

1 **RNA-seq and differential expression analysis of the duck**

2 **transcriptome: The effect of short-term cage-rearing**

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15 **Data Availability Statement:** All sequencing data were uploaded to in the Gene

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17 (<https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE173134>).

18

19 **RUNNING TITLE: Caging-induced duck gene regulation**

20

21 **Keywords:** Duck; Transcriptome regulation; Cage-rearing system; Floor-water

22 combination system

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28 **Abstract:** Ducks are an important source of meat and egg products for human beings.  
29 In China, duck breeding has gradually changed from the traditional floor-water  
30 combination system to multilayer cage breeding. Therefore, the present study  
31 collected the hypothalamus and pituitary of 113-day-old ducks after being caged for 3  
32 days, in order to investigate the effect of cage-rearing on the birds. In addition, the  
33 same tissues (hypothalamus and pituitary) were collected from ducks raised in the  
34 floor-water combination system, for comparison. Thereafter, the transcriptomes were  
35 sequenced and the expression level of genes were compared. The results of  
36 sequencing analysis showed that a total of 506 and 342 genes were differentially  
37 expressed in the hypothalamus and pituitary, respectively. Additionally, the  
38 differentially expressed genes were mainly enriched in signaling pathways involved in  
39 processing environmental information, including ECM-receptor interaction,  
40 neuroactive ligand-receptor interaction and focal adhesion. The findings also showed  
41 that there was a change in the alternative splicing of genes when ducks were  
42 transferred into the cage rearing system. However, there was no difference in the  
43 expression of some genes although there was a change in the expression of the  
44 isoforms of these genes. The findings herein can therefore help in understanding the  
45 mechanisms underlying the effect of caging on waterfowl. The results also highlight  
46 the gene regulatory networks involved in animal responses to acute stress.

## 47 **Introduction**

48 The consumption of poultry products across the globe has increased over the years.

49 Notably, China is the world's largest producer and consumer of duck products  
50 (<http://www.fao.org/faostat/en/#data/QA/visualize>). In addition, the floor-water  
51 combination system is the conventional method of rearing ducks in China (Figure 1A).  
52 This feeding system is highly dependent on the water area. However, the conventional  
53 floor-water combination system of rearing ducks has many shortcomings. Such  
54 include poor utilization of water resources, serious pollution to the water environment  
55 which limits large scale production as well as disease control and low economic  
56 benefits. Therefore, it is necessary to adopt an environmentally friendly breeding  
57 model for ducks. Over the recent years, the cage rearing system has developed rapidly  
58 in duck production because it is environmentally friendly and has several economic  
59 benefits(BAI *et al.* 2020). Moreover, the cage rearing system for ducks is  
60 characterized by high stocking densities, clean egg surfaces and high uniformity of  
61 waterfowl (Figure 1 B). However, the system has not been explored extensively.  
62 Compared to chicken husbandry, such factors as body size, dabbling, fecal ejection  
63 and other habits, complicate the design, manufacture and production of ducks.  
64 Additionally, the acute and chronic stress caused by cage rearing are also crucial  
65 issues that need to be addressed by duck producers(ZHANG *et al.* 2019). In the cage  
66 rearing system, the high-density husbandry causes spatial stress to the ducks.  
67 Moreover, high density stocking increases the concentration of hydrogen sulfide,  
68 ammonia and other harmful gases in duck cages, which are detrimental to the growth  
69 and reproduction of the birds(SHEPHERD *et al.* 2015; ZHAO *et al.* 2016). In addition,

70 the air velocity and relative humidity in the cage rearing system are quite different  
71 from those in the floor-water combination system(EN-CAI *et al.* 2020). These  
72 environmental changes therefore trigger stress responses and adaptive changes in  
73 ducks.

74 Moreover, ducks experience several changes in their living environment when they  
75 are initially transferred into cages, resulting to stress responses in their bodies(ZHANG  
76 *et al.* 2019). Stress refers to a non-specific response of the body to external stimuli  
77 and can be classified as either acute or chronic stress. Notably, the body mainly  
78 responds to stress through the Hypothalamus-pituitary-adrenal axis  
79 (HPA)(NICOLAIDES *et al.* 2014; OYOLA and HANDA 2017). After the center of the  
80 brain receives external stimulation, the information is transmitted to the hypothalamus.  
81 The hormone secreted from the hypothalamus then stimulates the pituitary gland to  
82 secrete the adrenocorticotrophic hormone, putting the body in a state of full  
83 mobilization. The body in turn experiences an increase in heart rate, blood pressure,  
84 body temperature, muscle tension, metabolic level and other significant changes, so as  
85 to enhance body activity and cope with emergencies(MUNAKATA 2018; NICOLAIDES  
86 *et al.* 2014).

87 Therefore, it is important for duck producers and researchers to analyze the internal  
88 mechanism of high-density cage-rearing stress in egg laying ducks in order to develop  
89 methods for stress alleviation and improve the reproductive performance of  
90 cage-reared waterfowl. Consequently, the current study compared the transcriptomes

91 of the hypothalami and pituitary from ducks reared in the two husbandry systems (the  
92 traditional floor-water combination and cage-rearing systems). In addition, the study  
93 investigated the candidate genes and signaling pathways involved in cage-rearing  
94 stress. By exploring the regulatory mechanism of cage-rearing stress in egg laying  
95 ducks, this study provides a fundamental basis for establishing new methods of  
96 solving caging related stress in waterfowl. This in turn promotes the development and  
97 popularization of the waterfowl caging technology and also provides more insights on  
98 the molecular mechanism of the interaction between genes and the environment.

## 99 **Materials and Methods**

### 100 **Ethical statement**

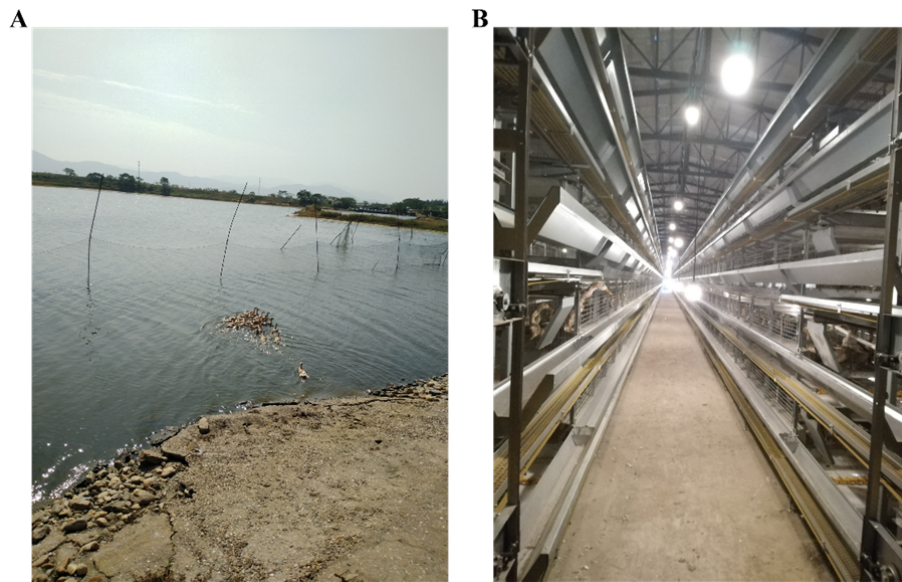
101 All the animal experiments in this study were conducted according to the ethical  
102 standards of the Jiangxi Agricultural University (JXAULL-2017002). The ducks were  
103 sacrificed painlessly.

### 104 **Animals and management**

105 A total of 30 female Shan Ma ducks (*Anas platyrhynchos*) were purchased from the  
106 Jiangxi Tianyun duck breeding farm (Nanchang, Jiangxi) and reared in the Anyi duck  
107 farm of Jiangxi. All the ducks were then transported to the Anyi duck farm after  
108 reaching the age of 100 days, then raised in the floor-water combination system  
109 (Figure 1A). At the age of 110 days, fifteen ducks were randomly selected and  
110 transferred into three cages (100 × 45 × 50 cm, Figure 1B) while the others were  
111 retained in the floor-water feeding system. All the ducks were kept at room

112 temperature and fed with the commercial diet produced by the Nanchang Huada  
113 Group (Nanchang, Jiangxi, China). Additionally, the ducks raised in the floor-water  
114 system (FW group) received natural illumination while the 15 ducks raised in cages  
115 (C group) were subjected to a standard regimen involving 16 h of light. All the ducks  
116 remained in good health in the entire duration of the experiment.

117



118

119 **Figure 1** The two feeding systems used in the current study. (A) The conventional floor-water  
120 combination system for ducks. (B) The multilayer cage-rearing system for ducks.

121

## 122 **Sample collection and RNA extraction**

123 After 3 days of changing the rearing system, all ducks in the FW and C groups were  
124 sacrificed. The hypothalami and pituitaries were then sampled using scissors and  
125 tweezers, snap-frozen with liquid nitrogen then kept in -80 °C. Thereafter, total RNA  
126 was extracted using the TRIzol reagent (Invitrogen, CA, USA), according to the

127 manufacturer's instructions. In addition, the purity and quantity of RNA were  
128 evaluated using NanoDrop ND-1000 (NanoDrop, DE, USA). Moreover, RNA  
129 integrity was assessed through electrophoresis in denaturing agarose gel and  
130 Bioanalyzer 2100 (Agilent, CA, USA) with a RIN number >7.0.

### 131 **RNA library construction and sequencing**

132 After confirming the quality of RNA, four samples from each group were selected to  
133 construct the RNA library. Notably, Poly (A) RNA was obtained from 2 µg of total  
134 RNA using the Dynabeads Oligo (dT)25-61005 Kit (Thermo Fisher, CA, USA), with  
135 two rounds of purification. Thereafter, the poly (A) product was broken into pieces at  
136 94 °C for 5-7 min using the Magnesium RNA Fragmentation Module (NEB, MA,  
137 USA). The RNA fragments were then reverse-transcribed using the SuperScript™ II  
138 Reverse Transcriptase (Invitrogen) in order to construct the cDNA library. Moreover,  
139 the U-labeled second-stranded DNAs were synthesized using the cDNA library  
140 obtained from the previous step, *Escherichia coli* (*E.coli*) DNA polymerase I (NEB),  
141 RNase H (NEB) and a dUTP solution (Thermo Fisher). After adaptor ligation, the  
142 product was treated with the heat-labile UDG enzyme. Finally, the products were  
143 amplified through PCR in the following steps: 95 °C for 3 min; 8 cycles of 98 °C for  
144 15 sec, 60 °C for 15 sec and 72 °C for 30 sec then a final step of 72°C for 5 min.  
145 Thereafter, the PCR products were submitted for 2 × 150 bp paired-end sequencing  
146 (PE150) on the Illumina Novaseq™ 6000 platform (LC-Bio Technology CO., Ltd.,  
147 Hangzhou, China), following the manufacturer's instructions.



## 148 **Bioinformatics analysis of RNA-Seq data**

149 Cutadapt (<https://cutadapt.readthedocs.io/en/stable/>) was used to remove the reads  
150 containing adaptors and low-quality bases in order to ease analysis in the next step.  
151 Thereafter, HISAT2 (<https://daehwankimlab.github.io/hisat2/>, version: 2-2.0.4) was  
152 used to map the reads to the duck genome  
153 ([https://www.ncbi.nlm.nih.gov/genome/2793?genome\\_assembly\\_id=426073](https://www.ncbi.nlm.nih.gov/genome/2793?genome_assembly_id=426073)). The  
154 mapped reads in each sample were then assembled using StringTie  
155 (<http://ccb.jhu.edu/software/stringtie/>, version: stringtie-1.3.4d), with default  
156 parameters. Afterwards, a comprehensive transcriptome was reconstructed with the  
157 mapped reads from all the samples, using the gffcompare software  
158 (<http://ccb.jhu.edu/software/stringtie/gffcompare.shtml>, version: gffcompare-0.9.8). In  
159 addition, StringTie and ballgown  
160 (<http://www.bioconductor.org/packages/release/bioc/html/ballgown.html>) were  
161 employed to evaluate the expression levels of all the transcripts and genes in each  
162 sample by calculating the fragments per kilobase of exon per million mapped  
163 fragments (FPKM). Moreover, the differentially expressed mRNAs (DEGs) and  
164 differentially expressed transcripts (DETs) were selected based on the following  
165 criteria;  $|\log_2 \text{ fold change}| \geq 1$  and  $p \leq 0.05$  using the DESeq2 package in R  
166 (<http://www.bioconductor.org/packages/release/bioc/html/DESeq2.html>). After  
167 obtaining the list of DEGs, enrichment analyses, including Gene Ontology (GO) and  
168 Kyoto Encyclopedia of Genes and Genomes (KEGG), were performed using the

169 DAVID 6.7 functional annotation tool (<http://david.abcc.ncifcrf.gov/>), in order to  
170 assess the potential processes associated with acute stress during cage-rearing.

### 171 **Complementary DNA (cDNA) synthesis and qPCR**

172 The cDNA libraries were constructed from total RNA using the Monad MonScript™  
173 All-in-One Kit with DNase (Biopro, Shanghai, China) and reverse-transcription was  
174 conducted following the manufacturer's instructions. Thereafter, the cDNA libraries  
175 were diluted in nuclease-free water at a ratio of 1:4 for qPCR. The expression levels  
176 of candidate genes were then quantified through qPCR using the 2 × T5 Fast qPCR  
177 Mix (TsingKe, Beijing, China) on an ABI QuantStudio 5 system (Thermo Fisher,  
178 Waltham, MA, USA). The *GAPDH* gene was used as the internal reference and the  
179 final volume of the qPCR reaction was 20 µl. The following conditions were used for  
180 qPCR: 95 °C for 3 min; 40 cycles of 95 °C for 10 s, T<sub>m</sub> for 1 min and collection of  
181 fluorescence at 65 – 95 °C. Additionally, each sample was analyzed in triplicate and  
182 the relative expression levels were calculated according to the comparative  $2^{-\Delta\Delta Ct}$   
183 method. The primer pairs used in this study were designed using Primer Premier  
184 Version 5 (Premier Biosoft, CA, USA). Information on the qPCR primers for the  
185 candidate genes and *GAPDH* is shown in Table 1.

### 186 **Statistical Analysis**

187 The qPCR results were presented as the mean ± standard error of the mean (S.E.M.).  
188 In addition, the Students two-tailed t-test was used for statistical analysis. The level of  
189 significance was set at \* (p < 0.05), \*\* (p < 0.01) and \*\*\* (p < 0.001).

## 190 **Data Availability**

191 Supplemental files available at FigShare. Figure S1: The distribution of mapping  
192 region on reference genome, Figure S2: Differential expression analysis of all  
193 transcripts, Table S1: Overview of quality of sequencing data, Table S2: All genes  
194 detected in current project, Table S3: All the DEGs in the C\_H vs. FW\_H and C\_P vs.  
195 FW\_P comparisons , Table S4: All information of DETs, Table S5: List of GO  
196 enrichment with all DEGs in C\_H vs. FW\_H, Table S6: List of GO enrichment with  
197 all DEGs in C\_P vs. FW\_P, Table S7: List of KEGG pathways with all DEGs in C\_H  
198 vs. FW\_H, Table S8: List of KEGG pathways with all DEGs in C\_P vs. FW\_P, Table  
199 S9: List of the alternative splicing genes in C\_H vs. FW\_H and C\_P vs. FW\_P  
200 comparisons. All sequencing data were uploaded to in the Gene Expression Omnibus  
201 (GEO) with accession number GSE173134  
202 (<https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE173134>).

## 203 **Results**

### 204 **Summary of Transcriptome Data**

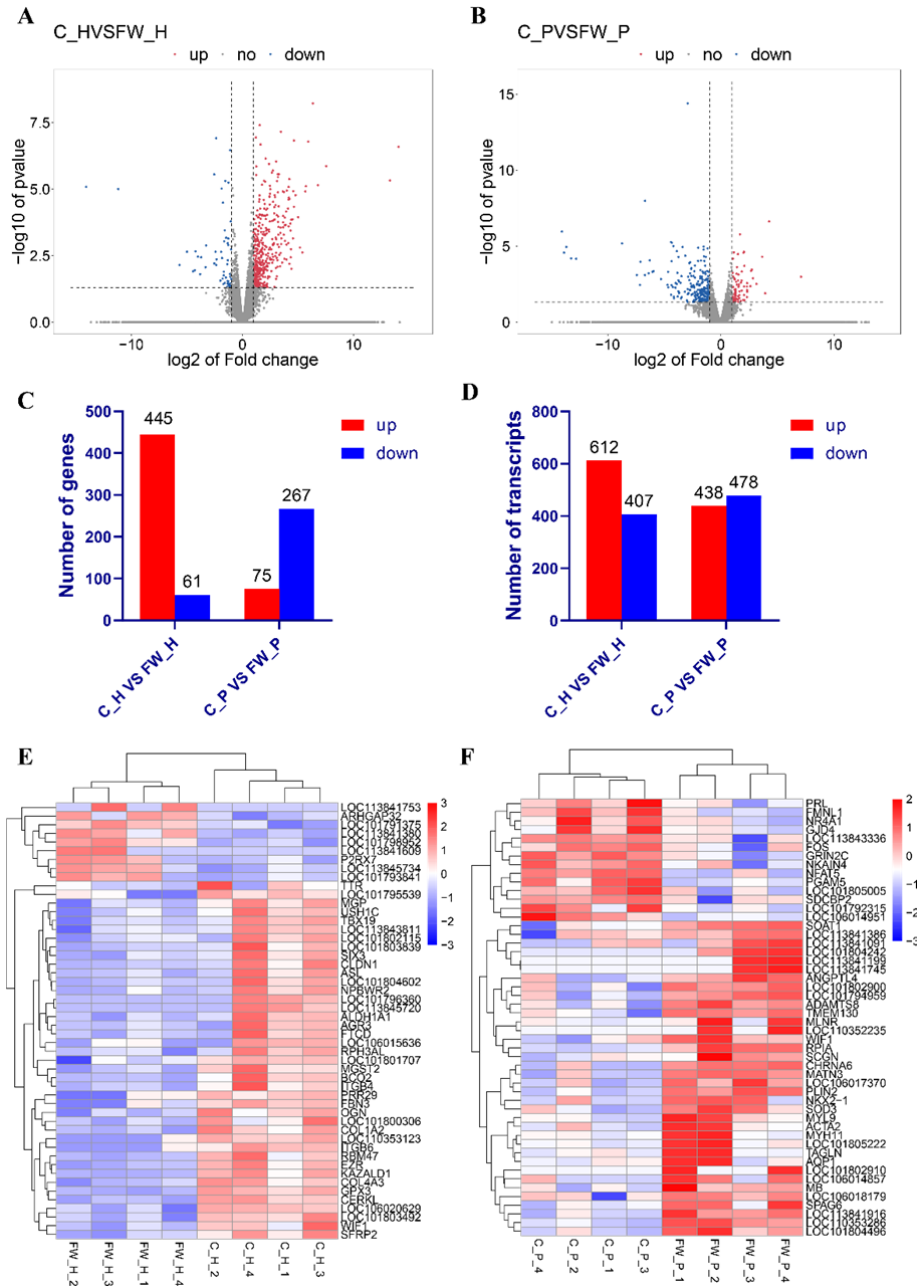
205 In this study, a mean of 48,143,316 validated reads were obtained from each sample.  
206 In addition, the proportion of validated reads whose base recognition accuracy met the  
207 Q30 standard (the error rate was less than 1%) was greater than 97.6% (Table S1).  
208 All the clean reads were then mapped to the duck genome (*Anas platyrhynchos*). The  
209 results in Table 2 show that the proportion of mapped validated reads was not less  
210 than 74.88% in all the sixteen samples, the percentage of unique mapped reads ranged

211 from 40.44% to 58.50% and the proportion of Pair-end (PE) mapped reads ranged  
212 from 53.95% to 78.60%. Moreover, sequence alignment showed that more than 88.3%  
213 of the validated reads mapped to the exonic region of each sample (Figure S1).  
214 Furthermore, a total of 24,263 genes were detected in all the samples, including  
215 annotated and novel genes (Table S2). Out of all the genes, the Growth Hormone 1  
216 (GH1) gene had the highest level of expression after *GAPDH*, in both the  
217 hypothalamus and pituitary (Table S2).

### 218 **Identification of differentially expressed genes (DEGs)**

219 In order to investigate the potential candidate genes and pathways associated with  
220 cage-rearing stress, the study performed differential expression analysis, where  $|\log_2$   
221 fold change $|\geq 1$  and  $p\text{-value}\leq 0.05$  were selected as the screening criteria (Figure 2A  
222 and B). As a result, a total of 506 DEGs were obtained in the hypothalamus (C\_H vs.  
223 FW\_H) out of which 445 were up-regulated and 61 were down-regulated (Figure 2C).  
224 On the other hand, a total of 342 DEGs, including 75 up-regulated and 267  
225 down-regulated genes, were obtained in the pituitary (C\_P vs. FW\_P, Figure 2C). All  
226 the DEGs are listed in Table S3. Notably, the top 3 most highly expressed genes with  
227 the smallest q-values in the hypothalamus (C\_H vs. FW\_H) were *GHI*, Transthyretin  
228 (TTR) and Chromogranin A (CHGA). On the other hand, the Nuclear Receptor  
229 Subfamily 4 Group A Member 1 (NR4A1), Transgelin (TAGLN) and Superoxide  
230 Dismutase 3 (SOD3) were the top 3 most highly expressed genes in the pituitary (C\_P  
231 vs. FW\_P). Additionally, the study assessed the Differentially Expressed Transcripts

232 (DETs) using the same criteria as those for DEGs (Figure S2A and B). A total of 1019  
233 DETs were detected in the hypothalamus (C\_H vs. FW\_H), out of which 612 were  
234 up-regulated and 407 were down-regulated (Figure 2D). Moreover, a total of 916  
235 DETs were obtained in the pituitary (C\_P vs. FW\_P), out of which 438 were  
236 up-regulated and 478 were down-regulated (Figure 2D). All the information on DETs  
237 is shown in Table S4. The study then performed clustering analysis on the top 50  
238 DEGs (50 DEGs with the smallest q-values) in each comparison and the findings  
239 were presented as the normalized log<sub>2</sub> FPKM. The results of cluster analysis showed  
240 that the upregulated and downregulated genes were quite distinct in each comparison  
241 (Figure 2E and F). Clustering analysis was also performed on the top 100 DETs in  
242 each comparison. Similar results to those of clustering analysis on DEGs were  
243 obtained. However, the number of up- and down-regulated DETs were almost similar,  
244 contrary to that of DEGs (Figure S2C and D).  
245



246

247 **Figure 2** Differential expression analysis of the C and FW groups. (**A**, **B**) Volcano charts of the genes  
 248 expressed in the C\_H vs. FW\_H and C\_P vs. FW\_P comparisons, respectively. Red dots represent  
 249 up-regulated DEGs, blue dots depict down-regulated DEGs and gray dots indicate no difference in  
 250 expression. (**C**) The numbers of up-regulated and down-regulated DEGs in the C\_H vs. FW\_H and  
 251 C\_P vs. FW\_P comparisons. (**D**) The numbers of up-regulated and down-regulated DETs in the C\_H vs.

252 FW\_H and C\_P vs. FW\_P comparisons. (E, F) Heat maps of DEGs in the C\_H vs. FW\_H and C\_P vs.

253 FW\_P comparisons, respectively. The top 50 DEGs are shown in each comparison.

254

### 255 **Enrichment analysis of DEGs**

256 The study then conducted GO enrichment analysis on the DEGs. All the GO terms

257 were classified into three sections, namely; Biological Process (BP), Cellular

258 Component (CC) and Molecular Function (MF), based on functional annotation. The

259 results of GO functional enrichment analysis showed that a total of 378 GO terms

260 were significantly enriched in the C\_H vs. FW\_H comparison, out of which 259 were

261 enriched in biological process, 50 in cellular component and 68 in molecular function

262 ( $p < 0.05$ , Table S5). Notably, the GO terms that might have been associated with

263 acute stress were enriched in the glucocorticoid biosynthetic process, thyroid hormone

264 transport, development of the adrenal gland, positive regulation of cortisol secretion,

265 activity of the corticotropin releasing hormone, the steroid hormone mediated

266 signaling pathway, steroid hormone receptor activity and regulation of response to

267 oxidative stress (Figure 3A, Table S5). Additionally, the significant enrichment of

268 sensory perception of the light stimulus (GO:0050953), suggested that the light in the

269 cage-rearing system elicited a different response from to that in the floor-water

270 combination system. On the other hand, a total of 389 GO terms were significantly

271 enriched in the C\_P vs. FW\_P comparison, including 290 biological process terms, 34

272 cellular component terms and 65 molecular function terms ( $p < 0.05$ , Table S6).

273 Interestingly, cellular response to the estradiol stimulus, regulation of dopamine  
274 secretion, negative regulation of blood pressure, regulation of inflammatory response,  
275 detection of oxidative stress and other stress-related terms were among the most  
276 significantly enriched GO terms (Figure 3B, Table S6). It is also noteworthy that light  
277 associated terms (response to absence of light and retina homeostasis) and  
278 reproduction related terms (developmental process involved in reproduction and  
279 regulation of female receptivity) were also markedly enriched (Table S6).

280 Furthermore, KEGG pathway analysis was performed on all the DEGs. Results from  
281 the C\_H vs. FW\_H comparison showed that a total of 19 pathways were significantly  
282 enriched ( $p < 0.1$ , Figure 3C). The significantly enriched pathways ( $p < 0.05$ ) are  
283 shown in Table 3. Notably, long-term depression, adrenergic signaling in  
284 cardiomyocytes and endocrine and other factor-regulated calcium reabsorption were  
285 associated with stress (Table S7). Additionally, ECM-receptor interaction, neuroactive  
286 ligand-receptor interaction and focal adhesion, were associated with external signal  
287 transduction and signaling-molecule interaction. On the other hand, the DEGs in the  
288 C\_P vs. FW\_P comparison were significantly enriched in 9 pathways ( $p < 0.1$ , Figure  
289 3D). Interestingly, the top 3 markedly enriched pathways, i.e., ECM-receptor  
290 interaction, focal adhesion and neuroactive ligand-receptor interaction, were also  
291 among the top KEGG pathways identified in the hypothalamus. Moreover, pathways  
292 related to biosynthesis and substance metabolism, including pantothenate and CoA  
293 biosynthesis, nitrogen metabolism and glutathione metabolism, were enriched in the





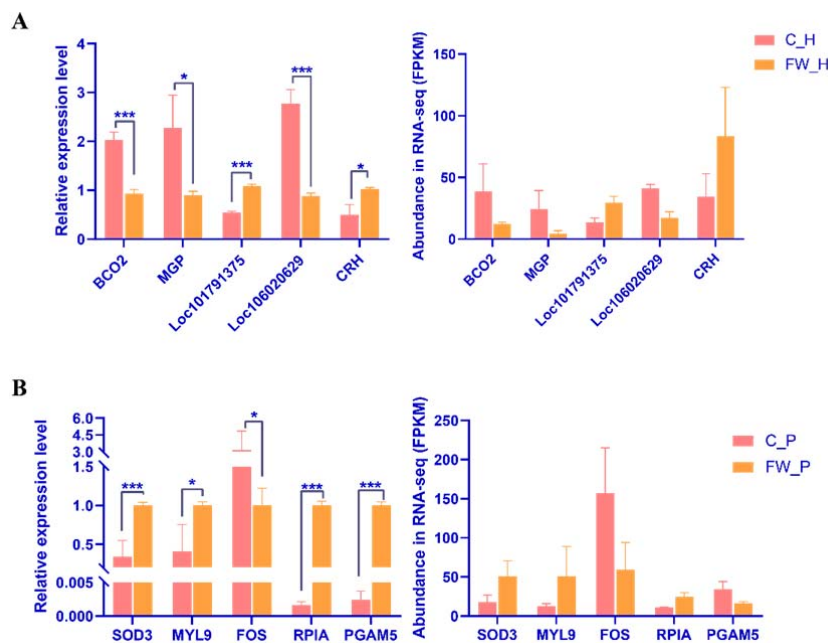
307 C\_P vs. FW\_P, respectively. The top 20, 15, and 10 GO terms in biological processes, cellular  
308 components, and molecular functions, respectively, are shown. (C, D) The significantly enriched  
309 KEGG signaling pathways ( $P < 0.1$ ) of the DEGs in C\_H vs. FW\_H and C\_P vs. FW\_P, respectively.

310

### 311 **Validation of sequencing data**

312 After conducting enrichment analysis, 5 DEGs were selected from each comparison to  
313 validate the sequencing data. Therefore, the study designed the specific qPCR primers  
314 for Beta-carotene Oxygenase 2 (BCO2), Matrix Gla Protein (MGP), Loc101791375,  
315 Loc106020629 and Corticotropin Releasing Hormone (CRH). The qPCR results  
316 showed that the expression trends were consistent with those of the RNA-seq data in  
317 the C\_H vs. FW\_H comparison (Figure 4A). On the other hand, the qPCR-assessed  
318 trends in the expression of SOD3, Myosin Light Chain 9 (MYL9), Fos  
319 Proto-Oncogene (FOS), Ribose 5-Phosphate Isomerase A (RPIA) and PGAM Family  
320 Member 5 (PGAM5) in the C\_P vs. FW\_P comparison, were consistent with those in  
321 the RNA-seq data (Figure 4B).

322



323

324 **Figure 4** qRT-PCR validation of the DEGs. The graphs on the left represent the qPCR results of the

325 selected DEGs in the (A) C\_H vs. FW\_H comparison and (B) in the C\_P vs. FW\_P comparison. On the

326 other hand, the graphs on the right represent the expression level of DEGs in RNA-seq data. The values

327 are presented as the mean  $\pm$  SEM in all the panels. \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ .

328

### 329 **Cage-rearing stress altered RNA splicing**

330 After analyzing the number of differentially expressed transcripts and genes, the

331 results showed that there was a huge difference in the number up-regulated and

332 down-regulated DEGs but little difference between the corresponding up-regulated

333 and down-regulated DETs. Therefore, it was speculated that the parental genes of

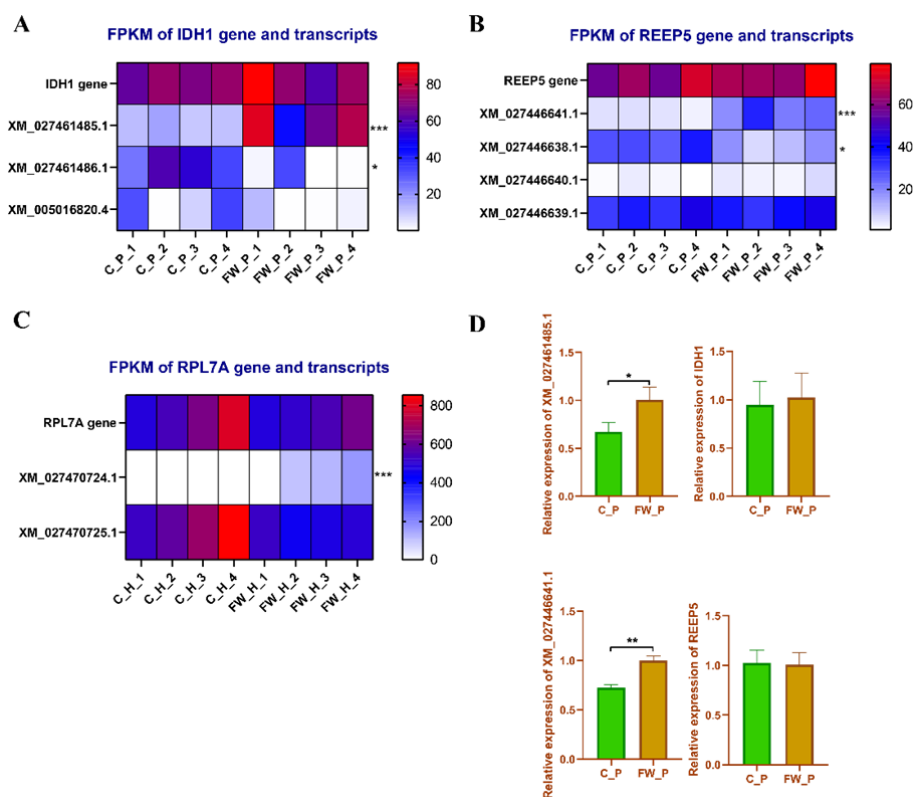
334 multiple differentially expressed transcripts were not DEGs. This phenomenon may

335 have occurred because the two differential transcripts from the same parental gene

336 were oppositely regulated or the expression levels of the differential transcripts was

337 lower, which did not significantly affect the expression of the parental gene. In order  
338 to verify this hypothesis, the threshold for DETs screening was set at a q-value < 0.05  
339 and the sum of FPKM from 8 samples in one comparison was set to be greater than 20.  
340 Based on this threshold, a total of 9 DETs were obtained in the C\_H vs. FW\_H  
341 comparison and 8 DETs met the criteria in the pituitary comparison (Table S9).  
342 Notably, there was no difference in the expression of the parental genes of these DETs  
343 in their respective comparisons although one or more transcripts were differentially  
344 expressed. For instance, in the C\_P vs. FW\_P comparison, the isoform of Isocitrate  
345 Dehydrogenase 1 (IDH1), XM\_027461485.1, was significantly down-regulated ( $q <$   
346 0.001) while XM\_027461486.1 was up-regulated ( $p <$  0.05). However, there was no  
347 difference in the expression of IDH1 between the cage-rearing and the floor-water  
348 combination groups (Figure 5A). Similarly, XM\_027446641.1 (an isoform of the  
349 Receptor Accessory Protein 5, REEP5) was significantly down-regulated ( $q <$  0.05)  
350 while XM\_027446638.1 was up-regulated ( $p <$  0.05). Nonetheless, there was no  
351 difference in the expression of the other two transcripts and the REEP5 gene in the  
352 C\_P vs. FW\_P comparison (Figure 5B). In the hypothalamic comparison, the isoform  
353 of the Ribosomal Protein L7a (RPL7A), XM\_027470724.1 was significantly  
354 down-regulated ( $q <$  0.001) while the expression of the other transcript was  
355 significantly higher than that of XM\_027470724.1, which did not affect the  
356 expression level of the gene (Figure 5C). Moreover, qPCR primers for *IDH1*, *REEP5*  
357 and the respective DETs were designed to verify the differential expression of the

358 above transcripts. The results were consistent with the sequencing data, indicating that  
 359 acute cage-rearing stress can change the alternative splicing of some genes (Figure  
 360 5D).  
 361



362  
 363 **Figure 5** Alternative splicing was different between the C and FW groups. (**A**, **B**) Heat maps of the  
 364 expression level of *IDH1*, *REEP5* and their isoforms in the C\_P vs. FW\_P comparison. (**C**) A heat map  
 365 of the expression of *RPL7A* and its transcripts in the C\_H vs. FW\_H comparison. (**D**) qPCR validation  
 366 of *IDH1*, *REEP5* and their isoforms in the C\_P vs. FW\_P comparison. The values are presented as the  
 367 mean  $\pm$  SEM in all the panels. \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ .

368

## 369 **Discussion**

370 In China, both food safety and environmental protection are given great emphasis,  
371 making farmers to explore more environmentally friendly breeding models for egg  
372 laying ducks. In addition, methods of duck breeding have gradually changed from the  
373 traditional floor-water combination system to cage breeding(HOU and LIU 2021).  
374 Compared to a single cage, multilayer cages have a higher feeding density, which  
375 allow for better utilization of space and are more suitable for large-scale feeding as  
376 well as management. Moreover, multilayer cage-rearing and floor-water combination  
377 breeding are two different feeding methods that can be used as models for studying  
378 the interaction between organisms and their environment. Consequently, the current  
379 study explored the similarities and differences in gene expression between ducks  
380 reared in multilayer cages for three days and those raised in the floor-water  
381 combination system. The results therefore provide baseline information for future  
382 research on the adaptability of ducks to long-term cage-rearing. The study also has an  
383 important economic value and practical significance to the waterfowl industry.

384 Previous research showed that cage-rearing can cause endoplasmic reticulum stress  
385 and lead to liver injury in ducks. It was also reported that there was an increase in the  
386 expression levels of inflammation-related factors and immune-related genes(ZHANG *et*  
387 *al.* 2019). It is noteworthy that changes in the external environment affect the  
388 transcriptome of an organism(KRISHNAN *et al.* 2020; WANG *et al.* 2020; XU *et al.*  
389 2019). In addition, activation of the HPA axis triggers the hypothalamus to release

390 CRH and Arginine Vasopressin (AVP). CRH stimulates the production and secretion  
391 of the Adrenocorticotrophic Hormone (ACTH) in the pituitary(DICK and PROVENCAL  
392 2018; GUEST and GUEST 2018). The present study caged ducks in the floor-water  
393 combination system for three days then assessed the differences between the  
394 transcriptomes of ducks reared in cages and those raised in the traditional method.  
395 According to previous study, cage-rearing stress gradually subsides after the 4<sup>th</sup>  
396 day(ZHANG *et al.* 2019). Herein, there was a decrease in the expression of the *CRH*  
397 gene although there was no significant difference in the expression of the *ACTH* gene  
398 (Proopiomelanocortin, POMC). These indicated that the acute stress in ducks had  
399 gradually faded at the three days of caging and that they had adapted to their  
400 environment. The up-regulation of *CRH* may have been due to the weak response of  
401 cage-reared ducks to capture and sampling. On the other hand, ducks raised in the  
402 floor-water combination system had a strong response, leading to the down-regulation  
403 of *CRH* and no change in *POMC*.

404 In addition, the study conducted differential expression analysis between the two  
405 groups and enrichment analysis of DEGs after RNA sequencing. The results of  
406 enrichment analysis showed that the DEGs were mainly enriched in processes  
407 associated with metabolism and processing of environmental information. Notably,  
408 the ECM-receptor interaction, neuroactive ligand-receptor interaction and the focal  
409 adhesion signaling pathways were the most significantly enriched pathways in both  
410 groups. The ECM-receptor interaction signaling pathway belongs to the

411 environmental information processing subclass

412 ([https://www.kegg.jp/dbget-bin/www\\_bget?pathway+hsa04512](https://www.kegg.jp/dbget-bin/www_bget?pathway+hsa04512)). The Extracellular

413 Matrix (ECM) is a three-dimensional acellular structure composed of collagen,

414 Proteoglycan (PG) and glycoprotein. The structure mediates cell-matrix or cell-cell

415 adhesion, signal transduction and cell growth (THEOCHARIS *et al.* 2016). In this study,

416 12 genes including 'COL6A2, LAMA2, COL1A2 and ITGB6, were enriched in the

417 ECM-receptor interaction signaling pathway in the C\_H vs. FW\_H comparison while

418 13 genes were enriched in the pituitary. Additionally, collagen is an important member

419 of the ECM-receptor interaction signaling pathway (VARGAS *et al.* 2013). The present

420 study showed that several members of the collagen family were significantly

421 differentially expressed. Moreover, it was previously reported that decreasing

422 oxidative stress in cardiomyocytes can reduce the expression of collagen 1 and

423 3 (ZHOU *et al.* 2009). In this study, there were significant differences in the expression

424 of COL6A2, COL1A2, COL4A4, COL4A3, COL4A5, COL6A1 and COL2A1 in the

425 two groups, indicating that they were regulated by stress in the cage environment.

426 Furthermore, the neuroactive ligand-receptor interaction pathway is the aggregation of

427 all receptors and ligands related to intracellular and extracellular signaling pathways

428 in the plasma membrane. The pathway also belongs to the Environmental Information

429 Processing subclass

430 ([https://www.kegg.jp/kegg-bin/show\\_brite?htext=hsa00001.keg&query=hsa04080](https://www.kegg.jp/kegg-bin/show_brite?htext=hsa00001.keg&query=hsa04080)).

431 Genes in the neuroactive ligand-receptor interaction pathway are associated with



432 stress response, including psychological, electric shock, heat and other forms of  
433 stress(KIM *et al.* 2017; LU *et al.* 2020; LUO *et al.* 2015). In this study, 17 and 14 DEGs  
434 were enriched in the neuroactive ligand-receptor interaction pathway in the C\_H vs.  
435 FW\_H and C\_P vs. FW\_P comparisons, respectively.

436 It is also worth noting that animals change the levels of certain proteins in the body in  
437 response to external environmental stimuli when they enter into different  
438 environments(SAPOLSKY *et al.* 2000). In addition, the body can regulate the  
439 expression of some proteins through alternative splicing in order to cope with changes  
440 in the external environment(GOPALAKRISHNAN and KUMAR 2020; SINGH *et al.* 2017;  
441 TAPIAL *et al.* 2017). Alternative splicing is the process of selecting different  
442 combinations of splicing sites on an mRNA precursor in order to produce different  
443 isoforms, resulting in different phenotypes due to distinct levels of expression in the  
444 same cell(BIRZELE *et al.* 2008). Moreover, previous studies showed that when the  
445 body is faced with stress or bacterial infection, it responds by inducing alternative  
446 splicing(MARTIN *et al.* 2019; STAIGER and BROWN 2013; SUN 2017). In this study,  
447 there were no differences in the expression levels of some genes between the  
448 cage-rearing and floor-water system groups. However, the expression of certain  
449 isoforms changed significantly. These results therefore showed that the caged  
450 environment can regulate the body's response through alternative splicing.

451 Moreover, light affects the feeding, growth and reproductive behavior of birds(LIU *et*  
452 *al.* 2020; PITESKY *et al.* 2019; ZAGURI *et al.* 2020). In the cage-rearing system,

453 poultry receive a stable intensity and duration of light. However, the amount of light  
454 received by birds in their natural environment is often unstable due to the influence of  
455 weather and geographical location(RANI and KUMAR 2014). In this study, the DEGs  
456 were enriched in some GO terms related to light perception, including sensory  
457 perception of the light stimulus and response to absence of light. This suggested that  
458 the different levels of illumination in the cage-rearing and floor-water combination  
459 systems affected the growth and development of the ducks.

460 In this study, the hypothalamus and pituitary of ducks in two different rearing systems  
461 were collected for transcriptome sequencing. The results showed that there were  
462 significant differences in the expression of stress-related genes and pathways. The  
463 findings also showed that the cage-rearing system had an effect on the transcriptome  
464 of the ducks. Chronic stress has been the focus of most studies on animal production.  
465 Therefore, more attention should be paid to the effects of long-term caging on the  
466 growth and production performance of ducks. Additionally, multi-omics can be used  
467 to explore the mechanisms underlying the effects of cage-rearing on ducks. Moreover,  
468 methods can be established to not only mitigate the impact of caging but also enhance  
469 the growth and reproductive performance of ducks.

## 470 **Conclusions**

471 In summary, the present study assessed the differences in the expression of genes  
472 between ducks in the cage-rearing and floor-water combination systems. The results  
473 showed that there was a significant change in the expression of genes after short-term

474 caging. The findings also revealed that pathways associated with endocrine and  
475 environmental information processing were significantly enriched. Notably,  
476 ECM-receptor interaction, neuroactive ligand-receptor interaction and focal adhesion  
477 were the main signaling pathways enriched in response to short-term caging.  
478 Additionally, the caged environment can regulate the body's response through  
479 alternative splicing. These results can therefore help in understanding the mechanisms  
480 underlying the effect of cage-rearing on the growth and reproduction of waterfowl.  
481 The findings also highlight the gene regulatory networks involved in animal responses  
482 to acute stress.

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488

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570

571

572

**Table 1** Primer information

Primer names	Sequence (5'-3')	Annealing temperature (°C)	Product size (bp)
qBCO2-F	CACGCTTCGATACGCCAAAG	60	90
qBCO2-R	AGGTGTTCTCACTGCTGACG		
qMGP-F	TCTGTGGGAAGAGAGCGAG	60	141
	A		
qMGP-R	GCTCTCGTGGGACTCATAGC		
qLoc101791375-F	TCCTGTTGCTTGCTCGTAGG	60	125
qLoc101791375-R	GTGAGATGGTTGACGCAGG		
	A		
qLoc106020629-F	AGTGGAGCCAAACCCACTTT	60	111
qLoc106020629-R	CAGTAAAGCCAGCACGCAA		
	G		
qCRH-F	CCTCCGCCACCAACTTTT	60	250
qCRH-R	CACTCCCGATGATCTCCAT		
qSOD3-F	CTTTGTTCGGCCCGTACTCC	60	85
qSOD3-R	GCCTTGTTGTTGCCCTTGC		
qMYL9-F	CGTGCCAAAGCCAAGACCA	60	215
qMYL9-R	CTCGCTCATCATGCCTTCCA		
	G		
qFOS-F	CTGGGTATCTCCAACCTCGTA	60	226
	TC		
qFOS-R	GAACATTCAGACCACCTCAA		
	CA		
qRPIA-F	CACGCTGTGCATCGATTAGC	60	116
qRPIA-R	TCACTCAGCGTTAAGCCGTT		
qPGAM5-F	TGTCTGTCATGCCAACGTGA	60	215
qPGAM5-R	CTGGGTAGCCAGCTGTTTCA		
qIDH1-85-F*	AGAAGCAGGAGGAGGAGG	60	278
qIDH1-85-R	GATGCTCAATGCCCAAGT		
qIDH1-F	CATCCCTCGGTTGGTGTCT	60	144
qIDH1-R	GGTTTGCTCCATCTCCTG		
qREEP5-41-F**	ATGCTCCCCATCTCCGTG	60	96
qREEP5-41-R	GCCGTAGCCGAACACCAG		
qREEP5-F	TTCCTGTCTGGTTCCTT	60	89
qREEP5-R	AACTCCGCTCCATTAGACG		

573 \* qIDH1-85 represents the primers for XM\_027461485.1 from *IDH1*.

574 \*\* qREEP5-41 represents the primers for XM\_027446641.1 from *REEP5*.

575

**Table 2** The mapping statistics of validated reads

Sampl e	Valid reads	Mapped reads	Unique Mapped reads	Multi Mapped reads	PE Mapped reads*
C_H_1	51,239,468	40,246,810 (78.55%)	24,833,973 (48.47%)	15,412,837 (30.08%)	36,792,364 (71.80%)
C_H_2	49,409,120	41,287,364 (83.56%)	25,871,503 (52.36%)	15,415,861 (31.20%)	37,879,750 (76.67%)
C_H_3	50,247,476	42,241,544 (84.07%)	27,865,386 (55.46%)	14,376,158 (28.61%)	39,415,290 (78.44%)
C_H_4	38,820,220	29,069,218 (74.88%)	18,754,343 (48.31%)	10,314,875 (26.57%)	27,115,634 (69.85%)
C_P_1	49,967,938	42,615,294 (85.29%)	28,857,361 (57.75%)	13,757,933 (27.53%)	37,559,766 (75.17%)
C_P_2	49,527,692	42,214,709 (85.23%)	28,975,999 (58.50%)	13,238,710 (26.73%)	38,928,918 (78.60%)
C_P_3	53,769,752	45,507,234 (84.63%)	29,933,466 (55.67%)	15,573,768 (28.96%)	42,194,448 (78.47%)
C_P_4	42,013,858	34,274,240 (81.58%)	23,194,557 (55.21%)	11,079,683 (26.37%)	29,267,150 (69.66%)
FW_H _1	35,886,424	29,246,181 (81.50%)	19,000,230 (52.95%)	10,245,951 (28.55%)	27,082,722 (75.47%)
FW_H _2	45,611,570	38,161,438 (83.67%)	23,845,877 (52.28%)	14,315,561 (31.39%)	34,925,216 (76.57%)
FW_H _3	52,023,140	43,919,303 (84.42%)	28,939,721 (55.63%)	14,979,582 (28.79%)	40,876,986 (78.57%)
FW_H _4	52,987,882	44,676,116 (84.31%)	29,256,264 (55.21%)	15,419,852 (29.10%)	41,413,402 (78.16%)
FW_P _1	45,028,788	37,864,792 (84.09%)	25,480,801 (56.59%)	12,383,991 (27.50%)	34,984,564 (77.69%)
FW_P _2	51,144,142	42,671,489 (83.43%)	29,669,953 (58.01%)	13,001,536 (25.42%)	39,012,590 (76.28%)

FW_P_	51,996,10	43,673,814	28,161,497	15,512,317	40,726,292
3	6	(83.99%)	(54.16%)	(29.83%)	(78.33%)
FW_P_	50,619,48	39,540,336	26,987,899	12,552,437	35,694,488
4	2	(78.11%)	(53.32%)	(24.80%)	(70.52%)

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577 \* “PE Mapped reads” represents the pair-end mapped reads.

578



579 **Table 3** The significantly enriched pathways and enriched genes in the C\_H vs.

580 FW\_H.

Pathway name	p-value	Gene names
ECM-receptor interaction	0.000	<i>COL6A2, LAMA2, COL1A2, ITGB6, CHAD, COL4A4, COL4A3, SDC1, ITGB4, COL4A5, LAMB1, LAMA5</i>
Neuroactive ligand-receptor interaction	0.000	<i>LEPR, GH1, CGA, PRLHR, PRL, FSHB, TMEM139, NPBWR2, OXTR, MTNR1A, GAL, AVPR1B, GHRHR, TRHR, P2RX7, RXFP1, UCN3</i>
Focal adhesion	0.001	<i>COL6A2, LAMA2, COL1A2, ITGB6, CHAD, COL4A4, COL4A3, ITGB4, MYL9, COL4A5, LAMB1, LAMA5, PDGFRL</i>
Long-term depression	0.006	<i>CRH, LOC113845734</i>
Cytokine-cytokine receptor interaction	0.012	<i>LEPR, GH1, CSF3R, CXCL12, IL17RA, PRL, IL31RA, TNFRSF6B, CXCR6, IL18</i>
Regulation of actin cytoskeleton	0.024	<i>FGF19, IQGAP2, CXCL12, EZR, ITGB6, ITGB4, IQGAP3, MYL9, FGFR4</i>
Alcoholism	0.040	<i>ISLR, CRH, LOC113845734</i>
Cushing syndrome	0.042	<i>CRH, LOC113845734</i>
cGMP - PKG signaling pathway	0.048	<i>MYL9, RGS2</i>

581

582

583 **Table 4** The top 5 enriched KEGG pathways and enriched genes in C\_P vs. FW\_P

Pathway name	p-value	Gene names
ECM-receptor interaction	0.000	<i>COL6A1, CHAD, THBS2, SDC1, IBSP, TNR, SLITRK1, VWF, LAMA4, TNC, ITGA11, GP1BA, COL2A1</i>
Focal adhesion	0.001	<i>COL6A1, CAV3, CHAD, THBS2, IBSP, TNR, MYL9, VWF, PGF, LAMA4, TNC, ITGA11, COL2A1</i>
Neuroactive ligand-receptor interaction	0.001	<i>DRD5, GRM4, GPR50, CHRNA6, GLP1R, GRIA3, SSTR1, NMUR1, KBP, GRIN2C, VIP, CRAMP1, MLNR, MTNR1B</i>
Pantothenate and CoA biosynthesis	0.049	<i>PANK4, PANK3</i>
Vascular smooth muscle contraction	0.049	<i>ACTA2, CALCB, MYL9, KCNMB1</i>

584