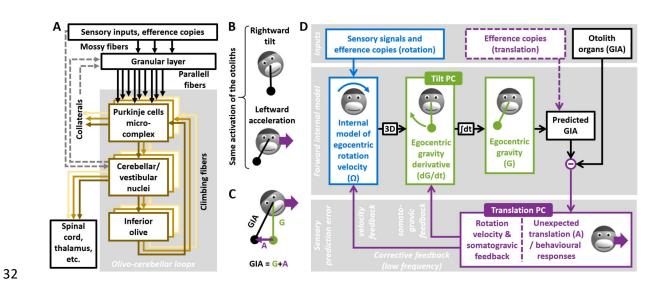
1	Two functionally distinct Purkinje cell populations implement an internal
2	model within a single olivo-cerebellar loop
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7	
8	Abstract
9	Olivo-cerebellar loops, where anatomical patches of the cerebellar cortex and inferior olive project
10	one onto the other, form an anatomical unit of cerebellar computation. Here, we investigated how
11	successive computational steps map onto olivo-cerebellar loops. Lobules IX-X of the cerebellar vermis,
12	i.e. the nodulus and uvula, implement an internal model of the inner ear's graviceptor, the otolith
13	organs. We have previously identified two populations of Purkinje cells that participate in this
14	computation: Tilt-selective cells transform egocentric rotation signals into allocentric tilt velocity
15	signals, to track head motion relative to gravity, and translation-selective cells encode otolith
16	prediction error. Here we show that, despite very distinct simple spike response properties, both types
17	of Purkinje cells emit complex spikes that are proportional to sensory prediction error. This indicates
18	that both cell populations comprise a single olivo-cerebellar loop, in which only translation-selective
19	cells project to the inferior olive. We propose a neural network model where sensory prediction errors
20	computed by translation-selective cells are used as a teaching signal for both populations, and
21	demonstrate that this network can learn to implement an internal model of the otoliths.

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Introduction

Theories developed over the last decades (Ito, 2006; Kawato, 1999; Wolpert et al., 1998a, 1998b) have proposed that the cerebellum implements forward internal models that predict sensory inflow based on internal representations of the world and our body. Sensory predictions are then compared to actual sensory afference. In the event of mismatches, the resulting sensory prediction errors drive corrective feedback mechanisms to update internal representations and guide perception and action. On a longer time scale, these errors drive learning mechanisms to acquire or calibrate the internal models (Herzfeld et al., 2018; Kimpo et al., 2014; Lisberger, 1988; Nguyen-Vu et al., 2013).



33 Figure 1: Olivo-cerebellar loops, and internal model computations for processing otolith signals. A: 34 Neural pathways and information processing in olivo-cerebellar loops. Sensory inputs and efference 35 copies reach the cerebellum though mossy fibers and are processed in the granular layer. Granule cells 36 convey this information to PCs though parallell fibers. PCs are anatomically clustered in microzones, 37 and several microzones participating to a single olivo-cerebellar loop form a microcomplex. Further 38 pathways exist in the vestibular circuitry: primary afferents also reach the vestibular nuclei, which project to the granular layer, and PCs may project collaterals to the granular layer. **B**: Ambiguity of the 39 40 otolith organs. The otolith organs are analogous to a pendulum, whose position is sensed in egocentric 41 head coordinates. Rightward head tilt or leftward acceleration cause a rightward deviation of the 42 pendulum relative to the head, resulting in an identical activation of the otoliths. C: Mathematical formulation of the ambiguity. The otoliths sense the gravito-inertial acceleration (GIA), expressed as 43 44 GIA=G+A where G is the gravity vector and A a vector opposite to the linear acceleration (this 45 convention is chosen for clarity purposes). The brain may resolve the ambiguity by tracking G, and computing A by subtraction (A=GIA-G). D: Internal model computations for otolith information 46 47 processing. See text for description.

48 Cerebellar computations are implemented by olivo-cerebellar loops (Fig. 1A) (Apps et al., 2018; Apps 49 & Garwicz, 2005; Chaumont et al., 2013; De Zeeuw et al., 2011; Ozden et al., 2009; Sugihara & Quy, 50 2007), within which a group of Purkinje Cells (PCs) in the cerebellar cortex project simple spikes (SS) 51 to a group of cells in the cerebellum's output nuclei (the deep cerebellar nuclei, DCN, and vestibular 52 nuclei, VN). These nuclei project throughout the nervous system to control behaviour, and to a group 53 of Inferior Olive (IO) neurons that projects back to the cerebellar cortex. IO neuron influence on PCs 54 induces Complex Spikes (CS) that act as teaching signals to drive cerebellar learning (Herzfeld et al., 55 2018; Kimpo et al., 2014; Lisberger, 1988; Nguyen-Vu et al., 2013). PCs within an olivo-cerebellar loop 56 are anatomically clustered in sagittally oriented microzones of several hundred microns in length and 57 tenths of microns in width (Kostadinov et al., 2019; Ozden et al., 2009; Valera et al., 2016). An olivo-58 cerebellar loop can be formed by multiple microzones that receive similar projections from the IO, 59 and collectively form a multizonal microcomplex (Apps & Garwicz, 2005; Cerminara et al., 2020) : in 60 this study we will use the term 'microcomplex' to refer to a set of PCs receiving identical IO projections, 61 and 'loop' to refer to the network of cortical, nuclear and IO neurons communicating with a 62 microcomplex.

63 Studies to date have pioneered the 'microcomplex' as a fundamental unit of cerebellar computation, e.g. during saccadic eye movements (Herzfeld et al., 2015, 2018), tactile reflexes (Apps & Garwicz, 64 65 2005; Cerminara et al., 2020; Ekerot et al., 1991; Garwicz et al., 1998) or cognitive tasks (Kostadinov 66 et al., 2019). This has led to the notion that identifying PCs that receive identical IO inputs (i.e. 67 participate in the same microcomplex) allows parsing the cerebellar cortex into elementary 68 computation units (Herzfeld et al., 2015, 2018; Shadmehr, 2020). However, how to map such multi-69 variable computations onto olivo-cerebellar loops raises fundamental questions. One possibility is that 70 each variable is represented by a different microcomplex such that multivariable computations are 71 implemented by parallel loops, each computing one variable. Alternatively, it is also possible that PCs 72 encoding fundamentally distinct variables may exist in a single microcomplex. Such a finding would 73 depart from the traditional view where one loop computes one variable and suggest that individual 74 microcomplexes can perform sequences of operations: Functionally distinct PCs perform distinct 75 computations using common teaching signals.

76 To distinguish between these two hypotheses we take advantage of a multivariable cerebellar 77 computation based on an internal model of self-motion, already widely studied in the literature (Fig. 78 1B-D) (Borah et al., 1988; Bos & Bles, 2002; Glasauer & Merfeld, 1997; Karmali & Merfeld, 2012; 79 Laurens & Angelaki, 2011, 2017; Laurens & Droulez, 2007; Merfeld, 1995; Oman, 1982; Ormsby & 80 Young, 1977; Zupan et al., 2002). A unique advantage of this system is the ability to map complex, but 81 well-understood, algorithmic computations implementing an internal model of the inner ear's inertial 82 motion sensors, the otolith organs, into a cerebellar circuit that includes lobules X and IX of the 83 cerebellar vermis (Nodulus and Uvula; NU) (Laurens et al., 2013a, 2013b; Laurens & Angelaki, 2020; Stay et al., 2019; Yakusheva et al., 2007, 2008, 2013). 84

Specifically, the otolith organs sense the sum of gravitational (G) and linear accelerations (A), which are physically indistinguishable (Einstein, 1907), in head coordinates (**Fig. 1 B,C**). The otolithic signal is therefore inherently ambiguous. Nevertheless, this ambiguity can be resolved by using additional 88 sensory information and motor inference copies to predict the two components of otolith activation, 89 gravity (G) and translational acceleration (A). On the one hand, the gravitational component G can be 90 predicted by tracking head rotation relative to gravity (Fig. 1D, green). A portion of the head's internal 91 model of motion (Fig. 1D, blue; not developed here for simplicity; (see (Karmali & Merfeld, 2012; 92 Laurens & Angelaki, 2011, 2017) for details), senses head rotation velocity (Ω) in an egocentric frame 93 of reference. The internal model converts Ω into allocentric velocity relative to gravity (block marked 94 '3D' in Fig. 1D), which is equivalent to the derivative of gravity in head coordinates (dG/dt). This signal 95 is integrated over time (block marked ' \int ' in **Fig. 1D**) to estimate the gravity vector in head coordinates (G). On the other hand, head translation may be derived directly from motor efference copies during 96 97 active translation (Fig. 1D, violet, broken lines), but is unpredictable during passive movements.

98 Altogether, the internal model can predict otolith signals during active tilt and translations (based on 99 motor commands), or during passive tilt (based on rotation signals). Thus, otolith prediction errors 100 occur during passive translations, or if tilt signals are erroneous, which can occur because of sensory 101 noise or incorrect rotation signals from the canals. Since these tilt errors are generally smaller and 102 scarcer, the brain preferentially interprets otolith prediction errors as translation. Accordingly, otolith 103 prediction errors induce a perception of translation and the corresponding stabilizing eye movements, 104 irrespective of whether the prediction error originates from an actual translation or an artificially 105 generated incorrect canal signal (Angelaki et al., 1999; Hess & Angelaki, 1999; Khosravi-Hashemi et al., 106 2019; Merfeld et al., 1999). Otolith prediction errors also trigger low-frequency feedback (Fig 1D, 107 violet) that gradually correct the underlying rotation signals and tilt estimates.

108 Based on SS responses exclusively, two populations of PCs were identified that perform distinct steps 109 in the internal model's computation. First, translation-selective cells (Fig. 1Ds) encode otolith 110 predictions error (Laurens et al., 2013a, 2013b; Laurens & Angelaki, 2020; Stay et al., 2019; Yakusheva 111 et al., 2007, 2008, 2013). These cells respond selectively to passive translation, indicating that they (i) 112 receive otolithic inputs, (ii) are cancelled by tilt signals originating from rotation sensing (Fig. 1D; 113 (Laurens et al., 2013b) and (iii) encode sensory prediction errors that result from artificial canal 114 stimulation (Laurens et al., 2013a). Critically, the responses of translation-selective cells in the VN and DCN are attenuated during active head translations (Carriot et al., 2013; Mackrous et al., 2019), a 115 116 finding that confirms that the internal model uses efference copies to predict otolith signals.

Second, another PC type in the NU encodes tilt velocity (Fig. 1D, tilt-selective cells; (Hernández et al.,
2020; Laurens et al., 2013b; Laurens & Angelaki, 2020; Stay et al., 2019)). These cells modulate more
during tilt than translation in phase to tilt velocity (Laurens & Angelaki, 2020). Importantly, 3D motion

stimuli have revealed that these cells encode transformed rotation signals (dG/dt), and not egocentric
 rotation velocity (Ω) (ref).

122 Despite a good understanding on the properties of SS responses, little is currently known about CSs, 123 which are fundamental for understanding the organisation of the corresponding cerebellar circuits. 124 Previous CS studies were limited to rotation stimuli (Barmack & Shojaku, 1995; Fushiki & Barmack, 125 1997; Kitama et al., 2014; Yakhnitsa & Barmack, 2006), or only characterized translation-selective cells 126 (Yakusheva et al., 2010). A crucial, yet unanswered, question is whether CS firing is different in tilt-127 selective and translation-selective cells: this would imply that there are two distinct cerebellar loops. 128 Alternatively, if tilt-selective and translation-selective cells exhibit similar CS firing, they may comprise 129 a single loop using the same teaching signals.

Here, we analysed the CS firing of both tilt- and translation-selective cells during combinations of tilt and translation stimuli, as well as 3D motion (Laurens et al., 2013a, 2013b). Surprisingly, we found that the CS firing of both cell types is identical, and occurred specifically during translation. This indicates that the teaching signal to both cell types is driven by otolith prediction error, which is the output of the internal model implemented by the NU. We interpret these findings in the context of a previously proposed learning rule (Dean et al., 2002, 2010; Dean & Porrill, 2014), and validate our interpretation by simulating a neural network model that learns to discriminate tilt from translation.

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Results

138 We analysed CSs of 66 out of 211 Purkinje cells recorded in lobules IX-X of the cerebellar vermis (Laurens et al., 2013a, 2013b) that could be identified consistently across trials and followed by a 139 140 pause in SS activity for at least 10 ms. Neurons were recorded during sinusoidal translation (Fig. 2A, 141 left) and tilt (Fig. 2A, middle) at 0.5 Hz (Angelaki et al., 1999, 2004; Laurens et al., 2013a, 2013b; Shaikh 142 et al., 2005; Yakusheva et al., 2007, 2008, 2013), which activated the otoliths identically (Fig. 2A, GIA). A few cells were also recorded during: (1) out-of-phase tilt and translation (tilt-translation, Fig. 2A, 143 144 right), where linear acceleration and tilt cancel each other, such that the otoliths are not activated but 145 the canals sense velocity; and (2) in-phase tilt + translation (not represented in figures; see (Laurens 146 et al., 2013b; Laurens & Angelaki, 2016)). We used a spatio-temporal tuning model (Laurens et al., 147 2013b; Laurens & Angelaki, 2016) together with a bootstrap test to classify cells as translation-148 selective (larger response to translation), tilt-selective (larger response to tilt), GIA-selective (same 149 response to tilt and translation, similar to otolith afferents), composite (cells who could not be 150 classified in one of these categories) or non-responsive.

151 Example cells

Responses of example tilt- and translation-selective neurons are shown in **Fig. 2**. The translationselective cell (**Fig. 2B,C**) shows vigorous SS response during translation (**Fig. 2B,C**, left), but not during tilt (**Fig. 2B,C**, middle). During translation, the cell fired CSs during the trough of the SS response (**Fig. 2B,C**, left, marked by cyan dots). In contrast, the phase-locked firing was weaker during tilt (**Fig. 2B,C**, middle). During tilt-translation (**Fig. 2B,C**, right), SSs and CSs maintained their phase relationship relative to the translational component of the stimulus, as expected if they were both driven by translation.

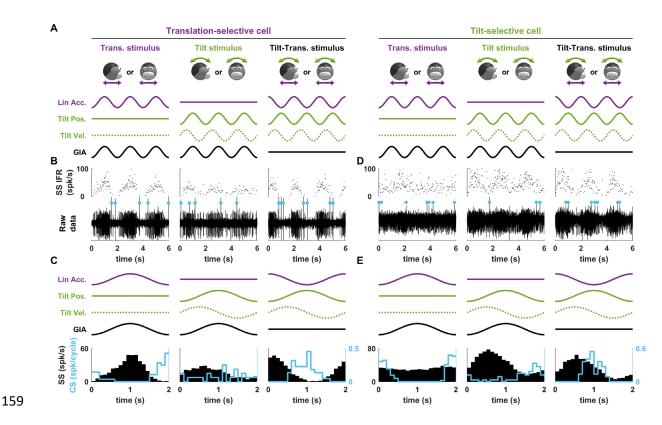
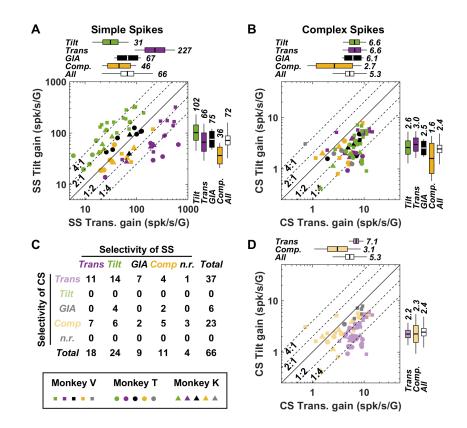


Figure 2: Representative Purkinje cells during tilt/translation. A: illustration of the motion stimuli.
Violet and solid green curves: inertial acceleration and tilt position; the sum gives the GIA (black). Tilt
velocity is indicated by broken green curves. B: Spiking activity of a translation-selective cell. Bottom
traces show the raw extracellular voltage. CSs are marked by cyan dots. Upper traces show
instantaneous firing rate (IFR) of the SSs. C: Average firing histograms of SSs (black) and CSs (cyan).
D,E: Spiking activity and average firing of a representative tilt-selective cell (layout as in B,C).

The second example neuron (**Fig. 2D,E**) is representative of tilt-selective cells (Laurens et al., 2013b; Laurens & Angelaki, 2020; Stay et al., 2019): SS modulation was higher during tilt compared to translation (**Fig. 2D,E**, middle versus left). Consistently, groups of 2-3 CSs occurred at regular phases during each cycle of translation (**Fig. 2D**, left), such that a clear CS modulation occurred during translation (**Fig. 2E**, left). In contrast, CS modulation was weaker during tilt (**Fig. 2E**, middle). During tilt-translation (Fig. 2D,E, right), when SSs occurred during tilt (Fig. 2E, right versus middle), CS
modulation maintained its phase with respect to the translational component of the stimulus. Thus,
this cell's CS firing (Fig. 2E) was locked to head translation, and conspicuously similar to that of the
translation-selective cell (Fig. 2C).



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176 Figure 3: Response modulation and classification of the SS and CS firing across the population of PCs. 177 A: Tilt versus translation response gain of SS firing. Cells are color-coded based on their classification (green, violet, back and yellow: tilt-, translation-, GIA-selective and composite). Marker shapes indicate 178 179 the animal in which cells were recorded (see legend on lower left corner). The boxes and whisker plots represent geometrical average (box center), 95% confidence interval (box) and standard deviation 180 181 (whiskers) of the gain for each cell type and all cells together (white). Broken black lines parallel to the diagonal represent the level at which tilt response gain is 4x, 2x, 1/2x and 1/4x the translation response 182 gain. B: Tilt versus translation response gain of CS firing. Cells are color-coded based on their SS 183 184 classification, i.e. as in A. C: Contingency matrix between the classification of SS and CS response 185 sensitivity. **D**: Tilt versus translation response gain of CS firing, as in B, but with cells classified based 186 on their CS response (green, violet, grey and yellow: tilt-, translation-, GIA-selective and composite).

187 <u>SS and CS response gains</u>

Consistent with previous studies (Laurens et al., 2013b, 2013b; Laurens & Angelaki, 2020; Stay et al.,
2019), cells were classified based on their SS response gain to tilt and translation, computed along the

190 preferred direction (PD) and expressed in identical units of spk/s/G. By definition, the gains of 18/62 191 cells (29%) translation-selective cells appear below the diagonal (Fig. 3A, violet) since they respond more to translation, spanning a range of 100 to 1000 spk/s/G. The gains of 24/62 (39%) tilt-selective 192 193 cells appear above the diagonal (Fig. 3A, green), spanning 20 to 300 spk/s/G during tilt, but orders of 194 magnitude smaller during translation. GIA-selective and composite cells (20/62, 32%) lie close to the 195 diagonal. These proportions and ranges of response gains resemble those reported by the broader 196 cell population (Laurens et al., 2013b), indicating that the cells analysed here are representative of the 197 full population. Furthermore, they are similar to the population responses of subsequent studies using 198 stimuli based on Gaussian (rather than sinusoidal) temporal profiles (Laurens & Angelaki, 2020) or 199 recorded in mice (Stay et al., 2019).

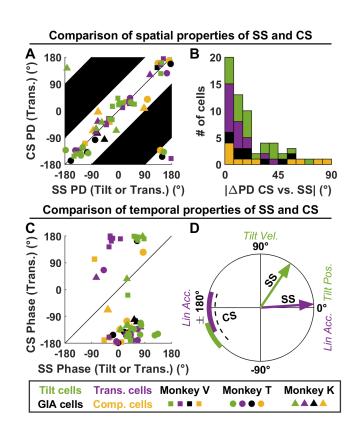
200 We next examined the modulation gain of CS. In Fig. 3B, neurons are color-coded based on the 201 selectivity of their SS response, i.e. as in Fig. 3A. Remarkably, most neurons, including all tilt-selective 202 cells (green), appeared below the diagonal. Thus, like the examples in Fig. 2, the CS modulation of 203 both translation- and tilt-selective cells was higher during translation than tilt. In fact, the average CS 204 modulation of tilt-, translation- and GIA-selective cells were identical during translation (6.6, 6.6 and 205 6.1 spk/s/G respectively, Fig. 3B, upper box plots; p=0.79, Krusal-Wallis non-parametric ANOVA) and 206 also during tilt (2.6, 3 and 2.5 spk/s/G respectively, Fig. 3B, rightward box plots; p=0.65, Krusal-Wallis 207 non-parametric ANOVA). CS response gains appeared weaker and more variable in composite cells 208 (Fig. 3B, yellow boxes) and in cells whose SS didn't exhibit a significant modulation (Fig. 3B, grey 209 markers).

210 To evaluate whether CS modulation is significant on a cell-by-cell basis, we used the same classification 211 method used for SSs. We found that the majority of CS responses (37/66, 56%, Fig. 3C) was 212 independently classified as translation-selective, including most (14/24, 58%) cells that were classified 213 as tilt-selective based on their SSs. These cells appear in violet in Fig. 3D. CS modulation was similar 214 during tilt and translation in a few cells (6/66, 9%, Fig. 3C; grey markers in Fig. 3D). In the rest of the 215 population of cells (23/66, 35%), CS were classified as composite, indicating that they responded to 216 combinations of tilt and translation (Fig. 3C; yellow markers in Fig. 3D). Translation responses were 217 still larger than tilt responses in the majority (18/23) of these cells. Remarkably, no CS response was 218 classified as tilt-selective. This analysis confirms that CS are generally modulated during translation 219 and not during tilt, regardless of the selectivity of SS responses.

220 Spatio-temporal relationships of SS and CS firing

We next investigated whether SS and CS responses are spatially and temporally matched. All cells were recorded along the forward-backward and lateral directions, allowing us to reconstruct the 223 neuron's tuning curve along all directions (see (Green et al., 2005; Laurens & Angelaki, 2016) for 224 details) and determine the direction along which its response is maximal (PD). These PD are 225 determined separately for SSs and CSs; and we test whether they are aligned in Fig. 4A. Note that SSs 226 and CSs may occur along the same axis, but in anti-phase (e.g. as in **Fig. 2C**). In this case, it is equivalent 227 to state that they have similar PD and opposite phase, or that they have similar phase and opposite 228 PD. We adopt the former convention here: as a consequence, the difference in PD between SSs and 229 CSs is never higher than 90°, and the corresponding area is blacked out in Fig. 4A. Note also that, since 230 CSs are modulated during translation in tilt-selective cells, we compare the PD and phase of SSs during 231 tilt to the PD and phase of CSs during translation in tilt-selective cells. For all other cell types, we 232 compare SS and CS responses during translation. Note also that PDs are computed relative to the 233 direction of the GIA, which is the stimulus activating the otoliths. For instance, a rightward tilt and 234 leftward acceleration activate the otoliths in the same manner (Fig. 1B) and therefore correspond to the same PD. 235

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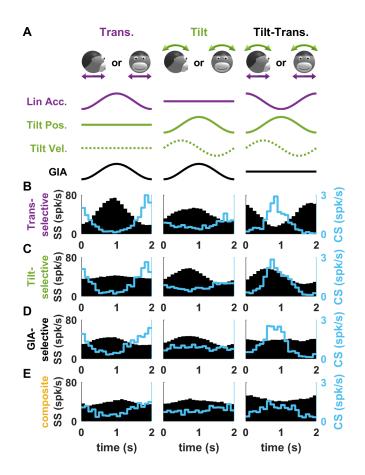
Figure 4: Spatio-temporal comparison of SS and CS responses. A: Comparison of the PD of SSs (during tilt in tilt-selective cells and translation in other response groups) and CSs (during translation in all groups). Note that, by convention, the PD of SS and CS are never more than 90° apart (see text; the corresponding areas are marked in black). B: Histogram of the PD differences, measured as in A. C: Comparison of the response phase of SSs (during tilt in tilt-selective cells and translation in other response groups) and CSs (during translation in all groups) during motion along the PD (defined as in
A). D: Average response phase of SS (arrow) and CS (sectors representing confidence intervals) in tiltand translation-selective cells.

We found that the spatial properties of SS and CS were closely aligned. Indeed, their PDs clustered tightly along the diagonal in **Fig. 4A**. To measure how closely the PDs of SSs and CSs align, we computed the absolute difference between them (**Fig. 4B**): this difference can range between 0° (when PDs are aligned) and 90° (when they are orthogonal), and would be distributed uniformly if the PDs of SSs and CSs were independent. We found that this difference was concentrated close to 0° (**Fig. 4B**; median: 14°, [10 19] CI; Kolmogorov-Smirnov test against uniform distribution: p<10⁻¹⁰), which confirm that the PDs of SSs and CSs typically align closely.

253 We next examined the response phase of SSs and CSs. In line with our findings in (Laurens et al., 2013b; 254 Laurens & Angelaki, 2020), the SS response phase of translation-selective cells was close to peak 255 acceleration (Fig. 4C, violet; Fig. 4D, violet arrow), and that of tilt-selective cells was close to tilt 256 velocity (Fig. 4C, green; Fig. 4D, green arrow). In contrast, we found that the CS response phase during translation clustered tightly close to -180° in both translation-selective cells (Fig. 4C,D; mean: -175°, [-257 258 198 -152] CI) and tilt-selective cells (Fig. 4C,D; mean: -154°, [-173 -135] CI). This confirms that the CS 259 response of the entire population is homogenous in term of response phase, and identical in tilt- and translation-selective cells. 260

261 <u>The tilt/translation discrimination microcomplex</u>

Previous studies (Herzfeld et al., 2015; Shadmehr, 2020) have proposed that groups of PC within a microcomplex, i.e. group of PCs that receive similar IO inputs, form a unit of cerebellar computation. Our results indicate that microcomplexes in the NU are formed by mixtures of tilt-, translation-, GIAselective and composite PCs. In the next analysis, we pooled our data to compute of CS and SS responses of average PCs within a NU microcomplex.

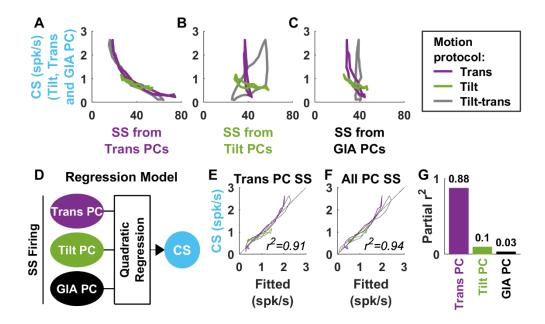


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Figure 5: Average SS and CS firing histograms of PCs belonging to a common microcomplex. A:
 Illustration of the motion stimuli and variables. B-E: Firing histograms of PC belonging to all response
 groups. Black histograms: SS firing. Blue histograms: CS firing.

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272 To do so, we computed the PD of each cell CS, and computed the SS and CS firing histograms across all trials collected within ±45° of the PD. This allowed us to 'spatially align' the firing of PCs with various 273 274 PD and to average their CS and SS responses. In agreement with Fig. 3, 4, we found that the CS 275 response profile of translation-, tilt- and GIA-selective cells are highly similar (Fig. 5B-D, cyan). 276 Furthermore, the average SS responses of both translation- and tilt-selective cells followed the typical 277 pattern of cells in these categories, with translation-selective cells encoding linear acceleration and 278 tilt-selective cells encoding tilt velocities. This indicates that, within a microcomplex, translation- and 279 tilt-selective PC have homogenous SS responses such that their activity may be pooled to form a 280 'super-PC' (Apps et al., 2018). In contrast, the SS response modulation of GIA-selective and composite 281 cells was modest, indicating that these groups may not form coherent populations.



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283 Figure 5S1: CS firing can be predicted based on SS from translation-selective cells. A-C: CS response 284 (from Fig. 5B-D; averaged across tilt-, translation- and GIA-selective PC) versus SS response of translation-selective (A), tilt-selective (B) and GIA-selective PC (from Fig. 5B). There is a clear inverse 285 286 relationship between CS and the SS from translation-selective cells (A). Importantly, this relationship 287 holds across all motion protocols, including tilt (green). In contrast, there is no consistent relation between the CS and the SS of tilt- and GIA-selective cells. Therefore, it appears that CS firing can be 288 289 predicted based on the SS of translation-selective cells only. D: To test this, and investigate the SS of 290 tilt- of GIA-selective cells can make any significant contribution to predicting CS firing, we perform a 291 multiple regression analysis where SS are the predictors and CS the dependent variable. We use a 292 quadratic regression to account for the curvature of the curves in A. E,F: Relation between fitted 293 (abscissae) and measured (ordinate) CS firing when the regression uses the SS of translation-selective cells (E) or of all cells (F) as a predictor. The high r^2 score in (E) indicates that SS from translation-294 295 selective cells explain CS firing accurately, and increases only marginally in (F), indicating and SS from 296 other cell types provide little additional information. G: Partial correlation analysis: based on the same 297 rationale as panels E-F, each variable's partial r² reflects how much adding this variable to the others increase the regression's overall r^2 . The partial r^2 of SS from translation-selective PC is high and 298 299 significant ($p < 10^3$, shuffling test), whereas the partial r^2 of SS from other cell types is not significantly 300 higher than expected by chance (p=0.064 and p=0.41). Thus, from a statistical point of view, the SS of 301 tilt- and GIA-selective cells don't contribute significantly to predicting CS firing.

In most cell groups (translation-, tilt- and GIA-selective PCs), CSs occur predominantly during
 translation, suggesting that IO regions that innervate the NU are under the control of translation selective PCs. Yet, CSs also exhibited a smaller but visible modulation during tilt. Does it indicate that

305 the same IO regions are also under the control of tilt-selective PC? We note that translation-selective 306 cells also modulate to a limited extent during tilt (Fig. 5B). Therefore, it is possible that translation-307 selective cells alone control the IO, and are sufficient to account for the modulation of CS during tilt. 308 To test this possibility, we conducted a multiple regression analysis between SS and CS firing (Fig. 5S1). 309 We found that using the SS activity of translation-selective PC predicts CS modulation during both 310 translation and tilt, and that adding the SS activity of tilt-selective cells as predictors didn't improve the fitting significantly. There is therefore no evidence that tilt-selective cells influence CS firing in the 311 312 NU.

Thus, in summary, the data in **Fig. 5** offers a synthetic overview of a cell population which putatively constitute a unit of computation in the NU. We will explore the possible architecture of such a circuit further using modelling. Before this step, we will examine CS responses during 3D motion protocols used in (Laurens et al., 2013a, 2013b).

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318 <u>Three-dimensional responses and motion illusions</u>

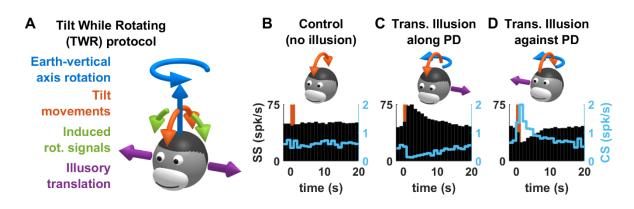


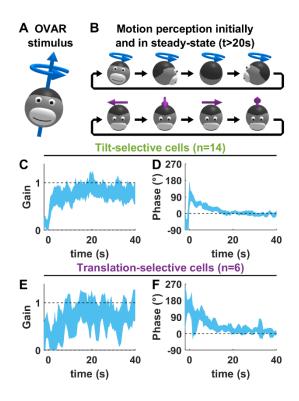
Figure 6: SS and CS responses of translation-selective cells induced by Tilt While Rotating. A:
Rationale of the protocol (see text for details). B-D: SS (black) and CS (cyan) responses during control
tilt (B) or TWR inducing illusory translation along (C) or opposite (D) to the SS PD.

323 We first analysed CS responses of PCs during Tilt While Rotating (TWR; Fig. 6; see (Laurens et al., 324 2013a) for details). TWR consists of alternating tilt (i.e. forward/backward as illustrated in Fig. 6A, 325 orange, or left/right) movements that are superimposed on a constant-velocity rotation about an earth-vertical axis (Fig. 6A, blue). Due to the high-pass filter nature of the semicircular canals, TWR 326 327 induces rotation signals in a direction orthogonal to the actual tilt (e.g. roll; Fig. 6A, green), even 328 though the head doesn't move in this direction. Processing these signals though an internal model produces a sensory prediction error which, according to the internal model framework in Fig. 1D, leads 329 330 to illusory translation (sideward, Fig. 6A, violet). In (Laurens et al., 2013a), we demonstrated that the

331 SS firing of translation-selective PCs increases or decreases when TWR induces illusory translation332 along or opposite to their PD.

333 We analysed the CS firing of a subset of these cells in which CS could be reliably identified: this includes 334 9 translation-selective cells as well as 1 tilt-selective, 3 GIA-selective and 1 composite cell. For simplicity, only the translation-selective cells are included in Fig. 6 (because of the limited number of 335 336 other cells categories, pooling them with translation-selective cells produces identical results). As 337 reported previously (Laurens et al., 2013a), PCs were not modulated by a control condition where tilt 338 movements occurred in the absence of earth-vertical axis rotation (Fig. 6B, black) but discharged SSs 339 when TWR induced illusory translation along their PD (Fig. 6C, black) or were inhibited when TWR 340 induced illusory translation in the opposite direction (Fig. 6D, black). The modulation of CSs followed 341 a reciprocal pattern (Fig. 6C,D, cyan), such that CSs increased when TWR induced an illusory 342 translation opposite to the cell's PD. This observation confirms our hypothesis that CSs are driven by 343 an internal model of head motion that generates illusory translation signals during TWR (Laurens et 344 al., 2013a; Merfeld et al., 1999). It also confirms that CSs occur in opposition to SSs, a point which 345 could not be formally established from sinusoidal stimuli where the opposite phase between SSs and CSs could conceivably be attributed to a time delay. 346

347 We next analysed CS responses during Off-Vertical Axis Rotation (OVAR; Fig. 7; see (Laurens et al., 348 2013b) for details). OVAR consists in tilting the head's vertical axis (Fig. 7A, blue) away from vertical 349 and then rotating at a constant speed about that axis. Accurate tilt perception during OVAR (Fig. 7B, 350 top) thus requires integrating rotation signals about the head's vertical axis. We demonstrated in 351 (Laurens et al., 2013b) that the SS firing of tilt- and translation-selective cells reflect this tilt perception, 352 which demonstrated that the internal model outlined in Fig. 1D can operate during 3D motion; and 353 notably that tilt-selective cells integrate 3D rotation signals to compute dG/dt. Furthermore, due to 354 the canal's high-pass filter properties, angular velocity signals fade out in ~20 s during OVAR. In this 355 situation, accurate tilt perception is gradually replaced by a translation illusion (Vingerhoets et al., 356 2006, 2007) (Fig. 7B, bottom). In (Laurens et al., 2013b), we demonstrated that translation-selective 357 cells encode this illusion.



358

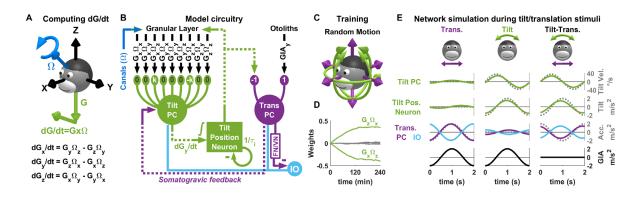
Figure 7: CS responses during OVAR. A,B: Rationale of the OVAR protocol. The head rotates at a constant velocity around a tilted axis. Initially (B, top), the motion is perceived correctly as a dynamic tilt stimulus. However, rotation signals from the semicircular canals fade out in about 20 s, resulting in a steady-state where OVAR is perceived as a dynamic acceleration stimulus (B, bottom). C,D: CS response gain and phase of tilt-selective cells, where a gain of 1 and a phase of 0 correspond to the response during a translation stimulus equivalent to the steady-state motion (B, bottom). E,F: CS response gain and phase of translation-selective cells.

Since our results indicate that CSs occur during real or illusory translation, we expect that both tilt-366 367 and translation-selective cells fire CSs during the late stages of OVAR. Furthermore, if CS firing is driven 368 by the output of a 3D model of head motion, then CSs should not occur at the beginning of OVAR, when integrating 3D rotation cues allows the brain to track head tilt accurately. To test these 369 370 predictions, we analysed CS firing in both tilt-selective cells (n=14) and translation-selective cells (n=6). 371 In each population, we computed the CS modulation gain and phase. We found that CS modulation 372 was low at the beginning of OVAR and increased until it reached a steady-state in both cell types (Fig. 7, C,E). In this steady-state, CS modulation was close to the modulation during translation 373 374 (corresponding to a gain of 1, Fig. 7, C,E). Furthermore, the modulation phase evolved from a phase 375 lead of ~90° (relative to the phase during translation) to a phase of 0°, in line with the dynamics of the translation illusion during OVAR (see (Laurens et al., 2013b)). These results confirm that CS firing is 376 377 controlled by neurons that implement 3D internal model computations, as outlined in Fig. 1D.

378 <u>Neuronal network model</u>

The finding both tilt-selective and translation-selective cells receive similar IO inputs raise the possibility that they may use the same teaching signal to learn two fundamentally different operations. We designed a neural network model (**Fig. 8A,B**) to test whether this process is possible. This network reflects the computations outlined in **Fig. 1D**, as described below.

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385 Figure 8: Neuronal network model of tilt/translation disambiguation. A: Mathematical formulation of the transformation performed by tilt-selective cells. Converting egocentric rotation signals (Ω blue) 386 387 into tilt-velocity (dG/dt, green) requires a vectorial cross-product, whose formula is shown at the 388 bottom of the panel. **B**: Structure of one olivo-cerebellar loop in the simulated neuron network. This loop receives otolith inputs (top right) encoding the lateral component of the GIA, i.e. GIA_Y. During 389 learning, the PD of all other cells align with this axis. In the full network, we simulate loops receiving 390 391 otolith inputs along all cardinal axes. These loops operate independently, with one exception: the granular layer upstream of tilt PC receives output from tilt position neurons from all loops, which 392 393 provide the gravity signal G along all dimensions. C: The network is trained using random rotations 394 and translations in 3D. **D**: Evolution of the synaptic weights of a tilt PC encoding dG_{V}/dt . Bands 395 represent mean \pm sd over 15 simulations. Green: synaptic weights of the components required to 396 compute dG_y/dt ; grey: synaptic weights of other components. **F**: Simulated response of all neurons in 397 the network during tilt, translation and tilt-translation.

Tilt-selective PCs (**Fig. 8B**, 'Tilt PC') compute tilt velocity, i.e. the derivative of gravity dG/dt. Mathematically, tilt velocity can be expressed as the vectorial cross-product $Gx\Omega$, which can be decomposed into combinations of products (**Fig. 8A**): for instance, lateral tilt velocity, dG_Y/dt, is computed as $G_Z\Omega_X - G_X\Omega_Z$. We propose that granule cells encode all 9 possible products ($G_X\Omega_X$, $G_X\Omega_Y$, etc; **Fig. 8B**), and that tilt-selective PCs learn to combine these products. For instance, to encode dG_Y/dt (with a gain factor k), a tilt-selective cell would associate a weight of k to $G_Z\Omega_X$, -k to $G_X\Omega_Z$, and 0 to all other products. In this respect, our model follows the Marr-Albus hypothesis, in which granule 405 cells act as basis functions (Albus, 1971; Marr, 1969). In (Laurens et al., 2013b; Laurens & Angelaki,
406 2020), we noted that, even though tilt-selective PCs primarily encode tilt velocity, their firing is
407 partially shifted toward tilt position. To reproduce this property, we modelled these cells as leaky
408 integrators with a short time constant of 50ms.

The output of tilt-selective PCs is integrated temporally to yield an estimate of tilt position (i.e. G) by "tilt position neurons" (whose nature is currently unknown) (**Fig. 8B**). Since it is questionable whether neurons can perform a perfect integration, we model these neurons as leaky integrators with a time constant of 1.2s. Tilt position neurons project to translation-selective PCs, as well as to the granular layer in which they provide the gravity signal required to compute GxΩ.

414 Translation-selective PCs (Fig. 8B) combine the tilt estimate and the raw otolith signals to compute 415 net translation. The polarity of this connection can be deduced as follow: based on Fig. 5, we known 416 that a translation cell with a given PD (e.g. leftward acceleration), receives the same IO input as a tilt-417 selective cell with an equivalent PD (e.g. rightward tilt velocity, see **Fig. 1B**). Therefore, the pathway 418 between tilt-selective and translation-selective PCs should be altogether inhibitory. Note, however, 419 that the actual pathway between tilt-selective and translation-selective cells involves an unknown 420 number of synapses. For clarity, we describe it as an entirely excitatory pathway that terminates with 421 a final inhibitory synapse to the translation-selective PC. In practice, the polarity of the individual 422 connections may be changed without loss of generality.

Translation-selective cells also project to tilt-selective cells (**Fig. 8B**; see also **Fig. 1D**), as shown in (Laurens et al., 2013b) to implement a well-known mechanism (Graybiel, 1952) called somatogravic feedback (Laurens & Angelaki, 2011, 2017), which prevents the tilt position neurons from accumulating errors as they integrate noisy inputs over time and compensates for the leaky dynamics of the tilt position neurons.

428 Learning rule

Translation-selective PCs project to the IO though an inhibitory pathway. Next, we assume that IO
signals drive synaptic plasticity according to the following rule (Dean et al., 2002, 2010; Dean & Porrill,
2014):

432

$$\delta w(t) = l.input(t).cs(t)$$

Where input(t) and cs(t) are the synaptic inputs for a given synapse and the IO inputs, respectively, $\delta w(t)$ is the change of synaptic weight, and I a learning factor. This rule implements a mechanism called 'decorrelation learning' (Dean et al., 2002, 2010; Dean & Porrill, 2014) through which the circuit outlined in **Fig. 8B** learns to cancel its otolith input (GIA) based on its canal input (Ω), which amounts to computing a tilt signal. Note that, in this model, this mechanism is more elaborate than in previous
work (Dean et al., 2002, 2010; Dean & Porrill, 2014) since it involves a non-linear operation (the crossproduct GxΩ) as well as a temporal integration from tilt velocity to position.

A priori, synaptic plasticity could occur at all synapses in the network; however, the synaptic weights between tilt-selective PCs and tilt position neurons, and between tilt position neurons and translationselective PCs amount to a simple gain factor, which is redundant with the overall gain of the active synapses of tilt-selective PCs. Therefore, for simplicity, we only consider plasticity at the level of tiltselective PCs.

445 Spatial tuning

A notable feature of this model is that the spatial selectivity of tilt-selective PCs and tilt position
neurons is not fixed a priori, since synaptic weights are initialized randomly. Instead, their spatial
selectivity is acquired through learning. In the example of Fig. 8B, the otolithic input to the translation
PC encodes lateral GIA (GIA_Y), and other cells acquire the same spatial selectivity during learning. In
order to create a full 3D model, we simulated 3 parallel loops that process GIA_X, GIA_Y and GIA_Z. The tilt
position neurons in these 3 loops provide the components G_X, G_Y, G_Z required to compute GxΩ.

452 Simulation

We trained the model during simulated 3D motion (**Fig. 8C,D**), and then simulated all cell types during tilt and translation stimuli identical to those used in the experiments. For simplicity, we show the responses of the circuit in **Fig. 8B**, that encodes lateral motion. Since the training procedure uses uniform 3D motion, the response of the other circuits to comparable stimuli would be identical.

The synaptic weights of the tilt-selective PC were initialized randomly (following a Gaussian distribution with a standard deviation of 0.2) priori to training. During training, the weights corresponding to $G_Z\Omega_X$ and $G_X\Omega_Z$ evolved in opposite directions and stabilized to opposite values (**Fig. 8D**, green), while all other weights decreased to 0 (**Fig. 8D**, grey). This indicates that the cell indeed learned to compute a signal proportional to dG_Y/dt . Note that the weights didn't converge to values of 1 and -1 but 0.36 and -0.36: this is because the gain of the tilt signal depends not only on these weights, but also on the dynamics of tilt PC and tilt position neurons.

The simulated neuronal responses during tilt/translation paradigms reproduced the prominent properties of tilt- and translation-selective PC. Tilt-selective PC responded during tilt (**Fig. 8E**, middle) with a gain of 0.78 relative to tilt velocity and were primarily in phase with tilt velocity, but shifted by 11° towards tilt position. During translation (**Fig. 8E**, left), their response gain was largely reduced (4.6 times less than during tilt). Tilt position neurons also responded during tilt specifically, with a gain of 0.76 and a slight phase lead of 5° relative to tilt position (Fig. 8E, middle). Their response to translation (Fig. 8E, left) was also much lower (by a factor of 4.8 compared to tilt). In contrast, translation-selective cells responded during translation (Fig. 8E, left) with a gain of 0.97 and phase lead of 9°, and their response during tilt was reduced by a factor of 3.8 (Fig. 8E, middle). The simulated IO response was the inverse of that of translation-selective PC, and therefore it encoded translation. As expected, all cells responded during tilt-translation, and maintained their phase relative to tilt velocity and position (tilt PC and tilt position neurons) or translation (translation PC and IO).

Thus, a simple CS-driven learning rule, based on the principle of decorrelation learning, where only
translation-selective cells project to the IO, is sufficient to train a neuronal network to integrate
rotation signals in 3D so as to predict and cancel tilt-driven activation of the otoliths.

479

480

Discussion

Olivo-cerebellar loops form a unit of cerebellar computation (Apps et al., 2018; Apps & Garwicz, 2005;
Chaumont et al., 2013; De Zeeuw et al., 2011; Ekerot et al., 1991; Garwicz et al., 1998; Herzfeld et al.,
2015; Ozden et al., 2009; Shadmehr, 2020; Sugihara & Quy, 2007). Here we show that two functionally
distinct types of PC may implement two computational steps within a single olivo-cerebellar loop.

485 In previous studies (Angelaki et al., 2004; Laurens et al., 2013b; Laurens & Angelaki, 2020; Yakusheva 486 et al., 2007, 2008, 2010), we have identified two distinct groups of PCs defined by their SS properties: 487 Tilt-selective cells encode allocentric velocity relative to gravity which, when integrated, can predict 488 the gravitational force acting on the inner ear's inertial sensors - the otoliths. Translation-selective 489 cells encode otolith prediction error. Yet, the CS properties of both cell types are identical and 490 proportional to the SS firing of translation-selective cells, i.e. to the otolith prediction error. This 491 finding suggests that translation-selective PCs may control the activity of IO cells that innervate them 492 (Chaumont et al., 2013) through their downstream projections to the fastigial or vestibular nuclei. 493 Thus, the output of translation-selective PCs may serve as a dual function - driving behavioural 494 responses and generating a teaching signal to maintain optimal control through the IO loop.

The similarity in CS response properties suggests that both types of PCs belong to a single olivocerebellar loop. Thus, tilt- and translation-selective cells may form a computational unit that uses its own output as a teaching signal. In this respect, they may implement the decorrelation learning rule proposed by (Dean et al., 2002, 2010; Dean & Porrill, 2014) to explain how efference copies are used to filter out self-generated actions from a sensory signal. The computations performed by tilt- and translation-selective cells are, however, more intricate than the reafference suppression function because they involve a 3D non-linear spatial transformation combined with temporal integration.
Indeed, our model simulations confirm that such computations can be learned using a decorrelation
learning rule.

504

505 <u>Tilt- and translation-selective cells form a computational unit</u>

Previous studies (Angelaki et al., 2004; Laurens et al., 2013b; Laurens & Angelaki, 2020) have shown that tilt- and translation-selective cells encode the two interconnected computational steps outlined in Fig. 1C. Yet, their functional link had remained tentative without any established neural pathway between tilt-selective and translation-selective PCs. Alternatively, it could be that these properties arise independently in these PC types, perhaps through computations that occur elsewhere, e.g., in the granular layer or the vestibular nuclei. The current finding that both cell types receive identical IO inputs supports the notion that they are functionally linked within the same olivo-cerebellar network.

513 Our findings also provide answers to the following question: if a neuronal pathway links tilt-selective 514 and translation-selective PCs, then, considering that this pathway is likely polysynaptic, is it overall 515 excitatory or inhibitory? For instance, a tilt-selective cell whose SS firing encodes leftward tilt (after 516 temporal integration) may either inhibit a translation-selective cell that encodes rightward 517 acceleration (Fig. 1B-D) or activate a translation-selective cell that encodes leftward acceleration. Our 518 finding that cells that prefer e.g. leftward tilt and rightward acceleration would receive identical IO 519 inputs (Fig. 5) supports the former possibility, and suggests that the postulated anatomical link is 520 overall inhibitory.

521

522 Internal model computations for self-motion perception and feedback signals

523 The concept of internal model is a classical approach for apprehending how the brain processes 524 multisensory self-motion information, proposed as early as the late 70s (Oman, 1982; Ormsby & 525 Young, 1977). Several quantitative models were subsequently developed in the following decades 526 (Borah et al., 1988; Bos & Bles, 2002; Glasauer & Merfeld, 1997; Karmali & Merfeld, 2012; Laurens & 527 Angelaki, 2011, 2017; Laurens & Droulez, 2007; Merfeld, 1995; Zupan et al., 2002), whose findings 528 have been extensively validated by behavioural (Angelaki et al., 1999; Dakin et al., 2020; Khosravi-529 Hashemi et al., 2019; Laurens et al., 2010, 2011; Merfeld, 1995; Merfeld et al., 1999) and 530 neurophysiological studies (Angelaki et al., 2004; Cullen, 2012; Cullen & Brooks, 2015; Cullen & Roy, 531 2004; Hernández et al., 2020; Laurens et al., 2013a, 2013a; Laurens & Angelaki, 2020; Shaikh et al., 532 2005; Stay et al., 2019; Yakusheva et al., 2007, 2008, 2013).

533 Whereas early studies have focused on passive motion (Angelaki et al., 2004; Laurens et al., 2013a, 534 2013a; Laurens & Angelaki, 2020; Shaikh et al., 2005; Yakusheva et al., 2007, 2008, 2013), we have 535 proposed a more general framework (Laurens & Angelaki, 2017) in which cerebellar PCs implement a 536 forward model of the otolith organs, and in which translation-selective cells encode the resulting 537 sensory prediction error.

538 This theoretical hypothesis has already been supported by multiple experimental findings. First, 539 translation-selective cells respond to translation, implying they receive sensory signals from the 540 otoliths (or equivalent inertial signals from trunk proprioceptors), since these are the only sensors 541 activated during passive translations. Second, their firing is reduced when other sources of 542 information can be used to predict otolith activity; e.g., efference copy signals during active 543 translations; Indeed, the firing of vestibular and fastigial nuclei translation-selective cells is markedly 544 reduced (Carriot et al., 2013; Mackrous et al., 2019) - a finding which presumably generalises to 545 translation-selective PCs in the NU. Further, responses of translation-selective cells is also diminished 546 during tilt, during which rotation signals can be used to track head tilt relative to gravity and predict 547 the gravitational activation of the otoliths (Glasauer & Merfeld, 1997; Laurens & Angelaki, 2017; 548 Merfeld, 1995). Finally, this framework implies that an otolith prediction error, and a corresponding 549 activation of translation-selective cells, should occur whenever rotation signals do not match head 550 motion relative to vertical. We have verified this hypothesis in (Laurens et al., 2013a, 2013b), and 551 shown that the firing of translation-selective neurons could be accurately simulated by previous 552 quantitative models (Laurens & Angelaki, 2011).

Based on the internal model framework, otolith prediction errors are expected to drive a number of feedback signals that have indeed been associated with the NU. The first consequence of otolith prediction errors are behavioural responses associated with translation, such as translation perception and stabilizing eye movements. These occur, obviously, during translational motion (Angelaki et al., 1999), but also during artificial stimulation of the semicircular canals (Khosravi-Hashemi et al., 2019; Merfeld et al., 1999).

Next, otolith prediction errors drive a somatogravic feedback loop, which biases the tilt estimate (Fig.
so as to diminish otolith prediction errors at low frequencies. This feedback signal, which has been
well characterized experimentally and theoretically see e.g. (Bos & Bles, 2002; Clark & Graybiel, 1966;
Graybiel, 1952; Laurens et al., 2013a; Laurens & Angelaki, 2011), has been identified in the SS firing of
tilt-selective cells (Laurens et al., 2013b).

564 Otolith prediction errors also drive a velocity feedback (**Fig. 1C**) that corrects rotation signals that 565 conflict with otolith inputs: this feedback can for instance shorten the duration of rotation signals that indicate incorrectly that the head tilts (Angelaki & Hess, 1995b; Hain et al., 1988; Wearne et al., 1998)
or reciprocally create a rotation signal to complement the semicircular canals when the head rotates
about an earth-horizontal axis (Angelaki & Hess, 1995a). Several studies have demonstrated that this
feedback is abolished following lesions of the NU (Angelaki & Hess, 1995a, 1995b; Hain et al., 1988;
Lee et al., 2017; Wearne et al., 1998).

571

572 <u>Neuronal and behavioural outputs of the NU</u>

Translation-selective cells have been identified in multiple brain areas: the fastigial and vestibular
nuclei (Angelaki et al., 2004; Hernández et al., 2020; Laurens et al., 2013a, 2013a; Laurens & Angelaki,
2020; Shaikh et al., 2005; Stay et al., 2019; Yakusheva et al., 2007, 2008, 2013) and the vestibular
thalamus (Dale & Cullen, 2017).

577 In contrast, tilt-selective cells have, to date, only been formally identified in the NU. This may be 578 because identifying cells that encode rotation velocity relative to vertical requires distinguishing them 579 from semicircular canals-driven cells that encode rotation velocity in egocentric coordinates. Tilt-580 selective cells and canal-driven cells have similar responses during simple rotations about earth-581 vertical or earth-horizontal axes, as in e.g. Fig. 2,5. Therefore, formally identifying tilt-selective cells 582 requires testing their responses during multiple 3D rotations protocols, e.g., as in Fig. 7, which has 583 only been done in the NU so far (Laurens et al., 2013b). For example, some rotation-selective neurons 584 in the vestibular and fastigial nuclei (Buettner et al., 1978; Büttner et al., 2003; Siebold et al., 1997; Waespe & Henn, 1979) have been presented as tilt-selective (Mackrous et al., 2019), but it is currently 585 586 unknown whether these cells encode tilt, as opposed to egocentric rotation. Note that tilt signals have 587 been identified in the navigation system (Angelaki et al., 2020), but these cells were not tested during 588 tilt/translation discrimination protocols, thus it is unknown whether they convey a net tilt signal. 589 Although tilt perception is driven by a 3D internal model in humans (Clark & Graybiel, 1966; Merfeld et al., 2001; Niehof et al., 2019a, 2019b; Vingerhoets et al., 2007), whether tilt-selective cells exist 590 591 outside the NU remains unknown.

592 Beyond self-motion perception, the NU innervates regions of the fastigial nucleus that are involved in 593 attention, vigilance and hippocampal function (Fujita et al., 2020), suggesting a possible consequence 594 of otolith prediction errors for a variety of brain functions.

595

596

597 Anatomical substrate of the olivo-cerebellar loop with the NU

598 Olivo-cerebellar loops in the NU have been studied in rabbits (Barmack & Shojaku, 1995; Fushiki & 599 Barmack, 1997), mice (Yakhnitsa & Barmack, 2006) and cats (Kitama et al., 2014) using exclusively 600 rotation (but not translation) stimuli. Although it is impossible to test the current hypotheses in the 601 absence of translation stimuli, findings from these studies are consistent with the present results. 602 First, these studies reported SS and CS modulation during tilt, but not during rotations in an earth-603 horizontal plane. Note that even translation-selective cells show a substantial modulation during tilt 604 (Fig. 3A, Fig. 5). It is thus possible that neurons recorded in previous studies reflected a mixture of tilt-605 selective and translation-selective cells. In fact, one study (Kitama et al., 2014) noted that NU cells 606 could respond in phase with either tilt position or velocity. Considering that tilt-selective cells encode 607 velocity (Laurens & Angelaki, 2020), 'velocity' cells likely correspond to tilt-selective cells, whereas 608 'position' cells likely correspond to translation-selective cells. This interpretation is corroborated by 609 the SS modulation gain during tilt at 0.5Hz: 133 and 64 spk/s/G respectively for 'velocity' and 'position' 610 cells respectively in (Kitama et al., 2014), that match our recordings (Fig. 3A). Furthermore, previous 611 studies also found that CSs occur in antiphase with SSs, in agreement with our observations (Fig. 5). 612 Altogether, these similarities indicate that the NU PCs reported to be modulated by tilt in (Barmack & 613 Shojaku, 1995; Fushiki & Barmack, 1997; Kitama et al., 2014; Yakhnitsa & Barmack, 2006) correspond 614 to tilt- and translation-selective cells.

615 The medial portion of the NU receives projections from two regions of the IO. The first, which is 616 composed of the dorsal cap and ventrolateral outgrowth, carries visual optokinetic signals (Barmack 617 & Hess, 1980; Leonard et al., 1988) to a small medial portion of the nodulus. Since our experiments 618 were performed in darkness, this region is unlikely to account for the CS responses studied here. The 619 second IO region is the beta nucleus (Barmack, Fagerson, Fredette, et al., 1993; Voogd et al., 1996), 620 which receives projections from the medial and descending vestibular nuclei (Balaban & Beryozkin, 621 1994; Barmack, Fagerson, & Errico, 1993; Gerrits et al., 1985; Saint-Cyr & Courville, 1979; Turecek & 622 Regehr, 2020) and the parasolitary nucleus (Barmack, 2006; Barmack & Yakhnitsa, 2000). In turn, the 623 medial and descending vestibular nuclei receive projection from the NU (Bernard, 1987; Epema et al., 1985; Shojaku et al., 1987; Wylie et al., 1994), as well as the parasolitary nucleus (Barmack, 624 625 unpublished observations reported in (Barmack & Yakhnitsa, 2000), and R. Sillitoe, personal 626 communication). Thus, the anatomical substrate of the olivo-cerebellar loop involving tilt and 627 translation-selective cells may include a projection of the NU to the beta nucleus through the medial 628 and descending vestibular and parasolitary nuclei. In agreement with this hypothesis, translation-629 selective cells exist in the vestibular nuclei (Angelaki et al., 2004; Zhou et al., 2006); the firing of 630 neurons in the parasolitary and beta nuclei is similar to the CS responses in the NU (Barmack, Fagerson,

Fredette, et al., 1993; Barmack & Yakhnitsa, 2000), and Fos expression studies indicate that the betanucleus is activated by linear accelerations (Li et al., 2013).

633

634 <u>Conclusion</u>

From an experimenter's point of view, linking neural circuits and theoretical predictions may appear 635 an arduous if not vain undertaking, since abstract concepts such as internal models and Kalman 636 filtering may seem too far remote from physiological reality, if not plainly "too nice". Indeed, we too 637 are amazed that the vestibulo-cerebellar circuit should consistently reflect this theorized 638 639 computations. And yet, these findings should not come as a complete surprise, since behavioural studies have consistently shown that the brain implements the building blocks of internal models, 640 641 which are nicely mathematically tractable in a well-defined problem such as tilt/translation discrimination. We can only conclude that when theoretical concepts have passed the test of decades 642 643 of scrutiny, then we should expect to find their embodiment in neuronal circuits. We hope that the 644 example of the vestibular field may inspire physiologists and system scientists in other fields where 645 similar theoretical frameworks exist.

646

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649 Methods

650 <u>Animals</u>

Three male rhesus Macaques, aged 3, 4 and 9 years, were used in the study. The animals were pair-

housed in a vivarium under normal day/night cycle illumination. Experimental procedures were in accordance with US National Institutes of Health guidelines and approved by the Animal Studies

654 Committee at Washington University in St Louis (approval n°20100230).

655 *Experimental procedures and neuronal recordings*

656 Experimental procedures were described in detail in (Laurens et al., 2013a, 2013b). In summary, 657 animals were installed in primate chairs that were installed on a 3-axes rotator monted on a linear 658 (Acutronics Inc, Pittsburg, PA) sled. We recorded neurons extracellularly using expoxy-coated tungsten 659 electrodes (5 or 20 M Ω impedance; FHC, Bowdoinham, ME). Recording locations were determined 660 stereotaxically and relative to the abducens nucleus. Raw spiking data was sported offline using 661 custom Matlab scripts, based on spike amplitude and principal components analysis. In this study, we included only neurons were CS firing could be isolated consistently across trials, and were CS were 662 663 followed by a pause in SS firing for at least 10 ms.

664 *Experimental protocols*

Sinusoidal tilt and translation stimuli (**Fig. 2A**) consisted in translation (peak acceleration = 0.2 g, with $g = 9.81 \text{ m/s}^2$) or tilt (peak tilt = 11.5°) oscillations at 0.5 Hz, or combinations of these stimuli (out of phase: tilt-translation or in phase: tilt+translation). Stimuli could be delivered along the head's nasooccipital axis (forward/backward translation and pitch tilt), lateral axis (left/right translation and roll tilt) or along intermediate axes. We recorded the response of each cell using stimuli along at least two head axes.

- Tilt while rotating (TWR) (Laurens et al., 2013a) consists in rotating the setup about a fixed earthvertical axis at a constant velocity of 45°/s. During this rotation, animals were tilted back and forth $\pm 10^{\circ}$ along one plane (i.e. pitch, roll or intermediate) about the vertical axis. Tilt movements were brief movements (peak velocity 20°/s, acceleration 50°/s², duration 1.4s) that were separated by 30s of fixed tilt.
- Off-vertical axis rotation (OVAR) (Laurens et al., 2013b) consisted in tilting the animal by 10°, and then
 rotating them around the head's vertical axis at 180°/s (peak acceleration: 90°/s²) for 80s. This resulted
 in the head tilting in a sequence (nose up, left ear down, nose down, right ear down, nose up) which
 is equivalent to out-of-phase oscillations in pitch and roll, with 10° peak tilt, at 0.5 Hz.

680 <u>Data analysis</u>

SS and CS firing were analysed using the same methods as in (Laurens et al., 2013a, 2013b). We also
refer the reader to (Laurens & Angelaki, 2016) for an in-depth presentation of the analysis of sinusoidal
tilt and translation stimuli. In this section, we present some analyses that were specifically developed
or modified in the present study.

685 Modulation amplitude: The only difference between the analysis of SS and CS was the way modulation 686 amplitude was computed. To quantify the modulation of SS, we fitted firing histograms with a rectified 687 sinusoid function: FR(t) = max(0;FR₀+A.cos(π . ω .t+ ϕ)) where ω is the stimulus frequency in Hz, A and 688 ϕ the response amplitude and phase and FR₀ the cell's baseline firing. To quantify the modulation of 689 CS, we performed a simple Fourier transform, which is equivalent to fitting firing histograms with a 690 sinusoid FR(t) = FR₀+A.cos(π . ω .t+ ϕ), without rectification. We chose this approach because using a 691 rectified function yields more accurate results for cells where the firing becomes 'less than 0' in the 692 trough of the firing histograms, but is unreliable when cells discharge a low number of spikes, which 693 is the case with CS. Note that the choice of method will not alter our findings that CS fire preferentially 694 during translation, since with use the same method to analyse CS response during tilt and translation, 695 and also since Fig. 5, which is based on raw spiking histograms, supports our conclusion.

696 Response PD and phase: In Fig. 4, we summarize the cells' firing properties by computing the PD and 697 response phase of SS and CS. For instance, a cell may respond to leftward acceleration with a phase 698 lead of 10°. However, it is equivalent to state that this cell responds to rightward acceleration with a 699 phase lead of -170°. In order to express the response PD and phase of SS and CS in a coherent manner, 700 we adopt the following procedure.

First, we compute the PD and phase of SS such that the response phase during tilt is always within 54±-90° for tilt-selective cells, such that the response phase during translation is always within ±-90° for other cells, i.e. we reverse both the PD and phase when the phase falls out of this interval. We chose this convention because tilt-selective cells respond preferentially to tilt, with an average lead of 54° relative to position, whereas other cells respond preferentially or equally to translation, with an phase of ~0 (see (Laurens et al., 2013b)). Note that this convention has no impact on our statistical analyses but only serves to make results clearer (e.g. in **Fig. 4C**).

Next, we compute the PD and phase of CS, independently from the SS response. In a second step, if
the PD of SS and CS are more than 90° apart, we revert both the PD and phase of CS.

In absolute terms, these conventions do not change how we measure the SS and CS responses, since
reversing both the PD and phase results in an equivalent description of the response. However, from

a statistical point of view, they imply that (1) the absolute difference between the PD of SS and CS is always less than 90° (**Fig. 4A,B**), the phase of SS is expressed as a circular variable with a periodicity of 180° whereas the phase of CS is a circular variable with a periodicity of 360° (**Fig. 4C,D**). As a result, cell-to-cell variations in response phase of SS have a higher impact, such that we could not compute the confidence interval of SS in **Fig. 4D**. However, these confidence intervals were computed using the same method and a larger number of cells in (Laurens et al., 2013b).

Regression analysis: We tested which cell populations control CS firing by performing a multiple
 regression analysis. We concatenated the CS firing histogram during translation, tilt and tilt-translation
 (averaged across all translation-, tilt-, and GIA-selective cells, and with 20 times bin each) into a single
 vector *CS_i* with 60 bins. We also computed similar SS firing histograms for translation-, tilt- and GIA selective cells: *SS_i^{trans}*, *SS_i^{trans}* and *SS_i^{GIA}*. We used a quadratic regression model:

723 $CS_i = a + b^{trans} \cdot SS_i^{trans} + c^{trans} \cdot (SS_i^{trans})^2 + b^{tilt} \cdot SS_i^{tilt} + c^{tilt} \cdot (SS_i^{tilt})^2 + b^{GIA} \cdot SS_i^{GIA} + c^{GIA} \cdot (SS_i^{GIA})^2$

724 We evaluated the goodness of fit of the regression by computing the squared coefficient of correlation R^2 = 1-SSR/SS_{tot} where SSR is the sum of squared residuals and SS_{tot} the variance of CS_i. To measure 725 726 the contribution of each SS response type, we computed partials R², e.g. for translation-selective cells, 727 we computed pR_{trans}^2 = 1- SSR/SSR_{trans}, where SSR_{trans} is the sum of squared residuals obtained when translation-selective cells are excluded from the regression. A large/mall pR² indicates that including 728 729 a given response type has a large/small impact on the regression's goodness of fit, implying that SS 730 from the corresponding population of PC contribute to a large/small extent to controlling CS firing. 731 We used a shuffling approach to estimate the confidence intervals of pR²: we computed 10000 732 shuffled values of pR²_{trans}, for each of which the vector SS^{trans} was shuffled, and defined the confidence 733 interval (at $\alpha = 1\%$) as the 99-percentile of the distribution of shuffled values. We performed the same computation for pR_{tilt}^2 and pR_{GIA}^2 . We found that the 99-percentile is equal to 0.16 in all cases. 734

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