1	Heat-inactivated modified vaccinia virus Ankara boosts Th1-biased cellular and humoral
2	immune responses as a vaccine adjuvant by activating the STING-mediated cytosolic DNA-
3	sensing pathway
4	
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20	Short title: heat-inactivated MVA as a vaccine adjuvant
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22	

### 23 Abstract

24	Background: Protein or peptide-based subunit vaccines are promising platforms for combating
25	human cancers and infectious diseases. However, one primary concern regarding subunit
26	vaccines is the relatively weak immune responses induced by proteins or peptides. Therefore,
27	developing novel and effective vaccine adjuvants is critical for the success of subunit vaccines.
28	Modified vaccinia virus (MVA) is a safe and effective vaccine against smallpox and monkeypox.
29	In this study, we explored the potential of heat-inactivated MVA (heat-iMVA) as a novel vaccine
30	adjuvant.
31	Methods: We co-administered heat-iMVA with a model antigen, chicken ovalbumin (OVA),
32	either intramuscularly or subcutaneously twice, two weeks apart, and analyzed anti-OVA
33	specific CD8 <sup>+</sup> and CD4 <sup>+</sup> T cells in the spleens and skin draining lymph nodes (dLNs) and serum
34	anti-OVA IgG1 and IgG2c antibodies. We also compared the adjuvanticity of heat-iMVA with
35	several known vaccine adjuvants, including complete Freund's adjuvant (CFA) and AddaVax, an
36	MF59-like preclinical grade nano-emulsion. In addition, we tested whether co-administration of
37	heat-iMVA plus tumor neoantigen peptides or irradiated tumor cells improves antitumor efficacy
38	in a B16-F10 therapeutic vaccination model. Using Stimulator of Interferon Genes (STING) or
39	Batf3-deficient mice, we evaluated the contribution of the STING pathway and Batf3-dependent
40	CD103 <sup>+</sup> /CD8α DCs in heat-iMVA-induced immunity.
41	Results: Co-administration of protein- or peptide-based immunogens with heat-iMVA
42	dramatically enhances Th1-biased cellular and humoral immune responses. This adjuvant effect
43	of heat-iMVA is dependent on the STING-mediated cytosolic DNA-sensing pathway, and the
44	antigen-specific CD8 <sup>+</sup> T cell response requires Batf3-dependent CD103 <sup>+</sup> /CD8 $\alpha^+$ dendritic cells
45	(DCs). Heat-iMVA infection of bone marrow-derived DCs (BMDCs) promoted antigen cross-

46 presentation, whereas live N	IVA infection did not. RNA-se	eq analyses revealed that heat-iMVA i
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- 47 a more potent activator of the STING pathway than live MVA. Additionally, combining tumor
- 48 neoantigen peptides or irradiated tumor cells with heat-iMVA delayed tumor growth and
- 49 extended the median survival in B16-F10 therapeutic vaccination models.
- 50 Conclusions: Heat-iMVA induces type I interferon (IFN) production and antigen cross-
- 51 presentation via a STING-dependent mechanism in DCs. Co-administration of heat-iMVA with
- 52 peptide antigen generates strong Th1-biased cellular and humoral immunity. Collectively, our
- 53 results demonstrate that heat-iMVA is a safe and potent vaccine adjuvant.
- 54
- 55 Keywords: Vaccinia virus, poxvirus, vaccine adjuvant, dendritic cell maturation, STING
- 56 (Stimulator of interferon genes), the cytosolic-DNA-sensing pathway, neoantigen, SARS-CoV2

### 57 **BACKGROUND**

58 Discoveries of cancer neoantigens generated by somatic mutations in cancer cells have 59 brought excitement and renewed interest in cancer vaccines <sup>1-5</sup> and personalized neoantigen 60 peptide vaccination has shown promising results in clinical trials <sup>2 6 7</sup>. However, recombinant 61 protein or peptide vaccines usually generate weak immune responses, safe and effective vaccine 62 adjuvants that boost vaccine efficacy are urgently needed.

Licensed vaccine adjuvants include inorganic aluminum salts (alum), the oil-in-water emulsion MF59, monophosphoryl lipid A (MPL) absorbed on aluminum salts (AS04), and the toll-like receptor 9 (TLR9) agonist CpG 1018<sup>8</sup>. In addition to TLR agonists, agents that activate the cytosolic pattern recognition receptors, for example, stimulator of interferon genes (STING) agonists, have also been explored as vaccine adjuvants <sup>9 10</sup>. It has been postulated that vaccine adjuvants that mimic natural infection might elicit potent and durable immune responses via the activation of innate immune-sensing pathways <sup>11</sup>.

Vaccinia virus (VACV) belongs to the poxvirus family, and modified vaccinia virus
Ankara (MVA) is a highly attenuated vaccinia strain, a safe and effective second-generation
smallpox vaccine and a vaccine vector against other infectious agents <sup>12-19</sup>. We have previously
shown that wild-type vaccinia (WT VACV) infection of bone marrow-derived dendritic cells
(BMDCs) fails to induce type I IFN production. By contrast, MVA infection induces IFN
production via the cGAS/STING-mediated cytosolic DNA-sensing pathway <sup>20</sup>.

Vaccinia virus encodes many immunomodulatory genes to evade the host immune
system <sup>21-23</sup>. Inactivation of WT VACV or MVA, by heating MVA at 55°C for 1h, reduces
infectivity by 1000-fold and much more potently induces type I IFN production than live viruses
in conventional DCs (cDCs) or plasmacytoid DCs (pDCs) <sup>24-26</sup>. Based on its safety and immune-

4

80	stimulating features, we hypothesized that heat-inactivated vaccinia or MVA could act as
81	vaccine adjuvant. Here, we show that the heat-inactivated MVA (heat-iMVA) can boost the T
82	and B cell responses of subunit vaccines. Furthermore, co-administration of heat-iMVA with
83	tumor neoantigen peptides delays tumor growth and prolongs mouse survival in a syngeneic
84	B16-F10 melanoma model. In summary, our results provide proof-of-concept for heat-iMVA as
85	a vaccine adjuvant against infectious diseases and cancers.

86

### 87 MATERIALS AND METHODS

### 88 Study design

89 We used intramuscular and subcutaneous vaccinations to compare the adjuvanticity of heat-iMVA 90 with known vaccine adjuvants, CFA and Addavax. Controls groups with PBS mock vaccination 91 were used. OVA was used as a model antigen. In most of the experiments, female C57BL/6J mice 92 were used. The sample size calculation was based on expected immune adjuvant effects of known 93 adjuvants and preliminary results with heat-iMVA as a vaccine adjuvant, variability of the 94 measurements, and a target power of 95%. Randomization was performed to minimize 95 confounders among the treatment and control groups. The researcher who performed the outcome 96 assessment and the data analysis were not aware of the group allocation. Outcome measures 97 include tumor volumes and mice survival.

98

### 99 Mice

100 Female C57BL/6J mice between 6 and 8 weeks of age were purchased from the Jackson

101 Laboratory and were used for vaccination experiments and for the preparation of bone marrow-

102 derived dendritic cells (BMDCs). Batf3<sup>-/-</sup>, STING<sup>Gt/Gt</sup>, and cGAS<sup>-/-</sup> mice were generated in the

103 laboratories of Kenneth Murphy, Russell Vance, and Herbert (Skip) Virgin, respectively. Mice

104	deficient for IFN $\alpha/\beta$ receptor (IFNAR <sup>-/-</sup> ) were provided by Eric Pamer. IFNAR <sup>-/-</sup> OT-1 mice were
105	generated by crossing IFNAR <sup>-/-</sup> mice and OT-1 transgenic mice for several generations. All mice
106	were maintained in the animal facility at the Sloan Kettering Cancer Institute. All procedures
107	were performed in strict accordance with the recommendations in the Guide for the Care and
108	Use of Laboratory Animals of the National Institute of Health. The protocol was approved by the
109	Committee on the Ethics of Animal Experiments of Sloan-Kettering Cancer Institute. We used
110	the ARRIVE reporting guidelines <sup>27</sup>
111	
112	Cell lines and primary Cells
113	BHK-21 (baby hamster kidney cell, ATCC CCL-10) cells were used to propagate the MVA
114	virus. The procedure for the generation of GM-CSF-BMDCs and Flt3L-BMDCs have been
115	described <sup>26</sup> . HEK293T cell line expressing human ACE2 (hACE2) were generated by
116	transduction with vesicular stomatitis virus (VSV) G protein-pseudotyped murine leukemia
117	viruses (MLV) containing pQCXIP-hACE2-c9 as described <sup>28</sup> . The murine melanoma cell line
118	B16-F10 was originally obtained from I. Fidler (MD Anderson Cancer Center). B16-GM-CSF
119	cells were generated by Glenn Dranoff <sup>29</sup> .
120	
121	Viruses
122	MVA virus was kindly provided by Gerd Sutter (University of Munich). Heat-iMVA was
123	generated by incubating purified MVA virus at 55 °C for 1 hour <sup>25</sup> . SARS-CoV-2 pseudoviruses

- 124 were produced using a method as described previously <sup>30</sup>. Briefly, HEK293T cells were co-
- 125 transfected with pQCXIG-SARS-CoV-2-Spike, pMD2.G (VSV-G) and a gag/pol expression

plasmid. At 48 h post-transfection, virus supernatants were harvested and filtered through a 0.	.45-
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- 127  $\mu$ m filter and stored at -80 °C.
- 128

129	Reagents
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- 130 EndoFit Ovalbumin, CFA, AddaVax, and poly(I:C) were purchased from InvivoGen. The
- 131 SARS-CoV-2 spike protein was purchased from RayBiotech. Alexa FluorTM 647 conjugated
- 132 OVA was purchased from Thermo Fisher. B16-F10 tumor neoantigen peptides were synthesized
- 133 by GenScript (Piscataway, NJ). The sequences are as follows: M27:

134 REGVELCPGNKYEMRRHGTTHSLVIHD; M30: PSKPSFQEFVDWENVSPELNSTDQPFL;

135 M48: SHCHWNDLAVIPAGVVHNWDFEPRKVS using the mutation information described <sup>4</sup>.

136

### 137 **OVA vaccination procedure**

- 138 WT C57BL/6J mice were anesthetized and vaccinated initially on day 0 and boosted on day 14
- 139 with either OVA (10  $\mu$ g) alone, or OVA (10  $\mu$ g) + heat-iMVA (an equivalent of 10<sup>7</sup> pfu) in a
- 140 volume 100 μl intramuscularly (IM) or subcutaneously (SC). Mice were euthanized on day 21.

141 Spleens, draining lymph nodes (dLNs), and blood were collected for analyzing OVA-specific

- 142 CD8<sup>+</sup>, CD4<sup>+</sup>, and B cell responses. In some cases, OVA proteins were mixed with CFA or
- 143 AddaVax. In some cases, STING<sup>Gt/Gt</sup>, Batf3<sup>-/-</sup>, and age-matched WT C57BL/6J mice were
- 144 vaccinated with OVA + heat-iMVA as described above.

145

### 146 SARS-CoV-2 spike protein vaccination procedure

147 4-5 mice in each group were anesthetized and vaccinated with SARS-CoV-2 recombinant spike

148 protein  $(1 \mu g)$  alone or with spike  $(1 \mu g)$  + heat-iMVA in a volume 100  $\mu$ l intramuscularly on

149	day 0 and boosted on da	y 21. Mice were euthanized on d	lay 28. Spike-specific immunoglobulin

- 150 G1 (IgG1) or immunoglobulin G2c (IgG2c) titers in the serum from PBS, spike alone, or spike +
- 151 heat-iMVA-vaccinated mice were determined by ELISA.
- 152

### 153 Therapeutic vaccination using neoantigen peptides with or without adjuvants

- 154 B16-F10 melanoma cells (5 x  $10^4$ ) were implanted intradermally into the shaved skin on the right
- 155 flank of WT C57BL/6J mice. On day 3, 6, and 9, 4 groups of mice (10 mice in each group) were
- subcutaneously vaccinated at the left flanks with B16-F10 neoantigen peptide mix (M27, M30
- and M48) (100  $\mu$ g each)<sup>4</sup>, with or without heat-iMVA or poly(I:C) (50  $\mu$ g), or with PBS mock
- 158 control. Mice were monitored daily, and tumor sizes were measured twice a week. Tumor
- 159 volumes were calculated according to the following formula: l (length) x w (width) x h
- 160 (height)/2. Mice were euthanized for signs of distress or when the diameter of the tumor reached
- 161 10 mm.
- 162

# 163 Therapeutic vaccination using irradiated B16-GM-CSF whole-cell with and without

- 164 adjuvants
- 165 B16-F10 melanoma cells (5 x  $10^4$ ) were implanted intradermally into the shaved skin on the right
- 166 flank of WT C57BL/6J mice. On day 3, 6, and 9, four groups of mice (10 mice in each group)
- 167 were subcutaneously vaccinated at the left flanks with irradiated B16-GM-CSF (1 x 10<sup>6</sup> cells
- 168 after 150 Gy  $\gamma$ -irradiation) with or without heat-iMVA or poly(I:C), or with PBS mock control.
- 169 Mice were monitored daily, and tumor sizes were measured twice a week.
- 170
- 171 Flow cytometry analysis of antigen-specific T cells in the spleens and dLNs.

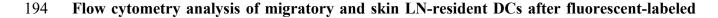
172	Spleens and dLNs from	vaccinated mice was	s collected and	processed using Miltenyi

- 173 GentleMACS<sup>TM</sup> Dissociator. Red blood cells were lysed using ACK buffer. For intracellular
- 174 cytokine staining, splenic or lymph node single-cell suspensions were stimulated with 10 µg/ml
- 175 peptides (OVA<sub>257-264</sub> or OVA<sub>323-339</sub>). After 1 h of stimulation, GolgiPlug (BD Biosciences)
- 176 (1:1000 dilution) was added and incubated for 12 h. Cells were then treated with BD
- 177 Cytofix/Cytoperm<sup>TM</sup> kit prior to staining with respective antibodies for flow cytometry analyses.
- 178 The antibodies used for this assay are as follows: BioLegend: CD3e (145-2C11), CD4 (GK1.5),
- 179 CD8 (53-5.8), IFN-γ (XMG1.2).
- 180

### 181 Antibodies titer determination by ELISA

182 ELISA was used to determine anti-OVA or anti-SARS-CoV-2 spike IgG titers. Briefly, 96-well 183 microtiter plates (Thermo Fisher) were coated with 2.0 µg/mL of OVA (Invivogen) or SARS-184 CoV-2 spike protein (RayBiotech) overnight at 4°C. Plates were washed with 0.05% Tween-20 in 185 PBS (PBST) and blocked with 1% BSA/PBS-T. Mouse serum samples were two-fold serially 186 diluted in PBST, added to the blocked plates, and incubated at 37°C for 1 h. Following incubation, 187 plates were washed with PBS-T and incubated with horseradish peroxidase (HRP)-conjugated goat 188 anti-mouse IgG1 or goat anti-mouse IgG2c (Southern Biotech) for 1 h. Plates were washed with 189 PBS-T and TMB substrate (BD Bioscience) was added. Reactions were stopped with 50 µl 2N 190 H<sub>2</sub>SO4. Plates were read at OD 450 nm with a SpectraMax Plus plate reader (Molecular Devices). 191 The antibody titer is defined as the dilution in which absorbance is more than 2.1 times of the blank 192 wells.

193



### 195 OVA-647 vaccination with or without heat-iMVA

- 196 C57BL/6J mice were vaccinated intradermally with either Alexa Fluor 647-labeled OVA (OVA-
- 197 647) alone or OVA-647 + heat-iMVA. Skin dLNs were harvested at 24 h post injection, digested
- 198 with collagenase D (2.5 mg/ml) and DNase (50  $\mu$ g/ml) at 37°C for 25 min before filtering
- 199 through 70-µm cell strainer, and analyzed by flow cytometry for OVA-647 intensities and CD86
- 200 expression of the migratory DC and resident DC populations in the skin dLNs. The antigens and
- 201 clone designations for the antibodies are as follows: BioLegend: CD11c (N418). CD11b
- 202 (M1/70), MHC-II (M5/114.15.2), CD3e (145-2C11), CD8a (53-6.7); BD Biosciences: Siglec F
- 203 (E50-2440), CD19 (1D3), CD49b (DX5), CD207 (81E2), Thermo Fisher: CD16/CD32 (93),
- 204 CD103 (2E7), TER-119 (TER-119). Cells were analyzed on the BD LSR Fortessa or LSR II
- 205 flow cytometer and data were analyzed with FlowJo software (version 10.5.3).
- 206

### 207 RNA-seq analyses of GM-CSF-cultured BMDCs infected with live MVA vs. Heat-iMVA

208 GM-CSF-cultured BMDCs (1 x 10<sup>6</sup>) from WT or STING<sup>Gt/Gt</sup> mice were infected with live MVA

209 or heat-iMVA at a multiplicity of infection (MOI) of 10. Cells were collected at 2, 4, and 6 h

- 210 post-infection. Total RNA was extracted using TRIzol (Thermo Fisher). Agilent 2100
- 211 Bioanalyzer at the Rockefeller University Genomics Resource Center was used to assess total
- 212 RNA integrity and quantity. Samples with the RNA integrity number (RIN) > 9.5 were used.
- 213 Oligo(dT)-selected RNA was converted into cDNA for RNA sequencing using the Illumina
- 214 TruSeq RNA Sample Preparation Kit v2 according to the instructions of the manufacturer and
- sequenced on an Illumina HiSeq 2500 platform using 100 nt single-end sequencing. Reads were
- aligned against the mouse genome (Gencode, GRCm38) plus vaccinia genome (VACV-MVA)
- 217 using TopHat v2.0.14 (<u>http://tophat.cbcb.umd.edu/</u>). The steps described followed the protocol

218	by Trapnell and colleagues <sup>31</sup> . Cufflinks v2.1.1 ( <u>http://cole-trapnell-lab.github.io/cufflinks/</u> ) was
219	used for estimation of transcript abundance and differential expression analysis. Unsupervised
220	hierarchical clustering was performed using Euclidean distance and complete linkage for
221	columns (samples) and rows (mRNAs). For clarity, the row dendrograms were removed from the
222	figures. The R packages pheatmap was used for data representation. Gene set enrichment
223	analysis (GSEA) was conducted using the package fgsea with 1000 permutations, with reactome
224	and MSigDB C7 signature sets.
225	
226	Antigen cross-presentation assay
227	GM-CSF-cultured or Flt3L-cultured BMDCs were infected or mock-infected with heat-iMVA at
228	a MOI of 1 and then added OVA at indicated concentrations and incubated for 3 h. Cells were
229	washed with fresh medium and co-cultured with carboxyfluorescein diacetate succinimidyl ester
230	(CFSE)-labeled OT-1 for 3 days (BMDC:OT-1 T-cells =1:5). Flow cytometry was applied to
231	measure CFSE intensities of OT-I cells.
232	
233	WT or STING-deficient GM-CSF-cultured BMDCs were incubated with OVA in the presence or
234	absence of either live MVA or heat-iMVA for 3 h. Cells were washed with fresh medium and co-
235	cultured with OT-1 cells (BMDC to OT-1 T-cells ratio of 1:3) for 3 days. IFN- $\gamma$ levels in the
236	supernatants were determined by ELISA (R&D). OT-1 cells were purified from OT-1 transgenic
237	mice using negative selection with CD8a <sup>+</sup> T Cell Isolation Kit according to the manufacturer's
238	instructions (Miltenyi Biotec).
239	

### 240 SARS-CoV-2 pseudovirus neutralization assay

241	Serially diluted	serum was pro	e-incubated v	with SARS-CoV-2	pseudovirus at room	temperature
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- 242 (RT) for 30 mins, and the mixtures were added to 293T-hACE2. Medium was changed 2 h later.
- After 48 h, cells were fixed in 4% paraformaldehyde in PBS for 15 min at RT. Cell nuclei were
- stained with Hoechst 33258 (Sigma) in PBS for 10 min at RT. Images were captured using Zeiss
- 245 Axio Observer 7 (Carl Zeiss) and analyzed with ZEN Imaging software (Carl Zeiss) and Image J
- 246 (Fiji). GFP expression in pseudovirus-infected cells were determined using the BD LSR Fortessa
- flow cytometer and data were analyzed using FlowJo software (version 10.5.3).
- 248

### 249 Statistics

- 250 Two-tailed, unpaired, Student's *t* test was used for comparisons of two independent groups in the
- studies. Survival data were analyzed by log-rank (Mantel-Cox) test. The *P* values deemed
- significant are indicated in the figures as follows: \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001; \*\*P < 0.001; \*P < 0.001; \*
- 253 0.0001. Statistical analyses were performed on the Prism GraphPad Software. The numbers of
- animals included in the study are discussed in each figure legend.
- 255

### 256 **RESULTS**

### 257 Co-administration of chicken ovalbumin (OVA) with heat-iMVA enhances the generation of

### 258 OVA-specific cellular and humoral immune responses in mice

- 259 We prime-immunized mice intramuscularly (IM) with the model antigen OVA with or without
- 260 heat-iMVA, followed by a boost-immunization two weeks later, and euthanized them one week
- 261 after the boost vaccination. IM co-administration of heat-iMVA with OVA increased splenic
- 262 anti-OVA IFN-  $\gamma^+$ CD8<sup>+</sup> and CD4<sup>+</sup> T-cells compared with OVA alone (figure 1A-D). We also
- 263 observed a stronger induction of anti-OVA IFN-  $\gamma^+$ CD8<sup>+</sup> and CD4<sup>+</sup> T-cells compared with OVA
- alone in the skin draining lymph nodes (dLNs) (Supplemental figure. 1A-D). In addition, the
- 265 combination of heat-iMVA plus OVA induced stronger IgG1 production than OVA alone (figure
- 1E). IgG2c antibody titers were upregulated by 25-fold in the OVA plus heat-iMVA group than
- 267 OVA alone (figure 1F), suggesting that prime-boost vaccination with the combined heat-
- 268 iMVA/OVA induced stronger Th1 immune responses.

## 269 Heat-iMVA promotes more robust Th1 responses and IgG2c production compared with

### 270 complete Freund adjuvant (CFA) and AddaVax

- 271 Next, we compared the adjuvanticity of heat-iMVA with other well-known vaccine adjuvants.
- 272 CFA comprises heat-killed *Mycobacterium tuberculosis* in non-metabolizable oils and also
- 273 contains ligands for TLR2, 4, and 9. Injection of antigen with CFA induces a Th1-dominant
- immune response <sup>32</sup>. Although CFA's use in humans is currently impermissible due to its
- toxicity profile, it is commonly used in animal studies because of its strong adjuvant effects.
- 276 Subcutaneous co-administration of OVA with heat-iMVA induced higher levels of antigen-
- 277 specific CD8<sup>+</sup> and CD4<sup>+</sup> T-cells than OVA plus CFA in the spleens of vaccinated mice (figure
- 278 2A and 2C). Similarly, co-administration of OVA with heat-iMVA induced stronger OVA-

279 specific $CD8^+$ and $CD4^+$ T cell responses in skin dLNs compared with OV
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280 (Supplemental figure. 2A and 2B). Serum IgG1 titers from OVA + CFA-immunized mice were

281 6-fold higher than those in the serum from OVA + heat-iMVA-immunized mice (Fig 2C),

282 whereas serum IgG2c titers from OVA + CFA-immunized mice were 10-fold lower than those in

283 the serum of OVA + heat-iMVA-immunized mice (Fig 2D). These results indicate that co-

administration of OVA plus Heat-iMVA promotes stronger Th1-biased humoral immunity than

OVA plus CFA.

286 MF59, a squalene-based oil-in-water vaccine adjuvant in the inactivated influenza vaccine Fluad,

is also the adjuvant in subunit vaccines against SARS-CoV-2<sup>33</sup>. AddaVax is an MF59-like

288 preclinical grade nano-emulsion that induces both Th1-cellular immune responses and Th2-

289 biased humoral responses <sup>34</sup>. Intramuscular (IM) vaccination with OVA plus heat-iMVA induced

290 CD8<sup>+</sup> T cell responses similar to OVA plus AddaVax, however, the former combination

291 promoted higher CD4<sup>+</sup> T cell responses than the latter (figure 2A and 2B). IM vaccination of

292 OVA plus heat-iMVA induced 7-fold higher OVA-specific IgG2c titers (figure 2C) and 7-fold

293 lower OVA-specific IgG1 than OVA plus AddaVax (figure 2D), suggesting that co-

administration of the antigen plus heat-iMVA more potently induces antigen-specific Th1-biased

295 cellular and humoral immune responses compared with combining the antigen with AddaVax.

296 Overall, SC or IM co-administration of OVA with heat-iMVA generated similar cellular and

297 humoral immune responses to OVA (figure 2A-D; Supplemental figure. 2A and 2B).

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The role of CD103<sup>+</sup>/CD8α<sup>+</sup> DCs and the STING pathway on heat-iMVA-induced vaccine
adjuvant effects
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301	BATF3 is a transcription factor critical for the development of CD103 <sup>+</sup> /CD8 $\alpha^+$ lineage DCs,
302	which plays an essential role in cross-presenting viral and tumor antigens <sup>35</sup> . Our results showed
303	that the percentage of anti-OVA IFN- $\gamma^+$ T-cells among splenic CD8 <sup>+</sup> T-cells induced by heat-
304	iMVA was reduced in Batf3-/- mice (figure 3A), whereas the generation of splenic anti-OVA
305	IFN- $\gamma^+$ CD4 <sup>+</sup> T-cells seemed unaffected (figure 3B), with minimal effects on the IgG1 and IgG2c
306	production (figure 3C and 3D). These results support a role for Batf3-dependent CD103 <sup>+</sup> /CD8 $\alpha^+$
307	DCs in cross-presenting OVA antigen to generate OVA-specific splenic CD8 <sup>+</sup> T-cells in our
308	vaccination model. STING agonist cGAMP can be used as a vaccine adjuvant <sup>36</sup> . Here, we
309	observed that the percentage of splenic anti-OVA IFN- $\gamma^+$ CD8 <sup>+</sup> and CD4 <sup>+</sup> T-cells induced by
310	heat-iMVA decreased in STING <sup>Gt/Gt</sup> mice (figure 3A and 3B). Moreover, serum IgG2c titers
311	were reduced by 10-fold in the STING <sup><math>Gt/Gt</math></sup> mice vaccinated with OVA + heat-iMVA compared
312	with immunized WT mice (figure 3D), while serum IgG1 titers did not significantly differ
313	between the two groups (figure 3C). These results demonstrate that the cGAS/STING-mediated
314	cytosolic DNA-sensing pathway plays a critical role in the vaccine adjuvant effects of heat-
315	iMVA.
316	

### 317 Co-incubation of BMDCs with heat-iMVA and soluble OVA enhances antigen cross-

### 318 presentation and proliferation of OT-I T-cells in vitro

319 Pre-incubation of GM-CSF-cultured BMDCs with heat-iMVA followed by pulsing with OVA 320 enhanced the capacity of BMDCs to stimulate the proliferation of OT-I T-cells at all OVA 321 concentrations, as indicated by CSFE dilution in the dividing cells (figure. 4A and 4B). Heat-322 iMVA potently stimulated Flt3L-BMDCs' abilities to cross-present OVA and promote the

323	proliferation of OT-I cells, even at an OVA concentration of 0.01 mg/ml (figure. 4C and 4D). In
324	addition, GM-CSF-cultured BMDCs pre-infected with heat-iMVA were more potent in cross-
325	presenting OVA antigen and stimulating IFN- $\gamma$ secretion from proliferated and activated OT-I
326	cells than BMDCs pre-infected with live MVA (figure 4E). IFN-y levels were much lower in
327	STING-deficient DCs than in WT DCs pretreated with heat-IMVA plus OVA and co-cultured
328	with OT-I cells (figure 4E). Similar reduction of IFN- $\gamma$ secretion by OT-1 cells were obtained in
329	cGAS-deficient GM-CSF-cultured BMDCs compared with WT DCs (figure 4G). To test whether
330	the STING/IFNAR pathway is important for heat-iMVA-induced antigen cross-presentation in
331	CD103 <sup>+</sup> DCs, we sorted CD103 <sup>+</sup> DCs from Flt3L-cultured BMDCs from WT, STING <sup>Gt/Gt</sup> , or
332	IFNAR <sup>-/-</sup> mice, and preformed antigen cross-presentation assay. IFN- $\gamma$ levels were much lower in
333	heat-iMVA-infected STING-deficient or IFNAR <sup>-/-</sup> CD103 <sup>+</sup> DCs than WT CD103 <sup>+</sup> DCs (figure
334	4F). These results indicate that the STING/IFNAR pathway plays a vital role in heat-iMVA-
335	induced, CD103 <sup>+</sup> DCs-mediated antigen cross-presentation and antigen-specific T cell
336	proliferation and activation. Finally, we tested whether IFNAR signaling on OT-I cells plays a
337	role in T cell activation and we observed that IFNAR <sup>-/-</sup> OT-1 cells secreted lower amount of
338	IFN- $\gamma$ when stimulated with OVA-pulsed heat-iMVA-treated GM-CSF-BMDCs (figure 4G).
339	
340	Heat-iMVA infection of BMDCs induces STING-dependent IFN and inflammatory
341	cytokine responses
342	We performed RNA-seq analyses of BMDCs from WT and STING <sup>Gt/Gt</sup> mice infected with either
343	live MVA or heat-iMVA for 2, 4, and 6 h. Our results showed several patterns of gene
344	expression induced by MVA and heat-iMVA: (i) Infection with live MVA, but not heat-iMVA,

345 induced a subset of host genes in a STING-independent manner, thus indicating gene induction

346	by a live virus infection (figure 5A, marked as a1-2); (ii) Heat-iMVA induced higher levels of a
347	large subset of IFN-regulated genes than live MVA, which were mainly dependent on STING
348	(figure 5A, marked as b1-3); and (iii) Heat-iMVA infection induced higher levels of a relatively
349	small subset of genes than MVA, which were independent of STING (figure 5A, marked as c).
350	Selected examples of genes in each category are shown in figure 5B. For example, heat-iMVA
351	infection triggered higher levels of IFN-inducible genes than MVA, including Ifih1 (MDA5),
352	Ddx58 (RIG-1), Oasl2, Oas3, TLR3, Nod1, Ifna4, Ifnb1, Ccl5, Cxcl9, Cxcl10, and members of
353	the guanylate binding protein (Gbp) family, as largely dependent on STING (figure 5B, marked
354	as b). These results indicate that the activation of the cytosolic DNA-sensing pathway by heat-
355	iMVA also triggers the up-regulation of genes involved in the cytosolic RNA-sensing pathway in
356	addition to other antiviral genes, thereby strengthening a broad range of innate immunity.
357	
358	MVA infection of BMDCs resulted in the temporal expression of viral RNAs, as shown by the
359	unbiased hierarchical cluster analysis (figure 5C), consistent with published results of RNAseq
360	of WT VAC-infected HeLa cells <sup>37</sup> . By contrast, heat-iMVA infection of cDCs did not result in
361	significant levels of viral transcripts detected by the RNA-seq method (data not shown). Gene
362	Set Enrichment Analyses (GSEA) confirmed that heat-iMVA-induced IFN- $\alpha$ , IFN- $\gamma$ ,
363	inflammatory responses, and IL-6/JAK/STAT3 signaling in WT BMDCs but not in STING-
364	deficient DCs (figure 5D). STING-dependent induction of Ifna4, Ifnb1, Cd40, and Irf7 in heat-
365	iMVA in BMDCs are shown in figure 5E. Together, RNA-seq analyses showed that heat-iMVA
366	is more immune-stimulatory likely due to the lack of expression of viral inhibitory genes, and
367	heat-iMVA-induction of type I IFN and IFN stimulated genes (ISGs) is largely dependent on
368	STING.

369

# 370 Heat-iMVA promotes migratory DC trafficking and maturation of resident DCs in the skin 371 dLNs

372 The DC lineage is heterogeneous and composed of migratory and resident DCs <sup>38</sup>. Migratory 373 DCs capture antigens in the peripheral tissue and then mature, followed by migration to the 374 draining lymph nodes, where they present antigens to naïve T cells. They can also transfer 375 antigens to resident DCs <sup>39 40</sup>. We analyzed various DC populations in skin dLNs after 376 vaccination using a similar gating strategy as reported <sup>41</sup>. First, we were able to confirm six 377 distinct DC populations in the skin dLNs: (i) MHC-II<sup>+</sup>CD11c<sup>+</sup> migratory DCs and MHC-378 II<sup>Int</sup>CD11c<sup>+</sup> resident DCs; (ii) migratory DCs further separated into CD11b<sup>+</sup> DCs, Langerin<sup>-</sup> 379 CD11b<sup>-</sup> DCs, and Langerin<sup>+</sup> DCs (CD103<sup>+</sup> DCs and Langerhans cells); and (iii) resident DCs 380 composed of  $CD8\alpha^+$  lymphoid-resident DCs and  $CD8\alpha^-$  lymphoid-resident DCs (Supplemental 381 figure 3). Second, we tested which DCs subsets efficiently phagocytosing OVA antigen labeled 382 with an Alexa Fluor 647 dye (OVA-647) and have the capacity to migrate to the skin dLNs. We 383 intradermally injected OVA-647 into the right flanks of mice and harvested the skin dLNs at 24 384 h post-injection. Consistent with a previous report showing that migratory DCs are responsible 385 for transferring antigens to skin draining LN<sup>42</sup>, we observed that OVA-647 was mostly found in 386 the three types of migratory DCs, including CD11b<sup>+</sup>, CD103<sup>+</sup>, and CD11b<sup>-</sup>CD103<sup>-</sup> DCs, but 387 rarely detected in resident DCs (figure 6A and 6C). Co-administration of OVA-647 with heat-388 iMVA increased the percentage of OVA-647<sup>+</sup> CD11b<sup>+</sup>, CD103<sup>+</sup>, CD11b<sup>-</sup>CD103<sup>-</sup>, and CD8 $\alpha^+$ 389 DCs, compared with injection of OVA-647 alone (figure 6C and 6E). These results suggest that 390 co-administration of OVA-647 with heat-iMVA enhances the capacity of migratory DCs to 391 transport phagocytosed antigen to the skin dLNs and facilitates the antigen transfer from

### 392 migratory DCs to CD8 $\alpha^+$ DCs, a lymphoid-resident DC population critical for antigen cross-

- 393 presentation. Migratory DCs expressed higher levels of CD86 maturation marker than resident
- 394 DCs (figure 6B and 6D). Intradermal vaccination with OVA plus heat-iMVA induced higher
- levels of CD86 on resident DCs (CD8<sup>+</sup> DC or CD8<sup>-</sup> DC) than with OVA alone (figure 6D and
- 396 **6**F). By contrast, heat-iMVA co-administration did not change the maturation status of migratory
- 397 DCs entering skin draining LNs (figure 6D and 6F). Our results indicate that intradermal co-
- 398 administration of heat-iMVA with OVA antigen promotes antigen-carrying migratory DCs
- trafficking into the skin draining LN and transferring of antigens from migratory DCs to  $CD8\alpha^+$
- 400 DCs and induce resident DC maturation.

### 401 Co-administration of tumor neoantigen peptides with heat-iMVA improves antitumor

### 402 effects in a murine therapeutic vaccination model

403 Here, we tested whether therapeutic vaccination with neoantigen peptides plus heat-iMVA would 404 delay tumor growth in a murine B16-F10 melanoma model. Three days after B16-F10 cells were 405 implanted, we subcutaneously co-administered melanoma neoantigen peptides (M27, M30, and 406 M48) plus heat-iMVA twice, four days apart, and monitored tumor growth and mouse survival 407 (figure 7A). Neoantigen peptides alone only minimally delayed tumor growth (figure 7B-7D). 408 However, co-administration of neoantigen peptides with heat-iMVA cured B16-F10 melanoma 409 in 30% of treated mice and prolonged the median survival (figure 7B, 7D, and 7E). Likewise, co-410 administration of poly(I:C) (50 µg) with neoantigen peptides also improved therapeutic vaccine 411 efficacy with potency similar to heat-iMVA (figure 7B, 7D, and 7F). We did, however, observe 412 side effects, including weight loss in the poly(I:C) group (but not with heat-iMVA). These results

- 413 indicate that heat-iMVA could be a safe and potent vaccine adjuvant that eradicates or delays
- 414 tumor growth in a murine therapeutic vaccination model.
- 415

### 416 Heat-iMVA is a potent immune adjuvant for irradiated whole-cell vaccines

- 417 Use of irradiated whole-cell vaccines bypass the need to identify tumor-associated antigens or
- 418 neoantigens and allows presentation of multiple tumor antigens for recognition by the host immune
- 419 system. Here, mice were intradermally implanted with B16-F10 melanoma cells. After three days,
- 420 mice were vaccinated subcutaneously with either irradiated B16-GM-CSF cells alone, irradiated
- 421 B16-GM-CSF + heat-iMVA, or irradiated B16-GM-CSF + poly(I:C) on the contralateral flanks
- 422 twice, four days apart (Supplemental figure 4A). Vaccination with irradiated B16-GM-CSF +
- 423 heat-iMVA cured 30% of tumor-bearing mice and extended the median survival, which was more
- 424 efficacious than using poly(I:C) as a vaccine adjuvant (Supplemental figure 4B and 4C). These
- 425 results indicate that heat-iMVA is a potent vaccine adjuvant for irradiated whole-cell vaccination.
- 426

### 427 Co-administration of heat-iMVA with SARS-CoV2 spike protein promotes robust

428 neutralizing antibody production

429 Here, we tested whether co-administration of recombinant spike protein with heat-iMVA

- 430 generates anti-spike neutralizing antibodies. Our results showed that vaccination with spike
- 431 protein alone slightly induced anti-spike IgG1 and IgG2c antibodies (Supplemental figure 5A
- 432 and 5B), while co-administration of spike protein + heat-iMVA increased IgG1 levels by 20-fold
- 433 and IgG2c levels by 250-fold compared with vaccination with spike protein alone (Supplemental
- 434 figure 5A and 5B). To investigate whether vaccination-induced antibodies could block SARS-
- 435 CoV-2 infection, we performed a neutralization assay using a SARS-CoV-2 pseudovirus.

436	Without any pretreatment, the SARS-CoV-2 pseudovirus carrying the gene encoding GFP
437	efficiently infected human ACE2-expressing HEK293T cells, as shown by the GFP <sup>+</sup> cells
438	(Supplemental figure 5C). Pretreatment with serum from the spike + heat-iMVA group at 1:100
439	dilution efficiently blocked SARS-CoV-2 pseudovirus infection, whereas pretreatment with
440	serum from the spike alone group only weakly reduced pseudovirus infection (Supplemental
441	figure 5C). Flow cytometry analysis of GFP <sup>+</sup> cells confirmed our observation (Supplemental
442	figure 5D). Serum neutralizing antibody titers in the two vaccination groups and a PBS-mock
443	vaccination group was determined (Supplemental figure 5D and 5E). ID50 (50% inhibitory
444	dose) was defined as the reciprocal of the serum dilution that caused a 50% reduction of GFP <sup>+</sup>
445	cells compared with mock-treated samples. The serum neutralizing antibody titers (ID50) from
446	spike + heat-iMVA group were 10-fold higher than those from the spike alone group
447	(Supplemental figure 5F). Overall, our results indicate that heat-iMVA boosts the production of
448	neutralizing antibodies when combined with the recombinant spike protein from SARS-CoV-2.

### 449 **DISCUSSION**

450 In this study, we explored the use of heat-iMVA as a vaccine adjuvant for protein- or 451 peptide-based subunit vaccines against cancers and infectious agents. MVA is an approved 452 vaccine against smallpox, and a potential vaccine vector with an excellent safety profile. 453 However, MVA expresses many immune-suppressive genes. Heat-iMVA preserves the ability to 454 enter DCs but fails to express viral genes, thus inducing much higher levels of type I IFN and 455 ISGs than live MVA. Here, we demonstrated that co-administration of heat-iMVA with soluble 456 proteins or peptides generates Th1-biased cellular and humoral immune responses superior to 457 known adjuvants, including CFA and AddaVax. In a murine therapeutic vaccination model, co-458 delivery of heat-iMVA with three B16-F10 neoantigen peptides delayed tumor growth and cured 459 30% of tumor-bearing mice, with similar efficacy as poly(I:C), but with less toxicity. Taken 460 together, our results support the use of heat-iMVA as a vaccine adjuvant.

461

462 DCs are essential for priming naïve T cells to generate adaptive immune responses, and therefore are the primary targets of vaccine adjuvants <sup>11 43-46</sup>. RNA-seq analyses of host 463 464 transcriptomes of DCs infected with either live MVA or heat-iMVA revealed heat-iMVA as a 465 more potent STING agonist than live MVA, inducing large subsets of genes involved in type I 466 and type II IFN and inflammatory responses. We previously showed that heat-iMVA infection of BMDCs induced DC maturation in a STING-dependent manner<sup>25</sup>. Consistent with this, we now 467 468 find that heat-iMVA infection of BMDCs promotes antigen cross-presentation, which requires 469 STING. Barnowski et al. showed that the STING pathway contributes to the generation of 470 vaccinia immunodominant B8-specific CD8<sup>+</sup> T cell, but not to anti-OVA CD8<sup>+</sup> T cell responses, after intraperitoneal vaccination with MVA expressing OVA <sup>47</sup>. Together, these results show that 471

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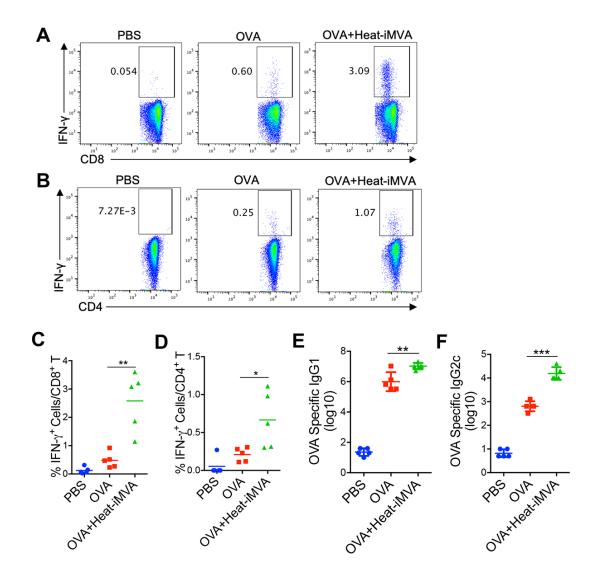
the STING pathway is involved in poxvirus-induced antiviral adaptive immunity as well as thepoxvirus-mediated adjuvant effect.

474	Using Batf3 <sup>-/-</sup> mice, we also demonstrated that heat-iMVA-boosted antigen-specific
475	CD8 <sup>+</sup> T cell responses are dependent on cDC1s, also known as CD103 <sup>+</sup> /CD8 $\alpha^+$ DCs. However,
476	heat-iMVA-boosted antigen-specific CD4 <sup>+</sup> T cell responses were not lost in Batf3 <sup>-/-</sup> mice,
477	suggesting that cDC2s, also known as CD11b <sup>+</sup> DCs, might be responsible for antigen
478	presentation via MHC-II. Kastenmüller et al. investigated the role of Batf3-dependent
479	CD103 <sup>+</sup> /CD8 $\alpha$ DCs in protein-TLR7/8 agonist conjugate and they found that CD8 <sup>+</sup> T cell
480	responses were significant reduced in Batf3 KO mice, whereas CD4 <sup>+</sup> T cell responses were not
481	affected <sup>48</sup> . Interestingly, heat-iMVA-boosted antigen-specific antibody responses were not
482	affected in Batf3 <sup>-/-</sup> mice. This finding is consistent with a recent report that migratory CD11b <sup>+</sup>
483	DCs (cDC2s) are required for priming T follicular helper (Tfh) cells, a subset of CD4 <sup>+</sup> T cells,
484	for antigen-specific antibody production <sup>49</sup> .
485	

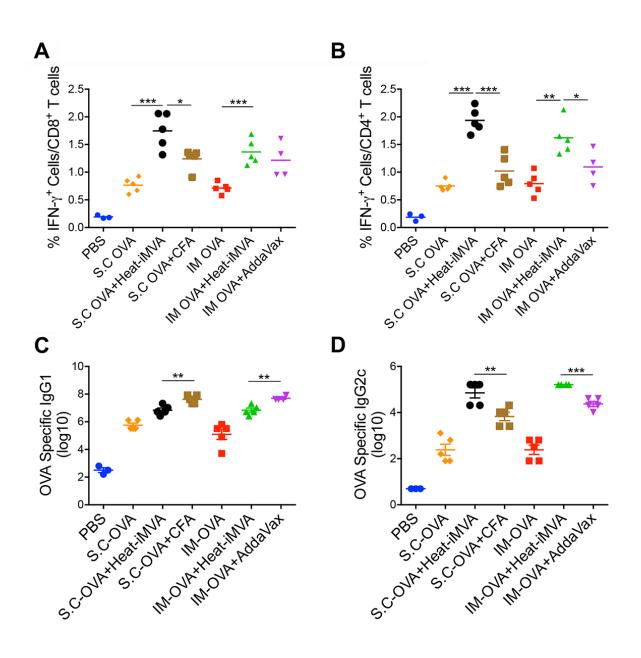
486 An increasing body of evidence indicates that STING agonists can function as potent vaccine adjuvants <sup>9 10 36 50-52</sup>. To probe the role of the STING-mediated cytosolic DNA-sensing 487 pathway in heat-iMVA adjuvanticity, we used STING<sup>Gt/Gt</sup> mice, which lack functional STING<sup>53</sup>. 488 489 Our results demonstrate that STING contributes to the generation of antigen-specific IFN- $\gamma^+$ 490 CD8<sup>+</sup> and CD4<sup>+</sup> T cells and IgG2c antibody production potentiated by heat-iMVA. Given the 491 essential roles of cDC1 and cDC2 in mediating CD8<sup>+</sup> and CD4<sup>+</sup> T cell priming, we surmise that 492 STING signaling in cDC1 and cDC2 is important for heat-iMVA-induced immunogenicity. We note three major differences between heat-iMVA and small-molecule chemical STING agonists: 493 (i) heat-iMVA enters cells naturally <sup>24</sup>, whereas chemical STING agonists need lipophilic 494

495	mediators to facilitate their entry; (ii) heat-inactivated vaccinia can also trigger type I IFN
496	production in pDCs via a TLR7/TLR9/MyD88-dependent pathway <sup>24 26</sup> , whereas chemical
497	STING agonists are specific to the STING pathway; and (iii) heat-iMVA activates other danger
498	signals, including the Absent In Melanoma 2 (AIM2) inflammasome (54 and data not shown),
499	which might also be important for heat-iMVA adjuvanticity. Our results showed that SC heat-
500	iMVA co-administration with OVA-647 significantly increased OVA-647 <sup>+</sup> migratory DCs in the
501	dLNs. Interestingly, heat-iMVA also increased OVA-647 <sup>+</sup> CD8 $\alpha^+$ DCs in the dLNs. Although
502	migratory DCs in the dLNs exhibited high CD86 expression with or without heat-iMVA as a
503	vaccine adjuvant, heat-iMVA co-delivery resulted in higher expression of CD86 on resident
504	DCs, including both CD8 <sup>+</sup> DCs and CD8 <sup>-</sup> DCs. These results suggest that heat-iMVA co-
505	administration promotes peripheral DC maturation and migration into dLNs, as well as LN-
506	resident DC maturation. We speculate that some heat-iMVA virions (together with OVA-647 <sup>+</sup> )
507	might be transported, via the LN conduits, to the LN interior to resident DCs, as demonstrated
508	for subcutaneously injected vaccinia virus or MVA <sup>55</sup> .
509	Limitations of the study include but are limited to the following: (i) vaccination studies
510	were performed in female C57BL/6J mice at 6-8 weeks of age. Potential age, sex, and species
511	bias cannot be excluded; Because the Batf3 and STING-deficient mice are in C57BJ/6J
512	background, we chose this strain for our study; (ii) we did not analyze what cell populations are
513	infected by heat-iMVA after vaccination, because heat-iMVA does not produce viral proteins;
514	we plan to do more sophisticated analyses with immune-activating recombinant MVA
515	expressing fluorescent markers in our future studies; and (iii) we did not explore whether antigen
516	conjugation to heat-iMVA or mixing antigen with Addavax plus heat-iMVA would further
517	enhance immune efficacy in this study.

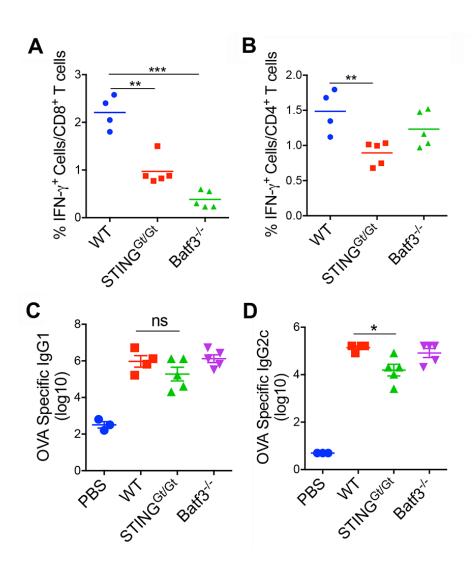
518	Nörder et al. investigated whether MVA could be used as a vaccine adjuvant, and they
519	found that IM co-administration of MVA and OVA enhances the generation of antigen-specific
520	antibody and T cell responses <sup>56</sup> . MVA is non-replicative in most mammalian cells and heat-
521	inactivation makes it safer and more immunogenic.
522	In conclusion, we envision that heat-iMVA can be used as a vaccine adjuvant for
523	neoantigen-based or irradiated whole cell-based cancer vaccines based on its safety and
524	immunogenicity. Heat-iMVA activation of STING signaling contributes to its adjuvanticity and
525	heat-iMVA promotes antigen cross-presentation by Batf3-dependent CD103 <sup>+</sup> /CD8 $\alpha^+$ DCs to
526	induce antigen-specific CD8 <sup>+</sup> T cell responses. Future work will focus on identifying viral
527	inhibitors of the cGAS/STING pathway encoded by the MVA genome and engineering
528	recombinant MVA to improve its immunogenicity and adjuvanticity.



- 529 Figure 1. Co-administration of heat-inactivated MVA (heat-iMVA) enhances antigen-
- 530 specific T cell and antibody responses after intramuscular (IM) vaccination with chicken
- 531 ovalbumin (OVA). WT C57BL/6J mice were vaccinated on day 0 and day 14 with OVA (10
- 532  $\mu$ g) or OVA (10  $\mu$ g) plus heat-iMVA (an equivalent amount of 10<sup>7</sup> pfu/mouse) intramuscularly.
- 533 On day 21, splenocytes (A, B, C, D) were stimulated with OVA<sub>257-264</sub> (A, C) or OVA<sub>323-339</sub>
- 534 peptide (B, D). The expression of IFN- $\gamma$  by CD8<sup>+</sup> T cells or CD4<sup>+</sup> T was measured by flow
- 535 cytometry. (E-F) OVA-specific immunoglobulin G1 (IgG1) or OVA-specific immunoglobulin
- 536 G2c (IgG2c) titers in the serum from PBS, OVA, or OVA + heat-iMVA-vaccinated mice were
- determined by ELISA. Data are represented as mean  $\pm$  SEM (n = 3-5; \*P < 0.05, \*\*P < 0.01 and
- 538 \*\*\*P < 0.001; unpaired t test). Data are representative of three independent experiments.

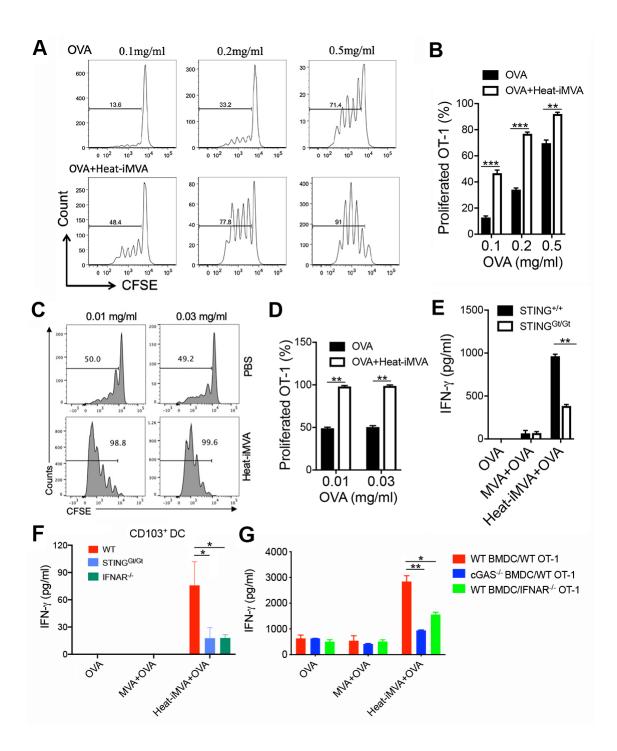


- 539 Figure 2. Heat-iMVA promotes stronger antigen-specific Th1 responses and IgG2c
- 540 production compared with complete Freund adjuvant (CFA) and AddaVax after cutaneous
- 541 vaccination. Antigen-specific T cell and antibodies responses were measured after intramuscular
- 542 (IM) or subcutaneous (SC) vaccination on day 0 and day 14 with OVA (10 µg) in the presence or
- be absence of heat-iMVA (an equivalent amount of  $10^7$  pfu) in C57BL/6J mice. (A-B) On day 21,
- 544 splenocytes were stimulated with  $OVA_{257-264}$  or  $OVA_{323-339}$ . The expression of IFN- $\gamma$  by CD8<sup>+</sup> or
- 545 CD4<sup>+</sup> T T cells was measured by flow cytometry. (C-D) On day 21, OVA-specific
- 546 immunoglobulin G1 (IgG1) or OVA-specific immunoglobulin G2c (IgG2c) titers in the were
- determined by ELISA. Data are represented as mean  $\pm$  SEM (n = 3-5; \*P < 0.05, \*\*P < 0.01 and
- 548 \*\*\*P < 0.001; unpaired t test). Data are representative of two independent experiments.



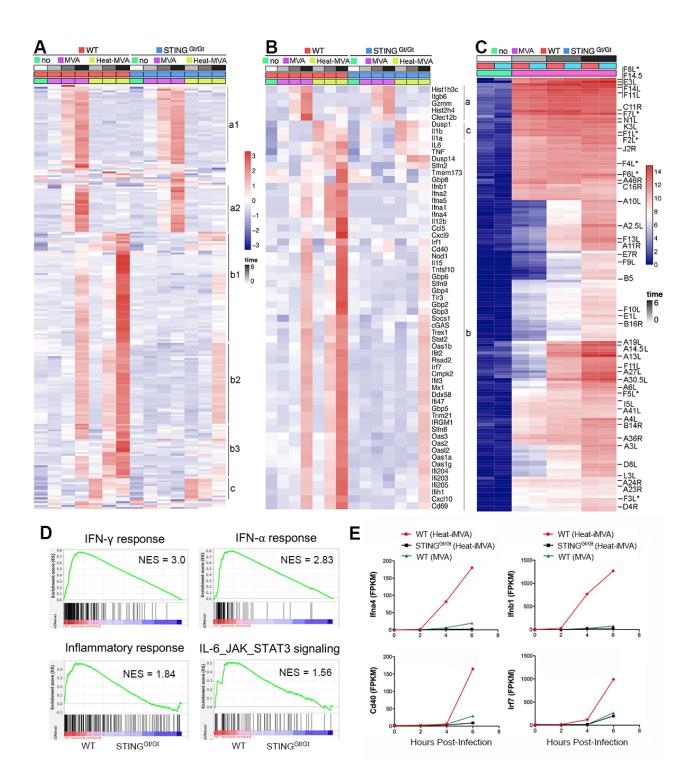
### 549 Figure 3. CD103<sup>+</sup> DC and the cGAS/STING pathway contribute to heat-iMVA

- 550 adjuvanticity. STING<sup>Gt/Gt</sup>, Batf3<sup>-/-</sup>, or age-matched WT C57BL/6J mice were intramuscularly
- 551 vaccinated on day 0 and day 14 with OVA (10  $\mu$ g) + heat-iMVA (an equivalent of 10<sup>7</sup> pfu). (A-
- B) On day 21, mice were euthanized, and spleens and blood were collected. splenocytes were
- stimulated with  $OVA_{257-264}$  or  $OVA_{323-339}$ . The expression of IFN- $\gamma$  by CD8<sup>+</sup> or CD4<sup>+</sup> T cells was
- 554 measured by flow cytometry. (C-D) On day 21, OVA-specific immunoglobulin G1 (IgG1) or
- 555 OVA-specific immunoglobulin G2c (IgG2c) titers in the serum were determined by ELISA. Data
- 556 are represented as mean  $\pm$  SEM (n = 3-5; \*P < 0.05, \*\*P < 0.01 and \*\*\*P < 0.001; unpaired t test).
- 557 Data are representative of three independent experiments.



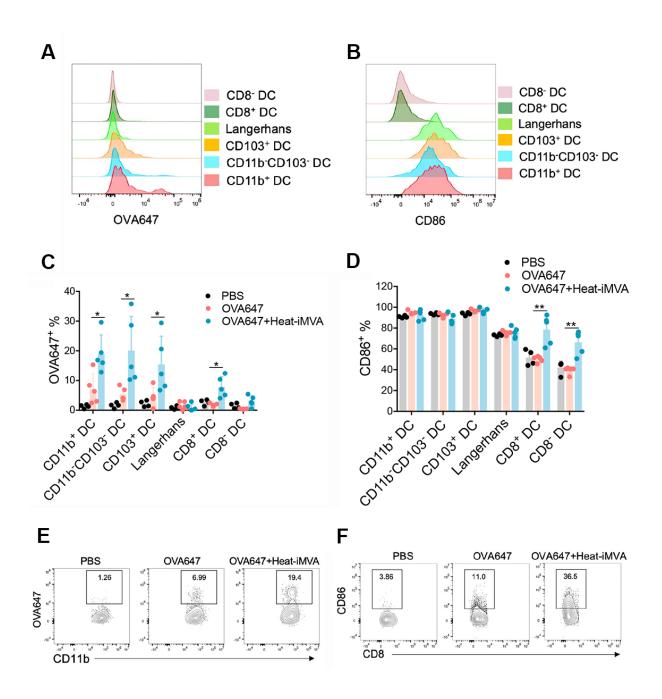
### 558 Figure 4. Heat-iMVA promotes OT-I cell activation and proliferation mediated by OVA

- 559 cross-presentation by dendritic cells in vitro. (A, B, C, D) Proliferation of CFSE-labeled OT-I
- 560 T cells after incubation with GM-CSF-cultured BMDCs (A, B) or FLT3L-cultured dendritic cells
- 561 (C, D) pulsed with OVA in the presence or absence of heat-iMVA. BMDCs were incubated with
- 562 or without heat-iMVA, and then co-cultured with CFSE-labeled OT-I cells for 3 days. (E) IFN-γ
- 563 secretion from OT-I T cells after incubation with GM-CSF-cultured WT or STING<sup>Gt/Gt</sup> BMDCs
- 564 pulsed with OVA in the presence or absence of live MVA or heat-iMVA. (F) IFN-γ secretion
- 565 from OT-I T cells after incubation with sorted CD103<sup>+</sup> DCs from WT, STING<sup>Gt/Gt</sup>, or IFNAR<sup>-/-</sup>
- 566 Flt3L-cultured BMDCs pulsed with OVA in the presence or absence of live MVA or heat-
- 567 iMVA. (G) IFN-γ secretion from WT or IFNAR<sup>-/-</sup> OT-I T cells after incubation with OVA-
- 568 pulsed GM-CSF-cultured DCs from WT or cGAS<sup>-/-</sup> mice with or without live MVA or heat-
- 569 iMVA. Data are represented as mean  $\pm$  SEM (n = 3-5; \*\*P < 0.01 and \*\*\*P < 0.001; unpaired t
- 570 test). Data are representative of three independent experiments.



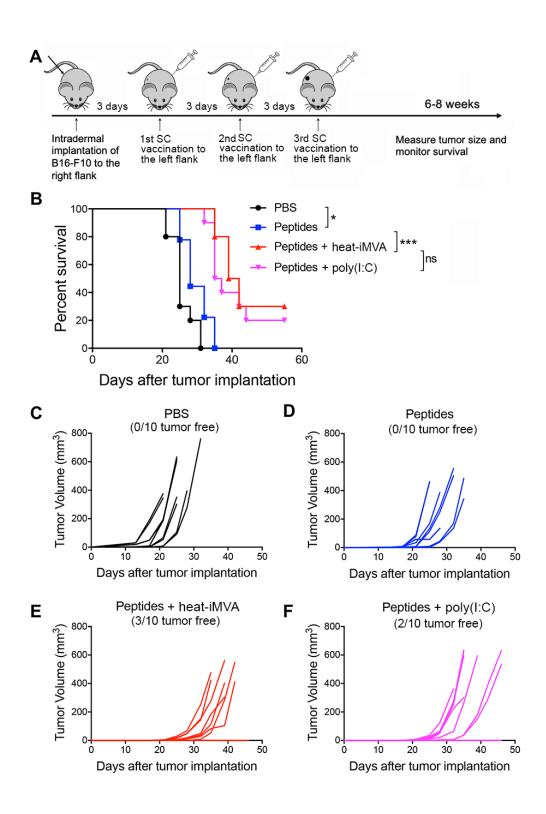
### 571 Figure 5. Time-resolved transcriptome profiling of WT or STING<sup>Gt/Gt</sup> BMDCs infected

- 572 with either live MVA or heat-iMVA. (A) A heat map of a one-way hierarchical clustering
- analysis of the top 200 genes ranked by Z-score of log2RPKM, indicating genes that exhibited
- 574 the most statistically significant changes in gene expression over the course of the experiment.
- 575 Several clusters of genes with similar gene expression changes were observed (indicated as a1-2,
- 576 b1-3, and c). (B) A heat map of a subset of genes from panel A, showing IFN-regulated genes
- 577 and genes involved in inflammation. (C) A heat map of a one-way hierarchical cluster analysis of
- 578 MVA transcriptome, using log2 RPKM, illustrating the temporal pattern of viral gene expression
- 579 changes. (D) Gene set enrichment analyses (GSEA) showing differences of gene expression in
- 580 several pathways including IFN-γ, IFN-α, inflammatory responses, and IL-6 JAK STAT3
- 581 signaling. (E) Representative examples of heat-iMVA or live MVA-induced Ifna4, Ifnb, Cd40,
- and *Irf7* transcripts in WT and STING<sup>Gt/Gt</sup> BMDCs.



### 583 Figure 6. Co-administration of heat-iMVA promotes trafficking of antigen-carrying

- 584 migratory DC into skin draining LN and activation of resident dendritic cells. C57/B6J
- 585 mice were intradermally vaccinated with OVA647 (5 µg) in the presence or absence of heat-
- 586 iMVA (10<sup>7</sup> pfu). (A-B) After 24 h, OVA647 intensities in different dendritic cells populations
- 587 from dLNs were measured. (C) Cell numbers of different DC populations were calculated. (D-E)
- 588 After 24 h, CD86 expressions in different dendritic cells populations from dLNs were measured.
- 589 (E-F) Representative dot plots of CD86 expression in CD8<sup>-</sup> dendritic cells (E) and CD8<sup>+</sup>
- dendritic cells (F). Data are represented as mean  $\pm$  SEM (n = 3-5; \*P < 0.05, and \*\*P < 0.01;
- 591 unpaired *t* test). Data are representative of three independent experiments.



### 592 Figure 7. Combination of B16-F10 neoantigen peptides with heat-iMVA vaccination

### 593 significantly increases the overall response and cure rates in a unilateral B16-F10

- 594 implantation model. (A) Tumor implantation and neoantigen peptide vaccination scheme in a
- <sup>595</sup> unilateral B16-F10 tumor implantation model. 5 x 10<sup>4</sup> B16-F10 were intradermally implanted
- 596 into the right flanks of C57BL/6J mice. On day 3, 6, and 9, mice were vaccinated subcutaneously
- 597 on the left flanks with B16-F10 neoantigen peptide mix (M27, M30, and M48) with or without
- 598 the indicated adjuvants. (B) Kaplan-Meier survival curve of tumor-bearing mice treated with
- 599 PBS, peptides (M27, M30, and M48, 100 µg/each), peptides plus heat-iMVA (an equivalent of
- 600  $10^7$  pfu), or peptides plus poly(I:C) (50 µg) (n = 10, \*P < 0.05 and \*\*\*P < 0.001; Mantel-Cox test).
- 601 (C, D, E, F) Tumor volumes over days after implantation in mice vaccinated with PBS (C),
- 602 peptides (D), peptides + heat-iMVA (E), peptides + poly(I:C) (F). Data are representative of two
- 603 independent experiments.

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- 606 Kettering Institute. We also thank the Rockefeller University Genomics Resource Center. We
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- 609

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- 618

### 619 Availability of data and materials

- 620 All data published in this report are available on reasonable request.
- RNA-sequencing data have been deposited at NCBI Short-Read Archive (SRA) and are publicly
  available as of the date of publication under the BioProject number PRJNA743347.
- 623 <u>https://dataview.ncbi.nlm.nih.gov/object/PRJNA743347?reviewer=23jdtbg40kcljcqf9b75ubqei6</u>
   624
- 625 Authors' contributions
- 626 N.Y. and L.D. designed and performed the experiments, analyzed the data, and prepared the 627 manuscript. A.G. and C.M. performed the library preparation for RNA-seq of virus-infected

BMDCs and analyzed the RNA-seq data. Memorial Sloan Kettering Cancer Center filed a patent
application for the Heat-inactivated vaccinia virus as a vaccine immune adjuvant. J.D.W., T.M,
and T.T. assisted in experimental design and data interpretation. All authors are involved in
manuscript preparation. L.D. provided overall supervision of the study.

632

### 633 Competing Interests

634 Memorial Sloan Kettering Cancer Center filed a patent application for the heat-inactivated

635 vaccinia virus as a vaccine immune adjuvant. L.D., J.D.W., T.M., N.Y. are authors on the patent,

636 which has been licensed to IMVAQ Therapeutics. L.D., J.D.W., T.M., N.Y. are co-founders of

637 IMVAQ Therapeutics. L.D. is a consultant of Istari Oncology. T.M. is a consultant of Immunos

638 Therapeutics and Pfizer. He has research support from Bristol Myers Squibb; Surface Oncology;

639 Kyn Therapeutics; Infinity Pharmaceuticals, Inc.; Peregrine Pharmaceuticals, Inc.; Adaptive

640 Biotechnologies; Leap Therapeutics, Inc.; and Aprea. He has patents on applications related to

641 work on oncolytic viral therapy, alpha virus-based vaccine, neoantigen modeling, CD40, GITR,

642 OX40, PD-1, and CTLA-4. J.D.W. is a consultant for Adaptive Biotech, Advaxis, Am-gen,

643 Apricity, Array BioPharma, Ascentage Pharma, Astellas, Bayer, Beigene, Bristol Myers Squibb,

644 Celgene, Chugai, Elucida, Eli Lilly, F Star, Genentech, Imvaq, Janssen, Kleo Pharma, Linnaeus,

645 MedImmune, Merck, Neon Therapeutics, Ono, Polaris Pharma, Polynoma, Psioxus, Puretech,

646 Recepta, Trieza, Sellas Life Sciences, Serametrix, Surface Oncology, and Syndax. Research

647 support: Bristol Myers Squibb, Medimmune, Merck Pharmaceuticals, and Genentech. Equity:

648 Potenza Therapeutics, Tizona Pharmaceuticals, Adaptive Biotechnologies, Elucida, Imvaq,

- 649 Beigene, Trieza, and Linnaeus. Honorarium: Esanex. Patents: xenogeneic DNA vaccines,
- 650 alphavirus replicon particles ex-pressing TRP2, MDSC assay, Newcastle disease viruses for
- 651 cancer therapy, genomic signature to identify responders to ipilimumab in melanoma, engineered

- 652 vaccinia viruses for cancer immunotherapy, anti-CD40 agonist mono-clonal antibody (mAb)
- 653 fused to monophosphoryl lipid A (MPL) for cancer therapy, CAR T cells targeting
- 654 differentiation antigens as means to treat cancer, anti-PD-1 antibody, anti-CTLA-4 antibodies,
- and anti-GITR antibodies and methods of use thereof.
- 656 **Patient consent for publication**
- 657 N/A
- 658
- 659 Ethics approval and consent to participate
- 660 N/A

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