1	Vergence accuracy in an autostereoscopic display
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21 Abstract

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23 When fixating an object, observers typically under or over-converge by a small amount, a 24 phenomenon known as "fixation disparity". Fixation disparity is typically measured with physical 25 fixation targets and dichotically presented nonius lines. Here we made fixation disparity 26 measurements with an autostereoscopic display, varying the retinal eccentricity and disparity of 27 the fixation targets. Measurements were made in a group of four practiced observers and in a group 28 of thirteen experimentally naïve observers. Fixation disparities with a zero-disparity target were in 29 the direction of fixation behind the plane of the screen and the magnitude of the fixation disparity 30 grew with the eccentricity of the fixation targets (1-5 deg in the practiced observers and 1 - 10 deg 31 in the naïve observers). Fixation disparity also increased with increasing disparity of the targets, 32 especially when they were presented at crossed disparities. Fixation disparities were larger overall 33 for naïve observers who additionally did not converge in front of the screen when vergence demand 34 was created by crossed disparity fusion locks presented at 5 and 10 deg eccentricities. 35

36 Keywords: stereoscopic display, vergence, binocular fusion, autostereoscopic display

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39 Introduction

40 Observers with normal binocular vision typically fixate slightly in front of or behind an 41 objective of regard, a phenomenon known as fixation disparity (Hoffmann and Bielschowsky, 42 1900 ; Ogle et al., 1967). Fixation disparity is typically measured using dichoptic nonius lines to 43 read-out the perceived visual direction of each eye and this measurement is generally well 44 correlated with the pointing direction of the two eyes in observers with normal binocular vision 45 (Hillis and Banks, 2001).

46 Fixation disparity can be exaggerated by imposing different degrees of retinal disparity on 47 display elements. In the classical studies of fixation disparity, these image disparities were 48 produced through the use of prisms (Ogle et al., 1967). Fixation disparity has also been reported 49 to increase with increasing retinal eccentricity of disparate targets (Carter, 1964; Debysingh et al., 50 1986; Ukwade, 2000).

51 Measures of fixation disparity are commonly measured clinically with physical cards or 52 devices and prisms (Mallett, 1964; Sheedy, 1980; Wesson and Koenig, 1983). Here we studied 53 fixation disparity using a large-format lenticular autostereoscopic display in practiced and 54 experimentally naïve observers. Changes on vergence demand were induced by disparate targets 55 presented over a range of retinal eccentricities. Fixation disparity increased with both increasing 56 vergence demand and retinal eccentricity of the stimulus to fusion, especially for crossed 57 disparities (increased convergence demand) and for experimentally naïve observer

59 Methods

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61 **Participants**

Two groups of observers participated. Four highly practiced participants (2 females, mean age: 42 ± 18 years; range 31-69; two observers were co-authors of this work) were tested to tune the experimental parameters. These observers had normal- or corrected-to-normal vision, and normal stereoacuity.

A second, experimentally naive group of thirteen participants (7 females, mean age: 19.9 ± 0.8 years; range: 19-21 years old) were tested after being screened for having normal or corrected to normal visual acuity and stereoacuity (Bailey Lovie Eye Chart; Randot Stereotest). Consenting and experimental procedures were approved by the Institutional Review Board of Stanford University. The research conformed to the tenets of the Helsinki Convention.

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72 Apparatus and Stimuli

73 The experiment was performed in a quiet room in total darkness. The stimuli were 74 developed in MATLAB (The Mathworks, Inc., Natick, MA) using Psychtoolbox-3 (Brainard, 75 1997; Pelli and Vision, 1997; Kleiner et al., 2007) and delivered using a SeeFront autostereoscopic 3D monitor (SeeFront GmbH, Frankfurt, Germany; model SF3D320-MP). A schematic 76 77 representation of the stimulus configuration, depicted as a red/blue anaglyph for visualization 78 purposes, is shown in Figure 1A. Nonius lines were used as a high-precision subjective indicator 79 of vergence posture (McKee and Levi, 1987). The dichoptic nonius lines were gray, 21 minutes of 80 arc long and were vertically separated by 36.5 minutes of arc. The top nonius lines was delivered 81 to the right eye and fixed in position, always presented in the center of the screen and in the center

of the right eye fusion lock component. The participant could adjust the horizontal position of the other nonius line using a track wheel device. The initial position of the adjustable nonius line varied pseudo-randomly on each trial, with a maximum possible displacement of 14.4 arcmin from the screen center. The background was black.

86 The binocular fusion lock consisted of a radial pattern composed of four circular sectors 87 surrounding the nonius lines. The fusion lock could be placed at different retinal eccentricities 88 around the nonius stimuli: four eccentricities for the pilot data collection (1°, 1.25°, 2.5° and 5° of eccentricity) and three eccentricities for the main data pool $(1^{\circ}, 5^{\circ} \text{ and } 10^{\circ} \text{ of eccentricity})$. 89 90 These eccentricities were defined as the distance from the screen center and the inner radius of 91 the four circular sectors around the nonius lines. The circular sector sizes were scaled to equate 92 their visibility according the cortical magnification factor (Baseler et al., 1994) and thus the 93 fusion locks presented at higher eccentricities were larger than the ones presented closer to the 94 center of the visual field. The equation used to estimate the cortical area A subtended by circular 95 sectors is the following:

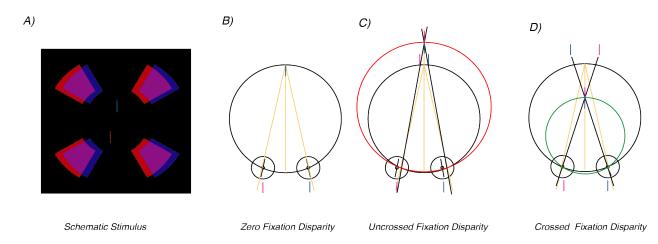
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$$A = 300 * \frac{2\pi}{360} * \theta * \left[\frac{0.75 * (r_o - r_i)}{(r_i + 0.75) * (r_o + 0.75)} + \ln\left(\frac{r_i + 0.75}{r_o + 0.75}\right)\right]$$

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Where θ represents the angle of a given circular sector, r_i represents the inner radius between the screen center and the inner margin of each circular sector, and r_o represents the outer radius between the screen center and the outer margin of each circular sector. The fusion lock was presented at thirteen different horizontal disparities (6 crossed and 6 uncrossed disparities plus zero disparity: 0°, ±0.05°, ±0.1°, ±0.2°, ±0.4°, ±0.6° and ±0.8°).

104 **Procedure**

105 Participants sat comfortably 70 cm from the monitor. They held a rotary USB input device 106 used for collecting responses. Experimentally naive participants were familiarized with the stimuli 107 and task by having them perform 5 training trials. Practiced observers had extensive experience 108 with the task prior to data collection. Each trial began with a blank, dark screen, followed by the 109 appearance of the fusion lock stimulus, presented at zero disparity for 750 msec. Subsequently, 110 the fusion lock assumed the designated disparity for that trial and the nonius lines appeared in the 111 center of the screen. The participants were asked to focus on the central nonius lines and to ignore 112 the surrounding radial fusion lock pattern. These stimuli remained visible on the screen until the 113 end of the trial.



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116 Figure 1. A) Schematic representation of the stimuli, in this case depicting the dichoptic fusion lock with 1 deg of 117 eccentricity and 0.2 degrees of uncrossed disparity. In this analyphic representation, the magenta portions of the 118 stimuli are delivered to the left eye while the blue ones are seen by the fellow eye (every component of the stimulus 119 was gray in the actual experiment). We manipulated both eccentricity and disparity of the fusion lock surrounding the 120 nonius lines. The upper nonius line was always a fixed reference, while the participants could adjust the horizontal 121 position of the lower, probe nonius line to perceptually align them. B) Zero fixation disparity. Physically aligned 122 nonius lines at the plane of the screen (black curve) are subjectively aligned with zero nonius offset. C) Uncrossed 123 fixation disparity. The eyes are diverged relative to the plane of the screen, subjectively aligned targets have a negative 124 nonius offset. D) Crossed fixation disparity. The eyes are converted relative to the plane of the screen, subjectively 125 aligned targets have a positive nonius offset. 126

127 The participants used the rotary input device to adjust the position of the probe nonius line 128 until they perceived the two nonius lines as vertically aligned. The device allowed them to 129 progressively and smoothly move the probe nonius line in either horizontal direction (step size: 1 130 pixel \approx 1.80 minutes of arc) to align the vertical nonius lines. The participants pressed a dedicated 131 button on the response device to proceed to the next trial once they were satisfied with the 132 perceived alignment of the nonius lines.

133 Figure 1B illustrates the case of zero fixation disparity. Here physically aligned nonius 134 lines are seen as subjectively aligned, indicating that vergence was on the plane of the screen, 135 indicated by the black Vieth-Müller circle presented as an approximation of the empirical horopter. 136 Fig. 1C indicates the case of uncrossed fixation disparity where the eyes are diverged relative to 137 the plane of the screen and subjective alignment occurs with a negative nonius offset, under our 138 convention. Fig. 1D indicates the case of a crossed fixation disparity where the eyes are converged 139 relative to the plane of the screen and subjective alignment occurs with a positive nonius offset, 140 under our convention.

There were no time constraints on the perceptual alignment task, and the participants were instructed to achieve a stable alignment before finishing a given trial. Each experimental session consisted of 6 trial repetitions for each of the 3 fusion lock eccentricities (4 fusion lock eccentricities for the experienced observers pool) and the 13 fusion lock disparities, for a total of 246 trials per participant, split in 3 separate blocks each consisting of 82 trials.

147 Conventions

148	We adopted the disparity conventions in widespread use across classical optometry works (Ogle
149	et al., 1967) by assigning uncrossed fusion lock disparities to the positive range of the presented
150	disparity axis while the crossed disparity fusion locks are assigned negative values.
151	We first computed deviations from each presented vergence demand by subtracting the actual
152	vergence demand from the final adjusted position of the participants-controlled nonius line
153	which had to be perceptually aligned with the other, fixed nonius line. Therefore, a positive
154	(negative) deviation indicates a failure to converge (diverge) the eyes enough to have each
155	dichoptic fusion mask lie on each corresponding points on the two retinae. We computed these
156	deviations for the three fusion lock eccentricities as a function of vergence load, as well as
157	having the deviations for each vergence load as a function of fusion lock eccentricity to better
158	visualize the influence of these variables on the task.
159	

160 **Results**

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162 The relative alignment of the nonius lines was continuously tracked until they were perceptually 163 aligned. Figure 2 A-D shows example time-courses of the alignment judgements for each of the 164 fusion lock eccentricities for the zero-disparity fusion lock condition (1.0, 1.25, 2.5 and 5 deg for 165 the practiced observers pool and 1.0, 5 and 10 deg for the naïve participants pool, respectively). 166 The data are from the four practiced observers in panels A-D and the thirteen naïve observers in 167 E-G. The zero-disparity condition represents a conventional estimate of fixation disparity, but with 168 eccentric fixation locks. The average fixation disparity increased with the eccentricity of the fusion 169 lock (dashed line), as did the variability of the setting (red band). The dashed red lines indicate the

- 170 average of the final adjustment before trial end for each participant, and the red shade represents
 - Practiced Naive 1° Α 40 30 1° nonius offset (min) 0 0 0 0 0 Е 80 60 nonius offset (min) 40 -10 20 -20 0 8 0 1.25° В -20 30 • 0 10 20 nonius offset (min) 10 F 5° 0 80 -10 60 nonius offset (min) -20 40 -30 20 0 2 3 5 6 2.5° С 0 60 **-**-20 40 nonius offset (min) -40 20 0 0 -20 G 10° -40 250 0 2 8 200 5° D nonius offset (min) 50 · 150 40 100 nonius offset (min) 30 50 20 10 C 0 -50 0 8 10 12 14 6 4 -10 time from trial start (s) -20 0 2 3 4 5 6 time from trial start (s)
- 171 the standard error of the means.



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Figure 2. Time course of the nonius position adjustments from trial onset, plotted for each fusion lock eccentricities
(A: 1°; B: 1.25°; C: 2.5°; D: 5°) for the zero-disparity condition for the practiced participant pool. Each color
represents a single participant, the red dashed line represents the average of the final nonius position across all
participants, the light red area defines the standard error of the mean. E-G) data from the 13 naïve participants using
the same convention.

180 The full data sets over all fixation lock disparities and eccentricities are shown in Figure 3. 181 The dashed diagonal lines indicate the imposed disparity of the fusion lock and thus the vergence 182 demand. For both practiced and naïve observers, the nonius offset for subjective alignment 183 accurately tracks the disparity of the low-eccentricity, uncrossed fusion locks (1 deg in both groups 184 (light blue curves in both A and B) and additionally at 1.25 deg eccentricity in the practiced group 185 but undershoots it for crossed disparities especially in the naïve observers (compare Fig. 3 A to 186 B). As the eccentricity of the fusion lock increases to 2.5, 5 and 10 deg eccentricity, the nonius 187 offset setting increasingly fails to track the disparity of the fusion lock, especially for crossed 188 disparities (see Fig. 2 C, D and F, G). The divergence of the nonius settings from the imposed 189 demand implies that the eyes are less converged or diverged than the demand imposed by the 190 disparity of the fusion lock. Notably, in the naïve observers, the nonius offset for crossed fusion 191 locks are on the uncrossed side for the largest fusion lock disparities.

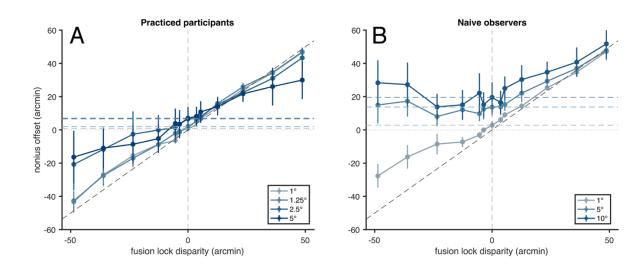
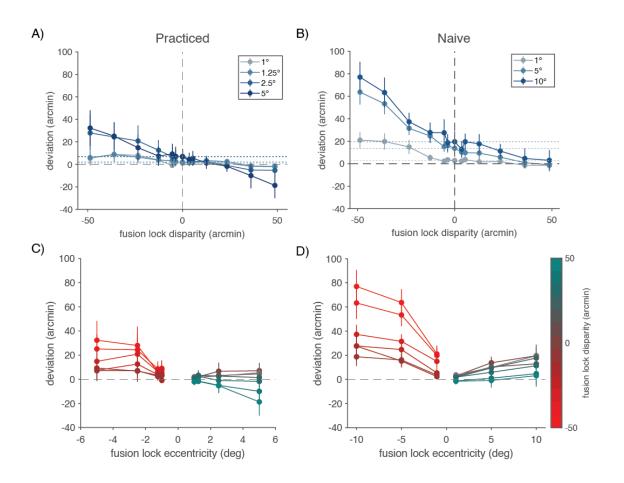


Figure 3. Nonius offset settings for practiced (A) and Naïve observers (B). Eccentricities in degrees are reported in the legends.

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Figure 4. Deviations of settings from vergence demand. A) Deviation as a function of fusion lock disparity for practiced observers. Deviation grows with increasing fusion lock, especially for larger crossed disparities. B)
 Deviation as a function of fusion lock disparity for naive observers. Trends in experience observers are exaggerated.
 C) Deviation as a function of fusion lock eccentricity for practiced observers. Deviation increases for more eccentric fusion locks, especially for crossed disparity. D) Deviation as a function of fusion lock eccentricity for practiced observers. For visibility purposes, we translated the negative fusion lock disparities curves (plotted in shades of red) to negative eccentricities in C and D.

To better visualize the magnitude of the biases, we transformed the nonius offsets in deviations from imposed vergence load and visualized the data of Figure 3 in two ways: first as a function of fusion lock disparity, with eccentricity as the parameter and then as a function of disparity with eccentricity as the parameter. At zero disparity and 1 degree of eccentricity, the fixation disparity in the practiced observers was 0.98 ± 1.97 arcmin and in the naïve observers it was 2.82 ± 2.6 arcmin. At 5 deg, where both groups provided data, these values were 7.06 ± 6.44

213	arcmin and 13.73 \pm 5.1 arcmin, respectively. At 10 degrees of eccentricity (for the naïve
214	participants pool), the fixation disparity was 19.58 ± 9.13 arcmin.
215	As the disparity of the fusion lock increases, nonius offset deviation remains essentially
216	constant for all uncrossed disparities when the fusion lock eccentricity is 1 deg in both groups and
217	1.25 deg in the practiced observers. At 2.5 deg and higher fusion lock eccentricities, the zone where
218	fixation disparity is small shrinks to disparities less than \approx 5.41 arcmin. The fixation disparity
219	increases with eccentricity for all disparities, especially for crossed disparities and larger disparity
220	magnitudes in both groups. The asymmetry in settings between crossed and uncrossed disparities
221	is readily apparent when deviation is plotted as a function of eccentricity for the two disparity signs
222	(Fig 4 C, D).
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235 in previous studies (Carter, 1964; Debysingh et al., 1986; Ukwade, 2000).

As increasing disparity is introduced into the fusion lock, the nonius offset directly tracks the magnitude of the imposed disparity for uncrossed fusion locks when the eccentricity of the fusion lock is low (*e.g.* 1 to 1.25 deg). The uncrossed fusion locks are portrayed behind the plane of the monitor. At larger eccentricities, the nonius offset fails to track the imposed disparity by increasing amounts in the practiced observers (see Fig 3C and D). In the naïve observers the nonius offset matches the largest of the imposed uncrossed disparities, but over- compensates in the uncrossed direction for smaller fusion lock disparities (see Fig. 3 F and G).

243 The crossed disparity fusion locks render the images in front of the plane of the monitor. 244 In both observer groups, for the smallest fusion lock eccentricities, the nonius offset tracks the sign 245 of the disparity, but fails to keep up with the magnitude of the disparity (see Fig. 3 A,B and E). In 246 the practiced group, the function is shallower than the imposed disparity demand for both signs of 247 disparity and by 5 deg of eccentricity, the effect is approximately symmetric for crossed and 248 uncrossed disparities. In the naïve observers, the presentation of crossed disparity fusion locks fails 249 to drive convergence in front of the plane of the monitor at 5 and 10 deg eccentricities of the fusion 250 lock – fusion lock disparity has no effect and does not alter the fixation disparity value measured 251 for zero disparity.

Previous research on the perception of depth from disparity has compared stereoacuity for simple real-world targets and simulated versions of them presented in a stereoscope (McKee and Taylor, 2010). For two unpracticed observers, depth thresholds in the stereoscope were much worse than their real-space depth thresholds. Superiority of cue integration has also been reported for real versus stereoscopically simulated displays (Buckley and Frisby, 1993). The autostereoscopic and other types of dichoptic viewing system inherently present conflicts between disparity cues and accommodation/proximity cues and this may affect the perception of depth from

259	the fusion locks. However, this can't be the whole explanation as cue conflict is equal over the
260	different fusion lock eccentricities. In the naïve observers, performance is relatively good for the
261	1 deg fusion lock eccentricity, but not for the 5 and 10 deg eccentricities. This suggests another
262	factor – peripheral disparity sensitivity – may be at play. Peripheral stereoacuity can improve more
263	than central visual acuity with practice, at least in some observers (Fendick and Westheimer, 1983)
264	and it is possible that the naïve observers are not as able to use peripheral disparity information in
265	the context of our experimental conditions.

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