

1 **A novel and accurate full-length HTT mouse model for Huntington's disease**

2 Sushila A Shenoy^{2†}, Sushuang Zheng^{1†*}, Wencheng Liu², Yuanyi Dai¹, Yuanxiu
3 Liu¹, Zhipeng Hou³, Susumu Mori³, Wenzhen Duan⁴ and Chenjian Li^{1,2*}

4
5 ¹The MOE Key Laboratory of Cell Proliferation and Differentiation, School of Life Sciences,
6 Peking University, Beijing, 100871, China

7 ²Former Affiliation: Department of Neuroscience, Weill Cornell Graduate School of Medical
8 Sciences, New York, NY 10021, USA

9 ³The Russell H. Morgan Department of Radiology and Radiological Sciences, Johns Hopkins
10 University School of Medicine, Baltimore, Maryland, USA.

11 ⁴Division of Neurobiology, Department of Psychiatry and Behavioral Sciences; Solomon H.
12 Snyder Department of Neuroscience, Johns Hopkins University School of medicine, Baltimore,
13 Maryland, USA.

14
15 † Contributed equally

16 *To whom correspondence should be addressed:

17 Chenjian Li,
18 School of Life Sciences, Peking University,
19 Beijing, 100871
20 China

21 Phone: 86-10-6275-6459

22 Email: li_chenjian@pku.edu.cn

23 Sushuang Zheng,
24 School of Life Sciences, Peking University,
25 Beijing, 100871

- 1 China
- 2 Phone: 86-10-6276-3133
- 3 Email: zheng_sushuang@pku.edu.cn
- 4

1 **Abstract**

2 Here we report the generation and characterization of a novel Huntington's disease (HD) mouse
3 model BAC226Q by using a bacterial artificial chromosome (BAC) system, expressing full-
4 length human HTT with ~226 CAG-CAA repeats and containing endogenous human HTT
5 promoter and regulatory elements. BAC226Q recapitulated a full-spectrum of age-dependent
6 and progressive HD-like phenotypes without unwanted and erroneous phenotypes. BAC226Q
7 mice developed normally, and gradually exhibited HD-like mood and cognitive phenotypes at 2
8 months. From 3-4 months, BAC226Q mice showed robust progressive motor deficits. At 11
9 months, BAC226Q mice showed significant reduced life span, gradual weight loss and exhibit
10 neuropathology including significant brain atrophy specific to striatum and cortex, striatal
11 neuronal death, widespread huntingtin inclusions and reactive pathology. Therefore, the novel
12 BAC226Q mouse accurately recapitulating robust, age-dependent, progressive HD-like
13 phenotypes will be a valuable tool for studying disease mechanisms, identifying biomarkers and
14 testing gene-targeting therapeutic approaches for HD.

15

16 **Key words:** Huntington's disease, human BAC, transgenic mouse, neurodegeneration, body
17 weight loss, neuron loss, brain atrophy, Htt aggregates

18

1 **Introduction**

2 Huntington's disease (HD) is an autosomal-dominant hereditary neurodegenerative disorder
3 caused by a pathogenic expansion of the CAG trinucleotide repeats in exon 1 of the huntingtin
4 (HTT) gene (The Huntington's Disease Collaborative Research Group, 1993). The clinical
5 features of HD include motor deficit, psychiatric disturbance, cognitive impairment, and
6 peripheral signs such as weight loss and sleep disturbance (Ghosh & Tabrizi, 2015). Disease
7 onset, which is dependent on the CAG repeat length, is usually defined by the onset of motor
8 symptoms, although non-motor symptoms are often present many years in advance. The
9 striking neuropathological characteristic of HD, induced by the mutant Htt protein (mHtt), is the
10 progressive brain atrophy mainly in the striatum and cerebral cortex (Walker, 2007). Despite
11 ubiquitously expressed mHtt protein in HD brain, the primary vulnerable neuronal types are
12 medium spiny neurons (MSNs) in the striatum and pyramidal neurons in the cortex (Vonsattel &
13 DiFiglia, 1998). Widespread mHtt protein aggregation in HD brain tissue is another hallmark of
14 HD pathology (Davies & Scherzinger, 1997). While the causative gene for HD was identified two
15 decades ago, there are still no effective disease-modifying therapies available to prevent or
16 delay the progression of this disorder.

17
18 Since the identification of causative gene for HD, a large number of animal models have been
19 generated in a variety of animal species including *C. elegans*, *Drosophila melanogaster*, zebra
20 fish, mouse, rat, sheep, pig and non-human primates to better elucidate the complex pathogenic
21 mechanisms in HD, and to develop potential therapeutic strategies for HD (Farshim & Bates,
22 2018). Although large animal models such as pig and non-human primates are very useful to
23 study HD (Niu et al., 2010; Sasaki et al., 2009; Yan et al., 2018; Yang et al., 2008), mouse
24 models still dominate the research field and provide us with valuable tools to investigate the
25 pathogenesis of HD and therapeutic targets.

1 To date, there are more than 20 different HD mouse models available (Brooks & Dunnett, 2015;
2 Crook & Housman, 2011; Farshim & Bates, 2018; Pouladi, Morton, & Hayden, 2013). In general,
3 those genetic mouse models can be classified into three groups based on distinct strategies: the
4 transgenic models carrying human HTT N-terminal fragments with CAG expansions such as
5 R6/1, R6/2 and N171-82Q (Carter et al., 1999; Mangiarini et al., 1996; Schilling et al., 1999), the
6 transgenic full-length mutant HTT models such as YAC128, BACHD, BAC-225Q (Gray et al.,
7 2008; Slow et al., 2003; Wegrzynowicz et al., 2015) and humanized HD mice (Hu97/18 and
8 Hu128/21) (Southwell, Skotte, Villanueva, Ostergaard, et al., 2017; Southwell et al., 2013), and
9 knock-in models with modified CAG repeats length of endogenous mouse HTT gene such as
10 HdhQ72, HdhQ94, HdhQ111, HdhQ140, HdhQ150, zQ175, N160Q and Q175FDN (Heng,
11 Tallaksen-Greene, Detloff, & Albin, 2007; Hickey et al., 2008; Levine et al., 1999; Lin et al., 2001;
12 Liu et al., 2016; Menalled et al., 2012; Menalled, Sison, Dragatsis, Zeitlin, & Chesselet, 2003;
13 Shelbourne et al., 1999; Southwell et al., 2016; Wheeler et al., 1999). The existing mouse
14 models mimic some aspects of HD including behavioral disturbances and neuropathological
15 changes of the disease. However, none of them can fully recapitulate human disease, and
16 many of them have spurious phenotypes that are irrelevant or opposite to human conditions.
17 The N-terminal fragment transgenic mice such as R6/2 show a robust phenotype and severely
18 reduced life span, but they demonstrated severe developmental deficits and nonspecific
19 neurodegeneration throughout the central nervous system (Carter et al., 1999; Crook &
20 Housman, 2011; Heng et al., 2007; Mangiarini et al., 1996; Southwell et al., 2016) as well as
21 diabetes, which are not correlated with HD patients. In comparison, full-length transgenic HD
22 mice and Hdh knock-in mice replicate relatively better the neuropathology of HD, however they
23 display much milder phenotypes and slower progression (Brooks, Jones, & Dunnett, 2012;
24 Farshim & Bates, 2018; Pouladi et al., 2013). Moreover, YAC128, BACHD, and humanized HD
25 strains (Hu97/18 and Hu128/21) exhibit significant weight gain, opposite to what is seen in HD
26 patients (Gray et al., 2008; Slow et al., 2003; Southwell, Skotte, Villanueva, Ostergaard, et al.,

1 2017; Southwell et al., 2013). Another commonly used mouse model zQ175 KI has robust
2 phenotypes (Lin et al., 2001), however it has mouse HTT gene and therefore not suitable for
3 testing the approach of genetically deleting human mutant HTT gene via methods such as
4 CRISPR-Cas9.

5
6 Therefore, despite all the achievements, we are still in search for a new full-length model that
7 not only accurately recapitulates all HD phenotypes, but also without spurious characteristics.
8 In light of the clear relationship between PolyQ repeat length and disease onset and severity in
9 both humans and mice, in this study, we engineered a bacterial artificial chromosome (BAC)
10 transgenic mouse model of HD-BAC226Q which expresses full-length human HTT with 226Q
11 encoded by a mixture of CAG-CAA repeat. This 226Q repeat length is smaller than the
12 maximum 250 polyQ in HD patient cases (Nance, Mathias-Hagen, Breningstall, Wick, &
13 McGlennen, 1999). The CAG-CAA mix will stabilize the polyQ length. Our novel BAC226Q
14 mouse recapitulates a full spectrum of cardinal HD symptoms and pathologies: reduced life
15 span, weight loss, motor and non-motor neurologic phenotypes, selective brain atrophy, striatal
16 neuronal death, mHtt aggregation and gliosis. It is also important to note that there are no
17 spurious abnormalities identified to date. Therefore, the new HD mouse model will be valuable
18 for studying pathogenic mechanisms and developing therapeutics.

19

20 **Results**

21 **Generation of BAC226Q transgenic mice**

22 Transgenic BAC226Q mice were generated and contain the full-length human HTT with 226
23 CAG-CAA repeats under the control of the endogenous human HTT promoter and regulatory
24 elements. Similar to the BACHD mouse, a mixed CAG-CAA repeat is used to create a stable
25 length of polyglutamine (PolyQ) that is not susceptible to expansion or retraction (Gray et al.,

1 2008). To determine the copy number and insertion sites in FVB mouse genome of full-length
2 human HTT, whole genome sequencing was applied and indicated two copies of the human
3 HTT were inserted in the chromosome 8 in FVB mouse genome at Chr8:46084002 (Fig 1A).
4 The protein expression level of HTT was determined by Western blots with whole brain lysates
5 from 2- and 11- month old animals probed with anti-polyQ MAB1574 (1C2 clone) and S830
6 antibody against mutant huntingtin protein (Fig 1B and Fig 1C). Age-matched 11- month
7 BACHD and wildtype mice were used for comparison. As expected, the band for 226Q mutant
8 huntingtin in BAC226Q mouse is at a higher molecular weight than that for 97Q huntingtin in the
9 BACHD mouse. There are no Htt protein fragments detected in 2- month or 11- month
10 BAC226Q mouse in Western blots (Fig 1B).

11

12 **Progressive weight loss and shortened life span in BAC226Q mice**

13 As a first step in characterizing these mice, their growth and reproduction were examined. Both
14 male and female transgenic mice were born in the expected Mendelian ratios with no obvious
15 abnormalities. Both males and females were fertile, but males were preferred for breeding
16 because female animals had a short window of time during which they were able to adequately
17 care for pups. Animal weights were recorded weekly and the results show that HD mice were
18 born normal and gained weight at the same rate as their non-transgenic littermates in the first 8
19 weeks of development (Fig 2B). In both male and female WT littermates, body weight
20 continuously increased until the 15 month of age, however, BAC226Q exhibited slower weight
21 gain followed by weight loss (Fig 2C, D). At 6 months of age, we observed significant reductions
22 in body weight in BAC226Q mice compared to WT littermates (Fig 2C), and more than 50% loss
23 of BAC226Q at 15 months (Fig 2C & Fig 2D). Weight loss is a common and serious
24 complication in HD patients, who fail to maintain weight even when caloric intake is increased
25 (Aziz et al., 2008). BAC226Q mice recapitulated this aspect accurately. Transgenic BAC226Q
26 mice had significantly reduced lifespan (Fig 2A). In both sexes, only 50% of transgenic animals

1 survive past 1 year. The longest surviving transgenic mice were 15 months old when they had
2 to be euthanized due to the end-point condition according to animal welfare requirements. In
3 comparison, non-transgenic littermate controls appeared healthy at 15 months of age. These
4 initial results suggest that BAC226Q mice have progressive weight loss and shortened lifespan,
5 both of which correlate well with the human disease condition.

6

7 **Age-dependent and progressive motor impairments in BAC226Q mice**

8 The earliest, most visible motor phenotype in the BAC226Q mice was the chorea-like movement
9 which first manifested between 12 and 14 weeks. The motor phenotypes include abrupt
10 unnatural jerking and twisting of the head and body (Video 1), resembling the chorea movement
11 in HD patients. It should be noted that every transgenic BAC226Q mouse exhibits this HD-like
12 movement. Between 14 and 16 weeks, rapid circling behavior appeared, and was especially
13 prominent when animals were disturbed in their cages (Video 2).

14

15 To characterize the progressive nature of motor deficits in our model, we measured motor
16 function at serial time points by several behavioral tests. First, overall activity was measured in
17 the open field task (Fig 3A, B). Open field activity is divided into horizontal and vertical
18 components. Horizontal activity is measured by lateral movement around the open field, and
19 vertical activity is measured by rearing movements. At 2 months, HD mice are indistinguishable
20 from non-transgenic littermate controls in both horizontal and vertical activity measures.
21 Horizontal activity is dramatically increased at 4 months (Fig 3A), but returns to wild-type levels
22 at 10 and 15 months. In contrast, vertical activity of 4-month HD mice has declined to about
23 20% of the controls (Fig 3B). This suggests that in addition to their hyperkinesia, the mice
24 cannot rear normally, indicating a loss of motor control. While reduced vertical activity at 4
25 months may be caused by the increase in horizontal movement, at 10 and 15 months,

1 horizontal activity is reduced to wild-type levels but vertical activity does not recover and
2 becomes even more impaired. These results parallel the biphasic motor symptom progression
3 in HD patients in which early stage involuntary movements become more dystonic in late stages
4 of disease.

5
6 The cylinder test was performed to provide another sensitive analysis of motor phenotypes.
7 Similar to the open field test, BAC226Q mice are normal at 2 months and defective at 4 months
8 in the cylinder test (Video 3). At 4 months, rearing frequency was unchanged, but rearing time
9 was significantly reduced (Fig 3C, D). These results suggest that the transgenic mice retained
10 their instinct to rear to explore the environment, but were unable to sustain upright posture due
11 to motor impairment. The circling phenotype in BAC226Q mice (Figure 3E) was reminiscent of
12 that seen in unilateral lesion models, but with important differences. In lesion models,
13 stereotyped turning occurs in a single direction determined by which hemisphere is lesioned.
14 While BAC226Q mice spent time turning in both directions, and the frequency of turning was
15 significantly increased over controls. Circling in BAC226Q mice may be explained by a reduced
16 capacity to inhibit or terminate movements such as turns.

17
18 To demonstrate deficits in balance and coordination and to track the progressive decline of
19 motor function from normal at 2 months to severe at 4 months, an accelerating rotarod test was
20 used. The accelerating rotarod requires mice to walk forward on a rotating rod to maintain
21 balance and is a sensitive measurement of motor coordination. Consistent with the open field
22 and cylinder results, no differences between BAC226Q and controls could be detected until 12
23 weeks (Fig 3F). At 13 weeks, BAC226Q mice performed significantly worse compared to non-
24 transgenic controls. At 16 weeks, BAC226Q were unable to balance on the rotarod for any
25 duration of time. These results demonstrated a rapidly progressing, debilitating motor
26 dysfunction with a clearly defined time course.

1

2 **Cognitive and psychiatric-relevant deficits in BAC226Q mice prior to motor abnormalities**

3 In HD patients, cognitive and psychiatric symptoms are common and as detrimental to quality of
4 life as motor disorders. To characterize the non-motor phenotypes in the BAC226Q mice, we
5 subjected 2-month-old BAC226Q mice to several tasks. Testing was performed at 2 months for
6 two reasons: First, these tests depend to some degree on the ability of the animal to move
7 around, thus we need to test at 2 months when there is no measurable motor impairment.
8 Second, psychiatric and cognitive symptoms frequently occur years before the onset of motor
9 symptoms in HD patients. The tests were performed in an ascending order of stress level, from
10 object-in-place memory test, sucrose preference to the most stressful forced swim task.
11 Because there is an evidence of impaired hippocampal function in pre-symptomatic HD
12 patients, we chose a challenging cognitive task with a hippocampus-dependent spatial
13 component (Phillips, Shannon, & Barker, 2008; Ransome, Renoir, & Hannan, 2012). In this
14 task, mice were exposed to 4 unique objects during a training session, and then exposed to the
15 same 4 objects but with the positions of 2 objects switched (Fig 4A). Mice with normal cognitive
16 function will recognize and spend more time exploring the switched objects. BAC226Q mice, on
17 the other hand, showed no discrimination of the moved objects (Fig 4A) despite spent an equal
18 total amount of time exploring the objects in both training and testing sessions. These results
19 suggest that the BAC226Q mice have impairments in hippocampal-dependent cognitive function
20 at 2 months.

21

22 Depression and anhedonia are also common in HD patients. To test if our mice displayed
23 similar mood phenotypes, we applied three well-characterized behavioral assays. In the sucrose
24 preference task, 2 month old animals were given a choice of regular drinking water or 1%
25 sucrose solution, and consumption of both was measured over 48 hours. While non-transgenic

1 littermates preferred the sucrose solution, BAC226Q mice showed significantly reduced
2 preference (Fig 4B), indicating an anhedonia-like phenotype typical of depression. The splash
3 test is another test of depression-like mood dysfunction in mice. When sprayed with a sticky
4 sucrose solution, BAC226Q mice spent significantly less time grooming compared to non-
5 transgenic littermate (Fig 4C), demonstrating further a depression-like behavior. In the third
6 experiment to explore depression-like phenotypes, we evaluated immobility in the forced swim
7 task. The results were highly significant that BAC226Q mice had three times higher immobility
8 time than non-transgenic littermate controls (Fig 4D). Combined, these three tests indicated a
9 range of depression-like phenotypes including disinterest in rewarding stimuli, apathy and
10 hopelessness in a stressful situation.

11

12 **Age-dependent and progressive striatal atrophy and neuronal loss in BAC226Q mice**

13 Post-mortem brains of HD patients have a significant reduction in brain volume, especially in the
14 striatum and deep layer cortex. Age-dependent and progressive striatal atrophy and neuronal
15 loss are cardinal neuropathology of HD patients that are well recapitulated in BAC226Q mice. At
16 2 months, BAC226Q mice have similar forebrain weight compared to non-transgenic littermates.
17 At 15 months, whole brains from surviving BAC226Q mice weighed 31% less than brains from
18 non-transgenic littermate (Fig 5B). To further evaluate HD-like neuropathology in BAC226Q
19 mice, we used the Cavalieri stereologic estimator to compare striatal volume in 11 month
20 BAC226Q and non-transgenic littermates. The results showed that BAC226Q striatal volume
21 was significantly decreased by 34% compared to controls (Fig A and Fig. 5C). Additionally,
22 similar to the enlarged brain ventricles in HD patients due to brain atrophy, the volume of the
23 ventricles in BAC226Q mice was greatly enlarged by 3.8-fold compared to that of the non-
24 transgenic littermates (Fig 5D). These changes in striatal and ventricular volumes closely
25 mirrored the cardinal pathology in HD patient brains.

1
2 In human HD patients, striatal volume loss is attributed largely to the death of medium spiny
3 neurons (MSNs), which comprise 95% of neurons in the striatum (Oorschot, 1996). To
4 determine whether the striatal volume reduction in BAC226Q mice was caused by neuronal
5 death, we used an unbiased optical fractionator method to count the total striatal neurons in
6 brain tissues from 2 and 11 month animals. At 2 months, no significant differences were
7 detected between genotypes with an average striatal neuron population of $2,280,000 \pm 125,000$
8 for non-transgenic and $2,174,000 \pm 127,000$ for HD mice (Fig 5E). However, at 11 months, non-
9 transgenic littermate control mice had an average of $1,988,000 \pm 193,000$ striatal neurons, while
10 BAC226Q mice had only $1,631,000 \pm 269,000$, a difference of 18.0% (Fig 5E). To further
11 evaluate whether the neuronal loss is with MSNs in BAC226Q striatum, MSNs were identified
12 by DARPP-32 immunostaining and quantified by integrated optical density (IOD) in BAC226Q
13 and non-transgenic littermate controls. DARPP-32 IOD was significantly reduced by 18.1% in
14 BAC226Q striatum at 11 months of age (Fig 5F).

15

16 **Specific pattern of regional brain atrophy in BAC226Q mice by MRI study**

17 To further characterize the degeneration in the HD mouse brain and to evaluate the feasibility of
18 performing *in vivo* imaging to track disease progression, we imaged 12-month old mice with
19 high-resolution structural MRI and used automated deformation-based morphometry to
20 determine regional brain volumes (Fig 6A). In 12 month transgenic BAC226Q mice, whole brain
21 volume was $373.8 \pm 2.95 \text{ mm}^3$, compared to $522.3 \pm 21.7 \text{ mm}^3$ in non-transgenic controls, a
22 decrease of 28.5% (Fig 6B). In order to make a fair comparison of regional differences between
23 genotypes, we normalized all volumes by whole brain volume to obtain a percent volume for
24 each region before performing statistical tests. We found statistically significant volume changes
25 in several regions of the brain, including the cortex (24.8%, $p=0.0113$, Fig 6C) and striatum
26 (19.4%, $p=0.0453$, Fig 6D). In contrast, there was no significant difference in cerebellar and

1 amygdala volumes between genotypes (Fig 6F and Fig 6G). This is consistent with the
2 observation that the cerebellum and amygdala are largely unaffected in Huntington's disease
3 patients. Concomitantly, there was a significant increase in the ventricle volume in the
4 BAC226Q mice compared to non-transgenic littermate controls (206.8%, $p < 0.0001$, Fig 6E),
5 which was consistent with our Cavalieri stereologic estimator data (Fig 5D). Other regions
6 affected at 12 months included cingulum, stria medullaris, anterior commissure and corpus
7 callosum/external capsule, suggesting a pronounced effect on white matter tracts (Fig 6H). It is
8 important to note that the detection of robust and progressive structural deficits as a biomarker
9 by MRI provides a non-invasive and sensitive method for future therapeutic testing.

10

11 **Aggregate pathology and reactive gliosis in the BAC226Q brain**

12 In post-mortem HD brains, mHtt aggregations are found throughout the central nervous system
13 as an important hallmark (Mangiarini et al., 1996). To characterize the distribution patterns of
14 huntingtin aggregations in the specific brain regions of BAC226Q mice, we stained brain tissues
15 from 2-, 4-, and 11-month old BAC226Q and non-transgenic littermate control mice with the
16 S830 antibody raised against N-terminal huntingtin, which specifically recognizes huntingtin
17 aggregates (Fig 7). In agreement with previous findings (Gray et al., 2008; Kazantsev,
18 Preisinger, Dranovsky, Goldgaber, & Housman, 1999; Li, Li, Yu, Shelbourne, & Li, 2001), two
19 types of huntingtin aggregates were observed in BAC226Q mice, nuclear inclusions (NIs) and
20 neuropil aggregates (NAs).

21

22 At 2 months, neuron cell bodies were diffusely stained by the S830 antibody throughout the
23 brain in BAC226Q mice (Fig 7A-F), but no immunoreactivity detected in non-transgenic
24 littermates (Fig 7S-X). This suggests that at 2 months only soluble mHtt protein is present. In
25 contrast, punctate staining of mHtt protein by S830 became evident in several regions of the
26 brain at 4 months, including striatum (Fig 7G), motor (Fig 7H) and cingulate cortex (Fig 7I),

1 hippocampus (Fig 7J, K) and amygdala (Fig 7L) in BAC226Q mice, but not control mice. At 11
2 months, aggregate pathology was much more severe in BAC226Q mice (Fig 7M-R).

3
4 Reactive gliosis is another cardinal pathology of HD in addition to selective neuronal loss. We
5 analyzed reactive gliosis by immunohistochemical detection of GFAP, the astrocyte-specific
6 marker, in BAC226Q mice and non-transgenic littermate. An 80% increase in reactive gliosis
7 was found in deep layer cortex and striatum at 11 month BAC226Q mouse brain (Fig 8).

8

9 **Discussion**

10 Many mouse models have been developed for investigating the underlying pathogenic
11 mechanisms and testing therapeutic methods. Categorically, a mouse model will be compelling
12 if it meets two criteria simultaneously: accurate recapitulation of cardinal HD phenotypes in one
13 mouse model rather than separately in several models, and absence of erroneous and
14 unwanted false phenotypes that do not correlate with human HD. It should be emphasized that
15 the novel BAC226Q reported here is such an example.

16

17 **Robust and faithful recapitulation of HD**

18 As presented in the Results section and Table 2, the BAC226Q mouse model has validity and
19 fidelity in a full spectrum from genomic DNA, protein, subcellular/cellular pathology,
20 histopathology, specific brain area atrophy, cognitive and psychiatric disorder-like phenotypes,
21 motor behavioral deficits, weight loss and shortened life spans.

22

23 At the genomic level, the human BAC clone contains the complete human HTT gene with its
24 endogenous intron-exon structures and 5', 3' regulatory regions. This is important for testing
25 future gene editing approach such as CRISPR/Cas9 mediated targeting. BAC226Q transgenic
26 mice express full length human HTT with 226 CAG-CAA repeats, which yielded robust and early

1 on-set HD phenotypes. It should be noted that 226Q is within the range of mutations identified in
2 human patients (Nance et al., 1999). In additional consideration for practical usage, it is worth
3 noting that the CAG-CAA mixture gives BAC226Q mice an important advantage of complete
4 polyQ length stability between generations and individuals.

5
6 Importantly, BAC226Q mice do not have spurious phenotypes that sometimes exist in other
7 models. For example, unlike other models with severe developmental problems, BAC226Q
8 mice develop normally and subsequently have age-dependent and progressive
9 neurodegeneration. Also, unlike other models that showed significant body weight gain which is
10 opposite to human HD, BAC226Q mice have progressive weight loss and reduced lifespan,
11 consistent with human HD weight loss as a hallmark (Gaba et al., 2005; Stoy & McKay, 2000).
12 Interestingly, since weight loss is also observed in BAC-225Q model with full-length mouse
13 mutant HTT (Van Raamsdonk et al., 2006; Wegrzynowicz et al., 2015), it seems that weight loss
14 phenotype is polyQ-length dependent rather than human or mouse HTT species dependent.

15
16 Compared to other human full length HTT models, a significant advantage of BAC226Q mice is
17 its much earlier onset, much more robust and faster progressing phenotypes including early
18 hyperkinetic / late hypokinetic biphasic motor dysfunction. HD patients develop hyperkinetic
19 chorea first and as the disease progresses, hypokinesia and dystonia subsequently. Parallel to
20 the patients motor symptom progression, BAC226Q mice have severe hyperkinesia including
21 involuntary choreiform movement by 12-16 weeks and show reduced mobility after 10 months.

22
23 An important but less well-studied aspect of HD is psychiatric and cognitive impairments, which
24 often occur decades before the onset of motor symptoms in HD mutation carriers. In addition to
25 motor dysfunctions, BAC226Q mice show non-motor phenotypes at 2 months, before the onset
26 of motor deficit and neuropathology, which is the same temporal sequence as in patients. Thus

1 BAC226Q is an appropriate and powerful tool to study the mechanisms underlying psychiatric
2 and cognitive deficits.

3 **A model well suited for preclinical investigations**

4 Several features make BAC226Q well suited for preclinical studies. First, BAC226Q accurately
5 recapitulates HD phenotypes. Therefore, candidate drugs or approaches for disease
6 modification can be tested for their abilities to rescue these highly relevant phenotypes at
7 multiple levels. Second, BAC226Q has normal development and very early onset of phenotypes
8 that are progressive and robust. This provides a long window of observation for testing the
9 efficacy of therapeutic candidates. Third, the remarkable region-specific brain atrophy revealed
10 by high-resolution structural MRI can be used as a biomarker and readout in preclinical studies
11 longitudinally without sacrificing the animals. Fourth, the CAG-CAA mix makes the polyQ length
12 stable between generations and among individuals, which is advantageous in reducing
13 individual variability. Last but not least, BAC226Q has human genomic DNA as the transgene,
14 which is very appropriate testing gene-editing such as CRISPR/Cas9 strategy in preclinical
15 studies.

16

17 **Insights of mHtt toxicity in BAC226Q**

18 Although we only conducted an initial analysis of BAC226Q mice, we have already generated
19 data that can be used to clarify some of the questions in the field.

20

21 Huntingtin aggregates were first identified in R6 mice expressing exon 1 of mutant huntingtin
22 and subsequently identified in human tissue (Mangiarini et al., 1996). It is widely postulated that
23 Htt aggregates are the source of HD toxicity. Since N-terminal huntingtin is much more
24 susceptible to aggregation compared to the full length protein (Ratovitski et al., 2009), and
25 causes a more severe disease phenotype in mice, there has been a hypothesis that full length

1 mHtt need to be cleaved into fragments to exert toxicity. Our data do not support this
2 hypothesis, because in the life span of BAC226Q mice, fragmented mHtt is hardly detectable. It
3 seems that in BAC226Q, full length mHtt is toxic and sufficient to drive pathogenesis.

4
5 Another important question is whether mHtt has a dominant gain-of-toxic function, or a
6 combination of loss of function of the wild type allele. In BAC226Q, mHtt was not
7 overexpressed, and the two alleles of the wild type HTT exist. The fact that BAC226Q mice
8 developed such robust HD-like phenotype seems to lend support to the “gain-of-function”
9 hypothesis. The direct implication is that deleting mutant HTT alleles will be sufficient to benefit
10 patients in CRISPR/Cas9 mediated gene-targeting as a therapeutic method.

11

12 **Conclusions**

13 In this study, we report the generation and analyses of a novel BAC226Q mouse, which
14 accurately recapitulates the cardinal HD phenotypes including body weight loss, HD-like
15 characteristic motor behavioral impairment, cognitive and psychiatric symptoms, and classic HD
16 neuropathology changes such as significant neuronal death in striatum and cortex, widespread
17 mHtt aggregation pathology and reactive gliosis. Therefore, this model will be valuable for
18 mechanistic studies and therapeutic development of HD, especially for the preclinical genetic
19 therapies targeting human mHtt.

20

21 **Materials and methods**

22 **BAC engineering and generation of transgenic mice**

23 A BAC containing the full-length wild-type human HTT gene was modified to express a full-
24 length HTT with a stable, expanded polyglutamine tract. A plasmid containing exon1 of HTT
25 with 226 mixed CAG-CAA repeats was a gift from Dr. Alex Kazantsev (MIT). The sequence

1 corresponding to 226Q was inserted into the HTT gene by homologous recombination using our
2 standard protocols (Gong, Yang, Li, & Heintz, 2002). Fingerprinting analysis by genomic
3 Southern blots detected no unwanted rearrangements or deletions in the modified Htt-226Q
4 BAC. The modified BAC was sequenced to confirm that there were no unwanted mutations
5 other than the intended 226Q insertion. Finally, the full-length human Htt-226Q BAC copy
6 number and the insertion site in mouse genome were determined by whole genome sequencing
7 and bioinformatics analysis, performed by Novogene Corporation.

8

9 **Animal husbandry**

10 Mice were housed in a temperature and humidity controlled specific pathogen-free (SPF) facility
11 under a 12-hour light/dark cycle schedule with food and water available *ad libitum*. Transgenic
12 mice were bred with wild-type FVB/N mice (Taconic). It is important to note that BAC226Q in the
13 Taconic FVB/N mouse background exhibited the most robust and consistent phenotypes.
14 Genotyping was determined by PCR of genomic DNA isolated from tail snips. Genotyping
15 primers are located in intron 2 and are specific to human huntingtin (forward primer: 5'-GTA TAT
16 GCT GCT GCC TGC AA-3'; reverse primer: 5'-AGG GGA CAG TGT TGG TCA AG-3'),
17 producing a 403bp PCR fragment. Non-transgenic littermates were used as controls. All
18 experimental protocols were approved by the Weill Cornell Medicine and Peking University
19 animal care and use committee.

20

21 **Behavioral study**

22 *Open Field Test:* Mice were monitored individually with the VersaMax Animal Activity Monitoring
23 System (Accuscan Instruments). The activity monitor consists of a 16x16 grid of infra-red beams
24 at floor level to track horizontal position with 16 additional beams at a height of 3" to detect
25 vertical activity. Horizontal and vertical activities were measured by beam breaks recorded over
26 a period of 1 hour using the VersaMax software.

1

2 *Cylinder Test:* The cylinder test was performed during the dark phase of the light-dark cycle.

3 Each animal was placed in a clear acrylic cylinder and recorded on video for 5 minutes. A mirror
4 was placed below the cylinder to provide a view of the animal from below. Videos were
5 analyzed using ImageJ.

6

7 *Rotarod:* 8-week-old mice were trained in three 60-second trials at a constant speed of 10 rpm.

8 During the training trials, mice which fell were gently returned to the rotarod. Starting at 9

9 weeks, mice were tested once a week on an accelerating rotarod (5-45 rpm over 5 minutes) in 3
10 trials, with about 15 minutes rest between trials.

11

12 *Sucrose preference test:* Individually housed mice were trained to drink from two identical

13 water bottles on day 0. On day 1, one water bottle was replaced with a 1% sucrose solution and

14 on day 2, the positions of bottles were switched. The volumes of plain water and sucrose

15 solution before and after experiments were recorded. % preference was calculated by the

16 following formula: $\% \text{preference} = \frac{C_S - C_W}{C_S + C_W} \times 100\%$, where C_S and C_W are sucrose consumed and

17 water consumed, respectively.

18

19 *Splash test:* Mice were sprayed on their dorsal coat with a 10% sucrose solution, placed in an

20 empty cage, and recorded for 5 minutes. Duration of grooming activity was scored by a person

21 blind to genotype.

22

23 *Forced swim task:* Mice were placed individually into a cylinder of room temperature water

24 ($25 \pm 1^\circ\text{C}$) and recorded for 5 minutes. Immobility (time spent floating and not swimming) was

25 scored manually by a person blind to genotype.

1

2 *Object location memory.* Mice were introduced to an open field chamber for five minutes for 3
3 days. On day 4, four unique objects were placed to the open field chamber. On day 5, mice
4 were presented with the same four objects but the position of two objects was switched.

5 Discrimination Index (DI) was calculated using the formula $DI = \frac{T_m - T_u}{T_m + T_u}$, where T_m and T_u are time
6 spent exploring the moved and unmoved objects, respectively.

7

8 **Western blot**

9 Freshly dissected mouse brains were homogenized by a Precellys tissue homogenizer in ice-
10 cold RIPA buffer supplemented with Complete Protease Inhibitor Cocktail tables (Roche). The
11 supernatant was collected after centrifugation in a refrigerated centrifuge (4°C) at 16,000 xg for
12 10 minutes. Protein concentration was determined by a BCA Assay (Pierce), and an equal
13 amount of protein was loaded in each well of NuPAGE 4-15% Tris-Acetate gels or 15 x 22 cm,
14 8% polyacrylamide gels for SDS-PAGE. Proteins were transferred to a PVDF membrane
15 (Immobilon-FL) and probed with anti-Huntingtin 1C2 or S830. An odyssey infra-red imager was
16 used to visualize the blots (LI-COR biosciences)

17

18 **Neuropathology**

19 *Tissue preparation:* Mice were anesthetized with a ketamine/xylazine cocktail and transcardially
20 perfused with PBS followed by 4% freshly prepared, ice-cold paraformaldehyde (PFA). Brains
21 were removed and post fixed for 24 h in PFA, then transferred into PBS for storage. Brains were
22 mounted in 4% agarose and coronal sections were obtained with a vibratome (40um and 60um
23 thickness). Serial sections were collected into 10 wells so that each well contained every 10th
24 section. Floating sections were stored in anti-freeze buffer (30% glycerol and 30% ethylene
25 glycol in PBS) at -20°C.

1

2 *Immunostaining:* Floating sections were stained with the NeuN antibody (Millipore) at a 1:1000
3 dilution, the S830 anti-Htt antibody (a gift from Dr. Gillian Bates) at a 1:25,000 dilution, the anti-
4 GFAP antibody (Abcam) at a 1:2000 and the DARPP-32 antibody (Abcam) at a 1:7500 for
5 overnight at 4°C. Subsequent incubations in secondary antibody, ABC solution, and color
6 development were performed according to instructions provided with the ABC and DAB
7 substrate kits (Vector Labs). Brain sections stained by NeuN antibody and S830 anti-Htt
8 antibody were counter-stained with cresyl violet.

9

10 *Stereology:* Stereologic measurements were obtained by Stereo Investigator (MBF Biosciences)
11 with a Zeiss Axiophot2 microscope. The optical fractionator probe was used to estimate total
12 striatal neuron number in every 10th section. The counting frame was 30 x 30s in the NeuN
13 antibody stained brain sections with an optical dissector depth of 8 or 18µm for 40 and 60
14 micron cut sections, respectively with 2µm guard zones. At least 300 neurons were counted for
15 each animal, and the estimated coefficient of error in each case was less than 0.1. Striatal and
16 ventricle volumes were determined using the Cavalieri estimator on the same slides with a grid
17 size of 100 x 100 microns oriented at a random angle.

18

19 **MRI volumetry study**

20 MRI scanning and computational analysis were performed as previously described (Cheng et
21 al., 2011). Female BAC226Q and wild-type FVB mice aged 12 months were scanned by a 9.4
22 Tesla MR scanner with a triple-axis gradient and animal imaging probe. The scanning was
23 performed *in vivo* under isoflurane anesthesia. The resulting images were aligned to a
24 template by automatic registration software and signal values were normalized to ensure
25 consistent intensity histograms. A computer cluster running Large Deformation Diffeomorphic

1 Metric Mapping (LDDMM) was used to automatically construct non-linear transformations to
2 match anatomical features and perform automatic segmentation of specific brain regions. The
3 volume of each segmented structure was normalized by total brain volume and analyzed for
4 each genotype.

5

6 **Statistics**

7 Data were showed as mean \pm SEM (standard error of the mean) unless otherwise noted.

8 Student's t-test (unpaired) or one-way ANOVA were used to compare transgenic and control
9 groups in each experiment with significance indicated by *p*-values less than 0.05. *p*-values,
10 SEM, means and standard deviations were calculated with Microsoft Excel 2010 and Prism
11 software. Survival curves were compared with the log-rank (Mantel-Cox) test.

12

13 **Acknowledgements**

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17

18

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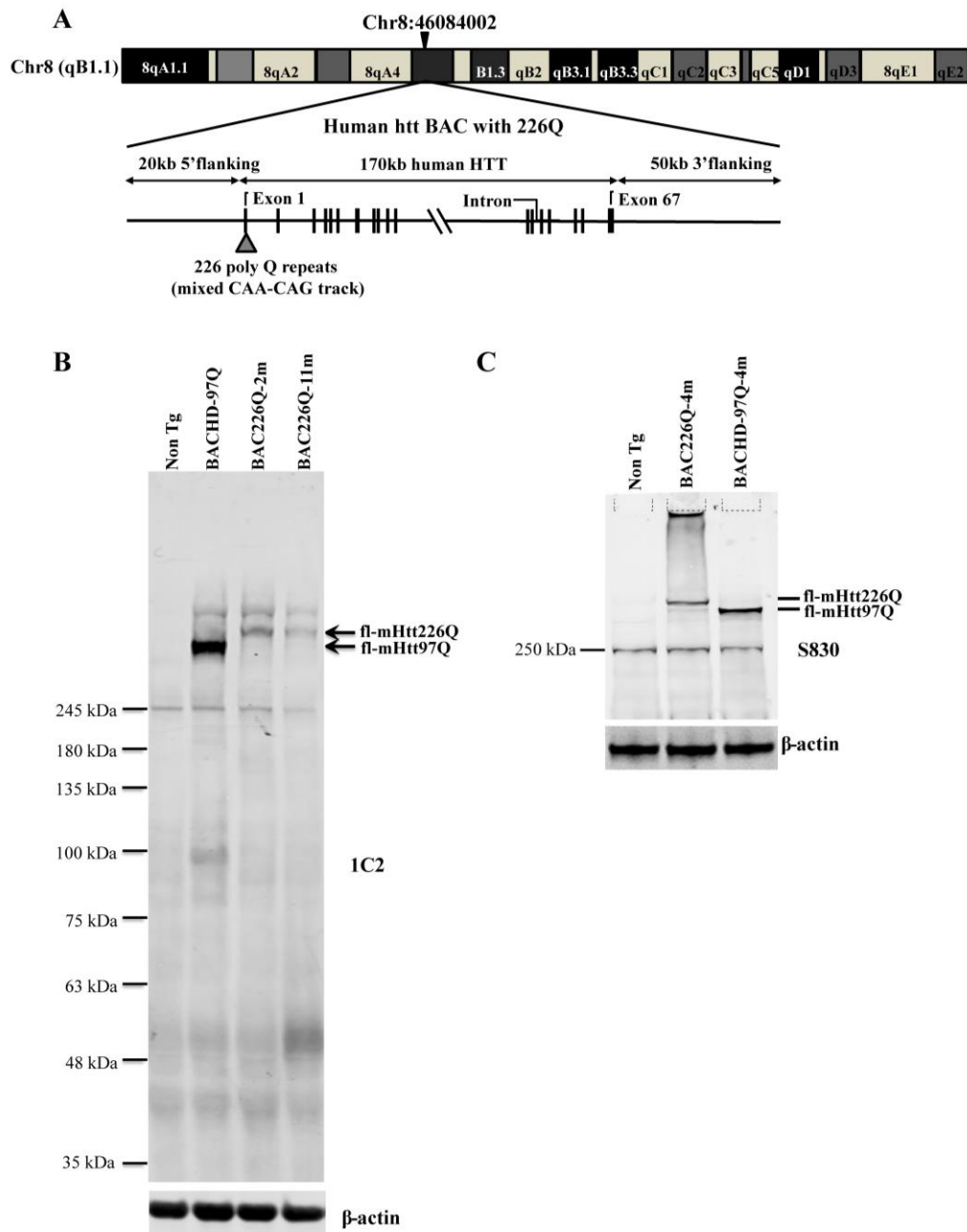
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1 **Figure 1.**



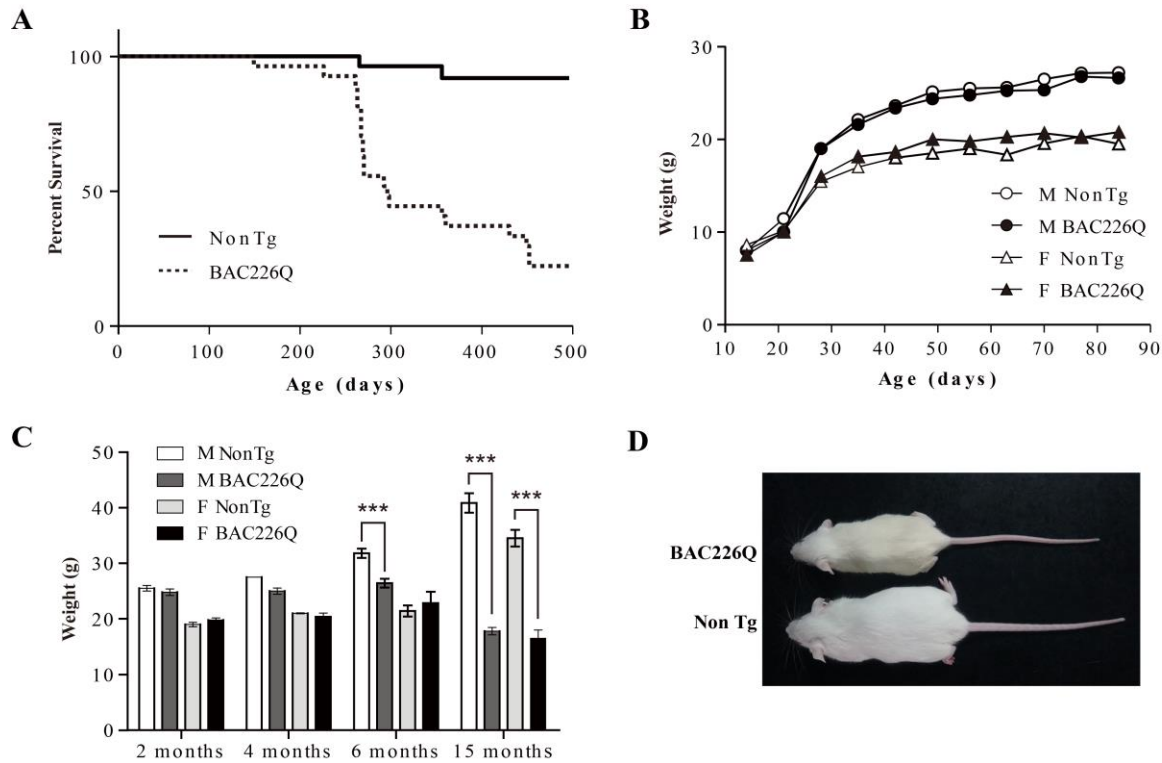
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3

1 **Generation of BAC226Q transgenic mice**

2 A) Schematic diagram of the insertion of the full-length human mutant HTT BAC into mouse
3 genome. The human HTT BAC contains 170kb genomic DNA of complete HTT genomic locus
4 plus approximately 20 kb 5' and 50 kb 3' flanking region with endogenous regulatory elements.
5 A mixed CAA-CAG repeat encoding 226 polyQ was engineered in the Exon 1. By whole
6 genome sequencing, two copies of the human mHTT BAC had been detected and inserted into
7 the mouse genome at Chr8:46084002. B) Western blot analysis of Htt protein expression levels
8 in BAC226Q, non-transgenic littermate and BACHD (97Q) mice with antibody 1C2. The whole-
9 brain lysates are from 2- and 11- month BAC226Q, 11-month non-transgenic littermate and
10 BACHD mice. Western blots were repeated in 3 independent cohorts of mice. The upper panel:
11 arrows indicate that 1C2, an antibody specific for mutant Htt, detects mHtt in BAC226Q and
12 BACHD (97Q) mice but not non-transgenic littermate. In the second lane from the left, mHtt-97Q
13 from BACHD (97Q) mouse appeared at the expected molecular weight. In the right two lanes,
14 mHtt-226Q from 2 and 11-month BAC226Q mice are detected at a molecular weight higher than
15 that of mHtt-97Q. Lower panel: the same blot was probed with anti- β -actin antibody for the
16 loading control. C) Reconfirmation by Western blot with the S830 antibody specific for mHtt in 4-
17 month non-transgenic control, BAC226Q and BACHD (97Q) mice. S830 antibody detected
18 mHtt-97Q in BACHD (97Q) mouse (right lane) and mHtt-226Q (middle lane) at expected
19 molecular weights but not in non-transgenic control (left lane).

1 **Figure 2.**



2

3 **Shortened life span and weight loss in BAC226Q mice**

4 A) Kaplan-Meier survival curves indicate a median life span of less than 1 year for BAC226Q

5 mice (start with n=16-21). B) In the first 12 weeks, male and female BAC226Q mice gained

6 weights at the same rate as their non-transgenic littermates (n=8-12). C) After normal

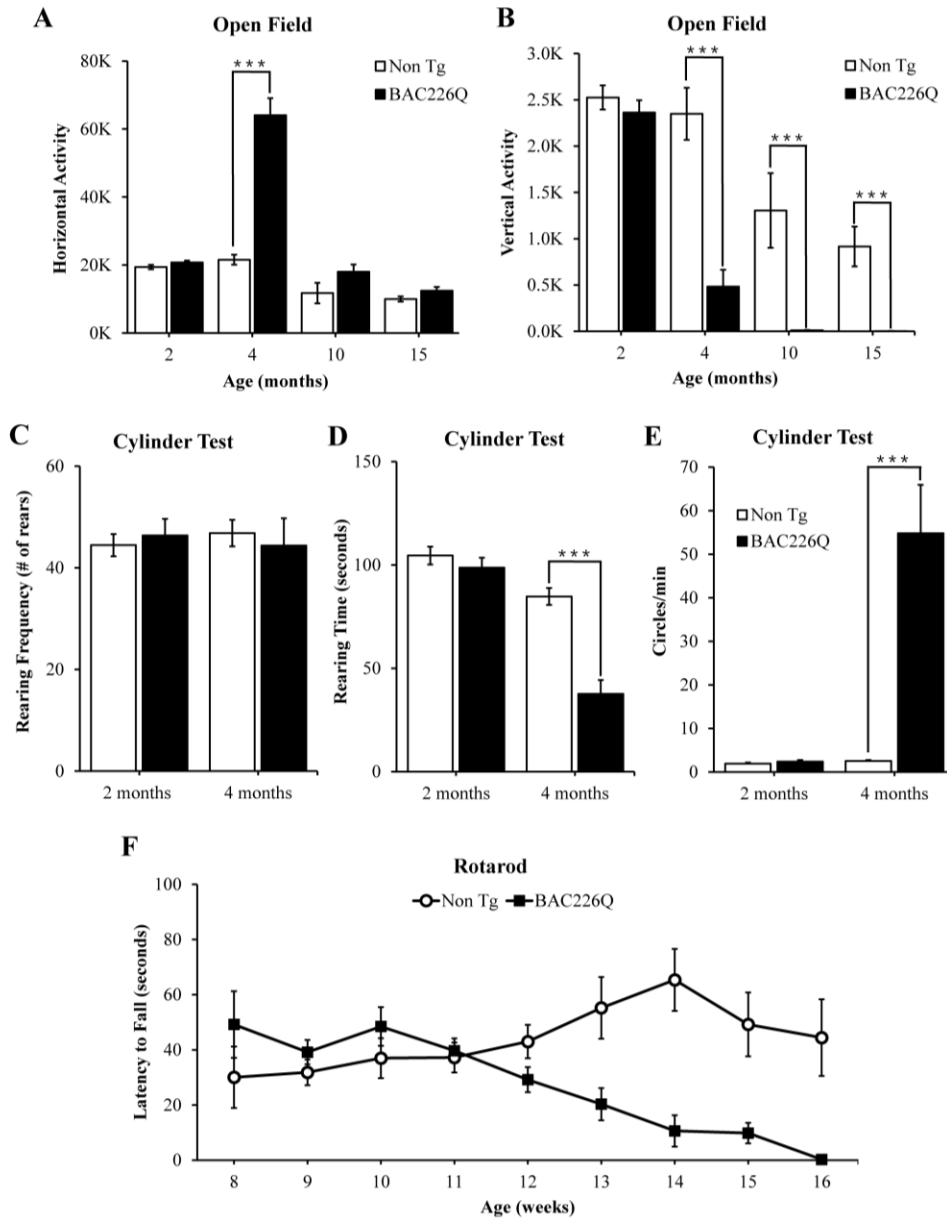
7 development, beginning from 6 months, BAC226Q mice had progressive and significant weight

8 loss compared to non-transgenic controls (n=8-12, ***= $p < 0.001$). D) Representative body sizes

9 of 11-month old male BAC226Q mouse and non-transgenic littermate.

10

1 **Figure 3.**



2

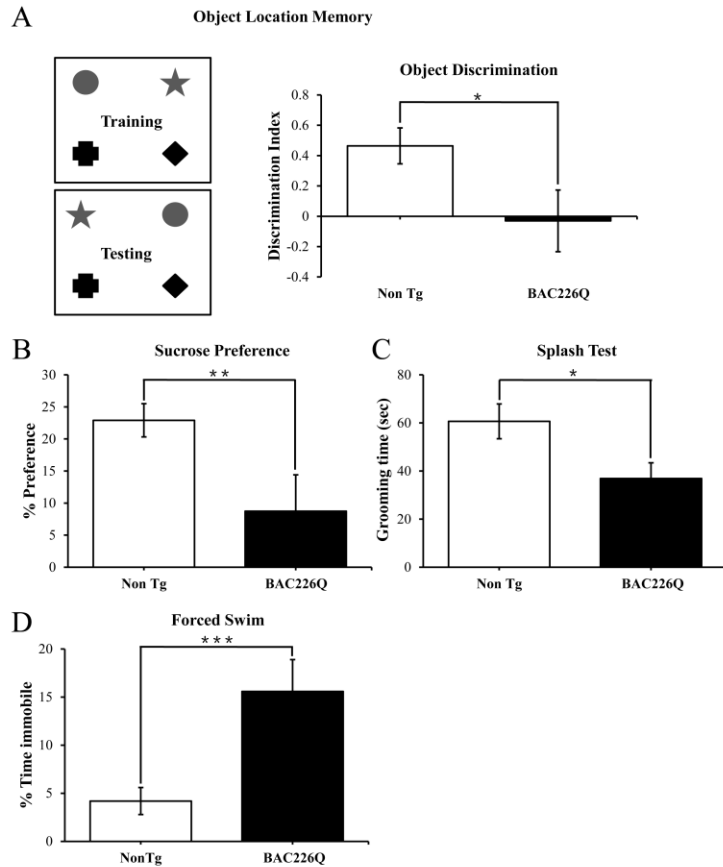
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1 **Robust, early onset and progressive motor deficits in BAC226Q mice**

2 A & B) Horizontal and vertical activity are measured by total beam breaks in 1 hour in the open
3 field. In horizontal movement, 4-month BAC226Q mice developed robust hyperactivity (A). In
4 vertical movement, BAC226Q mice had progressively diminished activity (B). C, D, & E) Results
5 of the cylinder task at 2 and 4 months. Rearing frequency, the total number of rears observed
6 during the 5-minute task, is significantly reduced in BAC226Q mice (C). Rearing time, the total
7 time of mice in an upright rearing position, is greatly reduced in BAC226Q mice (D). Circling
8 frequency, the total number of clockwise and counterclockwise rotations, is obviously increased
9 in BAC226Q mice (E). F) Rotarod performance was averaged over three trials, performed once
10 a week. BAC226Q mice showed progressive and strong deficits from 12 weeks. In all tests,
11 significance is indicated by *** = $p < 0.001$, $n = 11$ per group.

12

1 **Figure 4.**



2

3 **Cognitive and psychiatric disorder-like behavior in BAC226Q mice**

4 All tests used 12 pairs of 2-month-old male BAC226Q mice and non-transgenic sibling controls.

5 BAC226Q mice showed significant decrease in discrimination of moved objects (A, $p = 0.0485$),

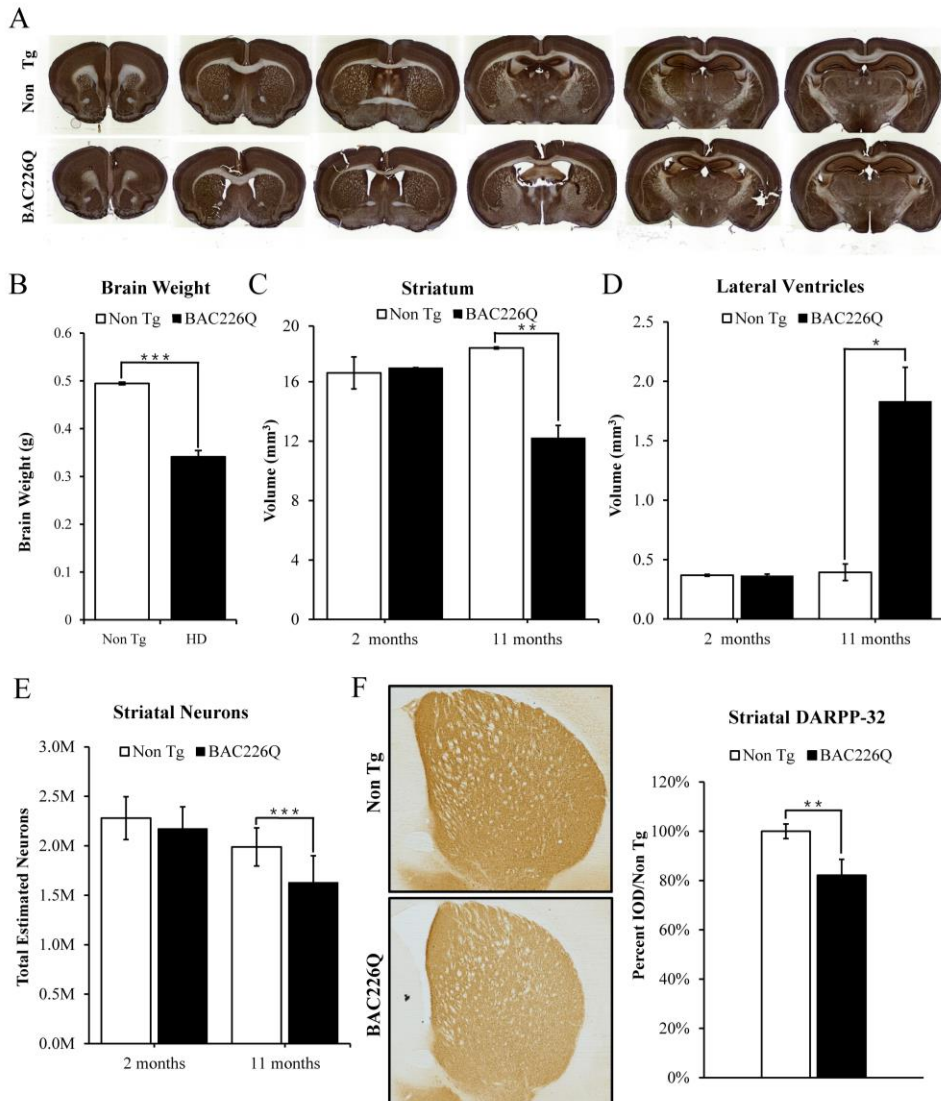
6 in sucrose preference (B, $p = 0.0031$), in grooming time in the splash test (C, $p = 0.035$), and in

7 mobile time in the forced swim test (D, $p = 0.0007$). Significance is indicated by $* = p < 0.05$, $** = p$

8 < 0.01 , $*** = p < 0.001$.

9

1 **Figure 5.**



2

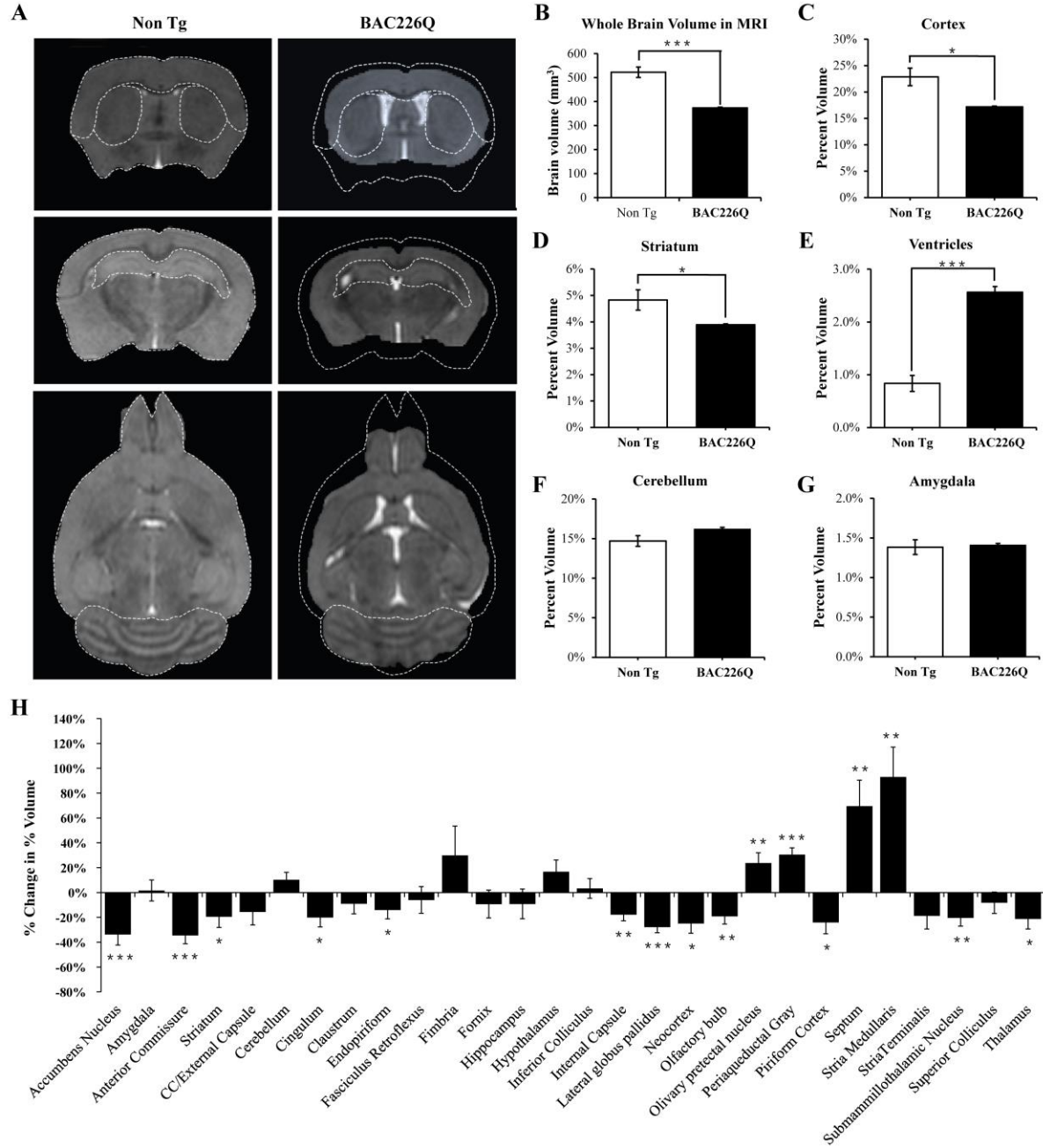
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1 **Histopathology of striatal atrophy and neuronal loss in BAC226Q mice**

2 A) Representative micrographs of NeuN stained serial brain sections for 11-month BAC226Q
3 and non-transgenic littermates. B) At 15 months, weights of BAC226Q mice brain were
4 significantly reduced ($n=8$; $p = 0.00019$). C & D) Striatal atrophy was measured by the Cavalieri
5 stereological estimator. Compared to the non-transgenic littermates, BAC226Q at 2 months had
6 no defects in striatal and ventricle volume. At 11 months, BAC226Q striatal volume was
7 significantly decreased by 34%, $n = 4$; $p = 0.0054$ (C), and lateral ventricle volume was
8 increased by 379%, $p = 0.0127$ (D). E) Total striatal neuron count was estimated by an optical
9 dissector stereological estimator. No significant difference was detected at 2 months, but a
10 significant 18% decrease was detected in 11-month BAC226Q ($n = 6$ BAC226Q, 5 Non Tg, $p =$
11 0.0002). In every subject counted, the estimated coefficient of error was less than 0.1. F) In 11-
12 month BAC226Q mice striatum, DARPP-32 staining of MSNs was reduced by 18.1% ($n=4$, p
13 $=0.0012$). In all panels, significance is indicated by * = $p < 0.05$, ** = $p < 0.01$ and *** = $p <$
14 0.001 .

15

1 **Figure 6.**



2

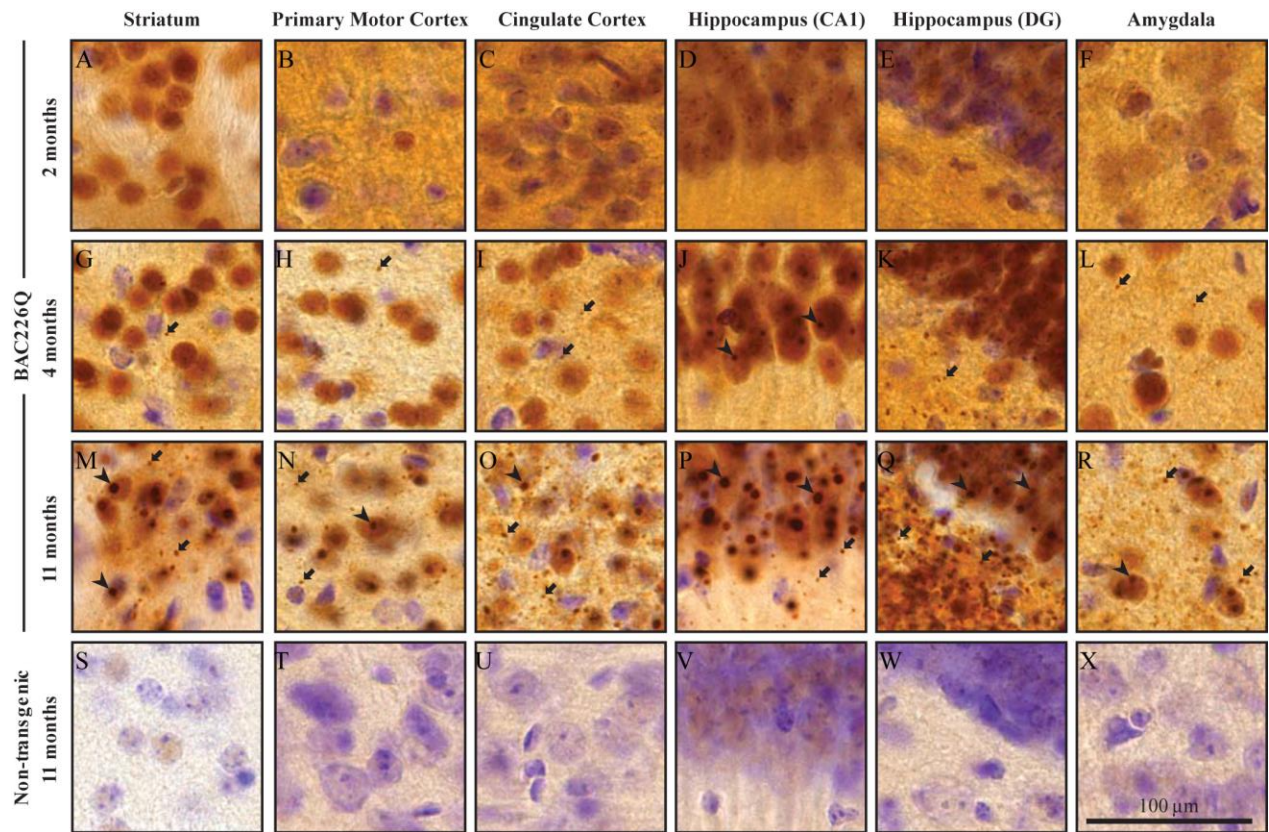
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1 **MRI study of regional specific brain atrophy in BAC226Q mice.**

2 A) MRI images of 12-month BAC226Q and non-transgenic littermates. B-G) Overall brain
3 volumes were segmented automatically into regional brain volumes by MRI volumetric analysis
4 in BAC226Q mice (n=9) and non-transgenic littermates (n=6). The volumes are presented here
5 as the percentage of whole brain volume. In BAC226Q mice, the decreases of whole brain
6 volumes (28.5%, $p=0.00022$) (B), in relative cortex volume (24.8%, $p=0.0113$) (C) and striatum
7 (19.4%, $p=0.0453$) (D) are significant, as is the increase in ventricle volume (E) (206.8%, p
8 <0.0001). There is no significant difference between genotypes in cerebellar (F) and amygdala
9 volume (G). H) Total 28 brain regions were measured by MRI volumetric analysis. The changes
10 of specific brain region volume in BAC226Q mice are presented as the percentage of the same
11 brain area in non-transgenic littermates. In BAC226Q mice, 16 brain regions had significant
12 volume changes ($p<0.05$). In all panels, significance is indicated by * = $p< 0.05$, ** = $p< 0.01$ and
13 *** = $p< 0.001$

14

1 **Figure 7.**



3 **Widespread and progressive mHtt aggregate pathology in BAC226Q brain**

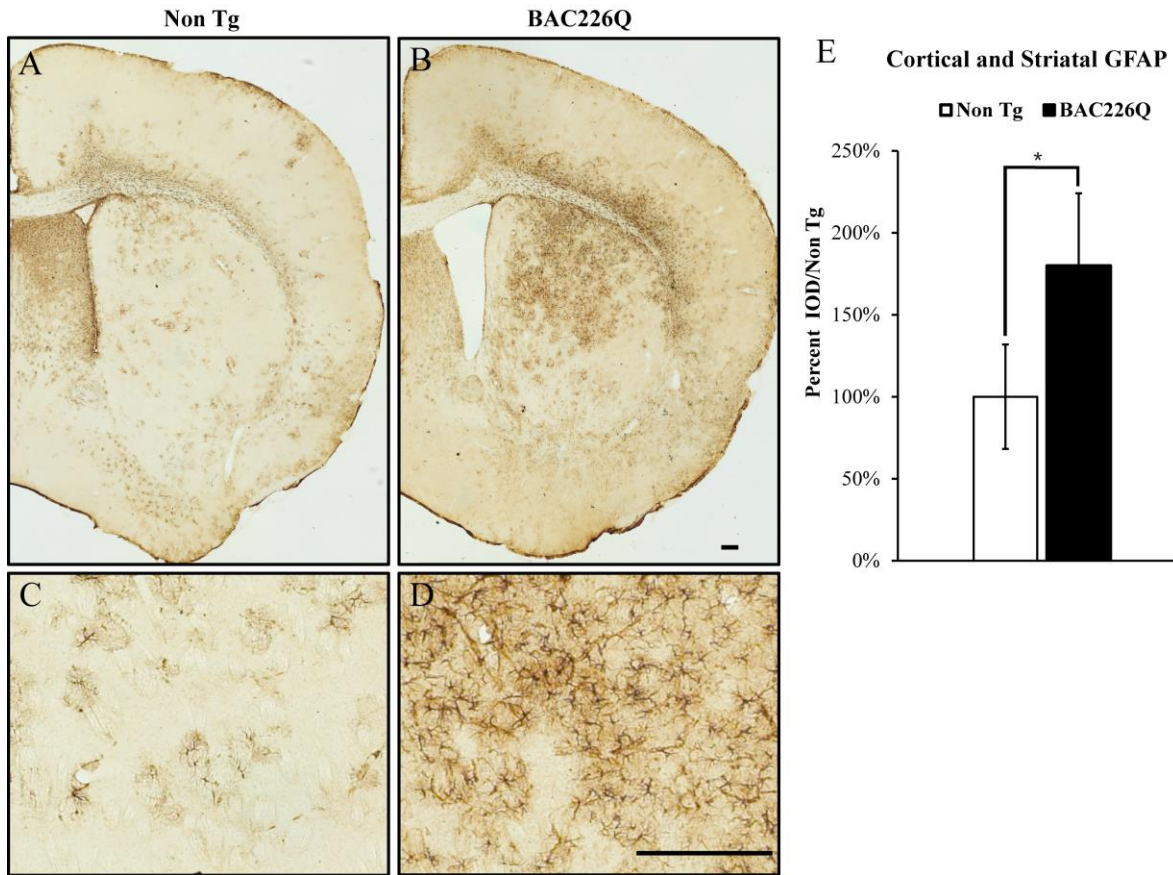
4 Huntingtin aggregates were stained by the S830 antibody. In BAC226Q, mHtt aggregates were
5 undetectable at 2 months (A-F), detected as small aggregates (arrows) and nuclear inclusions
6 (arrowheads) at 4 months (G-L), finally developed to large and numerous cytosolic aggregates
7 and nuclear inclusions at 11 months (M-R). In contrast, no aggregates were detected in non-
8 transgenic littermates (S-X). Regions shown are striatum (A, G, M, S), primary motor cortex (B,
9 H, N, T), cingulate cortex (C, I, O, U), CA1 of hippocampus (D, J, P, V), dentate gyrus (E, K, Q,
10 W) and amygdala (F, L, R, X).

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1 **Figure 8.**



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3 **Gliosis in striatum and deep cortical layers of BAC226Q brain**

4 Reactive astrogliosis is analyzed by immunohistochemical staining with GFAP antibody in 11-
5 month BAC226Q and non-transgenic littermate brains. Gliosis is prominent in BAC226Q (B&D),
6 but not in control littermate brains (A&C) (n=4, $p=0.032$).

7

1 **Table 1. Recapitulation of cardinal HD phenotypes in BAC226Q mice**

	HD Patients	BAC226Q Mice
Neuropathology	Brain atrophy	Yes
	Neuron loss	Yes
	mHTT aggregations	Yes
	Reactive gliosis	Yes
Progressive motor deficits	Bradykinesia	Yes
	Incoordination	Yes
	Chorea	Yes
	Dystonia	Yes
Non-motor phenotypes	Psychiatric symptoms	Yes
	Cognitive defects	Yes
	Reduced life span	Yes
	Weight loss	Yes

2

3 **Supplementary Material:**

4 Video 1. Chorea-like movement in BAC226Q mice at 14 weeks

5 Video 2. Rapid circling behavior in BAC226Q mice at 16 weeks

6 Video 3. Cylinder test in BAC226Q mice at 16 weeks

7

1 **Article and author information**

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3 Chenjian Li,

4 ● School of Life Sciences, The MOE Key Laboratory of Cell Proliferation and Differentiation,
5 Peking University, Beijing, China

6 ● Former Affiliation: Department of Neuroscience, Weill Cornell Graduate School of Medical
7 Sciences, New York, NY, USA

8 **Present address:**

9 School of Life Sciences Building Room # 630, Peking University, Beijing, 100871, China

10 Phone: 86-10-6275-6459

11 **Contribution:** Conception and design, Acquisition of data, Analysis and interpretation of data,
12 Supervision, Funding acquisition, Investigation, Methodology, Writing - original draft, Project
13 administration, Writing - review and editing

14 **For correspondence:** li_chenjian@pku.edu.cn

15 **Competing interests:** No competing interests declared.

16

17 Sushuang Zheng

18 School of Life Sciences, The MOE Key Laboratory of Cell Proliferation and Differentiation,
19 Peking University, Beijing, China

20 **Present address:**

21 School of Life Sciences Building Room # 630, Peking University, Beijing, 100871, China

22 **Contribution:** Conception and design, Acquisition of data, Analysis and interpretation of data,
23 Writing - original draft, Writing - review and editing

24 **For correspondence:** zheng_sushuang@pku.edu.cn

25 **Competing interests:** No competing interests declared.

26

1 Sushila A Shenoy

2 Department of Neuroscience, Weill Cornell Graduate School of Medical Sciences, New York,
3 NY 10021, USA

4 **Contribution:** Conception and design, Acquisition of data, Analysis and interpretation of data,
5 and Drafting or revising the article

6 **Competing interests:** No competing interests declared.

7

8 Wencheng Liu

9 Department of Neuroscience, Weill Cornell Graduate School of Medical Sciences, New York,
10 NY 10021, USA

11 **Contribution:** Acquisition of data, Analysis and interpretation of data, and Writing - review and
12 editing

13 **Competing interests:** No competing interests declared.

14

15 Yuanyi Dai

16 School of Life Sciences, Peking University, Beijing, China

17 **Contribution:** Acquisition of data, Analysis and interpretation of data, and Writing - review and
18 editing **Competing interests:** No competing interests declared.

19

20 Yuanxiu Liu

21 School of Life Sciences, Peking University, Beijing, China

22 **Contribution:** Acquisition of data, Analysis and interpretation of data and Writing - review and
23 editing

24 **Competing interests:** No competing interests declared.

25

1 Zhipeng Hou

2 The Russell H. Morgan Department of Radiology and Radiological Sciences, Johns Hopkins

3 University School of Medicine, Baltimore, Maryland, USA.

4 **Contribution:** acquisition of data, analysis and interpretation of data, and revising the article

5 **Competing interests:** No competing interests declared.

6

7 Susumu Mori

8 The Russell H. Morgan Department of Radiology and Radiological Sciences, Johns Hopkins

9 University School of Medicine, Baltimore, Maryland, USA.

10 **Contribution:** acquisition of data, analysis and interpretation of data, and revising the article

11 **Competing interests:** No competing interests declared.

12

13 Wenzhen Duan

14 Translational Neurobiology Laboratory, Baltimore Huntington's Disease Center, Johns Hopkins

15 University School of Medicine, Baltimore, Maryland, USA.

16 **Contribution:** Acquisition of data, Analysis and interpretation of data, Methodology, and Writing

17 - review and editing

18 **Competing interests:** No competing interests declared.

19

20