effect of  
231 stress on grimace test scores ( $F(1, 22) = 5.00$ ;  $p \leq 0.05$ ). Post-hoc analysis did not reveal any significant differences  
232 (Fig 3).

### 233 **Maternal behavior**

234 There was no significant main effect of stress or mirtazapine on total time dams spent nursing (Fig 4A), however, we  
235 observed significant main effect of mirtazapine on the percentage of passive nursing and blanket nursing ( $F(1, 30) =$   
236  $10.74$ ;  $p \leq 0.01$ ). Subsequent post-hoc analysis showed decrease of passive nursing ( $p \leq 0.05$ ) and increase of blanket  
237 nursing ( $p \leq 0.05$ ) in stress  $\times$  vehicle group as compared to both mirtazapine groups (Fig 4B).

### 238 **OFFSPRING**

#### 239 **Elevated plus maze- juvenile offspring**

240 We did not observe an effect of sex but there was a stress  $\times$  mirtazapine interaction in females on percentage of  
241 distance travelled in the open ( $F(1, 24) = 4.89$ ;  $p \leq 0.05$ ) (Fig 5A) and closed arms ( $F(1, 24) = 7.82$ ;  $p \leq 0.01$ ) (Fig 5B) of  
242 EPM, an effect was not present in male offspring. Further analysis showed a decrease in total distance travelled in  
243 stress  $\times$  vehicle females compared to control group.

#### 244 **Elevated plus maze- adolescent**

245 Main effect of sex was present in all parameters of elevated plus maze with females being more active (higher total  
246 distance travelled) than males ( $F(1, 62) = 9.04$ ;  $p \leq 0.01$ ).

247 In males, we observed marginally significant main effect of stress  $\times$  mirtazapine interaction in total distance travelled  
248 ( $F(1, 30) = 3.69$ ;  $p = 0.06$ ). Post-hoc analysis showed significantly decreased total distance travelled in stress  $\times$  vehicle  
249 group ( $p \leq 0.05$ ) compared to non-stress  $\times$  vehicle group. Also, trend for decrease in non-stress  $\times$  mirtazapine ( $p = 0.07$ )  
250 and stress  $\times$  mirtazapine ( $p = 0.07$ ) groups compared to non-stress  $\times$  vehicle group (Fig 6A) was present. In females,  
251 we did not observe any differences in total distance travelled (Fig 6A).

252 In males, there were no changes in the percentage of time spent in the open arm (Fig 6B) but we observed a main  
253 effect of stress ( $F(1, 30) = 4.53$ ;  $p \leq 0.05$ ) in the percentage of time spent in the closed arm and trend for stress  $\times$

254 mirtazapine interaction (1, 30)= 3.37;  $p=0.07$ ). Post-hoc analysis revealed that animals from stress  $\times$  vehicle group  
255 had significantly increased percentage of time spent in the closed arm compared to non-stress  $\times$  vehicle group  
256 ( $p\leq 0.05$ ) (Fig 6C).

257 In females, we observed marginally significant effect of mirtazapine ( $F(1, 32) = 3.70$ ;  $p=0.06$ ) and a trend in effect of  
258 stress ( $F(1, 32) = 3.06$ ;  $p=0.08$ ) on the percentage of time spent in the open arm. Post-hoc analysis revealed only  
259 marginally significant increase in stress  $\times$  mirtazapine group compared to non-stress  $\times$  vehicle group ( $p=0.06$ ) (Fig  
260 6B). There was marginally significant main effect of stress  $\times$  mirtazapine interaction ( $F(1, 32) = 3.53$ ;  $p=0.06$ ) in the  
261 percentage of time spent in the closed arm. Post-hoc analysis showed decrease in stress  $\times$  mirtazapine group  
262 significant compared to non-stress  $\times$  vehicle ( $p\leq 0.05$ ) and non-stress  $\times$  mirtazapine group ( $p\leq 0.05$ ) a trend in  
263 decrease compared to stress  $\times$  vehicle group ( $p=0.08$ ) (Fig 6C).

#### 264 **Forced swim test**

265 There were no sex differences in either of the selected forced swim test parameters.

266 Kruskal-Wallis test showed significant main effect of stress in time spent floating (males:  $H=7.41$ ;  $p\leq 0.01$ ; females:  
267  $H=6.90$ ;  $p\leq 0.01$ ), time spent climbing (males:  $H=4.47$ ;  $p\leq 0.05$ ; females: not significant) and time spent swimming  
268 (males:  $H=6.49$ ;  $p\leq 0.01$ ; females:  $H=10.24$ ;  $p\leq 0.001$ ). Further analysis revealed that floating time of stress  $\times$   
269 mirtazapine males was significantly decreased compared to non-stress  $\times$  vehicle males ( $p\leq 0.01$ ), however floating  
270 time of females was not significantly changed between individual groups (Fig 7A). Total swimming time of stress  $\times$   
271 mirtazapine males was significantly decreased compared to non-stress  $\times$  vehicle males ( $p\leq 0.01$ ) and floating time of  
272 stress  $\times$  mirtazapine females was significantly decreased compared to non-stress  $\times$  mirtazapine females ( $p\leq 0.01$ ) (Fig  
273 7B). Climbing time was not significantly changed between individual groups in either sex (Fig 7C).

#### 274 **Y-maze- adolescent**

275 We observed main effect of sex in both motor activity ( $F(1, 45) = 11.53$ ;  $p\leq 0.01$ ) as well as in percentage of  
276 spontaneous alterations ( $F(1, 45) = 4.27$ ;  $p\leq 0.05$ ) with females being more active but having decreased percentage  
277 of spontaneous alterations compared to males.

278 In males, a significant main effect of treatment ( $F(1, 20) = 9.79$ ;  $p \leq 0.01$ ) was observed in total distance travelled.  
279 Post-hoc analysis revealed marginally significant decrease in non-stress  $\times$  mirtazapine group compared to non-stress  
280  $\times$  vehicle group ( $p = 0.07$ ) and significant decrease compared to stress  $\times$  vehicle group ( $p \leq 0.05$ ) (Fig 8A). We did not  
281 observe any significant changes percentage of spontaneous alterations (Fig 8B).

282 In females, a significant main effect of stress was in total distance travelled ( $F(1, 25) = 4.95$ ;  $p \leq 0.05$ ). Post-hoc  
283 analysis showed marginally significant increase of horizontal motor activity in stress  $\times$  mirtazapine group compared  
284 to non-stress  $\times$  vehicle group ( $p = 0.07$ ) (Fig 8A). Further, we observed significant main effect of mirtazapine ( $F(1, 25)$   
285  $= 11.25$ ;  $p \leq 0.01$ ) and mirtazapine  $\times$  stress interaction ( $F(1, 25) = 7.81$ ;  $p \leq 0.01$ ) in percentage of spontaneous  
286 alterations. Post-hoc analysis revealed significant or marginally significant decrease in all groups (non-stress  $\times$   
287 mirtazapine  $p \leq 0.01$ ; stress  $\times$  vehicle  $p \leq 0.06$ ; stress  $\times$  mirtazapine  $p \leq 0.05$ ) compared to non-stress  $\times$  vehicle group  
288 (Fig 8B).

#### 289 **Synaptophysin-juvenile**

290 We did not observe any statistically significant changes in the synaptophysin optical density in the hippocampal areas  
291 Ca3, Ca4 and dentate gyrus (data not shown).

#### 292 **Synaptophysin-adolescent**

293 We found significant main effect of sex present only in area Ca4 of hippocampus ( $F(1, 47) = 7.23$ ;  $p \leq 0.01$ ) (Fig 9A).  
294 Further, we observed significant main effect of mirtazapine in hippocampal areas Ca3 ( $F(1, 19) = 9.01$ ;  $p \leq 0.01$ ) (Fig  
295 9B) and Ca4 ( $F(1, 23) = 5.87$ ;  $p \leq 0.05$ ) (Fig 9C) of females. Post-hoc analysis did not reveal significant differences  
296 between groups.

297

#### 298 **DISCUSSION**

299 In the present study, focused on possible implications of pre-gestational chronic stress on the neurodevelopmental  
300 and behavioral outputs in the offspring of both sexes during juvenile age and adolescence, we wanted to investigate  
301 the consequences of administration of the atypical antidepressant mirtazapine.

302 We evaluated the spontaneous pain of the mothers by the Rat Grimace Scale (RGS) and we found increased facial  
303 expression indicating pain in those mothers, which were exposed to stress schedule. RGS was developed to identify  
304 acute and inflammatory pain but it can be applied to a wider range of pain types and chronicity (42,43) and this is  
305 supported by our data that suggest ongoing influence of CUS even 5 weeks after the CUS procedure.  
306 Maternal behavior usually emerges close to parturition and after birth, with the female showing a very rapid interest  
307 in the new-born (44). Detrimental changes in maternal behavior can generate permanent neurological and social  
308 inclusion disturbances in offspring (45). We observed an effect of mirtazapine treatment on the proportion of passive  
309 to blanket nursing favoring the latter one, indicating a slight influence of mirtazapine on some aspects of maternal  
310 behavior. Mirtazapine acts by antagonizing the adrenergic alpha2-autoreceptors and alpha2-heteroreceptors as well  
311 as by blocking 5-HT2 and 5-HT3 receptors. It enhances, therefore, the release of norepinephrine and 5-HT1A-  
312 mediated serotonergic transmission (15). Since maternal behavior is strongly regulated by serotonin (46–48), we  
313 thus suppose that serotonin activity of MIR can underlie these changes. Shift in maternal behavior in favor of more  
314 active blanket nursing caused by antidepressant treatment is in line with our previous results from venlafaxine  
315 (serotonin-norepinephrine reuptake inhibitor) (49) as well as results from fluoxetine (selective serotonin reuptake  
316 inhibitor) (50).

### 317 ***Anxiety-like behavior***

318 Offspring of mothers that have experienced stress during gestation may have impaired emotional development due  
319 to a dysregulation of the HPA axis, leading to a higher risk of developing a cognitive and/or mood disorders in  
320 adulthood (28,51–53). We evaluated the effect of pregestational stress (PS) exposure on intensity of anxiety-like  
321 behavior by the elevated plus-maze test, a validated behavioral paradigm based on rodent's preference of closed to  
322 open spaces, with latter presenting a significant anxiety-like behavior inducing condition (54).

323 In this study, we didn't find significant sex-dependent differences in anxiety-like behavior of juvenile rats, what was  
324 expected due to unmaturing reproductive system (55). However, female offspring of stressed untreated mothers  
325 exhibited decreased anxiety-like behavior that was not present if mothers were treated with MIR. This was changed  
326 in adolescence, when females showed decreased anxiety-like behavior if mothers were stressed and treated with  
327 MIR. Different results were observed in adolescent male rats, who displayed decreased anxiety-like behavior due to  
328 pregestational stress, but mirtazapine treatment of mothers ameliorated this effect. Changes in anxiety-like

329 behavior, due to maternal stress, were previously described by several research groups (28,56). Although there are  
330 controversial results regarding effect of chronic pregestational stress on anxiety-like behavior of offspring, possibly  
331 due to the different stress paradigm strategies, a great proportion of studies postulate that male offspring whose  
332 mothers were submitted to stress before or during pregnancy are more reluctant to enter and spent time in the  
333 open arm of the elevated plus maze (57–59). This effect of perinatal mirtazapine treatment on anxiety-like behavior  
334 of offspring may be due to the antagonism that mirtazapine exerts on noradrenergic autoreceptors that in turn  
335 enhances the noradrenergic transmission opposing the stress-related response (60). However, the research group  
336 of Sahoo et al. studied the effects of prenatal exposure to mirtazapine on the postnatal development of rats, with  
337 animals spent less time in the open arm, albeit sex was not distinguished (61). Nevertheless, the sex-dependent  
338 differences in adaptive behavioral performance to a challenge due to maternal stress may be explained by the  
339 influence of individual fetal brain programming, differentially modulating e.g. the responsiveness to a new stimuli,  
340 or a hormone arousal during adolescence (62). Recent studies postulate that the sexual hormones, testosterone and  
341 estradiol, have a neuroprotective and anti-inflammatory role on cognitive functions (1,63), which is a possible  
342 ground for further investigation.

#### 343 ***Depressive-like behavior***

344 Modified forced swim test, based on the protocol established by Porsolt (64), has been used as a tool to determine  
345 the learned helplessness (rodent despair) and therapeutic efficacy of mirtazapine . However, several recent studies  
346 postulate that the immobility in this test may not be a sign of a depressive-like state but rather reflection of the  
347 individual ability to cope with an acute stressor, explaining the passive phenotype as a result of animal's difficulties  
348 to adapt to external stimuli (65,66).

349 Our results show an increased active behavior of animals from stressed mothers of both sexes regardless of  
350 mirtazapine treatment manifested as less time floating and more time trying to actively escape. Even though several  
351 studies declared increased immobility time in animals that have experienced stress during their lives, particularly  
352 prenatally, (52,67,68) there are studies showing possibility of prenatal stress inducing adaptive changes contributing  
353 to stress resilience later in life (69–71). Active behaviors , such as swimming and climbing, in the rat forced swimming  
354 test are differentially regulated by serotonergic and noradrenergic systems (72). Swimming behavior, in this test, is

355 modulated by serotonergic neurotransmission, as Brummelte and colleagues demonstrated a decreased swimming  
356 of offspring whose mothers were exposed to high levels of corticosterone while pregnant (73). Also, Vázquez et al.  
357 suggested that increased levels of serotonin in control animals after FST may be responsible for shorter time spent  
358 immobile and increased time spent swimming, corroborating an effect of the serotonergic system, which in turn  
359 modulates dopaminergic projections associated with the reward system and the coping with stress. In this terms,  
360 lower levels of serotonin were linked to a stress-induced anhedonic state, while higher levels promote a non-  
361 anhedonic state (52). Accordingly, higher levels of serotonin may be a plausible explanation to our results.

### 362 ***Spatial memory***

363 We encountered sex-dependent differences in the Y-maze, a behavioral paradigm set to assess the spatial memory  
364 performance building on the natural inclination of rodents to explore new environments. Male offspring from  
365 mirtazapine treated mothers showed reduced locomotive activity, indicating an influence of treatment on executive  
366 capacity. On the other hand, females seemed to be rather affected by the pregestational stress experience of the  
367 mothers, which induced a hyperactivity-like response. Such a result may be reflective of hyperactivity or even ADHD  
368 described in children of stressed mothers while pregnant (74,75). However, sex differences in reactivity to either  
369 pre-gestational stress or perinatal mirtazapine treatment related to potentially hyperactive behavior require further  
370 investigation. In children, PS is connected to cognitive, behavioral, and emotional problems such autism and ADHD  
371 (76,77). The maternal stress, manifesting as increased cortisol and corticotropin-releasing factor (CRF) levels, affects  
372 the fetal development by reprogramming the HPA axis, leading to impaired memory and learning due to the long-  
373 lasting effects in the hippocampus (78). Moreover, Deminière et al. had previously described an increased locomotor  
374 reactivity to novelty in litters of pre-gestationally stressed mothers, which authors associated with a modified  
375 dopaminergic activity in the prefrontal cortex and nucleus accumbens (79). Experience-dependent plasticity of  
376 hippocampal neurons and adult neurogenesis, processes of importance for optimal learning or memory formation  
377 and processing, are modulated through epigenetic mechanisms, which induce long-lasting changes determining the  
378 ability of individuals to cope with adverse situations (80,81).

379 In our study, adolescent females had impaired spatial memory, represented by decreased percentage of  
380 spontaneous alternations, as a result of maternal stress as well as mirtazapine treatment. However, this effect was

381 not present in males. Conrad et al. studied the effects of chronic stress on spatial memory performance of adult rats  
382 concluding that stress impaired the spatial learning and memory, hippocampi-dependent spatial tasks. Authors also  
383 resolved that females seem to be less vulnerable to these hippocampi-dependent memory deficits (38,82).  
384 Nevertheless, they didn't study the effects in the offspring. Otherwise, female rats have been linked to more active  
385 response in novel environments (30,83,84) and, in line with our results, pregestational stress as well antidepressant  
386 treatment highlighted this response with effect of mirtazapine being more pronounced in animals without  
387 pregestational stress exposure. Similar results have been postulated in association with other antidepressant such  
388 as fluoxetine, bupropion or citalopram (74,85). Nevertheless, our results show a clear influence of pre-gestational  
389 stress and antidepressant treatment in the onset of behavioral tasks, which may be driven by the differential  
390 strategies used by each sex to cope with adversity. Moreover, we could take into account that we evaluated animals  
391 during adolescence, which is known as a time of increased physiological and psychological changes, which make  
392 them more vulnerable and unpredictable to external influences (86–88).

### 393 ***Synaptophysin analysis***

394 Synaptophysin is used as a marker of synaptic plasticity and synaptic nerve terminal density (89). We analyzed optical  
395 density (OD) of synaptophysin, a calcium-binding glycoprotein widely distributed in the presynaptic vesicle  
396 membrane, that is required for vesicle fusion and neurotransmitter release, in the CA3, CA4 and DG areas of the  
397 hippocampus. Results of synaptophysin density in our study were age-dependent with no changes in juvenile  
398 offspring. However, with onset of adolescence we observed prominent effect of sex with synaptophysin OD males  
399 remaining intact under all conditions while females being influenced by maternal mirtazapine treatment. This effect  
400 was regionally specific as changes were observed only in CA3 and CA4 areas but not in DG. Sex differences can be  
401 seen in the neural plasticity at DG-CA3 synapses. In short, mossy fibers evoke larger population spikes in CA3  
402 pyramidal neurons in females during proestrus and estrus relative to males, while mossy fibers in males have  
403 stronger synaptic connections to CA3 neurons than females (90). Limitation of our study is that we didn't evaluate  
404 estrus cycle phase before extraction of the brains. There is very limited research available on maternal  
405 antidepressant treatment in association with synaptophysin OD. Fluoxetine, a SSRI, has been proven to impact  
406 synaptophysin OD of CA3 in the same sex- and age-dependent manner as seen in our study (31). Previous studies



407 have encountered a reduced expression of synaptophysin in the hippocampus as whole or in DG, no research is done  
408 on other specific regions, at different ages (PP7, PP14, adult) of pre-gestationally stressed pups (91–93), suggesting  
409 the involvement of early-life stress in modulation of synaptic plasticity, which could lead to development of neuronal  
410 deficits during adulthood (94,95). Previous studies suggested a regulatory role of ovarian hormones in the  
411 developing brain (94) explaining the differential effect of stress on limbic synaptic plasticity in female rats. However,  
412 there are differences between our study compared to studies previously mentioned. Our model comprises of CUS  
413 prior to gestation and we tested the offspring in adolescent age, when the reproductive system of the offspring is  
414 not fully developed, so we can't rule out other stress related changes in later life that were not seen in this study.

415

#### 416 **CONCLUSIONS**

417 Neurobiology of depression remains mostly unexplained with several theories trying to identify its etiology including  
418 the impairment of monoaminergic systems coupled with an altered neural plasticity, dysregulation of the HPA axis  
419 or the immunological response. Maternal depression as well as antidepressant treatment thus may lead to a broad  
420 spectrum of neurodevelopmental changes in the offspring. Our results suggest mirtazapine induced alterations in  
421 maternal behavior and several sex- and age-dependent changes in neurobehavioral development of offspring caused  
422 by either or combination of prenatal mirtazapine treatment and chronic pre-gestational stress.

423

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427

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692

## 693 **FIGURE CAPTIONS**

694 **Figure 1.** Chemical structure of mirtazapine.

695 **Figure 2.** Schedule of the experiment. G- gestation day; PP-post-partum day; EPM- elevated plus maze; FST- forced  
696 swim test.

697 **Figure 3.** Grimace scale test. Data represent mean  $\pm$  SEM. n= 6-7 animals/group.

698 **Figure 4.** Maternal behavior. (A) total time spent nursing, (B) percentage of passive nursing and blanket nursing out  
699 of total time spent nursing. Data represent mean  $\pm$  SEM. n= 6-10 animals/group. \*p<0.05; m-compared to both  
700 mirtazapine groups.

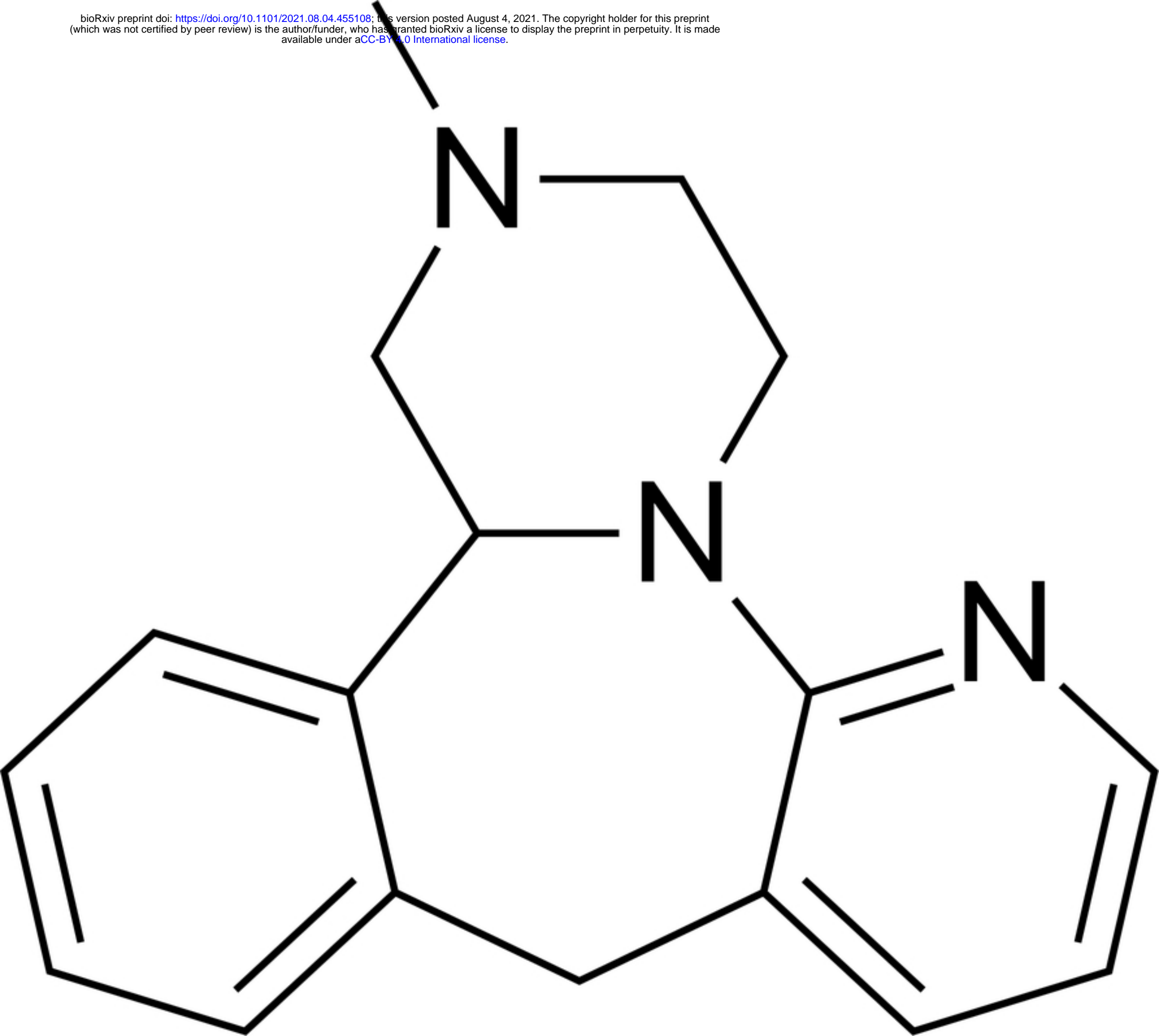
701 **Figure 5.** Percentage of distance travelled in individual arms of elevated plus maze by juvenile animals. (A) open arm  
702 distance, (B) closed arm distance. Data represent mean  $\pm$  SEM. n= 7-8 animals/group. MIR- mirtazapine; \*p<0.05.

703 **Figure 6.** Elevated plus maze of adolescent offspring. (A) total distance travelled, (B) open arm time percentage, (C)  
704 closed arm percentage. Data represent mean  $\pm$  SEM. n= 7-10 animals/group. m- compared to both mirtazapine  
705 groups; s- compared to stress  $\times$  vehicle group; ns- compared to both non-stress groups; + - marginal significance;  
706 \* $p \leq 0.05$ .

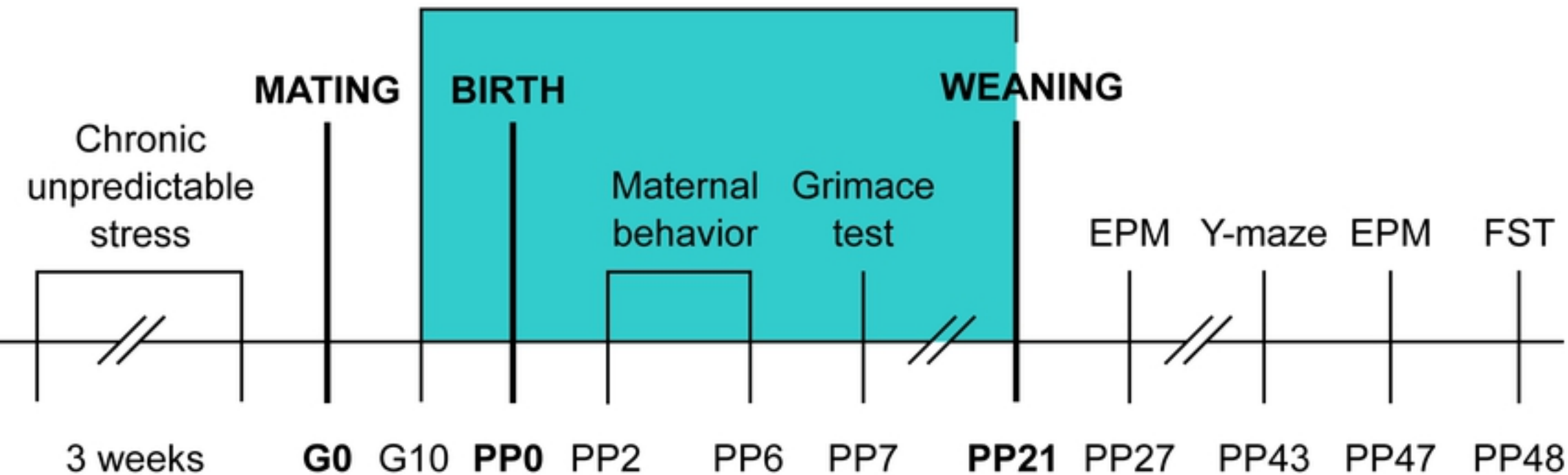
707 **Figure 7.** Forced swim test of adolescent offspring. (A) time spent floating, (B) time spent swimming, (C) time spent  
708 climbing. n= 7-11 animals/group. \*\* $p \leq 0.01$ .

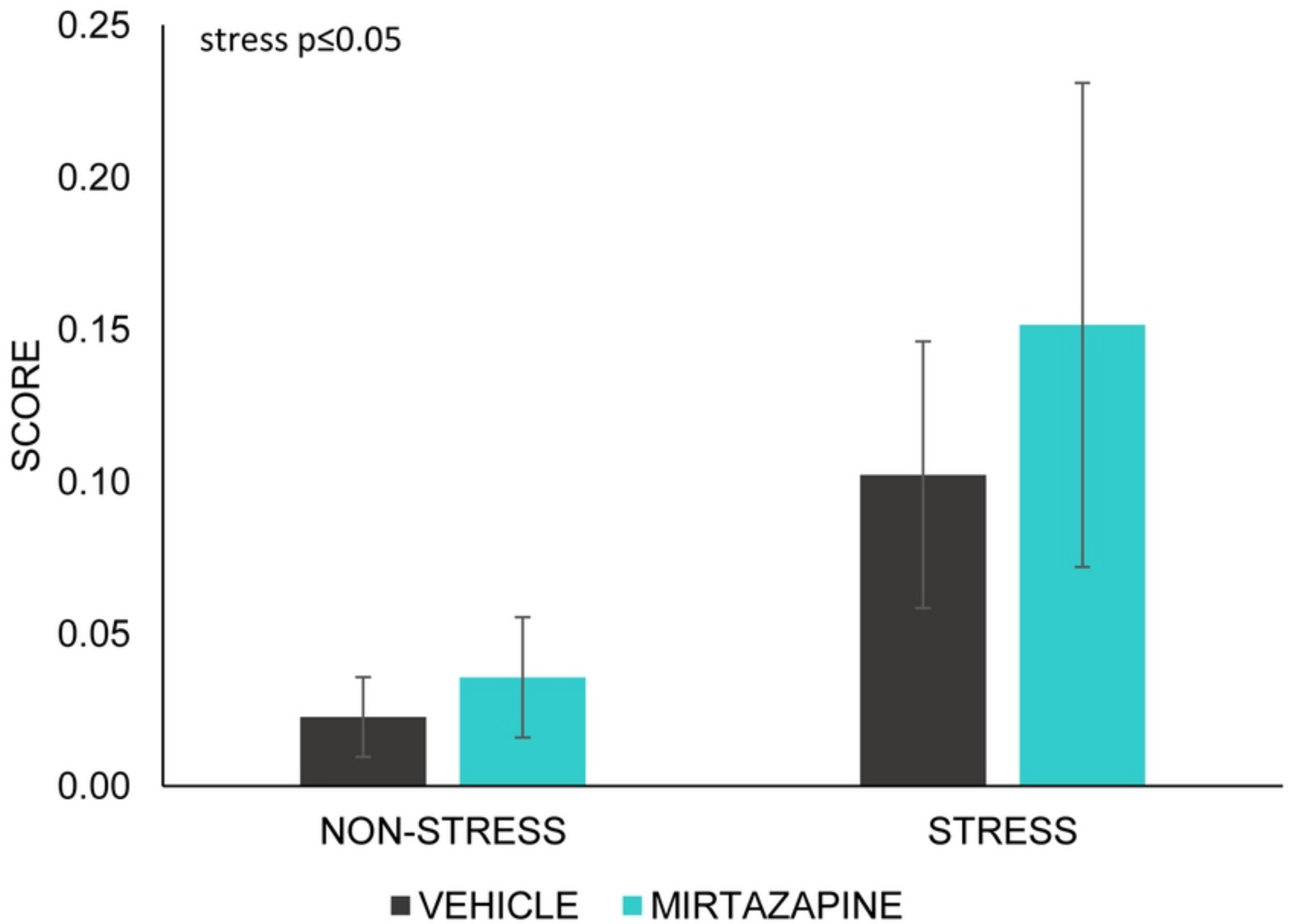
709 **Figure 8.** Y-maze of adolescent offspring. (A) total distance travelled, (B) percentage of spontaneous alterations.  
710 Data represent mean  $\pm$  SEM. n= 5-8 animals/group. a- compared to non-stress  $\times$  mirtazapine, b- compared to stress  
711  $\times$  vehicle, c-compared to stress  $\times$  mirtazapine, + - marginal significance, \* $p \leq 0.05$ , \*\* $p \leq 0.01$ .

712 **Figure 9.** Optical density of synaptophysin in hippocampus. (A) Ca3 area, (B) Ca4 area, (C) dentate gyrus area. Data  
713 represent mean  $\pm$  SEM. n= 5-8.

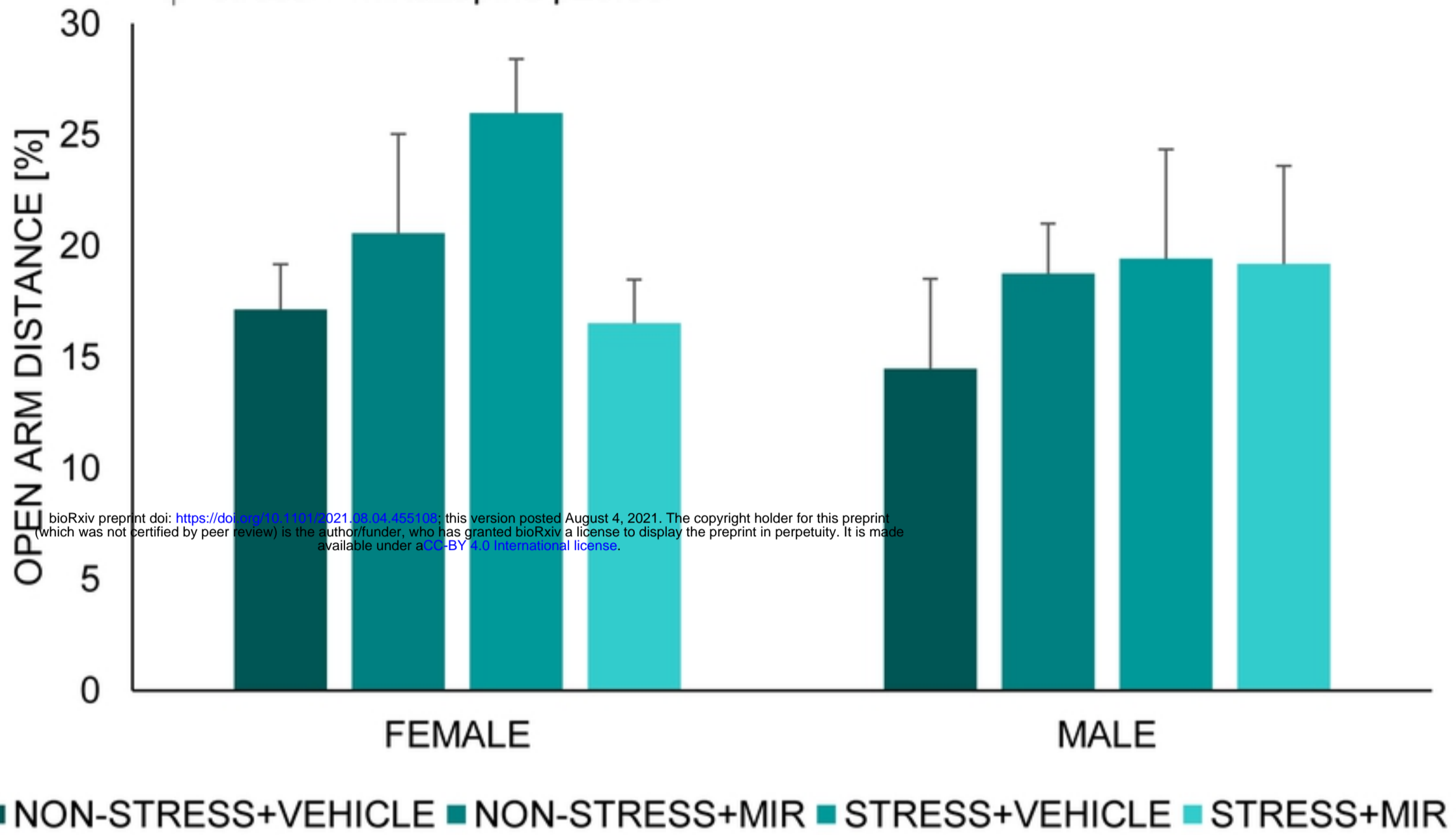


# MIRTAZAPINE ADMINISTRATION





**A** ♀ stress × mirtazapine  $p \leq 0.05$



**B** ♀ stress × mirtazapine  $p \leq 0.01$

