1 Widespread nociceptive maps in the human neonatal 2 somatosensory cortex.

3 4 Laura Jones^{1†}, Madeleine Verriotis^{*}, Robert J. Cooper², Maria Pureza Laudiano-5 Dray¹, Mohammed Rupawala¹, Judith Meek³, Lorenzo Fabrizi¹, & Maria Fitzgerald¹ 6 7 ¹ Department of Neuroscience, Physiology & Pharmacology, University College London, 8 London, WC1E 6BT, UK 9 ² DOT-HUB, Department of Medical Physics & Biomedical Engineering, University College 10 London, London, WC1E 6BT, UK 11 ³ Elizabeth Garrett Anderson Obstetric Wing, University College London Hospitals, London, 12 WC1E 6DB, UK 13 14 *Current address: Department of Developmental Neuroscience, University College London 15 Great Ormond Street Institute of Child Health, London, WC1N 1EH, UK 16 17 [†]Corresponding Authors: m.fitzgerald@ucl.ac.uk and laura.jones@ucl.ac.uk 18 19 20 21 Running title: Touch & pain topography in the human infant cortex 22 No. of Pages: 22 23 No. of Figures: 4 24 No. of Tables: 1 25 No. of words Abstract: 250 26 No. of words Introduction: 592 27 No. of words Discussion: 1500 28 29 Conflict of interest statement: RJC holds financial interests in Gowerlabs Ltd, who 30 produce the device used in this study. The authors declare no other conflict of interest in this 31 study. 32 33 Acknowledgments: This work was funded by the Medical Research Council UK 34 (MR/M006468/1, MR/L019248/1, and MR/S003207/1). RJC is funded by EPSRC Fellowship 35 EP/N025946/1 36 37 Abstract 38 39 Topographic cortical maps are essential for spatial localisation of sensory stimulation and 40 generation of appropriate task-related motor responses. Somatosensation and nociception 41 are finely mapped and aligned in the adult somatosensory (S1) cortex, but in infancy, when 42 pain behaviour is disorganised and poorly directed, nociceptive maps may be less refined. 43 We compared the topographic pattern of S1 activation following noxious (clinically required 44 heel lance) and innocuous (touch) mechanical stimulation of the same skin region in

- 45 newborn infants (n=32) using multi-optode functional near-infrared spectroscopy (fNIRS).
- 46 Signal to noise ratio and overall activation area did not differ with stimulus modality. Within
- 47 S1 cortex, touch and lance of the heel elicit localised, partially overlapping increases in
- 48 oxygenated haemoglobin (HbO), but while touch activation was restricted to the heel area,
- 49 lance activation extended into cortical hand regions. The data reveals a widespread cortical
- nociceptive map in infant S1, consistent with their poorly directed pain behaviour.
- 51

52 Introduction

53 Somatotopically organised cortical maps of activity evoked by innocuous or noxious

- 54 mechanical stimulation allow us to localise our sense of touch or pain (Penfield and Boldrey,
- 55 1937; Harding-Forrester and Feldman, 2018), and may also convey computational
- advantages in the relay of afferent information to higher brain areas (Thivierge and Marcus,
- 57 2007). In adults, overlapping regions are involved in the cortical processing of noxious and
- innocuous mechanical stimulation (Kenshalo et al., 2000; Lui et al., 2008) and detailed fMRI
- analysis reveals a fine-grained somatotopy for nociceptive inputs in primary somatosensory
- 60 cortex (SI) that are aligned with activation maps following tactile stimuli, suggesting
- 61 comparable cortical representations for mechanoreceptive and nociceptive signals (Mancini62 et al., 2012).
- 63 A whole-body topographical map of innocuous mechanical stimulation develops in the
- 64 sensorimotor cortices over the early postnatal period in rats, which represent the human final
- 65 gestational trimester (Seelke et al., 2012). Distinct representations of the hands and feet can
- be observed from 31 weeks using fMRI (Dall'Orso et al., 2018), becoming increasingly
- 67 localised by term age (Allievi et al., 2016). While haemodynamic responses to a clinically-
- 68 required heel lance have been recorded from 28 weeks using functional near-infrared
- 69 spectroscopy (Slater et al., 2006) and can be distinguished from innocuous mechanical
- voked brain activity in EEG recordings from 34-35 weeks (Fabrizi et al., 2011), the source
- of this activity and topographic representation of these two modalities have not been
- 72 mapped, or their alignment established, in the infant cortex.
- 73 Infant pain behaviour is exaggerated and disorganised in newborn rodents and human
- infants (Fitzgerald, 2005, 2015; Cornelissen et al., 2013). Poor spatial tuning of nociceptive
- reflexes and receptive fields is a feature of the developing somatosensory system, followed
- by the emergence of adult organisation through activity-dependent refinement of synaptic
- connections (Beggs et al., 2002; Schouenborg, 2008; Koch and Fitzgerald, 2013). We
- hypothesised that this developmental process is reflected in ascending nociceptive signals to SI, leading to widespread cortical activation and poor spatial localisation of noxious events
- 80 in early life.
- 81 To test this hypothesis, we used multioptode functional near-infrared spectroscopy (fNIRS)
- 82 to map nociceptive and innocuous mechanoreceptive activity across the infant sensorimotor
- 83 cortex. fNIRS is a non-invasive measure of cerebral haemodynamic changes which can be
- performed at the bedside, using skin-to skin holding in a naturalistic hospital setting during
- 85 clinically required procedures. Using the temporal and spatial profiles of haemodynamic
- responses to a noxious skin lance and an innocuous touch of the hand and the heel, we
- 87 show that haemodynamic activity elicited by noxious and innocuous mechanical stimulus
- have partially overlapping topographies in the human infant S1 cortex but that the two maps
- 89 are not aligned. Noxious stimulation of the heel in the newborn evokes a more widespread
- 90 cortical activation than innocuous stimulation, that extends into inferior regions of S1,
- 91 normally associated with representation of the hand.92

93 **Results**

Hand and heel touch evoked activity is somatotopically organised in the newborn infant S1 cortex

- 96 We first established the cortical topography of touch activation in newborn infants by
- 97 mapping the extent of activation in the contralateral somatosensory (S1) cortex following
- 98 innocuous mechanical stimulation (touch) of the hand and of the heel. Figure 1a and 1b
- show a significant and localised increase in average [HbO] in contralateral optode channels
- 100 following touch of each body area (n=11, hand touch; n=16 heel touch). Touch stimulation
- 101 of the hand elicited significant increases in five channels, with a maximum change (0.31 µM
- 102 at 16.9 s post-stimulus) at the channel corresponding to the FCC3 position of the 10:5
- 103 placement system (Figure 4), while touch of the foot elicited significant increases in six
- 104 channels, with a maximum change (0.30 µM at 15.8 s) at the channel corresponding to the
- 105 CPP1 10:5 position. The somatotopically localised increases in [HbO] were accompanied by

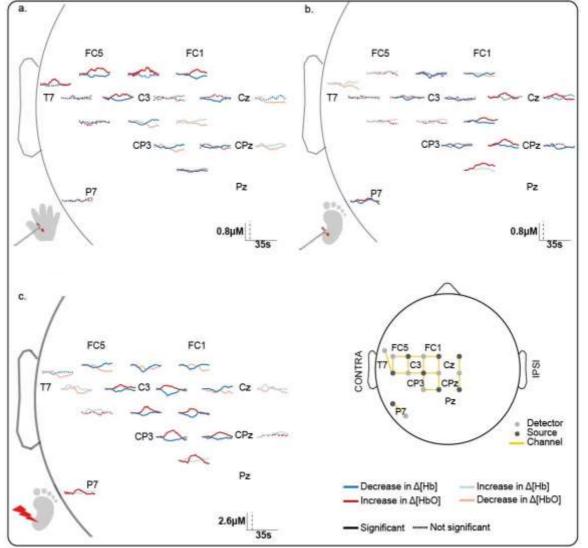
106 a widespread decrease in [Hb] over the whole peri-rolandic area (hand: significant

107 decreases in eight channels [peak change: -0.21 μ M at 17.7 s]; foot: significant decreases in

108 nine channels, including control channel [peak change: -0.20 μ M at 11.2 s]). An inverse

109 response (significant decrease in [HbO], significant increase in [Hb], or both) was mostly

- restricted to channels surrounding the hand and foot areas of the S1, respectively. Individual
- 111 channel data is shown in **Figure 1 Source Data 1**.



- 112 Figure 1. Channel-wise haemodynamic response following innocuous and noxious
- 113 mechanical stimulation of hand and heel. Average Δ [HbO] (red) and Δ [Hb] (blue) during
- (a) hand touch (n=11), (b) heel touch (n=16) and (c) heel lance (n=11). Channels with
- significant increases in [HbO] and decreases in [Hb] (i.e. canonical response) during the
- 116 activation period are shown with sold dark lines, inverse responses are shown with solid
- 117 pale lines, and non-significant changes are shown with dotted lines. Black vertical line
- 118 represents stimulus onset. Note the difference in the scale bar between touch and lance. For
- 119 channels where a significant canonical and inverse response was found at different
- 120 *latencies, the canonical response only is depicted. (Details of individual channel responses* 121 *are in Figure 1 – Source Data 1*)
- 122

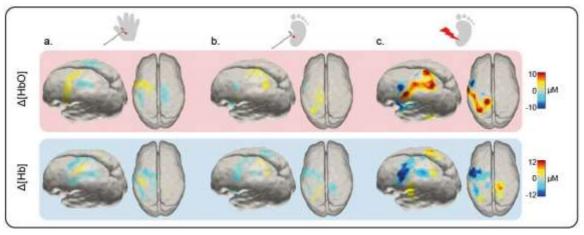
123 Image reconstruction of the channel data (Figure 2a and 2b) shows that the topography of 124 touch activation in the newborn infant S1 is consistent with the known adult S1 topography:

- 125 the area representing the foot lies in the superomedial postcentral gyrus, while the area for
- 126 the hand is more inferior (Penfield and Boldrey, 1937; Harding-Forrester and Feldman,
- 127 2018; Willoughby et al., 2020).

128 Noxious lance of the heel elicits widespread activation extending into inferior SI

129 We next mapped activation in the contralateral S1 following a noxious, clinically required, 130 lance stimulus to the heel in newborn infants. The average channel response (Figure 1c) 131 and the image reconstruction (Figure 2c) show the significant and widespread increase in 132 [HbO] following lancing the heel, which extends beyond the somatotopic area for heel touch to encompass inferior areas of SI, which were associated with touch of the hand. 133 134 Heel lance elicited significant increases in [HbO] in eight channels (including the control 135 channel), with a maximum increase (0.96 μ M at 14.5 s) at the channel corresponding to the 136 CP2h 10:5 position. Four of the channels with a significant increase in [HbO] following the 137 lance, also had a significant increase in [HbO] following touch of the foot. Notably one 138 channel also displayed a significant increase following touch of the hand. The

- 139 accompanying decrease in [Hb] was widespread (significant decreases in 11 channels; peak
- 140 change 1.03 μ M at 9.1 s), and an inverse response was found in all channels surrounding
- 141 those with a canonical response (**Figure 1c and 2c**).
- 142



- Figure 2. Image reconstruction at peak latency of the Δ [HbO] and Δ [Hb] response to an innocuous (touch) and noxious (lance) mechanical stimulation of hand and heel.
- 145 Significant changes (compared to baseline) in Δ [HbO] (top row) and Δ [Hb] (bottom row)
- following (a) hand touch (n=11), (b) heel touch (n=16) and (c) heel lance (n=11).
- 147

148 Newborn infant nociceptive maps are not somatotopically aligned with touch maps

To test whether the widespread activity evoked by heel lance within S1 represents a true difference in the somatotopic mapping of touch and nociception, we compared the signal to noise, the individual channel activation and the overall spatial dimensions of haemodynamic activity evoked by the two stimulus modalities applied to the heel.

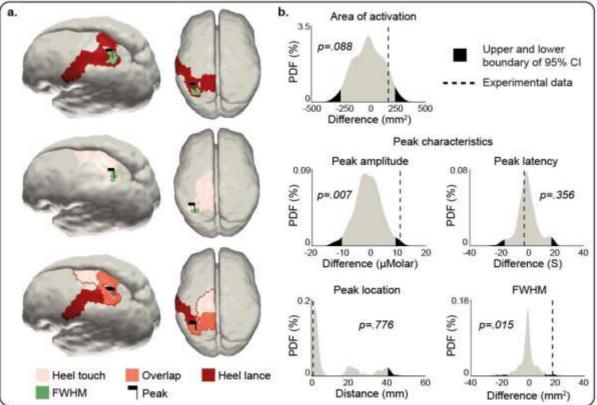
153 Heel lance elicited a significantly larger increase in [HbO] and decrease in [Hb] compared to

154 heel touch (maximum Δ [HbO]: 15.23 vs 4.13 μ M, p=.007; maximum Δ [Hb]: -22.87 vs -4.34

155 μM, p<.001; Figure 3b, Figure 3 – figure supplement 1). However, the signal to noise of

- 156 the lance heel activation was not higher than that of heel touch evoked activity (see
- 157 Methods). Furthermore, the difference in amplitude between lance and touch was not
- accompanied by a difference in overall area of cortical activation evoked by the two stimuli
 (Figure 3b and Figure 3 Source Data 1). Neither the location nor latency of peak
- 160 activation differed between the two stimulus modalities (Δ [HbO]: distance between peaks =
- 161 1.65 mm, p=.776; difference in peak latency = 1.7 s [14.1 vs 15.8 s], p=.356; Δ [Hb]: distance
- between peaks = 0 mm, p=.957; difference in peak latency = 1.7 s [9.6 vs 11.3 s], p=.292).
- 163 Only the spread of the peak Δ [HbO] was significantly larger following noxious heel lance (Δ
- 164 [HbO] FWHM area: 66.63 vs 52.96 mm2, p=.015; Δ [Hb] FWHM area: 87.86 vs 83.10 mm2,
- 165 p=.204). (Figure 3 figure supplement 1). The key difference between the two patterns of

activation is that the foot touch response is limited to the areas of the S1/M1 associated withthe foot, whereas the lance response extends towards other more ventral regions of S1.



168

169 Figure 3. Comparison of the peak and area of activation of the Δ [HbO] response to an

170 **innocuous (touch) and noxious (lance) mechanical stimulation of the heel.** (a) Area of

- 171 significant Δ [HbO] changes following heel lance (red), heel touch (pink) and both (orange).
- 172 Black flags demark the location of peak changes and green areas the extent of their full-width
- 173 half-maximum (FWHM). (b) Statistical position of experimental differences between heel
- touch and lance in peak amplitude, FWHM, latency and location, and area of significant
- 175 Δ [HbO] changes in respect to non-parametric null distributions obtained with bootstrapping
- 176 and phase scrambling. The equivalent plots for Δ [Hb] are shown in **Figure 3 figure** 177 supplement 1 and Figure 3 - source data 1.
- 177 178

179 **Discussion**

180 A widespread nociceptive topographic map in infant S1 that overlaps but is not 181 aligned to the innocuous mechanoreceptive map

- 181 anglied to the infocuous mechanoreceptive map
 182 Somatosensory maps of cortical activity evoked by a cutaneous tactile or noxious stimulus
 182 Somatosensory maps of cortical activity evoked by a cutaneous tactile or noxious stimulus
- provide a framework for localising the sense of touch or pain (Treede et al., 1999; Thivierge
- and Marcus, 2007). The adult primate S1 has a defined somatotopic organization of tactile
- and nociceptive cortical receptive fields (Andersson et al., 1997; Kenshalo et al., 2000)
- including spatially precise cortical maps of Aδ and Aβ afferent fibre input (Chen et al., 2011).
- 187 Human fMRI studies show that adult somatotopic maps of noxious and non-noxious
- mechanical stimulation substantially overlap (Lui et al., 2008) and detailed analysis reveals a fine-grained somatotopy for nociceptive inputs in primary somatosensory cortex (S1) that are
- 189 fine-grained somatotopy for nociceptive inputs in primary somatosensory cortex (S1) that a 190 highly aligned with maps of innocuous tactile stimuli, suggesting comparable cortical
- representations for mechanoreceptive and nociceptive signals (Mancini et al., 2012). Here
- 191 representations for mechanoreceptive and hociceptive signals (Mancini et al., 2012). There 192 we have shown that this comparable representation is not present in the newborn infant S1
- 193 cortex.

194 Noxious mechanical stimulation evokes a larger peak increase in [HbO] and decrease in 195 Δ [Hb] compared to innocuous stimulation of the same body area at comparable location and 196 latency as reported elsewhere (Bartocci et al., 2006: Slater et al., 2006: Verriotis et al., 197 2016b). The fact that the noxious activation is greater than the touch evoked activity (clearly 198 seen because fNIRS provides scaled maps of the physiologically meaningful parameter, 199 haemoglobin concentrations, unlike BOLD-fMRI) is presumably due to greater depolarisation 200 and spike activity within the activated areas. However, it does not explain the differing 201 topography reported here. The signal to noise ratio is not larger following noxious 202 stimulation as we averaged data from repeated touches to compare with a single lance 203 stimulus. Furthermore, the overall area of brain activity does not differ significantly between 204 touch and lance of the heel, it is only that the areas of activation of the responses are not 205 aligned. Within S1 itself, the infant has a distinct somatotopic map for touch, similar to that 206 described in adults, with the area representing the foot lying in the superomedial postcentral 207 gyrus, and the area for the hand located more inferiorly (Penfield and Boldrey, 1937; Blake 208 et al., 2002; Akselrod et al., 2017), consistent with previous reports in newborn infants 209 (Dall'Orso et al., 2018). Noxious heel lance, on the other hand, evokes a widespread activity 210 within S1, peaking in the same area of the superomedial postcentral gyrus as touch activity, 211 but extending to the hand representation area. The multi-optode fNIRS array was placed 212 over the contralateral perirolandic cortex and so the full extent of the nociceptive map is not 213 known, but the data shows that the S1 somatotopic nociceptive map is not as precise as the 214 touch map in the newborn.

Measuring the cortical haemodynamic response to innocuous and noxious 215

- 216 mechanical stimulation in neonates
- 217 fNIRS is ideally suited to a study of this kind as recording and sensory stimulation, including 218 clinically required heel lance, can be performed at the infant cotside (Bartocci et al., 2006; 219 Slater et al., 2010; Kashou et al., 2016; Verriotis et al., 2016b). Other methods of measuring 220 this either do not provide sufficient spatial information and source localisation, such as EEG 221 recording of nociceptive-related ERPs (Fabrizi et al., 2011; Jones et al., 2018) or are limited 222 by the use experimental 'pinprick' stimulators, that for ethical reasons are not actually 223 painful, such as in fMRI studies (Goksan et al., 2015).
- 224 The change in the Δ [HbO] and Δ [Hb] following sensory stimulation is a measure of neural 225 activity: simultaneous vertex EEG and fNIRS recordings over S1 show that haemodynamic 226 and neural responses are related in magnitude (Verriotis et al., 2016b). Following all stimuli, 227 and consistent with the mature canonical response, channels showing a significant increase 228 in Δ [HbO] also had a smaller decrease in Δ [Hb]. Regional overperfusion following neuronal 229 activation, beyond that required by metabolic demands, means that less Hb is removed from 230 the region compared to the oversupply of HbO. However, the decrease in Δ [Hb] was more 231 widespread (but smaller in magnitude) compared to the localised increase in Δ [HbO]. This 232 type of response, not previously reported in infants (de Roever et al., 2018), suggests that 233 more blood is leaving the region (removing Hb) compared to the incoming supply (no 234 significant change in [HbO] in peripheral channels) due to immature regulation of cerebral 235 blood flow (CBF). There are multiple mechanisms by which blood vessels dilate and CBF 236 increases following neural activation, including arterial CO₂ and O₂ concentrations, which 237 relax/contract the smooth muscle cells of cerebral arteries and arterioles (Kety and Schmidt, 238 1948), and astrocyte and pericyte activity which contribute to vessel diameter and the 239 propagation of vasodilation along the vascular tree (Takano et al., 2006; Cai et al., 2018), 240 many of which are still developing in the newborn (Pryds and Greisen, 1989; Binmöller and 241 Müller, 1992; Fujimoto, 1995) leading to rapid changes in CBF over the first postnatal days 242 as cerebral circulation adapts (Meek et al., 1998).
- 243 These infants in this study were held skin to skin, swaddled in their mother's arms in a
- 244 naturalistic setting, which is a major advantage of nIRS recording over fMRI for human
- 245 developmental studies of brain function. Video recording and investigator scoring confirmed
- 246 that while some infant movement and maternal touching did take place and that some

babies did move following the lance, these movements were varied in both body part and

- latency such that any associated cortical response would be removed during the averaging
- process. Furthermore, the chance of any larger movement from a few babies driving the
- widespread S1 response following the lance, is removed by the between group
- randomisation used to generate the null distribution of parameter differences. Finally, 33- 50% of babies did grimace for up to 7 seconds following the lance, but if these facial
- 50% of babies did grimace for up to 7 seconds following the lance, but if these facial
 movements mediated the response following the lance, this would have prolonged the peak
- or duration of the change in HbO, while in fact the latency and time course of the response
- to both stimuli was the same (see Figure 1).

256 Differential development of somatosensory and nociceptive topographic maps

- A whole-body topographical map of innocuous mechanical stimulation develops in the
- 258 sensorimotor cortices over the early postnatal period in rats (Seelke et al., 2012), which 259 represents the human final gestational trimester. In humans, distinct representations of the
- hands and feet can be observed from 31 weeks gestation, using fMRI (Dall'Orso et al.,
- 261 2018), and from 28 weeks using neural activity recorded from the scalp (Donadio et al.,
- 262 2018; Whitehead et al., 2018, 2019). The response to innocuous mechanical stimulation was
- 263 more localised in S1 than the wider and less refined topographical map of noxious
- 264 mechanical stimulation, suggesting a slower maturation of the S1 circuitry involved in 265 nociceptive processing compared to touch processing in the infant brain.
- 265 In codents, at every level of the developing somatosensory central nervous system, tactile
- processing matures before nociceptive processing (Fitzgerald, 2005; Koch and Fitzgerald,
 2013; Chang et al., 2016, 2020; Verriotis et al., 2016a) consistent with a delayed refinement
- 269 of a cortical nociceptive map. Widespread nociceptive cortical maps are consistent with
- infant pain behaviour, characterised by exaggerated and disorganised nociceptive reflexes
- in both rodent pups and human neonates (Fitzgerald, 2005, 2015), and which can fail to remove a body part from the source of pain (Waldenström et al., 2003). Nociceptive
- reflexes following noxious heel lance are larger in magnitude and significantly more
- prolonged in human infants compared to adults (Cornelissen et al., 2013) and have
- 275 widespread cutaneous receptive fields that encompass the whole lower limb (Andrews and
- Fitzgerald, 1994). This lack of organisation could be reflected in the ascending
- spinothalamic and thalamocortical projections, delaying the maturation of S1 cortical
 nociceptive maps in the newborn. Topographic maps are established and aligned via
- multiple mechanisms, including molecular cues, spontaneous or sensory-dependent
- 280 remodelling, and refinement. Initially, somatosensory maps are diffuse and overlapping, but
- in the rodent somatosensory cortex, excitatory thalamocortical afferents undergo activity-
- dependent refinement to sharpen these maps (Iwasato et al., 1997). Equally important is
- the maturation of inhibitory interneuron sensory maps which, in contrast, expand over
- development in an experience dependent manner (Quast et al., 2017). Slow developmental
- broadening of an inhibitory nociceptive network may explain the widespread nociceptive
 map in S1 and also the greater amplitude of EEG noxious responses in infants compared to
 adults (Fabrizi et al., 2016).
- 287 adults (Fabrizi et al., 2010).

288 Pain and the developing S1 cortex

289 This study highlights the importance of understanding the development of touch and pain 290 processing in the human infant brain. The widespread S1 nociceptive topography 291 discovered here implies that the infant S1 cortex would be unable to accurately localise 292 noxious events and may lack the computational ability to reliably send noxious information to 293 higher brain centres (Thivierge and Marcus, 2007; Harding-Forrester and Feldman, 2018). 294 Heel lance is one of many skin-breaking procedures commonly performed in neonatal 295 hospital care (Laudiano-Dray et al., 2020) and this study reveals the extent of cortical 296 activation that follows just one such noxious procedure in the newborn. This contrasts with 297 innocuous mechanical stimulation, such as touch, which activates a spatially restricted and 298 somatotopically defined cortical area. Increasing evidence that repeated noxious 299 experiences have adverse effects upon the developing brain (Ranger and Grunau, 2014;

300 Duerden et al., 2018), underlines the importance of these results and the need for a better

- 301 understanding of the mechanisms underlying the maturation of cortical nociceptive
- 302 topographic maps.
- 303

304 Materials and Methods

305 Participants

306 Thirty-two infants (35-42 gestational weeks at birth, 0-7 days old, 12 female; **Table 1**) were 307 recruited from the postnatal, special care, and high dependency wards within the neonatal 308 unit at University College London Hospital. Infants received either 1) innocuous mechanical 309 stimulation (touch) of the heel, 2) innocuous mechanical stimulation of the hand, or 3) a 310 noxious mechanical stimulation (clinically required lance) of the heel. Six infants received touch stimulation of both the heel and hand. Similar high impact works using single trial 311 312 noxious stimulation or multiple mechanical stimulations have yielded significant results with group sample sizes of 5-15 (Bartocci et al., 2006) and 10-15 (Arichi et al., 2012), 313 314 respectively. Ethical approval for this study was given by the NHS Health Research Authority 315 (London – Surrey Borders) and the study conformed to the standards set by the Declaration 316 of Helsinki. Informed written parental consent was obtained before each study.

317

Table 1. Infant demographics. Demographic information about the subjects that received tactile and noxious stimuli of heel and hand.

Heel lance	Heel touch	Hand touch	р
11	16	11	
$39^{+2}(35^{+2}-41^{+5})$	$39^{+4} (35 - 42^{+3})$	$39^{+2}(37^{+5}-41^{+3})$.287
4 (0 - 7)	3 (0 - 6)	3 (0 - 4)	.115
4 (36%)	6 (38%)	5 (45%)	.889
3134 (2220 - 4072)	3250 (2360 - 4080)	3300 (2450 - 3754)	.774
2 (18%)	8 (50%)	3 (27%)	.196
34 (32 - 35.5)	34.25 (31 - 37)	34 (32.5 - 36)	.900
	$ \begin{array}{r} 11\\ 39^{+2} (35^{+2} - 41^{+5})\\ 4 (0 - 7)\\ 4 (36\%)\\ 3134 (2220 - 4072)\\ 2 (18\%) \end{array} $	$\begin{array}{ccccc} 11 & 16 \\ 39^{+2} (35^{+2} - 41^{+5}) & 39^{+4} (35 - 42^{+3}) \\ 4 (0 - 7) & 3 (0 - 6) \\ 4 (36\%) & 6 (38\%) \\ 3134 (2220 - 4072) & 3250 (2360 - 4080) \\ 2 (18\%) & 8 (50\%) \end{array}$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$

Values represent median and range or proportion. GA = gestational age (weeks from the first day of the mothers last menstrual cycle to birth); PNA = postnatal age (days since birth). No significant difference was found in any demographic parameter across the three groups (one-way ANOVA results in the last column).

318

319 Experimental design

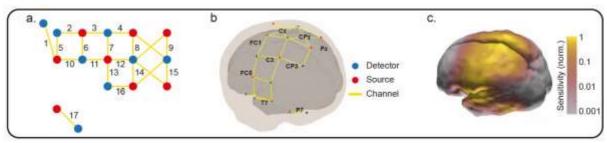
320 Brain activity (fNIRS) was recorded following a clinically-required heel lance procedure or 321 innocuous mechanical stimulation of the limbs at the bedside in the neonatal unit.

322 Functional Near-Infrared Spectroscopy recording

Infants wore a 21-channel array consisting of 8 sources and 8 detectors with inter-optode
 distances of 2.5-4 cm. The array was secured over the pericentral area of the scalp on the

- 325 side contralateral to the stimulation with a custom designed textile cap (EasyCap). The
- 326 infants' head circumference, ear to ear lateral semi circumference, and nasion to inion
- distance were measured and the cap was placed on the head by aligning specific 10/5
- 328 locations (Cz, T7). This optode arrangement provided sensitivity coverage for the whole
- 329 somatomotor cortex contralateral to the stimulation site and of the medial part on the
- ipsilateral side (Figure 4c). One source-detector pair was placed at a more ventral posterior
 location of the scalp (P7 of the international 10/5 positioning system) (Figure 4a). This
- 332 channel was sensitive to the posterior temporal lobe and worked as a control channel
- 333 (**Figure 4b and 4c**). A continuous wave recording system was used with 2 wavelengths of

- source light at 780nm and 850nm and a sampling rate of 10Hz to measure changes in oxy and deoxy-haemoglobin concentration (Gowerlabs NTS fNIRS system).
- 336



337 338

344

Figure 4. Optode locations and sensitivity map (a) Channel reference numbers for Figure 1 – source data 1. (b) Locations of the fNIRS sources, detectors and resulting measurement channels registered to a 39-week anatomical atlas. (c) Normalized fNIRS sensitivity illustrating the spatial coverage provided by the channel arrangement in panel (b). This sensitivity map was calculated using the photon measurement density functions derived from the TOAST++ light transport modelling package.

345 Noxious mechanical stimulation

346 The noxious stimulus was a clinically required heel lance for blood sampling. Blade release

347 was time-locked to the NIRS recording using an accelerometer attached to the lancet

348 (Worley et al., 2012). The lancet was placed against the heel for at least 30s prior to the

release of the blade. This was to obtain a baseline period free from other stimulation. The heel was then squeezed 30s after the release of the blade, again to ensure a post-stimulus

351 period free from other stimuli. All lances were performed by the same trained nurse (MPL-D)

using a disposable lancet, and standard hospital practice was followed at all times.

353 Innocuous mechanical stimulation

354 Innocuous mechanical stimulation was delivered by light touch on the lateral edge of the

- infants' palms and/or heels using a hand-held tendon hammer (ADInstruments). A piezo-
- electric sensor mounted on the hammer head provided a synchronising signal to the NIRS
 recording. A train of up to 15 touches (average = 11.5) was delivered to each limb with a
- recording. A train of up to 15 touches (average = 11.5) was delivered to each limb with a variable inter-stimulus interval of 35 – 60 seconds. If the infant moved in the 30s pre- or
- 359 post-stimulus the trial was removed (heel: average of 1.4 touches were removed in 8/16

360 infants; hand: average of 1.5 touches removed in 11/11 infants). This resulted in an average

of 10.1 heel touches (range = 7 - 13) and 9.3 hand touches (range = 5 - 11) per infant.

362 **Recording infant movements**

363 All infants were prone against their mother's chest. The mother, who was inclined on a

chair or bed, was instructed to avoid moving or stimulating the infant during the 1 minute

365 before and after the release of the lance. Movements were minimized as infants were

366 swaddled (wrapped securely in clothes/blankets) against the mother's chest and the

367 research nurse was holding the exposed foot throughout the period before and after the

368 stimulus.

Table 2. Infant movements. The number of infants who displayed movements or received tactile stimulation from their mother each second in the 30s following lance.									
							al movements		
	Post- lance (s)	Hand	Head	Face	Foot	Arm	Mother touching face	Mother touching head	
	1	1	1	4	0	2	1	1	
	2	1	1	6	1	2	1	1	
	3	1	0	6	1	2	1	1	
	4	1	0	6	0	2	1	1	
	5	1	1	6	0	2	1	1	
	6	1	1	6	0	2	1	0	
	7	0	1	4	0	1	1	0	
	8	0	1	3	0	1	1	0	
	9	0	1	2	0	1	1	0	
	10	0	0	1	0	1	1	0	
	11	0	0	1	0	1	1	0	
	12	0	0	1	0	1	1	0	
	13	0	0	1	0	1	1	0	
	14	0	0	1	0	1	1	0	
	15	0	0	1	0	0	1	0	
	16	0	0	1	0	0	1	0	
	17	0	0	0	0	0	1	0	
	18	0	0	0	0	0	1	0	
	19	0	0	0	0	0	1	0	
	20	0	0	0	0	0	1	0	
	21	0	0	0	0	0	1	0	
	22	0	0	0	0	0	1	0	
	23	0	0	0	0	0	1	0	
	24	0	0	0	0	0	1	0	
	25	0	0	0	0	0	1	0	
	26	0	0	0	0	0	1	0	
	27	0	0	0	0	0	1	0	
	28	0	0	0	0	0	1	0	
	29	0	0	0	0	0	1	0	
	30	0	0	0	0	0	1	0	

Each movement or stimulation was scored as present (1) or not present (0) per infant, and the value in each cell represents the total number of infants (out of 11) for whom each movement or stimulation was observed.

Infant movements, or changing tactile stimulation were recorded on video, which was
synchronised with the NIRS recording using an LED light within the frame that was activated
by the release of the lance (Worley et al., 2012). In case movements were obscured, a
second researcher also recorded movements at the time of the study using a stopwatch.
Movements or tactile stimulation were separated into body parts and coded per second as
either 0 (not present) or 1 (present) for the 30 s post-stimulus. The total number of babies

displaying each type of movement at each second post-lance can be seen in Table 2.

Following the lance, 2 babies did not move, 6 babies made small movements (including:

377 small or brief grimace, head nod, twitch, small hand movement), 4 babies made larger

378 movements (including arms, large or prolonged grimace, nod of head), and 2 babies 379 received tactile stimulation from the mother (including: positioning the head, stroking the

380 face).

381 Data pre-processing

382 All data were pre-processed in Homer2 (Huppert and Boas, 2005). Light intensity data were 383 inspected for poor signal quality (signal intensity < 0.01, SNR < 2) resulting in 9 individual 384 channels across lance trials (4%) being removed from further analysis, 12 across the heel 385 touch trials (4%), and 6 across the hand touch trials (3%). Due to poor signal quality in the 386 majority of trials, the 4 channels crossing over the midline were removed from all trials 387 (Figure 4a and 4b). Data were then converted into optical density, motion artefacts were 388 detected (change in amplitude > 0.7 and/or change in standard deviation > 15 over a 1s time 389 period) and then corrected using Wavelet filtering (Molavi and Dumont, 2012). Instrumental 390 drift and cardiac artefact were removed with a 0.01-0.5 Hz bandpass filter. Optical density 391 changes recorded from all channels (likely related to stimulus dependent systemic

392 physiological changes) were removed using Principal Component Analysis ((Kozberg and

- Hillman, 2016; Tachtsidis and Scholkmann, 2016); 1 component removed). Finally, data were converted into changes in oxy- and deoxy-haemoglobin concentration (Δ [HbO] and
- Δ [Hb]) using the modified Beer–Lambert law (Delpy et al., 1988) with a differential path-
- length factor of 4.39 (Wyatt et al., 1990). The continuous signal was then epoched from -5 to
- 397 30 s around the noxious and somatosensory stimuli. Somatosensory stimuli were averaged
- 398 for each subject.

399 Signal to Noise

400 The signal to noise ratio (SNR) for lance and for touch were calculated. Despite the peak

401 signal for lance being higher, the SNR is lower, because more touch trials were averaged in

402 this study. The ratio of lance to touch SNR (($peak_{lance}/peak_{touch}$)*($sqrt(n_{lance})/sqrt(n_{touch})$ =

403 (0.96/0.30) * (sqrt(11)/sqrt(157)) = 0.85.

404 Channel-wise data analysis

405 Pre-processed data were then averaged across subjects for each condition and analysed

406 using custom MATLAB scripts (Mathworks; version 16b). For each channel, significant

- 407 changes in Δ [HbO] and Δ [Hb] were identified with a two-tailed t-test ($\alpha = 0.01$) comparing
- 408 each time point post-stimulus against the baseline. This baseline distribution was calculated
- 409 as the mean of the individual baselines (-5 0s before stimulus) according to:

$$\frac{1}{S}\sum_{i=1}^{S}x_i \sim N\left(\frac{1}{S}\sum_{i=1}^{S}\mu_i, \frac{1}{S^2}\sum_{i=1}^{S}\sigma_i^2\right).$$

- 410 Where *S* is the number of subjects and $x_i \sim N(\mu_i, \sigma_i^2)$ is the baseline for subject *i*.
- 411 Bonferroni correction was used for multiple comparisons (17 channels x 300 samples = 5100
- 412 comparisons). Only changes (increases or decreases) which were continuously significant
- for at least 1 second (10% of the length of the post-lance period) were retained (Guthrie and
- 414 Buchwald, 1991).

415 Data analysis in image space

416 Image reconstruction

- 417 The channel-wise data was used to create functional images using a cortically constrained
- 418 linear reconstruction approach. The fNIRS array was registered to a 39-week gestational
- 419 age anatomical mesh model with 784391 nodes (Brigadoi et al., 2014) using tools from the
- 420 AtlasViewer package (Aasted et al., 2015). Images were reconstructed using the DOT-HUB
- toolbox (<u>www.github.com/DOT-HUB</u>) and the TOAST++ light transport modelling package
- 422 (Schweiger and Arridge, 2014) (<u>www.github.com/toastpp</u>), with zeroth-order Tikhonov
- 423 regularization with a regularization hyperparameter of 0.1.

424 Assessment of changes in Δ [HbO] and Δ [Hb]

425 We first assessed the significance of the changes in Δ [HbO] and Δ [Hb] elicited by heel and

hand touch and heel lance compared to baseline in image space. To do that, we

427 reconstructed image time-series (i.e. one image reconstructed at each time-point) for each

subject/stimulus. For each node, significant peak reconstructed changes in Δ [HbO] and

429 Δ [Hb] were identified with a two-tailed t-test ($\alpha = 0.01$) comparing the peak time point within

a 5-second window around the peak latency derived from the channel-wise analysis against
 the baseline. As in channel-wise analysis this baseline distribution was calculated as the

432 mean of the individual baselines (-5 – 0s pre-stimulus). Bonferroni correction was used for

433 multiple comparisons (784391 nodes = 784391 comparisons). To display these results we:

434 (1) reconstructed an image using the average channel-wise data within the 5-second

435 window around the peak latency (averaged in time) for hand touch, heel touch and lance

436 and (2) masked this image according to the result of the statistical test above.

437 Comparison of changes in Δ [HbO] and Δ [Hb] between heel lance and touch

Next, we wanted to compare the peak changes in Δ [HbO] and Δ [Hb] between the heel lance and touch conditions in image space. To do this, we calculated the difference in overall area

of activation, and magnitude, latency, location, and spread of the peak change in Δ [HbO] and Δ [Hb], and compared these against a non-parametric null distribution.

442 Area of activation was defined as the cortical surface area with significant Δ [HbO] (or Δ [Hb])

443 changes. Difference in peak location was the Euclidean distance between the peaks. Peak

444 spread was defined as the cortical surface area around the peak where changes in Δ [HbO]

445 (or Δ [Hb]) were at least half of the peak change (full-width half-maximum, FWHM). Cortical

surface areas (area of activation and peak spread) were defined by starting at the node with

the peak change and continually expanding to include neighbouring nodes that were (1)

448 connected by at least 2/3 face edges, and (2) had a significant change from baseline.

449 The non-parametric null distribution was derived by calculating these differences between

450 randomly selected sets of surrogate image time-series (bootstrapping on surrogate data).

451 We here describe how we obtained surrogate image time-series and then how we 452 conducted bootstrapping.

Each individual recording (i.e image time-series) can be considered as the linear sum of a
 signal of interest (i.e. the response to the stimulus) and a stationary random noise
 component. The assumption is that the signal is the same in each recording while the noise

455 component. The assumption is that the signal is the same in each recording while the noise456 changes. Therefore, if we were to conduct another recording on another subject the new

457 data would be the linear sum of the *same* signal that we find in the original data but *different*

458 random noise. Creating surrogate data consists in generating new random noise to add to

the signal estimated from our data. To do this we: (1) estimate the signal by averaging
 across individual recordings (i.e. subjects) in response to the same stimulus modality; (2)

461 isolate the noise in our data by subtracting this estimate from each recording; (3) phase-

462 *randomise* each noise time-series. Phase-randomization is applied independently to each

463 node time-series in the frequency-domain. This means that the phase component of the

464 complex-valued signal is rotated at each frequency by an independent random variable 465 chosen from the uniformly distributed range of 0 and 2π (Theiler et al., 1992). At the end of

this process we have a new set of surrogate noise time-series.

To generate the full non-parametric null distribution against which to compare our data, we
used bootstrapping. To estimate each sample of the null distribution, we calculated the
differences in area of activation and peak amplitude, latency, position and FWHM between

470 two random sets of surrogate data without any systematic difference. To create the random

471 sets, we: (1) pooled together all the newly obtained surrogate noise time-series; (2) added

the grand average (across lance and touch) signal (as we do not want systematic

473 differences between sets to estimate a null distribution); (3) randomly split (with repetition)

474 these surrogate data into two sets. We repeated this 1000 times in order to obtain the full

- 475 non-parametric null distribution (bootstrapping). An experimental difference outside the 95%
- 476 confidence interval was considered significant (p < 0.05).

477 Data sharing

- 478 All raw data files are open access and are available to download from Figshare 479 (https://doi.org/10.6084/m9.figshare.13252388.v2).
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673 Supplements and Source Data

674 Figure 1 – Source Data 1. Significant concentration changes at each channel

675 following innocuous mechanical stimulation (touch) of the heel and the hand and

676 **following heel lance** *Minimum and maximum* Δ [HbO] and Δ [Hb] at every channel and the

677 corresponding latency. The range of Bonferroni-corrected p values across all significant

678 timepoints is provided. \downarrow = significant decrease only, \uparrow significant increase only, \uparrow/\downarrow = both a

679 significant increase and decrease were observed at different latencies. The location of each

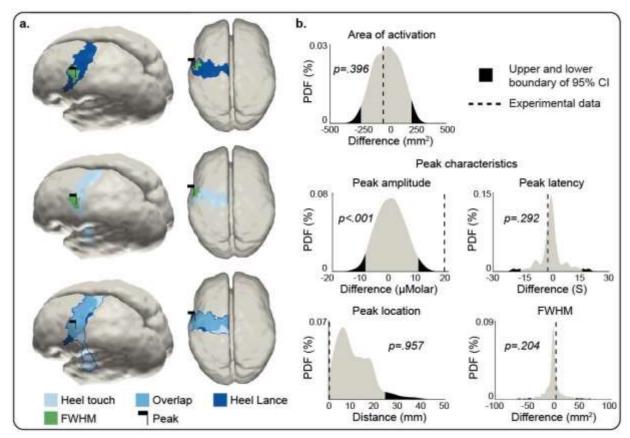
680 channel is shown in Figure 4.

Stimulus	Channel	Max Δ[HbO] μΜ	Min Δ[HbO] μΜ	Direction of sig. Δ	p value	Max Δ[Hb] μΜ	Min Δ[Hb] μΜ	Direction of sig. Δ	p value
	1	0.14 (6.8s)	-0.79 (15.9s)	\downarrow	<.001012	0.05 (0.1s)	-0.38 (7.1s)		ns
Lanaa	2	-0.01 (8.2s)	-0.97 (22.7s)	Ļ	<.001010	0.27 (22.9s)	-0.42 (8.2s)	Ļ	<.001026
Lance	3	0.03 (1.9s)	-0.61 (20.5s)	\downarrow	<.001014	0.03 (23.1s)	-1.03 (9.1s)	\downarrow	<.001012
	4	0.10 (8.9s)	-0.86 (23s)	\downarrow	<.001012	0.09 (22.6s)	-0.67 (8.3s)	\downarrow	<.001011

		0.24	-0.67			0.62	-0.12		
	5	(5s)	(19.9s)	\downarrow	<.001016	(3.1s)	(28.2s)	1	<.001007
	6	0.60 (12s)	-0.05 (2.1s)	1	<.001011	0.03 (18s)	-0.41 (7.3s)	\downarrow	<.001014
	7	0.53 (5.6s)	-0.20 (25.6s)	↑	<.001018	0.00 (0.1s)	-0.99 (8.2s)	\downarrow	<.001016
	8	-0.18 (15.2s)	-0.62 (22.2s)	\downarrow	<.001012	0.39 (27.9s)	-0.47 (10.9s)	↑/↓	<.001021
	9	0.31 (12.8s)	-0.58 (30s)	\downarrow	<.001013	0.68 (4.4s)	-0.13 (18.5s)	ſ	<.001013
	10	0.31 (7.2s)	-0.36 (16.4s)		ns	0.62 (2.9s)	-0.02 (26.3s)	↑	<.001033
	11	0.94 (14.4s)	-0.11 (2.7s)	ſ	<.001018	0.13 (22.9s)	-0.35 (11s)	\downarrow	<.001021
	12	0.62 (8.2s)	-0.25 (29.6s)	ſ	<.001018	0.04 (17.8s)	-0.61 (11.3s)	\downarrow	<.001011
	13	0.96 (14.5s)	-0.45 (28s)	ſ	<.001012	0.01 (30s)	-0.56 (9.7s)	\downarrow	<.001057
	14	0.71 (15.7s)	0.02 (2.6s)	ſ	<.001016	0.00 (30s)	-0.39 (13s)	\downarrow	<.001022
	15	0.46 (17s)	0.10 (0.1s)		ns	0.72 (20.7s)	0.12 (0.9s)	↑	<.001010
	16	0.91 (14.1s)	-0.39 (29.3s)	ſ	<.001010	0.44 (5s)	-0.12 (12.2s)	↑	<.001012
	17	0.52 (12.1s)	-0.19 (24.3s)	↑	<.001011	0.28 (23.6s)	-0.12 (14.2s)	\uparrow	<.001025
	1	0.04 (6.9s)	-0.25 (30s)	\downarrow	<.001018	0.09 (19.1s)	-0.08 (9.5s)	↑/ ↓	<.001022
	2	0.09 (20.2s)	-0.09 (30s)		ns	0.09 (30s)	-0.03 (8.3s)	↑	<.001014
	3	0.07 (2.7s)	-0.12 (25.2s)		ns	0.07 (4s)	-0.2 (11.2s)	\downarrow	<.001014
	4	0.00 (12.6s)	-0.11 (24.1s)	\downarrow	<.001013	0.03 (30s)	-0.13 (11.2s)	\downarrow	<.001012
	5	0.07 (11s)	-0.06 (30s)		ns	0.03 (30s)	-0.04 (13.1s)		ns
	6	0.09 (9.1s)	-0.05 (28.2s)		ns	0.03 (3.1s)	-0.1 (11.2s)	\downarrow	<.001030
Foot touch	7	0.03 (0.9s)	-0.11 (18.5s)		ns	0.01 (5s)	-0.13 (11.4s)	\downarrow	<.001016
	8	0.19 (14.5s)	-0.05 (24.2s)	ſ	<.001010	0.05 (4s)	-0.1 (10s)		ns
	9	0.21 (9.3s)	-0.04 (26.1s)	ſ	<.001011	0.09 (24.5s)	-0.11 (12.4s)		ns
	10	0.04 (10.4s)	-0.10 (28.7s)		ns	0.09 (28s)	-0.06 (12.8s)	↑	<.001013
	11	0.03 (17.4s)	-0.07 (24.7s)		ns	0.11 (24.6s)	-0.07 (11.3s)	↑	<.001018
	12	0.16 (14.4s)	0.02 (5.2s)	ſ	<.001026	0.05 (5.4s)	-0.08 (30s)	↑/ ↓	<.001016
	13	0.09 (13.4s)	-0.06 (5.6s)		ns	0.07 (4.5s)	-0.1 (15.8s)	↑/↓	<.001013

		14	0.27 (14.7s)	0.00 (30s)	1	<.001018	0.10 (4.2s)	-0.1 (27.4s)	↑/↓	<.001055
		15	0.07 (11.8s)	-0.09 (26.8s)		ns	0.02 (4.6s)	-0.16 (11.4s)	\downarrow	<.001011
		16	0.30 (15.8s)	0.03 (0.1s)	1	<.001029	0.10 (15.8s)	-0.05 (29.6s)	↑	<.001014
_		17	0.13 (17s)	-0.13 (30s)	1	<.001012	0.08 (19.7s)	-0.09 (9.4s)	↑/↓	<.001049
		1	0.25 (11.3s)	-0.05 (23.5s)	1	<.001022	0.02 (29.9s)	-0.09 (3.4s)		ns
		2	0.28 (17.3s)	0.02 (1.4s)	ſ	<.001011	0.03 (30s)	-0.14 (9s)	\downarrow	<.001017
		3	0.31 (16.9s)	-0.10 (1.1s)	ſ	<.001035	0.04 (1.2s)	-0.16 (17s)		ns
		4	0.21 (19.1s)	-0.05 (6.4s)	ſ	<.001015	0.02 (7.2s)	-0.21 (17.7s)	\downarrow	<.001013
		5	0.12 (8s)	-0.07 (14.7s)		ns	0.05 (23.1s)	-0.05 (28.3s)		ns
		6	0.19 (21.5s)	-0.07 (1.4s)	ſ	<.001015	0.03 (30s)	-0.14 (13.1s)	\downarrow	<.001012
		7	0.07 (1s)	-0.12 (23s)		ns	0.12 (29.5s)	-0.07 (0.9s)		ns
		8	0.17 (11.4s)	-0.05 (24.1s)		ns	0.03 (24s)	-0.17 (17.6s)	\downarrow	<.001019
	Hand touch	9	0.05 (0.2s)	-0.21 (28.9s)	\downarrow	<.001013	0.13 (24s)	-0.09 (2.6s)		ns
		10	0.06 (15.8s)	-0.15 (7.7s)		ns	0.04 (20.3s)	-0.07 (15s)		ns
		11	0.08 (5.2s)	-0.25 (20.5s)	Ļ	<.001013	0.09 (22.9s)	-0.15 (9.6s)	\downarrow	<.001011
		12	0.09 (3.1s)	-0.15 (23.8s)	\downarrow	<.001011	0.11 (30s)	-0.09 (8.7s)	ſ	<.001011
		13	0.09 (3s)	-0.27 (20.3s)	Ļ	<.001012	0.05 (28s)	-0.12 (9s)	↑/ ↓	<.001013
		14	0.10 (3.2s)	-0.14 (23.3s)		ns	0.01 (22.7s)	-0.12 (6.2s)	\downarrow	<.001010
		15	0.11 (2.4s)	-0.15 (16.7s)	Ļ	<.001010	0.13 (24.7s)	-0.01 (1.3s)	ſ	<.001038
		16	0.07 (1.3s)	-0.09 (8.6s)		ns	-0.02 (5.4s)	-0.08 (16.1s)	\downarrow	<.001015
		17	0.13 (27.2s)	-0.04 (17s)		ns	0.04 (23.8s)	-0.04 (6.3s)		ns

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Figure 3 – figure supplement 1.

Average Δ [Hb] during a heel lance and innocuous mechanical stimulation (touch) of 685 the heel and comparison of the peak change. Left (a): Average Δ [Hb] following a heel 686 687 lance and innocuous mechanical heel stimulation at the peak latency; Dark blue (lance) and 688 pale blue (touch) patches represent the cluster of neighbouring nodes which are significantly 689 larger (HbO) than baseline and mid blue is the area of overlap. Green patches represent the 690 full width half maximum (FWHM) within these clusters. Black flags denote the location of the peak change. Right (b): Null distribution of the differences between amplitude, latency, 691 692 location, and spread of the peak change, obtained with bootstrapping and phase scrambling 693 (1000 iterations). Black shaded areas represent the 2.5 and 97.5 (or 95 for location) 694 percentile of the distribution and black dashed lines represent the values obtained with the 695 experimental data. 696 The equivalent plots for Δ [Hb] are shown in Figure 3 – figure supplement 1 and Figure 3 – 697 source data 1. 698

699 **Figure 3 – Source Data 1**. Comparison of Δ[HbO] and Δ[Hb] between heel lance and heel 700 touch

701 Parameter estimates for the Δ [HbO] and Δ [Hb] following a heel lance and heel touch, and p

- value from statistical comparison. The Euclidean distance between the heel touch and heel
- ⁷⁰³ lance peak locations has been provided rather than x,y,z coordinates of each peak. Linked
- to Figure 3 and Supplementary Figure 1.

		Δ[HbO]			Δ[Hb]	
			р			р
Parameter	Lance	Heel touch	value	Lance	Heel touch	value
Overall spread (mm ²)	396.18	235.11	.088	287.93	329.46	.396
Peak amplitude (µM)	15.23	4.13	.007	22.87	4.34	<.001
Peak latency (s)	14.1	15.8	.356	9.6	11.3	.292

Peak location (mm)	Euclidean	.776	Euclide	.959		
Peak spread (mm ²)	66.63	52.96	.015	87.86	83.1	.204

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