

1 **Concentration matters: different stomatal CO₂-responses at sub-ambient and above-** 2 **ambient CO₂ levels**

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8 **Abstract**

9 Stomatal pores, formed of paired guard cells, mediate CO₂ uptake for photosynthesis and water
10 loss via transpiration in plants. Globally rising atmospheric CO₂ concentration triggers stomatal
11 closure, contributing to increased leaf temperature and reduced nutrient uptake due to lower
12 transpiration rate¹. Hence, it is important to understand the signalling pathways that control
13 elevated CO₂-induced stomatal closure to identify targets for breeding climate-ready crops.
14 CO₂-induced stomatal closure can be studied by increasing CO₂ concentration from ambient to
15 above-ambient concentrations^{2,3}, or elevation of CO₂ levels from sub-ambient to above-
16 ambient^{4,5}. Previous experiments comparing ferns with angiosperms suggested that stomatal
17 responses to CO₂ may be different, when changing CO₂ levels in the sub-ambient or above-
18 ambient ranges^{3,6}. Here, we set out to test this by comparing CO₂-induced stomatal closure in
19 key guard cell signalling mutants in response to CO₂ elevation from 100 to 400 ppm or 400 to
20 800 ppm. We show that signalling components that contribute to CO₂-induced stomatal closure
21 are different in the sub-ambient and above-ambient CO₂ levels, with guard cell slow-type anion
22 channel SLAC1 involved mainly in above-ambient CO₂-induced stomatal closure.

23 Stomata open in response to reduced CO₂ concentration and close in response to elevated CO₂
24 levels to balance photosynthetic CO₂ uptake and water loss via transpiration. The drought-
25 inducible plant hormone abscisic acid (ABA) is a key regulator of stomatal closure. The ABA-
26 induced signalling cascade in stomatal guard cells is triggered by the binding of ABA to its
27 receptors, the PYR/PYL/RCAR proteins^{7,8}, that then form ternary complexes with negative
28 regulators of ABA signalling, the protein phosphatases of group 2C (PP2C)⁹. In the absence of
29 ABA, PP2Cs suppress the activation of positive regulators of ABA signalling, the protein
30 kinase OST1¹⁰⁻¹³ and the leucine-rich receptor-like pseudokinase GHR1^{14,15}. In the presence of
31 ABA, PP2Cs are inactivated, OST1 and GHR1 are activated and trigger anion efflux through
32 the central guard cell slow-type anion channel SLAC1^{12,13,16-18}. Thus, the activation of slow-
33 type anion channel SLAC1 is the crucial step for effective ABA-induced stomatal closure.

34 Stomatal closure induced by elevated CO₂ concentration has remained less well understood.
35 Similar to ABA, the activation of SLAC1 is required for stomatal closure in response to
36 CO₂^{16,18}. Mutations in genes coding for ABA signal transduction pathway components, such as
37 the ABA receptors^{5,19,20}, PP2C phosphatases¹⁹, and SLAC1-activating proteins OST1²¹ and
38 GHR1^{15,22}, have also been shown to result in impaired CO₂-induced stomatal closure. These

39 data indicate that the ABA signal transduction pathway contributes to CO₂-induced stomatal
40 closure.

41 Nevertheless, some signalling components are involved in stomatal response to CO₂ but not
42 ABA. Plants deficient in the function of the carbonic anhydrases CA1 and CA4²³, the mitogen-
43 activated protein kinase MPK12²⁴ and the kinase HT1^{22,25,26} all have impaired CO₂-responses
44 but close stomata in response to ABA. Recently, MPK12 and a highly similar mitogen-activated
45 protein kinase, MPK4, were shown to inhibit the activity of the HT1 kinase which in turn
46 influenced activation of the SLAC1 anion channel by OST1 and GHR1^{22,24,27}. This indicates
47 the presence of a CO₂-specific branch of guard cell signal transduction pathways that is not
48 required for ABA responses.

49 To understand the molecular mechanisms of CO₂-induced stomatal closure, it is important to
50 define, what we mean by stomatal response to CO₂. Experiments assessing CO₂-induced
51 stomatal closure have been carried out in different ways. In some studies, CO₂-induced stomatal
52 closure is defined as a process that occurs, when CO₂ concentration is increased from ambient
53 to above-ambient levels^{2,3}. In others, a change from sub-ambient to above-ambient CO₂
54 concentration is used to trigger CO₂-induced stomatal closure^{4,5}. Data from previous studies
55 comparing CO₂-responses in ferns and angiosperms suggests that stomatal responses to CO₂
56 are different, when changing CO₂ levels in the sub-ambient or above-ambient ranges^{3,6}. Thus,
57 to understand stomatal regulation by CO₂, it is important to clarify, what do we talk about, when
58 we talk about stomatal response to CO₂. Whether the underlying molecular mechanisms of
59 stomatal closure caused by elevation of CO₂ concentration in the sub-ambient and above-
60 ambient concentration ranges are different, has not been addressed.

61 We analysed stomatal responses to CO₂ in the sub-ambient and above-ambient concentration
62 ranges in the model plant *Arabidopsis thaliana* to clarify whether these responses are controlled
63 by the same regulators or display distinct underlying mechanisms. To this end, we used two
64 types of experimental setups (Figure 1). In both types of experiments, we first reduced CO₂-
65 levels from ambient 400 to 100 ppm to induce stomatal opening. Subsequently, CO₂ was either
66 elevated from 100 to 400 ppm, and then from 400 to 800 ppm (Figure 1a), or alternatively
67 directly from 100 to 800 ppm (Figure 1b). This approach allowed us to analyse three types of
68 CO₂-induced stomatal closure: transition from 100 to 400 ppm (from here on referred to as 100-
69 400), from 400 to 800 ppm (400-800), and from 100 to 800 ppm (100-800) of CO₂. Reaction
70 kinetics of these responses were clearly different for wild type Col-0 plants. Stomatal closure
71 induced by changing CO₂ from 100 to 400 ppm had the slowest reaction kinetics (rate constant
72 $k = 0,026 \text{ min}^{-1}$) and 400 to 800 ppm closure had the fastest reaction kinetics ($k = 0.062 \text{ min}^{-1}$,
73 Figure 1a). Stomatal closure induced by 100 to 800 ppm CO₂ transition is a mixture of 100 to
74 400 ppm and 400 and 800 ppm responses as also reflected in its intermediate reaction kinetics
75 ($k = 0.038 \text{ min}^{-1}$, Figure 1b). The clearly different kinetics of these CO₂ responses suggest that
76 underlying mechanisms could be regulated by different components.

77 To address the underlying mechanisms of CO₂-induced stomatal closure at different CO₂
78 transitions we studied plants deficient either in guard cell anion channel SLAC1 and its
79 activation (*slac1-3*, *ghr1-3*, *ost1-3*) or in the CO₂-specific stomatal signalling branch regulated

80 by MPK12 and HT1 kinases (*mpk12-4*, *ht1-2*, *ht1-8D*) and carbonic anhydrases CA1 and CA4
81 (*cal ca4*) that convert CO₂ to bicarbonate. All studied mutants, except *ht1-2*, opened stomata
82 at CO₂ transition from 400 to 100 ppm, although the responses of *ht1-8D*, *mpk12-4* and *calca4*
83 mutants were slower and smaller in magnitude compared to wild-type plants, as described
84 before²²⁻²⁵ (Figure 2a, f and Figure 3a, d). The 100-400 and 400-800 [CO₂] transitions revealed
85 differences in sub-ambient and above-ambient CO₂-induced stomatal closure responses
86 between SLAC1 and related mutants and plants deficient in CO₂-specific branch of stomatal
87 signalling. We discuss these differences in terms of how fast stomata closed (k-values of fitted
88 exponential functions, where appropriate) and what was the magnitude of response (reduction
89 of stomatal conductance in absolute units).

90 In response to the 100-400 transition, the *slac1-3* and *ghr1-3* mutants closed their stomata with
91 relatively fast exponential kinetics (Figure 2a); although the response was slower than in wild-
92 type (Figure 2b), it was similar to wild-type in magnitude for *slac1-3* and close to that in *ghr1-3*
93 (Figure 2c), indicating that SLAC1 and GHR1 are not of major importance in CO₂-induced
94 stomatal closure at sub-ambient 100-400 CO₂ shifts. On the other hand, both SLAC1 and GHR1
95 were crucial for stomatal response to above-ambient CO₂ levels: *slac1-3* had slow, linear
96 closure response of small magnitude and although *ghr1-3* had relatively fast closure response
97 to the 400-800 transition, it was very low in magnitude (Figure 2a, d, e). The *ost1-3* mutant
98 showed slow, linear response to both 100-400 and 400-800 transitions, indicating a regulatory
99 role for the OST1 kinase in stomatal CO₂-responses across varying CO₂ concentration ranges.
100 As SLAC1 is not the sole substrate of the OST1 kinase²⁸, it is likely that other OST1 targets are
101 involved in CO₂-induced stomatal closure at sub-ambient CO₂ levels.

102 All the CO₂-signalling mutants, except *mpk12-4*, showed either no response (*ht1-2*, *ht1-8D*) or
103 slow response with linear kinetics and small magnitude (*calca4*) in response to 100-400
104 transition (Figure 2f, h). The response of *mpk12-4* to the 100-400 transition was exponential
105 but significantly reduced in magnitude (Figure 2f-h). To the 400-800 transition, *ht1* mutants
106 were again unresponsive, whereas *mpk12-4* and *calca4* plants closed their stomata with
107 exponential kinetics; their closure response was slower than in wild-type, but larger in
108 magnitude (Figure 2f, i, j). Together, these data suggest that HT1 is crucial to initiate stomatal
109 closure in response to CO₂ irrespective of CO₂ levels, whereas MPK12 function is more
110 important at sub-ambient CO₂ concentrations. The carbonic anhydrases are needed at sub-
111 ambient CO₂ levels to ensure fast stomatal closure in response to CO₂ elevation, whereas their
112 role becomes less important in the 400-800 transition. This may be explained by increased
113 nonenzymatic bicarbonate formation at higher CO₂ levels, rendering carbonic anhydrases less
114 important.

115 In experiments where CO₂ concentrations were changed directly from 100 ppm to 800 ppm, the
116 differences in responses that were detected for 100-400 and 400-800 transitions shown in Figure
117 2, were masked (Figure 3). Both *slac1-3* and *ost1-3* showed similar slow, non-exponential
118 stomatal closure, whereas *ghr1-3* plants closed stomata as fast as wild-type plants but to a lower
119 magnitude that was comparable with *slac1-3* and *ost1-3* (Figure 3a-c). Thus, by such an
120 experimental set-up the important role for SLAC1 and GHR1 specifically in above-ambient
121 CO₂-induced stomatal closure was not clear. The *ht1* mutants were insensitive to CO₂ shift from

122 100 to 800, as expected, whereas *mpk12-4* mutants had slower response of smaller magnitude
123 compared to wild-type plants (Figure 3d-f). The *calca4* mutants closed stomata slower but to
124 the same extent as wild-type plants (Figure 3d-f). Thus, this experimental set-up did not allow
125 to detect a more prominent role for MPK12 and carbonic anhydrases at sub-ambient CO₂ levels
126 that was clear from experiments addressing the 100-400 and 400-800 transitions separately
127 (Figure 2). In many cases the stomatal response to CO₂ elevation is studied by increasing CO₂
128 concentration from sub-ambient to above-ambient levels. Our experiments indicate that this can
129 confound the interpretation of the results; and highlight the importance of experimental set-up,
130 when studying and trying to understand the molecular mechanisms of stomatal response to
131 elevated CO₂ concentration.

132 Here we show that stomatal closure in response to an increase in CO₂ concentration, which
133 occurs both at sub-ambient and above-ambient CO₂ concentration ranges, comprises two
134 different underlying processes. The CO₂-induced stomatal closure at a transition from ambient
135 to above-ambient CO₂ levels displays fastest reaction kinetics and requires the activation of
136 guard cell anion channel SLAC1 (Figure 2a-e), just like the ABA signal transduction
137 pathway^{16,18}. Conversely, the CO₂ specific carbonic anhydrases and the MPK12-HT1 signalling
138 pathway have a major role when CO₂ levels change in the sub-ambient range (Figure 2f-j),
139 albeit they also contribute to above-ambient CO₂-induced stomatal closure^{22-24,27}.

140 The mechanisms of CO₂-responses in the sub-ambient range of CO₂ concentrations, including
141 stomatal opening response at low CO₂ levels, remain largely uncharacterised. During active
142 photosynthesis, CO₂ concentrations in the intercellular air spaces, where CO₂ is perceived by
143 the plant²⁹, are below ambient. Therefore, adequate and efficient responsiveness of plant
144 stomata in this CO₂ concentration range is especially important to maximise photosynthetic
145 efficiency. On the other hand, in the context of increasing global CO₂ levels and changing
146 climate, understanding the molecular mechanisms of CO₂-induced stomatal closure, when CO₂
147 concentration rises above the current ambient levels, is of special interest. From the perspective
148 of breeding water use efficient, but productive crops for the future climates, it is important to
149 clearly define and differentiate the distinct processes underlying stomatal responses to CO₂, and
150 to study them by experimental approaches that help to disentangle their molecular mechanisms.

151 **Methods**

152 ***Plant lines and growth conditions***

153 *Arabidopsis thaliana* accession Col-0 and the following mutants in the same genetic
154 background were used for experiments: *slac1-3*¹⁶, *ost1-3*³⁰, *ghr1-3*¹⁵, *ht1-2*²⁵, *ht1-8D*²², *mpk12-*
155 *4*²⁴, *calca4*²³. Plants were grown in 4:2:3 v/v peat:vermiculite:water mixture at 12/12
156 photoperiod with 150 μmol m⁻² s⁻¹ light in controlled-environment growth cabinets (AR-66LX;
157 Percival Scientific; MCA1600, Snijders Scientific) at 70% relative humidity and day-time
158 temperature of 23°C and night-time temperature 18°C. Plant age at experiment time was ~25
159 days.

160 ***Gas-exchange measurements***

161 Measurements of stomatal conductance were carried out with a temperature-controlled custom-
162 built gas-exchange device^{3,31}. Plants were inserted into measurement cuvettes and allowed to
163 acclimate for 1-2 hours at ~70% relative humidity, 24°C air temperature and 400 ppm CO₂.
164 When stomatal conductance had stabilised, CO₂ concentration was reduced to 100 ppm for 2
165 hours. Thereafter, CO₂ concentration was either increased to 400 ppm and then to 800 ppm or
166 directly from 100 ppm to 800 ppm; stomatal conductances were followed for 2 hours in each
167 condition (Figure 1).

168 **Data analysis**

169 Magnitude of stomatal closure response was calculated as the difference in stomatal
170 conductance between last time point before treatment and at the end of 2 hours of treatment at
171 a given CO₂ level. Response curves were classified as exponential and non-exponential, the
172 latter mostly comprising linear response curves. Response rate constants (k-values) were
173 calculated by fitting exponential functions to response curves that behaved exponentially with
174 GraphPad Prism 8.0.1. One-way ANOVA with Tukey *post hoc* test was used for statistical
175 analysis as indicated in the figure legends, $p < 0.05$ were considered statistically significant.
176 Statistical analyses were carried out with Past 4.0³².

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256

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261 **Author Contributions**

262 H.H. designed the study, H.H., K.K. and E.M. performed experiments, H.H., K.K., E.M. and
263 H.K. analysed data, H.H. and H.K. wrote the manuscript, all authors commented, edited and
264 approved the final manuscript.

265 **Competing Interests statement**

266 The authors declare no competing interests.

267 **Figure Legends**

268 **Figure 1. Kinetics of CO₂-induced stomatal closure in wild-type Arabidopsis.**

269 Col-0 wild-type Arabidopsis stomatal response to elevation of CO₂ concentration (a) from 100
270 to 400 ppm and 400 to 800 ppm, (b) and from 100 to 800 ppm. Fitted k-values (rate constant)
271 with 95% confidence intervals are shown next to respective response curves. Mean stomatal
272 conductance \pm SEM is shown. Sample size was 17 in (a) and 14 in (b).

273 **Figure 2. Stomatal responses to CO₂ concentration elevation from 100 to 400 ppm and 274 400 to 800 ppm are mediated by different regulators.**

275 (a) and (f) Stomatal response to CO₂ concentration elevation from 100 to 400 ppm and 400 to
276 800 ppm, mean stomatal conductance \pm SEM is shown. (b, g) and (d, i) Boxplot of fitted k-
277 values (rate constants) of stomatal response to CO₂ concentration elevation from 100 to 400
278 ppm and 400 to 800 ppm respectively, linear responses are marked as NA (not applicable). (c,
279 h) and (e, j) Boxplot of absolute stomatal closure (mmol m⁻² s⁻¹) in response to CO₂
280 concentration elevation from 100 to 400 ppm and 400 to 800 ppm respectively. (b-i) and (g-j)
281 Boxes represent 25-75 % quartiles and median as the horizontal line inside, whiskers indicate
282 the smallest and largest values, points show individual plant values. Statistically significantly
283 different groups are marked with different letters (One-way ANOVA with Tukey *post hoc* test).
284 (a-j) Sample size was 17 for Col-0 and *ost1-3*; 7 for *ht1-2* and *ht1-8D*; 16 for *ghr1-3* and *slac1-*
285 *3*, 10 for *calca4* and 12 for *mpk12-4*.

286 **Figure 3. Analysing stomatal response to CO₂-elevation from sub-ambient to above- 287 ambient CO₂ levels masks underlying mechanisms.**

288 (a) and (d) Stomatal responses to CO₂ concentration elevation from 100 to 800 ppm, mean
289 stomatal conductance \pm SEM is shown. (b) and (e) Boxplot of k-values (rate constants) during
290 stomatal response to CO₂ concentration elevation from 100 to 800 ppm, linear responses are
291 marked as NA (not applicable). (c) and (f) Boxplot of absolute stomatal closure (mmol m⁻² s⁻¹)

292 in response to CO₂ concentration elevation from 100 to 800 ppm. **(b, c, e, f)** Boxes represent
293 25-75 % quartiles and the median as horizontal line inside, whiskers indicate the smallest and
294 largest values, points show individual plant values. Statistically significantly different groups
295 are marked with different letters (One-way ANOVA with Tukey *post hoc* test). **(a-f)** Sample
296 size was 14 for Col-0; 6 for *ht1-2*; 7 for *ht1-8D*; 8 for *ghr1-3*, *mpk12-4* and *ost1-3*; 9 for *slac1-*
297 *3* and *calca4*.

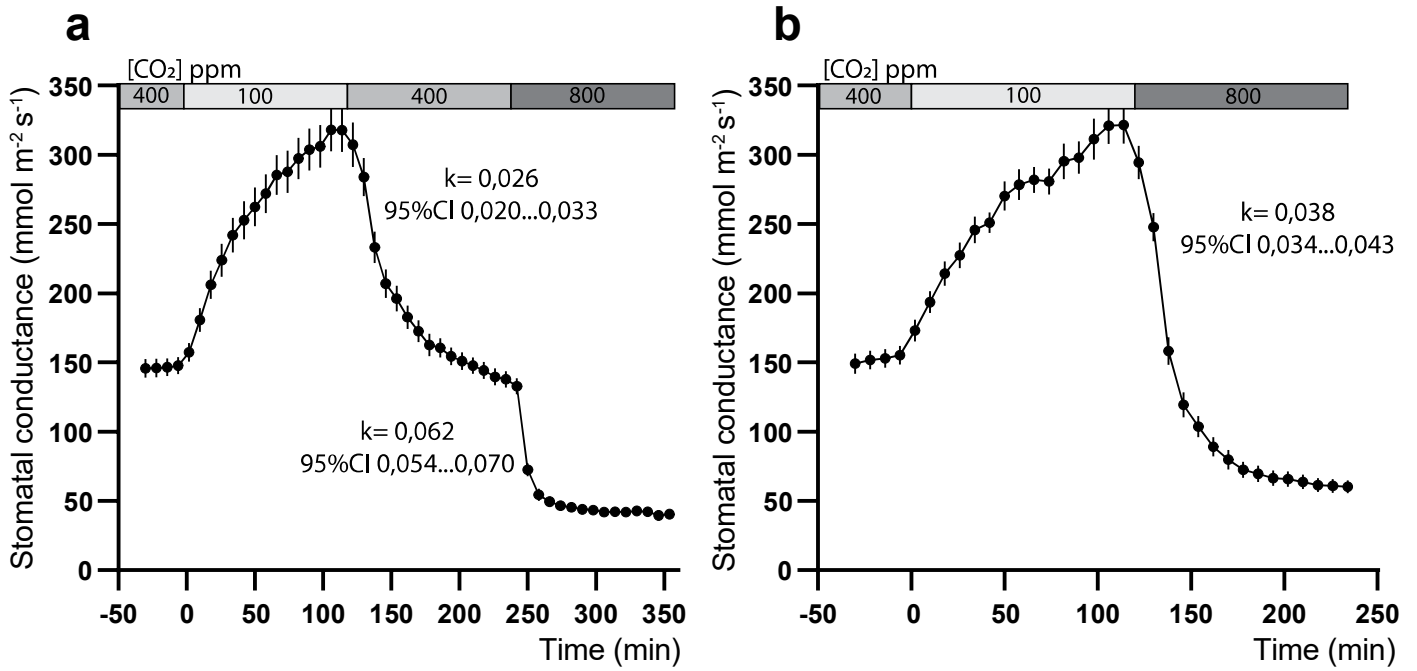


Figure 1. Kinetics of CO₂-induced stomatal closure in wild-type Arabidopsis.

Col-0 wild-type Arabidopsis stomatal response to elevation of CO₂ concentration (a) from 100 to 400 ppm and 400 to 800 ppm, (b) and from 100 to 800 ppm. Fitted k-values (rate constant) with 95% confidence intervals are shown next to respective response curves. Mean stomatal conductance \pm SEM is shown. Sample size was 17 in (a) and 14 in (b).

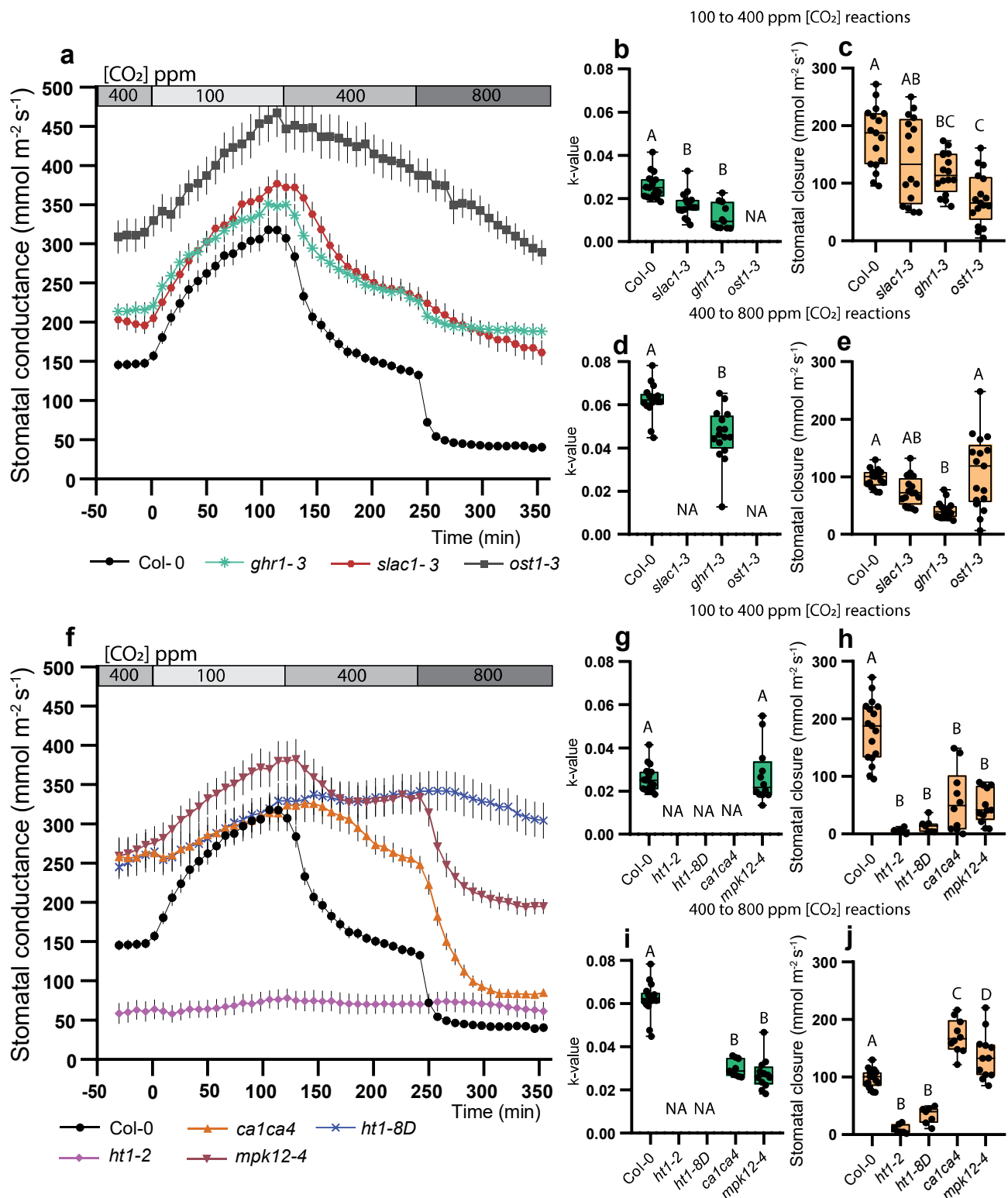


Figure 2. Stomatal responses to CO₂ concentration elevation from 100 to 400 ppm and 400 to 800 ppm are mediated by different regulators.

(a) and (f) Stomatal response to CO₂ concentration elevation from 100 to 400 ppm and 400 to 800 ppm, mean stomatal conductance ± SEM is shown. (b, g) and (d, i) Boxplot of fitted k-values (rate constants) of stomatal response to CO₂ concentration elevation from 100 to 400 ppm and 400 to 800 ppm respectively, linear responses are marked as NA (not applicable). (c, h) and (e, j) Boxplot of absolute stomatal closure (mmol m⁻² s⁻¹) in response to CO₂ concentration elevation from 100 to 400 ppm and 400 to 800 ppm respectively. (b-i) and (g-j) Boxes represent 25-75% quartiles and median as the horizontal line inside, whiskers indicate the smallest and largest values, points show individual plant values. Statistically significantly different groups are marked with different letters (One-way ANOVA with Tukey *post hoc* test). (a-j) Sample size was 17 for Col-0 and *ost1-3*; 7 for *ht1-2* and *ht1-8D*; 16 for *ghr1-3* and *slac1-3*, 10 for *ca1ca4* and 12 for *mpk12-4*.

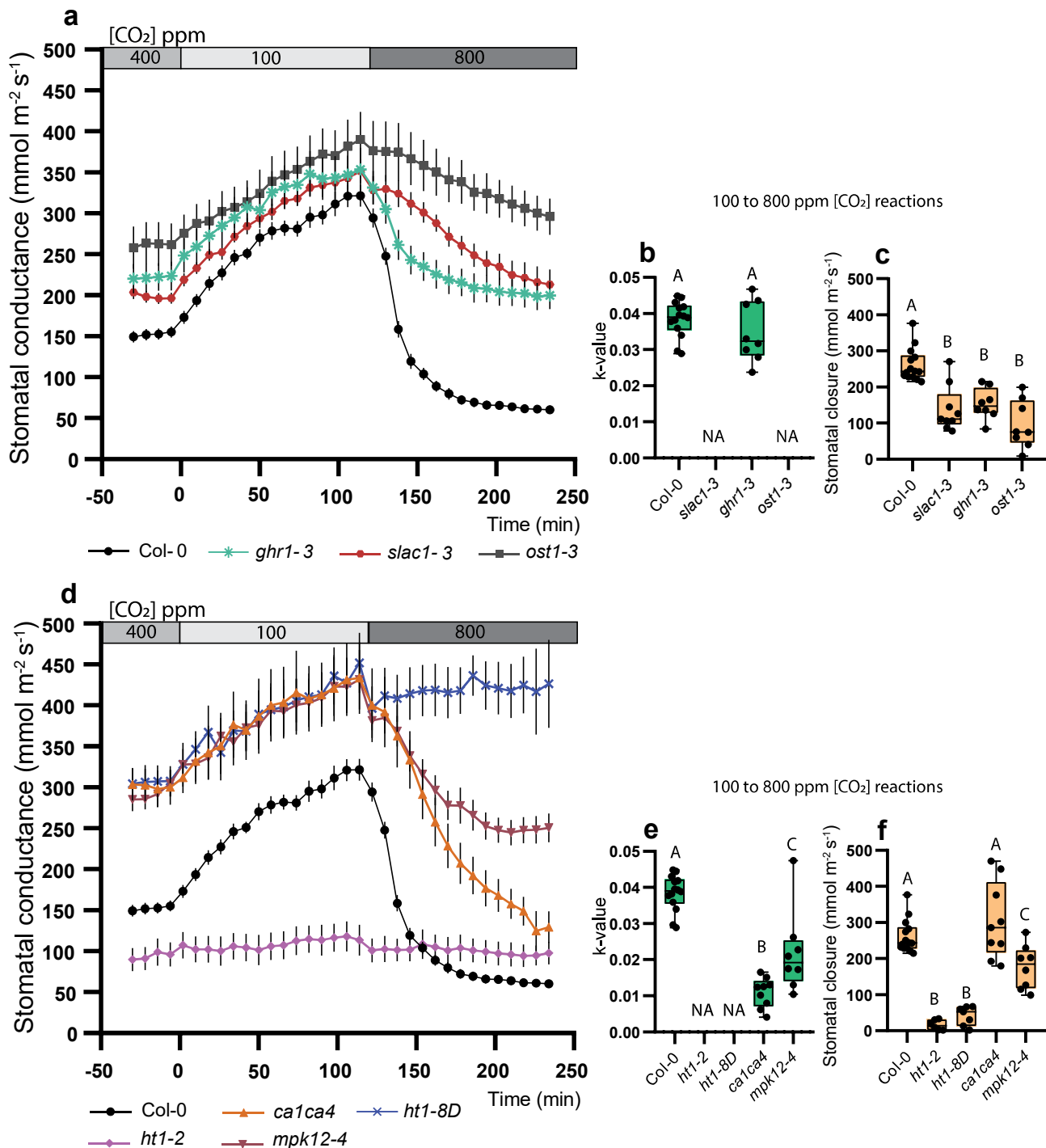


Figure 3. Analysing stomatal response to CO₂-elevation from sub-ambient to above-ambient CO₂ levels masks underlying mechanisms.

(a) and (d) Stomatal responses to CO₂ concentration elevation from 100 to 800 ppm, mean stomatal conductance ± SEM is shown. (b) and (e) Boxplot of k-values (rate constants) during stomatal response to CO₂ concentration elevation from 100 to 800 ppm, linear responses are marked as NA (not applicable). (c) and (f) Boxplot of absolute stomatal closure (mmol m⁻² s⁻¹) in response to CO₂ concentration elevation from 100 to 800 ppm. (b, c, e, f) Boxes represent 25-75 % quartiles and the median as horizontal line inside, whiskers indicate the smallest and largest values, points show individual plant values. Statistically significantly different groups are marked with different letters (One-way ANOVA with Tukey *post hoc* test). (a-f) Sample size was 14 for Col-0; 6 for *ht1-2*; 7 for *ht1-8D*; 8 for *ghr1-3*, *mpk12-4* and *ost1-3*; 9 for *slac1-3* and *ca1ca4*.