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1	Dissecting the import and export pathways of the human RNA helicase UPF1
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12	Keywords: UPF1, NMD, RNA helicase, NES, NLS
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14	Abstract
15	The RNA helicase UPF1 is best known for its key role in mRNA surveillance but has been implicated
16	in additional cellular processes both in the nucleus and in the cytoplasm. In human cells, the vast
17	majority of UPF1 resides in the cytoplasm and only small amounts can be detected in the nucleus at
18	steady state. It was previously shown that its export from the nucleus to the cytoplasm is Crm1-
19	dependent, yet neither the nuclear export signal (NES) nor the nuclear localization signal (NLS) has
20	been identified. Here, we provide evidence for a noncanonical NLS in UPF1, map the NES to amino

acids 89-105 and show that L103 and F105 are essential for UPF1's export to the cytoplasm.

22 Examination of additional UPF1 mutants revealed that a functional helicase domain but not the

23 association with RNA is crucial for the shuttling capacity of UPF1.

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## 26 Introduction

27 From its transcription in the cell nucleus to its translation in the cytoplasm messenger 28 ribonucleoparticles (mRNPs), which consist of mRNA and all proteins bound to it, undergo constant 29 remodelling with various RNA-binding proteins (RBPs) leaving and joining the mRNP (Singh et al., 30 2015). These remodelling events alter the overall structure of the mRNP and thereby have important 31 regulatory impact on mRNA synthesis, processing, localization, translation, and stability, and hence on 32 the expression of the genetic information. RNA helicases play key roles in these energy-dependent 33 mRNP remodelling steps and therefore exert crucial functions in post-transcriptional gene expression 34 (Jankowsky, 2011).

35 Up-frameshift 1 (UPF1) is an abundant ATP-dependent RNA helicase belonging to the non-ring forming 36 superfamily (SF) 1 of eukaryotic RNA helicases, which contain a conserved helicase core that consists 37 of two RecA-like domains. The two RecA-like domains form the ATP-binding pocket and a composite RNA-binding surface (Chakrabarti et al., 2011; Cheng et al., 2007; Gowravaram et al., 2018). Driven by 38 39 ATP hydrolysis, UPF1 unwinds both RNA and DNA in a highly processive manner and translocates on 40 nucleic acid in 5' to 3' direction (Fiorini et al., 2015). The helicase domain (HD) of UPF1 is flanked Nterminally by a cysteine-histidine rich (CH) domain and at the C-terminus by an unstructured stretch 41 42 of amino acids enriched in serine-glutamine (SQ) motifs (Figure 1A), both of which were shown to 43 suppress the catalytic activity of UPF1 (Chamieh et al., 2008; Fiorini et al., 2013).

44 With about 360'000 molecules per cell, human cells contain roughly the same amount of UPF1 45 molecules as mRNA molecules (Hein et al., 2015). UPF1 is an essential protein in mammalian cells: UPF1 knockout is embryonic lethal (Medghalchi et al., 2001) and immortalized cells stop dividing and 46 47 die about 5-7 days after UPF1 depletion (Azzalin and Lingner, 2006). UPF1 has been implicated in 48 several different cellular processes among which its key role in nonsense-mediated mRNA decay 49 (NMD) is best characterized (Karousis and Muhlemann, 2019; Kim and Maguat, 2019). Besides its 50 essential role in NMD (see below), UPF1 has been associated with additional mRNA decay pathways 51 that are specified by different UPF1-interacting RBPs, such as Staufen [STAU; (Kim et al., 2005; Park 52 and Maquat, 2013)], stemloop-binding protein [SLBP; (Choe et al., 2014; Kaygun and Marzluff, 2005)], 53 glucocorticoid receptor [GR; (Cho et al., 2015; Park et al., 2016)], regnase 1 (Mino et al., 2015), and in 54 tudor staphylococcal/micrococcal-like nuclease (TSN)-mediated microRNA decay (Elbarbary et al., 55 2017).

56 NMD serves a dual role as a mRNA quality control mechanism that targets for degradation aberrant 57 mRNAs with a premature termination codon (PTC), which most frequently arise due to the inclusion 58 or exclusion of an alternative exons (Karousis et al., 2021), and as a translation-dependent post-

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59 transcriptional regulator of mRNA stability for a subset of mRNAs that encode full length functional 60 proteins (Karousis and Muhlemann, 2019; Kurosaki et al., 2019). The molecular mechanism of NMD, in particular the step of target mRNA selection, is still incompletely understood but it is well established 61 62 that UPF1 is essential for NMD in all eukaryotes (Karousis and Muhlemann, 2019; Kurosaki et al., 2019). 63 Cross-linking followed by immunoprecipitation (CLIP) studies detected UPF1 binding to essentially all 64 mRNAs at many different sites over their entire length before the onset of translation, followed by a translation-dependent displacement from coding sequences, resulting in an enrichment on 3' 65 66 untranslated regions (UTRs) at cellular steady state (Hurt et al., 2013; Zund et al., 2013). On mRNAs on which ribosomes fail to terminate translation correctly (Karousis and Muhlemann, 2019), SMG1-67 mediated phosphorylation of serine and threonine of the serine/glutamine (SQ) and 68 threonine/glutamine (TQ) motifs in the N- and C-terminal parts of UPF1 is observed (Durand et al., 69 70 2016; Kurosaki and Maquat, 2013; Lee et al., 2015; Yamashita et al., 2001), which appears to be the activating step in NMD. Hyperphosphorylated UPF1 then recruits the downstream effectors SMG5, 71 72 SMG6 and SMG7, of which SMG6 is an endonuclease that induces the degradation of the targeted 73 mRNA by cleaving it in the vicinity of the termination codon (Boehm et al., 2021; Eberle et al., 2009; 74 Huntzinger et al., 2008; Lykke-Andersen et al., 2014).

75 Moreover, there is also evidence that UPF1 exerts different functions in the nucleus that contribute to 76 genome stability (DNA damage response and R-loop formation) and the regulation of S-phase 77 progression (Azzalin and Lingner, 2006; Dehghani-Tafti and Sanders, 2017; Ngo et al., 2021), as well as 78 to the regulation of telomeric repeat-containing RNA (TERRA) and telomere replication (Azzalin et al., 79 2007; Chawla et al., 2011). In addition, UPF1 has been implicated in the nuclear export of HIV-1 80 genomic RNA (Ajamian et al., 2015) and data from Drosophila cells indicates that UPF1 associates with 81 mRNAs co-transcriptionally and that it is required for their release from the gene loci (Singh et al., 2019). 82

83 Although most UPF1 is found in the cytoplasm of human cells under physiological conditions, in 84 accordance with its translation-dependent function in NMD, a fraction of UPF1 can be detected in the nucleus of human cells (Ajamian et al., 2015; Hong et al., 2019; Mendell et al., 2002). In fact, UPF1 85 86 appears to be a shuttling protein and nuclear accumulation can be triggered by addition of leptomycin B (LMB) (Mendell et al., 2002), a Streptomyces metabolite that blocks CRM1 (Exportin 1)-dependent 87 88 nuclear export by covalently binding to cysteine 528 in the nuclear export signal (NES)-binding region of CRM1 (Rahmani and Dean, 2017). Most proteins that depend on active import into the nucleus via 89 90 the nuclear pore complex possess a nuclear localization signal (NLS) that binds to an import receptor 91 of the importin superfamily. NLSs can consist of very different amino acid sequences but they are 92 usually rich in positively charged residues (Lu et al., 2021). Classical monopartite NLSs bind to the major

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binding pocket of the adaptor protein importin  $\alpha$ , while the noncanonical NLSs classes bind the minor binding pocket of importin  $\alpha$  (Kosugi et al., 2009a). Importin  $\alpha$  then interacts with importin  $\beta$  (aka karyopherin  $\beta$ 1), which in turn contacts the nuclear pore complex and facilitates translocation of the cargo/importin complex into the nucleus (Lott and Cingolani, 2011). Alternatively, shuttling proteins can be directly bound by importin  $\beta$  independent of an adaptor protein (Lee et al., 2006), which makes computational predictions of NLSs challenging. Here, we set out to functionally delineate the NLS and NES of UPF1. We confirmed that a previously

100 reported large stretch of about 100 amino acids in the helicase domain of UPF1 is required for its 101 import into the nucleus (Mendell et al., 2002) but were unable to further map this putative NLS, 102 suggesting that UPF1 does not contain a NLS that resembles any of the known classes of NLSs (Kosugi 103 et al., 2009a; Lu et al., 2021). However, we were able to precisely map the NES of UPF1 and identified 104 two amino acids that when mutated inactivate the NES, resulting in accumulation of UPF1 in the 105 nucleus. By studying a UPF1 mutant that is unable to bind RNA, we further revealed that the capability 106 of binding RNA is not required for the import and export of UPF1, however, functional helicase activity 107 is crucial for its nuclear import.

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# 110 **Results**

#### 111 Both UPF1 isoforms are shuttling proteins localizing mainly to the cytoplasm at steady state

112 UPF1 has been reported to shuttle between the nucleus and the cytoplasm, with the bulk part of the 113 protein localizing to the cytoplasm at steady state in human and Drosophila cells (Lykke-Andersen et 114 al., 2000; Mendell et al., 2002; Singh et al., 2019). In biochemical fractionation experiments of HeLa 115 cells, we detected about 7 times less UPF1 in the nuclear fraction than in the cytoplasmic fraction 116 (Figure 1B). Shuttling proteins typically contain defined amino acids sequences functioning as nuclear 117 localization signal (NLS) and nuclear export signal (NES). A rough characterization of the NLS and NES 118 in UPF1, undertaken almost 20 years ago, indicated that the NES resides in the N-terminal part of the 119 protein somewhere between amino acids 55 and 416, and the NLS lies within the helicase domain 120 between amino acids 596 and 697 (Figure 1A), since deletions of these regions led to an accumulation 121 of UPF1 in the nucleus or its failure to localize to the nucleus, respectively (Mendell et al., 2002). 122 However, in this first characterization large deletions of 100 amino acids or more were used to impair 123 the shuttling properties of UPF1, which bears the risk of inducing conformational changes in the 124 protein that indirectly affect nucleo-cytoplasmic transport. A more detailed mapping of the NLS and 125 NES would be very useful for studying the reported functions of UPF1 in the nucleus and in the 126 cytoplasm and clearly distinguish them from each other.

127 First, we addressed whether the two UPF1 isoforms found in human cells might differ in their 128 subcellular localization. UPF1 isoform 2, which results from alternative pre-mRNA splicing and lacks 11 129 amino acids in a regulatory loop of the helicase domain, is more abundant in human cells and better 130 characterized than isoform 1 (Gowravaram et al., 2018; Nicholson et al., 2014). The extended 131 regulatory loop of UPF1 isoform 1 was found to increase UPF1's translocation and ATPase activities by 132 two-fold (Gowravaram et al., 2018), but apart from this no functional differences between the two 133 isoforms are known. To investigate the localization of both UPF1 isoforms, enhanced green 134 fluorescence protein (GFP) was fused via a linker of 17 glycine residues (G-linker) to the C-terminus of 135 UPF1 isoform 1 (iso1) or isoform 2 (iso2). Similar expression of both GFP-tagged UPF1 isoforms in HeLa 136 cells upon transient transfection of the expression plasmids was confirmed by Western blotting (Figure 137 S1A). Examination of the cells under the fluorescence microscope revealed that both UPF1 isoforms 138 were cytoplasmic at steady state and without any treatment (EtOH control; Figure 1C). For both isoforms, a weak nuclear accumulation of UPF1-GFP could be detected 2 hours after addition of 139 140 leptomycin B (LMB) and a clear nuclear signal after 6 hours (Figure 1C). LMB specifically inhibits the nuclear export receptor CRM1 and therefore causes nuclear accumulation of shuttling proteins 141 142 exported via the CRM1 pathway (Kudo et al., 1998). Since we detected no difference in localization

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143 between the two UPF1 isoforms, the predominant and better studied isoform 2 was used for all

## 144 subsequent experiments.

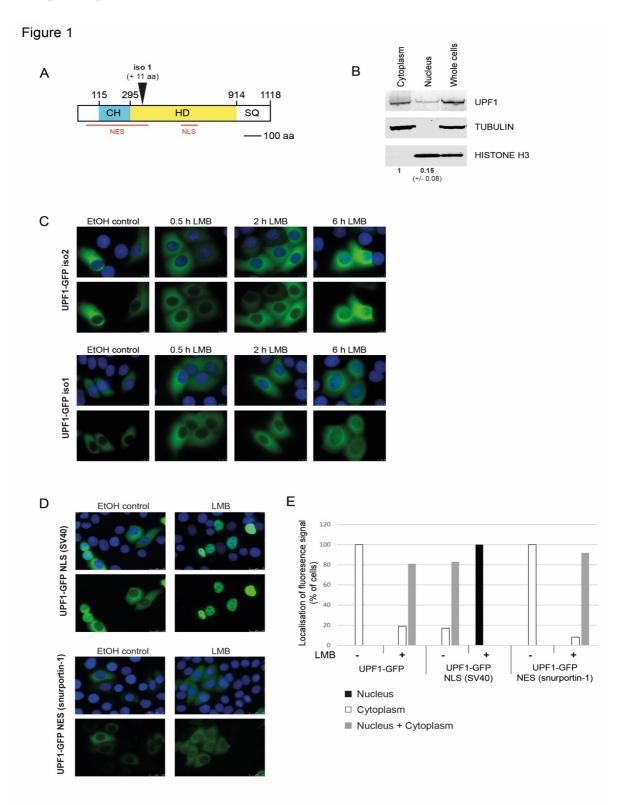




Figure 1. UPF1 is a shuttling protein. A. Schematic structure of UPF1 including NLS and NES postulated by
 Mendell [adapted from (Kim and Maquat, 2019; Mendell *et al.*, 2002)]. B. Localization of UPF1 in HeLa cells was
 assessed by Western Blotting of nuclear and cytoplasmic fractions. Detection of TUBULIN and HISTONE H3 was

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used to control the quality of the biochemical fractionations. Quantification by Image Studio software of the 149 150 UPF1 signal in three independent experiments showed a ratio of nucleus to cytoplasm of 0.15 (+/- 0.08).  $2 \times 10^5$ 151 cell equivalents were loaded in each lane. C. Plasmids encoding UPF1-GFP isoform 2 (iso2) or isoform 1 (iso1) 152 were transfected into HeLa cells and 48 hours later analyzed by fluorescence microscopy when no LMB was 153 added (EtOH control) or when LMB was added for 0.5, 2 or 6 hours. Pictures of the GFP signal in the green channel 154 and a composite of the green and blue channel (DAPI staining for nucleus) are shown. D. NLS of SV40 (PKKKRKV) 155 or NES of snurportin-1 (EELSQALASSFSV) was attached at the C-terminus of UPF-GFP. Transfected HeLa cells 156 treated without LMB or with LMB (6 hours) were examined by fluorescence microscopy as in C. E. GFP signals of 157 individual cells (from experiments in B and C) were observed and classified into complete nuclear localization 158 (black bars), complete cytoplasmic localization (white bars) or localization in nucleus and cytoplasm (grey bars). 159 Cells of two independent experiments were analyzed: UPF1-GFP n=68/105 (-LMB/+LMB); UPF1-GFP NLS (SV40) 160 n=47/55 (-LMB/+LMB); UPF1-GFP NES (snurportin-1) n=78/61 (-LMB/+LMB).

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To get insights into the shuttling properties of UPF1, a strong NLS from SV40 or a strong NES from 162 163 snurportin-1 was attached to the C-terminus of UPF1-GFP (Kazgan et al., 2010) (Figures 1D and S1B). 164 The addition of the strong SV40 NLS led to a more pronounced nuclear localization compared to UPF1-GFP, even in the absence of LMB, indicating that UPF1 intrinsic NLS is considerably weaker than the 165 166 SV40 NLS (Figure 1C-E). However, since cytoplasmic GFP signal could be detected in all cells expressing UPF1-GFP NLS (SV40), there is evidence for a potent endogenous NES in UPF1. In the presence of LMB, 167 168 the GFP signal was completely nuclear for UPF1-GFP NLS (SV40) in all cells observed (Figure 1D and E). 169 Attaching the strong NES from snurportin-1 to the C-terminus of UPF1-GFP resulted in cytoplasmic 170 localization in the absence of LMB and to cytoplasmic and nuclear GFP signal upon addition of LMB in 171 about 90 % of the cells, proving that the UPF1-GFP NES (snurportin-1) protein was indeed shuttling and accumulated in the nucleus when export was impaired (Figure 1D and 1E). In contrast to the 172 addition of a NLS, insertion of the snurportin-1 NES did not affect the localization behaviour of UPF1-173 174 GFP, further indicating that UPF1 possesses a potent endogenous NES with a comparable activity to 175 the snurportin-1 NES (Figure 1C-E).

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#### 177 No classical NLS is present in UPF1

178 Next, we attempted to define the NLS of UPF1 and inactivate it by point mutations to obtain an 179 exclusively cytoplasmic version of UPF1. The bioinformatic tool *cNLS mapper* has been developed to 180 predict importin  $\alpha$ -dependent NLS (Kosugi et al., 2009b). Interestingly, the only predicted monopartite 181 classical NLS (cNLS) in the UPF1 protein (score above 5.0) resided at amino acid positions 596-606 182 (RALKRTAEREL), right at the start of the putative 102 amino acids long NLS mapped previously (Mendell

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183 et al., 2002). Furthermore, no bipartite cNLS was predicted by cNLS mapper. The sequence encoding 184 the putative NLS was deleted by fusion PCR from the UPF1-GFP construct, giving raise to the construct 185 UPF1-GFP  $\Delta$ 596-606. In addition, a control plasmid in which the large NLS region proposed by Mendell 186 was deleted was cloned (UPF1-G16-GFP ∆596-697). The plasmids were transfected into HeLa cells, the 187 expression of the proteins was confirmed by Western blotting (Figure S1C) and the GFP signal was 188 analyzed by fluorescence microscopy (Figure 2A). Confirming the initial study (Mendell et al., 2002), 189 UPF1-GFP lacking amino acid residues 596-697 was entirely cytoplasmic even upon addition of LMB, 190 indicating that the NLS is located in this region of the helicase domain (Figure 2A). In contrast, the 191 deletion of the predicted NLS (amino acids 596-606) localized indistinguishable to wild-type (wt) UPF1-192 GFP with mainly cytoplasmic signal in the absence of LMB and a clear nuclear accumulation upon LMB 193 addition, indicating that deletion of these 11 amino acids was not sufficient to impair protein import 194 (Figure 2A).

195 For the classical protein import pathway, basic amino acids are typically crucial to allow for binding of 196 importin  $\alpha$ , which acts as an adapter protein between the NLS-containing cargo protein and importin 197  $\beta$ , which is responsible for the transport through the nuclear pore (Kosugi *et al.*, 2009a). To test if UPF1 198 is transported to the nucleus by the importin  $\alpha/\beta$  pathway, all 10 positively charged amino acids in the 199 region 607-697 were replaced by alanines (all together (A6) or in different combinations (A1-A5); 200 Figures 2B and S1C). If two to five lysines/arginines were replaced (constructs A1-A5), UPF1-GFP 201 behaved like wt with cytoplasmic localization in control conditions and nuclear and cytoplasmic signal 202 after treatment with LMB (Figure 2B). When all 10 positively charged amino acids were changed (A6), 203 the GFP signal was also cytoplasmic in the absence of LMB as for the other constructs, however and 204 unexpectedly, an almost exclusively nuclear signal was detected in the presence of LMB. To further 205 analyze this curious finding, we identified by mass spectrometry proteins that co-precipitated with the 206 A6 UPF1-GFP mutant and found many heat shock proteins, suggesting that this mutant fails to fold 207 properly (Figure S1D). Collectively from this series of experiments attempting to decipher the NLS of 208 UPF1, we conclude that UPF1 most likely does not contain a classical NLS and that it is imported into 209 the nucleus in an importin  $\alpha$ -independent manner.

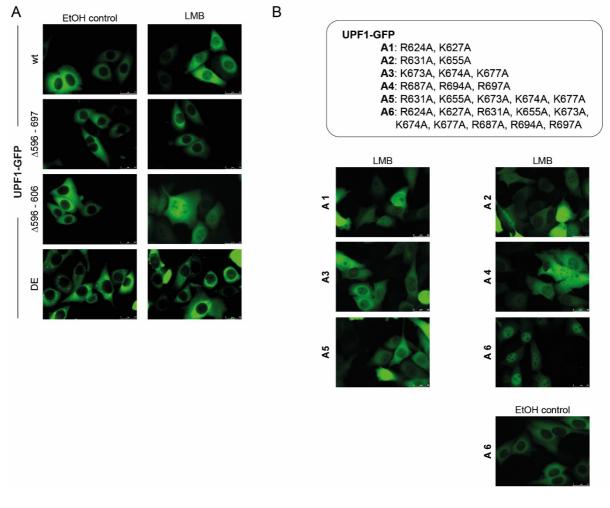
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### 211 Helicase activity of UPF1 but not its binding to RNA is important for shuttling capacity

Interestingly, the highly conserved and well characterized amino acids aspartate and glutamate at amino acid positions 636 and 637 lie within the helicase region that is important for UPF1 import to the nucleus (Bhattacharya et al., 2000). When these two residues are mutated (DE variant), RNA and ATP can still bind to UPF1, but ATP hydrolysis and thus helicase activity is impaired, leading to P-body

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**Figure 2. Investigation of UPF1's NLS. A.** Plasmids encoding UPF1-GFP wild type or different variants of UPF1 [deletions: UPF1-GFP  $\Delta$ 596-697 and UPF1-GFP  $\Delta$ 596-606; point mutations: D636A + E637A (DE)] were transfected into HeLa cells and the fluorescence signals were analyzed 48 hours after transfection in the control condition (EtOH control) and upon addition of LMB for 6 hours (LMB). **B.** Positively charged amino acids were replaced by alanines as explicated in the legend above the fluorescence pictures. Experiment was performed as in A. The EtOH control is shown only for UPF1-GFP A6.

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formation when this mutant is overexpressed in cells (Cheng *et al.*, 2007; Franks et al., 2010) (Figure 2A). The UPF1-GFP DE mutant showed cytoplasmic localization even in the presence of LMB, suggesting that the helicase activity is necessary for moving to the nucleus (Figure 2A). Several studies indicated that this mutant is stuck on RNA, since dissociation from RNA requires ATP hydrolysis (Franks *et al.*, 2010; Lee *et al.*, 2015). This failure to dissociate from RNA leads to the formation of large RNAprotein aggregates (i.e. P-bodies), which we reasoned might sequester UPF1 in the cytoplasm and prevent its transport into the nucleus.

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231 Therefore, we decided to test whether RNA binding is important for UPF1's ability to shuttle between the nucleus and the cytoplasm. Based on the UPF1 crystal structure (Chakrabarti et al., 2011), it was 232 233 predicted that the three amino acids asparagine 524, lysine 547 and arginine 843 might be engaged in 234 and required for RNA binding (Sutapa Chakrabarti, personal communication). We therefore mutated these three amino acids to alanines (UPF1 RM, for RNA mutant) and tested the capacity of this mutant 235 to bind RNA using MicroScale Thermophoresis (MST). To this end, we affinity-purified triple-FLAG-236 tagged UPF1 proteins (UPF1-3F and UPF1 RM-3F) from HEK cells (Figure 3A). The purified recombinant 237 238 proteins were titrated (from 200 nM to 0.0061 nM) against a constant amount (20 nM) of Cy5-labelled U30 RNA. Binding of wt UPF1 to RNA displayed a sigmoidal dose-response curve from which a  $K_d$  of 239 240 25.33 ± 4.43 nM was calculated, whereas UPF1 RM failed to bind the U30 RNA (Figure 3B).

Figure 3

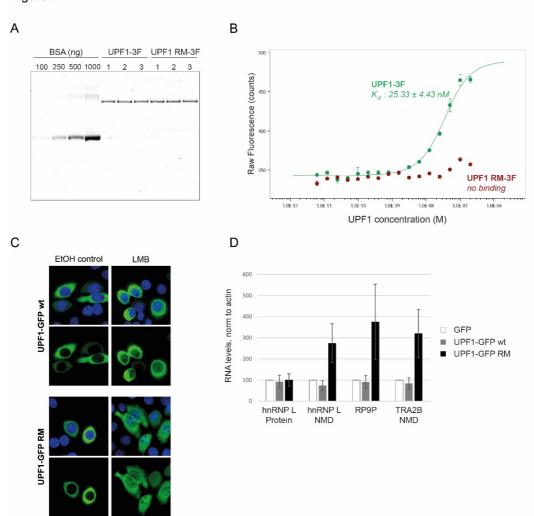




Figure 3. RNA binding is not necessary for shuttling. A. UPF1-3F and UPF1 RM-3F (RNA mutant: N524A, K547A and R843A) proteins were overexpressed in HEK cells and affinity purified by the triple Flag tag. The quality of the purification was assessed by SDS-PAGE. 25% of the immunoprecipitated material was loaded in a 4-12% gradient gel and proteins were stained with Imperial Protein Stain. Three independent purifications (1,2,3) are shown. BSA was used to estimate the concentration of the purified proteins. **B.** UPF1-3F (in green) and UPF1 RM-

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247 3F (in red) binding to Cy5-labeled U30 RNA (20 nM) was analyzed by MicroScale Thermophoresis. The raw 248 fluorescence counts are plotted against the final concentration of the unlabelled titrated proteins (200-0.0061 249 nM). The estimated  $K_d$  for UPF1-3F is 25.33 ± 4.43 nM. Averages and standard deviations from two independent 250 measurements are shown. C. UPF1-GFP or UPF1-GFP RM were expressed in HeLa cells and GFP signal was 251 monitored by confocal fluorescence microscopy. GFP signal (green channel) and GFP together with DAPI signal 252 (blue channel) are shown in control condition and upon addition of LMB (6 hours). D. RNA levels of HeLa cells 253 expressing of GFP, UPF1-GFP wt or UPF1-GFP RM were analyzed by RT-qPCR. Relative mRNA levels of hnRNP L 254 Protein, hnRNP L NMD, RP9P, and TRA2B NMD, normalized to actin mRNA levels, are depicted. Averages and 255 standard deviations arise from three independent experiments.

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The localization of this mutant was eventually investigated by fluorescence microscopy analysis and compared to wt UPF1-GFP. Confocal fluorescence microscopy revealed that UPF1-GFP RM was still able to move between cytoplasm and nucleus as judged from the nuclear accumulation upon LMB treatment, indicating that the capacity of UPF1 to shuttle does not depend on its binding to RNA (Figure 3C). Interestingly, this RNA binding mutant of UPF1 had a dominant negative effect on NMD (Figure 3D and below).

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## 264 Amino acids L103 and F105 are required for nuclear export of UPF1

265 To characterize the amino acid residues crucial for nuclear export, the N-terminal region of UPF1 that 266 was previously identified as being required for export (amino acids 55-416) (Mendell et al., 2002) was 267 analyzed by the bioinformatic tool 'LocNES' (Xu et al., 2015). This algorithm predicted amino acids 89-268 105 (VDDSVAKTSQLLAELNF), just upstream of the CH domain, to function as a NES. We deleted the 269 nucleotides encoding this 17 amino acids stretch by fusion PCR, generating the plasmid UPF1-GFP  $\Delta$ 89-270 105. Analysis by confocal microscopy of this UPF1 deletion showed a clear nuclear signal both in the 271 control and LMB condition (Figure 4A). Classical NES (also called leucine-rich NES) are typically 8-15 272 residues long and characterized by conserved hydrophobic amino acids – often leucine – that are 273 separated by one, two and three other amino acids (e.g. L-X<sub>3</sub>-L-X<sub>2</sub>-L-X-L, where L is a hydrophobic 274 amino acid and X any amino acid) (Kosugi et al., 2008). To inactivate the putative NES, two or four 275 hydrophobic amino acids in the predicted NES of UPF1 were changed to alanine giving raise to the 276 mutant constructs UPF1 LF and UPF1 VLLF, and expression of the proteins in HeLa cells was confirmed 277 by Western blotting (Figure S2A). Analysis of these UPF1-GFP mutants by fluorescence microscopy showed that these amino acid residues are indeed important for the export of UPF1 from the nucleus. 278 279 Mutations of two (L103A and F105A) or four (V93A, L100A, L103A, and F105A) amino acids led to clear

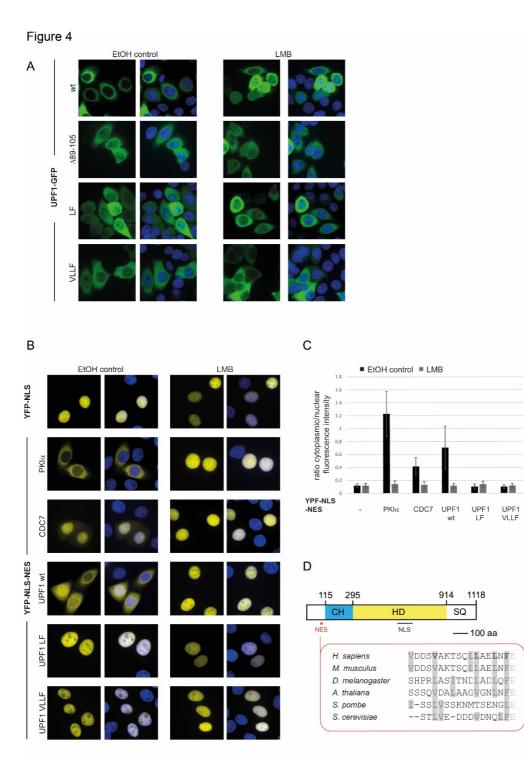
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nuclear signals already without LMB, and the signals stayed the same when LMB was added for sixhours (Figure 4A).

282 To unambiguously confirm (i) that this region of UPF1 is indeed the NES, (ii) that amino acids L103 and 283 F105 are necessary for NES activity, and (iii) that the observed localization is not an effect of misfolded 284 UPF1, a simple but elegant system from the Chook laboratory was adopted (Xu et al., 2015). In this 285 reporter system, the strong NLS of SV40 was fused C-terminally to the enhanced yellow fluorescent 286 protein (YFP), resulting in an exclusively nuclear signal in the absence and presence of LMB (Figure 4B). 287 Next, different NESs were added downstream of YFP-NLS to test their ability to re-localize YFP to the 288 cytoplasm. As control for a strong and weak NES sequence, the NES of PKI $\alpha$  and CDC7 were used, which 289 results in a strong or a faint cytoplasmic YFP signal, respectively (Xu et al., 2015) (Figures 4B and S2B). 290 To test the putative NES of UPF1 in this assay, the sequence coding for the 17 amino acid residues 291 predicted by LocNES plus the subsequent highly conserved glutamate (VDDSVAKTSQLLAELNFE) was 292 added downstream to YFP-NLS coding sequence and after transient transfection into HeLa cells, the 293 yellow fluorescence was detected by confocal fluorescence microscopy. In the absence of LMB (EtOH 294 control), the putative NES of UPF1 (UPF1 wt) led to a clear shift of the YFP signal towards the cytoplasm, 295 indicating that these amino acids are sufficient to promote nuclear export of the YFP-NLS construct 296 and hence constitute a functional NES (Figure 4B). Quantitative fluorescence intensity analysis of 50 297 cells per condition using the Cell Profiler software (Carpenter et al., 2006) revealed that the UPF1 NES 298 caused a 6-fold increase in the fluorescence intensity ratio between cytoplasm and nucleus compared 299 to the YFP-NLS control, which indicates that the strength of the UPF1 NES is somewhere in between 300 the strong PKI $\alpha$  NES (10-fold increase) and the weaker CDC7 NES (3.5-fold increase) (Figure 4C). 301 Importantly, addition of LMB prevented the export of the YFP protein with each of the three NES, 302 confirming that they engage the CRM1-dependent export pathway (Figure 4B-C).

When the two mutated versions of the UPF1 NES (UPF1 LF: VDDSVAKTSQLLAEANAE and UPF1 VLLF: VDDSAAKTSQLAAEANAE) were tested in this assay, no export of YFP to the cytoplasm was observed, confirming that amino acids L103 and F105 are necessary for UPF1's NES function (Figures 4B-C and S2B). Collectively, we have precisely defined the NES in UPF1 and identified two crucial amino acids (L103 and F105) that when mutated inactivate this NES. Notably, the UPF1 NES sequence is highly conserved among mammals, *D. melanogaster*, and *A. thaliana* but not so clearly defined in *S. pombe* (Figure 4D).

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Figure 4. Investigation of UPF1's NES. A. UPF1-GFP wild type or variants (deletion of amino acid 89-105; point 311 312 mutations V93A, L100A, L103A, F105A and L103A, F105A) were expressed in HeLa cells and analyzed as in Figure 313 3C. B. The localization of YFP was determined by confocal fluorescence microscopy and DAPI was used for 314 staining the nucleus. YFP followed by the NLS of SV40 served as a control (upper panel). Insertion of a NES (of 315 PKIα, CDC7 or UPF1 wt) to YFP-NLS led to a shift of the yellow signal to the cytoplasm, but insertion of a mutated 316 NES (UPF1 LF and UPF1 VLLF) to YFP-NLS or LMB treatment prevented the export of YFP. C. The average ratio of 317 mean cytoplasmic to mean nuclear fluorescence intensity are shown with standard deviations. In total 50 cells 318 per condition (from three independent experiments) were analyzed by Cell Profiler software

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(www.cellprofiler.org). DAPI and phalloidin staining were used for defining nucleus and cytoplasm (see also
 Figure S2B). D. Schematic illustration of UPF1 as in Figure 1A with amino acid sequence of the NES in human,
 mouse, fly, plant, and yeasts. Hydrophobic amino acids (phenylalanine, leucine, isoleucine, valine) are marked in
 grey.

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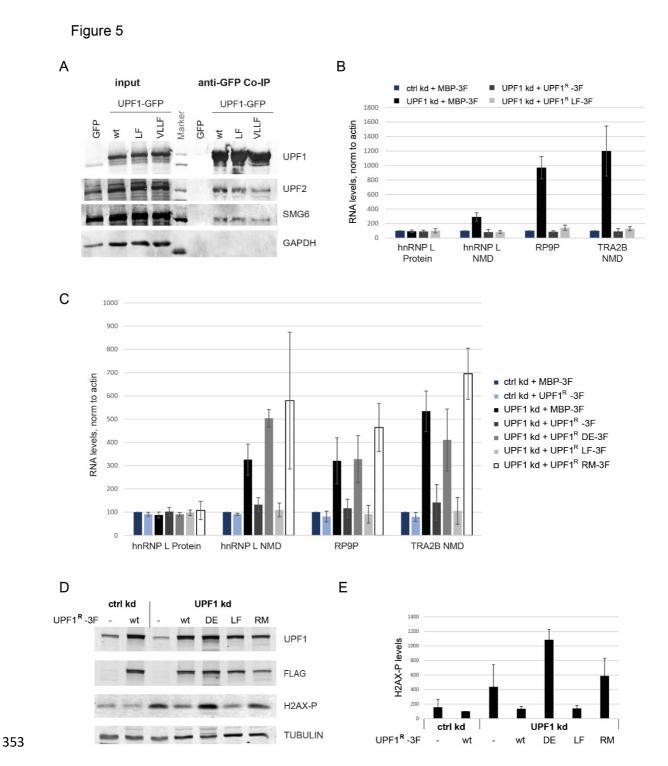
#### 324 The LF mutant is functional in NMD and interacts with known NMD factors

325 In the next series of experiments, we aimed to characterize if a functional NES is critical for the activity 326 of UPF1. To this end, we used the above-mentioned NES mutants and analyzed whether the interaction 327 of UPF1 to other proteins is disturbed by inactivation of the NES. Immunoprecipitation experiments 328 using GFP nanobodies in HeLa cells expressing either GFP alone, UPF1-GFP wt, UPF1-GFP LF or UPF1-329 GFP VLLF revealed no difference in the interaction pattern between the wt and the mutated UPF1 330 proteins (Figure S2C). When we examined the immunoprecipitated material by Western blotting, 331 interactions with the two NMD factors UPF2 and SMG6 were confirmed for wt UPF1 and for the NES 332 mutants LF and VLLF (Figure 5A). Specificity of the immunoprecipitation was controlled in HeLa cells 333 expressing GFP alone and the absent interaction to GAPDH for all pulldowns (Figure 5A).

334 Finding that the NES-impaired mutant still interacts with important NMD factors and that the mutations are not part of the CH or helicase domain led us to hypothesize that the LF mutant might 335 336 still function in NMD. To test this, we used a plasmid with a bidirectional promoter that allows 337 simultaneous knockdown (kd) of the endogenous UPF1 protein and expression of an RNAi resistant 338 UPF1 protein, either wt or mutant versions thereof. We expressed the plasmids transiently in HeLa 339 cells (Figure 5B and S3A) or stably integrated them into HEK293-T Rex Flp-in cells (Figure 5C-E and S3B-340 D). All UPF1 constructs were tagged at the C-terminus with a triple FLAG peptide for affinity purification (Figure 3A), immunofluorescence (Figure S3A-B) and Western blot analysis (Figure 5D). 341 342 Immunofluorescence experiments clearly revealed that, while a fraction of the UPF1 LF mutant localized to the nucleus, most of it is still found in the cytoplasm where the protein might be needed 343 344 for the translation-dependent NMD pathway (Figure S3A-B).

345 In the HEK293 cells, the bidirectional promoter was induced by doxycycline (Dox) and cells were 346 analyzed at different time points to find the best knockdown and rescue conditions. 96 hours after Dox 347 addition, endogenous UPF1 protein was robustly reduced, the stabilization of NMD transcripts in the 348 knockdown condition was the highest for two out of three tested transcripts, and NMD activity could 349 be efficiently rescued by the expression of a FLAG tagged UPF1 wt version (UPF1<sup>R</sup>-3F) (Figure S3C-D). 350 Analysis of the different HEK cell lines by RT-qPCR 96 hours after Dox induction showed increased RNA 351 levels for endogenous NMD-sensitive transcripts (hnRNP L NMD, RP9P, and TRA2B NMD) when the 352 endogenous UPF1 was depleted and an unrelated control protein (maltose-binding protein, MBP-3F)

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354 Figure 5. UPF1 LF mutant is functional in NMD. A. Western Blotting to analyze co-immunoprecipitation 355 experiments performed with the help of GFP nanobodies in HeLa cells expressing GFP alone, UPF1-GFP wt, UPF1-356 GFP LF mutant or UPF-GFP VLLF mutant. 2 % of starting material (input) and 60% of the immunoprecipitated 357 material was loaded on an SDS-PAGE. The membrane was probed against UPF1, UPF2, SMG6, and GAPDH as a 358 control. B. HeLa cells were transfected with pKK plasmids to simultaneously knockdown UPF1 (UPF1 kd) and over 359 express RNAi resistant UPF1 wt or LF mutant. Knockdown against luciferase (ctrl kd) and expression of maltose 360 binding protein (MBP) served as control. The cells were selected with puromycin for 48 hours and harvested 96 361 hours after transfection. Relative mRNA levels of hnRNP L Protein, hnRNP L NMD, RP9P, and TRA2B NMD,

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362 normalized to actin mRNA levels, were determined by RT-qPCR. Averages and standard deviations from three 363 independent experiments are shown. C. HEK cells were induced for 96 h with Dox to express simultaneously 364 siRNAs against UPF1 (UPF1 kd) or against luciferase (ctrl kd) and RNAi resistant UPF1 versions (wt, DE, LF or RM 365 variants). As control, MBP was expressed instead of UPF1<sup>R</sup>. Relative mRNA levels, normalized to actin mRNA 366 levels, were measured by RT-qPCR in three independent experiments as in B. D. H2AX phosphorylation was 367 assessed by immunoblotting of HEK cells harvested 96 hours after addition of Dox (as in C). UPF1 expression 368 (endogenous and Flag-tagged) was controlled by probing the membrane with anti-UPF1 and anti-FLAG antibody. 369 TUBULIN served as loading control. E. Phosphorylated H2AX levels on Western Blots were quantified by using 370 Image Studio software and normalized to TUBULIN. Averages and standard deviations of three independent 371 experiments are depicted.

372

expressed (Figure 5C). The observed stabilization of transcripts is specific for NMD targets, since 373 374 transcripts that are not targeted by NMD like the hnRNP L protein coding splice isoform (hnRNP L 375 Protein) or actin did not react to the UPF1 kd. As expected, expression of RNAi-resistant wt UPF1 was 376 able to rescue the NMD phenotype and the stabilization was reversed to control levels (UPF1 kd + UPF1R-3F; Figures 5C and S3D). The helicase-deficient DE mutant served as a control, since the helicase 377 378 activity of UPF1 is required for NMD and therefore, as expected, NMD activity was not restored when overexpressing this mutant (UPF1 kd + UPF1<sup>R</sup> DE-3F; Figure 5C). Interestingly, the NES-inactivating LF 379 380 mutant was fully functional in NMD and restored NMD activity in cells with a kd of endogenous UPF1 (UPF1 kd + UPF1<sup>R</sup> LF-3F; Figure 5C). The functionality of the LF mutant in NMD was corroborated when 381 382 transiently expressed in HeLa cells (Figure 5B). The new RNA binding mutant characterized in Figure 3 383 was also not able to rescue the UPF1 kd phenotype and RNA levels of the NMD sensitive transcripts are similar to the ones in the DE mutant (UPF1 kd + UPF1<sup>R</sup> RM-3F; Figure 5C). It should be noted that 384 385 overexpression of this mutant was detrimental for cells and, without knocking down the endogenous UPF1, the RNA binding mutant acts as dominant negative mutant (Figure 3D). Notably, one of the three 386 387 amino acids that were changed was already shown in 1998 to have dominant-negative effects on NMD 388 (Sun et al., 1998).

Lastly, the function of the LF mutant in the phosphorylation of H2AX was investigated. Nuclear functions of UPF1 are poorly understood and it is not clearly proven whether H2AX phosphorylation is a direct effect of UPF1 in the nucleus or an indirect effect of for instance impaired NMD occurring in the cytoplasm. As reported before, depletion of UPF1 resulted in an increase of H2AX phosphorylation and this increase can be rescued with the wt version of UPF1 (Azzalin and Lingner, 2006) (Figure 5D-E). The NES mutated LF version acts very similar to UPF1 wt leading to a reduced H2AX phosphorylation, similar to control levels, and is thus functional in this process. The DE and the RNA

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binding mutant were not able to reverse the H2AX increase and are therefore not only impaired in theNMD pathway, but also in this nuclear outcome (Figure 5C-D).

398

# 399 Discussion

400 Although there is ample evidence for UPF1 to be a shuttling protein with only a small fraction of the 401 protein residing inside the nucleus at steady state, the NLS and NES of UPF1 have so far not been 402 precisely mapped. In this study, we first showed that the intracellular distribution is indistinguishable 403 between the two UPF1 isoforms 1 and 2, which differ by an 11 amino acid loop in the helicase domain, 404 and then confirmed a previously reported 100 amino acids stretch in the helicase domain to be 405 necessary for nuclear import of UPF1 (Mendell et al., 2002). Further delineation of this NLS, however, 406 was not possible since a smaller deletion of that region ( $\Delta$ 596-606) or mutations therein (A1-A5) did 407 not lead to any changes in localization of the protein compared to the wild type situation. By contrast, 408 the LocNES algorithm (Xu et al., 2015) successfully predicted the NES to reside between amino acids 409 89 and 105, in the N-terminal part of UPF1 right upstream of the CH domain (Figure 3D). Changing the 410 conserved amino acids L103 and F105 to alanine abolished the activity of this NES and led to UPF1 411 accumulation in the nucleus.

412 We noticed that based on the biochemical fractionation between cytoplasm and nucleus, a larger 413 fraction of the total cellular UPF1 appeared to be present in the nucleus at steady state than when 414 compared to the amount of nuclear UPF1 detected on the fluorescence microscopy images (Figure 1B 415 and C). We attribute this to the fact that nuclear fractions, in addition to intranuclear material, tend to 416 also contain proteins associated with the outer nuclear membrane and it was recently shown that a 417 fraction of the cellular UPF1 associates with the endoplasmic reticulum (ER) (Longman et al., 2020), which is seamlessly connected to the outer nuclear membrane. Consistent with this view, the 418 419 microscopic images often show a ring-like structure around the nucleus, indicating a high 420 concentration of UPF1 around it (Figures 1 and 2). Notably, the two UPF1 isoforms 1 and 2, which differ 421 by 11 amino acids in a regulatory loop of the helicase domain, showed the same subcellular localization (Figure 1C), arguing against isoform-specific nuclear and cytoplasmic functions of UPF1. Since the NLS 422 423 and the NES that we defined in this study do not overlap with the alternatively spliced part that gives 424 raise to the two UPF1 isoforms, this observation was expected.

The experiments with the export inhibitor LMB showed that UPF1 is imported to the nucleus at a rather slow rate and even 6 hours after LMB addition not all UPF1 localized to the nucleus, indicating that the ill-defined NLS of UPF1 must be considerably weaker than a classical NLS. Perhaps, the NLS of UPF1 is not always accessible for the import receptor and a sub-population of UPF1 might not be imported to the nucleus because its NLS might be masked by other interacting proteins or by an alternative

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430 conformation of UPF1 itself. Despite all efforts, we were not able to pinpoint the NLS of UPF1 to specific 431 amino acids or to a shorter amino acid stretch than previously reported (Mendell et al., 2002). In 432 addition to the common importin  $\alpha$ -dependent import, there are cases reported where importin  $\beta$ 433 directly binds to non-canonical NLSs that are more diverse and less defined (Lee et al., 2006). Many of 434 these non-canonical NLSs directly bound by importin  $\beta 1$  are also rich in arginine and therefore 435 mutating positively charged amino acids in the helicase region 607-697, as done in Figure 2B, would 436 most likely also disturb nuclear import mediated by importin  $\beta$ 1. Non-canonical NLSs that are 437 recognized by importin  $\beta 2$  have often an overall basic character plus a relatively conserved proline-438 tyrosine (PY) dipeptide (Lee et al., 2006). However, no such a PY-NLS could be identified in UPF1, 439 leading to the speculation that UPF1 might hitchhike to the nucleus by binding to another protein.

440 Interestingly, helicase deficient D636A/E637A UPF1 stayed cytoplasmic even when cells were treated 441 with LMB, corroborating the results obtained with Drosophila cells (Singh et al., 2019). Since UPF1 442 helicase activity is required for its dissociation from RNA, we hypothesized that the impaired helicase 443 activity leads to formation of cytoplasmic protein-RNA aggregates that would trap UPF1 in the 444 cytoplasm (Franks et al., 2010). In fact, we showed here that UPF1's subcellular localization is not 445 influenced by its RNA binding capacity, as the triple mutant N524A/K547A/R843A, which no longer 446 binds RNA, did not alter the shuttling behaviour. The RNA binding mutant still accumulated in the 447 nucleus upon LMB treatment, indicating that the import of UPF1 does not require its capacity to bind 448 RNA. Furthermore, its cytoplasmic localization at steady state, indistinguishable from wild type UPF1, 449 indicates that association with RNA is not required for the export of UPF1. Supporting this conclusion 450 is also the observation that UPF1 export is inhibited by LMB, implying that the export of UPF1 is 451 mediated by CRM1 rather than by the main mRNA export factor NXF1 (Okamura et al., 2015). 452 Collectively, the results of these mutants showed that the helicase activity and the RNA binding 453 capacities are indispensable for NMD but do not affect the subcellular distribution of UPF1.

In this study we mapped the NES of UPF1 to amino acids 89-105 and showed that L103 and F105 are crucial for its activity, since replacement of these two amino acids with alanine led to a clear redistribution of UPF1 signal towards the nucleus. Notably, this region is highly conserved among metazoans but slightly less in the yeasts *S. pombe* and *S. cerevisiae* (Figure 4D), suggesting that the shuttling behaviour of UPF1 might be restricted to metazoans or that in yeast, another NES in another part of the protein has evolved.

Having identified the NES of UPF1 allowed us to increase UPF1 abundance inside the nucleus, which
we assumed might affect both nuclear and cytoplasmic functions of UPF1. We found that the NESdeficient UPF1 could rescue NMD to the same extent as wild type UPF1 in cells depleted for
endogenous UPF1, which is not surprising given that still a large amount of the NES mutated protein

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464 localized to the cytoplasm. With regards to a reported nuclear function of UPF1, we followed the 465 approach taken by Azzalin and Lingner (Azzalin and Lingner, 2006) who showed that knocking down 466 UPF1 led to increased H2AX phosphorylation, suggesting a direct or indirect role for UPF1 in DNA 467 damage response. When expressing the different UPF1 mutants in cells depleted of endogenous UPF1, 468 the NES-defective mutant was as good as wild type UPF1 in rescuing H2AX phosphorylation, whereas 469 the helicase and the RNA binding mutants were unable to rescue. Curiously, the result of the H2AX 470 phosphorylation experiment mirrored the ability of the tested UPF1 mutants to rescue NMD (Figure 471 5), which could indicate either that the DNA damage response and NMD are somehow mechanistically linked, or that they are independent of each other but coincidentally depend both on the same 472 473 properties of UPF1 (helicase activity and RNA binding). Further investigations are needed to clarify 474 whether UPF1's reported roles in nuclear processes are direct or indirect.

475

# 476 Material and Methods

477 Plasmids. Oligonucleotides used are listed in Table S1. To generate UPF1-GFP iso2 a Xbal restriction 478 site was introduced in pcDNA3-NG-UPF1-WT-FLAG (Rufener and Muhlemann, 2013) downstream of 479 FLAG tag by site-directed mutagenesis. GFP was amplified by PCR from pcDNA3-MS2-EGFP (McNally 480 et al., 2006) using oligonucleotides introducing Notl and Xbal sites. The GFP amplicon was then ligated 481 into Notl and Xbal cut plasmid pcDNA3-NG-UPF1-WT-FLAG. Annealed oligonucleotides encoding a 482 linker of 16 glycine residues (see sequence in Table S1) were inserted into the vector at the Notl site 483 to receive the plasmid encoding the fusion protein UPF1-GFP with a flexible linker in-between. For 484 obtaining UPF1-GFP iso1, a fragment of UPF1 (from *Hind*III to *Kpn*I) was replaced in UPF1-GFP iso2 with the corresponding iso1 UPF1 fragment. UPF1-GFP NLS (SV40) and UPF1-GFP NES (snurportin-1) were 485 486 generated by digesting UPF1-GFP iso2 plasmid with BsrGI and XbaI (both sites located at the very end 487 of GFP) and purifying it by Wizard<sup>®</sup> SV Gel and PCR Clean-Up System (Promega). Annealed 488 oligonucleotides encoding the NLS of SV40 or NES of snurportin-1 with a stop codon and BsrGI and Xbal overhangs at the end are ligated into the linearised UPF1-GFP iso2 vector (oligonucleotides listed 489 in Table S1). Fusion PCR was performed to generate UPF1-GFP  $\Delta$ 89-105,  $\Delta$ 596-697, and  $\Delta$ 596-606 490 491 variants using the oligonucleotides listed in Table S1. UPF1-GFP DE was cloned by exchanging a part of 492 UPF1-GFP iso2 (from Kpnl to Sbfl) with the same part of pcDNA3-NG-UPF1-WT-FLAG DE636AA 493 containing the two desired changes. Point mutations for the UPF1-GFP RM were introduced 494 individually by site-directed mutagenesis PCR on cloning plasmids containing parts of UPF1 and then mutated UPF1 sequences were cloned into the final plasmid by restriction sites (oligonucleotides for 495 496 point mutations are listed in Table S1). Point mutations in UPF1-GFP to generate UPF1-GFP A1-A6 were 497 introduced by synthesising a part of UPF1 with the desired mutations (General Biosystems, Inc) and

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498 these fragments were then used to replace the original sequence. Kpnl and Sbfl were used as 499 restrictions sites for exchanging part of the UPF1 sequence. UPF1-GFP LF and UPF1-GFP VLLF was 500 generated by synthesis a piece of UPF1 (from *Hind*III to *Kpn*I) with the desired point mutations (General 501 Biosystems, Inc). The HindIII-KpnI fragment was then introduced into the plasmid encoding UPF1-GFP 502 iso2 digested by *Hind*III and *Kpn*I. The YFP-NLS plasmids (without NES and with NES of PKI $\alpha$  and CDC7) 503 were a kind gift from Yuh Min Chook's laboratory (Xu et al., 2015). To clone UPF1's wild-type or mutant 504 NES at the C-terminus of YFP-NLS, YFP-NLS plasmid was digested by BamHI and HindIII and purified by 505 Wizard® SV Gel and PCR Clean-Up System (Promega). Annealed oligonucleotides encoding UPF1's NES 506 with HindIII and BamHI overhangs at the end (UPF1-NES wt, UPF1-NES LF or UPF1-NES VLLF, listed in 507 Table S1) are then ligated into the linearized vector.

508 pKK plasmids expressing from bidirectional, Tet responsive CMV promoter an RNAi cassette (luciferase 509 as control or UPF1 cassette) on one side and the protein of interest (triple FLAG tagged MBP or UPF1) 510 on the other side were designed and cloned following (Szczesny et al., 2018). Each RNAi cassette 511 contains three siRNAs which contain self-complementary regions and a loop-forming sequence from 512 murine miRNA 155. For designing the RNAi cassette of (firefly) luciferase (P. pyralis, NCBI accession 513 number M15077.1) LOCK-iT<sup>™</sup> RNAi Designer from Thermo Fisher Scientific (https://bit.ly/3qcESry) was 514 used and for UPF1 RNAi cassette the already well-established siRNA targets were chosen (Paillusson 515 et al., 2005). The RNAi cassettes were synthesised (General Biosystems, Inc) and inserted into the pKK 516 vector with the help of Apal and Mfel restriction sites. C-terminally triple FLAG tagged MBP was 517 inserted into pKK plasmids using InFusion cloning (Takara). To introduce C-terminally triple FLAG 518 tagged UPF1, the pKK vector was partially digested with Notl and HindIII (since two Notl sites are 519 present) and the desired vector backbone was purified via agarose gel. RNAi resistant UPF1 was 520 obtained from cutting pcDNA3-NG-UPF1-WT-FLAG (Rufener and Muhlemann, 2013) with Notl and HindIII and cloned into the linearized vector backbone. To obtain pKK plasmids with variant UPF1 (pKK 521 522 UPF1 kd + UPF1<sup>R</sup> DE, + UPF1<sup>R</sup> LF, + UPF1<sup>R</sup> RM) pieces of the wt UPF1 were replaced by the mutant ones 523 using HindIII and PmlI restriction sites. For transient transfection of pKK plasmid in HeLa cells, the hygromycin resistance was replaced by puromycin resistance cassette. 524

For all PCR reactions, high fidelity polymerases were used (Kapa Hifi Hot Start Ready mix (Roche) or
CloneAmp HiFi PCR Premix (Takara)) and sequences of plasmids were confirmed by Sanger sequencing
(Microsynth, Switzerland).

528 Cell culture and transfection. HeLa cells and HEK293 Flp-In<sup>™</sup> T-Rex cells (Thermo Fisher Scientific)
529 were cultured in Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% fetal bovine
530 serum (FBS), 100 U/ml penicillin and 100 µg/ml streptomycin (P/S) at 37 °C under 5% CO<sub>2</sub>.

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To stably introduce pKK plasmids into HEK293 Flp-In<sup>TM</sup> T-Rex cells,  $3 \times 10^6$  cells were seeded in a 10-cm plate using DMEM plus tetracycline-free FBS. Next day, 2 µg of the pKK plasmid and 18 µg of pOG44 Flp-Recombinase expression vector (Thermo Fisher Scientific) were transfected with the help of lipofectamine 2000 (Thermo Fisher Scientific). 24 hours after transfection, cells were transferred into T150 flasks (DMEM + tetracycline-free FBS) and 3 hours later the antibiotics are added (P/S, 100 µg/ml hygromycin B, 10 µg/ml blasticidine). Cells were kept under selection for about two weeks. Transcription of the plasmids was induced by the addition of 1 µg/ml doxycycline (Dox).

For transient transfection in HeLa cells, 2 x 10<sup>5</sup> cells were seeded in a 6-well plate and 500 ng UPF1-GFP plasmids (wt or variants of it, for Figure 1C, 1D, 2, 3C-D, 4A) or 500 ng YFP-NLS plasmids (for Figure 4B) were transfected the next day using Dogtor transfection reagent (OZ Biosciences). 24 hours later transfection efficiencies were evaluated by using fluorescence microscopy and 40'000 cells were seeded on 8-well chamber slides for fluorescence microscopy analysis the next day. Samples for Western Blotting and RNA analysis were also harvested 48 hours after transfection.

For transient rescue experiments in HeLa cells (Figure 5B, S3A), 1000 ng of pKK plasmids were transfected with Dogtor transfection reagent in wells of 6-well plates. The next day cells were transferred to T25 flasks and selected for 48 hours with 1.5  $\mu$ g/ml puromycin. Before analysing the experiment by immunofluorescence and RT-qPCR, cells were cultivated one additional day without puromycin.

549 LMB treatment, detection of GFP/YFP, and fluorescence microscopy analysis. Cells in 8-well chamber 550 slides were incubated for 6 hours with 50 nM LMB (Cell Signaling Technology) or with ethanol as 551 control. After washing cells with PBS twice, cells were fixed with 4 % paraformaldehyde for 25 minutes 552 at room temperature. Next, cells were washed three times with TBS (20 mM Tris-HCl, pH 7.5, 150 mM 553 NaCl) and incubated with TBS +/+ (TBS plus 0.5 % Triton X-100 and 6 % FBS) containing DAPI and 594-554 Phalloidin (Hypermol, for Figure 4B and S2B) for 1 hour at 37 °C. Finally, cells were washed three times 555 with TBS and Vectashield mounting medium (Vector Laboratories) was added on slides to mount the 556 coverslips. The slides were analyzed by epifluorescence microscope (Leica Microsystems, DMI6000 B) 557 or confocal spinning disc microscopy (Nikon TI2 with Crest X-Light V2). CellProfiler software was used for quantifications of images [https://cellprofiler.org/ (Carpenter et al., 2006)]. 558

**Biochemical fractionations of HeLa cells.** HeLa cells were counted to keep 2 x 10<sup>5</sup> cells for *whole cells* input sample. 1 x 10<sup>7</sup> cells were spun down (500 x g, 5 min), the pellet was resuspended in cold buffer 1 (50 mM HEPES, pH 7.6, 140 mM NaCl, 1 mM EDTA, 10 % glycerol, 0.5 % NP-40, 0.25 % Triton X-100 and protease inhibitors) and incubated for 10 minutes on a rotating wheel in the cold room. Cells were centrifuged as before, and the supernatant was kept as *cytoplasmic fraction*. This first step was repeated twice to ensure total cell lysis. Next, the pellet was resuspended in cold buffer 2 (10 mM Tris,

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pH 8, 200 mM NaCl, 1 mM EDTA, 0.5 mM EGTA and protease inhibitors) and incubated again 10 minutes. After centrifugation, the nuclei were solubilized in cold buffer 3 (10 mM Tris, pH 8, 1 mM EDTA, 0.5 mM EGTA, 0.1 % SDS, 0.1 % deoxycholate, 1 % Triton X-100 and protease inhibitors) and sonicated briefly by tip sonication (Vibra-Cell<sup>TM</sup> 75186, 3 x 10 seconds, 45 % amplitude). Finally, samples were centrifuged (16'000 x g, 30 minutes, 4° C) and the supernatant was kept as *nuclear fraction*. For Western Blot analysis, proteins of the cytoplasmic and nuclear fraction (corresponding to  $2 \times 10^5$  cells) were precipitated by ice-cold acetone.

- 572 **Co-immunoprecipitation.** For investigating interaction partners of UPF1, 12 µg of UPF1-GFP plasmid 573 (wt or variants of it) was transfected with the transfection reagent Dogtor (OZ Biosciences) into HeLa 574 cells seeded the day before in a 15-cm plate. 48 hours after transfection, cells were harvested, lysed 575 in cold lysis buffer (50 mM HEPES, pH 7.3, 150 mM NaCl, 0.5 % Triton X-100 and protease inhibitors) and sonicated briefly by tip sonication (Vibra-Cell<sup>™</sup> 75186, 3 x 10 seconds, 45 % amplitude). After 576 577 centrifugation (16'000 x g, 10 min, 4° C), the supernatant was incubated with magnetic GFP-TRAP 578 beads (Chromotek) on a rotating wheel for one hour in the cold room. After washing the beads twice 579 on a magnet with lysis buffer, the samples were incubated with 100 µg RNase A for 10 minutes at 25 580 °C. The samples were washed once again, and finally LDS-loading buffer was added to the beads. The samples were heated up and loaded on an SDS-PAGE. 581
- SDS-PAGE, Silver Staining and Western Blotting was performed as described in (Contu et al., 2021).
   The Western blots were visualised with the Odyssey System (LICOR) and signals were quantified by
   Image Studio Software. For mass spectrometry, the prominent band was cut out, destained with
   Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> and K<sub>3</sub>Fe(CN)<sub>6</sub> and then submitted to the Proteomics Mass Spectrometry Core Facility (PMSCF)
   of the University of Bern for tryptic in-gel digestion and mass spectrometry analysis.
- 587 Antibodies for Western Blotting. Primary antibodies were diluted in TBS containing 5% BSA or 5% milk 588 and incubated overnight in the cold room. The following antibodies and dilutions were used: goat anti-589 UPF1 (1:10'000; Bethyl A300-038A;), mouse anti-GAPDH (1:10'000; Santa Cruz Biotechnology sc-590 47724), rabbit anti-HISTONE H3 (1:10'000; Abcam ab1791), mouse anti-TUBULIN (1:10'000; Sigma-591 Aldrich T9028), mouse anti-phospho-HISTONE H2A.X (Ser139) (1:1'000; Merck 05-636), mouse anti-592 FLAG M2 (1:2'000; Sigma-Aldrich F1803), rabbit anti-UPF2 (1:1'000; Bethyl A303-929A) rabbit anti-593 SMG6 (1:500; Abcam ab87539).

Immunofluorescence. 2 – 4 x 10<sup>4</sup> HeLa or HEK 293T cells were seeded one day before analysis on an 8-well chamber slides. Cells were fixed with 4 % formaldehyde in PBS for 20 minutes. After washing three times with PBS, cells were permeabilized for one hour with 0.5 % Triton X-100 and 6 % FBS in PBS and further incubated for another hour with mouse anti-FLAG M2 (1:500; Sigma-Aldrich F1803) in PBS with 0.1 % Triton X-100 and 6 % FBS (room temperature). Cells were washed three times with PBS

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and incubated for one hour with secondary antibody (Alexa Fluor 594, chicken anti-mouse 1:1'000,
Invitrogen) in PBS with 0.1 % Triton X-100 and 6 % FBS. Finally, cells were washed as above, stained
with DAPI for 10 minutes, washed again and cover slip mounted by using Vectashield mounting
medium (Vector Laboratories). The cells were analyzed by confocal spinning disc microscopy (Nikon
Tl2 with Crest X-Light V2).

RNA analysis by RT-qPCR. Total RNA was extracted using TRI-mix and precipitated with isopropanol as described in (Nicholson et al., 2012). RNA concentration was measured by Nanodrop and 1 to 3 μg of RNA was reverse transcribed with AffinityScript Multi-Temp RT (Agilent) according to the manufacturer's protocol. The cDNA was measured by qPCR using Brilliant III Ultra-Fast SYBR Green qPCR mix (Agilent) in the Rotor-Gene Q (Qiagen). The purity of RNA samples was controlled by running RT controls in each experiment. Oligonucleotides for qPCRs are listed in Table S1.

#### 610 RNA-protein interaction studies using MicroScale Thermophoresis.

Purification of recombinant proteins. UPF1<sup>R</sup>-3F and UPF1<sup>R</sup> RM-3F proteins were expressed in HEK293 611 612 Flp-In<sup>TM</sup> T-Rex cells stably transfected with the respective pKK plasmids and purified by 613 immunoprecipitation. Expression of the recombinant proteins was induced by addition of 1  $\mu$ g/ml Dox 614 for 48 hours. Cell pellets consisting of 5 x  $10^7$  cells were lysed in 500 µl of lysis buffer (500 mM NaCl, 615 0.5% Triton X-100 and 50 mM Hepes pH 7.4, supplemented with protease inhibitors) and sonicated briefly by tip sonication (Vibra-Cell<sup>™</sup> 75186, 3 x 5 sec, 45 % amplitude). After centrifugation (16'000 x 616 617 g, 10 min, 4° C), the supernatant was incubated with 10 µl of Dynabeads® M-270 Epoxy beads 618 (Invitrogen) coupled with anti-FLAG M2 antibodies on a rotating wheel for two hours at 4 °C. After 619 washing the beads three times with 1 ml of lysis buffer, the proteins were eluted by addition of 20  $\mu$ l 620 of elution buffer (1 mg/ml 3xFLAG peptide in 1x HBS-EP+ buffer (Cytiva, BR100826)) and incubation at room temperature for 15 min. The purity of the eluted proteins was assessed by SDS-PAGE and 621 622 Imperial Protein Stain (Thermo Scientific) staining. A BSA standard curve run in the same gel was used 623 to estimate the concentration of the purified proteins.

624 *MicroScale Thermophoresis*. The RNA-binding activity of UPF1<sup>R</sup>-3F and UPF1<sup>R</sup> RM-3F was assessed using MicroScale Thermophoresis as described in (Nicholson et al., 2014). The 5'-labeled Cy5 RNA U30 625 626 oligonucleotide (Microsynth) was diluted to 40 nM in 1x HBS-EP+ buffer. The unlabeled recombinant 627 proteins were serially diluted from a concentration of 200 nM down to 0.0061 nM in the presence of 628 a constant amount of labelled RNA (20 nM). The RNA-protein mixtures were analyzed in premium 629 capillaries using the Monolith NT.115T MST (NanoTemper Technologies). Measurements were carried out at 25 °C, with the following settings: LED power 20%, MST power 40%, laser on time 30 sec, laser 630 off time 5 sec. The NanoTemper Technologies Analysis software, MO.Affinity Analysis v2.3, was used 631 632 to determine the corresponding  $K_d$  using the  $K_d$  fit model.

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- 642

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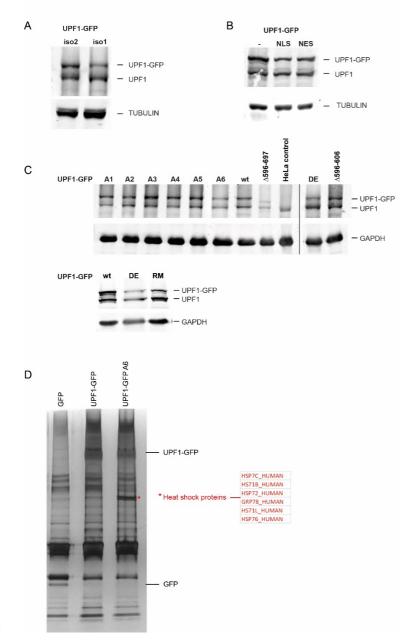
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## UPF1 NLS and NES page 28

# 828 Supplementary Information

#### Supplementary Figure 1



829

830 Figure S1. A. Expression of UPF1-GFP isoform 1 or isoform 2 in HeLa cells were controlled by probing 831 a Western blot with anti-UPF1 antibody. Tubulin served as loading control. B. Immunoblotting to confirm the expression of UPF1-GFP NLS (SV40) and UPF1-GFP NES (snurportin-1) used in Fig. 1D. C. 832 833 The expression of different UPF1-GFP constructs investigated in Figs. 2 and 3 were monitored by 834 Western blotting as in A. GAPDH was used as loading control. **D.** Co-immunoprecipitation experiments 835 of HeLa cells expressing GFP, UPF1-GFP or UPF1-GFP A6 were analyzed by SDS-PAGE and silver staining. 836 Mass spectrometry of the prominent band (marked by red asterisk) appearing at 70 kDa in UPF1-GFP 837 A6 revealed the presence of several heat shock proteins (compared to the same area in UPF1-GFP).

# UPF1 NLS and NES page 29

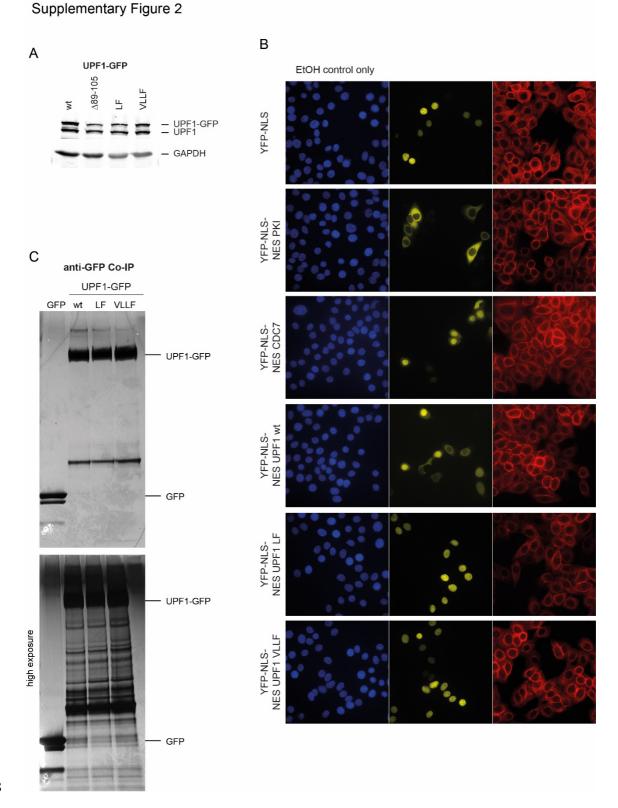


Figure S2. A. Immunoblotting to confirm the expression of UPF1-GFP variants used in Fig. 4 (Western
blot as in Fig. S1). B. Pictures of confocal fluorescence microscope of the blue (DAPI), yellow (YFP-NLS),
and red (Phalloidin) channels. The six different constructs are explained in the Results section and in
the legend of Fig. 4B. Only the control condition (EtOH control) is shown. C. Co-immunoprecipitation
experiments from Fig. 5A was analyzed by SDS-PAGE and silver staining.

## UPF1 NLS and NES page 30

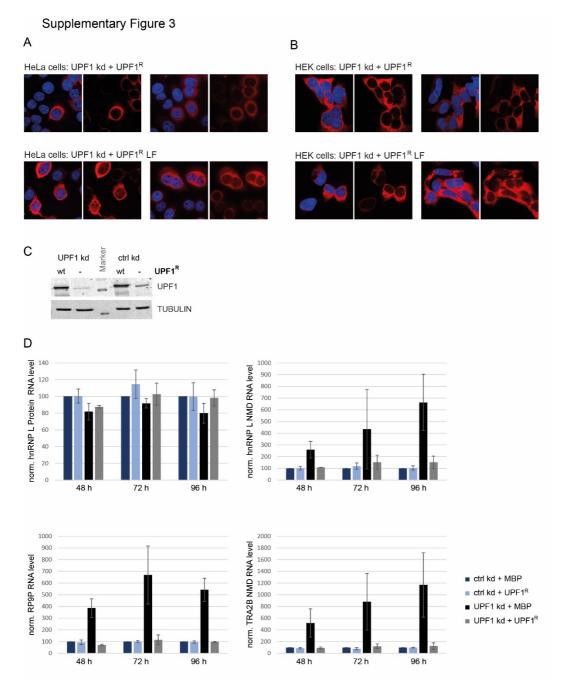


Figure S3. A. Immunofluorescence experiments of HeLa cells transiently expressing UPF1 wt or LF 845 846 mutant in UPF1 kd as in Fig. 5B to investigate the localization of Flag-tagged UPF1 (in red) by confocal 847 microscopy. DAPI was used to stain the nucleus. B. Immunofluorescence experiments of HEK cells 848 induced for 96 hours with Dox to express siRNAs against UPF1 (UPF1 kd) or against luciferase (ctrl kd) and RNAi resistant UPF1 wt or LF mutant was analyzed as in A. C. UPF1 kd efficacy was assessed by 849 850 Western blotting of HEK cell lysates 96 hours after addition of Dox as in Fig. 5C. Tubulin served as 851 loading control. D. Relative levels of hnRNP L Protein, hnRNP L NMD, RP9P, and TRA2B NMD mRNAs, 852 normalized to actin mRNA, were analyzed by RT-qPCR in HEK cells 48, 72 or 96 hours after addition of 853 Dox. The different HEK cell lines are explained in the Results section and in Fig. 5C. Averages and 854 standard deviations of three independent experiments are shown.

## 855 Table S1

	Forward (5'-3') and Reverse (5'-3') oligonucleotides
Plasmid Cloning	
G16 linker	GGCCGGCGGAGGGGGGGGGGGGGGGGGGGGGGGGGGGG
UPF1-GFP NLS (SV40)	GTACAAGCCCAAGAAGAAGAAGGAAGGTGGGCTAAT and
	CTAGA <u>TTA</u> GCCCACCTTCCTCTTCTTGGGCTT
UPF1-GFP NES	GTACAAGATGGAAGAGTTGAGTCAGGCCCTGGCTAGTAGCTTTTCTGTG <u>TAA</u> T and
(snurportin-1)	CTAGA <u>TTA</u> CACAGAAAAGCTACTAGCCAGGGCCTGACTCAACTCTTCCATCTT
UPF1-GFP ∆89-105	CTGCAGAACGGGGCTGAGGAAGATGAAGAAGACAC and AGCCCCGTTCTGCAGGATG
UPF1-GFP ∆596-697	CTGCCGACGAGAAGCGGTACCTGCAGGTCCAGTACCGGAT and
	ATCCGGTACTGGACCTGCAGGTACCGCTTCTCGTCGGCAG
UPF1-GFP ∆596-606	CGACGAGAAGCGGTACGCCCTGATGAACGCAGATGTC and
	GACATCTGCGTTCATCAGGGCGTACCGCTTCTCGTCG
UPF1-GFP RM	GTGTGCTCCGAGCGCCATCGCCGTGGAC and
	GCCTCTGCGCCGCCAGCCGTGAGGCCATC and CATCCTGTCCTGTGGGCCGCCAACGAGCACCAAG
UPF1-NES wt	AGCTTCTGTGGACGACAGTGTAGCCAAGACCAGCCAGTTGTTGGCTGAGTTGAACTTCG AGG and
	GATCCCTCGAAGTTCAACTCAGCCAACAACTGGCTGGTCTTGGCTACACTGTCGTCCACA GA
UPF1-NES LF	AGCTTCTGTGGACGACAGTGTAGCCAAGACCAGCCAGTTGTTGGCTGAGGCGAACGCCG AGG and
	GATCCCTCGGCGTTCGCCTCAGCCAACAACTGGCTGGTCTTGGCTACACTGTCGTCCACA GA
UPF1-NES VLLF	AGCTTCTGTGGACGACAGTGCAGCCAAGACCAGCCAGTTGGCGGCTGAGGCGAACGCC
	GAGG and
	GATCCCTCGGCGTTCGCCTCAGCCGCCAACTGGCTGGTCTTGGCTGCACTGTCGTCCACA GA
Assays for qPCR	
beta-Actin	TCCATCATGAAGTGTGACGT and TACTCCTGCTTGCTGATCCAC
hnRNP L Protein	CAATCTCAGTGGACAAGGTG and CTCCATATTCTGCGGGGTGA
hnRNP L NMD	GGTCGCAGTGTATGTTTGATG and GGCGTTTGTTGGGGTTGCT
RP9P	CAAGCGCCTGGAGTCCTTAA and AGGAGGTTTTTCATAACTCGTGATCT
TRA2B NMD	TGGAATCAGAAAGCACTACGC and GAATCTTCCTTGGAGCGAGA

856

- 857 **Table S1.** Oligonucleotides (from Microsynth, Switzerland) used in the study. Termination codons are
- 858 underlined and changes to alanines are marked in red.