

1           **RPAP2 regulates a transcription initiation checkpoint by prohibiting**  
2                                   **assembly of preinitiation complex**

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24 **Abstract**

25 RNA polymerase II (Pol II)-mediated transcription in metazoan requires precise regulation.  
26 RNA polymerase II-associated protein 2 (RPAP2) was previously identified to transport Pol II  
27 from cytoplasm to nucleus and dephosphorylates Pol II C-terminal domain (CTD). We found  
28 that RPAP2 binds hypo/hyper-phosphorylated Pol II with undetectable phosphatase activity.  
29 Structure of RPAP2-Pol II shows mutually exclusive assembly of RPAP2-Pol II and pre-  
30 initiation complex (PIC) due to three steric clashes. RPAP2 prevents/disrupts Pol II-TFIIF  
31 interaction and impairs in vitro transcription initiation, suggesting a function in prohibiting PIC  
32 assembly. Loss of RPAP2 in cells leads to global accumulation of TFIIF and Pol II at promoters,  
33 indicating critical role of RPAP2 in inhibiting PIC assembly independent of its putative  
34 phosphatase activity. Our study indicates that RPAP2 functions as a gatekeeper to prohibit PIC  
35 assembly and transcription initiation and suggests a novel transcription checkpoint.

## 36 Introduction

37 The sequence-specific transcription factors (TFs) bind regulatory elements (promoters and  
38 enhancers) and multi-subunit Mediator to facilitate the assembly of a preinitiation complex  
39 (PIC) and activate RNA polymerase II (Pol II)-mediated eukaryotic transcription initiation on  
40 target genes. PIC assembly involves sequential recruitment of general transcription factors  
41 (GTFs) TFIID, TFIIA, TFIIB, TFIIF-bound Pol II, TFIIE, and TFIIH ([Roeder, 1996](#); [Thomas  
42 and Chiang, 2006](#); [Zawel and Reinberg, 1993](#)). Within the 10-subunit TFIIH, the DNA  
43 translocase subunit (XPB) stimulates promoter opening ([Guzder et al., 1994](#); [Lin et al., 2005](#))  
44 and the cyclin-dependent kinase 7 (CDK7) phosphorylates Ser5 residues of the heptapeptide  
45 repeats (Y<sup>1</sup>S<sup>2</sup>P<sup>3</sup>T<sup>4</sup>S<sup>5</sup>P<sup>6</sup>S<sup>7</sup>) of the RPB1 C-terminal domain (CTD) ([Feaver et al., 1994](#); [Fisher  
46 and Morgan, 1994](#)), the two processes required for transcription initiation. As a focal point of  
47 transcription regulation, activation of transcription initiation has been well-characterized  
48 through biochemical studies ([Buratowski et al., 1989](#); [Cortes et al., 1992](#); [Flores et al., 1991](#))  
49 and was recently revealed by structural studies from our group and others ([Abdella et al., 2021](#);  
50 [Chen et al., 2021a](#); [Chen et al., 2021b](#); [Rengachari et al., 2021](#)). Compared to extensive studies  
51 of positive regulation, negative regulation of transcription initiation is less understood.

52 The human RNA polymerase II-associated protein 2 (RPAP2) and its yeast homolog regulator  
53 of transcription protein (Rtr1) were originally identified to transport the associated Pol II from  
54 the cytoplasm to nucleus ([Forget et al., 2013](#); [Gibney et al., 2008](#)). Cytoplasm accumulation of  
55 RPAP2 was observed in Myofibrillar myopathies, a group of neuromuscular disorders  
56 ([Guglielmi et al., 2015](#)). Subsequent studies showed that depletion of RPAP2/Rtr1 led to defect  
57 of transcription termination ([Victorino et al., 2020](#)) and increase in the level of Ser5-  
58 phosphorylation (pSer5) of Pol II CTD ([Egloff et al., 2012](#); [Hunter et al., 2016](#); [Kim et al.,  
59 2009](#); [Mosley et al., 2009](#)), which serves as an indicator of enhanced transcription initiation.  
60 As RPAP2-binding partners, regulator of pre-mRNA-domain-containing 1A (RPRD1A) and  
61 RPRD1B form heterodimer and preferentially bind Pol II with CTD phosphorylated at  
62 Ser2/Ser7 ([Ni et al., 2014](#)) and acetylated at position 7 lysine residues ([Ali et al., 2019](#)),  
63 consistent with the interaction between hyperphosphorylated Pol II and Rtr1 ([Smith-Kinnaman  
64 et al., 2014](#)).

65 The role of RPAP2/Rtr1 in transcription regulation has been believed to be derived from the  
66 phosphatase activity of RPAP2 ([Egloff et al., 2012](#)) and Rtr1 ([Mosley et al., 2009](#)). However,  
67 in vitro biochemical analyses ([Hsu et al., 2014](#); [Xiang et al., 2012](#)) indicated the RPAP2/Rtr1  
68 possesses undetectable phosphatase activity or much lower activity compared to other known  
69 Pol II CTD phosphatases, such as Ssu72 ([Zhang et al., 2011](#)), Scp1 ([Zhang et al., 2006](#)), and

70 Fcp1 ([Hausmann and Shuman, 2002](#)). Structural studies indicated that Rtr1 of *Kluyveromyces*  
71 *lactis* and *Saccharomyces cerevisiae* adopt similar fold, which lacks well-defined catalytic  
72 pocket of phosphatase ([Hsu et al., 2014](#); [Irani et al., 2016](#); [Xiang et al., 2012](#)). The lack of  
73 efficient phosphatase activity suggests that RPAP2/Rtr1 may involve transcription regulation  
74 through a phosphatase-independent function.

75 Here, we observed near stoichiometric association of endogenous RPAP2 during the  
76 purification of recombinant human Pol II. The *in vitro* assay showed undetectable phosphatase  
77 activity on phosphorylated Pol II CTD. The cryo-electron microscopy (EM) structures of  
78 RPAP2-Pol II complexes indicate mutually exclusive assembly of RPAP2-Pol II with PIC or  
79 elongation complex (EC). Biochemical analysis indicated that RPAP2 prevents Pol II-TFIIF  
80 interaction, disrupts Pol II-TFIIF complex, and inhibits *in vitro* transcription initiation.  
81 Chromatin immunoprecipitation (ChIP)-sequencing analysis showed that the deletion of  
82 RPAP2 led to an increase of TFIIF occupancy on promoters, indicative of enhanced assembly  
83 of PIC. Such negative effect on transcription initiation is independent of the putative  
84 phosphatase activity of RPAP2. Thus, we identified a transcription pre-initiation checkpoint,  
85 in which RPAP2 binds Pol II and prevents PIC assembly and transcription initiation.

## 86 **Results**

### 87 **RPAP2 binds Pol II but shows undetectable phosphatase activity**

88 During purification of human Pol II overexpressed in Expi293F cells, we observed a stably co-  
89 purified Pol II-binding partner (Figure 1A). Mass spectrometry (MS) analysis indicated that  
90 the protein is RNA polymerase II associated protein 2 (RPAP2), a previously identified Pol II-  
91 binding protein. We observed higher and lower bands of RPB1 in the purified Pol II, indicative  
92 of Pol II in the hyperphosphorylated (Pol II<sub>o</sub>) and hypophosphorylated (Pol II<sub>a</sub>) forms,  
93 respectively. Consistently, trace amount of phosphorylated Pol II (phosphorylation of CTD at  
94 Ser2, Ser5, and Ser7) could be detected from the purified RPAP2, which was overexpressed in  
95 Expi293F cells (Figure 2E, lane 1). To test whether RPAP2 binds Pol II<sub>a</sub> or Pol II<sub>o</sub>, we next  
96 separately purified RPAP2 and prepared phosphorylated Pol II as previously described ([Zheng  
97 et al., 2020](#)) (Figures 1B and 2E). The in vitro pulldown assay showed that RPAP2 binds Pol  
98 II in the two phosphorylation forms, indicating that Pol II CTD phosphorylation is not required  
99 for RPAP2-Pol II interaction.

100 The human RPAP2 and its yeast homolog Rtr1 have been reported to possess protein  
101 phosphatase activity against phosphorylated Ser5 (pSer5) of Pol II CTD ([Egloff et al., 2012](#);  
102 [Hsu et al., 2014](#); [Kim et al., 2009](#); [Mosley et al., 2009](#)). However, RPAP2-bound Pol II  
103 remained evidently hyperphosphorylated after the two-day purification (Figure 1A),  
104 suggesting inefficient dephosphorylation of RPAP2 on phosphorylated Pol II CTD.

105 To directly measure RPAP2 phosphatase activity, we performed an in vitro phosphatase assay  
106 using the phosphorylated Pol II as substrate, which possesses pSer5 and pSer2 of RPB1 CTD  
107 (Figure 1C, lane 1). As a positive control, integrator-containing PP2A complex (INTAC) at 0.4  
108  $\mu$ M concentration showed largely dephosphorylated RPB1 (lane 2), consistent with our  
109 previous study ([Zheng et al., 2020](#)). In contrast, RPAP2 at a concentration of as high as 10  $\mu$ M  
110 showed undetectable phosphatase activity (lanes 3 and 4), suggesting that RPAP2 possesses  
111 very weak, if any, phosphatase activity against pSer5 of Pol II CTD. The result is consistent  
112 with previous structural and biochemical studies showing that that Rtr1 (RPAP2 homolog)  
113 lacks an active site and phosphatase activity ([Xiang et al., 2012](#)). Collectively, the in vitro  
114 assays suggest that RPAP2 does not efficiently dephosphorylates Pol II CTD and Pol II CTD  
115 phosphorylation is not required for binding of RPAP2 to Pol II, suggesting a phosphorylation  
116 independent role of RPAP2 in Pol II function.

117

## 118 **Structure of RPAP2-Pol II complex**

119 We next determined the structure of human RPAP2-Pol II complex using cryo-EM single  
120 particle reconstruction and the cryo-EM map was refined to 3.5 Å resolution (Figures S1 and  
121 S2). RPRD1A-RPRD1B heterodimer was previously reported to bind RPAP2 and facilitate the  
122 recognition of phosphorylated Pol II CTD ([Ni et al., 2014](#)). We have also complexed *Sus scrofa*  
123 Pol II (four-residue substitution in human Pol II) with human RPAP2 and RPRD1A-RPRD1B  
124 followed by gradient fixation (Grafix) (Figure S1) ([Kastner et al., 2008](#)) and the cryo-EM map  
125 was refined to 2.8 Å resolution. The two cryo-EM maps showed almost identical conformation  
126 and RPRD1A-RPRD1B was not observed, consistent with the binding of RPRD1A-RPRD1B  
127 to the highly flexible Pol II CTD.

128 Structure determination was focused on RPAP2-Pol II-RPRD1A-RPRD1B (termed RPAP2-  
129 Pol II for simplicity) and the structure will be discussed below (Figure 2 and Video S1). The  
130 cryo-EM map around RPAP2 was locally refined to 3.4 Å resolution. For structural model  
131 building, the structural templates of Pol II from holo PIC (hPIC) complex (PDB: 7EGB) ([Chen](#)  
132 [et al., 2021a](#)) and yeast Rtr1 (PDB: 4FC8) ([Xiang et al., 2012](#)) were respectively docked into  
133 the cryo-EM map, followed by manual adjustment (Figure S2 and Table S1).

134 The modeled RPAP2 consists of an N-terminal domain (NTD<sup>RPAP2</sup>, residues 41-182) followed  
135 by an extended loop (residues 183-203), which we termed TFIIF inhibitory region (TFIIF<sup>i</sup><sup>RPAP2</sup>,  
136 described below) (Figure 2A-2D and Video S1). The C-terminal region (204-612) was invisible  
137 in the cryo-EM map, consistent with the predicted flexibility. The NTD<sup>RPAP2</sup> consists of a five-  
138 helix bundle and a characteristic zinc finger. The zinc finger and a short helix stabilize the five-  
139 helix bundle on two opposite ends. The overall fold of NTD<sup>RPAP2</sup> is generally similar to that  
140 of the reported structures of yeast Rtr1 ([Hsu et al., 2014](#); [Irani et al., 2016](#); [Xiang et al., 2012](#))  
141 (Figure S3A).

142 In RPAP2-Pol II, Pol II adopts a similar conformation to that in PIC ([Chen et al., 2021a](#); [Chen](#)  
143 [et al., 2021b](#)) and EC ([Bernecky et al., 2016](#)) (Figures 3A and S4A). The NTD<sup>RPAP2</sup> is grasped  
144 by the RPB5 jaw and RPB1 jaw (Figure 2D). The five-helix bundle binds the parallel helices  
145 of the RPB5 jaw. A two-stranded β-sheet (residues 114-128) protrudes out of the five-helix  
146 bundle and packs against the RPB1 jaw. The tip (residues 120-125) of the β-sheet inserts into  
147 and stabilizes a flanking hairpin of RPB1 jaw, which was not previously modeled due to the  
148 lack of stabilization ([Bernecky et al., 2016](#); [Chen et al., 2021a](#); [Chen et al., 2021b](#)). The  
149 TFIIF<sup>i</sup><sup>RPAP2</sup> packs against the lobe of RPB2, which contacts the charge helix of TFIIF (TFIIFα  
150 subunit) in PIC/EC.

151

## 152 **The N-terminal region of RPAP2 is necessary and sufficient for binding of Pol II**

153 We next performed in vitro pulldown assay to test the interaction between RPAP2 and Pol II.  
154 Reciprocal pulldown assay indicated that full-length RPAP2 and the N-terminal region  
155 (residues 1-204) bound Pol II (Figures 2E, lanes 11-12 and S3A, lanes 18-19) whereas the C-  
156 terminal region (residues 205-612) showed undetectable binding of Pol II (Figure 2E, lane 13  
157 and S3A, lane 20). Double-mutation C100A-C105A and C136A-C140A largely decreased the  
158 interaction (Figure 2E, lanes 14-15 and S3A, lane 21-22), indicating a critical role of the zinc-  
159 finger in maintaining the overall fold of NTD<sup>RPAP2</sup>. The deletion of the  $\beta$ -sheet (residues 114-  
160 128) decreased the interaction (Figures 2E, lanes 16 and S3A, lane 23), consistent with its  
161 position in bridging NTD<sup>RPAP2</sup> and RPB1 jaw of Pol II.

162 It was reported that mutation Y105A of yeast Rtr1 (equivalent of Y127A in RPAP2) impairs  
163 phosphatase activity ([Irani et al., 2016](#)). The RPAP2-Pol II interaction was not obviously  
164 affected by the mutation Y127A (Figure 2E lane 18 and S3A, lane 25), suggesting a  
165 phosphatase-independent function of RPAP2 in binding of Pol II. Thus, RPAP2<sup>Y127A</sup> represents  
166 a phosphatase-dead mutant that maintains RPAP2-Pol II interaction. Moreover, the deletion of  
167 extended loop region (residues 175-204) has no obvious effect on RPAP2-Pol II interaction.

168

## 169 **Three steric clashes between RPAP2 and PIC/EC elements**

170 Comparison of RPAP2-Pol II structure with the structures of PIC ([Chen et al., 2021a](#)) and EC  
171 ([Bernecky et al., 2016](#)) complexes shows that the Pol II-bound RPAP2 may generate three  
172 steric clashes with structural elements of PIC or EC (Figures 3A, 3B and S4A). (1) The  
173 NTD<sup>RPAP2</sup> generates steric clash (Clash-I) with the Pol II clamp in PIC/EC (Figures 3A and  
174 S4A), consistent with the absence of cryo-EM density of the clamp in RPAP2-Pol II  
175 reconstruction (Figure 2B). (2) The NTD<sup>RPAP2</sup> generates an apparent clash (Clash-II) with DNA  
176 at the entry tunnel in PIC/EC, suggesting that binding of Pol II to RPAP2 and DNA are  
177 mutually exclusive. (3) Superimposition of RPAP2-Pol II and PIC shows obvious overlaps  
178 (Clash-III) of the TFIIF $\alpha$  charge helix and TFIIF<sup>RPAP2</sup> (Figure 3B and Video S2). The above  
179 structural analyses suggest that RPAP2 either inhibits PIC/EC assembly or dissociates from  
180 Pol II during assembly of PIC/EC if not undergoing significant conformational changes.

181

## 182 **RPAP2 disrupts Pol II-TFIIF interaction and prohibits PIC assembly**

183 It is known that RPAP2 shuttles from the cytoplasm and nucleus with the associated Pol II  
184 ([Forget et al., 2013](#); [Gibney et al., 2008](#)), suggesting that the Pol II-bound RPAP2 may function  
185 prior to the assembly of Pol II into PIC complex. To test whether RPAP2 affects transcription

186 initiation, we performed in vitro transcription initiation assay using purified RPAP2, Pol II,  
187 and general transcription factors (GTFs) including TFIID, TFIIA, TFIIB, TFIIE, TFIIF, and  
188 TFIIH. As previously described ([Chen et al., 2021b](#)), Pol II and GTFs generated expected 50  
189 nucleotide (nt) RNA products on *HDM2* promoter, indicating successful transcription initiation  
190 (Figure 3C, lane 3). In contrast, the pre-assembled RPAP2-Pol II showed undetectable  
191 transcription activity (Figure 3C, lane 2), indicating that RPAP2-bound Pol II does not support  
192 transcript initiation.

193 We next investigated in which step RPAP2 inhibits transcription initiation. It has been well-  
194 accepted that TFIIF is the first GTF that binds Pol II in step stepwise PIC assembly and that  
195 PIC is unable to be assembled in the absence of TFIIF ([Cortes et al., 1992](#); [Flores et al., 1991](#)).  
196 Competitive pulldown assay showed that TFIIF stably binds Pol II and the Pol II-TFIIF  
197 complex is disrupted by the addition of RPAP2 (Figure 3D). Compared to standard Pol II-  
198 TFIIF assembly, much less TFIIF was observed in the peak fractions of Pol II in glycerol  
199 density gradient ultracentrifugation no matter whether TFIIF was added to the pre-assembled  
200 RPAP2-Pol II or RPAP2 was added to the pre-assembled Pol II-TFIIF (Figure 3E-3H). These  
201 results indicate that RPAP2 prohibits Pol II-TFIIF assembly and disrupts Pol II-TFIIF  
202 interaction but not vice versa. Consistently, an increasing amount of RPAP2 inhibits in vitro  
203 transcription initiation (Figure S4B).

204 We next performed a competitive binding assay to test whether other GTFs could disrupt  
205 RPAP2-Pol II interaction (Figure S4C-S4F). The immobilized RPAP2 was first incubated with  
206 Pol II followed by the addition of purified GTFs. The interaction between RPAP2 and Pol II  
207 was not obviously disrupted by TFIID-TFIIA-promoter, TFIIB, TFIIE, TFIIF, or TFIIH, in line  
208 with the architectural placement of RPAP2 on Pol II. The result is also consistent with the in  
209 vitro transcription assay (Figures 3C and S4B), suggesting that PIC assembly is inhibited by  
210 RPAP2 whereas RPAP2-Pol II interaction is not disrupted by GTFs in the in vitro system.

211

### 212 **RPAP2 regulates a pre-initiation checkpoint during cellular PIC assembly**

213 To examine whether RPAP2 suppresses TFIIF recruitment and thus prohibits PIC assembly in  
214 cells, we depleted RPAP2 in human DLD-1 cells by shRNA (Figure 4A) and conducted ChIP  
215 with reference exogenous genome (ChIP-Rx) of TFIIF. The chromatin occupancy of TFIIF  
216 reflects dissociation of RPAP2 from Pol II and initial assembly of PIC complex on promoters.  
217 Strikingly, as shown by example genes (Figures 4B and S5A) and metagene analysis (Figure  
218 4C), RPAP2 depletion greatly enhanced the binding of TFIIF at promoters, in supportive of  
219 the inhibitory role of RPAP2 in PIC assembly in cells. Consistently, the levels of Pol II



220 increased at promoters upon RPAP2 depletion (Figure 4B and 4D). Measurement of total and  
221 elongating Pol II, represented by Pol II phosphorylated at Serine 2 of CTD, at gene bodies  
222 revealed an activation of transcription elongation, likely resulting from the increase in PIC  
223 assembly (Figure S5B-S5D).

224 To further confirm the direct regulation of PIC assembly by RPAP2, we utilized the  
225 degradation tag (dTAG) system ([Nabet et al., 2018](#)) by integrating the Flag-FKBP12<sup>F36V</sup> tag at  
226 the N-terminus of the endogenous RPAP2 locus (RPAP2-dTAG) in DLD-1 cells (Figure 4E).  
227 The addition of dTAG-13 for three hours induced a rapid depletion of RPAP2 protein in  
228 RPAP2-dTAG cells (Figure 4F). Cellular fractionation showed a predominant presence of  
229 RPAP2 in nucleoplasm and much less RPAP2 on chromatin (Figure 4G, row 1), in line with  
230 our above results showing the mutually exclusive assembly of RPAP2-Pol II and PIC (Figure  
231 3). Notably, rapid degradation of RPAP2 did not cause notably decreased association of Pol II  
232 on chromatin or accumulation of Pol II in cytoplasm (Figure 4G, row 2), the observed effect  
233 of siRNA-mediated RPAP2 silencing in previous study ([Forget et al., 2013](#)). This system  
234 allowed us to evaluate the direct role of RPAP2 in PIC assembly without affecting RPAP2  
235 function in transporting Pol II.

236 To determine whether and, if yes, to what extent the Pol II binding capacity and putative  
237 phosphatase activity of RPAP2 contribute to its role in suppressing cellular PIC assembly, we  
238 generated rescue cell lines by respectively inducing the expression of wildtype and mutant  
239 RPAP2 in RPAP2-dTAG cells with rapid degradation of endogenous RPAP2 protein (Figure  
240 4H, lanes 2-5). The two mutants include RPAP2<sup>A114-128</sup>, which compromises Pol II interaction  
241 (Figure 2E), and RPAP2<sup>Y127A</sup>, which represents a phosphatase-dead mutant (Figure 2E) ([Irani  
242 et al., 2016](#)). The comparison of TFIIIF ChIP-Rx in dTAG-13 treated cells with induced  
243 expression of wildtype RPAP2 and an empty pLVX-Tet-On vector showed higher levels of  
244 TFIIIF at promoters in the absence of RPAP2 (Figures 4I, 4K, and S5E, compare purple and  
245 black), revealing the direct role of RPAP2 in prohibiting PIC assembly. Despite being  
246 expressed to a higher level than wildtype RPAP2 (Figure 4H, lane 4), RPAP2<sup>A114-128</sup> failed to  
247 rescue the aberrant accumulation of TFIIIF (Figure 4, J and K, compare green and black). In  
248 contrast, the putative phosphatase-dead mutant RPAP2<sup>Y127A</sup> fully restored the levels of TFIIIF  
249 as the wildtype RPAP2 did (Figure 4J, 4K, and S5E, compare skyblue and black). Heatmaps  
250 showing the change of TFIIIF levels indicated that wildtype RPAP2 and RPAP2<sup>Y127A</sup>, but not  
251 RPAP2<sup>A114-128</sup>, regulate TFIIIF occupancy at genome-wide levels (Figure 4L). These results  
252 suggest that RPAP2 regulates a pre-initiation checkpoint through hindering the assembly of

253 PIC and thus the following transcription activation. Importantly, this function of RPAP2  
254 requires its association with Pol II but not the reported phosphatase activity.

## 255 **Discussion**

256 Pol II-mediated transcription in metazoan is controlled at multiple levels including assembly  
257 of PIC on core promoters, transcription initiation, Pol II CTD phosphorylation and promoter  
258 escape, pausing and its release, elongation, and termination ([Chen et al., 2018](#); [Jonkers and Lis,](#)  
259 [2015](#); [Taatjes, 2021](#)). Transcription checkpoints determine whether and when the transcription  
260 machinery pauses or proceeds to ensure transcription is under precise control. One of the most  
261 well-defined checkpoint is promoter-proximal Pol II pausing following the completion of  
262 initiation ([Core and Adelman, 2019](#); [Smith and Shilatifard, 2013](#)) (Figure 4M, right panel). The  
263 generation and maintenance of paused Pol II rely on the coordination of several pausing factors  
264 including but not limited to the negative elongation factor (NELF), DRB sensitivity inducing  
265 factor (DSIF) and Pol II-associated factor 1 (PAF1) ([Chen et al., 2018](#); [Core and Adelman,](#)  
266 [2019](#)). Release of paused Pol II is driven by positive transcription elongation factor b (P-TEFb),  
267 a cyclin-dependent kinase 9 (CDK9)-containing complex, which phosphorylates Pol II and  
268 several key transcriptional regulators and thus activates the transcription machinery and  
269 relieves negative factors such as NELF.

270 In this study, we demonstrated that RPAP2 serves as a pivotal transcription gatekeeper in  
271 sterically inhibiting Pol II-TFIIF complex formation and transcription initiation. We proposed  
272 a transcription checkpoint prior to PIC assembly, which we termed pre-initiation checkpoint  
273 (Figure 4M, left panel). Given the critical role of TFIIF in stepwise PIC assembly ([Cortes et](#)  
274 [al., 1992](#); [Flores et al., 1991](#)), an efficient transcription initiation requires the discharge of  
275 RPAP2 from Pol II before the formation and loading of Pol II-TFIIF at promoters. Our in vitro  
276 assays show that RPAP2 disrupts Pol II-TFIIF whereas TFIIF could not disrupt RPAP2-Pol II,  
277 suggesting additional factor(s) or post-translational modifications is required to disrupt  
278 RPAP2-Pol II and allow for Pol II-TFIIF formation.

279 The role of RPAP2/Rtr1 in transcription has mainly been attributed to its phosphatase activity  
280 towards pSer5 of Pol II ([Ali et al., 2019](#); [Egloff et al., 2012](#); [Hsu et al., 2014](#); [Hunter et al.,](#)  
281 [2016](#); [Irani et al., 2016](#); [Mosley et al., 2009](#); [Ni et al., 2014](#); [Victorino et al., 2020](#)). However,  
282 this enzymatic activity is under debate due to the lack of a consensus phosphatase active pocket,  
283 as evidenced by our structural study and others ([Irani et al., 2016](#); [Xiang et al., 2012](#)). Moreover,  
284 despite being reported as an atypical phosphatase, RPAP2/Rtr1 possesses very low in vitro  
285 phosphatase activity ([Hsu et al., 2014](#); [Irani et al., 2016](#)). Consistently, our in vitro assays  
286 showed undetectable phosphatase activity of RPAP2 on phosphorylated Pol II CTD. Previous  
287 studies suggested that RPRD1A-RPRD1B heterodimer facilitates RPAP2 phosphatase activity  
288 by mediating RPAP2-Pol II association ([Ali et al., 2019](#); [Ni et al., 2014](#)). However, we found

289 that RPAP2 stably associates with Pol II (Pol IIa and Pol IIo) in the absence of RPRD1A-  
290 RPRD1B and that RPRD1A-RPRD1B leads to no apparent impact on the conformation of  
291 RPAP2-Pol II. In addition, our ChIP-Rx analyses showed that the phosphatase-dead mutant of  
292 RPAP2 fully rescues the aberrant accumulation of TFIIF caused by RPAP2 loss, indicating  
293 that RPAP2 modulates cellular PIC assembly independently of its putative phosphatase activity.  
294 Regarding its function as a specific pSer5 phosphatase, we surmise that the induced  
295 phosphorylation of Pol II upon RPAP2 depletion might partially result from effects of  
296 enhanced PIC assembly and thus transcriptional activation. Supporting this notion, our results  
297 showed that RPAP2 loss leads to a global increase in the occupancy of pSer2, which is not the  
298 substrate of RPAP2 but will be induced by transcriptional activation.

299 The function of RPAP2 in disrupting Pol II-TFIIF interaction is reminiscent of Gdown1, which  
300 also inhibits the binding of TFIIF to Pol II ([Cheng et al., 2012](#); [Jishage et al., 2012](#); [Wu et al.,](#)  
301 [2012](#)), consistent with the critical role of TFIIF in Pol II-mediated transcription. However,  
302 RPAP2 and Gdown1 regulate transcription in distinct stages. Gdown1 does not inhibit PIC  
303 assembly or transcription initiation ([Mullen Davis et al., 2014](#)), but instead, negatively  
304 regulates transcription at post-initiation stages ([Cheng et al., 2012](#); [DeLaney and Luse, 2016](#);  
305 [Guo et al., 2014](#); [Jishage et al., 2012](#); [Jishage et al., 2018](#)). Reconciling these available lines of  
306 evidence, we envision that activating signal-induced dissociation of RPAP2 and association of  
307 TFIIF occurs before PIC assembly; Gdown1 then competes with TFIIF for Pol II binding to  
308 hinder transcription at either pausing or elongation stages, given reported roles of TFIIF and  
309 Gdown1 in both pausing and transcription elongation ([Cheng et al., 2012](#); [DeLaney and Luse,](#)  
310 [2016](#); [Espinosa, 2012](#); [Joo et al., 2019](#); [Schweikhard et al., 2014](#)).

311 During the preparation of this manuscript, a structure of RPAP2-Pol II complex was reported  
312 ([Fianu et al., 2021](#)), showing the incompatibility between RPAP2 and DNA in binding Pol II.  
313 The structure is generally similar to our RPAP2-Pol II structure (Figure S3B). Intriguingly, gel  
314 filtration analysis showed that the N-terminal region (1-215) of RPAP2 can be displaced from  
315 Pol II during PIC assembly in a TATA box-binding protein (TBP)-based system ([Fianu et al.,](#)  
316 [2021](#)). In contrast, we found that full-length RPAP2 is hardly displaced from Pol II and  
317 prohibits Pol II-TFIIF interaction during PIC assembly in TFIID-based system. Consistently,  
318 rapid degradation of RPAP2 leads to an aberrant accumulation of TFIIF at promoters with  
319 unnoticeable defects in Pol II biogenesis and nuclear import. The above distinct observations  
320 may result from differences in compositions of RPAP2 and PIC.

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503

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506 the model building; Z. W. and L. W. generated the knock-in cell lines and conducted  
507 sequencing experiments with the help from Q.J.; A. S. analyzed the sequencing data; Y. X.,  
508 F.X.C., and X. C. wrote the manuscript; Y. X. supervised the project.

509

510 **Competing interests:** Authors declare no competing interests.

511 **Data and materials availability:** The cryo-EM maps have been deposited in the Electron  
512 Microscopy Data Bank (EMDB) with accession numbers of 31450 and 31451 and the structure  
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514 7F4G.

## 515 **Materials and Methods**

### 516 **Antibodies and cell culture**

517 Antibodies were as follows: Pol II (NTD) (#14958, Cell Signaling), Pol II (pSer2)  
518 (#13499, Cell Signaling), GTF2F1 (10093-2-AP, Proteintech), FLAG (#SLAB01, Smart  
519 Lifesciences), histone H3 (#4499, Cell Signaling), TBP: (66166-1-Ig, Proteintech). RPAP2  
520 antibody is generated by Abclonal. 293T, DLD-1 and MEF cells were grown in DMEM  
521 supplemented with 10% FBS.

### 522 **Protein expression and purification**

523 The 12 full-length open reading frames (ORFs) of human Pol II subunits were amplified  
524 from 293T cDNA by PCR or synthesized and sub-cloned into a modified pCAG vector and  
525 RPB1 was tagged with a C-terminal Protein A. All plasmids were co-transfected to Expi293F  
526 cells using PEI. After cultured at 37°C for 48 h, cells were harvested and lysed in buffer  
527 containing 30 mM HEPES pH 8.0, 300 mM NaCl, 0.25% CHAPS, 5 mM ATP, 5 mM MgCl<sub>2</sub>,  
528 1 mM EDTA, 10 μM ZnCl<sub>2</sub>, 3 mM DTT, 10% Glycerol (v/v), 1 mM PMSF, 1 μg/mL Aprotinin,  
529 1 μg/mL Pepstatin, 1 μg/mL Leupeptin at 4°C for 30 min. The cell lysate was clarified by  
530 centrifugation at 15,000 rpm at 4°C for 30 min and the supernatant was incubated with IgG  
531 resin (Smart-Lifesciences) for 1.5 h followed by on-column digestion at 4°C overnight in buffer  
532 containing 30 mM HEPES pH 8.0, 300 mM NaCl, 0.1% CHAPS, 1 mM EDTA, 2 mM MgCl<sub>2</sub>,  
533 10 μM ZnCl<sub>2</sub>, 3 mM DTT, 10% Glycerol (v/v). The immobilized proteins were eluted out and  
534 further purified by a mono Q 5/5 column (GE Healthcare) and a Superose S6 Increase 5/150  
535 GL column (GE Healthcare) in buffer containing 30 mM HEPES pH 8.0, 150 mM NaCl, 2 mM  
536 MgCl<sub>2</sub>, 0.2 mM EDTA, 10 μM ZnCl<sub>2</sub> and 1mM TCEP. The peak fractions corresponding to  
537 the RPAP2-Pol II complex were concentrated using a 100-kDa cut-off centrifugation filter unit  
538 (Amicon Ultra). The purified complex was used for cryo-EM.

539 Human RPAP2 was sub-cloned into a modified pCAG containing an N-terminal protein  
540 A tag. The plasmid was transfected to Expi293F cells using PEI. After cultured at 37°C for 72  
541 h, cells were harvested and lysed in Lysis buffer containing 30 mM HEPES pH 8.0, 300 mM  
542 NaCl, 0.25% CHAPS, 5 mM ATP, 5 mM MgCl<sub>2</sub>, 1mM EDTA, 10 μM ZnCl<sub>2</sub>, 3 mM DTT, 10%  
543 Glycerol (v/v), 1 mM PMSF, 1 μg/mL Aprotinin, 1 μg/mL Pepstatin, 1 μg/mL Leupeptin at  
544 4°C for 30 min. Clarified lysates were applied to IgG resin (Smart-Lifesciences) for 1.5 h  
545 followed by on-column digestion at 4°C for 1 h. The immobilized proteins were eluted and  
546 further purified by a mono Q 5/5 column (GE Healthcare) and a Superdex75 10/300 GL column  
547 (GE Healthcare) in a buffer containing 30 mM HEPES pH 7.9, 100 mM KCl, 10 μM ZnCl<sub>2</sub>, 1

548 mM TCEP, 5% Glycerol (v/v). The peak fractions were pooled, aliquoted, snap frozen and  
549 stored at -80°C.

550 RPRD1A-RPRD1B complex was prepared essentially in a similar scheme. The two full-  
551 length ORFs of human RPRD1A and RPRD1B were separately sub-cloned into a modified  
552 pCAG vector containing N-terminal Protein A (ProA) tag and co-expressed in Expi293F cells.  
553 After cell lysis, the lysate was applied onto the IgG (Smart-Lifesciences) affinity  
554 chromatography column, followed by on-column digestion and the eluate was further purified  
555 by a mono Q 5/5 column (GE Healthcare) and a Superdex75 10/300 GL column (GE  
556 Healthcare) in buffer containing 30 mM HEPES pH 7.9, 100 mM KCl, 1 mM TCEP, 5%  
557 Glycerol (v/v). The peak fractions containing RPRD1A-RPRD1B complex were pooled,  
558 aliquoted, snap frozen and stored at -80°C.

559 GTFs (TFIID, TFIIA, TFIIB, TFIIF, TFIIE, TFIIH), *HDM2* promoter DNA, TFIID-  
560 TFIIA-promoter were prepared as previously described ([Chen et al., 2021a](#)). Unphosphorylated  
561 Pol II was isolated from *S. scrofa* thymus and purified following previously established  
562 protocol ([Vos et al., 2018](#)). Four residue substitutions (G882S of RBP2, T75I of RPB3, S140N  
563 of RPB3, and S126T of RPB6) exist between *S. scrofa* and *H. sapiens* Pol II. The  
564 phosphorylated Pol II was prepared by in vitro phosphorylation using TFIIH as previously  
565 described ([Zheng et al., 2020](#)).

566

### 567 **In vitro pull-down assay**

568 In the RPAP2 pulldown assay, the purified RPAP2 was incubated with phosphorylated  
569 Pol II or unphosphorylated Pol II at 4°C for 2h in 400 µl Binding buffer containing 30 mM  
570 HEPES-KOH pH 7.9, 100 mM KCl, 0.05% CHAPS, 2mM MgCl<sub>2</sub>, 2 mM DTT, 0.2 mM EDTA,  
571 10 µM ZnCl<sub>2</sub> and 5% (v/v) glycerol. RPAP2 antibody (αRPAP2) and protein G resins were  
572 incubated at 4°C for 2h. Subsequently, the resins were washed three times and added to the  
573 RPAP2 and phosphorylated Pol II/ unphosphorylated Pol II mixture for another 2 hours at 4°  
574 C. The resins were extensively washed with the Binding buffer, and the bound proteins were  
575 subjected to SDS-PAGE followed by Coomassie blue staining.

576 In the 8WG16 pulldown assay, the purified RPAP2 mutants/truncations were individually  
577 incubated with purified Pol II at 4°C for 2h in Binding buffer, followed by the addition of Pol  
578 II antibody (8WG16) and protein G resins and further incubation at 4°C for 2h. After  
579 extensively washed using Binding buffer, the bound proteins were subjected to SDS-PAGE  
580 followed by Coomassie blue staining.

581 In the IgG pulldown assay, the indicated plasmids of N-terminal Protein A tagged RPAP2  
582 mutants and truncations were individually transfected to Expi293F cells and cultured at 37°C  
583 for 72h. Cells of each RPAP2 protein were separately lysed as described above. The  
584 supernatant of cell lysate was incubated with IgG resins at 4°C for 2h. The resins were washed  
585 three times and resuspended 400 µl Binding buffer. The purified unphosphorylated Pol II was  
586 then added and incubated with the resins at 4°C for 2h. The resins were extensively washed  
587 and the bound proteins were subjected to SDS-PAGE and stained by Coomassie blue.

588

### 589 **In vitro phosphatase assay**

590 In vitro phosphatase assay was performed as previously described ([Zheng et al., 2020](#)).  
591 Briefly, the phosphorylated Pol II (0.1 µM) was incubated with RPAP2 (2 µM, 10 µM) or  
592 INTAC complex (0.4 µM) in a final volume of 20 µl containing 50 mM HEPES-NaOH pH 7.4,  
593 100 mM NaCl, 0.01% CHAPS, 10mM MgCl<sub>2</sub>, 1mM MnCl<sub>2</sub>, and 2 mM DTT. The reactions  
594 were performed at 30°C for 30 min and stopped by adding 5 µl of 5 × SDS loading buffer.  
595 Samples (2.5 µl) were subjected to SDS-PAGE and analyzed by Western blotting with  
596 indicated antibodies.

597

### 598 **In vitro competitive binding Assay**

599 The competitive binding assay for RPAP2 and Pol II-TFIIF. The plasmids of N-terminal  
600 ALFA-tagged ([Gotzke et al., 2019](#)) TFIIF (ALFA-tagged TFIIF $\alpha$  and untagged TFIIF $\beta$ ) were  
601 co-transfected to Expi293F cells and cultured at 37°C for 72h. Cells were pelleted and lysed as  
602 described above. The supernatant of cell lysate was incubated with ALFA-nanobody  
603 ( $\alpha$ ALFA) coupled resin at 4°C for 2h. The resins were washed three times and resuspended in  
604 Binding buffer. Subsequently, the purified unphosphorylated Pol II was added and incubated  
605 with the resins at 4°C for 2h. The resins were washed three times to remove unbound Pol II,  
606 followed by the addition of an equal amount of RPAP2 and further incubated for 2 hours. The  
607 resins were extensively washed and subjected to SDS-PAGE and stained by Coomassie blue.

608 The competitive binding assay for PIC components (TFIID-TFIIA-promoter, TFIIB,  
609 TFIIF, TFIIE and TFIIH) and RPAP2-Pol II. The purified RPAP2 was incubated with  
610 unphosphorylated Pol II at 4°C for 2h in 400 µl Binding buffer. RPAP2 antibody ( $\alpha$ RPAP2)  
611 and protein G resins were incubated at 4°C for 2h. Subsequently, the resins were washed three  
612 times and added to pre-assembled RPAP2-Pol II for another 2 hours at 4°C. The resins were

613 washed three times to remove unbound Pol II, followed by the addition of three molar excess  
614 of TFIID-TFIIA-promoter, TFIIB, TFIIF, TFIIE or TFIIH and further incubated for 2 hours.  
615 10  $\mu$ M THZ1 (MedChemExpress) was added to the reaction containing TFIIH to impaired the  
616 kinase activity of TFIIH. The resins were extensively washed with the Binding buffer, and the  
617 bound proteins were subjected to SDS-PAGE followed by Coomassie blue staining.

618

### 619 **Glycerol density gradient ultracentrifugation and competitive assay**

620 To assemble the RPAP2-Pol II complex, 60 pmol of the purified *S. scrofa*  
621 unphosphorylated Pol II was incubated with 2-fold molar excess of purified RPAP2 at 4°C for  
622 3h, and then added on top of a 4 ml 10%-50% (w/v) glycerol gradient in buffer containing 30  
623 mM HEPES-KOH pH 7.9, 100 mM KCl, 2 mM MgCl<sub>2</sub>, 2 mM DTT and centrifuged for 16 h  
624 at 34,000 rpm at 4°C for 16 h using an SW60 Ti rotor (Beckman Coulter). The fractions, 200 $\mu$ l  
625 each, were subjected to SDS-PAGE and Coomassie blue staining. The peak fractions  
626 containing Pol II-RPAP2 complex were pooled, concentrated, aliquoted, snap frozen and stored  
627 at -80°C. The assembly of Pol II-TFIIF complex was prepared and analysis in a similar scheme.

628 To test the binding of RPAP2 and Pol II in the presence of excess TFIIF, 60 pmol of the  
629 purified *S. scrofa* unphosphorylated Pol II was pre-incubated with 2-fold molar excess of  
630 purified RPAP2 at 4°C for 1 h, followed by the addition of 5-fold molar excess of TFIIF and  
631 further incubation at 4°C for 2 h. The sample was subjected to glycerol density gradient  
632 ultracentrifugation as above mentioned.

633 The test of the binding of TFIIF and Pol II in the presence of excess RPAP2 were  
634 performed in a similar way. 5-fold molar excess of RPAP2 was incubated with 60 pmol of pre-  
635 incubated Pol II-TFIIF, and then analysis by glycerol density gradient ultracentrifugation.

636 To test the assembly of RPAP2-Pol II-RPRD1A-RPRD1B complex, The purified *S.*  
637 *scrofa* Pol II was incubated with RPAP2 and RPRD1A-PRD1B in molar ratio of 1:2:5 at 4°C  
638 for 3h, followed by glycerol density gradient ultracentrifugation in buffer containing 10%-50%  
639 (w/v) glycerol, 30 mM HEPES-KOH pH 7.9, 100 mM NaCl, 2 mM MgCl<sub>2</sub>, 10  $\mu$ M ZnCl<sub>2</sub>, 2  
640 mM DTT and centrifuged for 16 h at 34,000 rpm at 4°C for 16 h using an SW60 Ti rotor  
641 (Beckman Coulter). The fractions were subjected to SDS-PAGE and Coomassie blue staining.

642

### 643 **Cryo-EM sample preparation**

644 To assemble the RPAP2-Pol II-RPRD1A-RPRD1B complex for cryo-EM sample  
645 preparation. The purified *S. scrofa* Pol II was incubated with RPAP2 and RPRD1A-PRD1B in

646 molar ratio of 1:2:5 at 4°C for 3h. The mixture was then subjected to GraFix ([Kastner et al.,](#)  
647 [2008](#)). The glycerol gradient was prepared using light buffer containing 10% (w/v) glycerol,  
648 30 mM HEPES-KOH pH 7.9, 100 mM NaCl, 2 mM MgCl<sub>2</sub>, 10 μM ZnCl<sub>2</sub>, 2 mM DTT, and  
649 heavy buffer containing 50% (w/v) glycerol, 30 mM HEPES-KOH pH 7.9, 100 mM NaCl, 2  
650 mM MgCl<sub>2</sub>, 10 μM ZnCl<sub>2</sub>, 2 mM DTT, and 0.005% glutaraldehyde. The samples were  
651 centrifuged at 34,000 rpm at 4°C for 16 h using an SW60 Ti rotor (Beckman Coulter).  
652 Subsequently, fractions containing cross-linked complexes were quenched with 50 mM Tris  
653 pH 7.4 (25°C). The homogeneity of peak fractions was assessed by negative stain EM.  
654 Fractions of interest were pooled, concentrated, followed by buffer exchange into a buffer  
655 containing 30 mM HEPES-KOH pH 7.9, 100 mM NaCl, 2 mM MgCl<sub>2</sub>, 10 μM ZnCl<sub>2</sub>, 2mM  
656 DTT, and 0.8 % (v/v) glycerol.

657 For negative-stain EM, 5 μl of freshly purified protein sample was applied onto a glow-  
658 discharged copper grid supported by a continuous thin layer of carbon film for 60 s before  
659 negative staining by 2% (w/v) uranyl formate at room temperature. The negatively stained grid  
660 was loaded onto a FEI Talos L120C microscope operated at 120 kV, equipped with a Ceta  
661 CCD camera.

662 For cryo-EM grid preparation, 4 μl of protein sample (about 1.5 mg/ml) was applied onto  
663 a glow-discharged holey carbon grid (Quantifoil Au, R1.2/1.3, 300 mesh). At a temperature of  
664 4°C and under a humidity of 100%, the grid was blotted for 6 s using a FEI Vitrobot Mark IV  
665 and plunge frozen in liquid ethane cooled by liquid nitrogen. The grids were prepared in the  
666 H<sub>2</sub>/O<sub>2</sub> mixture for 20 s using a Gatan 950 Solarus plasma cleaning system with a power of 5  
667 W.

668

## 669 **Data collection**

670 The cryo-EM grids of human RPAP2-Pol II and RPAP2-Pol II -RPRD1A-RPRD1B were  
671 loaded onto a Thermo Fisher Scientific Titan Krios transmission electron microscope equipped  
672 with a Gatan K2 direct electron detector and operating at 300kV for data collection. For  
673 RPAP2-Pol II, all the cryo-EM images were automatically recorded in the super-resolution  
674 counting mode using Serial-EM ([Mastrorade, 2005](#)) with a nominal magnification of  
675 105,000x, which yielded a super-resolution pixel size of 0.678 Å, and with a defocus ranged  
676 from 1.8 to 2.5 μm. For RPAP2-Pol II-RPRD1A-RPRD1B, all the cryo-EM images were  
677 automatically recorded in the super-resolution counting mode using Serial-EM with a nominal  
678 magnification of 130,000x, which yielded a super-resolution pixel size of 0.522 Å, and with a

679 defocus ranged from 1.8 to 2.5  $\mu\text{m}$ . Each micrograph stack was dose-fractionated to 32 frames  
680 with a total electron dose of  $\sim 50 \text{ e}^-/\text{\AA}^2$ . 1,505 micrographs of RPAP2-Pol II and 2,529  
681 micrographs of RPAP2-Pol II -RPRD1A-RPRD1B were collected for further processing.

682

### 683 **Image processing**

684 For cryo-EM data, drift and beam-induced motion correction were applied on the super  
685 resolution movie stacks using MotionCor2([Zheng et al., 2017](#)) and binned twofold to a  
686 calibrated pixel size of 1.356  $\text{\AA}/\text{pixel}$  and 1.044  $\text{\AA}/\text{pixel}$ , respectively. The defocus values were  
687 estimated by Gctf ([Zhang, 2016](#)) from summed images without dose weighting. Other  
688 procedures of cryo-EM data processing were performed using RELION 3.0([Zivanov et al.,](#)  
689 [2018](#)) and cryoSPARC using dose-weighted micrographs.

690 For human RPAP2-Pol II, a subset of  $\sim 10,000$  particles were picked by RELION 3.0  
691 without reference and subjected to reference-free 2D classification. Some of the resulting 2D  
692 class averages were low-pass filtered to 20  $\text{\AA}$  and used as references for automatic particle  
693 picking of the whole datasets in RELION resulting in an initial set of 1,593,921 particles for  
694 reference-free 2D classification. 862,194 particles were selected after several rounds 3D  
695 classifications, using a 60  $\text{\AA}$  low-pass filtered initial model from our previous cryo-EM  
696 reconstruction. 543,699 particles in four of the selected eight classes were imported to a 3D  
697 auto-refine and Postprocess, yielding a reconstruction of the RPAP2-Pol II complex at 3.5  $\text{\AA}$   
698 resolution. For the RPAP2 module, two rounds of local mask 3D classification were performed.  
699 85,919 particles in one of the selected three classes were imported to a 3D auto-refine and  
700 Postprocess, yielding a 4.5  $\text{\AA}$  reconstruction.

701 For RPAP2-Pol II-RPRD1A-RPRD1B, a subset of  $\sim 10,000$  particles were picked by  
702 RELION 3.0 without reference and subjected to reference-free 2D classification. Some of the  
703 resulting 2D class averages were low-pass filtered to 20  $\text{\AA}$  and used as references for automatic  
704 particle picking of the whole datasets in RELION resulting in an initial set of 1,173,686  
705 particles for reference-free 2D classification. 754,747 particles were selected after several  
706 rounds of 3D classifications, using a 60  $\text{\AA}$  low-pass filtered initial model from our previous  
707 cryo-EM reconstruction. 646,517 particles in one of the selected six classes were imported to  
708 a cryoSPARC package for NU-refinement and sharpening, yielding a reconstruction of the  
709 RPAP2-Pol II-RPRD1-RPRD1B at 2.8  $\text{\AA}$  resolution. For the RPAP2 module, a local mask 3D  
710 classification were performed. 164,816 particles in one of the selected eight classes were  
711 imported to a cryoSPARC package for local refinement and sharpening, yielding a 3.4  $\text{\AA}$   
712 reconstruction.



713 All reported resolutions were calculated based on the gold-standard Fourier shell  
714 correlation (FSC)=0.143 criterion. The GSFSC curves were corrected for the effects of a soft  
715 mask with high-resolution noise substitution. All cryo-EM maps were sharpened by applying  
716 a negative B-factor estimated in RELION. All the visualization and evaluation of the 3D  
717 volume map were performed within UCSF Chimera ([Pettersen et al., 2004](#)) or UCSF ChimeraX  
718 ([Goddard et al., 2018](#)), and the local resolution variations were calculated using RELION.

719

## 720 **Model building and structure refinement**

721 The cryo-EM maps of RPAP2-Pol II-RPRD1A-RPRD1B (2.8 Å) and locally refined maps  
722 of RPAP2 (3.4 Å) were used for model building.

723 The following structural templates were used as references for model building. The  
724 structural templates include the cryo-EM structure of Pol II (PDB: 7EGB) ([Chen et al., 2021a](#))  
725 and yeast Rtr1 (PDB: 4FC8) ([Xiang et al., 2012](#)). These structural models were docked into  
726 corresponding cryo-EM maps, followed by rigid-body fitting using UCSF Chimera([Pettersen  
727 et al., 2004](#)) and manual adjustment in COOT([Emsley and Cowtan, 2004](#)). Atomic structural  
728 models of Pol II and RPAP2 were built according to cryo-EM maps and refined in real space  
729 using Phenix([Adams et al., 2010](#)).

730 Statistics of the map reconstruction and model refinement are shown in Table S1. The  
731 final models were evaluated using MolProbity ([Chen et al., 2010](#)). Model representations in  
732 the figures and movies were prepared by PyMOL ([DeLano, 2002](#)) or UCSF ChimeraX  
733 ([Goddard et al., 2018](#)).

734

## 735 **In vitro transcription initiation assay**

736 GTFs and *HDM2* promotor DNA were prepared as previously described ([Chen et al.,  
737 2021a](#)). In vitro transcription initiation assay was performed as previously described ([Cevher  
738 et al., 2014](#); [Fujiwara and Murakami, 2019](#)). Briefly, 1.3 pmol of *HDM2* promoter DNA was  
739 combined with 1.5 pmol of TFIID, 3 pmol of TFIIA, 3 pmol of TFIIB, 3 pmol of TFIIF, 3 pmol  
740 of TFIIE, 1.5 pmol of TFIIH, either 2 pmol of *S. scrofa* Pol II with increasing amount of  
741 RPAP2 (0 pmol, 2 pmol, 4 pmol, 8 pmol) or 2 pmol RPAP2-Pol II complex in a volume of 10  
742 µl containing 30 mM HEPES pH 7.9, 100 mM KCl, 6 mM MgCl<sub>2</sub>, 2 mM DTT, 5% (v/v)  
743 glycerol for 30 min at 25°C. Reactions were initiated by the addition an equal volume of buffer  
744 containing 24 mM HEPES-KOH pH 8.0, 120 mM KCl, 10 mM MgCl<sub>2</sub>, 1.2 mM DTT, 24%  
745 (v/v) glycerol, 100 µg/ml BSA, 200 µM GTP, 200 µM CTP, 200 µM ATP, 200 µM UTP, and

746 99 nM [ $\alpha$ -<sup>32</sup>P] UTP. The reactions were incubated at 25°C for 30 min and then were subjected  
747 to urea polyacrylamide gels and autoradiography.

748

### 749 **Generating dTAG endogenous knock-in and rescues cell lines**

750 To generate RPAP2-dTAG cells by the endogenous knock-in, PITCh sgRNA/Cas9 and  
751 donor plasmids were mixed with  $1 \times 10^6$  DLD-1 cells followed by electroporation. After  
752 recovering for 2 days without antibiotic selection, cells were serially diluted and cultured with  
753 1  $\mu$ g/ml puromycin for 10-14 days. Single-clone colonies were picked, expanded, and  
754 genotyped by genomic DNA PCR targeting the integration site. For homogeneous knock-in  
755 clones, protein degradation efficiency was verified by DMSO and dTAG-13 treatment for 3  
756 hours followed by western blotting.

757 To generate rescue cell lines, RPAP2-dTAG cells were initially infected with lentivirus  
758 expressing pLVX-Tet3G and cultured with neomycin for 2 weeks. Stable cells were transduced  
759 with lentivirus expressing wildtype RPAP2, RPAP2 $\Delta^{114-128}$ , or RPAP2<sup>Y127A</sup> cloned into pLVX-  
760 Tet-On vector with Blasticidin resistance gene, and then selected with antibiotics for 2 weeks.

### 761 **RNA interference**

762 Lentivirus expressing short-hairpin RNAs was prepared by transfecting PLKO.1 shRNA  
763 plasmids and packaging plasmids containing psPAX2 and pMD2.G into 293T cells using PEI  
764 (Polysciences). Collected conditional media containing virus particles were used to transduce  
765 cells in the growth media supplemented with Polybrene for 24 hours. The infected cells were  
766 selected with 2  $\mu$ g/ml puromycin for an extra 48 hours. The cells were then switched into  
767 growth media without antibiotics and grown for an additional 24 hours before being harvested  
768 for further analysis.

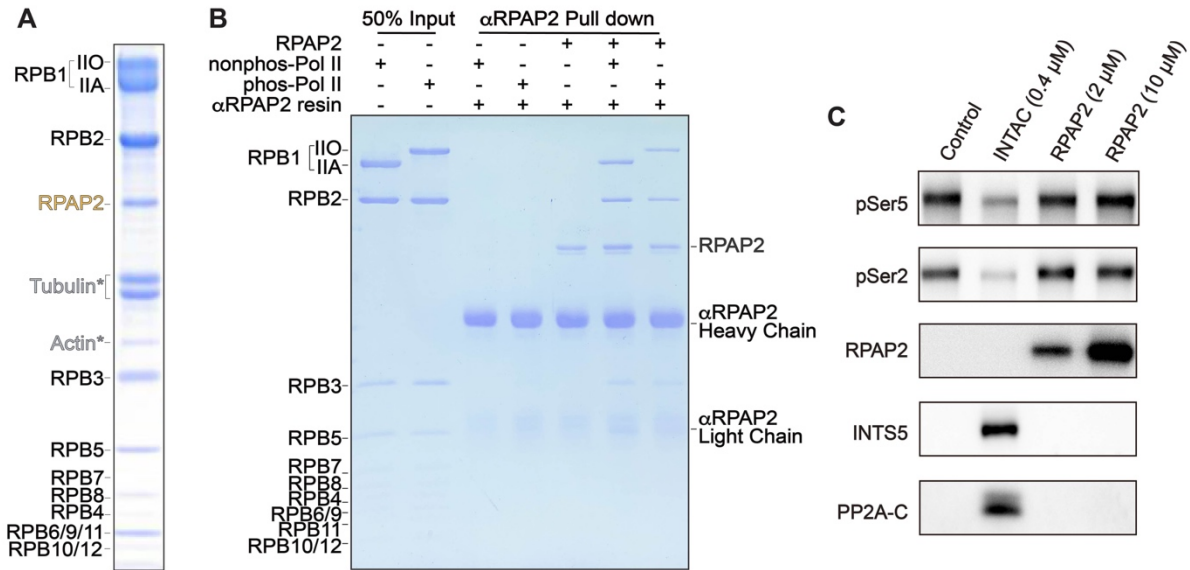
### 769 **ChIP-Rx and data analysis**

770 ChIP-Rx was conducted following previously described ([Orlando et al., 2014](#)). Raw reads  
771 were trimmed by Trim Galore v0.6.6  
772 ([https://www.bioinformatics.babraham.ac.uk/projects/trim\\_galore/](https://www.bioinformatics.babraham.ac.uk/projects/trim_galore/)) to remove adaptors and  
773 low-quality sequences (-q 25) and then aligned to the human genome hg19 assembly using  
774 Bowtie2 v2.3.5.1 ([Amemiya et al., 2019](#)). PCR duplicates and low mapping quality reads  
775 (MAPQ < 30) were removed using Picard tools v2.23.3 (<https://broadinstitute.github.io/picard/>)  
776 (REMOVE\_DUPLICATES = True) and SAMtools v1.9 ([Li et al., 2009](#)). Aligned read counts  
777 were then normalized to Reads Per Million mapped reads (RPM) using deeptools v3.5.0  
778 ([Ramirez et al., 2016](#)), and blacklist regions for hg19 genome annotation from ENCODE

779 project were removed ([Amemiya et al., 2019](#)). Reads aligned to human genome were  
780 normalized based on  $1e6/mm10\_count$  calculated by SAMtools v1.9 ([Li et al., 2009](#)).  
781 Normalized bigwig files were generated by deeptools v3.5.0 ([Ramirez et al., 2016](#)).

## 782 **Identification of transcription start sites**

783 To determine the genes expressed in DLD-1 cells, we used published PRO-cap bigwig  
784 file for DLD-1 cells to determine the transcription start site (TSS) ([Aoi et al., 2020](#)). RefSeq  
785 gene annotation was obtained from the UCSC Genome Browser and the transcription start sites  
786 were defined as the maximum PRO-cap signal site at the region between TSS -10 bp and TSS  
787 +300 bp. The transcript with maximum PRO-cap signal was selected as a representative gene  
788 for those protein coding genes with multiple isoforms.

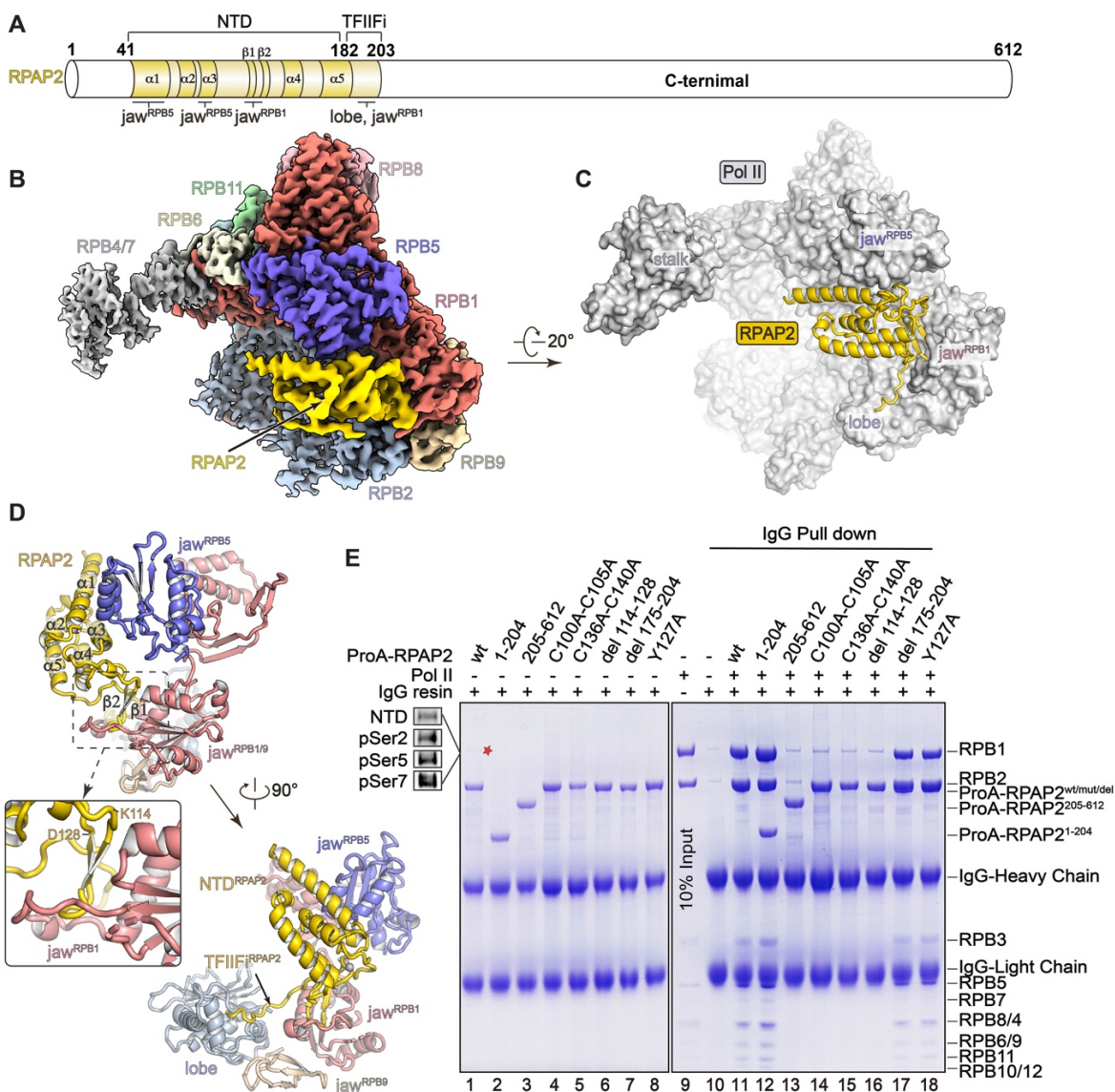


789

790 **Figure 1. Purification and characterization of RPAP2-Pol II complex.**

791 (A) Endogenous RPAP2 was co-purified during purification of human Pol II that was  
 792 overexpressed in Expi293F cells. The purified complex was subjected to SDS-PAGE followed  
 793 by Coomassie blue staining. Contaminating proteins were indicated with stars. The higher and  
 794 lower band represent RPB1 in Pol Ilo and Pol Ila, respectively. (B) In vitro pulldown assay  
 795 using unphosphorylated Pol II, phosphorylated Pol II and RPAP2. The bound proteins were  
 796 subjected to SDS-PAGE followed by Coomassie blue staining. (C) In vitro phosphatase assay  
 797 using purified RPAP2 and INTAC as enzymes and phosphorylated Pol II as substrate. The  
 798 reactions were subjected to Western blotting using the indicated antibodies. The enzyme  
 799 concentrations are indicated above each lane.

800 See also Figure S1.



801

802 **Figure 2. Overall structure of RPAP2-Pol II complex.**

803 (A) Domain structure of the RPAP2. The domains and regions built in structural model are  
 804 colored and the unmodeled region is in white. Interfaces between RPAP2 and Pol II are  
 805 indicated below.

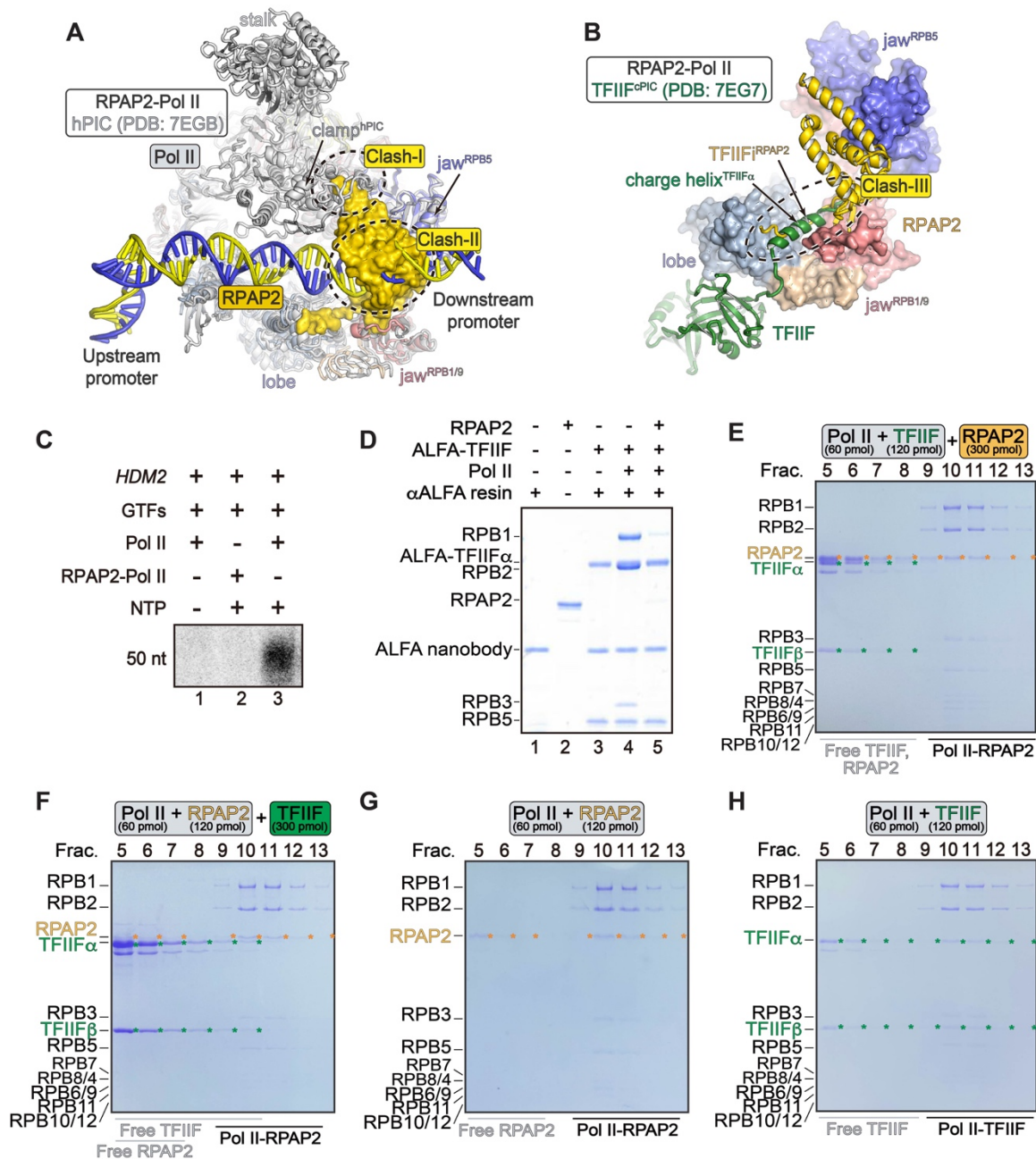
806 (B) A combined cryo-EM map of RPAP2-Pol II complex.

807 (C) Structural model of RPAP2-Pol II complex with Pol II shown in surface and RPAP2 shown  
 808 in cartoon. The zinc cation at the zinc finger of RPAP2 is shown as a gray ball.

809 (D) Two views of intermolecular contacts between RPAP2 and Pol II. The interaction between  
 810 the two-stranded  $\beta$ -sheet and RPB1 jaw is indicated with dashed box and shown in close-up  
 811 view (middle panel).

812 (E) Interactions between Pol II and RPAP2. The cell lysates containing various Protein A  
 813 (ProA)-tagged RPAP2 mutants or truncations were applied to IgG resins, which were further

814 incubated with purified Pol II. The unbound proteins were washed away and bound proteins  
815 were subjected to SDS-PAGE followed by Coomassie blue staining. ProA-tagged RPAP2  
816 overlaps with RPB2 in lanes 11-18 and the amounts of ProA-RPAP2 are indicated in lanes 1-  
817 8. Note that trace amount of endogenous Pol II was pulled out by RPAP2<sup>WT</sup> (lane 1).  
818 See also Figure S2, S3 and Table S1.



819

820 **Figure 3. RPAP2 disrupts Pol II-TFIIF complex and inhibits PIC assembly.**

821 (A) Structures of RPAP2-Pol II and hPIC (PDB:7EGB) (Chen et al., 2021a) with Pol II  
 822 superimposed. RPAP2-Pol II is colored as in Figure 2B and hPIC is colored in grey. The  
 823 promoter is colored in yellow and blue for clarity. The GTFs of hPIC were omitted for  
 824 complicity. RPAP2 is shown in surface representation. Steric clashes are indicated with dashed  
 825 circle.

826 (B) Structural comparison of RPAP2-Pol II with core PIC (cPIC) (PDB: 7EG7) (Chen et al.,  
 827 2021a) with Pol II superimposed. Pol II is shown in surface and steric clash between TFIIF

828 charge helix and TFIIF<sup>RPAP2</sup> is indicated with dashed circle. Unnecessary regions of cPIC were  
829 omitted for clarity.

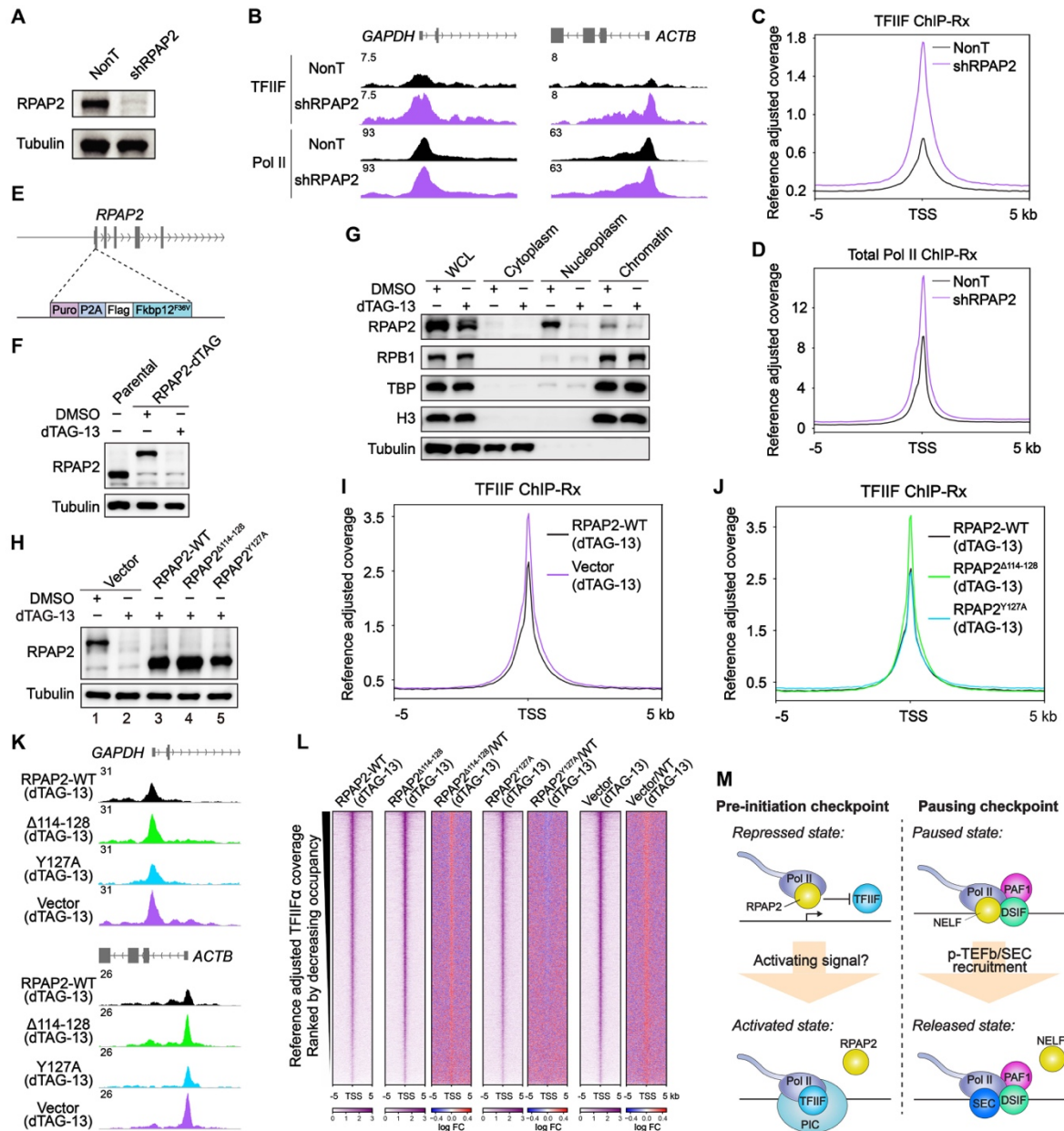
830 **(C)** Autoradiogram of in vitro transcription initiation reactions. The complexes in the reactions  
831 included purified GTFs (TFIIA, TFIIB, TFIID, TFIIF, TFIIE and TFIIH), *HDM2* promoter and  
832 either Pol II or RPAP2-Pol II as indicated. As previously described ([Chen et al., 2021b](#)), the  
833 reactions were incubated at 25°C for 30 min and then were subjected to urea polyacrylamide  
834 gels and autoradiography.

835 **(D)** In vitro competitive binding assay using purified TFIIF (TFIIF $\alpha$  has an ALFA-tag), RPAP2  
836 and Pol II. TFIIF and Pol II were pre-assembled and immobilized on anti-ALFA resins before  
837 adding an equal amount of RPAP2. The bound proteins were subjected to SDS-PAGE and  
838 stained using Coomassie blue.

839 **(E-H)** RPAP2 prohibits and disrupts Pol II-TFIIF interaction. RPAP2 (300 pmol) was  
840 incubated with the pre-assembled Pol II-TFIIF (60 pmol) (E) or TFIIF (300 pmol) was  
841 incubated with the pre-assembled RPAP2-Pol II (60 pmol) (F), followed by glycerol density  
842 gradient ultracentrifugation. Fractions of glycerol density gradient centrifugation of RPAP2-  
843 Pol II (G) and TFIIF-Pol II (H) are shown as control.

844 See also Figure S4.





845

846 **Figure 4. RPAP2 prohibits TFIIIF recruitment and cellular PIC assembly independently**  
 847 **of the putative phosphatase activity.**

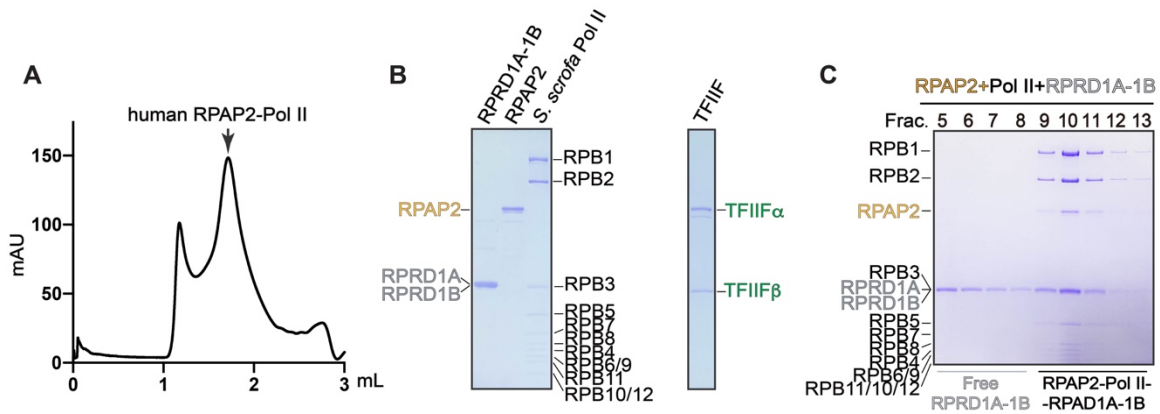
848 (A) Western blots of whole cell extracts from DLD-1 cells with knockdown of RPAP2 by  
 849 shRNA.

850 (B) Representative track examples of genes *GAPDH* and *ACTB* showing the change of TFIIIF  
 851 and Pol II occupancy by RPAP2 knockdown. Pol II occupancy is represented by its largest  
 852 subunit RPB1.

853 (C and D) Metaplot showing the occupancy of TFIIIF (C) and total Pol II (D) measured by  
 854 ChIP-Rx in NonT and RPAP2 knockdown cells.

855 (E) Schematic diagram of the generation of RPAP2-dTAG DLD-1 cells.

- 856 (F) Western blots of whole cell extracts RPAP2-dTAG or parental cells treated with DMSO or  
857 dTAG for 3 hours.
- 858 (G) Subcellular fractionation of RPAP2-dTAG treated with DMSO or dTAG followed by  
859 Western blotting. WCL, whole-cell lysate.
- 860 (H) Induced expression of wildtype RPAP2, RPAP2 $\Delta^{114-128}$ , RPAP2 $^{Y127A}$ , or vector in RPAP2-  
861 dTAG cells treated with dTAG-13, followed by Western of RPAP2.
- 862 (I) Metaplot showing the occupancy of TFIIF in dTAG treated RPAP2-dTAG cells with  
863 induced expression of wildtype RPAP2 or vector.
- 864 (J) Metaplot showing the occupancy of TFIIF in dTAG treated RPAP2-dTAG cells with  
865 induced expression of wildtype RPAP2, RPAP2 $\Delta^{114-128}$ , or RPAP2 $^{Y127A}$ .
- 866 (K) Representative track examples showing TFIIF occupancy in dTAG treated RPAP2-dTAG  
867 cells with induced expression of wildtype RPAP2, RPAP2 $\Delta^{114-128}$ , RPAP2 $^{Y127A}$ , or vector.
- 868 (L) Heatmaps of TFIIF occupancy centered at TSS of promoters ranked by decreasing  
869 occupancy.
- 870 (M) Proposed model of the pre-initiation checkpoint regulated by RPAP2 (left). Pausing  
871 checkpoint (right) is shown for comparison.
- 872 See discussion for detailed description.
- 873



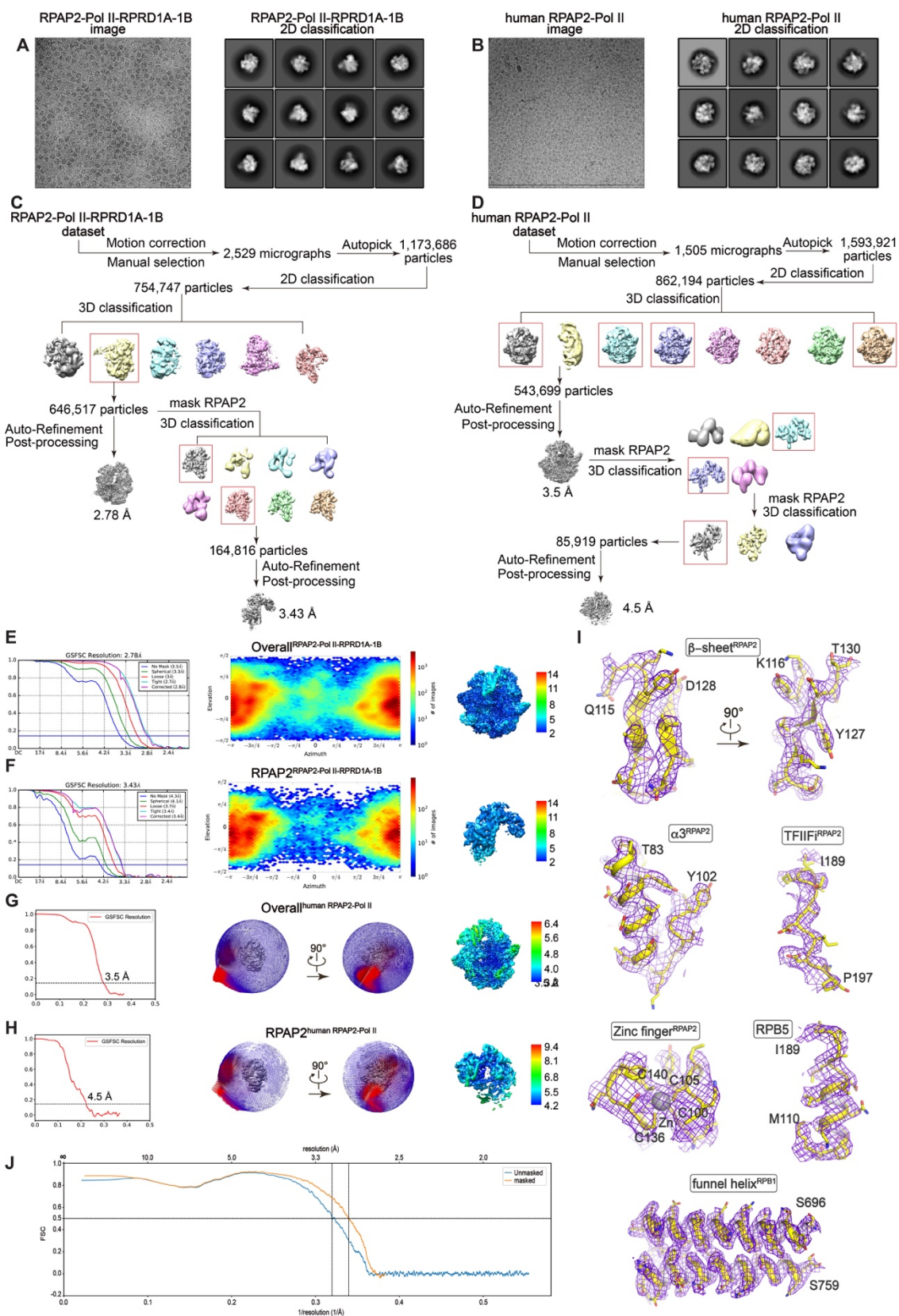
874

875 **Figure S1. Preparation of RPAP2-Pol II complexes, Related to Figure 1 and 2.**

876 (A) Size exclusion chromatogram of the purified human RPAP2-Pol II complex. Pol II was  
877 overexpressed in Expi293F cells and endogenous RPAP2 was co-purified.

878 (B) Purified human RPRD1A-RPAD1B, RPAP2, TFIIF and *S. scrofa* Pol II (4 residues  
879 substituted in *H. sapiens* Pol II) were subjected to SDS-PAGE and Coomassie blue staining.

880 (C) Fractions of glycerol density gradient centrifugation of RPAP2-Pol II-RPRD1A-RPAD1B  
881 complex were subjected to SDS-PAGE followed by Coomassie blue staining.



882

883 **Figure S2. Structure determination and model building of RPAP2-Pol II complex,**

884 **Related to Figure 2.**

885 **(A and B)** Representative cryo-EM raw micrographs (left panels) and 2D classification (right  
886 panels) of RPAP2-Pol II-RPRD1A-RPAD1B complex (A) and human RPAP2-Pol II complex  
887 (B).

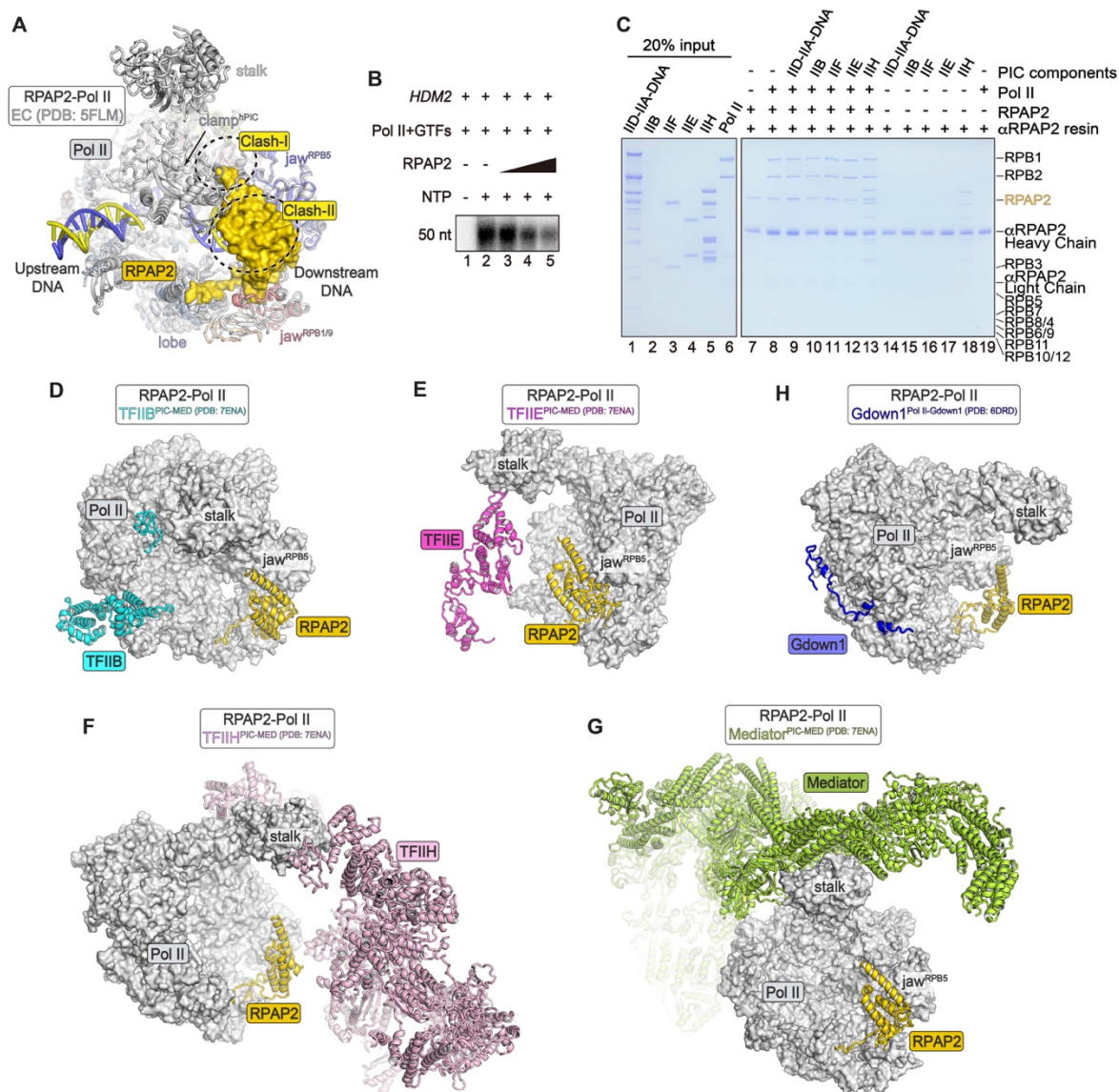
888 **(C and D)** Flow-charts of the cryo-EM image processing and 3D reconstruction for the  
889 RPAP2-Pol II-RPRD1A-RPAD1B complex (C) and human RPAP2-Pol II complex (D).

890 **(E-H)** The GSFSC curves, angular distributions, and local resolution estimation of the cryo-  
891 EM reconstructions of RPAP2-Pol II-RPRD1A-RPAD1B complex (E and F) and human  
892 RPAP2-Pol II complex (G and H).

893 **(I)** Representative structural models are shown with the corresponding cryo-EM maps shown  
894 in mesh. Residues are shown in sticks, indicating that the model was correctly built.

895 **(J)** FSC curves between the model and cryo-EM map.





903

904 **Figure S4. Steric clashes between RPAP2 and PIC/EC elements, Related to Figure 3.**

905 (A) Structures of RPAP2-Pol II and EC (PDB: 5FLM) ([Bernecky et al., 2016](#)) with Pol II  
 906 superimposed. RPAP2-Pol II is colored as in Figure 2B and the EC is colored in gray, except  
 907 that the DNA is colored in yellow and blue for clarity.

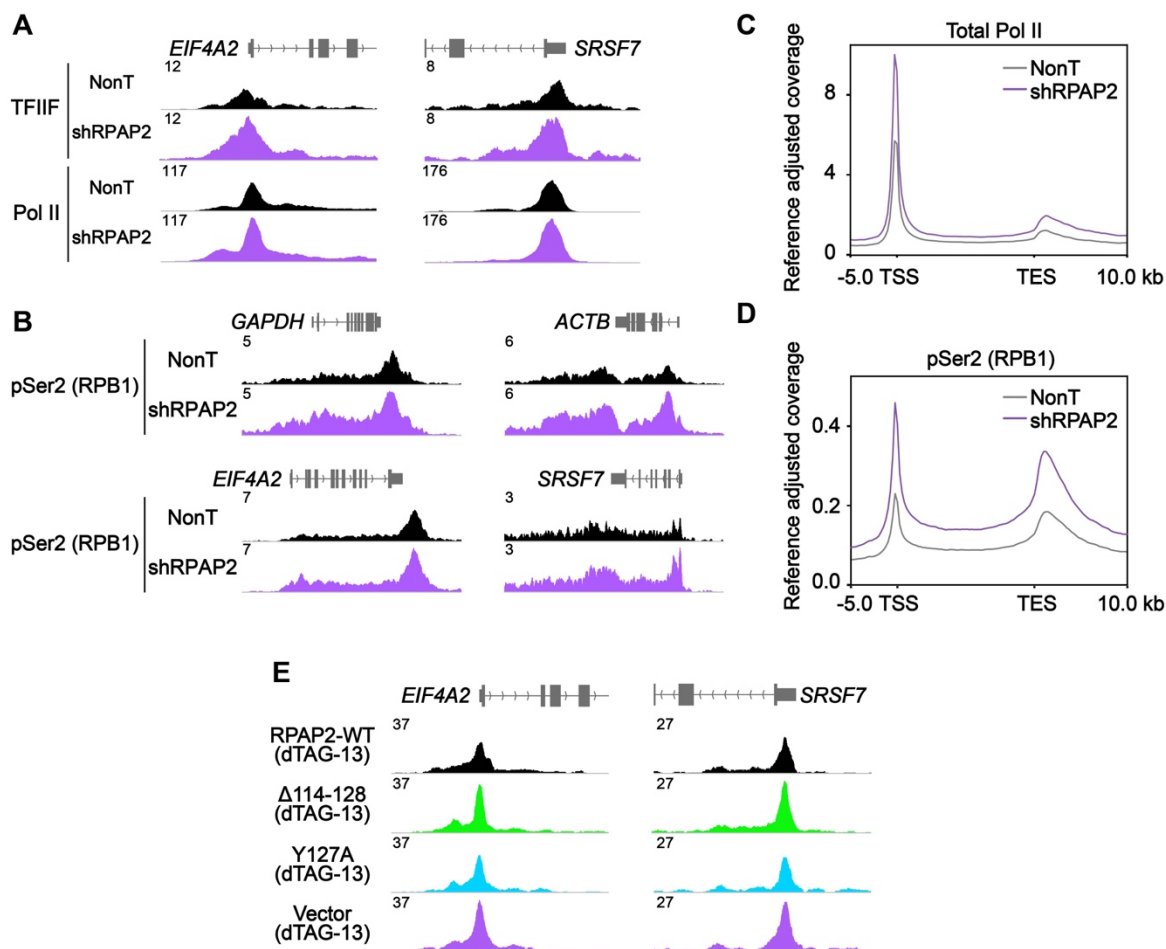
908 (B) Autoradiogram of in vitro transcription initiation reactions. The complexes in the reactions  
 909 included purified GTFs (TFIIA, TFIIB, TFIIID, TFIIF, TFIIE and TFIIH), *HDM2* promoter,  
 910 Pol II and an increasing amount of RPAP2. The reactions were performed essentially as Figure  
 911 3C except that RPAP2 was not pre-assembled with Pol II.

912 (C) In vitro competitive binding assay using purified PIC components (TFIID-TFIIA-promoter,  
 913 TFIIB, TFIIF, TFIIE and TFIIH), Pol II and RPAP2. RPAP2 and Pol II were pre-assembled  
 914 and immobilized to the αRPAP2 resins followed by the addition of purified PIC components

915 as indicated. The resins were extensively washed and subjected to SDS-PAGE and stained  
916 using Coomassie blue.

917 **(D to H)** Position of RPAP2 on Pol II relative to other Pol II-binding proteins/complexes.  
918 Structure of RPAP2-Pol II was superimposed with structures of PIC-MED (PDB:7ENA) ([Chen](#)  
919 [et al., 2021b](#)) (C-F) and Pol II-Gdown1 (PDB: 6DRD) ([Jishage et al., 2018](#)) with Pol II shown  
920 in gray surface. TFIIB (D), TFIIE (E), TFIIH (F), Mediator (G), Gdown1 (H) and RPAP2 are  
921 shown as cartoon and the other GTFs were omitted for clarify. Structural comparison shows  
922 no steric clash between RPAP2 and these complexes.





923

924 **Figure S5. The impact of RPAP2 knockdown or degradation on PIC assembly at**  
925 **promoters, Related to Figure 4.**

926 (A) Representative track examples of genes *EIF4A2* and *SRSF7* showing the change of TFIIIF  
927 and Pol II occupancy at promoters by RPAP2 knockdown. Pol II occupancy is represented by  
928 its largest subunit RPB1.

929 (B) Representative track examples of genes *GAPDH*, *ACTB*, *EIF4A2* and *SRSF7* showing the  
930 change of Pol II pSer2 occupancy at gene bodies by RPAP2 knockdown.

931 (C and D) Metaplot showing the occupancy of total Pol II (C) and pSer2 (D) at gene bodies  
932 measured by ChIP-Rx in NonT and RPAP2 knockdown cells.

933 (E) Representative track examples of genes *EIF4A2* and *SRSF7* showing TFIIIF occupancy in  
934 dTAG treated RPAP2-dTAG cells with induced expression of wildtype RPAP2, RPAP2 <sup>$\Delta$ 114-  
935 128</sup>, RPAP2<sup>Y127A</sup>, or vector.

936 **Table S1. Cryo-EM data collection, refinement and validation statistics, Related to**  
 937 **Figure2.**

Complex	human RPAP2-Pol II		RPAP2-Pol II-RPRD1A-RPRD1B	
	overall	RPAP2	overall	RPAP2
Subcomplex				
EMDB				
PDB				
<b>Data collection and processing</b>				
Magnification	105,000	105,000	130,000	130,000
Voltage (kV)	300	300	300	300
Electron exposure (e-/Å <sup>2</sup> )	~ 50	~ 50	~ 50	~ 50
Exposure rate (e-/pix/s)	~8	~8	~8	~8
Number of frames per movie	32	32	32	32
Automation software	SerialEM	SerialEM	SerialEM	SerialEM
Defocus range (µm)	-1.8 ~ -2.5	-1.8 ~ -2.5	-1.8 ~ -2.5	-1.8 ~ -2.5
Pixel size (Å)	1.356	1.356	1.044	1.044
Symmetry imposed	C1	C1	C1	C1
Micrographs (no.)	1,505	1,505	2,529	2,529
Total of extracted particles (no.)	1,593,921	1,593,921	1,173,686	1,173,686
Total of refined particles (no.)	543,699	85,919	646,517	164,816
Local resolution range (Å)	3.2-6.4	4.2-9.4	2.0-14.0	2.0-14.0
Resolution Masked 0.143 FSC (Å)	3.5	4.5	2.8	3.4
<b>Refinement</b>				
Map sharpening B-factor (Å <sup>2</sup> )	-155.1	-207.1	-120.5	-101.6
Initial model used (PDB code)			7EGB, 4FC8	
Refinement package			Phenix (real space)	
<b>r.m.s. deviations</b>				
Bond lengths (Å)			0.017	
Bond angles (°)			1.078	
<b>Validation</b>				
MolProbity score			2.2	
All-atom clashscore			14.89	
Rotamers outliers (%)			0.62	
Cβoutliers (%)			0	
CaBLAM outliers (%)			3.82	
B-factors (min/max/mean)				
Protein			29.18/299.23/93	
			.05	

Ligand	54.80/186.58/11
	2.49
Overall correlation coefficients	
CC (mask)	0.81
CC (peaks)	0.68
CC (volume)	0.8
Ramachandran plot statistics	
Favored (%)	90.84
Allowed (%)	8.79
Disallowed (%)	0.38

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938

939

940 **Video S1. Overall structure of RPAP2-Pol II complex, Related to Figure2.**

941 The composite cryo-EM map and structural model of RPAP2-Pol II.

942

943 **Video S2. Steric clash between TFIIF and RPAP2, Related to Figure3.**

944 Structural comparison of RPAP2-Pol II with core PIC (cPIC) (PDB: 7EG7) ([Chen et al., 2021a](#))

945 with Pol II superimposed. Pol II is shown in surface and steric clash between TFIIF charge

946 helix and TFIIF<sup>RPAP2</sup> is indicated with white circle. Unnecessary regions of cPIC were omitted

947 for clarity.