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2 **Entrainment of Endangered Sturgeon by a Large Water Diversion:**
3 **Rescue, Enumeration, and Conservation Opportunities**

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6-02-2021

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Abstract

32 The Bonnet Carre' Spillway diverts water from the Mississippi River through a floodway into Lake
33 Pontchartrain to reduce river stages at New Orleans and prevent flood damages. Pallid Sturgeon, a
34 federally listed species under the Endangered Species Act, and Shovelnose Sturgeon, listed under the
35 Similarity of Appearance rule, are entrained through the Spillway structure and become trapped in
36 the Spillway canals and other waterbodies. Five openings and corresponding rescue operations
37 occurred between 2008 and 2019 after each Spillway closure. Operational parameters spanned a
38 range of water temperatures and seasons with magnitude and duration of discharge varying across all
39 openings. A total of 70 days with crew number ranging from 6 to 12 were expended to rescue 57
40 Pallid Sturgeon and 362 Shovelnose Sturgeon after the five openings that spanned 240 total days.
41 More sturgeon were entrained at higher water temperatures, with greater numbers of bays opened,
42 and for longer periods of time. Recovery of sturgeon is initially high but over time declines as
43 sturgeon are depleted from the floodway, stranded in isolated waterbodies in the floodway, and/or
44 displaced further downstream into Lake Pontchartrain during longer openings. Sturgeon that cannot
45 find their way back to the floodway are unlikely to be rescued. Recent population studies indicate
46 that less than 1% of the total population size in the Lower Mississippi River are entrained. However,
47 this does not take into account those individuals entrained but not captured and the potential impacts
48 of more frequent openings of the structure. Conservation recommendations are provided to increase
49 catch efficiency and recovery of the endangered sturgeon.

50

Introduction

51 Large-scale interbasin water transfer projects occur worldwide for various purposes including
52 domestic water supply, energy production, agricultural irrigation, marsh restoration, and flood
53 control (Sternberg 2016; Shumilova1 2018). One of the largest interbasin freshwater diversions in
54 the United States is the Bonnet Carré Spillway (BCS) on the Lower Mississippi River 53 river
55 kilometers upstream of New Orleans, LA (Figure 1). The BCS structure, constructed by the U. S.
56 Army Corps of Engineers as a flood risk management feature following the Mississippi River Flood
57 of 1927, is a needle-controlled dam 2347 m in length and the design discharge capacity is 7079 cubic
58 meters per second (cms) (U.S. Army Corps of Engineers 1998). It diverts water from the Mississippi

6-02-2021

59 River to prevent river discharges downstream towards New Orleans in excess of 35,400 cms, into a
60 floodway that empties into Lake Pontchartrain, a shallow, brackish lagoon. Typically, Mississippi
61 River water is < 2 ppt salinity (USGS Gage 7374000, Mississippi River at Baton Rouge), whereas in
62 Lake Pontchartrain ranges from 1.2 to 5.4 ppt salinity (Sikora and Kjerfve 1985).

63 As of 2019, the BCS has been operated fourteen times (Figure 2). Frequency of operations between
64 1937 and 2008 occurred at 2-23 year intervals, most between 4-14 years, and averaged overall once
65 every 8.9 years, or just over 1% during that time period. Duration of openings ranged from 13 days
66 in 1975 to 79 days during the second opening of 2019. Number of bays open each day ranged from
67 1935 in 1975 to 22204 in 1973. Since 2008, operations have occurred at 1-5 year intervals, averaging
68 2.7 years overall, or about 5% during that time period. Record floods have occurred in the Lower
69 Mississippi River over the last decade necessitating openings of the BCS at a three-five times greater
70 frequency than historic operations. In addition to increased frequency of operation, magnitude and
71 duration of flooding are also increasing. The 2011 flood set new stage records at multiple locations
72 along the Lower Mississippi River. The 2019 flood was the longest in modern history, and for the
73 first time, the Spillway was opened twice in the same year.

74 Entrainment risk has been evaluated for Green Sturgeon (*Acipenser medirostris*) through agricultural
75 diversion pipes (Mussen et al 2014), Lake Sturgeon through a hydroelectric station (McDougall et al.
76 2014), White (*Acipenser transmontanus*) and Pallid Sturgeon (*Scaphirhynchus albus*) in the vicinity
77 of dredges (Boysen and Hoover 2009; Hoover et al. 2011), and an overall synthesis on interactions
78 between sturgeon and water resource development including sturgeon entrainment and impingement
79 (Cooke et al. 2020). However, effects of flood control diversion openings on imperiled sturgeon
80 species in the Mississippi River have not been described. The fish cannot pass back into the river
81 once water level drops sufficiently below the backside of the weir sill. This can happen as the BCS
82 structure is closed, restricting water into the floodway or with bays open on the structure and falling
83 stages on the Mississippi River. It is believed that as a lotic freshwater species, sturgeon will be
84 unable to survive long-term in the lentic brackish environments of the Lake Pontchartrain system.
85 Therefore, it is assumed conservatively that entrained sturgeon represent impacts to the source
86 population in the river.

6-02-2021

87 After the structure was closed in 2008, a decision was made by the U.S. Army Corps of Engineers,
88 New Orleans District to sample water bodies within the BCS for Pallid Sturgeon (*Scaphirhynchus*
89 *albus*), listed federally as endangered under the Endangered Species Act, as well as the Shovelnose
90 Sturgeon (*Scaphirhynchus platyrhynchus*), which are sympatric with Pallid Sturgeon and in 2010
91 were listed as a threatened species under the “Similarity of Appearances” provisions of the
92 Endangered Species Act only in those areas where they co-occur with Pallid Sturgeon (Figure 3).
93 Under section 7 of the Endangered Species Act, all federal agencies are responsible to ensure that
94 actions they authorize, fund, or carry out are not likely to jeopardize the continued existence of any
95 listed species. Principal objectives of this effort were to rescue entrained Pallid Sturgeon, return
96 them to the Mississippi River, and to enumerate impacts to the population. Rescue operations
97 comply with the ESA and have been found to be effective for other species of sturgeon. Telemetry
98 and modeling studies showed that rescue can provide nearly complete mitigation for population
99 impacts of entrained Green Sturgeon (Thomas et al. 2013).

100

101 Within the first hour of sampling in 2008, a Pallid Sturgeon was captured in a BCS canal
102 downstream of the structure inaugurating a series of sampling and rescue events after the structure
103 was operated each time in 2008, 2011, 2016, 2018, and 2019. Intense and equitable sampling was
104 required during each operation to evaluate impacts to the species and to evaluate the relationships
105 between numbers of sturgeon entrained with functional parameters of the structure, specifically
106 onset, magnitude, and duration of flooding and operation. This article summarizes the number of
107 sturgeon collected after each of the five openings. It also provides data on sturgeon movements and
108 habitat quality. It identifies differences in catch among the openings based on environmental and
109 operational conditions, estimates potential impacts to population abundance, and recommends
110 sampling requirements and strategies for future openings to maximize catch and minimize mortality
111 of entrained sturgeon.

112

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Study Area

114

115 The BCS was built in 1929-31 by the U.S. Army Corps of Engineers on the east side of the
116 Mississippi River near the site of the former Bonnet Carré Crevasse 53 river km above New Orleans.

6-02-2021

117 It is recognized by the American Society of Civil Engineers as a Historic Civil Engineering
118 Landmark and eligible for listing on the National Register of Historic Places. The BCS structure is
119 2347 m long with 350 concrete bays (or weirs) each 6.1 m in length. There are 176 bays with a weir
120 elevation of 5.15 m N.G.V.D (National Geodetic Vertical Datum) (i.e., high bays) and the remaining
121 174 bays have a weir crest elevation of 4.54 m N.G.V.D. (i.e., low bays). Each bay is closed with 20
122 timber needles (also referred to as pins) measuring 29-30 cm in width and either 3 m or 3.7 m in
123 length depending on the elevation of the weir crest. Needles are inserted vertically across each bay
124 while the Spillway is closed. During openings, a travelling gantry crane mounted on narrow-gage
125 tracks on top of the BCS structure lift the pins from the bay allowing Mississippi River water to pass
126 unimpeded into the floodway (Figure 1). Pins are stored above each bay during operations.
127 Discharge through the BCS is regulated by the number of bays opened or closed on the structure.
128 The bays allow flow directly into a stilling basin approximately 15 m wide with three rows of low
129 concrete baffles next to a heavy articulated concrete mat 53 to 69 m wide to dissipate the flow
130 energy. Floodwaters are then directed into a leveed floodway conveying water from the weir
131 structure into Lake Pontchartrain.

132
133 The 3085 hectare floodway is 9.2 km in length confined by levees that are 2.3 km wide at the river
134 end and 3.8 km wide at the lake end. According to the Master Plan (USACE 1998), the lands in the
135 floodway are characteristic of an alluvial floodplain that vary in elevation from 3 meters near the
136 river to mean sea level at Lake Pontchartrain. The Convent-Commerce soils series consist of soft
137 organic clays with layers of silt and peat, and high water content that support grasses and sedges.
138 Bottomland hardwood forest comprise approximately 40% of the total project acreage while the
139 remainder of the floodway is mostly disturbed land with little vegetation following opening and
140 closing of the structure. When the structure is closed but still leaking through the pins, the Y-canal
141 and Barbar's canal drain the majority of water into two large borrow canals at the lower half of the
142 floodway that empty into Lake Pontchartrain (Figure 4). In addition, over 25 shallow ponds created
143 by sand excavation activities are scattered across the floodway.

144
145 The structure is operated once the discharge on the Mississippi River reaches and is expected to
146 exceed 35400 cms. After structure closure, if Mississippi River levels are above the concrete weir,

6-02-2021

147 water can continue to pass over the weir and through the openings between pins in the closed bays.
148 This movement of water through the closed structure is commonly called leakage and can often
149 maintain a shallow (0.5 – 1 m) sheet flow across upper portions of the floodway. Pin leakage at high
150 elevation bays cease flowing at 5.15 m at the Bonnet Carre' gage, which also eliminates sheet flow
151 and allows vehicular access to sampling sites. Low bays continue to leak until gage reading is at
152 4.54 m eliminating flows through the various canals and ditches. The period of leakage varied each
153 year and was an important consideration for sampling strategies.

154

155

Materials and Methods

156 The floodway was sampled after five BCS operations: 2008, 2011, 2016, 2018 and 2019. Each
157 operation was different in duration, magnitude of discharge passing through the structure, and time
158 of year (Table 1). There were two separate operations in 2019 resulting in a total of 123 open days.
159 The 2019 Mississippi River flood was the longest in recorded history reflected by the duration of the
160 two openings. The highest maximum discharge through the structure occurred in 1945 with a
161 discharge of 9005 cms followed by a discharge of 8946 cms during the 2011 opening that lasted 43
162 days. The 2011 flood set new records on maximum discharge at several gages in the Lower
163 Mississippi River resulting in the higher discharge passed through the Spillway. The remaining three
164 years had shorter openings (<30 days) and reduced maximum discharge (<6100 cms).

165 Collecting Techniques - The goal was to capture as many Pallid Sturgeon as possible in the BCS and
166 release them back into the Mississippi River. Collecting effort occurred primarily in the upper end
167 of the BCS including the canals (Barbar's and Y), ditches, and stilling basin once the high bays
168 ceased leaking (Figure 4). Floodway lakes were also periodically sampled. Multiple gears and
169 techniques were used in the various waterbodies and collecting efforts ceased 1-2 days after the last
170 sturgeon was collected. Collecting efforts were repeated the following week(s) if flow persisted in
171 the canals due to bay leakage.

172

173 1. Boat-mounted electroshocker – Operated with DC pulse at an output of 4-6 amps at 60 Hz
174 (targets wide size range of fishes) or 1-2 amps at 15 Hz (targets larger benthic fishes) using a
175 Smith-Root 7.5 GPP system. Two dip-netters on the bow, and often one behind them, would

6-02-2021

- 176 scan the water surface for immobilized fish, collect all sturgeon, and collect a representative
177 sample of the fish assemblage. Sturgeon were visible at the surface for only a few seconds
178 requiring quick reflexes by the dippers. Unit of effort was expressed as minutes
179 electroshocked.
- 180 2. Gill Nets – Three types of gillnets were used and unit of effort for each was expressed as
181 hours fished:
- 182 a. A 15.2 to 18-m section of a trammel net (40-m long, 2.4-m deep, with 6.3 cm square
183 mesh) was set at the end of a 200 m reach of Barbours Canal between upstream
184 road/culvert crossing and downstream mid-water pipeline crossing prior to
185 electroshocking and used as a block net to capture or contain fishes during sampling.
- 186 b. Sets of 43 x 3 m experimental mesh (7.6 – 15.2 cm square mesh) gillnets were set in
187 lakes prior to electroshocking.
- 188 c. A 30.5 x 1.8 m net with 7.6 – 10.2 inch square mesh was set in lakes prior to
189 electroshocking.
- 190 3. Conventional seine - Smaller ditches were occasionally sampled with a conventional 6.1 m
191 by 2.4-m seine of 1-cm bar mesh. Unit of effort was expressed as number of hauls
- 192 4. Modified gillnet-seine - A section of a 27.4 m X 1.8 m gill net was used to herd and capture
193 fishes in the Stilling Basin. A block net with 7.6-cm square mesh was set in the Stilling
194 Basin at some distance away from the seining operation to increase containment during
195 seining. The gill net seine had square mesh size ranging from 1.9 to 6.4-cm tied to bamboo
196 brails on each end. Larger mesh minimized entanglement of spines from small Blue Catfish
197 (*Ictalurus furcatus*) that were abundantly distributed in the Stilling Basin. A crew would
198 begin on one end of the Stilling Basin and pull the seine through the larger channel while
199 other personnel in the two smaller channels between the two rows of baffles would splash the
200 water to herd fish towards the seine or capture fish with large dipnets. The crew would
201 periodically stop at the block net to remove and record fish. The block net was moved further
202 away and seining commenced again until the entire Stilling Basin was sampled. Unit of
203 effort was expressed as hours seined.
- 204 5. Visual Sightings – Sturgeon were visually sighted in the Stilling Basin by the ground team or
205 from the gantry crane on top of the structure. Once sighted, the ground team waded into the

6-02-2021

206 basin with castnets or large dipnets to capture the individual. Dead sightings were also
207 occasionally made in other waterbodies and sturgeon were retrieved.

208 6. Benthic trawls, hoop nets, and trotlines were also used in the flowing channels, but these
209 gears were eventually dropped due to low catches attributed to entanglement on bottom
210 obstructions and trash entangled in the nets and trotline hooks.

211

212 Sturgeon Identification - Sturgeon were identified to species, enumerated, and fork length recorded.
213 Discriminating between Pallid Sturgeon and Shovelnose Sturgeon can be challenging, however
214 (Figure 3). They co-occur, are morphologically similar and known to hybridize, and they vary
215 genetically throughout their range (Schrey et al. 2011; Jordan et al. 2019), but results of genetic
216 studies and of morphological studies frequently yield inconsistent results (e.g., Schrey et al. 2007 vs
217 Ray et al. 2007; Bailey and Cross, 1954 vs Kuhajda et al., 2007). As a result, the taxonomic status of
218 Pallid and Shovelnose Sturgeon is contentious. In this study, we delineate Pallid Sturgeon and
219 Shovelnose Sturgeon on morphological and meristic criteria exclusively, which is consistent with the
220 typological species concept (Mayr 1996), since these can be determined immediately and objectively
221 in the field with live specimens, and in accordance with a methodology in our use and by the same
222 personnel since 1997 (Murphy et al., 2007). Although species determinations were made
223 morphologically, tissue samples were collected and archived for future genetic analysis.

224

225 A numbered Floy t-bar anchor tag with a toll-free phone number was inserted externally behind the
226 dorsal fin of all sturgeon collected. Pallid Sturgeon were electronically scanned for the presence of a
227 Coded Wire Tag to determine if individuals were of hatchery origin from the Missouri River basin
228 and an Avid Passive Integrated Transponder (PIT) tag indicating recapture. If no tags were detected,
229 a non-encrypted PIT tag was inserted at the base of the dorsal fin. All sturgeon were transported in
230 an aerated live well from the BCS and released alive back into the Mississippi River. Dead sturgeon
231 recovered in the BCS were recorded and all Pallid Sturgeon were preserved and archived at the
232 Engineer Research and Development Center in Vicksburg, MS or Mississippi Museum of Natural
233 Science in Jackson, MS.

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6-02-2021

235 Sturgeon Movements within the floodway – Acoustic telemetry was used to monitor movement of
236 Shovelnose Sturgeon entrained during the 2011 opening from summer 2011 to summer 2012.
237 Twelve VEMCO VR2Ws remote receivers were deployed into the BCS down Barbars Canal to Lake
238 Pontchartrain to establish an automated acoustic telemetry array. Eighteen Shovelnose Sturgeon
239 ranging in size from 501-830 mm FL were captured from upper Barbars, Y-Canal, and the BCS
240 stilling basin and equipped with acoustic telemetry tags (V9 coded acoustic transmitters, 289 day
241 battery life) during the period 20-27 June 2011. Tagged fish were then redistributed within the
242 system near telemetry buoys (Barbars 1, 2, 4, 5, 8 and Y-Canal 1, see Figure 4).

243
244 Water quality - After closure, water temperature (°C), dissolved oxygen (mg/l), and turbidity (NTU)
245 were measured at each sampling site including the canals, Stilling Basin, and lakes with a YSI Pro
246 DSS. In addition, water quality parameters were assessed in the Stilling Basin routinely following
247 the closure of the BCS structure in 2018 through 2019 using a YSI Pro DSS. Point measurements
248 were generally taken daily during early morning hours (0700-0900) from the gantry crane at bays 45,
249 132 and 306. These measurements were taken to better assess water quality changes, particularly
250 dissolved oxygen, in the Stilling Basin after low bays stopped leaking to better predict and prioritize
251 the timing of future rescue operations before conditions worsened.

252
253 Associations between catch and structure operation – Three variables of the flood regime were
254 calculated for each opening of the structure: onset (water temperature) in the Mississippi River,
255 duration (days structure was open), and magnitude (volume of water passed through the structure).
256 Water temperature of the Mississippi River during each opening was obtain from the USGS gage at
257 Baton Rouge, LA (07374000). Duration and magnitude was obtained from the U.S. Army Corps of
258 Engineers New Orleans District. Magnitude was the cumulative value of number of bays open
259 converted to billion cubic meters of water. Bivariate plots between the three flood regime variables
260 and sturgeon catch were constructed and linear regression models were calculated. Due to the limited
261 number of observations, we use these results as exploratory and descriptive tools and not as a
262 statistically rigorous means of testing hypotheses.

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Results

6-02-2021

265 Number of sturgeon collected - A total of 70 days with crew number ranging from 6 to 12 were
266 expended to rescue sturgeon during the five operations (Table 2). Number of days expended per
267 opening ranged from 5 in 2018 to 25 in 2008. Once the high bays stopped leaking allowing access to
268 the canals and Stilling Basin, overall effort was dependent on the number of days low bays leaked
269 after closure. Leakage provided rheophilic cues for upstream movement of sturgeon and maintained
270 normoxic conditions, but once leakage stopped, water warmed and became hypoxic. Greater effort
271 occurred in 2008 due to 32 days of leakage compared to 6-9 days in the four other years (Table 2).
272 However, longer sampling days in 2008 was partly due to the development of novel sampling
273 strategies to maximize catch, which were later refined during subsequent openings.

274 Electroshocking was the most effective gear to catch sturgeon in the canals and lakes, ranging from
275 2.7 hours in 2018 to 15 hours in 2008. Thirty-six percent of the Pallid Sturgeon were collected by
276 electroshocking. Gillnets and trammel nets were set in the canals and lakes for a total of 372 hours
277 over the five openings collecting 22% of the Pallid Sturgeon. The modified gillnet-seine was pulled
278 for a total of 63.5 hours primarily in the Stilling Basin collecting 15% of the Pallid Sturgeon.
279 Castnets and dipnets collected 13% and 11% of the Pallid Sturgeon, respectively. Other techniques
280 accounted for less than 5%. Gear efficiency was similar for Shovelnose Sturgeon.

281 A total of 57 Pallid Sturgeon and 362 Shovelnose Sturgeon were collected after the five operations
282 (Table 1). Fork length (mm) ranged from 528-1038 and 384-928 for Pallid and Shovelnose
283 Sturgeons, respectively. A notable collection was a tagged Pallid Sturgeon originally captured in the
284 floodway during 2008, released back into the Mississippi River, and recaptured in the floodway in
285 2011. Number of Pallid Sturgeon collected ranged from zero in 2016 after the winter opening to 20
286 in 2011. Shovelnose Sturgeon were also not collected in 2016 but 219 individuals were collected in
287 2019, almost 3 times the number collected in other years.

288 Sturgeon movements within the floodway – The telemetry array was deployed from 20 June 2011
289 through 25 August 2012 and accumulated over 120,000 detections. No mortalities were observed
290 following the tagging period and initially all individuals moved extensively near their original
291 release point. The initial acoustic array (n = 10 receivers) within the floodway was deployed on 20
292 June 2011 prior to sampling. The remaining receivers near Lake Pontchartrain, two new receivers

6-02-2021

293 and one receiver relocated from the upper floodway, were not deployed until 13 July. This created
294 an “open window” for undocumented movement into Lake Pontchartrain with 6 individuals
295 unaccounted for after 13 July suggesting they moved quickly through the floodway and into Lake
296 Pontchartrain before the final receivers were deployed. None were documented returning to the
297 floodway. Alternatively, the lack of detections during this period could be due to tag failure. Those
298 fish that remained in the system experienced sporadic, localized movement with no detection
299 patterns to support movement of telemetry tagged individuals from the BCS into Lake Pontchartrain
300 after 13 July. However, overall movement of telemetry tagged fish began to decrease by early
301 August, as water levels within the floodway decreased, in part creating isolated pools and remnant
302 channels, and as water temperatures increased (31° C). This pattern of decreased movement was
303 also likely in response to the loss of rheophilic cues as bay leakage at the BCS was minimal to none
304 resulting in decreased water flow through the entire floodway. Salinity during this period where the
305 floodway enters Lake Pontchartrain was ≥ 2 ppt; detections during this period on the receivers
306 nearest to Lake Pontchartrain were few to none.

307 Water quality - The structure was operated in late winter (2016 and first opening of 2019), spring
308 only (2008, 2011, and 2018), and spring into mid-summer (second opening of 2019). As a result,
309 mean water temperature in the floodway varied from 10.8 C during the winter operation of 2016 to a
310 high of 30.8 C in 2019 when the operation extended into the summer. However, mean water
311 temperature in the Mississippi River was consistently lower when the structure was open compared
312 to measurements taken after closure (Table 1). Temperature difference was most pronounced in 2018
313 when the floodway was 11°C higher compared to the river. Although mean dissolved oxygen in the
314 floodway was normoxic during all years, diel fluctuations did occur in the stilling basin and lakes
315 resulting in hypoxic (< 3 mg/l) conditions.

316 Leakage through the bays during closure occurs most years, ranging from near zero in 2012 and
317 2014 to 60% during 2019, affecting water quality in the stilling basin and canals (Figure 5). Leakage
318 of Mississippi River water into the BCS moderates temperatures and prevents hypoxia. Water quality
319 monitoring in the stilling basin during 2018 and 2019 clearly showed a rapid decrease in dissolved
320 oxygen when the low bays quit leaking (Figure 6). Once the low bays quit leaking in August, the
321 stilling basin became hypoxic during part of the day creating physiological stress on trapped sturgeon

6-02-2021

322 and other fish species leading to fish kills. Mississippi River stage elevations are monitored to
323 determine when leaking through the low bays will end ensuring that rescue operations in the stilling
324 basin are scheduled accordingly.

325 Associations between sturgeon catch and structure operations – Numbers of Pallid and Shovelnose
326 Sturgeon collected were positively correlated with onset (i.e., date of initial opening), duration, and
327 magnitude of Bonnet Carré openings with coefficients of determination ranging from $R^2=0.58$ to
328 $R^2=0.99$. (Figure 7). For Pallid Sturgeon, correlation was highest for onset. Entrainment risk is
329 negligible at water temperatures below 10 °C and high as water temperatures increase above 20 °C.
330 The duration and magnitude of openings were more curvilinear, suggesting that number of individual
331 Pallid Sturgeon tended to plateau after prolonged openings. For Shovelnose Sturgeon, correlation
332 was highest for duration, indicating that depletion of the riverine population was less likely for the
333 more abundant Shovelnose Sturgeon. The variation in catch of Shovelnose Sturgeon between 2019
334 and 2011 as a function of water volume passing through the structure also indicates that number
335 entrained may continue to increase as magnitude increases.

336

Discussion

337 Rescuing Pallid Sturgeon from waterbodies in the BCS required perseverance under constantly
338 changing conditions even on a daily basis. The five openings of the BCS had different operating and
339 environmental conditions, and as a result, different outcomes in the number of sturgeon collected. A
340 major consideration for sampling was the amount and duration of leakage through the bays that
341 maintains discharge in the canals. Bay leakage depends on Mississippi River stage elevation relative
342 to the crest of the high and low bays. Pallid and Shovelnose Sturgeon are inherently strongly
343 rheotactic (Adams et al. 1998, 1999; Parsons et al., 2003; Hoover et al. 2011). Consequently, they
344 will orient in the direction of the flow towards the structure. As flow declines and bay leakage
345 diminishes, canals and shallow lakes become isolated due to accretion of sediment plugs during the
346 opening of the structure creating potential barriers to upstream passage. Without flow, sturgeon may
347 become trapped in hypoxic lakes and canals in the floodway or wander into Lake Pontchartrain
348 where they likely perished due to the inability to osmoregulate in saline waters. Longer flow duration
349 in the canals will lead to higher capture rates.

6-02-2021

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351 No sturgeon were captured after the 2016 openings when water temperatures were much colder and
352 apparently fish were not moving along the channel border of the Mississippi River where they are
353 more susceptible to entrainment. Pallid and Shovelnose Sturgeon catch rates in the Mississippi River
354 on trotlines generally increase as water temperature approaches 10 °C (Killgore et al. 2007). The
355 mean water temperature of the Mississippi River during January and February 2016 when the
356 spillway was open ranged from 7.5 to 7.8 °C (USGS gage 07374000, Baton Rouge, LA). Although
357 mean water temperature in the spillway during rescue operations was 10.8 °C (Table 1), colder
358 temperatures in the river persisted during the opening period when sturgeon are more inactive.
359 Higher temperatures during other operations resulted in more sturgeon entrained, particularly
360 Shovelnose Sturgeon that were caught more frequently than Pallid Sturgeon when temperatures rise
361 above 20 C (Killgore et al. 2007). Pallid Sturgeon occupy the main channel primarily during low
362 river stage and warm temperature conditions that occur in summer and early autumn according to a
363 telemetry study in the Lower Mississippi River (Herrala et al. 2014). At higher river stages, both
364 species may be more inclined to move along the channel border closer to the spillway regardless of
365 water temperature.

366

367 Sturgeon approaching the open spillway encounter entrainment velocities as water overtops the
368 concrete weir, which can exceed 2 m/s (USACE New Orleans District, personnel communication).
369 Adult Shovelnose Sturgeon and presumably Pallid Sturgeon exploit boundary-layers along the
370 substrate to effectively move or hold position in fast-flowing rivers. Both species, in laboratory
371 studies, show relatively weak prolonged swimming ability. Adult Shovelnose Sturgeon have 60-
372 minute and 15-minute critical swimming speeds of only 0.6 m/s and 0.6-1.2 m/s in open water,
373 respectively, and 1.3-1.7 m/s in boundary layers (Parsons et al., 2003; Hoover et al., 2011; Adams et
374 al., 1997). Juveniles of both species have 30-min critical swim speeds < 0.4 m/s (Adams et al.,
375 1999; Adams et al, 2003). Relative weak swimming capability in the vicinity of fast entrainment
376 velocities render these species vulnerable to entrainment anywhere near the structure. Differences in
377 swimming behavior between the two species have been noted, however, which may increase
378 vulnerability to entrainment. Shovelnose Sturgeon tend to free-swim in the water column when
379 reaching higher swimming speeds compared to Pallid Sturgeon that hunker down (Adams et al

6-02-2021

380 2003). Free-swimming would increase risk of entrainment compared to skimming along the
381 substrate or station holding.

382

383 Nineteen Pallid Sturgeon were collected in 2019, similar to 2011, whereas 239 Shovelnose Sturgeon
384 were collected in 2019 almost 3 times higher than 2011 when 78 Shovelnose Sturgeon were
385 collected. The Pallid to Shovelnose ratio in the lowermost reach of the lower Mississippi River is
386 typically 1:3 (Killgore et al. 2007) but was 1:12.6 in 2019 (Table 1). Although the 2019 openings
387 from February to April and May to July may have coincided with one or more major movements and
388 dispersal of Shovelnose Sturgeon, depletion of Pallid Sturgeon in the vicinity of the structure is also
389 a consideration. Number of Pallid Sturgeon collected was similar in 2011 and 2019 when the highest
390 water volumes passed through the structure suggesting a depletion of individuals that could be
391 entrained. Conversely, number of Shovelnose Sturgeon collected was variable at higher water
392 volumes suggesting that numbers entrained may continue to increase at even higher volumes passing
393 through the structure (Figure 7).

394

395 The stilling basin becomes a hypoxic death trap for sturgeon after bays stop leaking. It is a
396 rectangular concrete structure 2,347 m long, 9.1 m wide, averaging 1.2 m in depth, and holds water
397 year around. There is no escape for stranded fish unless bays begin leaking again to a point that
398 reconnects the downstream canals as Mississippi River stages rise. Of the 57 Pallid Sturgeon
399 collected during the five openings, four were dead with one found in the stilling basin. Of the 362
400 Shovelnose Sturgeon collected, 98 were found dead, and of these, 92% were collected in the stilling
401 basin. Fish kills typically occur in the stilling basin after each operation as water temperature rises
402 and dissolved oxygen decreases but are often species-specific. For example, tens of thousands of
403 Skipjack Herring (*Alosa chrysochloris*) became stranded in the stilling basin and died in 2018.
404 Sturgeon are also sensitive to low dissolved oxygen and hypoxic conditions impair their respiratory
405 metabolism, foraging activity, and growth rates (Cech and Doroshov 2004). Blevins (2011) reported
406 that recruitment of Pallid Sturgeon in the Missouri River may be limited by high summer water
407 temperatures in excess of 30 °C and dissolved oxygen concentrations less than 2 mg/l in late spring
408 and summer. Therefore, rescue operations in the stilling basin must begin before water becomes hot
409 and hypoxic to minimize sturgeon mortality (Figure 8).

6-02-2021

410 Entrainment risk is related to duration and seasonality of openings. Capture rate is initially high but
411 over time declines. Several reasons could explain this trend. Sampling efficiency and effort varies
412 among collection periods. However, the most likely explanations are that sturgeon are displaced
413 further downstream in the floodway, become trapped in isolated waterbodies, lack rheophilic cues as
414 flow subsides, or wander into Lake Pontchartrain during longer openings and cannot find their way
415 back into the spillway where they are more likely to be rescued. This suggests that the number
416 captured in the spillway after closure is an underestimate of the total number entrained. A
417 conservative estimate of Pallid Sturgeon age 3+ population size in the 1,931-km reach of the
418 Mississippi River below the confluence of the Missouri River ranged from 4.5–15 fish per river
419 kilometer or a total of 4,600 to 15,000 (Friedenberg et al. 2017). Hintz et al. (2016) estimated
420 population size of Pallid and Shovelnose Sturgeon in the Middle Mississippi River, a 322-km reach
421 between the confluences of the Missouri and Ohio Rivers, at 1,516 (5 individuals/rkm) and 82,336
422 (266 individuals/rkm), respectively. The annual population estimate for wild Pallid Sturgeon in an
423 877-km reach of the Lower Missouri River varied from 5.4 to 8.9 fish/rkm, whereas the estimate for
424 known hatchery-reared fish varied from 28.6 to 32.3 fish/rkm (Steffensen et al. 2012). The relatively
425 small number of Pallid and Shovelnose Sturgeon rescued from the BCS represent less than 1% of the
426 total population size in the Lower Mississippi River with even the lowest estimates. However, adding
427 hundreds that may have been entrained but not captured could lead to impacts on overall population
428 size if the BCS continues to be opened on a more frequent basis.

429 Under the authority of the Federal Endangered Species Act, the USFWS has issued several Section 7
430 “No jeopardy biological opinions” on opening the Bonnet Carre’, which essentially means that
431 entrainment of Pallid and Shovelnose Sturgeon through the structure is not likely to jeopardize their
432 continued existence. However, Section 7(a)(1) of the Act directs Federal agencies to “utilize their
433 authorities to further the purposes of the Act by carrying out conservation programs for the benefit of
434 endangered and threatened species.” Conservation recommendations are discretionary agency
435 activities to minimize or avoid adverse effects of a proposed action on listed species or critical
436 habitat, to help implement recovery plans, or to develop information. Based on this study,
437 recommended conservation measures include:

6-02-2021

- 438 a. Continue rescue operations after each operation using the collecting methods and timing
439 made in this article,
- 440 b. Maintain or construct a defined channel (s) in the upper reach of the floodway with
441 adequate depths to enhance directional cues for sturgeon moving back towards the
442 structure and to provide long-term navigability for collection vessels once the structure is
443 closed,
- 444 c. Use pumps and/or siphons to transfer river water and circulate the Stilling Basin when
445 Mississippi River water levels drop below the concrete weir on the structure to improve
446 water quality conditions thereby reducing stress on entrained sturgeon prior to rescue,
447 and,
- 448 d. Utilize acoustic telemetry to evaluate movement rates and patterns in the floodway, as
449 well as dispersal potential into Lake Pontchartrain. Acoustic tags can be implanted in
450 Shovelnose Sturgeon as surrogates for Pallid Sturgeon.

451 There is no doubt that the BCS will operate again as flood frequencies increase in the Lower
452 Mississippi River. Multiple observations have confirmed that the capture of entrained sturgeon and
453 other chondrosteian fish in the floodway and release back into the Mississippi River is a viable
454 solution to reduce population impacts. Injury from freefall from passing over a spillway causing
455 abrasions and scrapes may affect survival (Rytwinski et al. 2017). However, the recapture of a Pallid
456 Sturgeon in 2011 (this study) indicates annual survival of rescued individuals and all sturgeon
457 released back into the Mississippi River swam away under their own volition. Furthermore, an adult
458 Paddlefish (*Polydon spathula*) entrained through the structure in 2011, which was injured and
459 underweight, and recaptured eight months later in northern Mississippi near Greenville, 627 km
460 upriver from where it was released, indicates that a large entrained fish, trapped for several days in a
461 hyperthermic and hypoxic habitat, can be viable when returned to the river (Hoover et al., 2013).
462 The experience gained over the past 5 operations will ensure that rescue operations will continue in
463 an effective manner and compliance with the Endangered Species Act will be one of the priorities in
464 fighting floods on the Lower Mississippi River.

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6-02-2021

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467

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6-02-2021

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Table 1. Characteristics of the Bonnet Carré Spillway after closure from 2008 – 2019 and number of sturgeon collected. Water quality measurements occurred after closure during sampling and included canals, ditches, and lakes.

Variable	2008	2011	2016	2018	2019 – 1st Opening	2019 – 2nd Opening¹
Calendar Days Open (number of days)	April 11 – May 8 (28)	May 9 – June 20 (43)	January 10- Feb 1 (23)	March 8 – 30 (23)	Feb 27-April 11 (44)	May 10-July 27 (79)
Bay Days	3334	11409	3132	2561	6528	10890
Percent Open	45.7	94.3	60	52.3	58.9	48.0
Maximum Discharge, cubic meters/second (cms)	4535	8946	5748	5550	6032	4559
Total Volume Passed, billion cubic meters	7.47	21.87	6.93	5.77	15.1	21.4
Mean (min-max) Water Temperature , °C (MS River ²)	16.8 (14.7 – 19.5)	22.7 (17.9 – 28.3)	6.8 (5.2 – 9.2)	12.8 (12.2 – 14.4)	9.6 (6.5 – 14.5)	25.2 (19.6 – 28.9)
Mean (min-max) Water Temperature , °C (Spillway)	22.7 (16.4 – 31.1)	30.2 (26.7 – 32.9)	10.8 (6.6- 19.2)	24.0 (15.2- 29.8)	N/A	30.5 (28.8-32.0)
Mean (min-max) Dissolved Oxygen, mg/l	6.7 (3.2-9.0)	7.6 (3.5- 12.1)	11.9 (7.2- 13.9)	8.5 (0.4- 17.3)	N/A	7.4 (4.1-13.6)

6-02-2021

Mean (min-max) Turbidity, NTU	47.4 (27.9-69.9)	51.0 (34.5-71.8)	39.1 (16.1-55.9)	20.0 (10.5-38.1)	N/A	17.7 (12.2-28.6)
Number of Pallid Sturgeon Collected	14	20	0	4	N/A	19
Mean (min-max) Pallid Fork Length, mm	710 (528-884)	786 (676-924)	-	691 (614-863)	N/A	783 (586-1035)
Number of Shovelnose Sturgeon Collected	41	78	0	4	N/A	239
Mean (min-max) Shovelnose Fork Length, mm	668 (539-841)	608 (384-830)	-	667 (643-690)	N/A	617 (400-928)
Pallid:Shovelnose Ratio	1:2.9	1:3.9	0	1:1.0	N/A	1:12.6

607

608 ¹ – Water quality and number of sturgeon collected occurred after the second opening.

609 ² – Water temperature in the Mississippi River at Baton Rouge, LA

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6-02-2021

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Table 2. Sampling effort by gear expended each year to capture sturgeon after operation of the Bonnet Carré Spillway. Number of days leaking was confined to the low bays once the structure was closed until the last sturgeon was captured. The 2019 values represent the second opening-closing because access was restricted after the first opening-closing.						
Year	Electroshocking, hours	Gill/Trammel Nets, hours	Conventional Seine, hauls	Gillnet Seine, hours	Number of Days Sampled	Number of Leaking Days
2008	15.0	160	35	2	25	32
2011	8.2	30	10	16.5	12	7
2016	5.5	167	11	12	18	9
2018	2.7	7	0	8	5	7
2019	5.6	8	10	25	10	6
Total	37.0	372	66	63.5	70	61

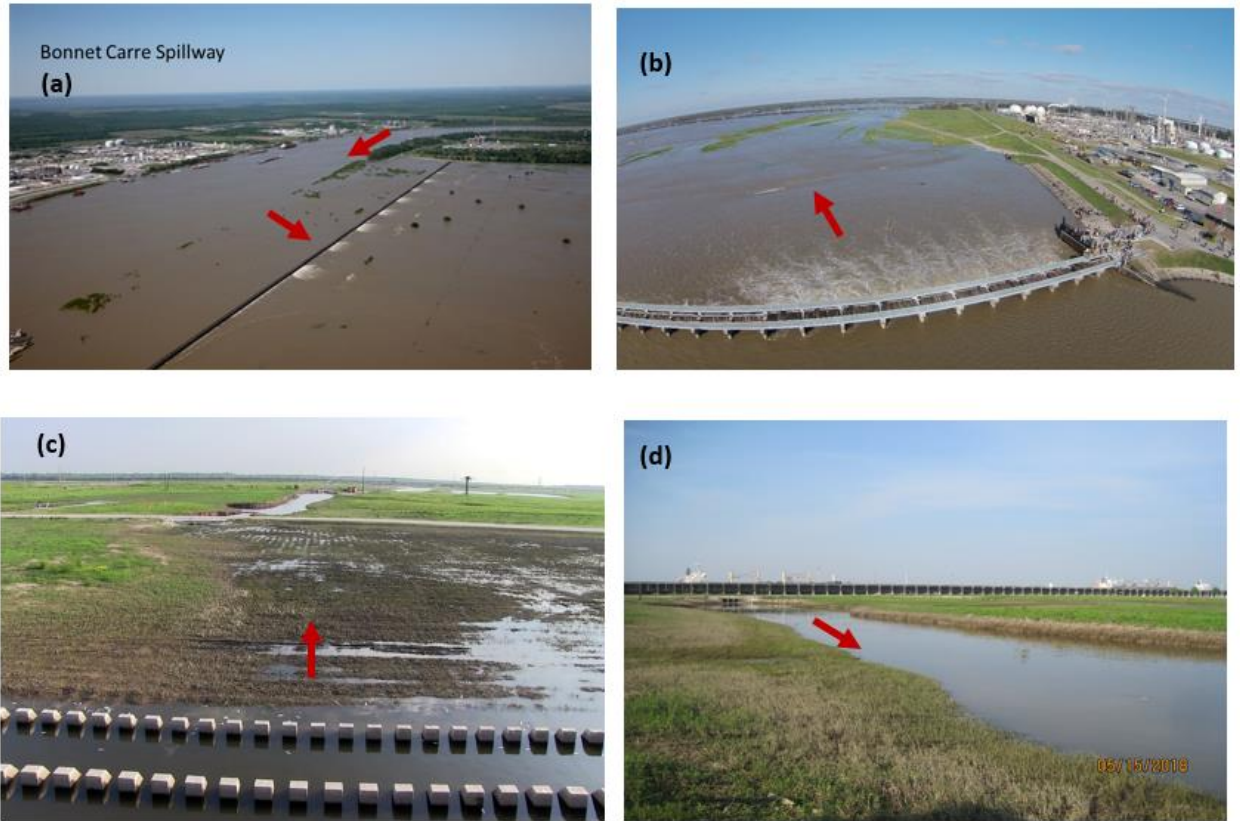
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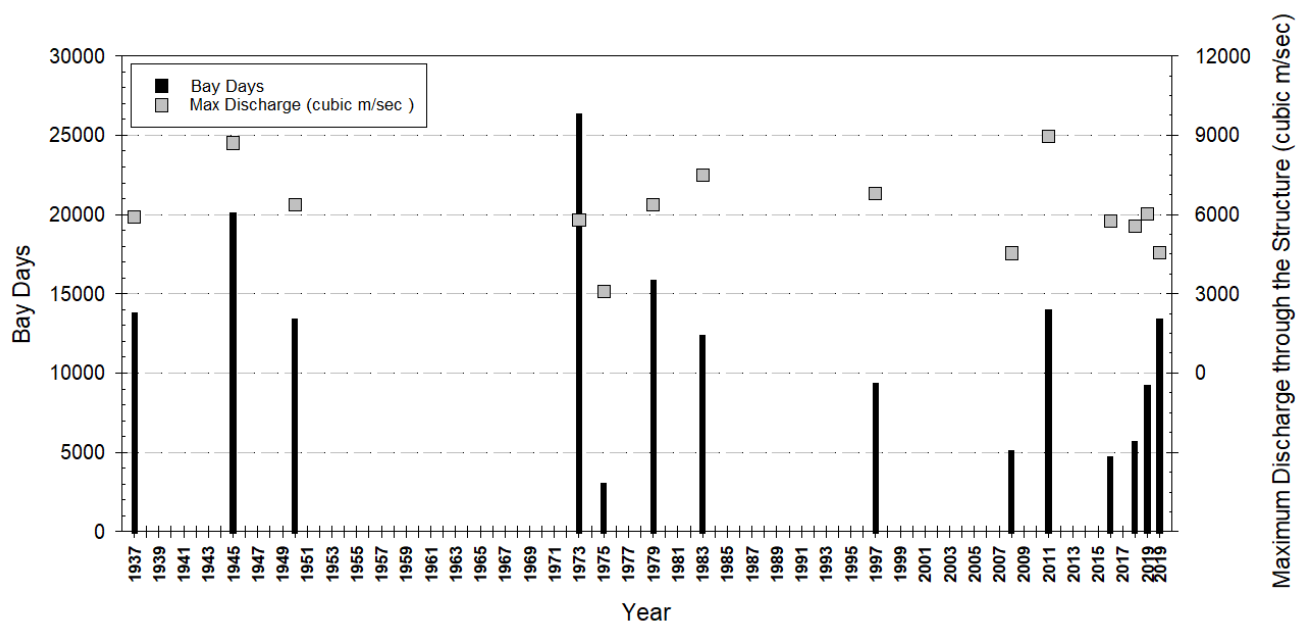
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618 Figure 1. Bonnet Carré Spillway structure, stilling basin, and outflows. Red arrows indicate direction
619 of flow: aerial looking north upriver and through the structure during the 2011 opening (a), aerial
620 looking east from structure towards Lake Pontchartrain during the 2011 opening (b), ground looking
621 east from stilling basin towards Lake Pontchartrain after closure (c), and ground looking west at
622 Barbar's Canal toward structure after closure (d). Aerial views provided by USACE New Orleans
623 District.

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6-02-2021



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Figure 2. Frequency, maximum discharge, and bay days (i.e., number of bays open per day) for the 14 openings of the Bonnet Carré Spillway since the structure was constructed in 1931. Sturgeon sampling occurred after the structure was closed from 2008 to 2019. The structure was open twice during 2019.

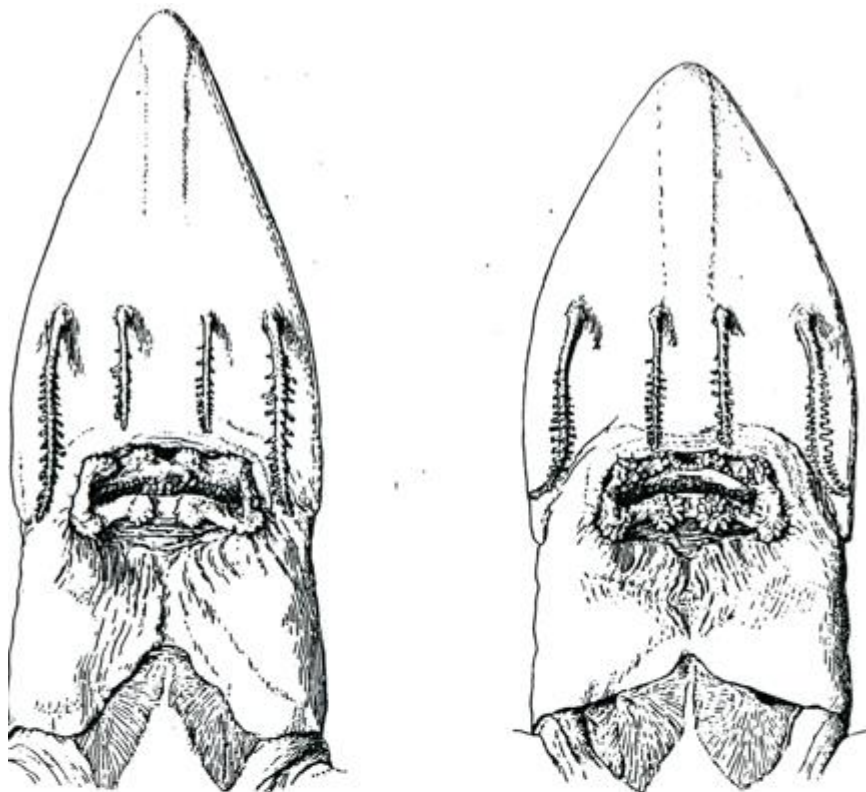
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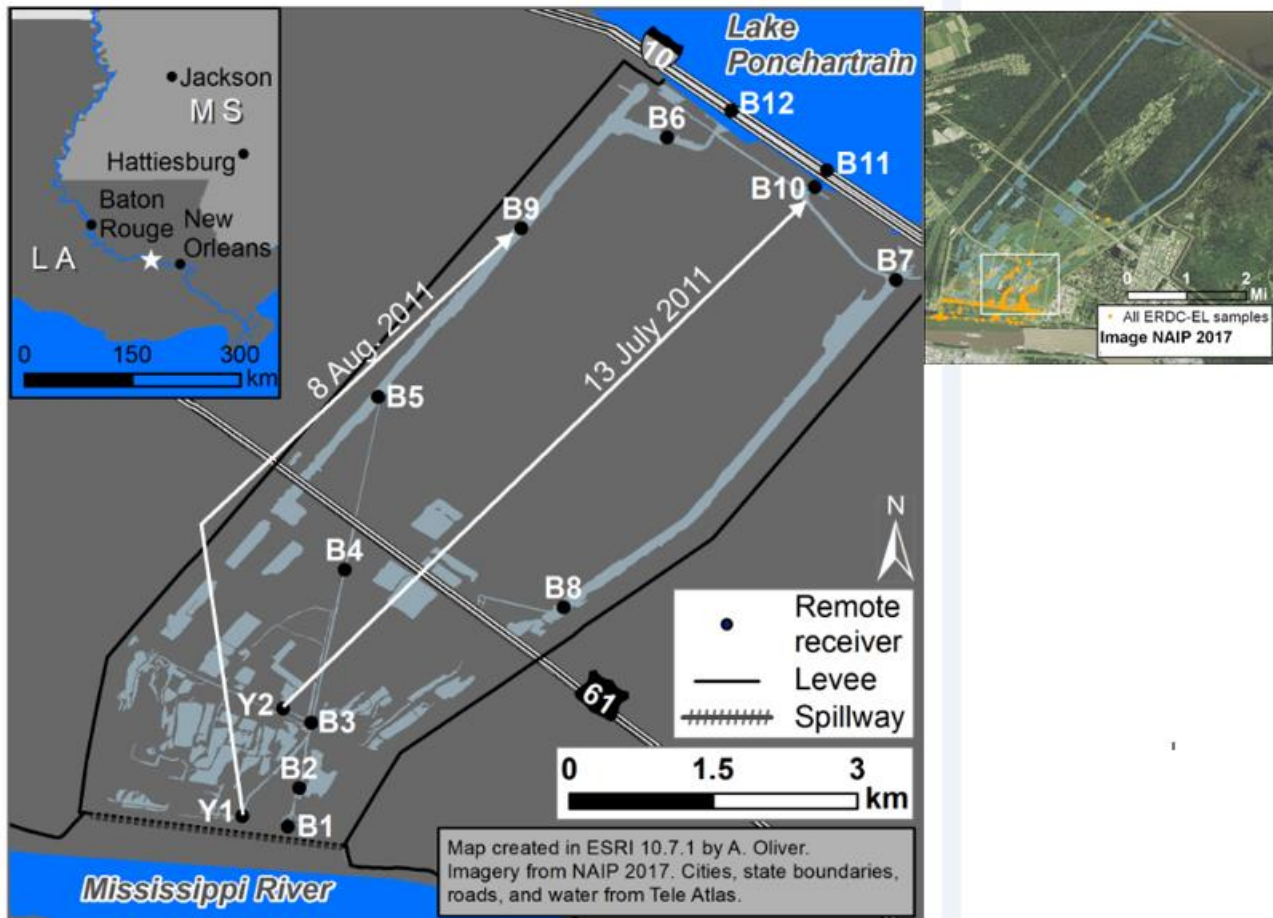
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Figure 3. Ventral view of Pallid (left) and Shovelnose (right) Sturgeon of the Lower Mississippi River. Pallid Sturgeon have shorter inner barbels relative to outer barbels, longer head, and larger mouth. Top drawings are from Forbes and Richardson (1905).

6-02-2021



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635 Figure 4. Sampling locations in the Bonnet Carré Spillway. The smaller inset map displays the
636 concentration of sturgeon sampling during all openings from 2008 - 2019. The larger map displays
637 the location of acoustic telemetry receivers in 2011 and arrows indicated those receivers moved to
638 new locations. The letter “Y” refers to the Y-Canal and the letter “B” refers to Barbar’s canal and
639 receiving canals. The blue color denotes all waterbodies in the floodway after closure of the
640 structure. The stilling basin is immediately below the Spillway structure.

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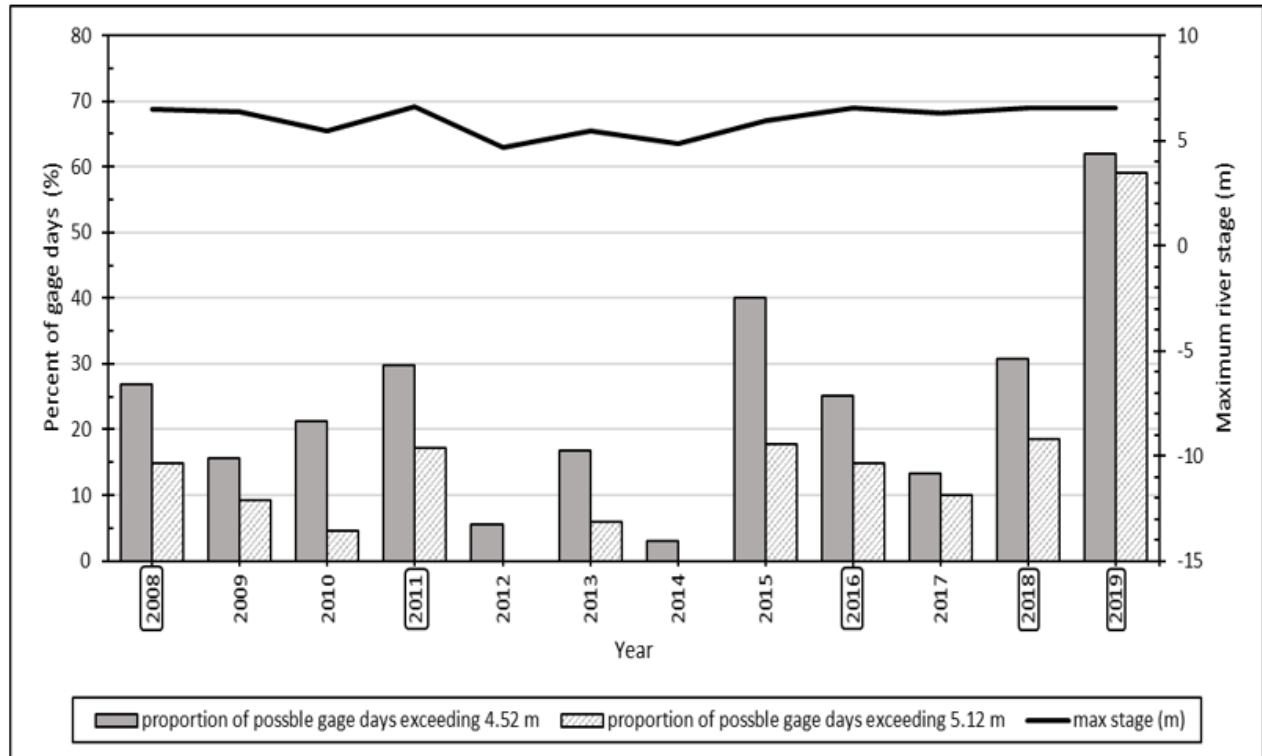
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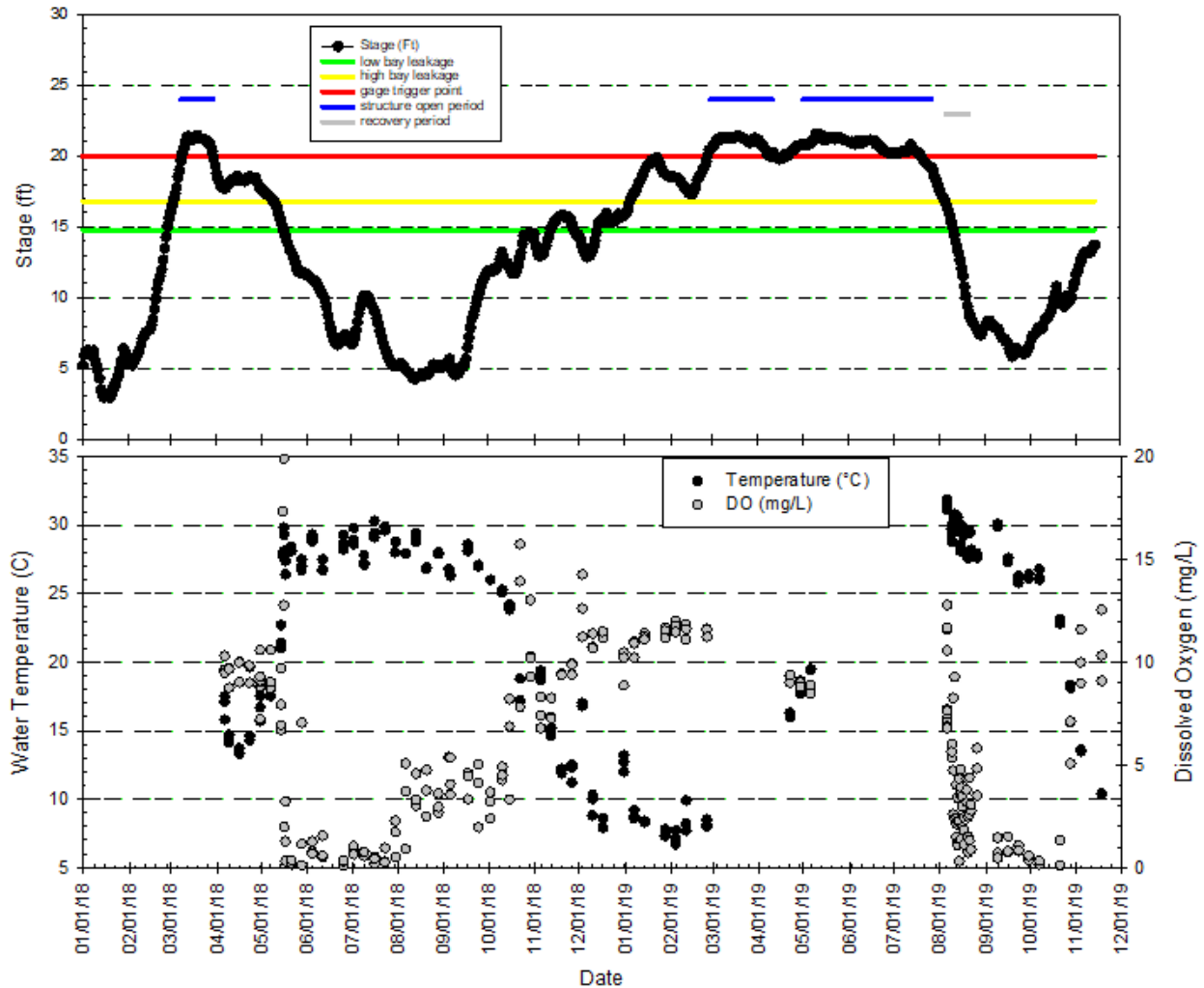


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647 Figure 5. Percentage of gage days each year from 2008 – 2019 that water leaked through the pins at
648 the low bays (gage > 4.52 m) and high bays (gage >5.12 m). Some years had incomplete gage
649 records and therefore percent gage days during each year based on available records was used to
650 denote leakage. Mississippi River stage shown for the Bonnet Carré gage (01280). Years when the
651 Bonnet Carré structure was open are outlined.

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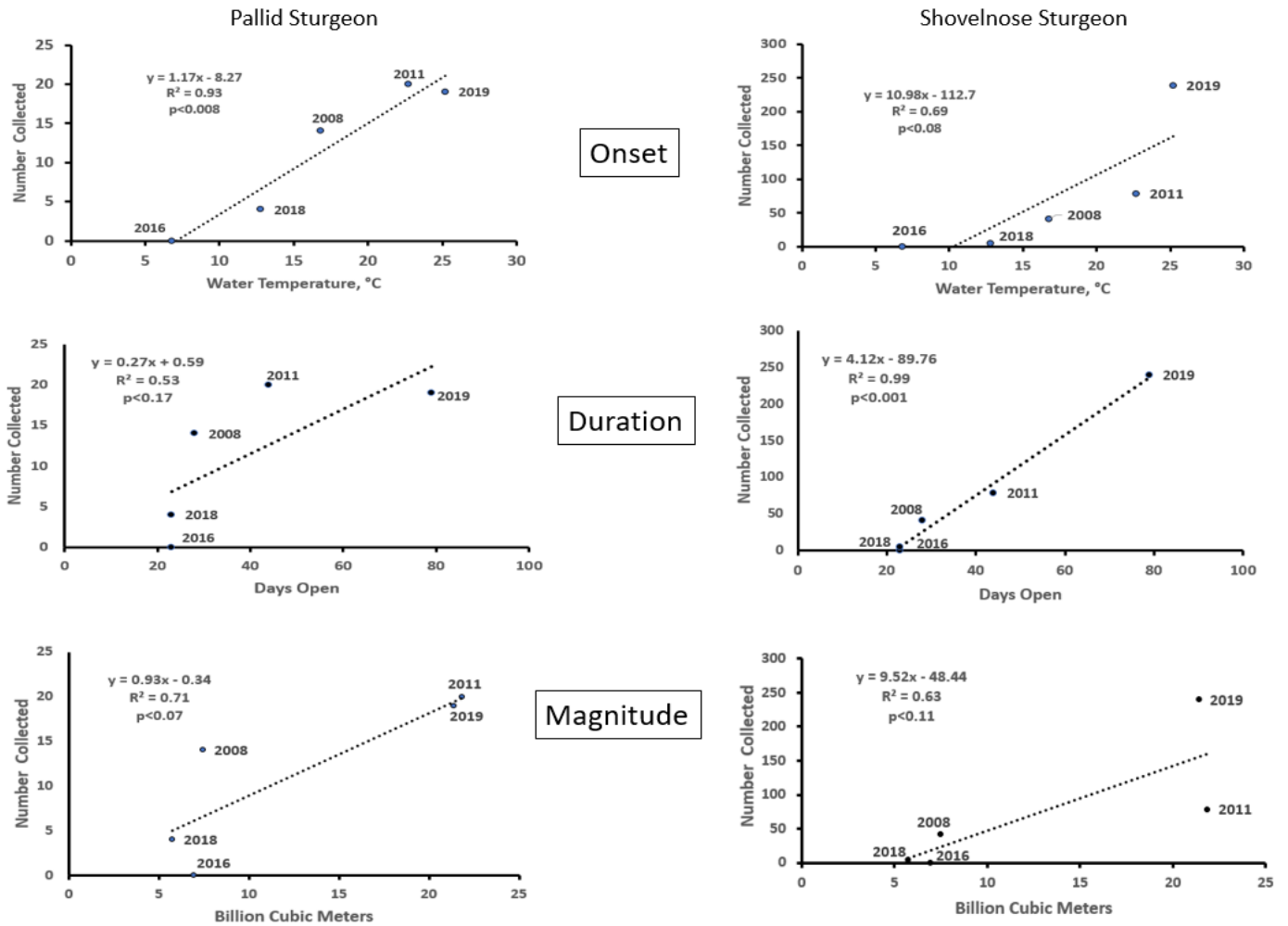
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654 Figure 6. Pattern of leakage (top panel) and water temperature and dissolved oxygen measurements
655 (bottom panel) taken in Bonnet Carre' Spillway Stilling Basin from April 2018 through November
656 2019. Continuous leakage through the low bays was noted from 14 December 2018 through 10
657 August 2019.

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6-02-2021



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663 Figure 7. Regression analysis of number of Pallid Sturgeon and Shovelnose Sturgeon captured as a
664 function of Mississippi River mean water temperature (°C) at Baton Rouge, LA during operation of
665 the Bonnet Carré Spillway (Onset), number of days the structure was open (Duration), and total
666 volume (billion cubic meters) of water passed through the structure (Magnitude). Year indicated by
667 each data point.

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6-02-2021



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671 Figure 8. Collecting efforts in the stilling basin require at least 10 people - 3 pulling the modified
672 gillnet-seine in the wide section nearest the structure, four wading between the baffles with large
673 dipnets, one data recorder, and a transport team assisting with sturgeon measurements, tagging, and
674 release back into the Mississippi River. Two additional people moving a block net to corral fish will
675 usually increase capture rates. Leakage from the Mississippi River thru pins is evident.