1	Prophylactic inhibition of colonization by Streptococcus pneumoniae with
2	the secondary bile acid metabolite deoxycholic acid
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13	Running title: Eradication of Spn from the nasopharynx with DoC
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19 Abstract

Streptococcus pneumoniae (Spn) colonizes the nasopharynx of children and the elderly but 20 21 also kills millions worldwide yearly. The secondary bile acid metabolite, deoxycholic acid (DoC), affects the viability of human pathogens but also plays multiple roles in host physiology. We 22 23 assessed in vitro the antimicrobial activity of DoC and investigated its potential to eradicate Spn colonization using an ex vivo model of human nasopharyngeal colonization and an in vivo mouse 24 model of colonization. At a physiological concentration DoC (0.5 mg/ml; 1.27 mM) killed all tested 25 26 Spn strains (N=48) two h post-inoculation. The ex-vivo model of nasopharyngeal colonization showed that DoC eradicated colonization by Spn strains as soon as 10 min post-exposure. The 27 mechanism of action did not involve activation of autolysis since the autolysis-defective double 28 mutants $\Delta lytA \Delta lytC$ and $\Delta spxB \Delta lctO$ were as susceptible to DoC as was the wild-type (WT). Oral 29 streptococcal species (N=20), however, were not susceptible to DoC (0.5 mg/ml). Unlike 30 trimethoprim, whose spontaneous resistance frequency (srF) for TIGR4 or EF3030 was $\geq 1 \times 10^{-9}$, no 31 spontaneous resistance was observed with DoC (srF \ge 1x10-¹²). Finally, the efficacy of DoC to 32 eradicate Spn colonization was assessed in vivo using a topical route via intranasal (i.n.) 33 administration and as a prophylactic treatment. Mice challenged with Spn EF3030 carried a median 34 of 4.05x10⁵ cfu/ml four days post-inoculation compared to 6.67x10⁴ cfu/ml for mice treated with 35 DoC. Mice in the prophylactic group had a ~99% reduction of the pneumococcal density (median, 36 2.61 x10³ cfu/ml). Thus, DoC, an endogenous human bile salt, has therapeutic potential against Spn. 37

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40 Introduction

Streptococcus pneumoniae (Spn) colonizes millions worldwide yearly, with a colonization 41 prevalence particularly high in children and the elderly (1-3). In children, the prevalence of 42 pneumococcal carriage can be as high as 90% in those from developing countries, or between 25-43 40% in children from industrialized nations (1, 4-7). There are also certain populations within 44 45 developed countries with increased carriage rates. For example, in a study by Sutcliffe et al (2019) 73.5% of children less than five, living in the US but of the Navajo nation, carried the pneumococcus 46 47 in the upper airways (8). Along the same lines, carriage of Spn in adults 18-49.9 years of age can be as high as 50% (8, 9) while pneumococcal carriage in a more vulnerable population, those older than 48 60, is similar (10) but increases in individuals colonized by the influenza virus (5). Although it is early 49 50 to draw conclusions, pneumococcal carriage is expected to increase in individuals colonized with 51 SARS-CoV-2 whereby we may experience a surge of pneumococcal disease (PD) cases in the next few years (11). 52

Pneumococcal carriage is a risk for developing PD and therefore it is considered an 53 immediate and necessary precursor of PD (1, 2, 12, 13). An important intervention with a 54 demonstrated positive impact on Spn carriage has been vaccination (14). Pneumococcal conjugated 55 56 vaccines (PCV) were introduced in many parts of the world since 2001 when PCV7 was licensed in the US (15, 16). The introduction of these vaccines reduced the burden of PD caused by vaccine 57 serotypes on a global scale and has also decreased nasopharyngeal carriage of pneumococcal 58 vaccine types in vaccinated populations (14, 17). However, the overall carriage prevalence has not 59 changed because of a phenomenon called "serotype replacement", i.e., vaccine-escape strains have 60 replaced vaccine type (VT) strains in the nasopharynx, resulting in pneumococcal carriage rates 61

similar to those observed prior to the introduction of vaccines (18-20). Therefore, additional
 interventions, or prophylactic strategies, are needed to aid reduce the burden of colonization.

Pathogenic and normal flora bacteria are susceptible to bile from different mammals (21-23). 64 65 Recent studies have demonstrated that the lack of primary and secondary bile acid metabolites is implicated in the development of intestinal infectious disease (21-23). Bile consists of \sim 95% water in 66 which are dissolved a number of endogenous solid constituents including bile salts, bilirubin 67 phospholipid, cholesterol, amino acids, steroids, enzymes, porphyrins, vitamins, and heavy metals 68 (24). Bile salts are the major organic solutes in bile and normally function to emulsify dietary fats 69 70 and facilitate their intestinal absorption. The two main primary bile salts that are synthesized in the mammalian liver are cholic acid, and chenodeoxycholic acid (24, 25). Intestinal bacteria then 71 produce "secondary bile acids" through enzymatic reactions, with the addition of two hydroxyl 72 groups to cholic acid producing one of the most abundant secondary metabolites, deoxycholic acid 73 74 (DoC) (24, 26). Endogenously-produced, secondary bile acids, have widespread effects on the host 75 and resident microbiota and have therefore been used to treat different diseases (26). Cholic acid is 76 used to treat patients with genetic deficiencies in the synthesis of bile acids due to single enzyme 77 deficiencies; the typical dose is 10 to 15 mg/kg once daily (27). DoC has been utilized in humans at a concentration of 15 mg/kg/day to decrease plasma high-density lipoprotein (HDL)-cholesterol and 78 low-density lipoprotein (LDL)-cholesterol (27) and it is also FDA-approved to reduce fat deposits (28, 79

80 29).

In addition to their physiological role in digesting lipids, bile acids are important regulators of intestinal homeostasis by activating receptors on intestinal cells and stimulating the immune response (25). In germ-free mice or mice treated with antibiotics to deplete the intestinal bacterial flora, the lack of secondary bile acids caused a deficient TLR7-MyD88 signaling in plasmacytoid

dendritic cells that resulted in an increased susceptibility to systemic chikungunya infection (21). 85 86 Another secondary bile acid, ursodeoxycholic acid, inhibited in vitro toxin production, growth and 87 spore germination of *Clostridiodes difficile* strains and contributed to colonization resistance in an *in* vivo mouse model of C. difficile disease (CDI) (30). Administration of clindamycin reduced intestinal 88 89 levels of valerate and DoC, increasing viable counts of C. difficile in a CDI chemostat infection model (31). A similar treatment of mice with clindamycin caused decreased intestinal DoC leading to 90 increased Campylobacter jejuni-induced colitis (22). The growth of another intestinal pathogen, 91 92 *Clostridium perfringens,* was inhibited *in vitro* with as low as 50 µM of DoC. Using an animal model of necrotic enteritis (NE), and supplementation of DoC in the diet (e.g., 1.5 g/kg) decreased 93 intestinal inflammation and NE-associated intestinal cell death and apoptosis (23). 94

In vitro studies demonstrated synergistic antibiotic activity when Doc (2.5 mg/ml) was 95 combined with vancomycin, or with vancomycin and furazolidone, to treat clinically-important 96 antibiotic resistant pathogens (32). Synergism between DoC, or lithocholic acid, and tryptophan-97 98 derived antibiotics secreted by intestinal bacteria inhibited bacterial division of C. difficile strains (33). In this study we assessed the antimicrobial activity of DoC against a collection of Spn strains, 99 including reference strains, Spn isolated from cases of pneumococcal disease, and strains resistant 100 101 to multiple antibiotics. The mechanistic basis for the observed sensitivity to DoC was investigated using knockout mutants in different autolytic mechanisms. We also adapted an ex vivo model of 102 103 nasopharyngeal colonization to investigate the efficacy of DoC to eradicate Spn colonization and 104 finally developed an in vivo prophylactic mouse model to demonstrate that administration of DoC prevented Spn colonization. 105

- 106
- 107 **Results**

Deoxycholic acid (DoC) eradicates cultures of drug-resistant *S. pneumoniae*. We challenged reference strains D39 and TIGR4 and drug-resistant Spn 19A and 19F vaccine-serotypes strains with increasing dosages of DoC and incubated for 2 h. Whereas untreated bacteria grew at >10⁸ cfu/ml, all five pneumococcal strains treated with DoC were killed within 2 h with 0.5 mg/ml (1.27 mM). Except for strain D39, all other vaccine serotype Spn strains were also killed with 250 µg/ml of DoC (Fig. 1).

We then assess the antimicrobial efficacy of DoC (0.5 mg/ml) against 39 pneumococcal strains isolated from cases of pneumococcal disease. Strains represented all PCV13 vaccine serotypes, and at least two strains of each vaccine serotype were challenged. Supplemental Table 1 confirmed that 0.5 mg/ml (1.27 mM) of DoC incubated for 2 h killed all assessed Spn strains. A MIC₉₀ of 0.5 mg/ml DoC was established.

A similar MIC [0.5 mg/ml (1.27 mM)] was obtained with reference strain TIGR4, or EF3030, assessed in cation-activated Mueller-Hinton broth (CAMHB) with 3% of lysed horse blood (LHB) and according to CLSI guidelines to assess resistance (34), or non-susceptibility, of Spn strains (Supplemental Fig. 1 and not shown). Whereas the density of TIGR4 inoculated in CAMHB and incubated overnight reached a density of 6.7x10¹⁰ cfu/ml, those cultures treated with DoC (1.27 mM) had a median density of 1.2x10⁴ cfu/ml, a ~99.99% reduction in density after overnight incubation (Supplemental Fig. 1).

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DoC eradicates MDR *S. pneumoniae* colonization in an *ex-vivo* model with human pharyngeal cells. To assess the potential of DoC to eradicate pneumococcal colonization, we performed experiments using an *ex-vivo* model of colonization. To create an abiotic substrate for pneumococci to colonize we used polarized human pharyngeal cells that had been fixed with paraformaldehyde.

These cell monolayers were infected with a MDR Spn strain GA47281, a vaccine strain serotype 19F 131 132 bearing resistance to several antibiotics including erythromycin, meropenem, and cefuroxime. 133 Infected human cells were incubated for 4 h to allow attachment of Spn and then planktonic bacteria were removed. Spn-colonized human cells were left untreated or challenged with different 134 135 dosages of DoC and incubated for 2 h. All doses of DoC reduced the density of MDR pneumococci >90% after the 2 h incubation compared to untreated human pharyngeal cells which had a density 136 of ~1x10⁷ cfu/ml of pneumococci (Fig. 2A). GA47281 bacteria were not recovered when 137 pneumococci were treated with 0.5 mg/ml (1.27 mM). Confocal scanning laser microscopy, XY 138 optical sections and 3D reconstructions, of cells infected with Spn confirmed that DoC had 139 140 eradicated colonization by strain GA47281 (Fig. 2C) or colonization by strain TIGR4 (Fig. 2D) from 141 human pharyngeal cells.

To determine the exposure time required to kill pneumococci, we performed a time course study treating MDR pneumococci colonizing human pharyngeal cells for up to one h with 0.5 mg/ml (1.27 mM). Interestingly, a ten-minute exposure time was enough to kill most attached MDR pneumococci (Fig. 2B). The antimicrobial effect did not change with a longer exposure time of 60 min. These data indicate that 0.5 mg/ml (1.27 mM) of DoC rapidly eradicates MDR pneumococci that otherwise would have colonized human nasopharyngeal cells at a density ~10⁷ cfu/ml (Fig. 2B).

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Spontaneous resistance to DoC (500 µg/ml) was not developed by *S. pneumoniae* strains. Spn strains develop spontaneous resistance to some antibiotics at a spontaneous mutation frequency >1x10⁻⁸ (35). We assessed spontaneous resistance to DoC using antibiotic sensitive strains TIGR4 and EF3030. As expected, spontaneous resistance against trimethoprim developed at a frequency of \geq 1.39x10⁻⁹ when either pneumococcal strain was assessed (Fig. 3). Spontaneous resistance to DoC,

however, was not observed in any of the two strains tested even at a population density $>10^{12}$

155 cfu/ml (Fig. 3).

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The mechanism of DoC-killing does not appear to trigger autolysis. Since the above experiments 157 158 revealed that a short exposure time was sufficient to kill pneumococci, we hypothesized that an irreversible autolytic mechanism may have been triggered by DoC. Autolysis is mainly driven by 159 autolysins LytA and LytC (36, 37) but hydrogen peroxide produced by the pneumococcus, through 160 161 enzymes SpxB and LctO, also contributes to lysis of pneumococci (38-40). To assess this hypothesis, we utilized strain R6 wt, its isogenic R6 Δ /ytA Δ /ytC mutant and a double R6 Δ spxB Δ /ctO mutant to 162 assess the role of autolysis in the observed DoC-mediated bactericidal activity. Since autolysis can be 163 measured by quantifying the release of extracellular (e)DNA, we first confirmed that the absence of 164 the LytA and LytC autolysins, or SpxB and LctO, causes a decreased release of eDNA into the 165 supernatant. After four hours of incubation, the eDNA released by R6 wt strain reached a median of 166 2.24x10⁶ pg/ml, whereas R6*/lspxB*/*lctO* released a statistically different two-fold decreased amount 167 of eDNA (median, 1.09x10⁶ pg/ml) in the supernatant (Fig. 4A). As expected, the autolysin double 168 mutant R6 Δ /ytA Δ /ytC yielded a ~14-fold reduced amount of eDNA (median, 1.55x10⁵ pg/ml) (Fig. 169 4A) compared to R6 wt. 170

These two mutant strains with an impaired autolysis phenotype were then challenged with DoC [0.5 mg/ml (1.27 mM)] and treated and untreated bacteria were incubated for 1 h. Results in Fig. 4B showed a >90% significant reduction (i.e., killing) of the population of R6 pneumococci after 1 h of incubation. The density of the R6 $\Delta spxB\Delta lctO$ isogenic mutant (not shown) or the R6 $\Delta lytA\Delta lytC$ mutant (Fig. 4B) was similarly reduced after 1 h incubation period with DoC, indicating that the mechanism by which DoC kill pneumococci is not by triggering autolysis. 177

DoC does not affect viability of normal flora streptococci. We next investigated whether the MIC₉₀ 178 of DoC that eradicates Spn strains [0.5 mg/ml (1.27 mM)] within 2 h of incubation would have the 179 same bactericidal effect against other streptococci that reside in the oral cavity. As a positive control 180 181 we utilized Spn reference strain EF3030 (41, 42). The median density of EF3030 untreated cultures was 3.37x10⁷ cfu/ml whereas those treated with 0.5 mg/ml DoC for 2 h had a median density of 182 3.1x10² cfu/ml, and therefore DoC killed 99.99% of the bacterial population (Fig. 5A). However, the 183 same dose of DoC incubated for 2 h did not significantly affect the viability of S. oralis (Fig. 5B), S. 184 mutans, (Fig. 5C) S. gordonii, (Fig. 5D) and induced a two-log reduction of the density of S. 185 186 anginosus. (Fig. 5E). An additional 16 different streptococcal species were treated with DoC [0.5 mg/ml (1.27 mM)] for two hours and, except for S. pseudopneumoniae and S. salivarius that were 187 susceptible, cultures of all other species were not affected (Table 1). 188

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Standardizing the mouse model of pneumococcal carriage to assess the efficacy of DoC to 190 191 eradicate nasopharyngeal colonization. The bacterial inoculum utilized in a mouse model of pneumococcal colonization is usually $\sim 1 \times 10^7$ cfu and nasal washes through the trachea are 192 performed to assess colonization (43, 44). We first standardized the removal of a defined section of 193 nasopharyngeal tissue consisting of the intact nasal septum (Fig. 6A) from colonized mice and 194 confirmed by histological analysis the presence of typical nasopharyngeal tissue including microvilli, 195 and pseudostratified, squamous epithelium overlaying by loose connective tissue including blood 196 197 vessels with erythrocytes (Fig. 6B). Since our goal was to assess the efficacy of DoC to reduce, and/or inhibits, nasopharyngeal colonization we investigated a low inoculum density of Spn EF3030 that 198 would sustain colonization of mice, but avoided using a non-natural (i.e., heavy) inoculum. Our 199

experiments demonstrated that inoculating ~1x10⁵ cfu in the nostrils of mice allowed nasopharyngeal colonization for up to four days at a median density of 2.49x10⁵ cfu/organ (Fig. 6D). We additionally removed the trachea and lungs and demonstrated consistent Spn colonization of the trachea, at a low density of 9.0x10² cfu/organ, but colonization of the lungs was not observed (Fig. 6D). Encapsulated Spn were detected using an anti-S19-Alexa-555 antibody and were identified both in nasopharyngeal homogenates (Fig. 6C) and colonizing the nasopharyngeal tissue (Fig. 6E). Microinvasion into the nasopharyngeal epithelium was also observed (Fig. 6E).

207 Deoxycholic acid (DoC) decreased in vivo colonization by S. pneumoniae strain EF3030 in a mouse 208 model of nasopharyngeal carriage. We then assessed the efficacy of DoC to eradicate colonization 209 using three groups of mice (N=8). Groups 1 and 2 drank regular water while the drinking water of group 3 was supplemented with DoC at a concentration of 0.2 μ g/ml (i.e., 0.02%) six days prior to 210 infection and remained in their drinking water throughout the experiment (Fig. 7A). All three groups 211 were then challenged i.n. with Spn EF3030 (\sim 1x10⁵ cfu) and 24 h post-infection, mice in groups 1 and 212 213 2 were treated twice a day i.n. with 10 ul of a PBS solution or DoC (2 mg/ml), respectively. Mice 214 were euthanized 10 days after initiating the prophylactic regimen (i.e., oral administration) in 215 drinking water, or four days after placebo or DoC topical nasopharyngeal treatment began (Fig. 7A).

Because of the oral and topical administration of DoC, we monitored the weight of mice daily and no statistically significant difference in weight was observed between day one and the end of the experiment in groups 1, 2, and 3 (Fig. 7B). The colonization density of Spn was determined by dilution and plating of nasopharyngeal homogenates (Fig. 7C). The median density of Spn in the control group was 4.05x10⁵ cfu/ml (25th percentile, 2.50 x10⁴; 75th percentile, 5.60 x10⁵) whereas mice in the topical DoC-nostril group had a median density of 6.67x10⁴ cfu/ml (25th percentile, 1.15

 $x10^{5}$: 75th percentile. 5.94 $x10^{5}$). Although slightly reduced compared with the control group, this 222 colonization density was not statistically different. However, mice in the prophylactic DoC 223 administration group had a median Spn density of 2.61 x10³ cfu/ml (25th percentile, 1.5 x10³; 75th 224 percentile, 2.03 $\times 10^4$) and therefore, a significant reduction of nasopharyngeal colonization density 225 (e.g., 99.36% reduction) was achieved compared to the control group. We further extracted DNA 226 227 from those nasopharyngeal homogenates and the purified DNA was utilized as template in Spnspecific quantitative (q)PCR reactions. qPCR reactions confirmed a statistically significant decreased 228 density in the oral administration DoC-water group (median, 1.77×10^4 genome equivalents/ml) 229 compared with the control group (media, 6.70×10^5 genome equivalents/ml) or with the topical DoC-230 nostril group (media, 1.15×10^5 genome equivalents/ml) (Fig. 7D). 231

- 232
- 233 Discussion

234 We demonstrated that the prophylactic treatment through the oral route with DoC 235 protected mice from nasopharyngeal colonization with Spn strain EF3030. We also described in the 236 current study a rapid antimicrobial effect of DoC against Spn strains, including reference strains, 237 recent invasive isolates and multidrug resistant strains. DoC-susceptible strains included all PCV13 238 serotypes, strains bearing resistance to first-line antibiotics utilized to treat pneumococcal disease such as beta-lactams and macrolides (45, 46), and last-resort antibiotics such as meropenem and 239 linezolid. Killing of Spn occurred with 1.27 mM, which is below the upper physiological limit (i.e., 2 240 241 mM) of free, unconjugated, bile acids in the intestine although the post-prandial concentration of conjugated bile acids can be as high as 10 mM (47, 48). Remarkably, oral administration of DoC 242 243 during 10 days by supplementing the drinking water of mice with 0.2 μ g/ml (0.5 μ M), inhibited nasopharyngeal colonization reducing the pneumococcal density by ~99%. Since adult mice (20-25 244

g) drink a minimum of 3 ml of water per day (49), this oral administration via drinking water reached
a dosage of ~0.6 mg/day (~24 mg/kg/day).

DoC is a secondary bile acid synthesized by the intestinal microbiota from cholic acid and 247 248 then rapidly absorbed in the intestine (24, 26), thereby it is likely that the concentration of DoC in 249 blood rapidly increased and stayed at similar levels throughout the prophylactic treatment. Whether 250 the level of DoC in circulation directly caused the reduction of the colonization density by means of 251 the DoC-antimicrobial activity, or by means of its immunomodulatory activities, is currently under investigation in our laboratories. Bile produced by mammals has bacteriostatic activity keeping the 252 sterility of the biliary tree, thereby an imbalance in the synthesis of bile acids, among other negative 253 254 effects, is associated with the overgrowth of bacteria in the small intestine and with inflammation (50). For example, when the intestinal DoC increases the synthesis and secretion of mucus increases 255 and induces the synthesis of immunoregulatory cytokines including the release of human β -256 defensins (50-52). 257

DoC is the most abundant secondary bile acid in serum of both mice and humans, with 258 259 concentrations in healthy subjects ranging from 100 nM to 1 μ M (53, 54). Individuals with 260 deficiencies in bile salts have problems to emulsify fat leading to intestinal disorders that have been treated by manipulating intestinal levels of bile acids (51). Cholic acid is used to treat patients with 261 genetic deficiencies in the synthesis of bile acids due to single enzyme deficiencies; the typical dose 262 263 is 10 to 15 mg/kg once daily (27). Specifically, DoC has been utilized in humans at a concentration of 15 mg/kg/day to decrease plasma high-density lipoprotein (HDL)-cholesterol and low-density 264 265 lipoprotein (LDL)-cholesterol (27). Thus, the prophylactic dosage of oral DoC that inhibited Spn colonization in mice (24 mg/kg/day) was similar to that utilized to treat metabolic diseases in 266 humans. More recent studies demonstrated that DoC (50-150 µM) and ursodeoxycholic acid (UDA) 267

regulates colonic wound healing using a mouse model of colonic epithelial restitution *in vivo* by administering bile acids at a concentration of 30 mg/kg/day via rectal gavage (55). When administered to mice at a similar concentration, DoC and UDA prevented *C. jejuni*-induced colitis and CDI, respectively. Whereas DoC did not affect viability of *C. jejuni* strains but enhanced an immune response against the pathogen, UDA (3.8 mM) directly decreased the viability of *C. difficile* and directly inhibited sporulation.

274 Spn strains are "dissolved" in rabbit bile (56), and this is the basis of a phenotypic assay (i.e., 275 bile solubility test) utilized to differentiate Spn strains from other α -hemolytic streptococci (57, 58). At a more physiological concentration, such as that utilized in the current study (1.27 mM). DoC 276 277 specifically killed Spn strains but had little to no activity against other streptococci. Although the bile 278 solubility test measures turbidity by a subjective visual method rather than viability, bile solubility of 279 streptococci using a semi-quantitative assay correlated with our studies of bacterial viability after a challenge with DoC (59). Similar to our MIC studies, the semi-guantitative assay identified Spn 280 281 strains having the highest solubility in bile followed by strains with intermediate solubility such as S. 282 pseudopneumoniae but all other streptococci were not soluble in bile (59).

Reports describing Spn strains that were not soluble in DoC using the subjective visual 283 readout are available; however, neither the semiquantitative assay nor our viability screening 284 identified strains reduced in or lacking susceptibility to DoC (60). The possibility remains that some 285 pneumococcal strains isolated from pneumococcal disease cases, or those colonizing healthy 286 287 individuals, are naturally resistant to DoC. Spontaneous resistance to DoC when assessed using strains TIGR4 and EF3030 was not achieved even at bacterial populations >10¹² cfu/ml. The same 288 strains, when challenged with trimethoprim, generated spontaneous resistant bacteria at a 289 frequency of $\ge 1.39 \times 10^{-9}$ A similar spontaneous resistance frequency to trimethoprim (35), to that 290

found in the current study, or spontaneous mutation to optochin (61) have been reported for otherSpn strains.

Given the very short treatment with DoC (~10 min) to reach a MIC in vitro, the current study 293 294 assessed whether topical administration of DoC in the upper airways will result in eradication of 295 colonization. However, mice infected with strain EF3030 and treated with DoC in the nares showed 296 only a slight, but non-significant, reduction of the pneumococcal density. There are a number of 297 reasons to explain the failure to eradicate colonization via the topical route. For example, the small nasal vestibule of mice may have resulted in failure of the DoC to reach all of the nasopharyngeal 298 tissue and/or DoC may have been absorbed before reaching pneumococcal cells. Perhaps a lower 299 300 pneumococcal carriage density, longer exposure to DoC in the upper airways, or a higher volume of 301 DoC administered into the nostrils would have resulted in a further decrease in bacterial density. 302 Microinvasion of pneumococcus into the nasopharyngeal epithelium observed in this study, and elsewhere (62), could have been also factor for the failure of the topical route. 303

Earlier biochemical studies suggested that an autolysin(s) was responsible for the lysis of pneumococci in DoC (63). If this is true, such an autolysin should be other than the major LytA or LytC autolysins since our experiments using two different autolysis defective mutants demonstrated similar DoC susceptibility of the R6 wt strain, $R6\Delta lytA\Delta lytC$ and $R6\Delta spxB\Delta lctO$. This mechanism, however, appears to be exquisitely specific for Spn strains since challenging other streptococci with the pneumococcus DoC MIC₉₀ the majority of those strains were not susceptible. Studies are under way in our laboratories to identify such an enzyme and/or an additional mechanism(s).

In summary, we demonstrated *in vitro* antimicrobial activity of DoC against several pneumococcal strains, including multidrug-resistant strains, *ex vivo* antimicrobial activity to reduce colonization of human nasopharyngeal cells and *in vivo* activity that inhibited colonization in a

mouse model of pneumococcal nasopharyngeal colonization. Because Spn strains colonize billions of individuals, killing at least one million every year worldwide, data within this study bears potential for future development of prophylactic interventions aimed to reduce pneumococcal colonization.

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318 Materials and Methods

Bacterial strains, culture media and reagents. Streptococcus species are listed in Table 1 and Spn 319 reference strains and isogenic mutant derivatives are listed in Table 2. All other Spn are listed in 320 321 supplemental Table 1. Strains were cultured on blood agar plates containing 5% of sheep red blood cells (BAP) from frozen stocks made in medium containing skim milk, tryptone, glucose, and glycerin 322 (STGG) (64). Animal experiments were cultured on BAP with gentamicin (25 μ g/ml). Strains were 323 inoculated in Todd Hewitt broth containing 0.5% (w/v) yeast extract (THY) or in cation-adjusted 324 Mueller-Hinton broth (CAMHB) with 3% of lysed horse blood [(LHB), Remel]. Paraformaldehyde 325 (PFA), gentamicin, tetracycline, trimethoprim, and sodium deoxycholate were sourced from Sigma. 326

327 Preparation of inoculum for experiments. Inoculum was prepared essentially as previously described (65, 66). Briefly, an overnight BAP culture of the strain was used to prepare a bacterial 328 suspension in sterile phosphate buffered saline [(PBS), pH=7.4] and the fresh bacterial suspension 329 was inoculated to a final OD₆₀₀ of ~0.1. This suspension contained ~5.15x10⁸ cfu/ml. Aliquots of 330 these suspensions were routinely diluted and plated to confirm bacterial counts (cfu/ml). To 331 332 inoculate mice, Spn strain EF3030 was inoculated in THY broth and grown until it reached an OD₆₀₀ of ~0.2 (i.e., early log phase), then sterile glycerol was added to a final concentration of 10% and 333 aliquots were frozen at \sim 80°C. An aliquot was removed from each batch to determine the density of 334 335 the preparations.

Quantitative studies of the antimicrobial activity of DoC. Studies of antimicrobial activity were performed using THY or CAMHB containing 3% of LHB. Experiments using THY were performed as follows: a bacterial suspension was inoculated in polystyrene 24-well plates (Corning) at a final density of ~5.15x10⁸ cfu/ml and left untreated (control) or treated with DoC at varying dosages and incubated for 2 h at 37°C in a 5% CO₂ atmosphere. To remove bacteria that could have potentially attached to the substratum, the microplate was sonicated for 15 s in a Bransonic ultrasonic water bath (Branson, Dunburry CT) and then cultures were serially diluted and plated onto BAP.

To obtain the MIC as recommended by the CLSI we utilized the broth microdilution method 343 (34). DoC was serially diluted in CAMHB containing 3% of LHB in 96-well microtiter plates and 344 345 pneumococci, that had been adjusted to a turbidity corresponding to the 0.5 McFarland standard 346 (~1x10⁸ cfu/ml), was inoculated and incubated for 20 h at 37°C. Untreated cultures and noninoculated medium were included as a control. Besides reading the microplates as recommended by 347 the CLSI, the untreated growth control, and wells with the obtained MIC were serially diluted and 348 plated as before. As a control of the microdilution procedure, the MIC for tetracycline was assessed 349 350 in parallel using reference strain Spn ATCC49619, and GA16833, which were sensitive (<1 µg/ml) and 351 resistant (8 µg/ml), respectively.

Ex-vivo model of pneumococcal colonization on human pharyngeal cells. This *ex-vivo* adhesion model on immobilized pharyngeal cells was developed by Marks et al. (2012) (67) and thereafter utilized in pathogenesis and biofilm research by different laboratories (67-69). Human pharyngeal Detroit 562 cells (ATCC CCL-198) were cultured in DMEM (Gibco) supplemented with 10% nonheatinactivated fetal bovine serum (FBS) (Atlanta biologicals), 1% non-essential amino acids (Sigma), 1% glutamine (Sigma), penicillin (100 U/ml), streptomycin (100 µg/ml) and the pH was buffered with HEPES [(10 mM) Gibco]. Cells were incubated at 37°C in a 5% CO₂ humidified atmosphere until confluence ~7-10 days on an 8-well glass slide (Lab-Tek), or on CellBIND® surface polystyrene 24well plates (Corning) and then immobilized by fixation with 2% PFA for 15 min at room temperature. After extensive washes with sterile PBS, immobilized human pharyngeal cells were supplemented with cell culture medium without antibiotics and infected with an inoculum of the tested strain prepared as mentioned earlier. Infected human pharyngeal cells were incubated for 4 h at 37°C and with 5 % CO₂.

At the end of incubation, planktonic pneumococci were removed, attached bacteria were 365 gently washed two times with sterile PBS and fresh cell culture medium with no antibiotics was 366 added. Pneumococci attached to pharvngeal cells were challenged with DoC at different dosages at 367 368 incubated for 2 h or treated with 0.5 mg/ml DoC and incubated for the indicated time at 37°C in a 5 % CO₂ atmosphere. To obtain the density of pneumococci in the 24-well plate model, pneumococci 369 370 and cells were washed twice with PBS and then sonicated for 15 s in a Bransonic ultrasonic water bath (Branson, Dunburry CT) followed by extensive pipetting to remove attached bacteria. The 371 372 preparations were diluted and plated onto blood agar plates to obtain bacterial counts (cfu/ml).

373 To stain pneumococci adhered to cells on the 8-well glass slide, bacteria were fixed with 2% PFA as before and after three washes with PBS the preparations were blocked with 2% bovine 374 375 serum albumin (BSA) for 1 h at room temperature. These preparations were then incubated for 1 h with serotype-specific polyclonal antibodies (Statens Serum Institute, Denmark) (~40 µg/ml) that 376 had been previously labeled with Alexa-488 (anti-serotype 4-Alexa-488, to stain TIGR4) or Alexa-555 377 378 (anti-serogroup 19-Alexa-555, to stain GA47281) (Molecular Probes). Stained preparations were 379 finally washed two times with PBS. TIGR4 experiments were additionally stained with wheat germ agglutinin conjugated to Alexa-555 [(WGA), 5 µg/ml] and then mounted with ProLong Diamond 380 Antifade mounting medium containing DAPI (Molecular Probes) whereas GA47281 preparations 381

were stained with TO-PRO-3 (1 µM), a carbocyanine monomer nucleic acid stain (Molecular Probes),

for 15 min. Confocal images were obtained using a Nikon AX R confocal microscope and analyzed
 with ImageJ version 1.49k (National Institutes of Health, USA).

Investigating the spontaneous mutation frequency. To determine the frequency of spontaneous 385 386 mutation, BAP with 5% sheep red blood cells were prepared to contain either trimethoprim (1 µg/ml) or DoC (0.5 mg/ml). Fresh suspensions of Spn strain EF3030, or TIGR4, made in PBS were 387 prepared at a final density of $\sim 10^8$, $\sim 10^9$, $\sim 10^{10}$, $\sim 10^{11}$, and $\sim 10^{12}$ cfu/ml and inoculated on plain BAP 388 or BAP containing trimethoprim or DoC. Bacterial suspensions were diluted and plated onto plain 389 BAP to confirm the density of pneumococci. Inoculated plates were incubated at 37°C under a 5 % 390 391 CO_2 atmosphere for ~20 h. The spontaneous mutation frequency was then calculated by dividing the spontaneous resistant pneumococci, i.e., grown on BAP with trimethoprim or DoC, by the bacterial 392 population. 393

Mouse model of pneumococcal nasopharyngeal carriage. Three groups (N=8 each) of inbred 6-394 7week old C57BL/6 mice (Charles River Laboratories) were utilized to assess in vivo antimicrobial 395 396 activity of DoC. Two groups of mice drank regular water throughout. The drinking water of the third 397 group of mice was supplemented with DoC to a final concentration of 0.2 μ g/ml (i.e., 0.02%) starting at day 0 of the experiment, and DoC-containing water was provided ad libitum for reminder of the 398 experiment (10 days). Six days after DoC was added to the drinking water of mice in group three, 399 400 mice in all three groups were anesthetized with 2.5% isoflurane (vol/vol) over oxygen (2 liter/min) administered in a RC2 calibrated vaporizer (VetEquip Incorporated) and then infected with $\sim 1 \times 10^{5}$ 401 cfu of Spn EF3030. Twenty-four hours post-nasal-inoculation of EF3030, mice in groups 1 and 2 were 402 403 treated by nasal instillation with PBS or DoC (10 µg each nostril), respectively, two times a day for four days. Mice were then sacrificed, and the nasopharynx, trachea, lungs and blood were 404

aseptically collected. Tissue homogenates were diluted in PBS and plated onto BAP with gentamicin.
Aliquots of these homogenates were supplemented to a final concentration of 10% glycerol and
kept at -80°C. The Institutional Animal Care and Use Committee (IACUC) at the University of
Mississippi Medical Center approved the protocol used in this study (1584); they oversees the
welfare, well-being, and proper care of all mice utilized in this study. All mouse experiments
followed the guidelines summarized by the National Science Foundation Animal Welfare Act (AWA).

DNA extraction from nasopharyngeal homogenates and quantitative (q)PCR reactions. DNA was 411 extracted from mouse nasopharyngeal homogenates using the Qiagen QIAmp Mini Kit. Briefly, an 412 aliquot (50 μ l) of nasopharyngeal specimen was added to 100 μ L of TE buffer containing 0.04 g/mL 413 lysozyme and 75 U/mL of mutanolysin. Samples were then incubated for 1 h in a 37°C water bath. 414 415 Following incubation. DNA was extracted from the samples following the recommended protocol from the manufacturer, eluted in 100 µL of buffer AE and kept at -80°C until used. Following 416 extraction, lytA-based gPCR reactions were performed with primers and probe sequences published 417 by the CDC (70), the real-time PCR reagent QuantaBio PerfeCTa FastMix®, and 2.5 µL of DNA 418 template. Reactions were run in duplicate using a CFX96 Real-Time PCR Detection System (Bio-Rad) 419 at the following conditions: 1 cycle at 50°C for 2 min, 1 cycle at 95°C for 2 min and 40 cycles of 95°C 420 421 for 15 s, and 60°C for 1 min. Standard curves were generated under the same conditions as detailed in our previous studies (71, 72). Considering the genome size of reference strain TIGR4, 2.16 Mb 422 (73), the approximate genome equivalent for each DNA standard was: 4.29×10^5 , 4.29×10^4 , 4.29×10^3 , 423 4.29x10², 4.29x10¹, 2.14x10¹, and 2.14 genome equivalents. Reaction efficiency of standards was 424 425 within the acceptable range of 90-110%.

426 **Quantification of extracellular (e)DNA.** Spn strains were inoculated into 24-well plates as detailed 427 earlier and incubated for 4 h. The culture supernatants were then harvested by centrifugation for 15

428	min at 14,000 x g in a refrigerated centrifuge (Eppendorf, Hauppauge, NY), and filter sterilized using
429	a syringe-filter (0.4 μ m). DNA was purified from 200 ul aliquots of supernatant, as mentioned above,
430	and used as template in lytA-based qPCR reactions. For eDNA quantification purposes, standards
431	containing 1×10^3 , 1×10^2 , 1×10^1 , 1×10^0 , 1×10^{-1} , 5×10^{-2} , 1×10^{-3} pg of chromosomal DNA purified from
432	strain TIGR4 were run in parallel to generate a standard curve. The standard curve, and regression
433	equation obtained, was then used to calculate final pg/ml using the CFX software (Bio-Rad, Hercules,
434	CA).

435 **Statistical analysis.** Statistical analysis was performed by the non-parametric two-tailed Student *t* 436 test, or the Mann-Whitney *U* test (comparing two groups) using the software GraphPad Prism 437 version 9.0.0 (121).

438

439 Figure legends.

Figure 1. Deoxycholic acid kills *S. pneumoniae* strains within two hours of incubation. *S. pneumoniae* strain (A) GA47281, (B) GA44288, (C) GA17227, (D) TIGR4, or (E) D39 was inoculated at a density of ~ 5.15×10^8 cfu/ml in THY broth and left untreated (control) or treated with 0.5 mg/ml or 0.25 mg/ml of deoxycholic acid (DoC). Bacteria were incubated for 2 h at 37°C in a 5% CO₂ atmosphere after which the cultures were serially diluted and plated onto blood agar plates to obtain the density (cfu/ml). Error bars represent the standard errors of the means calculated using data from at least three independent experiments. The limit of detection (LOD) was <10 cfu/ml.

Figure 2. Eradication of pneumococcal colonization with DoC using an *ex vivo* model of human
 pharyngeal colonization. Polarized human pharyngeal Detroit 562 cells were immobilized with 2%
 paraformaldehyde and then infected with *S. pneumoniae* strain GA47281 (~5.15x10⁸ cfu/ml).
 Infected cells were incubated for four hours and planktonic cells were removed. These *S.*

pneumoniae-colonized human pharyngeal cells were left untreated (control) or (A) treated with 451 452 different dosages of deoxycholic acid (DoC) and incubated for an additional 2 h period, or (B) treated 453 with DoC (0.5 mg/ml) and incubated for the indicated time. At the end of the incubation the cultures were serially diluted and plated onto blood agar plates to obtain the density (cfu/ml). In (A and B) 454 455 error bars represent the standard errors of the means calculated using data from at least three independent experiments. *p < 0.05 compared to the untreated control. LOD=limit of detection. (C 456 and D) S. pneumoniae-colonized human pharyngeal cells (infected as above) were left untreated or 457 458 treated with DoC (0.5 mg/ml) and incubated for 2 h. Preparations were fixed and Spn was stained 459 with (C) an anti-S19-Alexa-555 labeled antibody (red) and the DNA was stained with TOPRO3 (green) or with (D) an anti-S4-Alexa-488 labeled antibody (green), cell membranes were labeled with WGA 460 (Red) and DNA with DAPI (blue). Preparations were analyzed by a confocal microscope. Panels show 461 z-projections of z-stacks obtained from xy optical sections. Lower panels in (C) show a 3-D digital 462 reconstruction. The merge of the channels is shown in each panel. Arrows point out extracellular S. 463 464 pneumoniae bacteria.

Figure 3. Spontaneous resistance mutation frequency of S. pneumoniae for trimethoprim and 465 deoxycholic acid. Bacterial suspensions prepared with fresh cultures of S. pneumoniae strain 466 EF3030, or TIGR4, were adjusted to a density of $\sim 10^8$, $\sim 10^9$, $\sim 10^{10}$, $\sim 10^{11}$, and $\sim 10^{12}$ cfu/ml and 467 inoculated onto blood agar plates (BAP) containing trimethoprim (Tmp, 1 µg/ml) or deoxycholic acid 468 (DoC, 0.5 mg/ml). Each inoculum was further diluted and plated onto plain BAP. All cultures were 469 470 incubated for 20 h after which bacteria were counted. The spontaneous mutation frequency (smF) was calculated by dividing the number of spontaneous resistant pneumococci, i.e., grown on BAP 471 with trimethoprim or DoC, by the bacterial population. Error bars represent the standard errors of 472

the means calculated using data from at least three independent experiments. The median smF is

474 shown on each bar.

Figure 4. Deoxycholic acid kills pneumococci with a deficient autolytic mechanism. (A) S. 475 *pneumoniae* strain R6 wt, or isogenic double mutants $\Delta lytA\Delta lytC$ or $\Delta spxB\Delta lctO$ were inoculated in 476 477 six-well plates containing THY and bacteria were incubated for 4 h. The supernatants were obtained, filter sterilized and eDNA was purified. This eDNA was used as a template in species-specific 478 quantitative (g)PCR reactions along with DNA standards for quantification purposes. *statistical 479 480 significance, p<0.037, compared to R6 wt. (B) R6 wt or its isogenic $\Delta lytA\Delta lytC$ mutant was inoculated at a density of ~5.15x10⁸ cfu/ml in THY broth and left untreated (control) or treated with 481 0.5 mg/ml of DoC. Bacteria were incubated for 2 h at 37°C in a 5% CO₂ atmosphere after which the 482 cultures were serially diluted and plated onto blood agar plates to obtain the density (cfu/ml). 483 p^{*} = 0.33 compared to R6 wt strain treated with DoC. In panels A and B error bars represent the 484 standard errors of the means calculated using data from at least three independent experiments. 485

Figure 5. Deoxycholic acid (0.5 mg/ml) does not affect the viability of other streptococcal species. 486 (A) S. pneumoniae strain EF3030, (B) S. oralis, (C) S. mutans, (D) S. gordonii, or (E) S. anginosus was 487 inoculated at a density of $\sim 5.15 \times 10^8$ cfu/ml in THY broth and left untreated (control) or treated with 488 0.5 mg/ml deoxycholic acid (DoC). Bacteria were incubated for 2 h at 37°C in a 5% CO₂ atmosphere 489 490 after which the cultures were serially diluted and plated onto blood agar plates to obtain the density 491 (cfu/ml). Error bars represent the standard errors of the means calculated using data from at least 492 three independent experiments. *statistical significance, p<0.04, compared to the untreated EF3030 control. 493

Figure 6. Revisiting the mouse model of pneumococcal nasopharyngeal carriage. C57BL/6 mice (N=5) were intranasally inoculated with *S. pneumoniae* EF3030 (\sim 1x10⁵ cfu). After 48 h mice were

496 euthanized and the nasal bone was removed to expose the (A) nasopharynx, arrow. The 497 nasopharynx, trachea and lungs were removed. (B) The nasopharynges were sectioned (\sim 5 μ m) and stained with hematoxylin and eosin. Arrowhead=microvilli, dotted line=connective tissue. 498 499 Nasopharyngeal (NP) tissue, trachea, and lungs were homogenized and (C) NP homogenate was 500 stained with DAPI and with an anti-S19-Alexa-555 antibody, or (D) homogenates were diluted and 501 plated onto BAP with gentamicin (25 μ g/ml) to obtain the bacterial density (cfu/ml). (E) NP tissue 502 stained with TOTO-1 and with an anti-S19-Alexa-555 antibody; arrows=pneumococci (red). The micrographs in C and D are z-projections of z-stacks obtained from xy optical sections collected with 503 a confocal microscope. 504

Figure 7. Prophylactic treatment with deoxycholic acid inhibits pneumococcal colonization in a 505 506 mouse model of colonization. (A) Experimental design. Three groups of mice (N=8) were utilized; groups 1 and 2 drank regular water throughout whereas group 3 was prophylactically (pro) treated 507 by adding DoC (0.2 µg/ml) to their drinking water at day 0. At day 6, all three groups were infected 508 509 with S. pneumoniae EF3030. Twenty-four hours post inoculation, mice in groups 1 and 2 were 510 treated via intranasal (nasal) inoculation with PBS (Ctrl) or DoC (10 µg each nostril) two times a day for four days. All mice were sacrificed at day 10 and the nasopharynx, trachea, lungs and blood were 511 512 collected. (B) Mice in all three groups were weighed at days 0 and 10. (C) Nasopharyngeal specimens were homogenized, diluted, and plated onto BAP with gentamicin (25 µg/ml) to obtain 513 514 bacterial density (cfu/ml). (D) DNA was extracted from nasopharyngeal homogenates and used in 515 species-specific lytA-based qPCR reactions. In panels B and C =*p>0.249 (NS), or **p<0.003, compared with control mice. 516

517 Supplemental figure 1. Deoxycholic acid kill *S. pneumoniae* strain TIGR4 inoculated into CAMHB 518 with 3% lysed horse blood. *S. pneumoniae* strain TIGR4 was inoculated at a density of ~5.15x10⁸

cfu/ml into CAMHB-LHB and left untreated (control) or treated with 0.5, 1, or 2 mg/ml of deoxycholic acid (DoC). Bacteria were incubated ~20 h at 37°C in a 5% CO₂ atmosphere after which the cultures were serially diluted and plated onto blood agar plates to obtain the density (cfu/ml). Error bars represent the standard errors of the means calculated using data from at least three independent experiments. The median density is shown inside the control bar or above DoC (0.5). Limit of detection (LOD) was <10 cfu/ml.

525

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Table 1. Antimicrobial activity of DoC against streptococcal species.

		791
Strain MIC ₉₀ (mg/ml)*		,#
S. pneumoniae ATCC BAA-255	0.25	792
S. australis ATCC 700641	>1	793
S. cristatus	>0.5	/33
S. infantis ATCC 700779	>0.5	794
S. intermedius ATCC 27335	>0.5	705
S. intestinalis ATCC 43492	>1	795
S. oligofermentus CDC SS-1725	>1	796
S. parasanguinis ATCC 15912	>0.5	
S. peroris ATCC 700780	>0.5	797
<i>S. pseudopneumoniae</i> ATCC BAA- 960	0.5	798
S. salivarius ATCC 7073	0.5	799
S. sanguinis ATCC 10556	>1	800
S. sinensis CDC SS-1726	>0.5	800
D. pilgrum	>0.5	801
S. sobrinus ATCC 33478	>2	802

*Strains were challenged with the MIC₉₀ for *S. pneumoniae* strains (0.5 mg/ml). [#]The limit of
 detection of this assay was 50 cfu/ml.

- -

			820	Та
Strain	Description**	Reference or	821	le
		source	822	2.
		(72)	823	Pr
TIGR4	Invasive clinical isolate, capsular serotype 4,	(73)	824	ur
	sensitive to antibiotics		825	00
D39	Avery strain, clinical isolate, capsular serotype 2;	(74)	826	cc
	sensitive to antibiotics	()	827	st
			828	in
R6	D39-derivative unencapsulated laboratory	(74)	829	us
	strain, sensitive to antibiotics		830	di
R6∆ <i>spxB∆lctO</i>	R6-derivative, hydrogen peroxide and autolysis	(75)	831	th
ποΔσρασιατο	deficient strain.	(75)	832	st
			833	dy
R6∆ <i>lytA∆lytC</i>	R6-derivative, autolysis deficient strain.	(76)		
EF3030	Clinical isolate, capsular serotype 19F, sensitive to antibiotics	(41, 77)		-
S. pneumoniae	Invasive reference strain, recommended by the	American Type		
ATCC49619	CLSI* for antimicrobial sensitive test serotype	Culture Collect		
	19F	(34)		
GA44288	Clinical isolate, capsular serotype 19A; ERY, TET, AMX, CXM, CLI, SXT, MEM, PEN, CRO, CTX	(78)		_
GA47281	Clinical isolate, capsular serotype 19F; ERY,	(78)		1
	TET, AMX, CXM, CLI, SXT, MEM, PEN, CRO, CTX			
GA16833	Clinical isolate, capsular serotype 19F; ERY,	(78)		

	TET, CXM,		834	*CL
GA17227 Clin TET		(70)	835	SI,
	Clinical isolate, capsular serotype 23F; ERY,	(78)	836	clini
	IEI,		837	cal
L		1	838	and

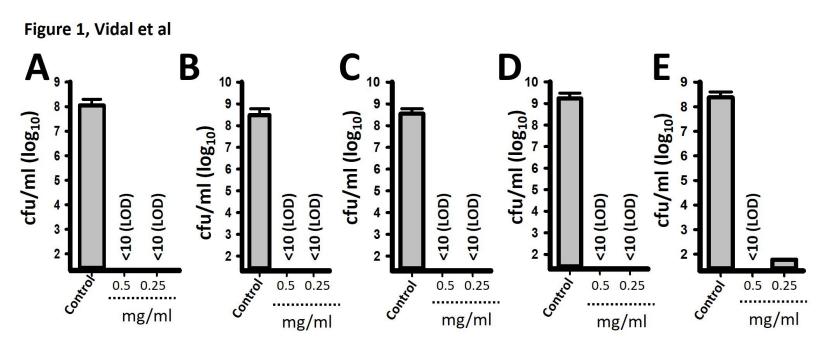
laboratory standards institute. **Resistance to: amoxicillin (AMX), cefuroxime (CXM), ceftriaxone

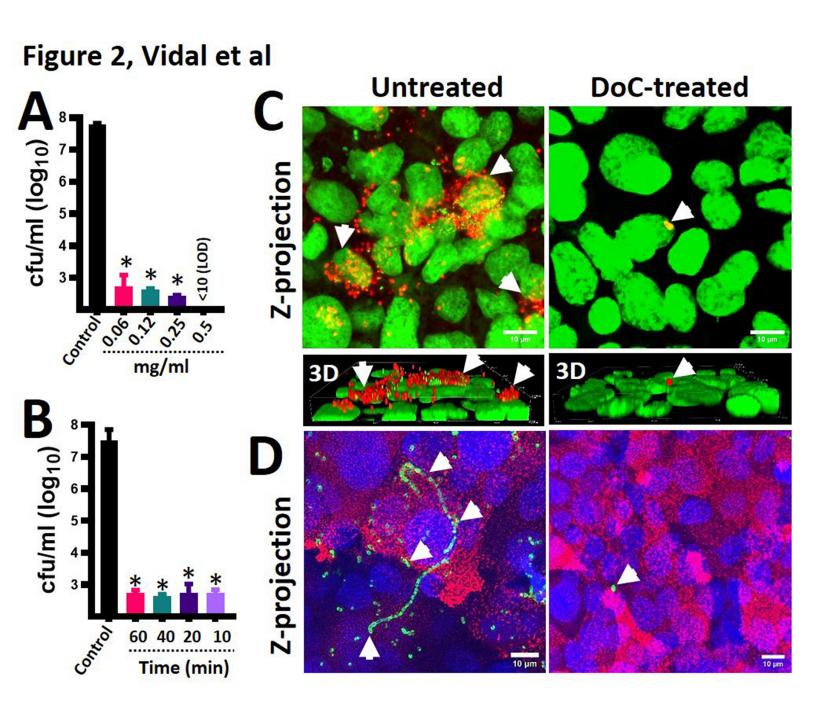
840 (CRO), cefotaxime (CTX), clindamycin (CLI), erythromycin (ERY), meropenem (MEM), penicillin

- 841 (PEN), tetracycline (TET), trimethoprim-sulfamethoxazole (SXT)
- 842

843

844





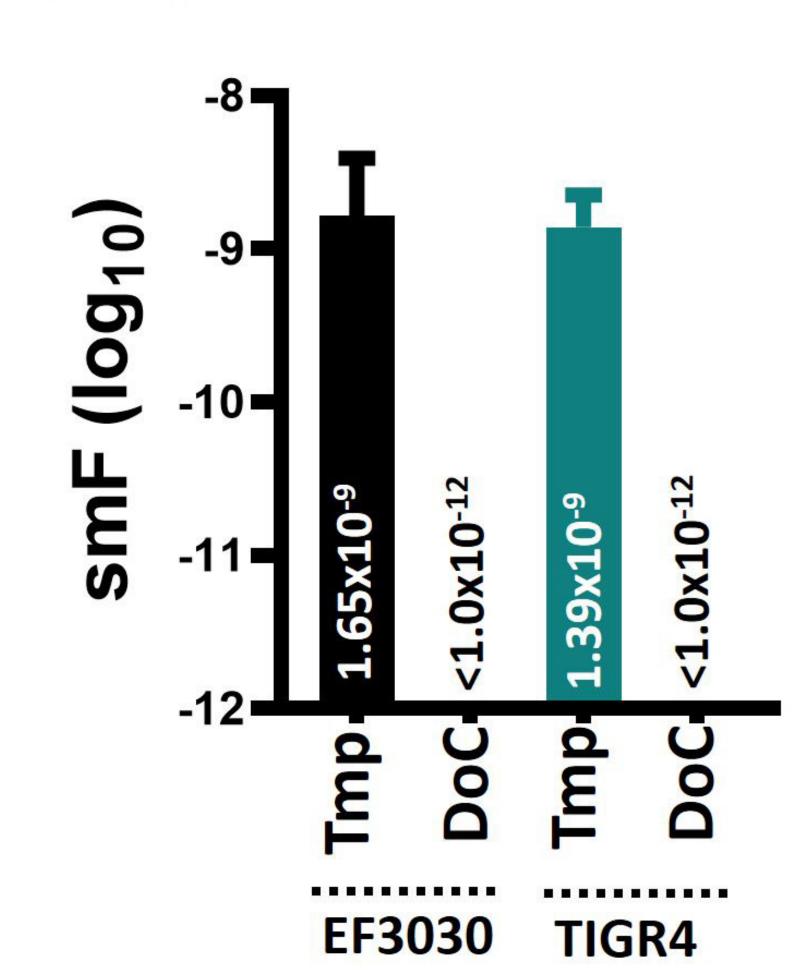
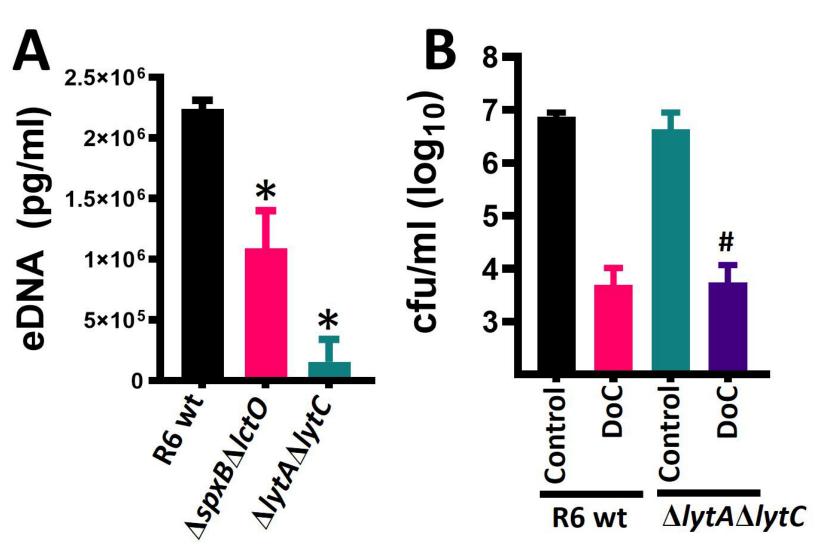


Figure 4, Vidal et al



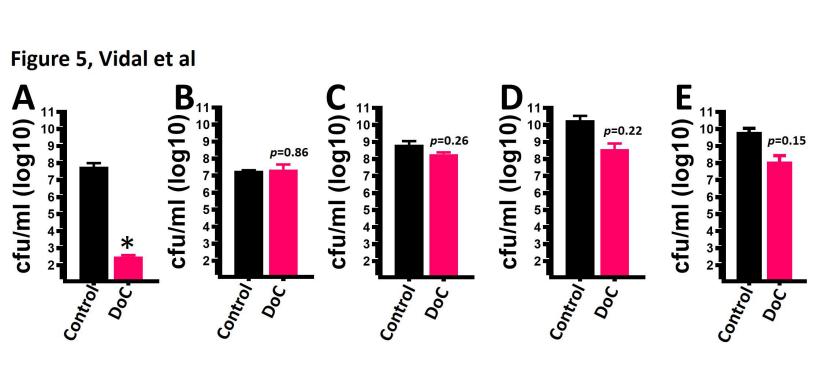
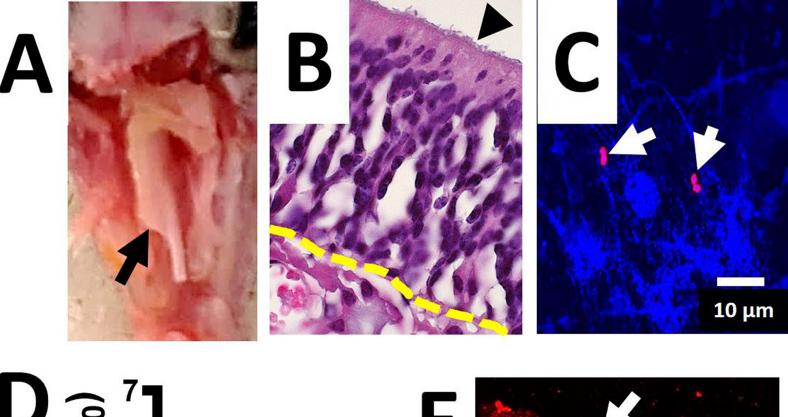
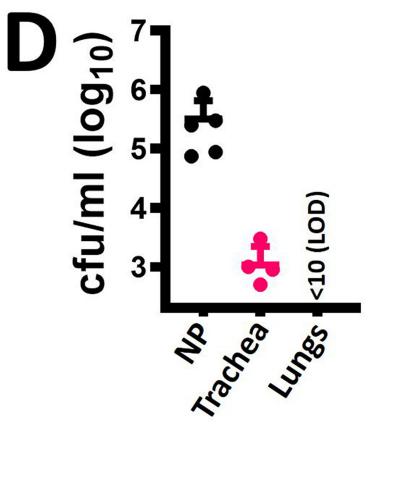


Figure 6, Vidal et al





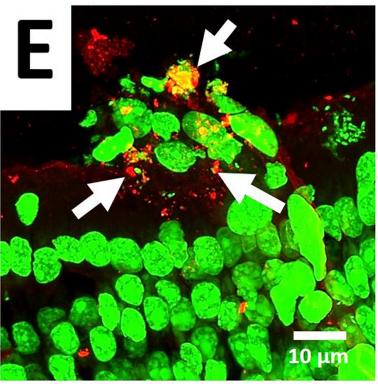


Figure 7, Vidal et al

