# Chlorophyll fluorescence: How the quality of

information about PAM instrument parameters may

# affect our research

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Highlight: PAM fluorometry measurement is sensitive to instrument settings and protocols. Yet, protocols are published incompletely. We urge to reach an agreement on minimal protocol information of PAM experiments to be shared publicly.

17 Abstract

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Chlorophyll a fluorescence is a powerful indicator of photosynthetic energy conversion in plants and photosynthetic microorganisms. One of the most widely used measurement techniques is Pulse Amplitude Modulation (PAM) fluorometry. Unfortunately, parameter settings of PAM instruments are often not completely described in scientific articles although their variations, however small these may seem, can influence measurements. We show the effects of parameter settings on PAM measurements. We first simulated fluorescence signals using a previously published computational model of photosynthesis. Then, we validated our findings experimentally. Our analysis demonstrates how the kinetics of non-photochemical quenching (NPQ) induction and relaxation are affected by different settings of PAM instrument parameters. Neglecting these parameters may mislead data interpretation and derived hypotheses, hamper independent validation of the results, and cause problems for mathematical formulation of underlying processes. Given the uncertainties inflicted by this neglect, we urge PAM users to provide detailed documentation of measurement protocols. Moreover, to ensure accessibility to the required information, we advocate minimum information standards that can serve both experimental and computational biologists in our efforts to advance system-wide understanding of biological processes. Such specification will enable launching a standardized database for plant and data science communities.

Keywords: fluorescence dynamics; computational simulation; non-photochemical quenching; photosynthesis; systems biology; pulse amplitude modulation

### 1 Introduction and the aim of this Communication

Oxygenic photosynthesis is one of the most essential processes on Earth. It drives the formation of oxygen and provides an energetic basis for carbon dioxide fixation. Due to its pivotal role in biomass production, the last decade has seen an increasing focus on engineering and manipulating photosynthesis in an attempt to improve plant productivity (Ort et al., 2015; Kromdijk et al., 2016; Kaiser et al., 2018; Flexas and Carriquí, 2020). Methods of quantifying the photosynthetic activity in vivo, in particular, the electron transport chain (Rochaix, 2011) allow inspection of dynamic changes in photosynthesis under variable environments. Foremost, measurement techniques based on chlorophyll a 47 (Chl a) fluorescence have provided a broad range of information about reactions in photosystem II (PSII) and thylakoid membranes, leading to an upsurge in the understanding of photosynthesis (Kalaji et al., 2014, 2017). 50 A popular and important technique in photosynthesis research is Pulse Amplitude Mod-51 ulation (PAM) Fluorometry (Schreiber et al., 1986). In combination with the saturation 52 pulse method, it provides a minimally invasive system for the determination and quantification of the PSII activity (Schreiber, 2004). For detailed explanations of the method, its 54 practical applications, and limitations, readers are directed to the excellent reviews published over the decades (Maxwell and Johnson, 2000; Baker, 2008; Murchie and Lawson, 2013). The basic principle of Chl a fluorescence and an example of induction measurement using PAM are shown in Fig. 1. 58 Non-photochemical quenching of Chl a fluorescence (NPQ) is one of the processes that can be quantified by analysing changes in fluorescence emission (Müller et al., 2001). The 60 introduction of the PAM fluorometry opened up new opportunities for simple in vivo assessment of its dynamics. Under unfavourable conditions, NPQ serves as an important photoprotective mechanism, on one hand lowering the light use efficiency of photosynthesis, on the other protecting the photosynthetic apparatus from long term photo-damage (Ruban, 2016). The NPQ parameter is associated with the fraction of the light energy absorbed by PSII that is not used for photochemistry and is dissipated as heat.

Computational models serve as powerful tools for predicting systems' responses to various changes and quantifying this effect. Their results help identify the reactions and mechanisms limiting photosynthetic productivity, to improve crop yield (Long et al., 2006; Zhao et al., 2020). Aspiring to make a similar impact through our research, our groups develop mathematical models of photosynthesis and actively search available fluorescence data to test computational models. Unfortunately, many articles presenting PAM fluorescence traces and data do not report detailed experimental protocols that were used to obtain these results. Such omission makes it challenging to reproduce fluorescence measurements by other groups but also in silico. This unintentional concealment of the experimental protocol is inevitably revealed once a computational approach is employed to replicate the experiment.

While simulating fluorescence traces using computational models we noted that our 78 work required guessing some of the parameters used to conduct the experiment, as they 79 were not explicitly stated in publications. This is not unexpected as incomplete reporting 80 of experimental procedures has been identified as one of the factors responsible for the 81 "reproducibility crisis" in science (Baker, 2016; National Academies of Sciences, Engineer-82 ing, and Medicine, 2019; Jessop-Fabre and Sonnenschein, 2019). Most articles, in which 83 PAM measurements were reported, included information about the type of fluorometer 84 used (Sekulska-Nalewajko et al., 2019; Kalmatskaya et al., 2020) and the intensity of the saturation pulses (SP) (Vieira et al., 2013). Some, to our delight, attached the spectrum of actinic light used for fluorescence quenching analyses (Quero et al., 2020). But in many, 87 values of the following four parameters were missing: i) the time interval (delay) between the determination of the maximum fluorescence (F<sub>m</sub>) in darkness and switching on the

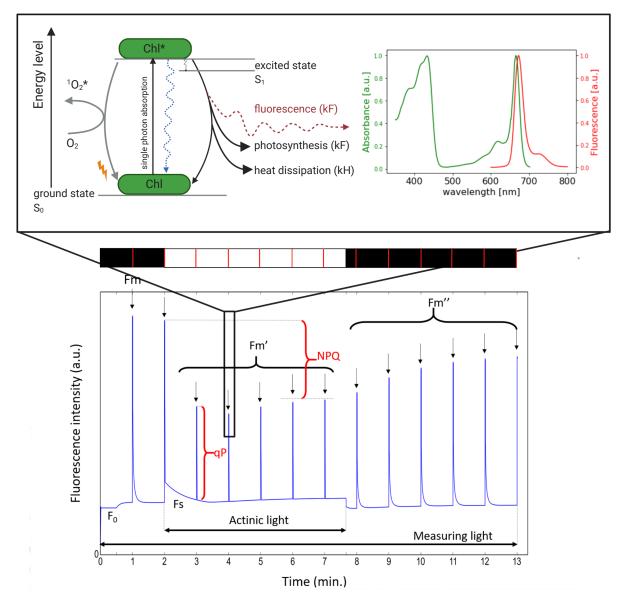


Fig. 1: The basic principle of fluorescence and PAM induction measurement. In this protocol, a dark-adapted plant is exposed to actinic light (AL, white area shown in the light bar, L) followed by dark recovery (black area in L). Multiple saturating light flashes (saturation pulses, SP) are applied before, during and after the actinic illumination to measure the maximal fluorescence  $F_m$ ,  $F_m'$ ,  $F_m''$ , respectively. Light energy absorption brings chlorophyll molecules to the first excited state (S<sub>1</sub>, see the simplified Jablonsky diagram in the upper panel on the left). For chlorophylls to return to the ground state (S<sub>0</sub>), the absorbed energy can be used for charge separation and photosynthesis (photochemical quenching, qP), dissipated as heat (non-photochemical quenching, NPQ), or re-emitted as fluorescence. The fluorescence emission spectra are red-shifted from the absorption spectra (upper panel on the right) and can be detected using fluorometers with corresponding optical filters. The emitted fluorescence signal is recorded, as shown in the lower panel with key readouts. Based on Müller et al. (2001); Lichtman and Conchello (2006); Murchie and Lawson (2013). Created with BioRender.com

actinic light (AL), ii) the intensity of the applied AL, iii) the time interval between the SPs, and iv) the duration of the SPs.

To systematically assess the effects of small variations in PAM parameters on the fluorescence traces, we simulated various PAM protocols using a mathematical model of NPQ published by our group (Matuszyńska et al., 2016). We analysed the quantitative dependence of NPQ and PSII yield ( $\Phi_{PSII}$ ) on the technical parameters that were mentioned above. Further, we validated the *in silico* findings by conducting two *in vivo* experiments in which the duration of the saturation pulse and the time point of switching on the actinic light were varied.

With this brief communication underlining the importance of full disclosure of PAM protocols in scientific publications, we hope to raise the awareness of authors, reviewers, and readers and thus to improve the knowledge transfer between the experimental and theoretical communities on plant physiology and photosynthesis. The findings presented here urges PAM users and the plant science community to consider facilitating broader exploitation of their data for modeling and meta-analysis studies while communicating their experimental procedures and results.

### <sup>106</sup> 2 Materials and Methods

#### 107 2.1 The model used for simulations

We use a mathematical model (Matuszyńska et al., 2016) to predict how changes in the values of four technical parameters of PAM measurement affect the fluorescence trace. For this, we simulate PAM experiments and systematically vary each of these parameters, quantifying the effect of each perturbation on NPQ and photosynthetic yield ( $\Phi_{PSII}$ ). Table 112 1 contains descriptions of the standard variables derived from fluorescence signals, which are used to calculate NPQ and  $\Phi_{PSII}$ . The model comprises six ordinary differential equations

(ODE) including a detailed description of NPQ. It has been parametrised for Arabidopsis 114 thaliana and verified to accurately simulate fluorescence traces also for other higher plants. 115 To reproduce the experimental results that are presented in this article we had to change 116 three parameters. These are a coefficient of the light function transforming the photon flux density into the rate of excitation of PSII, the contribution of the protonated PsbS 118 and zeaxanthin to the NPQ mechanism, and the proton leakage from the lumen in the stroma (a,  $\gamma_2$ ,  $k_{leak}$ ). All source code used to perform the presented analysis, together with 120 the model implemented using the Python package modelbase developed by our group (van 121 Aalst et al., 2021), can be downloaded from our git repository https://gitlab.com/qtb-122 hhu/fluopam.

Table 1: Parameters and descriptions of quantities derived from PAM fluorescence measurements in photosyhthetic organisms (Maxwell and Johnson, 2000; Murchie and Lawson, 2013).

Parameter	Description
$F_m$	maximal fluorescence in a dark-adapted state
$F_{m'}$	maximal fluorescence in a light-exposed state
$F_o$	minimal fluorescence in a dark-adapted state
$F_s$	steady-state fluorescence level in a light-exposed state
NPQ	non-photochemical quenching $\frac{F_m - F_{m'}}{F_{m'}}$
$\Phi_{\mathrm{PSII}}$	Quantum yield of photosystem II calculated as $\frac{F_{m'}-F_s}{F_{m'}}$

## 2.2 Standard PAM light induction protocol

We designed a PAM measurement that represents a generic experimental setup used with standard PAM fluorometers. Table 2 contains descriptions of the reference parameters. We have used 500  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup> as the default light intensity of AL. In the following analysis, we systematically varied the time point of switching on and off the AL, the intensity of the AL and SPs, and the duration and interval between SPs. We record the effect on the quenching capacity (NPQ) and photosynthetic yield ( $\Phi_{PSII}$ ). Fig. 2 illustrates the basic

idea behind our inquiries. We construct the light protocol (upper panel top) and solve the system of ODEs, from which we then calculate the fluorescence signal and plot it over time (upper panel bottom). From the fluorescence signal, we derive further the NPQ value (lower panel left) and quantum yield of photosynthesis (lower panel right).

Table 2: Parameters of the reference PAM protocol used for the simulations.

Technical parameter	Value
Time point of F <sub>m</sub>	1 s after the beginning of the measurement
Time point of switching on actinic light	60 s after the beginning of the measurement
Time interval between switching on the actinic	10 s
light and the first determination of Fm'	
Actinic light intensity	$500 \ \mu \text{mol} \cdot \text{s}^{-1} \text{m}^{-2}$
Saturation pulse intensity	$5000 \ \mu \text{mol} \cdot \text{s}^{-1} \text{m}^{-2}$
Duration of saturation pulses	0.8 s
Number of saturation pulses during actinic il-	10
lumination	
Interval between saturation pulses	60 s
Number of saturation pulses during dark re-	6
covery	
Interval between the last saturation pulse in	70 s
actinic light and the first saturation pulse in	
dark recovery	

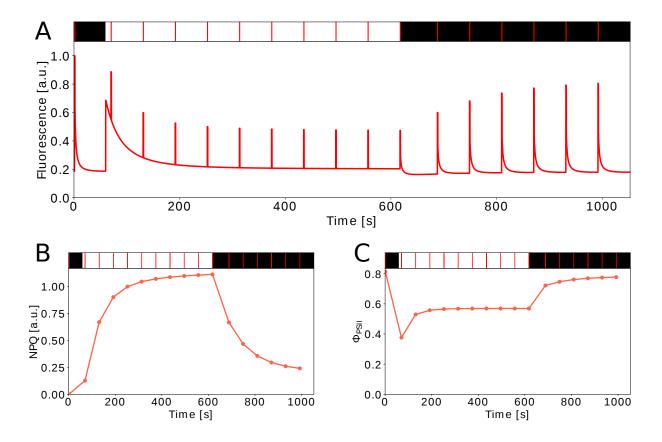


Fig. 2: Example of the simulated PAM induction measurement with a saturation pulse protocol used in this work. Fig. 2A: the output of a typical simulated PAM fluorescence trace obtained for the reference parameters from Table 2. The dark/light/dark phases and times points of saturation pulses (in red) are indicated in the upper panel. Fig. 2B and 2C: the variables NPQ and  $\Phi_{\rm PSII}$ , respectively, which are both derived from the simulated fluorescence.

### $_{55}$ 2.3 Experimental methods

#### 2.3.1 Plant Material and Growth Conditions

- 137 Seeds of Arabidopsis thaliana (Columbia-0) were sown on moist commercial soil (Pikier,
- Balster Einheitserdewerk, Fröndenberg, Germany) and incubated at 4 °C in the dark.
- After three days they were transferred to a climate chamber with a 12 h/12 h light/dark

photoperiod, 60% relative air humidity and 26 °C/20 °C day/night air temperature. The intensity of photosynthetically active radiation provided by fluorescent lamps (Fluora L58 W/77; Osram, Munich) was approx.  $100 \mu \text{mol m}^{-2}\text{s}^{-1}$  at the plant height. Seedlings were transferred to pots  $(7 \times 7 \times 8 \text{ cm}, \text{ one plant per pot})$  filled with soil (Lignostrat Dachgarten extensive, HAWITA, Vechta, Germany) on the  $15^{\text{th}}$  day after sowing. Plants were watered from the bottom to keep soil moisture throughout the cultivation and during the experiments.

#### 2.3.2 PAM induction curve measurement

In the sixth week after sowing, Chl fluorescence measurements were performed in overnight 148 dark-adapted plants using PAM-2500 (Walz, Effeltrich, Germany) equipped with leaf clip 149 2030-B. Before determination of the maximal PSII efficiency (F<sub>v</sub>/F<sub>m</sub>), 5 s of far-red light 150 illumination (peak at 750 nm) was given to oxidize the electron transport chain and PSII. 151 The intensity of red AL (peak at 630 nm) was set at approx. 457  $\mu$ mol m<sup>-2</sup>s<sup>-1</sup>. The 152 default settings (10) were used for the intensity of both measuring light and SP. After 153 10 min of light induction, during which SP was applied every 60 s starting 1 s after the 154 onset of AL illumination, AL was turned off and dark recovery was monitored for 13 min, 155 during which seven SPs were applied with increasing time intervals as programmed in the 156 protocol provided in the PamWin\_3 software (Walz). In the experiment with varying time delay between the F<sub>v</sub>/F<sub>m</sub> measurement and starting of the AL (10, 30, 40, 50, or 70 s), the 158 width (duration) of SP was fixed at 800 ms (default). In the experiment with a varying 159 width of SP (200, 400, 600, or 800 ms), the time delay was kept at 40 s (default). Four 160 measurements were performed in four replicate plants (one measurement per plant) for 161 each combination of the settings. 162

### 3 Results

#### 164 3.1 Time Point of switching on and off the AL

In published protocols, we often encountered descriptions such as: "a dark-adapted plant 165 has been exposed to a SP of light to measure the  $F_{max}$  and pulses were repeated every 30 seconds. After 12 minutes the light was switched off". Such formulations, upon 167 first reading, suggest that the plant has been exposed to AL immediately after the dark 168 measurement of F<sub>m</sub>. However, by comparing our predicted fluorescence traces with the ex-169 perimental data, we have noticed that in some of the experiments the initial "dark phase" must have continued after the first SP, sometimes even until the time point of the second 171 SP. In Fig. 3A we illustrate the effect of the precise timing of switching on the AL on the predicted fluorescence. The time points of SPs are at seconds: 1 s, 70 s, 130.8 s, 191.6 s, 173 252.4 s, 313.2 s, 374 s, 434.8 s, 495.6 s, 556.4 s, 617.2 s, 688 s, 748.8 s, 809.6 s, 870.4 s, 174 931.2 s, 992 s. Naturally, the timing of switching on the AL has implications for the NPQ 175 induction while the subsequent dark relaxation is not affected (Fig. 3B). The time course 176 of F<sub>s</sub> quenching under the AL is unaffected although the F<sub>s</sub> level at a given time point 177 changes (Fig. 3A). As expected, the longer the dark phase between the first two SPs, the 178 lower the initial NPQ determined by the second SP applied. These simulations demon-179 strate that, without precise knowledge of this parameter, a rigorous model interpretation 180 of the NPQ induction kinetics is difficult.

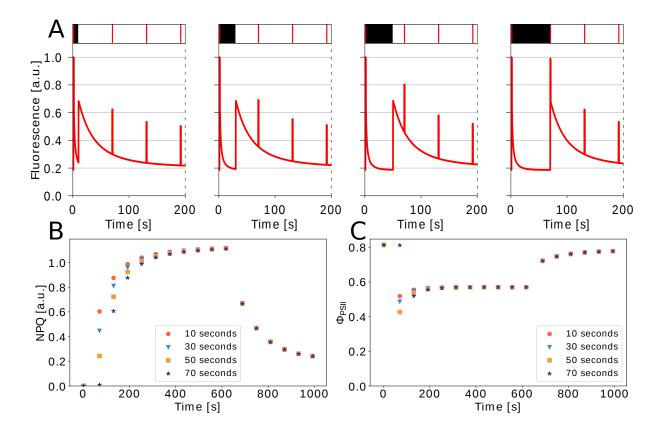


Fig. 3: Variation of the time point of switching on the AL. Fig 3A: excerpts of full fluorescence traces. The time point of switching on the AL is varied while the time points of applying SP are kept unchanged. From left to right: 10 s, 30 s, 50 s, and 70 s after the first SP to determine  $F_m$ . The vertical dashed lines indicate that the fluorescence traces continue. Fig. 3B: derived NPQ values. Fig. 3C: yield of photosystem II ( $\Phi_{PSII}$ ).

Likewise, the exact time of switching off the AL is also not described in publications. In Fig. 4 we have additionally varied the time point of switching off the AL in a similar manner as done above for switching on the AL while maintaining the overall duration of the AL. In the upper panel the four light-to-dark transition phases are plotted and in the lower panels the derived NPQ and  $\Phi_{PSII}$ . Additionally to the apparently altered induction kinetics of NPQ observed before, now significant variations in the dark relaxation kinetics can be clearly seen in Fig. 4B.

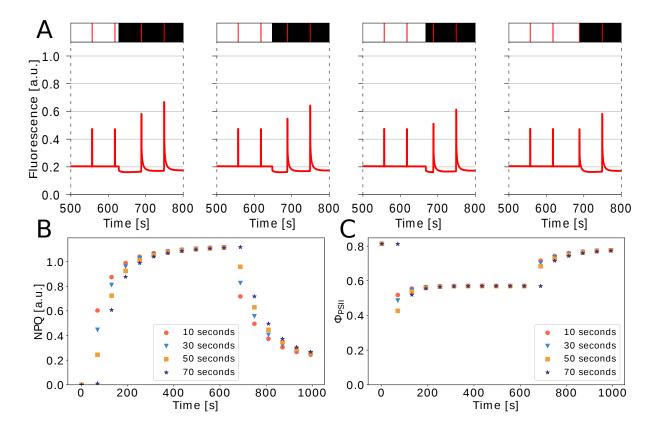


Fig. 4: Variation of the time point of switching on and off the AL. Fig. 4A: excerpts of full fluorescence traces. The time point of switching on and off the AL is varied while the time points of applying SP are kept unchanged. From left to right 10 s, 30 s, 50 s, and 70 s after first SP for  $F_m$  and a corresponding shift of the last SP in the AL, respectively. Vertical dashed lines indicate that the fluorescence traces continue. Fig. 4B: derived NPQ values. Fig. 4C: yield of photosystem II ( $\Phi_{PSII}$ ).

## 3.2 AL and SP intensity

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The precise definition of the AL is essential for an accurate interpretation of raw fluorescence traces, as well as other dynamic variables derived from them. Commonly used expressions such as 'moderate light' or 'low light intensity' are not informative. Light activation depends on certain physical, biochemical, and structural parameters that vary

between photosynthetic organisms depending on e.g. the chlorophyll content, the three-194 dimensional structure of the chloroplast, and the composition of the thylakoid membrane. 195 In our model, these differences are accounted for in the light activation function. In this 196 analysis, we systematically increased the AL from intensity 100 to 1000  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup> to 197 examine the effect on the derived steady-state NPQ value. As shown in Fig. 5, the inten-198 sity of AL influences the steady-state NPQ level significantly, in this example up to 350  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup> where it reaches saturation. 200 Besides the intensity of AL, the intensity of the SPs also plays a crucial role in the repro-201 ducibility of PAM experiments. To study possible consequences of different SP intensities, 202 we altered the values between 1000 and 9000  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup>. The calculated steady-state NPQ values are higher for SP intensities below 3000  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup>, suggesting that only 204 for intensities over 4000  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup> the SP is really saturating. This is an interesting 205 finding of our analysis, where with the simulations we could identify the theoretical mini-206 mal intensity of the SP which, in this example, was about 10-fold higher than the lowest 207 AL intensity to induce the maximal NPQ (Fig. 5).

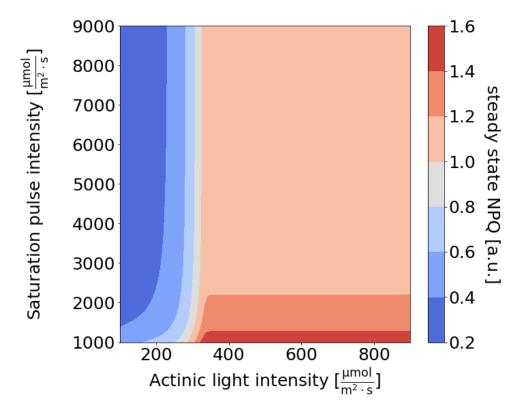


Fig. 5: Steady-state NPQ values (derived at the last SP in the AL environment) simulated for various combinations of AL and SP intensities.

#### 3.3 Interval between SPs

Next, we investigated the effect of the time interval between the SPs. From our expe-210 rience, the value of this parameter is explicitly mentioned in far more articles than for 211 instance the time of switching on the AL, indicating the importance given to this param-212 eter. Fig. 6 shows derived NPQ and  $\Phi_{PSII}$  values from the PAM protocols with varying 213 intervals between the SPs. Based on the results shown in Fig. 6 one could conclude that 214 this technical parameter, if it is within the range typically used by many groups in lab-215 oratory experiments (30, 60, 120 s), may not alter the induction and relaxation kinetics 216 or the steady-state level of NPQ. However, when we repeated the analysis for a lower AL 217 intensity of 100  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup>, a tendency of increased NPQ by short-interval SPs could 218

be observed under the AL as well as in the subsequent darkness (Fig. 6C).

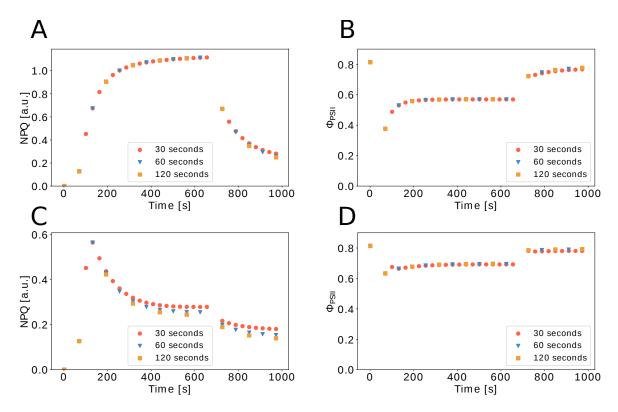


Fig. 6: NPQ and  $\Phi_{PSII}$  values simulated for the standard PAM induction protocol with varying time intervals between two consecutive SPs. 6A and 6A: with AL intensity of 500  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup>. 6C and 6D: with AL intensity of 100  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup>

#### 3.4 Duration of SP

Lastly, we investigated the effect of the SP duration. It is often assumed that the SPs of light have no lasting effect on the photosynthetic system, as long as they are 'short' (Schreiber, 2004). To verify this claim, we examined the effect of the SP length from 0.2 s to 2.8 s (Fig. 7). Our simulation confirmed no effect of the duration of the SP at 5000  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup> and all investigated intervals on NPQ under the used AL intensity. Interestingly, this technical parameter was among those that are most regularly and explicitly

mentioned in the method sections. Despite the special attention and notes, however, there
seems to be only a minor immediate effect of altering this parameter, as indicated by our
analysis in Fig. 7.

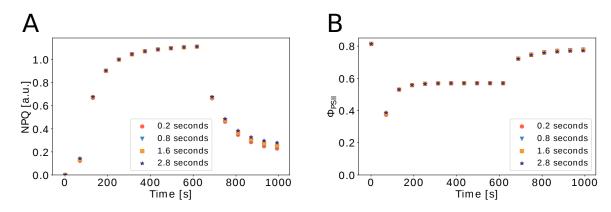


Fig. 7: NPQ and  $\Phi_{PSII}$  values simulated for the standard PAM induction protocol with varying length of the saturation light pulses.

#### 3.5 Model validation

To validate and support our *in silico* analyses we conducted two experiments using plants grown as described in the Method section. The first experiment investigates the impact of the duration of the SP (referred to as SP experiment). The second one focuses on the time point of switching on the AL with the first SP in light-triggered after 1 s (referred to as Delay experiment). As Figs. 8 and 9 show, our simulations are qualitatively in good agreement with the experimental data of NPQ and  $\Phi_{PSII}$  in both experiments.

In the SP experiment the model could reproduce some, yet not all features of the NPQ and  $\Phi_{PSII}$  traces (Figs.8A to 8D). The duration of SP played only a minor role for both experimental determination and simulation of  $\Phi_{PSII}$  (Figs. 8C and 8D). The model and experimental results are in good accordance for  $\Phi_{PSII}$  (see section 3.4), with exception of somewhat faster kinetics of increase predicted by the model compared to the observation

made in overnight dark-adapted plants. For NPQ the computational analysis deviated from 242 the experiment during light induction whereas dark relaxation could be well reproduced 243 (Figs. 8A and 8B). In addition, the experimental data, albeit showing large variations 244 among the replicate plants, indicated that the NPQ value might be influenced by the duration of SP while the simulation did not show such influence (Fig. 8A) 246 These deviations may imply that the model parameters, despite the adjustments described in the Materials and Methods (2.1 Model used for simulations), are still suboptimal 248 for the plants used for the experiments and/or that some mechanisms are not taken into account in the model. However, looking at the 0.8 s pulse data of the SP experiment and 250 the 40 s delay data of the Delay experiment (Fig. 9), for which the same combination of 251 SP duration (0.8 s) and delay (40 s) was used, it becomes evident that the plants in the 252 latter experiment showed NPQ induction and fluorescence traces that were more similar to the simulation (Figs. 8 and 9). We do not know what factor(s) might have led to the 254 different NPQ induction patterns in the two experiments. One possibility is that reactions in photosynthetic induction, which give rise to a highly variable PMST wave of the 256 fluorescence induction curve (Papageorgiou and Govindjee, 1968a,b; Stirbet et al., 2014), 257 contributed to the NPQ variations. It has been suggested that this slow phase of the 258 fluorescence induction curve has multiple complex causes including the activation of the 259 Calvin-Benson-Bassham cycle and state transition between the two photosystems induced 260 by red and far-red light (Stirbet and Govindjee, 2016), both of which are not included in 261 the simplified model used in this analysis. Since we used red AL in our experiments, the ef-262 fect of blue light-induced chloroplast movement on NPQ induction (Cazzaniga et al., 2013) 263 can be ruled out. Clearly, the quality (wavelength) of AL is another important information 264 needed to interpret and simulate NPQ induction. Also the length of dark adaptation prior 265 to the initial F<sub>m</sub> measurement likely plays a role in the systematically different kinetics of

 $\Phi_{\text{PSII}}$  increase upon AL illumination found between the experiment and simulation (Fig.

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268 8).

In the Delay experiment the expected changes in NPQ and  $\Phi_{PSII}$  at the beginning and 269 at the end of the AL phase were observed in the experiment as well as in the simulation 270 (Figs.8E to 8H). These changes are only temporal shifts and do not indicate changes in 271 NPQ activation or relaxation kinetics. Yet, as shown in the computational part, when SPs 272 are not bound to the time of AL but fixed at given time points, the length of delay has an 273 effect on NPQ (Figs. 3B and 4B). Hence, information about the time point of switching 274 on the AL needs to be provided together with the information about the time point of the 275 first SP. Overall, the comparisons between the experimental data and the model simulation 276 shown in Figs. 8 and 9 support the main message of the simulation analysis presented in the sections 3.1 to 3.4, namely the importance to report all the details of the PAM experiment 278 protocol for the sake of reproducibility and data interpretation as well as reusage of the experimental results. Furthermore, Figs. 8 and 9 show that the model (Matuszyńska et al., 280 2016) adequately reproduces the effects of small changes in technical PAM parameters and 281 is able to give hints about which technical details are more important for the description of 282 PAM protocols. When these details are known, deviations of simulations from experimental 283 data can help us in the search for missing factors and mechanisms to improve the model. 284

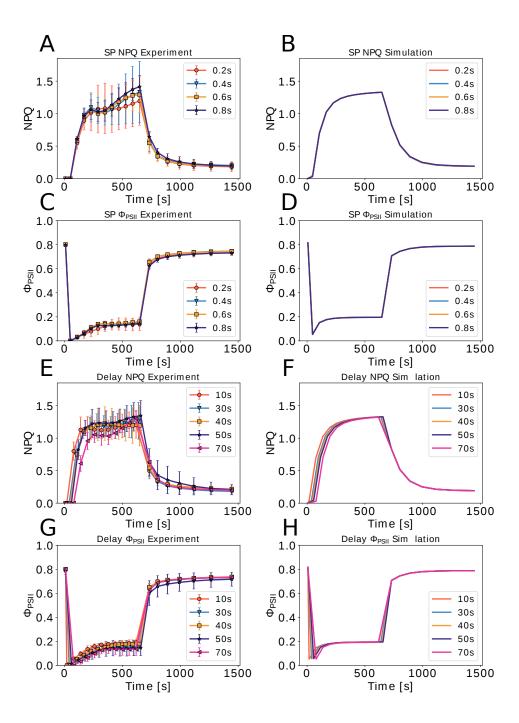


Fig. 8: Comparison of the experimental and simulated NPQ and  $\Phi_{PSII}$  induction and relaxation. 8A to 8D: SP experiment with varying duration of the saturation pulse between 0.2 and 0.8 s. The delay was 40 s for all measurements. 8E to 8H: Delay experiment with varying delay to switch on the actinic light 10s, 30 s, 40 s, 50 s and 70 s after the  $F_m$  measurement. The duration of saturation pulse was 0.8 s for all measurements. Error bars indicate standard deviation (n=4).

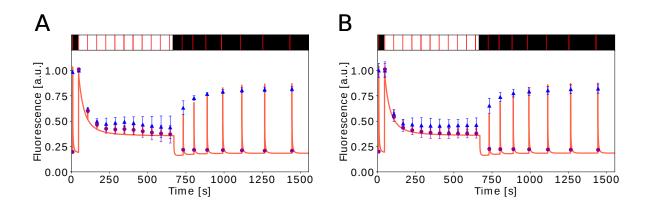


Fig. 9: Comparison of the experimental data and simulated fluorescence traces. 9A: SP experiment with SP duration of 0.8 s and delay of 40 s. 9B: Delay experiment with a delay of 40 s and SP duration of 0.8 s. Blue symbols, mean values of  $F_m$  and  $F_m$ '; purple symbols, mean values of  $F_o$  and  $F_s$ ; line, simulation. Error bars indicate standard deviation (n=4). Black and white bars above the fluorescence data show dark periods and actinic light illumination, respectively. Vertical red lines inside these bars indicate the time points of saturation pulse application.

### 4 Discussion

For decades now, PAM fluorescence measurements are widely used in plant research to assess e.g., plant health, genotypic variation, and effects of mutations. Due to their minimally invasive nature, fluorescence measurements provide a convenient method to assess photosynthetic dynamics in vivo. The power of this spectrometric technique lies in the connection between the yield of fluorescence and numerous intrinsic processes such as NPQ, making it a method of choice for many researchers to study oxygenic photosynthesis (Stirbet and Govindjee, 2011). Detailed understanding of the underlying mechanisms and regulating circuits of the photosynthetic machinery is essential for the improvement of agricultural and horticultural productivity and sustainability, such as better designing of greenhouses, and tailored plant breeding, as well as biotechnological exploitation. It is hence expected that the interest in applying fluorescence techniques will further increase in

the future. In fact, more and more advanced technical devices and methods have been and are being invented that use fluorescence emission to obtain knowledge about the photosynthetic capacities of organisms, ranging from clip-on, leaf-level measurements, using for instance the MultispeQ device (Kuhlgert et al., 2016), to canopy-level measurements with the LIFT technique (Kolber et al. (2005) and proximal or remote sensing of solar-induced fluorescence (Aasen et al., 2019; Mohammed et al., 2019).

In parallel to the experimental efforts, numerous mathematical models simulating the 303 dynamics of photosynthesis, often calibrated to PAM results, have been developed (for a 304 review see Stirbet et al. (2014, 2019)). It was during one of such attempts to reproduce 305 published results of PAM experiments in silico, where it came to our attention that a number of publications using the PAM technique do not provide all technical parameters 307 of the experiments that are needed to simulate the results. Concerned about possible 308 consequences of such omission, we carried out a systematic investigation of the effects of 309 several key technical parameters on the output of PAM measurements. Using NPQ and 310  $\Phi_{\mathrm{PSII}}$ , which are derived from the calculated fluorescence, we have quantified and visualised 311 the differences between our computational experiments where such parameters have been 312 varied. The exact time point of switching on and off the AL, combined with fixed time 313 points of SPs, considerably affects the observed induction and relaxation kinetics of NPQ 314 (Figs. 3 and 4). Because the kinetic information is often used to derive conclusions about 315 photoacclimation and NPQ mechanisms, our simulations underline the importance of re-316 porting the time points of AL and SPs applied in PAM experiments. We also examined 317 the effects of the SP duration and the interval between those on fluorescence traces. While 318 the former seems to have little direct influence on NPQ or PSII yield (Fig. 7), the latter 319 had small but detectable effects on NPQ in low AL regimes (Fig. 6). Importantly, the 320 combination of SP and AL intensities can influence the outcome of experiments substan-321 tially, as visualised by the steady-state NPQ landscape in Fig. 5. These mathematical 322

simulations clearly demonstrate the importance of full disclosure of technical details. In fact, this conclusion holds true for many experimental studies, not only for PAM mea-324 surements. To exclude the possibility that it is the structure of the model that causes the 325 observed differences, we repeated our simulations with another model of photosynthesis (Ebenhöh et al., 2014). Using this model, which has been developed with a focus on state 327 transitions, another acclimation mechanism, still obtained similar results, suggesting that 328 the simulated effects are not attributable to model structure (the analysis can be found 329 in the same repository https://gitlab.com/qtb-hhu/fluopam). Moreover, we validated the 330 in silico findings by conducting PAM experiments focusing on variations of the time point 331 of switching on AL and the duration of the SP (Fig. 8). The predicted impact of these 332 variations was largely in accordance with the experimental results. Although some level of 333 reproducibility may be achieved between experiments by simply using the default settings 334 of commercial instruments, this does not justify the omission of the details of experimental 335 protocols. Especially, mathematical models and computational simulations have no de-336 fault values, hence any missing information hinders further replication of studies. Without 337 the necessary information, even a basic experiment cannot be properly simulated. The 338 analysis presented above highlights the particular consequences of not providing detailed 339 technical information about experimental protocols on the results of computational simu-340 lations of PAM Chl fluorescence traces of a photosynthetic organism. We hope that this 341 article — our response to the "reproducibility crisis" — will reach a broad plant science 342 community. We strongly encourage all readers, not only PAM users, to carefully and crit-343 ically assess reporting practice to ensure independent replicability of experiments and to 344 enable exploitation of results in the era of data science. As many have stated before us, the first step to increase reproducibility is to "increase the quality of protocol reporting 346 " (Jessop-Fabre and Sonnenschein, 2019). We will not solve the problem of unreproducible and unreplicable research unless we provide and share all required information, even those 348

seemingly minor and irrelevant ones. Finally, it is critical to reach an agreement on the min-349 imal information that should be mandatorily given in the description of PAM experiments. 350 This kind of agreements have already been made in the field of proteomics (MIAPE, Taylor 351 et al. (2007)), next-generation sequencing (MINSEQE, http://fged.org/projects/minseqe/, 352 accessed on: 16<sup>th</sup> April 2021) and microarray analysis (MIAME, Brazma et al. (2001)), 353 in which databases are used extensively to analyse results of individual studies or selected 354 sets of studies in form of Open Science. Also in the field of photosynthesis, PhotoSynQ is 355 exploring a worldwide data sharing and analysis platform by creating low-cost devices and 356 web-linked tools to collect and analyse data, exchange measurement protocols, or perform 357 meta-analysis for registered users (https://www.photosynq.com/, accessed on: 6<sup>th</sup> May 2021). A similar platform and database, where users can freely share experimental results 359 and protocols, even including model algorithms for simulation, can be envisaged to promote the exploitation of PAM data and to accelerate knowledge exchange. For the realisation of 361 such platforms, the data stored there must fulfill the minimal requirements to provide the 362 information necessary for reproducing the experiments. Obviously, the information would 363 comprise technical details of measurement protocols, as shown by the experiments and 364 computational analyses of this article, as well as descriptions of plant materials, growth 365 conditions, and treatments (Materials and Methods). Albeit outside the focus of this arti-366 cle, the importance of the latter information and the challenge to reproduce experimental 367 results in plant research have been demonstrated by the joint experiments of 10 laborato-368 ries in the European AGRON-OMICS project (Massonnet et al., 2010). As was done in the 369 aforementioned omics communities, a concrete and practical list of minimum information 370 needs to be elaborated for PAM experiments by a consortium involving experimental and 371 data scientists. By committing to such common standards and open databases, we will 372 both contribute to and benefit from transparent, integrative, and interactive science in our 373 research fields. 374

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### 385 Author contribution

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Conceptualization: AM, OE; Data curation: TN; Formal analysis: TN, AM; Funding acquisition: SM, OE, AM; Investigation: TN, YN; Methodology: TN, YN, SM, OE, AM; Project administration: TN; Resources: SM, OE, AM; Software: TN, AM; Validation: TN, YN, SM, AM; Visualization: TN, AM; Writing - original draft: TN, AM; Writing - review & editing: TN, YN, OE, SM, AM.

## Data Availability Statement

The code to reproduce the computational analysis and the experimental data that support the findings are openly available on the GitLab repository https://gitlab.com/qtbhhu/fluopam or can be requested from the authors.

### 5 Conflict of interest

The authors declare that they have no conflict of interest.

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# $_{\scriptscriptstyle{519}}$ Table legends

Table 1: Parameters and descriptions of quantities derived from PAM fluorescence measurements in photosyhthetic organisms (Maxwell and Johnson, 2000; Murchie and Lawson, 2013).

Table 2: Parameters of the reference PAM protocol used for the simulations.

# Figure legends

Fig. 1: The basic principle of fluorescence and PAM induction measurement. In this protocol, a dark-adapted plant is exposed to actinic light (AL, white area shown in the light bar, L) followed by dark recovery (black area in L). Multiple saturating light flashes (saturation pulses, SP) are applied before, during and after the actinic illumination to measure the maximal fluorescence  $F_m$ ,  $F_m'$ ,  $F_m''$ , respectively. Light energy absorption brings chlorophyll molecules to the first excited state (S<sub>1</sub>, see the simplified Jablonsky diagram in the upper panel on the left). For chlorophylls to return to the ground state (S<sub>0</sub>), the absorbed energy can be used for charge separation and photosynthesis (photochemical quenching, qP), dissipated as heat (non-photochemical quenching, NPQ), or re-emitted as fluorescence. The fluorescence emission spectra are red-shifted from the absorption spectra (upper panel on the right) and can be detected using fluorometers with corresponding optical filters. The emitted fluorescence signal is recorded, as shown in the lower panel with key readouts. Based on Müller et al. (2001); Lichtman and Conchello (2006); Murchie and Lawson (2013). Created with BioRender.com

Fig. 2: Example of the simulated PAM induction measurement with a saturation pulse protocol used in this work. Fig. 2A: the output of a typical simulated PAM fluorescence trace obtained for the reference parameters from Table 2. The dark/light/dark phases and times points of saturation pulses (in red) are indicated in the upper panel. Fig. 2B and 2C: the variables NPQ and  $\Phi_{PSII}$ , respectively, which are both derived from the simulated fluorescence.

- Fig. 3: Variation of the time point of switching on the AL. Fig 3A: excerpts of full fluorescence traces. The time point of switching on the AL is varied while the time points of applying SP are kept unchanged. From left to right: 10 s, 30 s, 50 s, and 70 s after the first SP to determine  $F_m$ . The vertical dashed lines indicate that the fluorescence traces continue. Fig. 3B: derived NPQ values. Fig. 3C: yield of photosystem II ( $\Phi_{PSII}$ ).
- Fig. 4: Variation of the time point of switching on and off the AL. Fig. 4A: excerpts of full fluorescence traces. The time point of switching on and off the AL is varied while the time points of applying SP are kept unchanged. From left to right 10 s, 30 s, 50 s, and 70 s after first SP for  $F_m$  and a corresponding shift of the last SP in the AL, respectively. Vertical dashed lines indicate that the fluorescence traces continue. Fig. 4B: derived NPQ values. Fig. 4C: yield of photosystem II ( $\Phi_{PSII}$ ).
- Fig. 5: Steady-state NPQ values (derived at the last SP in the AL environment) simulated for various combinations of AL and SP intensities.
- Fig. 6: NPQ and  $\Phi_{PSII}$  values simulated for the standard PAM induction protocol with varying time intervals between two consecutive SPs. 6A and 6A: with AL intensity of 500  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup>. 6C and 6D: with AL intensity of 100  $\mu$ mol·s<sup>-1</sup>m<sup>-2</sup>
- Fig. 7: NPQ and  $\Phi_{PSII}$  values simulated for the standard PAM induction protocol with varying length of the saturation light pulses.
- Fig. 8: Comparison of the experimental and simulated NPQ and  $\Phi_{PSII}$  induction and relaxation. 8A to 8D: SP experiment with varying duration of the saturation pulse between 0.2 and 0.8 s. The delay was 40 s for all measurements. 8E to 8H: Delay experiment with varying delay to switch on the actinic light 10s, 30 s, 40 s, 50 s and 70 s after the  $F_m$  measurement. The duration of saturation pulse was 0.8 s for all measurements. Error bars indicate standard deviation (n=4).

Fig. 9: Comparison of the experimental data and simulated fluorescence traces. 9A: SP experiment with SP duration of 0.8 s and delay of 40 s. 9B: Delay experiment with a delay of 40 s and SP duration of 0.8 s. Blue symbols, mean values of  $F_m$  and  $F_m$ '; purple symbols, mean values of  $F_o$  and  $F_s$ ; line, simulation. Error bars indicate standard deviation (n=4). Black and white bars above the fluorescence data show dark periods and actinic light illumination, respectively. Vertical red lines inside these bars indicate the time points of saturation pulse application.