

1 **Reduced virulence and enhanced host adaption during antibiotics therapy: A**
2 **story of a within-host carbapenem-resistant *Klebsiella pneumoniae* sequence type**
3 **11 evolution in a fatal scrotal abscess patient**

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15 Running Head: Within-host evolution of ST11-CRKP

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1 ABSTRACT

2 Carbapenem-resistant *Klebsiella pneumoniae* (CRKP) has disseminated globally and
3 become a major threat to human life. The sequence type (ST) 11 CRKP is a dominant
4 clone in Asia, especially China, but how this clone evolves *in vivo*, then adapts to host
5 and facilitates dissemination remain largely unknown. We analyzed the genomic
6 dynamics of 4 ST11-CRKP isolates sequentially isolated from the urine of a patient with
7 initial fatal scrotal abscess and finally recovered without effective medication. Genomic
8 differences were identified and their implications for pathogenesis and host adaptation
9 were investigated. The related transcriptional pathways were further explored by
10 RNA-Seq. Genomic analysis identified 4-24 mutations and 94%-100% were
11 synonymous or intergenic. The mutation rate of ST11-CRKP was 2.1×10^{-6} - 1.7×10^{-5}
12 substitutions/site/year over 47 days of antibiotics therapy. During this period, CRKP
13 underwent several adaptive changes including tigecycline resistance and virulence
14 attenuation. Tigecycline resistance was caused by *ramR* ribosomal binding site (RBS)
15 deletion, which has been described by us previously. In this study, we demonstrated
16 that mutations associated with acyltransferase (*act*) and *ompK26* caused the virulence
17 attenuation of ST11-CRKP. *act* deletion reduced the production of capsular
18 polysaccharide and enhanced biofilm formation. RNA-Seq analysis revealed that *act*
19 influenced the expression of *ldhA*, *bglX*, *mtnK* and *metE* which likely participate in
20 capsular synthesis and biofilm formation. *ompK26* affected the virulence by its
21 overexpression caused by the deletion of upstream repressor binding site. Our finding
22 suggested that the broad genomic diversity, high evolutionary capacity and rapid
23 within-host adaptability of ST11-CRKP might contribute to the worldwide
24 dissemination of this clone.

25

26 IMPORTANCE

27 Carbapenem-resistant *Klebsiella pneumoniae* (CRKP) has disseminated worldwide and
28 can cause life threatening infections, including pneumonia, bloodstream infections,
29 urinary tract infections, intra-abdominal infection, liver abscess and meningitis. CRKP

1 infection is the leading cause of high mortality in clinical. The sequence type (ST) 11
2 CRKP is a dominant clone and accounts for 60% of CRKP infections in China. Recently,
3 the ST11-CRKP with high transmissibility are increasingly identified. Understanding
4 how this clone evolved is crucial in controlling its further dissemination. The
5 significance of our research is identifying the *in vivo* genomic dynamics of ST11-CRKP
6 and the genetic basis for ST11-CRKP to facilitate persistence and dissemination, which
7 will has broader biomedical impacts on understanding of ST11-CRKP dissemination.
8 Furthermore, our study also highlights the importance of monitoring the development of
9 variation in antibiotics susceptibility and virulence of bacteria in clinical practice,
10 considering that pathogens can rapidly adapt to host during the treatment.

11 **Keywords**

12 Genomic study; *in vivo* evolution; host adaptation; RNA-Seq.

13

1 INTRODUCTION

2 The worldwide dissemination of carbapenem-resistant *Enterobacteriaceae* (CRE) has
3 become an urgent threat to public health (1) and CRE has been classified as critical on
4 the global priority pathogens list by the World Health Organization (2).
5 Carbapenem-resistant *Klebsiella pneumoniae* (CRKP) is the most common genus of
6 CRE. Study showed that CRKP infection accounts for 80% of CRE infection and is the
7 leading cause of high mortality in clinical infections (3).

8 Most of the CRKP belong to the clonal group CG258, in which sequence type (ST)
9 258 and ST11 are dominant (4). *K. pneumoniae* ST11 is the predominant clone in Asia
10 and accounts for 60% of CRKP in China (5). Recently, a subclone of ST11-CRKP with
11 high transmissibility is increasingly identified in China (6). Though with the extensive
12 interrogations, the evolutionary success of ST11-CRKP for dissemination is not fully
13 understood. The known factors impacting the dissemination includes resistance to
14 carbapenem, high transmissibility, pathogenicity alternation and increased duration (7, 8).
15 Besides, host adaptation or adaptability played a crucial role in clone transmission, which
16 was highlighted by a study on the long-term carriage of ST258-CRKP within a patient
17 (9). However, little is known about the within-host adaptation of ST11-CRKP in
18 genomic and transcriptomic scales.

19 In this study, we analyzed the within-host evolution of ST11-CRKP isolated from
20 the urine of a patient with initial fatal scrotal abscess and finally recovered without
21 effective medication. During this course, the ST11-CRKP incurred a series of phenotypic
22 variations including tigecycline resistance, virulence attenuation, capsular
23 polysaccharide (CPS) reduction and biofilm formation enhancement, and then its
24 adaptation to the host environment was increased. However, genomic analysis revealed
25 that the strain underwent limit genetic changes. The deletion of *ramR* ribosomal binding
26 site (RBS) mediated the tigecycline resistance, which has been described in our previous
27 study (10). Therefore, here we further characterized other genomic changes associated
28 with phenotypic variations and interrogated them using wet-lab experiments,
29 transcriptome analysis and animal infection models. Our results make a connection

1 between genomic variation and within-host adaption, which will help deepen the
2 knowledge and understanding of ST11-CRKP dissemination. In addition, results from
3 our study also highlights the importance of monitoring the development of variation in
4 antibiotics susceptibility and virulence of bacteria in clinical practice, considering that
5 pathogens can rapidly adapt to host during the treatment.

6

1 RESULTS

2 The virulence of CRKP was attenuated *in vivo* during the antibiotics 3 therapy

4 The four CRKP isolates (KP-1S, KP-2S, KP-3R and KP-4R) were sequentially
5 isolated from the urine of a 50-year-old male patient with scrotal abscess during 47
6 days of tigecycline-containing antibiotics therapy. The initial isolates (KP-1S and
7 KP-2S) were susceptible to tigecycline and subsequent isolates (KP-3R and KP-4R)
8 were resistant to tigecycline. All the four CRKP isolates were resistant to 17 other
9 antimicrobials including amikacin, gentamicin, ciprofloxacin, norfloxacin,
10 sulfamethoxazole, nitrofurantoin, piperacillin, TXP, ceftazidime, cefuroxime, cefotaxime,
11 ceftazidime, cefepime, cefoperazone/ sulbactam, cefmetazole, imipenem and
12 meropenem except polymyxin B. At that time, polymyxin B was not approved by
13 National Medical Products Administration in China.

14 As the condition of the patient did not get worse after the isolation of KP-4R, we
15 concluded that the virulence of the subsequent isolates had reduced. To test our
16 hypothesis, we performed an animal experiment with the four isolates. As showed in
17 **Figure 1**, the mortality rate of mice inoculated with KP-1S (90%) or KP-2S (90%)
18 was significantly higher ($P < 0.05$) than that of mice inoculated with KP-3R (20%) or
19 KP-4R (30%), demonstrating that the virulence of KP-3R and KP-4R had reduced.

20 CRKP isolates harbor virulence genes including *aerobactin*, *rmpA* 21 and *rmpA2*

22 To investigate the genomic features of the four CRKP isolates, we sequenced the
23 whole genomes of KP-1S, KP-2S, KP-3R and KP-4R. Genomic analysis showed that
24 all the CRKP isolates belong to the KL64-ST11, and all of them harbor
25 carbapenemase-encoding gene *bla*_{KPC-2} and extended-spectrum β -lactamase-encoding

1 gene *bla*_{CTX-M-65}, which confer resistance to carbapenem and cephalosporin.
2 Furthermore, besides yersiniabactin which is usually located on the chromosome of *K.*
3 *pneumoniae*, *aerobactin* (*iutA*iuc*ABCD*), *rmpA* and *rmpA2* that are mostly identified
4 on a large virulence plasmid (11) were found on the genomes of all the CRKP isolates
5 (**Table 1**), indicating that the virulence of CRKP in this study has been enhanced
6 compared to classic ST11-CRKP. Plasmid replicon analysis was identified as
7 ColRNAI, IncFIB(K), IncFII, IncHI1B and IncR in each CRKP isolates. Among them,
8 IncFIB(K) and IncHI1B were usually associated with the hypervirulent plasmid in
9 hypervirulent *K. pneumoniae*, while IncFII and IncR are usually associated with
10 *bla*_{KPC-2}.

11 **ST11-CRKP strain underwent limit genetic changes during the** 12 **period in the host**

13 Whole genome alignments showed that no genomic rearrangement has happened to
14 KP-2S, KP-3R or KP-4R (**Figure 2A**) compared with KP-1S. We further identified
15 the variants including SNPs and INDELS among the four CRKP isolates using KP-1S
16 as the reference (**Figure 2B**). In KP-2S, 1 SNP and 3 INDELS were found. Among
17 them, the SNP is a synonymous variant and all the 3 INDELS are located in the
18 intergenic regions. In KP-3R, 11 SNPs and 13 INDELS were found. Among them, 3
19 SNPs are synonymous variants and 8 are located in the intergenic regions. Except for
20 the deletion containing acyltransferase (*act*) family protein, the other 11 INDELS are
21 located in the intergenic regions. In KP-4R, 10 SNPs and 9 INDELS were found.
22 Among them, 1 SNP is a synonymous variant and 9 are located in the intergenic
23 regions. Like KP-3R, all the INDELS are located in the intergenic regions except for
24 the deletion containing *act*. The instantaneous mutation rates for KP-2S, KP-3R and
25 KP-4R are 2.1×10^{-6} substitutions per site per year, 1.7×10^{-5} substitutions per site per
26 year and 1.3×10^{-5} substitutions per site per year, respectively (**Figure 2C**).

27 To explore the genetic determinants associated with the phenotypic changes,
28 variants present in KP-3R and KP-4R, and absent in KP-1S and KP-2S were further

1 considered, and shown in **Table 2**. A total of 14 variants were exclusively present in
2 KP-3R and KP-4R, including 8 SNPs and 6 INDELS. All of the variants are located in
3 the intergenic regions, except for the 2,226 bp large deletion which contains *act*. The
4 2,226 bp large deletion is located upstream of an insertion sequence *ISKpn26* which
5 usually mediates DNA inversion or deletion in *K. pneumoniae* (12) (**Figure S1**).
6 Besides the 2,226 bp large deletion, the 12 bp-deletion of *ramR* RBS and the 5 bp
7 (TGTTT)-deletion 42 bp upstream of *ompK26*, other 11 variants are located either on
8 the downstream of or far away (> 200bp) from their adjacent genes and thus were
9 considered not essential for phenotypic changes. We previously demonstrated that the
10 12 bp-deletion of *ramR* confers tigecycline resistance (10), and studies revealed that
11 the impact of *ramR* on pathogenicity is limited (13, 14). Therefore, in this study, we
12 focused on the functions of the TGTTT deletion and the *act* deletion, which likely
13 affects the virulence of KP-3R and KP-4R.

14 **TGTTT deletion upregulated the expression of *ompK26* by destroying**
15 **the binding site of repressor KdgR and partially reduced the**
16 **virulence of CRKP**

17 qRT-PCR showed that *ompK26* was significantly over-expressed in KP-3R and
18 KP-4R compared with KP-1S and KP-2S (**Figure 3A**). To validate whether the
19 *ompK26* over-expression was caused by TGTTT deletion, *ompK26* with its native and
20 mutant promoter regions were cloned in a T-vector to generate pMY53 and pMY54
21 (**Figure S2**) and transformed into KP-3R Δ *ompK26* (**Figure S3**), respectively. As
22 shown in **Figure 3B**, the transcriptional level of *ompK26* in KP-3R Δ *ompK26*/pMY54
23 was significantly higher than that in KP-3R Δ *ompK26*/pMY53, demonstrating that the
24 deletion of TGTTT upregulated the *ompK26* expression.

25 Given that OmpK26 belong to KdgM family, which is usually under the control
26 of KdgR. Thus, the putative KdgR binding site upstream of *ompK26* was predicted.
27 Result showed that TGTTT fell into the KdgR binding region (**Figure 3C**). To

1 validate the prediction, KdgR was purified (**Figure 3D**) for EMSA experiment. The
2 results showed that KdgR can bind to the promoter region of *ompK26* (**Figure 3E**). To
3 further demonstrate that *ompK26* was under the regulation of KdgR *in vivo*,
4 KP-3R Δ *ompK26*/pMY53 and KP-3R Δ *ompK26*/pMY54 were complemented with a
5 wild-type KdgR (pMY59) under the control of the arabinose-inducible promoter P_{BAD}.
6 As shown in **Figure 3F**, when KdgR was induced in the presence of arabinose, the
7 transcription of *ompK26* in KP-3R Δ *ompK26*/pMY53 was significantly repressed.
8 However, the repressive effect was not observed in KP-3R Δ *ompK26*/pMY54. These
9 results together demonstrated that TGT_{TT} fell into the binding region of KdgR, and
10 the deletion of TGT_{TT} upregulated the expression of *ompK26*.

11 To investigate the role of OmpK26 in virulence, a mouse lethality study of
12 KP-1S, KP-3R and KP-3R Δ *ompK26* was conducted. Results showed that, though
13 without significance, the mortality rate of KP-3R Δ *ompK26* (70%) is between that of
14 KP-1S (80%) and KP-3R (30%) (**Figure 3G**). These results indicated that *ompK26*
15 was associated with virulence, and overexpression of *ompK26* slightly reduced the
16 virulence of CRKP.

17 ***act* is involved in the synthesis of CPS and deletion of *act* significantly** 18 **attenuated the virulence of ST11-CRKP**

19 To explore the function of *act*, the *act* mutant strain KP-1S Δ *act* was constructed
20 (**Figure S3**) and subjected to a mouse lethality test. As shown in **Figure 4A**, the
21 mortality rate of mice infected with KP-1S Δ *act* (20%) was significantly lower ($P <$
22 0.05) than that of mice infected with KP-1S (80%). No significant difference in
23 survival rate was found between the groups of KP-1S Δ *act* and KP-3R, indicating that
24 *act* is an important virulence factor in ST11-CRKP.

25 Interestingly, the mucoid phenotype of KP-1S Δ *act* has reduced compared with
26 KP-1S (**Figure S4**). Given that mucoid phenotype has been associated with the
27 production of capsule polysaccharide, the transmission electron microscopy assay was

1 performed (**Figure 4B**). Results showed that capsule production of KP-1S Δact has
2 reduced compared with KP-1S, demonstrating that *act* plays an important role in the
3 synthesis of CPS in ST11-CRKP. As the capsule production of clinical isolates was
4 inversely related to biofilm formation (15), biofilm was analyzed. Results showed that
5 KP-1S Δact has significantly increased the biofilm productions compared with its
6 wild type strain (**Figure 4C**), which probably facilitates its long-term carriage and
7 persistence in host (16).

8 **Transcriptome analysis identified genes affected by *act***

9 RNA-seq was employed to determine the transcriptomes of KP-1S, KP-3R,
10 KP-1S Δact and KP-3R $\Delta ompK26$ (**Table S3**). The heatmap showed that the
11 transcriptome profiles of all the isolates were consistent within each group and most
12 of the genes expressed uniformly across all the samples (**Figure 5A**). As shown in
13 **Figure 5B**, the first principal component (PC1) and the second principal component
14 (PC2) explained up to 91% of the variance of gene expression, indicating that only
15 few genes were differentially expressed and contributed to phenotype changes.

16 The differentially expressed genes were identified between KP-1S Δact and
17 KP-1S, KP-3R $\Delta ompK26$ and KP-3R, as well as KP-3R and KP-1S (**Table S4-6**). In
18 the group of KP-1S Δact vs. KP-1S, 21 genes were under-expressed and 61 genes
19 were over-expressed in KP-1S Δact . Besides *act*, other genes including *ldhA*, *bglX*,
20 *mtnK* and *metE* were differentially expressed (**Figure 5C**). In the group of
21 KP-3R $\Delta ompK26$ vs. KP-3R, only *ompK26* was under-expressed in KP-3R $\Delta ompK26$,
22 indicating that *ompK26* is located at the end of the pathway (**Figure 5D**). In the group
23 of KP-3R vs. KP-1S, 115 genes were under-expressed and 66 genes were
24 over-expressed in KP-3R (**Figure 5E**). Besides *act* and *ompK26*, *ldhA*, *bglX*, *mtnK*
25 and *metE*, which were observed in KP-1S Δact vs. KP-1S, were also differentially
26 expressed.

27 COG functional analysis revealed that ‘cell wall/membrane/envelope biogenesis’
28 was affected in KP-3R $\Delta ompK26$ vs. KP-3R (**Figure 5F**). The top three affected

1 functions in KP-1S Δact are ‘carbohydrate transport and metabolism’, ‘amino acid
2 transport and metabolism’, and ‘transcription’ compared with KP-1S. Interestingly,
3 though with additional genomic differences in KP-3R, the top three affected functions
4 in KP-3R vs. KP-1S are the same as those in KP-1S Δact vs. KP-1S.

5 Given that differentially expressed genes presented simultaneously in
6 KP-1S Δact vs. KP-1S and KP-3R vs. KP-1S are more likely to be associated with the
7 virulence phenotype, therefore, genes up-or down-regulated in KP-1S Δact and
8 KP-3R compared with KP-1S were identified. Besides *act*, genes including *ldhA*,
9 *bglX*, *mtnK*, *metE*, transposon and *rpe* were down-regulated, and *nuoK* was
10 up-regulated in KP-1S Δact and KP-3R (**Figure 5G**). *ldhA* encodes for D-Lactate
11 dehydrogenase A and participates in fermentative lactate dehydrogenation. *bglX*
12 encodes for beta-glucosidase which hydrolyzes beta-D-glucosyl residues to
13 beta-D-glucose. *mtnK* encodes for S-methyl-5-thioribose kinase and *metE* encodes for
14 homocysteine S-methyltransferase. Both of them participated in the methionine
15 synthase and methylation. *rpe* encodes for ribulose-phosphate 3-epimerase catalyzes
16 the reversible epimerization of D-ribulose 5-phosphate to D-xylulose 5-phosphate,
17 which is important for carbohydrate degradation. *nuoK* (also known as ND4L)
18 encodes for NADH-quinone oxidoreductase subunit K and shuttles electrons from
19 NADH to quinones in the respiratory chain. The results also showed that KP-3R
20 yielded abundant transcripts of *ompK26*, suggesting that KdgR has a strong repressive
21 effect on *ompK26*.

22

1 DISCUSSION

2 In this study of ST11-CRKP sequentially isolated from a patient with scrotal abscess,
3 the within-host genomic dynamics were deciphered. The study begins with
4 ST11-CRKP strain that was initially susceptible then resistant to tigecycline during
5 tigecycline therapy. Tigecycline resistant ST11-CRKP infections are generally
6 considered fatal in clinical for their association with high mortality and poor
7 outcomes (17). However, in this study, the patient dramatically recovered from the
8 fatal infection without effective medication and ST11-CRKP strains can be continually
9 isolated from the urine of the patient. We found that the virulence of tigecycline
10 resistant ST11-CRKP was attenuated compared to the initial tigecycline susceptible
11 strain in the mice infection model, which restated the fitness cost of acquiring
12 antibiotic resistance in *K. pneumoniae*. The estimated instantaneous mutation rate of
13 ST11-CRKP in this study was 2.1×10^{-6} - 1.7×10^{-5} substitutions per site per year, which
14 is higher than the reported 6.9×10^{-7} - 1.8×10^{-6} substitutions per site per year (18, 19).
15 The mutation rate might be overestimated in this study due to the limited sampling
16 timepoints and the continuously selective pressure from antibiotics therapy.

17 The mutations selected *in vivo* may have crucial impacts on disease outcome,
18 therefore we analyzed genomic changes in ST11-CRKP strains to decipher the genetic
19 basis for within-host adaptation. Studies of pathogen adaptation during infection
20 focused predominantly on mutations within coding regions, whereas adaptive
21 mutations in intergenic regions received less attention (20, 21). However, almost all
22 the mutations identified in this study are located in the intergenic or regulatory
23 regions and the functions of two intergenic mutations have been verified, which
24 underlines the importance of intergenic mutations in within-host adaptation. A study
25 of intergenic evolution of *Pseudomonas aeruginosa* revealed that intergenic mutations
26 represent an important aspect of bacterial evolution in niche adaptation (22).
27 Therefore, we considered that intergenic evolution might be a more cost-effective way
28 than coding region evolution in the acquisition of novel phenotypes and mediating
29 host adaptation.

1 We further analyzed the genomic mutations associated with phenotypic
2 variations. The tigecycline resistance owing to deletion of *ramR* RBS has been
3 discussed in our previous publication, here our focus is on two mutations, including
4 the 5 bp (TGTTT)-deletion found on upstream of *ompK26* and the deletion containing
5 *act*, which are likely related to virulence attenuation. We proved that the TGTTT
6 deletion is located on the binding site of *ompK26* repressor KdgR, and the deletion
7 increases the expression level of *ompK26* to a large extent. *ompK26* encodes for a
8 KdgM family porin and a previous study showed that the knockout of *ompK26*
9 increases the virulence and carbapenem resistance in *K. pneumoniae* (23). We showed
10 that the knockout of *ompK26* slightly increases the virulence of CRKP but the
11 Mantel-Cox log rank test showed no significance between the survival rates of
12 KP-3R Δ *ompK26* and KP-3R infected mice. RNA-seq results showed that *ompK26* is
13 located at the end of the pathway and no differentially expressed gene was found
14 between KP-3R Δ *ompK26* and KP-3R besides *ompK26*.

15 *act* is located in the region of CPS synthesis gene cluster and was predicted to
16 encode an acyltransferase family protein. CPS is present on the surface of both
17 Gram-positive and Gram-negative bacteria and it is an important virulence factor
18 mediating host immune response (24, 25). The acetylation of CPS is frequent (26),
19 and studies have shown that CPS acetylation enhances antigenicity and increases
20 immunogenicity in *Escherichia coli* (27), *Streptococcus agalactiae* (28) and *Neisseria*
21 *meningitidis* (29). Besides, the acetylation of CPS increases mucoid colony and
22 reduces the aptitude to biofilm formation in *E. coli* (30), which have been observed in
23 the *act* deletion and knockout strains in our study. Previous study showed that
24 deficiency in CPS biosynthesis increases biofilm formation, which makes the
25 pathogens difficult to eradicate in urinary tract and facilitates dissemination (16).
26 Research on CPS acetylation in *K. pneumoniae* type K57 showed that the acetylation
27 enhanced the immunoreactivity of CPS and increased the induction of
28 pro-inflammatory cytokines (31). The ST11-CRKP strains in this study belong to
29 KL64, which was considered a virulence enhanced clone (6). Previous study of an
30 *act*-harboring KL64 strain NCTC 9184 showed that a D-glucose of CPS was

1 acetylated (32), indicating that CPS acetylation happens in KL64 *K. pneumoniae*
2 strains. Given the fact that *act* deletion was found in the virulence attenuated isolates
3 and knockout of *act* reduced the virulence in mutants, we inferred that *act* likely
4 mediated the virulence variation by acetylating the CPS in this study.

5 Genes participated in lactate dehydrogenation (*ldhA*), beta-D-glucose synthesis
6 (*bglX*) and methylation (*mtnK* and *metE*) were found under-expressed in *act*-abolished
7 strains. The deletion of *ldhA* in *N. meningitidis* promotes biofilm formation (33),
8 therefore, the increased biofilm formation in *act*-abolished strains might be mediated
9 by the low expression of *ldhA*. As D-glucose synthesis and methylation are required
10 for CPS biosynthesis, the decreased CPS productions in *act*-abolished strains were
11 likely caused by the low expressions of *bglX*, *mtnK* and *metE*. Besides, the functions
12 of other differentially expressed genes, such as *rpe* and *nuoK*, in *K. pneumoniae* were
13 undetermined and will be further explored in the future.

14 In summary, our work illustrated the within-host evolution of a
15 worldwide-disseminated clone ST11-CRKP from a clinical case by leveraging the
16 power of WGS and building a direct connection between the genomic variants and
17 host adaptation by RNA-seq and molecular biology techniques. Our results provide a
18 better understanding of the evolutionary capacity and within-host adaptation of
19 bacteria, which will be necessary for pathogens surveillance and infection-control in
20 the future.

21

22 **MATERIALS AND METHODS**

23 **Strains and growth conditions**

24 KP-1S, KP-2S, KP-3R and KP-4R were isolated from the urine of a patient with scrotal
25 abscess and urinary tract infection during antibiotics treatment (10). Other strains used in
26 this study were constructed from KP-1S and KP-3R. All strains were cultivated in
27 lysogeny broth (LB) medium at 37 °C, information of strains as indicated in **Table S1**.

28 **Mouse model of intraperitoneal infection**

1 Male ICR mice (6-8 weeks old, weighing 20-25g) were infected intraperitoneally with
2 10^6 CFUs of *K. pneumoniae* (10 mice/group) being harvested from the exponential
3 growth phase. Mice were monitored for 7 days and assessed for death every 16-24 h. All
4 animal experiments were performed following the protocols approved by the Animal
5 Ethics Committee of Shanghai Skin Diseases Hospital.

6 **Whole genome sequencing and bioinformatics analysis**

7 Genome sequencing was performed as described previously (11). Briefly, genomic DNA
8 of KP-1S, KP-2S, KP-3R and KP-4R were extracted using bacterial genomic DNA
9 extraction kit and sequenced using Illumina HiSeq 150-bp paired-end sequencing
10 technologies. The sequencing reads were assembled using SPAdes V3.8 (34) with
11 default parameters and contigs with less than 500 nucleotides were excluded. The genes
12 were predicted and annotated using NCBI online annotation service. Genome alignments
13 were performed by MUMmer 4 (35). The SNPs and INDELs were identified by Snippy
14 v4.6 and CNOGpro with KP-1S as the reference. The phylogenetic relationship was
15 constructed by the FastTree (36) based on the variants with the maximum-parsimony
16 method. The genomic features including sequence typing, virulence genes, antimicrobial
17 resistance genes, MLST and capsular type were analyzed by Kleborate v2.0.1. Plasmid
18 replicons were identified by PlasmidFinder.

19 **Quantitative RT-PCR (qRT-PCR)**

20 qRT-PCR was performed as described previously (10). Briefly, RNA were extracted
21 from mid-log-phase bacterial cultures using the RNeasy mini kit (Qiagen). cDNA was
22 synthesized using the RT reagent kit with gDNA eraser (Takara). qRT-PCR was
23 performed using SYBR Premix ExTaq (TaKaRa) on a CFX96 Real-Time PCR Detection
24 System (Bio-Rad). PCR primers for *ompK26* and the endogenous reference gene *rrsE*
25 were provided in **Table S2**.

26 **Construction of *ompK26* and *act* mutant**

27 Knockout of chromosomal *ompK26* and *act* was conducted as we described previously
28 (37). Briefly, pKOBEG was transformed into KP-3R to generate Kp-3R/pKOBEG.
29 Homology fragments of *ompK26* were amplified and inserted into the pMD-18T-hph on
30 either side of the hygromycin gene. The recombinant plasmid was then digested by KpnI

1 and HindIII to get the final linear fragment. The final fragment was transformed into
2 Kp-3R/pKOBEG. Mutant clones were screened by PCR using the primer pairs of
3 internal-F/internal-R and external-F/external-R. The same method was applied to
4 construct *act* mutant. Strategy for constructing and identification of mutant clone are
5 shown in **Figure S3**.

6 **Complementation of *ompK26* with its native promoter and *kdgR* overexpression**

7 The *ompK26* and the native promoter region of KP-1S and KP-3R were amplified by
8 *ompK26_promoter_F/R* (**Table S2**) and cloned into pMD-18T-hph at HindIII and KpnI
9 sites to generate pMY53 and pMY54, respectively. pMY53 and pMY54 were
10 electrically transformed into KP-3R Δ *ompK26* to generate KP-3R Δ *ompK26*/pMY53
11 and KP-3R Δ *ompK26*/pMY54. Strategies for construction pMY53 and pMY54 are
12 shown in **Figure S2**. The full-length *kdgR* was amplified from KP-1S using
13 pBAD33_*kdgR_F/R* (**Table S2**) and cloned into pBAD33 to generate pMY59. pMY59
14 was then transformed into KP-3R Δ *ompK26*/pMY53 and KP-3R Δ *ompK26*/pMY54.
15 KP-3R Δ *ompK26*/pMY53/pMY59 and KP-3R Δ *ompK26*/pMY54/pMY59 were
16 confirmed by qRT-PCR.

17 **Purification of recombinant KdgR and electrophoretic mobility shift assay** 18 **(EMSA)**

19 The full-length *kdgR* was amplified from KP-1S using pET28a_*kdgR_F/R* (**Table S2**)
20 and cloned into pET-28a to generate pMY55. The KdgR-6xHis fusion protein was
21 expressed in BL21 (DE3) with 0.2 mM of Isopropyl β - d-1-thiogalactopyranoside at
22 18 °C. Protein purification was performed as previously described (10). Protein purity
23 was confirmed by sodium dodecyl sulfate-polyacrylamide gel electrophoresis
24 (SDS-PAGE) analysis. The promoter regions of *ompK26* were amplified using
25 *ompK26_prob_F/R* (**Table S2**). The KdgR/DNA complexes were mixed, incubated,
26 electrophoresis and imaged according to the procedure described previously (38).

27 **Biofilm formation and transmission electron microscopy (TEM)**

28 Biofilm production was determined as described (39). Briefly, 1 ul of overnight culture
29 was inoculated into 100 ul of fresh LB broth in each well of untreated 96-well
30 polystyrene plates. After 24 h incubation at 37 °C, the wells were washed four times

1 with water and 150 μ l of 0.1% crystal violet was added. After 10 min incubation, crystal
2 violet was removed and the wells were washed six times with water. Then, 200 μ l of 80%
3 ethanol was added and the plate was incubated for 10 min at room temperature before
4 determining the OD₅₉₅ with a microplate reader. Transmission electron microscopy was
5 performed by the Electron Microscopy Facility of Servicebio (Wuhan, China), and
6 images were captured by HITACHI HT7800/HT7700.

7 **RNA sequencing and differential expression analysis**

8 Total RNA was used as input material for the RNA sample preparations. RNA
9 sequencing libraries were prepared according to the manufacturer's protocol and
10 sequenced on Illumina Novaseq platform. The genome and gene model annotation of
11 KP-1S was used as the reference. The reads were mapped to the reference genome by
12 Bowtie2 v2.4.2 (40). Differential expression analysis of two conditions/groups (three
13 biological replicates per condition) was performed using DESeq (1.18.0) (41). P-values
14 were adjusted using Benjamini and Hochberg's approach. Genes with adjusted P-value
15 <0.001 and $|\log_2\text{FoldChange}| >1.5$ were classified as differentially expressed. Clusters
16 of Orthologous Groups of proteins (COGs) database was used to classify the
17 differentially expressed genes.

18 **Statistical analysis**

19 Statistical analysis was performed by R. The Mantel-Cox log rank test was used to
20 compare the Kaplan-Meier survival curves and calculate the P values. Student T test was
21 used to compare the biofilm productions and calculate the P values.

22 **Data availability**

23 The sequences of KP-1S have been deposited in the DDBJ/ENA/GenBank under the
24 bioproject PRJNA590579.

25

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8 M.Y., J.J. and P. Z. designed the study. M.Y. and J.J. drafted the manuscript. M.Y., C.L.,
9 M.S., D.Z., J.Y. and Z. H. carried out experiments. J.J. conducted the bioinformatic
10 analyses. P.Z. and X.X. raised several useful suggestions. All authors read and approved
11 the final manuscript.

12 We declare that we have no conflicts of interests.

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- 22

1 TABLES AND FIGURES

2 Table 1. Genomic features of KP-1S, KP-2S, KP-3R and KP-4R

Isolates	MLST	KPC	ESBL	<i>wzi</i>	Yersiniabactin	Aerobactin	<i>rmpA</i>	<i>rmpA2</i>	Plasmid Inc
KP-1S, KP-2S, KP-3R and KP-4R	ST11	KPC-2	CTX-M-65	<i>wzi</i> ₆₄	<i>ybt</i> ₉ (ICEKp3)	<i>iuc</i> ₁	<i>rmpA</i> -2 (KpVP-1)	<i>rmpA2</i> -3 (-47%)	ColRNAI, IncFIB(K), IncFII, IncHI1B, IncR

3

4

5 Table 2. Genomic differences among the four isolates*

CONTIG	POS	KP-1S	KP-2S	KP-3R	KP-4R	Annotation [#]
1	88,828	ATGTTT	ATGTTT	A	A	42 bp upstream of <i>ompK26</i> (GK022_00450)
8	158,433	T	T	G	G	Intergenic region of GK022_11360 and GK022_11365
14	29,796	G	G	C	C	Intergenic region of GK022_16760 and GK022_16765
14	29,933	GGA	GGA	CGC	CGC	Intergenic region of GK022_16760 and GK022_16765
14	29,975	CTTCGCTAAA TGTG	CTTCGCTAAA TGTG	GTTGTTATACG CAA	GTTGTTATACG CAA	Intergenic region of GK022_16760 and GK022_16765
14	29,993	G	G	A	A	Intergenic region of GK022_16760 and GK022_16765
14	30,013	T	T	C	C	Intergenic region of GK022_16760 and GK022_16765
14	30,020	A	A	G	G	Intergenic region of GK022_16760 and GK022_16765
27	9,749	AACCTGCGT GAGG	AACCTGCGTG AGG	A	A	9 bp upstream of <i>ramR</i> (GK022_23275)
43	1	T	T	DEL:1-2,226	DEL:1-2,226	<i>act</i> (GK022_27640), transposase (GK022_27645), small membrane protein (GK022_27650)
46	3,921	G	G	T	T	Intergenic region of GK022_28020 and GK022_28025
46	3,979	GTTGTTATAC GCAAAAAA	GTTGTTATACG CAAAAAA	CTTCGCTAAAT GTGAAAAG	CTTCGCTAAAT GTGAAAAG	Intergenic region of GK022_28020 and GK022_28025
46	4,017	C	C	T	T	Intergenic region of GK022_28020 and GK022_28025
46	4,024	G	G	A	A	Intergenic region of GK022_28020 and GK022_28025

6 *Only the variants present in KP-3R and KP-4R, and absent in KP-1S and KP-2S are shown.

7 [#]GK022_16760, GK022_16765 and GK022_28025 are hypothetical proteins with unknown function. GK022_11360 is annotated
8 as 4-carboxymuconolactone decarboxylase. GK022_11365 is annotated as a mechanosensitive ion channel. GK022_28020 is
9 annotated as AbrB/MazE/SpoVT family DNA-binding domain-containing protein.

10

11 **Figure 1.** Kaplan-Meier survival curves of mice intraperitoneally challenged with *Klebsiella*
12 *pneumoniae* strains KP-1S, KP-2S, KP-3R and KP-4R. Ten mice in each group inoculated with
13 10⁶ CFUs were monitored daily for 7 days. P values were calculated from the Mantel-Cox log rank
14 test for survival curve comparison. Grey shading indicates significant values (<0.05).

15

16 **Figure 2.** Genomic analysis of KP-1S, KP-2S, KP-3R and KP-4R. A. The pairwise genome
17 alignments of KP-1S, KP-2S, KP-3R and KP-4R. B. Genomic variants of each CRKP isolates. C.

1 The phylogenetic tree of the four CRKP isolates based on the genomic variants. The numbers
2 above the line represented the number of mutations. Mutation rates were calculated according to
3 the number of variations and isolation time-span.

4

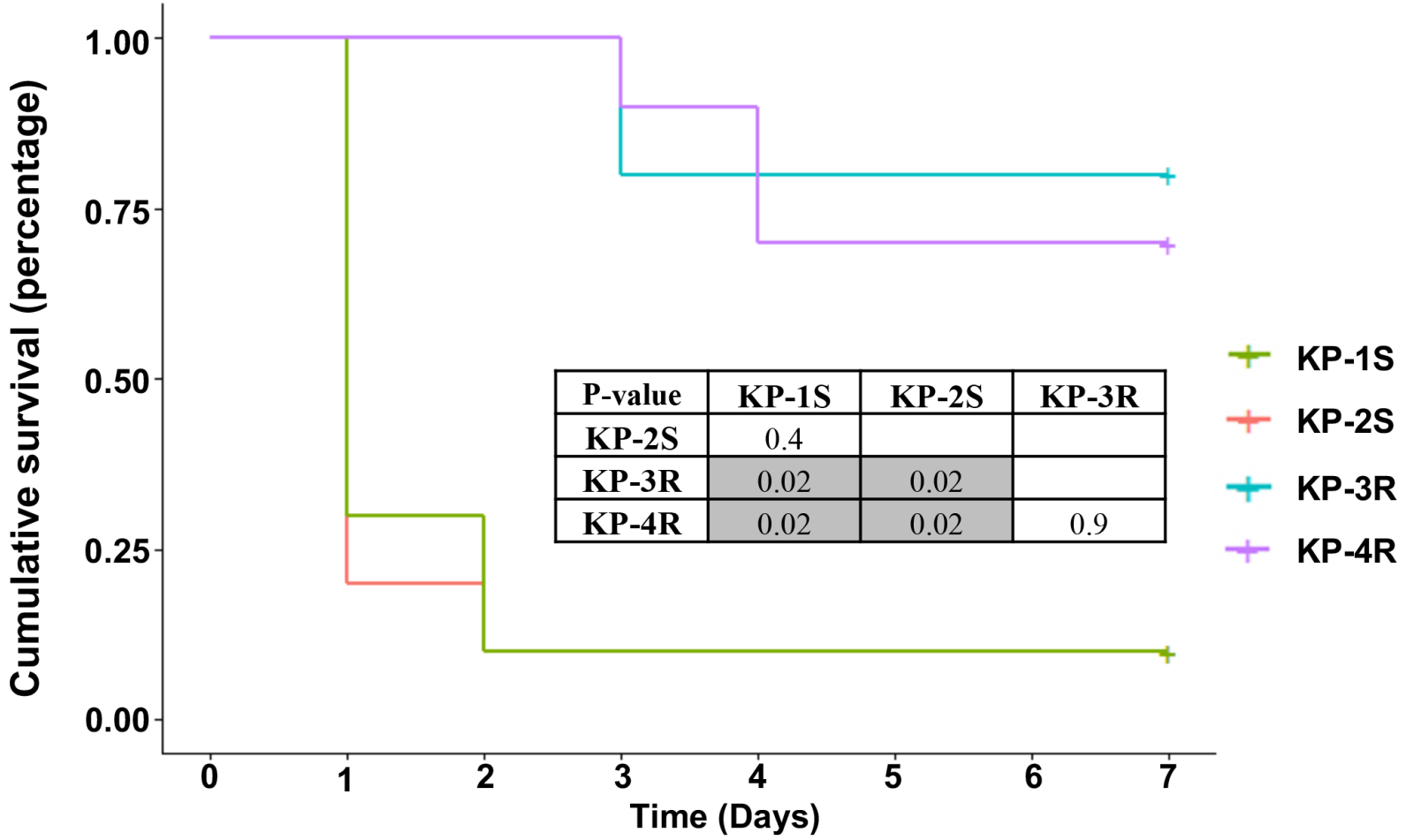
5 **Figure 3.** The functional study of *ompK26*. A. Quantitative reverse transcription PCR (qRT-PCR)
6 assessment of the transcriptional level of *ompK26* in KP-1S, KP-2S, KP-3R and KP-4R. B.
7 qRT-PCR assessment of the transcriptional level of *ompK26* in KP-3R Δ *ompK26*/pMY53 and
8 KP-3R Δ *ompK26*/pMY54. C. The prediction of KdgR binding site. D. KdgR-6XHis protein
9 following affinity purification. The arrow indicates KdgR protein. E. EMSA using purified KdgR
10 protein. KdgR decreases the migration of promotor DNA of *ompK26*. F. qRT-PCR assessment of
11 the transcriptional level of *ompK26* in KP-3R Δ *ompK26*/pMY53/pMY59-Ara,
12 KP-3R Δ *ompK26*/pMY53/pMY59+Ara, KP-3R Δ *ompK26*/pMY54/pMY59-Ara and
13 KP-3R Δ *ompK26*/pMY54/pMY59+Ara. G. Kaplan-Meier survival curves of mice
14 intraperitoneally challenged with KP-1S, KP-3R and KP-3R Δ *ompK26*. Mice were injected with
15 10^6 CFUs and monitored for 7 days. P values were calculated from the Mantel-Cox log rank test
16 for survival curve comparison. Grey shading indicates significant values (<0.05).

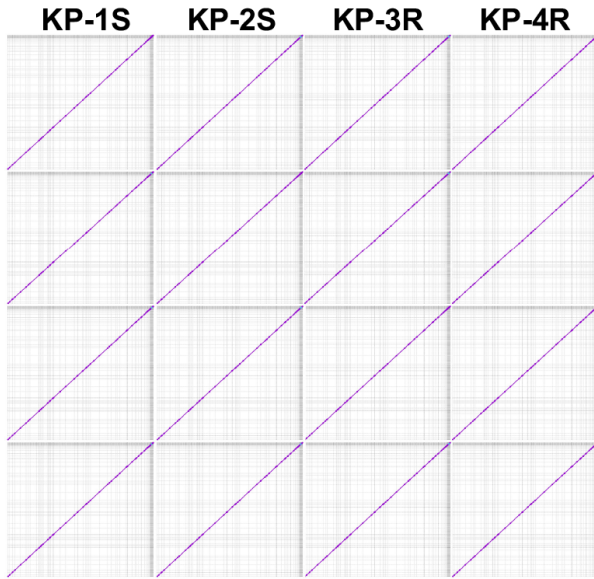
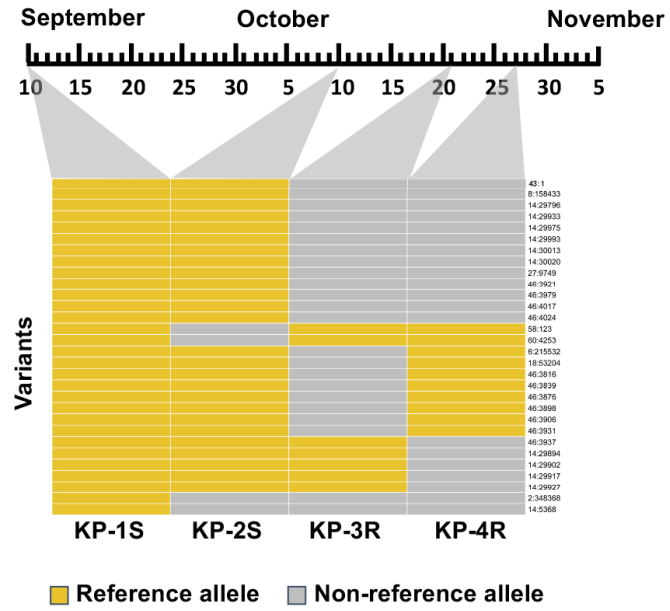
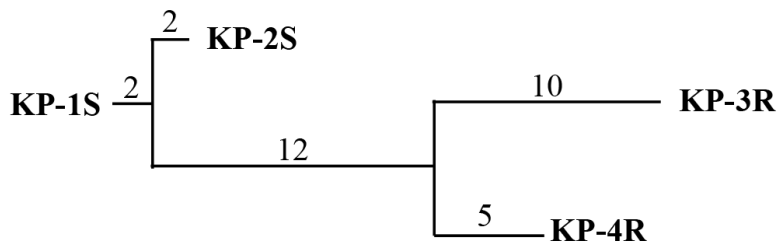
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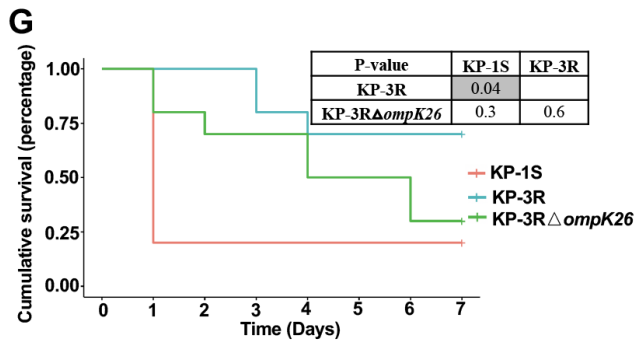
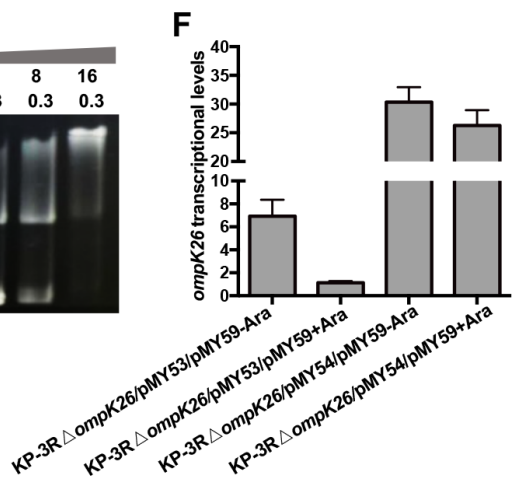
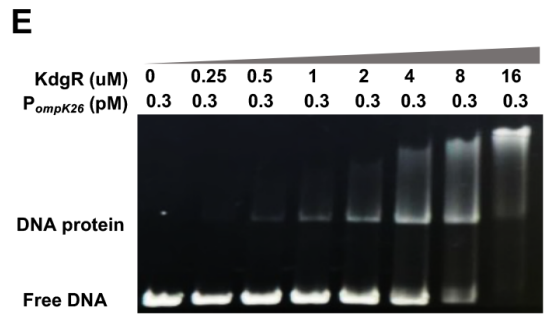
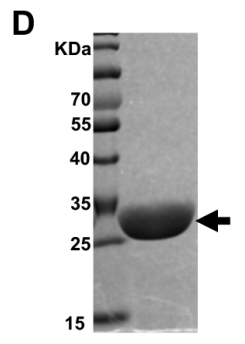
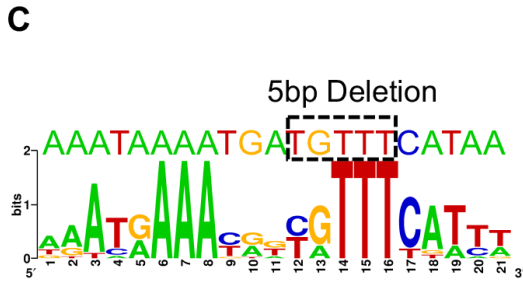
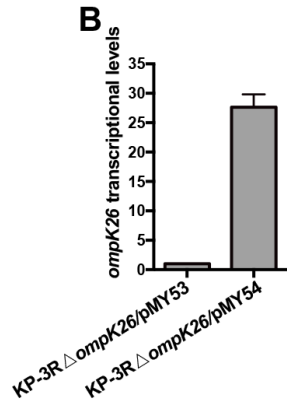
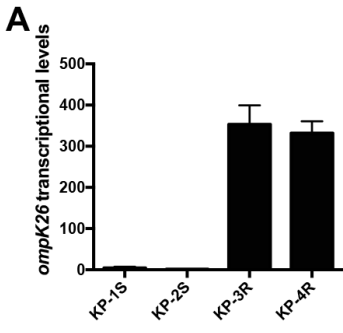
18 **Figure 4.** The functional study of *act*. A. Kaplan-Meier survival curves of mice intraperitoneally
19 challenged with KP-1S, KP-1S Δ *act* and KP-3R. Mice were injected with 10^6 CFUs and
20 monitored for 7 days. P values were calculated from the Mantel-Cox log-rank test for survival
21 curve comparison. Grey shading indicates significant values (<0.05). B. Transmission electron
22 microscopy of KP-1S, KP-1S Δ *act*, KP-3R and KP-3R Δ *ompK26*. One representative image from
23 four images obtained from one section is shown. C. Biofilm formation of KP-1S, KP-1S Δ *act*,
24 KP-3R and KP-3R Δ *ompK26* in polystyrene plates. P values were calculated from student t-test.

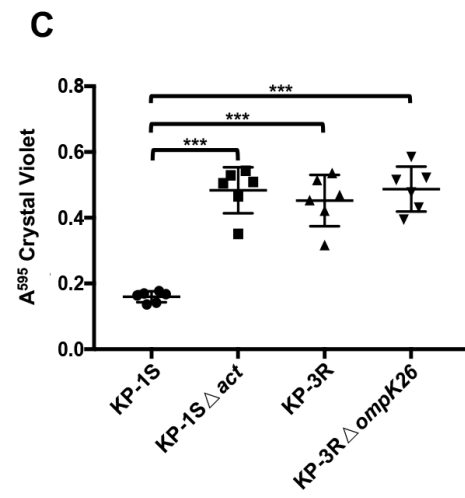
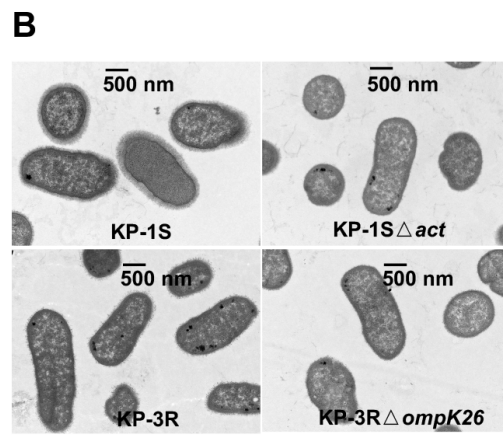
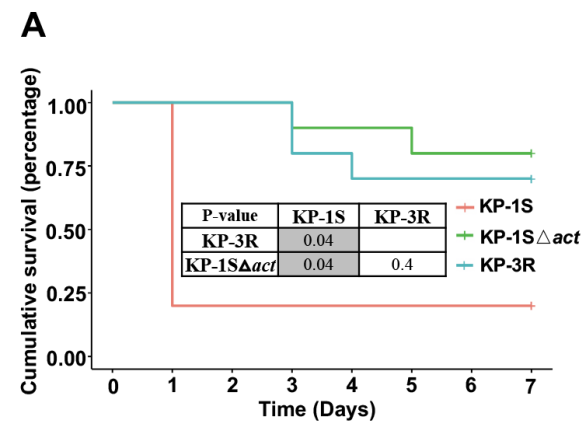
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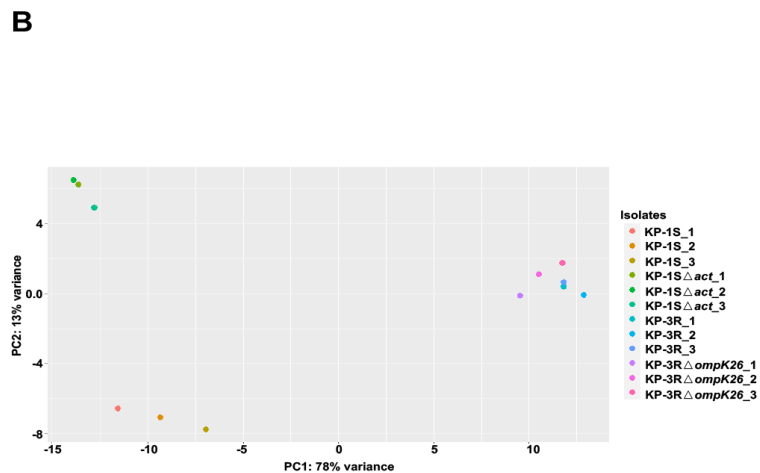
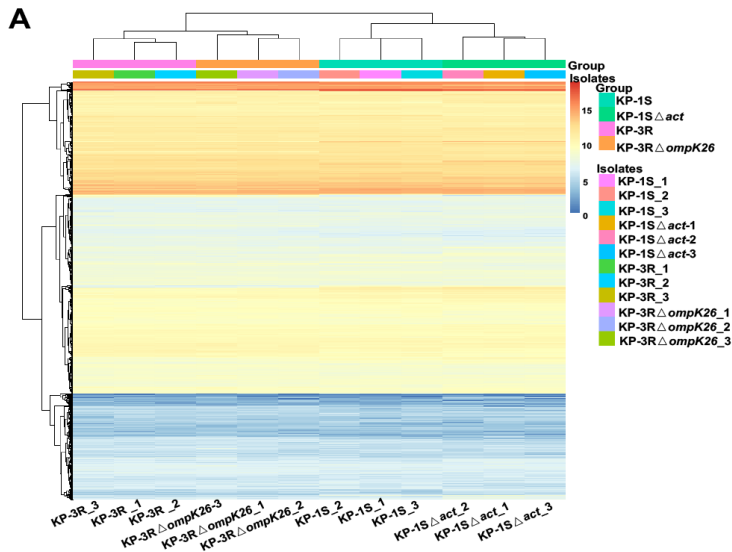
26 **Figure 5.** RNA-seq analysis of KP-1S, KP-3R, KP-1S Δ *act* and KP-3R Δ *ompK26*. A. The
27 heatmap of transcriptional profiles of KP-1S, KP-3R, KP-1S Δ *act* and KP-3R Δ *ompK26*. B.
28 Principal component analysis (PCA) of transcriptional profiles of KP-1S, KP-3R, KP-1S Δ *act* and
29 KP-3R Δ *ompK26*. C. The volcano plot of differentially expressed genes in KP-1S Δ *act* vs. KP-1S.
30 D. The volcano plot of differentially expressed genes in KP-3R Δ *ompK26* vs. KP-3R. E. The
31 volcano plot of differentially expressed genes in KP-3R vs. KP-1S. Genes highlighted with
32 rectangle were shared with other groups. F. COG analysis of the differentially expressed genes
33 from each group. Genes with unknown functions were omitted. G. The transcriptional
34 levels of genes differentially expressed in both KP-1S Δ *act* vs. KP-1S and KP-3R vs. KP-1S.



A**B****C**







KP-1S Δ act vs. KP-1S

KP-3R Δ ompK26 vs. KP-3R

KP-3R vs. KP-1S

